

Selection of Solubility Enhancement Technologies Depending on Developmental Stage: A Case  
Study of S-892216, a Poorly Water-Soluble Drug

Ryo Ohashi<sup>a\*</sup>, Shuichi Otake<sup>a</sup>, Tatsuhiko Murata<sup>a</sup>, Ryosuke Watari<sup>b</sup>, Shinpei Yoshida<sup>b</sup>, Mikiko Kitade<sup>b</sup>,  
Daisuke Kondo<sup>a</sup>, Go Kimura<sup>a</sup>

<sup>a</sup> Formulation R&D Laboratory, Pharmaceutical Technology Research Division, Shionogi & Co., Ltd.,  
1-3 Kuise, Terajima 2-chome, Amagasaki, Hyogo 660-0813, Japan

<sup>b</sup> Laboratory for Drug Discovery and Development, Shionogi & Co., Ltd., 1-1 Futaba-cho 3-chome,  
Toyonaka, Osaka 561-0825, Japan

\*Corresponding author: Ryo Ohashi, Ph.D.

Formulation R&D Laboratory, Pharmaceutical Technology Research Division, Shionogi & Co., Ltd.,  
1-3 Kuise, Terajima 2-chome, Amagasaki, Hyogo 660-0813, Japan

ryo.ohashi@shionogi.co.jp

+81-70-7812-7908

## Abstract

S-892216 is a poorly water-soluble drug developed as a novel oral treatment of COVID-19, although its oral absorption is low. For Phase 1 (Ph1) studies and commercial use, both oral solution and solid dispersion technologies are evaluated to enhance drug solubility. The solubility enhancement technology was selected by considering physicochemical factors such as stability and oral absorption, along with patient and customer acceptability. Pharmacokinetics study in rats revealed that both the polyethylene glycol 400 oral solution and polyvinylpyrrolidone-vinyl acetate (PVPVA) solid dispersion powder suspension showed almost 100% oral bioavailability. Therefore, they can be proposed as clinical formulations for Ph1 studies. PVPVA solid dispersion tablets developed as a to-be-marketed formulation showed higher bioavailability in dogs than the anhydrous crystal formulation. Additionally, the stability of the developed solid dispersion tablet was acceptable. This study demonstrates that multiple solubility enhancement technologies can be adopted for S-892216 development.

## Keywords

Poorly water-soluble drug(s)  
Bioavailability  
Absorption  
Solid dispersion(s)  
Amorphous Solid Dispersion(s) (ASD)  
Spray drying  
Tablet(s)  
Stability  
Formulation  
Solubilization

## Highlights

- S-892216 is a poorly water-soluble drug, and the oral absorption of its anhydrous crystal is low.
- For Phase 1 clinical studies, we developed a polyethylene glycol 400 oral solution and polyvinylpyrrolidone-vinyl acetate (PVPVA) solid dispersion powder suspension. Both formulations showed nearly 100% bioavailability.
- PVPVA solid dispersion tablets were developed as a to-be-marketed formulation, which exhibited enhanced oral bioavailability in dogs compared with that of the anhydrous crystal formulation. The stability of the developed solid dispersion tablet was acceptable.
- This study demonstrates that multiple solubility enhancement approaches can be adopted for S-892216 development, facilitating clinical studies for commercialization.

## Introduction

The poor water solubility of drugs limits their oral bioavailability (BA) and presents challenges in the development of oral solid dosage forms. This issue is especially prevalent among new drug candidates, with approximately 40% of marketed drugs and 90% of new chemical entities exhibiting poor water solubility.<sup>1</sup> However, there is no universal technique for formulating these drugs. Formulation studies using commercially established approaches to improve the dissolution and thus bioavailability of these drugs are desirable for the pharmaceutical industry to expedite the development stage.

There are some strategies to enhance the solubility and absorption of poorly water-soluble drugs. One of the standard approaches is the crystal engineering of drug substances, namely the formation of salts, solvates, and co-crystals.<sup>2,3,4</sup> By integrating micronization with crystal engineering, for example, by using a jet mill,<sup>5</sup> it becomes possible to manufacture tablets or capsules using conventional manufacturing processes.<sup>6</sup> When crystal engineering fails, formulation-based approaches may be explored to enhance solubility. Popular formulations include amorphous solid dispersions, which stabilizes the amorphous form of the drug,<sup>7</sup> and oral solutions such as lipid-based formulations, which maintains the dissolved form of the drug.<sup>8,9</sup> These technologies have been in practical use for more than a decade, supported by numerous research findings and multiple commercial products.<sup>7</sup>

Pharmaceutical companies consider multiple factors beyond just improving oral absorption in the selection of appropriate solubility enhancement technologies. It is necessary to conduct clinical studies in a sequential manner during drug development. Phase 1 clinical studies often employ assessments of absorption, distribution, metabolism, and excretion (ADME).<sup>10</sup> Pharmaceutical companies sometimes need to develop a dose-flexible formulation for human ADME studies only.<sup>11</sup> In the development of to-be-marketed formulations, the shelf-life of the product, which is determined from stability data, impacts supply chain costs and customer satisfaction. Amorphous solid dispersions and oral solutions are promising formulations that enhance solubility, although they tend to be chemically unstable compared with the crystalline form.<sup>12,13</sup> From another perspective, the dosage form can affect the ease of ingestion, namely swallowing, which can in turn impact patient acceptability.<sup>14,15</sup> Although numerous research studies on solubility enhancement technologies have been reported, to the best of our knowledge, few studies have discussed the industrial applicability of these technologies considering the factors mentioned above.

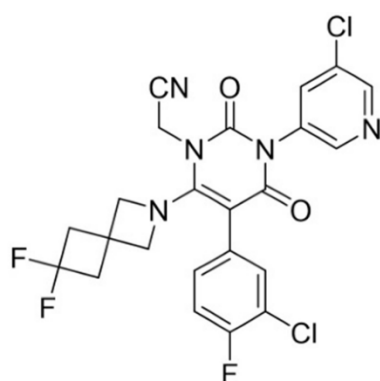
[5-(3-Chloro-4-fluorophenyl)-3-(5-chloropyridin-3-yl)-6-(6,6-difluoro-2-azaspiro [3.3] heptan-2-yl)-2,4-dioxo-3,4-dihydropyrimidin-1(2H)-yl] acetonitrile (denoted as S-892216) is a small-molecule compound developed by Shionogi & Co., Ltd. as a second-generation 3CLpro inhibitor against SARS-CoV-2.<sup>16</sup> During the drug discovery stage, we found that S-892216 anhydrous crystal exhibits very low water solubility and low oral absorption. Here, we describe the approach by which we evaluated the ADME of various formulations of S-892216 and developed tablets for the clinical development

program of S-892216.

## Materials and Methods

### Materials

S-892216 drug substance was designed by Shionogi & Co., Ltd. (Osaka, Japan). The chemical structure of S-892216 is shown in Fig. 1. The molecular formula and molecular weight of S-892216 are  $C_{23}H_{16}Cl_2F_3N_5O_2$  and 522.31, respectively. S-892216 was synthesized as an anhydrous crystal, and its powder X-ray diffraction (PXRD) pattern is presented in Fig. S1. Polyethylene glycol 400 (PEG 400) (Kollisolv PEG 400, BASF, Ludwigshafen, Germany), propylene glycol (PG) (Kollisolv PG, BASF), ascorbic acid (DSM Nutritional Products AG, Kaiseraugst, Switzerland) were used for oral solution development. Polyvinylpyrrolidone-vinyl acetate (PVPVA) (Plasdone S-630, Ashland Inc., Lexington, KY, USA) and hydroxypropyl methylcellulose acetate succinate (HMPCAS) (HMPCAS-LF, Shin-Etsu Chemical Co., Ltd., Tokyo, Japan) were used as polymers. Mannitol (Pearlitol 200SD, Roquette Freres, Lestrem, France) and microcrystalline cellulose (Ceolus PH-102, Asahi Kasei Corporation, Tokyo, Japan) were fillers. Croscarmellose sodium (Ac-Di-Sol® SD-711, DuPont, Wilmington, DE, USA) was used as the disintegrant. Magnesium stearate (NF Hyqual, Mallinckrodt Inc., Saint Louis, MO, USA) and sodium stearyl fumarate (PRUV, JRS PHARMA, Rosenberg, Germany) were used as the lubricant. All other chemicals and solvents were commercially available analytical grade reagents. The coating agent was a premix product containing hypromellose, talc, red ferric oxide, and yellow ferric oxide (Opadry, Colorcon Inc., West Point, PA, USA).



**Fig. 1.** Chemical structure of S-892216 drug substance.

### Animals

Animal care and experimental procedures were conducted in accordance with the 3R (Replacement/Reduction/Refinement) principle and approved by the Institutional Animal Care and Use Committee of Shionogi & Co., Ltd. Male Sprague–Dawley (CrI:CD(SD), Jackson Laboratory Japan Inc., Kanagawa, Japan) were used for experiments at 8 weeks of age. Male Marshall Beagle

dogs (Marshall BioResources Japan Inc., Ibaraki, Japan) were used for experiments at 1 year of age.

### *Preparation of samples*

#### *PEG 400 Solution*

First, 4.7 g of PVPVA and 1.8 g of ascorbic acid were added and dissolved in 160 g of PEG 400. Then, 25 mg of S-892216 anhydrous crystal was dissolved in 9618.8 mg of the PEG 400 solution. Finally, 5.0 g of drug-containing solution and 4.3 g of PG were mixed using a stirrer to obtain the oral solution.

#### *Amorphous Solid Dispersion Powder*

S-892216 drug substance and polymer were dissolved in acetone at 4 g/batch. After confirming that they were completely dissolved, the solid dispersion powder was prepared using a spray dryer (Spray Dryer B-290 Nihon BUCHI K.K., Tokyo, Japan). The conditions for production were an inlet temperature of 90 °C, liquid delivery pump at 20%.

#### *Anhydrous Crystal Capsule and Amorphous Solid Dispersion Uncoated Tablet*

The component and composition of samples are shown in Tables S1 and S2. S-892216 drug substance or amorphous solid dispersion, mannitol, microcrystalline cellulose, croscarmellose sodium, and magnesium stearate were mixed using a mortar and pestle. The mixture was sieved through a 20-mesh screen and, subsequently, compressed for dry granulation (slugging) using a single-station tablet press (Ichihashi Seiki Co., Ltd., Kyoto, Japan) with a flat-faced punch (diameter = 15 mm). The slug weight was adjusted to 500 mg and compressed at the pressure of 12 kN. The slugs were sized using a 20-mesh screen. Magnesium stearate was added to the milled granules. The mixture was lubricated by hand shaking in a glass vial. The final blend was filled into empty capsules by hand or compressed using a single-station tablet press with a round-shaped punch (diameter = 8 mm).

#### *Amorphous Solid Dispersion-Coated Tablet*

First, 7.5 kg of S-892216 drug substance and 22.5 kg of PVPVA were dissolved in 270 kg of acetone. After confirming that they were completely dissolved, the solid dispersion powder was prepared using a production-scale spray dryer. The conditions for production were an outlet temperature of 50 °C, feed rate of 80 kg/h, and spray pressure of 2.5 bar. Obtained solid dispersion powder was dried in a vacuum dryer for 37 h. The batch size of tablet manufacturing was 20 kg/batch. S-892216 amorphous solid dispersion, mannitol, microcrystalline cellulose, croscarmellose sodium and sodium stearyl fumarate were mixed using a blender for 15 min. The mixture was sieved through a screening mill with a 1.6 mm opening screen (Quadro Comil 197S, Powrex Corporation, Hyogo, Japan) and, subsequently, dry granulated using a roller compactor at the pressure of 3–7 MPa. Ribbons were sized using a 20-mesh screen. Sodium stearyl fumarate was added to the milled granules. The mixture was

lubricated for 3 min using a 60 L V-blender. The final blend was compressed using a rotary tablet press with an oval-shaped punch (long axis = 14.0 mm, short axis = 7.3 mm). Film coating was performed using a pan-coating machine. The conditions for production were an inlet temperature of 60 °C, feed rate of 40–80 g/min, and spray pressure of 0.4 MPa.

### *Pharmacokinetics of S-892216 in Rats and Dogs*

#### *Rat Pharmacokinetic Study*

PEG 400 solution of S-892216 anhydrous crystal was orally administered to rats ( $n=3$ ) at 0.3 mg/head (weight = approximately 300 g) under a fasted condition, and then 2 mL/kg of water for injection was orally administered. Blood samples were serially collected from the jugular vein up to 24 h after dosing and centrifuged to obtain plasma samples. S-892216 amorphous solid dispersion powder was suspended in 0.5% (w/v) methylcellulose 400 solution and then orally administered to rats ( $n=3$ ) at 1 mg/kg under a fasted condition. Blood samples were serially collected from the jugular vein up to 24 h after dosing and centrifuged to obtain plasma samples. The plasma concentration of S-892216 was determined using liquid chromatography with tandem mass spectrometry (LC/MS/MS). The MS systems were SCIEX Triple Quad 5500, 6500, and 6500+ (AB Sciex LLC, Framingham, MA, USA) and Xevo TQ-XS (Waters Corporation, Milford, MA, USA). After extraction with acetonitrile, samples were injected into a YMC-Triart C18 column (3  $\mu$ m, 2.1 mm i.d.  $\times$  50 mm, YMC Co., Ltd., Kyoto, Japan) and eluted from the column using a gradient program (representative), with mobile phase A consisting of 0.1% (v/v) formic acid in water and mobile phase B consisting of acetonitrile, as summarized in Table S3. The flow rate was 0.75 mL/min. For detection using electrospray ionization in the positive ion mode, the multiple reaction monitoring precursor/product ion transition was  $m/z$  522/368.

#### *Dog Pharmacokinetic Study*

S-892216 anhydrous crystal capsules or solid dispersion tablets were orally administered to dogs ( $n=3$ ) at 3 mg/kg under the non-fasted condition. After administration, 25 mL of water for injection was orally administered. Blood samples were serially collected from the forelimb vein up to 48 h after dosing and centrifuged to obtain plasma samples. The plasma concentration of S-892216 was determined using LC/MS/MS (SCIEX Triple Quad 6500 and SCIEX Triple Quad 6500+). After extraction with acetonitrile, samples were injected into a YMC-Triart C18 column (3  $\mu$ m, 2.1  $\times$  50 mm) and eluted from the column using a gradient program (representative), with mobile phase A consisting of 0.1% formic acid in water and mobile phase B consisting of acetonitrile, as summarized in Tables S4 and S5. The flow rate was 0.75 mL/min. The multiple reaction monitoring precursor/product ion transition was  $m/z$  522/368.

### *Pharmacokinetic Analysis*

The maximum plasma concentration ( $C_{\max}$ ), time to maximum plasma concentration ( $T_{\max}$ ), and area under the plasma concentration–time curve (AUC) were calculated by non-compartmental analysis. In addition, BA after an oral administration was calculated using equation (1):

$$\text{BA}\% = (\text{AUC}_{\text{po}}/\text{Dose}_{\text{po}}) / (\text{AUC}_{\text{iv}}/\text{Dose}_{\text{iv}}) \times 100 \quad (1)$$

where subscripts iv and po denote intravenous and oral administration, respectively. Plasma concentration profiles of S-892216 in dogs and rats after a single intravenous administration of S-892216 anhydrous crystal at 0.1 mg/kg under the non-fasted condition are shown in Tables S6 and S7 (dogs) and Fig. S2 (rats) and S3 (dogs).

### *Evaluation of Degradation Products Level*

S-892216 solid dispersion tablet was transferred to a volumetric flask, and acetonitrile/water (1:1) was added. The solution was sonicated for 15 min. The solution volume was adjusted to 50 mL by adding acetonitrile/water (1:1), and the solution was filtered through a 0.45  $\mu\text{m}$  membrane filter. The absorbance of the solution at the wavelength of 247 nm was measured using a UV–HPLC system (ACQUITY UPLC H-Class, Waters Corporation, Milford, MA, USA) equipped with a reverse-phase ODS column (ACQUITY UPLC BEH C18, 1.7  $\mu\text{m}$ , 2.1  $\times$  100 mm, Waters Corporation). The column temperature was maintained at 40  $^{\circ}\text{C}$ . The mobile phase consisted of 0.1% formic acid and acetonitrile. Separation was achieved in 39.5 min using the gradient program summarized in Table S8. The flow rate was 0.3 mL/min throughout the run. The injection volume was 4  $\mu\text{L}$ . The degradation product level was calculated as a percentage (%) of the total area of all peaks in the chromatogram, which was set to 100%.

### *Dissolution Testing*

Dissolution testing was performed using a dissolution apparatus at 50 rpm and 37  $^{\circ}\text{C}$ , following the paddle method. The dissolution medium consisted of a mixture of phosphate buffer solution (pH 6.8) and water (1:1). First, 10 mL of the sample was collected at predefined time points and filtered through a 0.45  $\mu\text{m}$  filter. Then, the absorbance of the filtrate at the wavelength of 299 nm was measured using a UV–HPLC system (ACQUITY UPLC H-Class, Waters Corporation) equipped with a reverse-phase ODS column (X Bridge C18, 3.5  $\mu\text{m}$ , 4.6 mm  $\times$  150 mm, Waters Corporation). The column temperature was maintained at 40  $^{\circ}\text{C}$ , and the mobile phase (0.1% formic acid/acetonitrile, 53/47, v/v) was delivered at the flow rate of 1.0 mL/min. The concentration of the sample solution was determined by comparing the area of the S-892216 peak in the sample solution to that of a standard solution with a known concentration.

## Results and Discussion

### *S-892216 Anhydrous Crystal*

S-892216 anhydrous crystal exhibited extremely low water solubility, ranging from 0.61 to 1.08 µg/mL, under all pH conditions (Table S9). These values are below the solubility threshold of 10 µg/mL, which is the absorption criterion for a drug orally administered at the dose of 1 mg/kg, as stated by Lipinski et al.,<sup>17,18</sup> potentially hindering absorption. The BA of S-892216 anhydrous crystal capsules orally administered to dogs was 22.2% (Fig. S4 and Table S10). This value is also below the target BA of 70% or more, which is defined as high absorption.<sup>19</sup> Low BA can present serious problems, such as increased dose requirement, absorption variability, and unexpected dose–response relationships. Therefore, we decided to pursue formulation approaches to enhance drug solubility and oral absorption.

### *Formulation Development for Human ADME Studies*

First-in-human studies represent the first step in clinical development.<sup>20</sup> They typically include ADME studies, such as single ascending dose (SAD) studies. SAD studies often require formulations that can be administered at both low and high doses to establish plasma drug concentration correlations. Simplified formulations that allow for dosing flexibility and require less time to develop are often used instead of the to-be-marketed formulation. Dosage forms such as oral solutions, powders (including powders for oral suspensions), and capsules are preferred owing to their dosing flexibility.<sup>21</sup> To develop a formulation suitable for human ADME studies, we evaluated the effectiveness of known solubility enhancement approaches, namely developing oral solutions and amorphous solid dispersions of S-892216.

### *Oral Solution and Solid Dispersion Powder Development*

Developing oral solutions is a popular solubility enhancement approach. In the development of oral solutions, determining the solubility of the drug in various solvents is an important stage.<sup>22</sup> As a result of screening various solvents, we found that PEG 400 exhibited the highest solubility at 15–20 mg/mL (Table S11). Therefore, PEG 400 oral solution of S-892216 was proposed for ADME human studies. Oral solutions are a convenient dosage form for human ADME studies owing to advantages such as (1) the possibility of low dose administration because there are no concerns about content uniformity and (2) the flexibility of dose adjustment based on the amount of liquid. The component and composition of the developed oral solution are shown in Table 1. We added ascorbic acid as an antioxidant to prevent the degradation of S-892216 in PEG 400. Details of antioxidant selection are provided in Tables S12 and S13. PG was added to adjust the viscosity.

Developing amorphous solid dispersions is another popular approach to enhance solubility, supported by over 20 commercially available products based on solid dispersions.<sup>23</sup> Solid dispersions are



commonly manufactured by spray drying and hot melt extrusion (HME). HME becomes challenging when the melting point of the drug exceeds 220 °C.<sup>24</sup> Because the melting point of S-892216 anhydrous crystal is 243 °C, employing HME likely presents high risks. However, we found that, at the concentration of 10% w/w, S-892216 drug substance dissolved in acetone, a commonly used solvent for spray drying. Therefore, we chose spray drying to manufacture solid dispersions. After selecting the polymer candidate and drug content (Table S14 and Fig. S5), we prepared solid dispersion powders with various polymers, including PVPVA, using a spray dryer and confirmed that solid dispersion powders remained amorphous throughout storage (Fig. S6). The component and composition of the developed solid dispersion suspension is shown in Table 1.

**Table 1.** Component and composition of S-892216 oral solution and solid dispersion suspension.

Oral solution		Solid dispersion suspension	
Component	Composition	Component	Composition
S-892216 drug substance	0.3 mg	PVPVA solid dispersion powder (S-892216 drug substance)	100% (25%)
PVPVA	3.3 mg	(PVPVA)	(75%)
Ascorbic acid	1.3 mg		
PEG 400	110.7 mg	0.5% methyl cellulose solution	quantum satis
PG	100.5 mg		
Total	216.1 mg		

#### *Rat Pharmacokinetic Study*

PEG 400 oral solution and PVPVA solid dispersion suspension were orally administered to rats under fasted conditions at doses of 0.3 mg/head or 1 mg/kg, respectively. The results of the rat pharmacokinetic study are shown in Table 2 and Fig. S7. Both the oral solution and solid dispersion suspension was efficient, with BA approaching 100%. Thus, both the oral solution and solid dispersion suspension are solubility enhanced formulations that can be used for human ADME studies.

**Table 2.** Pharmacokinetic parameters of S-892216 in rats after a single oral administration of PEG 400 solution (0.3 mg/head) or solid dispersion suspension (1 mg/kg).

Formulation	Dose	Feeding	T <sub>max</sub>	C <sub>max</sub>	AUC <sub>inf</sub>	BA
			(hr)	(ng/mL)	(ng·hr/mL)	(%)
PEG 400 solution	0.3 mg/head	Fasted	0.833±0.289	186±12	1972±157	101±8
PVPVA solid dispersion suspension	1 mg/kg	Fasted	0.83±0.29	182±13	1970±480	110±27

Here, we discuss the selection criteria for the two formulations. Solid dispersions can be developed as typical dosage forms of tablets or capsules, which can be proposed as to-be-marketed oral formulations. The developed solid dispersion powder contains the drug at the concentration of 25% w/w, and thus the final concentration of the drug in tablets or capsules is approximately 10% w/w. Although we can prepare soft gel capsules using the developed oral solution, their drug concentration is just 0.13% w/w owing to the low solubility of the drug, which makes these capsules bigger than the solid dispersion formulations. The oral solution has other applications. For example, in human mass balance studies commonly performed during drug development, radioactively labeled drug substances are used to evaluate human ADME.<sup>25</sup> However, creating a solid dispersion using labeled drug substances might be challenging owing to facility limitations. In this scenario, the oral solution may be helpful to overcome this limitation. Additionally, because the oral solution does not pose any risk in terms of content uniformity, it can also be employed in micro-dosing studies.<sup>26</sup> In summary, we believe that developing multiple formulations that enhance absorption will increase the flexibility of ADME studies. This would facilitate rapid and efficient development of S-892216.

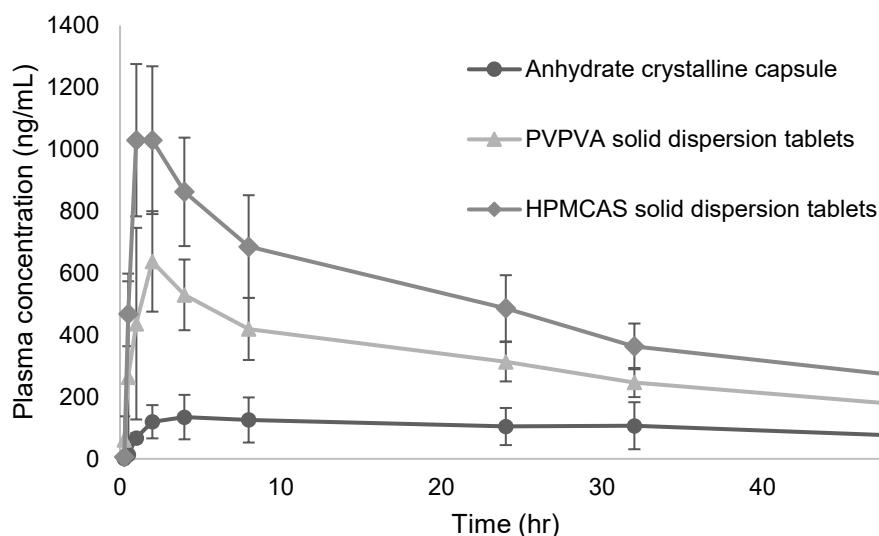
#### *Development of To-be-marketed Formulation*

As of December 2024, the dosage forms of medicines for COVID-19 treatment are tablets and capsules.<sup>27,28,29</sup> These unit-dose solid dosage forms offer several advantages, including ease of storage, portability, ease of administration, and accuracy in dosing.<sup>30</sup> Limenh et al. found that participants in a clinical study preferred tablets over capsules (42.4% vs. 19.9%).<sup>31</sup> Therefore, we selected tablets as the to-be-marketed formulation of S-892216. On the basis of previous results, we employed spray drying to enhance drug solubility and dry granulation to prepare the to-be-marketed formulation. Dry granulation is a common process in the manufacture of solid dispersion formulations.<sup>32</sup>

#### *Polymer Selection for Solid Dispersion Tablet Development*

We prepared uncoated tablets using solid dispersion powders with PVPVA and HPMCAS (Table S2). Both polymers have a proven track record of use in commercial products.<sup>7</sup> We evaluated both polymers from the perspective of absorption and tablet stability. The dog pharmacokinetic study was conducted using solid dispersion tablets at the dose of 3 mg/kg. The results of the dog pharmacokinetic study are shown in Table 3 and Fig. 2. According to the AUC, the absorption of solid dispersion tablets was approximately 4 to 6 times greater than that of anhydrous crystal capsules. Moreover, the absorption of HPMCAS solid dispersion tablets was higher than that of PVPVA tablets. This finding aligns with the results of dissolution testing (Fig. S8), suggesting that the solubility of the HPMCAS solid dispersion is higher than that of the PVPVA solid dispersion, potentially leading to enhanced absorption.

Next, we conducted a short-term stability study using solid dispersion tablets. After two weeks of storage at 60 °C, the degradation product level of PVPVA solid dispersion tablets (0.11%) was lower than that of HPMCAS solid dispersion tablets (0.44%). This difference likely arises from the potential incompatibility between the drug and polymer. On the basis of these results, we selected PVPVA solid dispersion tablets for further development because of its enhanced absorption and high stability.



**Fig. 2.** Plasma concentration profiles of S-892216 in dogs after a single oral administration of S-892216 solid dispersion tablets (3 mg/kg) under the non-fasted condition. Each symbol represents the mean  $\pm$  SD of 3 dogs.

**Table 3.** Pharmacokinetic parameters of S-892216 in dogs after a single oral administration of S-892216 solid dispersion tablets (3 mg/kg) under the non-fasted condition.

Formulation	$C_{max}$ (ng/mL)	$T_{max}$ (hr)	$AUC_{inf}$ (ng·hr/mL)	BA (%)
Anhydrous crystal capsules	152 $\pm$ 71	12.7 $\pm$ 16.8	6500 $\pm$ 4120	22.2 $\pm$ 14.1
HPMCAS solid dispersion tablets	1040 $\pm$ 241	1.67 $\pm$ 0.58	36200 $\pm$ 5300	123.6 $\pm$ 18.0
PVPVA solid dispersion tablets	697 $\pm$ 177	1.67 $\pm$ 0.58	23200 $\pm$ 3300	79.2 $\pm$ 11.1

Data represent the mean  $\pm$  SD of 3 dogs. In 1 of the 3 dogs, an appropriate elimination phase could not be achieved owing to slow absorption ( $T_{max} = 32$  h), and therefore the AUC up to 48 h after dosing was used in this case.

**Table 4.** Degradation of solid dispersion tablets stored in a sealed glass vial at 60 °C for 2 weeks.

Storage period	Amount of degradation product (individual max)	
	1 week	2 week
PVPVA solid dispersion tablet	0.08%	0.11%
HPMCAS solid dispersion tablet	0.32%	0.44%

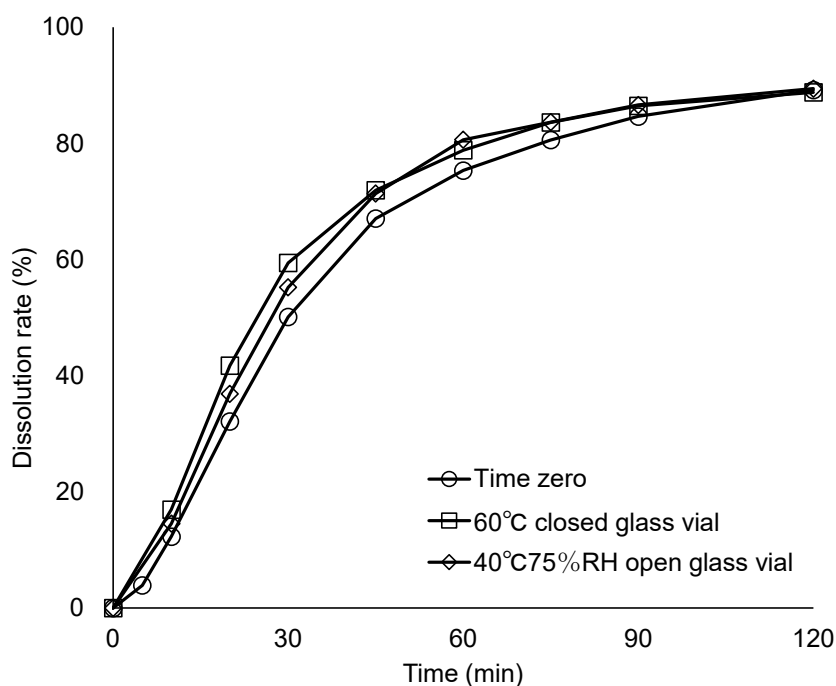
#### *Stability Study of Developed Solid Dispersion Tablets*

The stability of developed PVPVA solid dispersion tablets was evaluated (Table 5). The selection of film coating is discussed in the supplemental materials (Table S15 and S16). The results of dissolution testing showed dissolution equivalent to supersaturation under stress conditions for two weeks (Fig. 3), confirming that the amorphous form was stabilized through the employed solid dispersion technology. The results of degradation products were also acceptable (Table S17). On the basis of the above findings, we adopted this formulation for late-stage clinical studies and to-be-marketed product manufacturing.

In the development of poorly water-soluble compounds, it is necessary to consider whether solubility enhancement technologies can be applied, as well as factors such as dosage form selection and stability. In this study, we found that both the oral solution and solid dispersion are applicable to enhance drug solubility for human ADME studies and that the solid dispersion is better than the oral solution for preparing the to-be-marketed formulation. We hope that the findings of this study will advance the development of S-892216 and contribute to improving the quality of life for patients suffering from COVID-19 around the world.

**Table 5.** Solid dispersion-coated tablets for stability testing.

Component	Composition (mg)
S-892216 PAPVA Solid dispersion (as S-892216)	160.0 (40.0)
Mannitol	148.8
Microcrystalline cellulose	37.2
Croscarmellose sodium	40.0
Colloidal silicon dioxide	2.0
Sodium stearyl fumarate	12.0
Sub-total	400.0
Coating agent	16.0
(Hypromellose)	(70.330% w/w)
(Talc)	(28.966% w/w)
(Red Ferric Oxide)	(0.352% w/w)
(Yellow Ferric Oxide)	(0.352% w/w)
Total	416.0



**Fig. 3.** Dissolution of solid dispersion tablets in the stability testing for two weeks.

### Conclusion

We succeeded in developing formulations of poorly water-soluble drug S-892216 by applying solubility enhancement technologies. Oral solution and solid dispersion suspension were developed as formulations for human ADME studies, and their efficient absorption was confirmed through a rat pharmacokinetic study. In developing solid dispersion-coated tablets as the to-be-marketed formulation, PVPVA was selected as a suitable polymer from the results of both the dog pharmacokinetic study and stability testing. Our findings indicate that the to-be-marketed formulation will facilitate rapid and efficient evaluation of S-892216 through human ADME studies as well as large-scale clinical studies.

### Acknowledgments

We would like to thank all S-892216 project team members at Shionogi & Co., Ltd. and members who contributed to the S-892216 project at Shionogi TechnoAdvance Research Co., Ltd. We thank Edanz (<https://jp.edanz.com/ac>) for editing a draft of this manuscript, which was funded by Shionogi & Co., Ltd.

### Declaration of competing interest (Conflict of Interest)

All authors are employees of Shionogi & Co., Ltd.

## References

1. Nyamba I, Sombié CB, Yabré M, Zimé-Diawara H, Yaméogo J, Ouédraogo S, Lechanteur A, Semdé R, Evrard B. Pharmaceutical approaches for enhancing solubility and oral bioavailability of poorly soluble drugs. *Eur J Pharm Biopharm* 2024; 204:114513.
2. Morissette SL, Almarsson O, Peterson ML, Remenar JF, Read MJ, Lemmo AV, Ellis S, Cima MJ, Gardner CR. High-throughput crystallization: polymorphs, salts, co-crystals and solvates of pharmaceutical solids. *Adv Drug Deliv Rev* 2004; 56(3):275-300.
3. Blagden N, de Matas M, Gavan PT, York P. Crystal engineering of active pharmaceutical ingredients to improve solubility and dissolution rates. *Adv Drug Deliv Rev* 2007; 59(7):617-30.
4. Miyano T, Ando S, Nagamatsu D, Watanabe Y, Sawada D, Ueda H. Cocrystallization Enables Ensitrelvir to Overcome Anomalous Low Solubility Caused by Strong Intermolecular Interactions between Triazine-Triazole Groups in Stable Crystal Form. *Mol Pharm* 2024; 21(12):6473-6483.
5. Zhi Hui Loh, Asim Kumar Samanta, Paul Wan Sia Heng. Overview of milling techniques for improving the solubility of poorly water-soluble drugs. *Asian J of Pharm Sci* 2015;10(4):255-274.
6. Leane M, Pitt K, Reynolds G. Manufacturing Classification System (MCS) Working Group. A proposal for a drug product Manufacturing Classification System (MCS) for oral solid dosage forms. *Pharm Dev Technol* 2015; 20(1):12-21.
7. Jermain SV, Brough C, Williams RO 3rd. Amorphous solid dispersions and nanocrystal technologies for poorly water-soluble drug delivery - An update. *Int J Pharm* 2018; 15;535(1-2):379-392.
8. Pouton CW. Formulation of poorly water-soluble drugs for oral administration: physicochemical and physiological issues and the lipid formulation classification system. *Eur J Pharm Sci.* 2006 Nov;29(3-4):278-87. doi: 10.1016/j.ejps.2006.04.016. Epub 2006 May 16. PMID: 16815001.
9. Holm R, Kuentz M, Ilie-Spiridon AR, Griffin BT. Lipid based formulations as supersaturating oral delivery systems: From current to future industrial applications. *Eur J Pharm Sci.* 2023; 189:106556.
10. Young GC, Spracklin DK, James AD, Hvenegaard MG, Scarfe G, Wagner DS, Georgi K, Schieferstein H, Bjornsdottir I, van Groen B, Romeo AA, Cassidy KC, Da-Violante G, Bister B, Blech S, Lyer R, Schulz SI, Cuyckens F, Moliner P. Considerations for Human ADME Strategy and Design Paradigm Shift(s) - An Industry White Paper. *Clin Pharmacol Ther* 2023; 113(4):775-781.
11. Huang Jim. Formulation development strategy for early phase human studies. *Drug Development and Delivery* 2020; 20(6):18-19.
12. Shamblin SL, Hancock BC, Pikal MJ. Coupling between chemical reactivity and structural relaxation in pharmaceutical glasses. *Pharm Res.* 2006; 23(10):2254-68.

13. Arioglu-Tuncil S, Voelker AL, Taylor LS, Mauer LJ. Amorphization of Thiamine Chloride Hydrochloride: Effects of Physical State and Polymer Type on the Chemical Stability of Thiamine in Solid Dispersions. *Int J Mol Sci* 2020; 21(16):5935.
14. Bhosle M, Benner JS, Dekoven M, Shelton J. Difficult to swallow: patient preferences for alternative valproate pharmaceutical formulations. *Patient Prefer Adherence* 2009; 3:161-71.
15. Hummler H, Page S, Stillhart C, Meilicke L, Grimm M, Mannaa M, Gollasch M, Weitschies W. Influence of Solid Oral Dosage Form Characteristics on Swallowability, Visual Perception, and Handling in Older Adults. *Pharmaceutic*. 2023; 15(4):1315.
16. Unoh Y, Uehara S, Kawashima S, Nobori H, Hirai K, Nakahara K, Sato J, Shibayama H, Hori A, Kurahashi K, Takamatsu M, Yamamoto S, Zhang Q, Tanimura M, Dodo R, Maruyama Y, Sawa H, Watari R, Miyano T, Kato T, Sato T, Tachibana Y, to be submitted in 2025.
17. Lipinski CA, Lombardo F, Dominy BW, Feeney PJ. Experimental and computational approaches to estimate solubility and permeability in drug discovery and development settings. *Adv Drug Deliv Rev* 2001; 46(1-3):3-26.
18. Huang LF, Tong WQ. Impact of solid state properties on developability assessment of drug candidates. *Adv Drug Deliv Rev* 2004; 56(3):321-34.
19. Nomeir AA, Morrison R, Prelusky D, Korfmacher W, Broske L, Hesk D, McNamara P, Mei H. Estimation of the extent of oral absorption in animals from oral and intravenous pharmacokinetic data in drug discovery. *J Pharm Sci* 2009; 98(11):4027-38.
20. Shen J, Swift B, Mamelok R, Pine S, Sinclair J, Attar M. Design and Conduct Considerations for First-in-Human Trials. *Clin Transl Sci* 2019 ;12(1):6-19.
21. European Pharmaceutical Manufacturer. The drug formulation steps to take during first-in-human (FIH) trials (2018). <https://pharmaceuticalmanufacturer.media/pharmaceutical-industry-insights/how-to-succeed-in/>. Accessed December 5, 2024.
22. Strickley RG. Solubilizing excipients in oral and injectable formulations. *Pharm Res*. 2004; 21(2):201-30.
23. Pandi P, Bulusu R, Kommineni N, Khan W, Singh M. Amorphous solid dispersions: An update for preparation, characterization, mechanism on bioavailability, stability, regulatory considerations and marketed products. *Int J Pharm*. 2020; 586:119560.
24. Lu J, Obara S, Liu F, Fu W, Zhang W, Kikuchi S. Melt Extrusion for a High Melting Point Compound with Improved Solubility and Sustained Release. *AAPS PharmSciTech*. 2018; 19(1):358-370.
25. Penner N, Xu L, Prakash C. Radiolabeled absorption, distribution, metabolism, and excretion studies in drug development: why, when, and how? *Chem Res Toxicol*. 2012 Mar; 25(3):513-31.
26. Lappin G, Kuhnz W, Jochemsen R, Kneer J, Chaudhary A, Oosterhuis B, Drijfhout WJ, Rowland M, Garner RC. Use of microdosing to predict pharmacokinetics at the therapeutic

- dose: experience with 5 drugs. *Clin Pharmacol Ther.* 2006; 80(3):203-15.
27. DailyMed database. PAXLOVID- nirmatrelvir and ritonavir kit.  
<https://dailymed.nlm.nih.gov/dailymed/lookup.cfm?setid=8a99d6d6-fd9e-45bb-b1bf-48c7f761232a>. Accessed December 9, 2024.
  28. DailyMed - LAGEVRIO- Molnupiravir capsule.  
<https://dailymed.nlm.nih.gov/dailymed/drugInfo.cfm?setid=05727725-6bd1-48de-a65a-662882b07a2d>. Accessed December 9, 2024.
  29. Syed YY. Ensitrelvir Fumaric Acid: First Approval. *Drugs.* 2024; 84(6):721-728.
  30. Food and Drug Administration. Guidance for Industry, Size, Shape, and Other Physical Attributes of Generic Tablets and Capsules. <https://www.fda.gov/media/161902/download>. Accessed December 9, 2024.
  31. Limenh LW, Tessema TA, Simegn W, Ayenew W, Bayleyegn ZW, Sendekie AK, Chanie GS, Fenta ET, Beyna AT, Kasahun AE. Patients' Preference for Pharmaceutical Dosage Forms: Does It Affect Medication Adherence? A Cross-Sectional Study in Community Pharmacies. *Patient Prefer Adherence.* 2024; 18:753-766.
  32. Singh A, Van den Mooter G. Spray drying formulation of amorphous solid dispersions. *Adv Drug Deliv Rev.* 2016; 100:27-50.