

# 1 Pose Ensemble Graph Neural Networks to Improve Docking Performances

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11 **Abstract.** The prediction of the geometry and strength governing small molecule-protein  
12 interactions remains a paramount challenge in drug discovery due to their complex and  
13 dynamic nature. A number of machine learning (ML) methods have been proposed to  
14 complement and improve on physics-based tools such as molecular docking, usually by  
15 mapping three dimensional features of individual poses to their closeness to experimental  
16 structures and/or to binding affinities. Here, we introduce Dockbox2 (DBX2), a novel  
17 approach that encodes ensembles of computational poses within a graph neural network  
18 architecture via simple energy-based features derived from molecular docking. The model  
19 was jointly trained to predict binding pose likelihood as a node-level task and binding  
20 affinity as a graph-level task using the PDBbind dataset and demonstrated significant  
21 performance in comprehensive, retrospective docking and virtual screening experiments.  
22 Our results encourage further exploration of ML models based on conformational  
23 ensembles to provide more accurate estimates of small molecule-protein interactions and  
24 thermodynamics. The DBX2 code is available at <https://github.com/jp43/DockBox2>.

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## 29 Introduction

30 Drugs exert their therapeutic effects by binding to specific biomolecular targets, typically  
31 proteins, and modulating their function, thereby inhibiting or restoring processes relevant  
32 for the treatment of various diseases. The initial step in the drug discovery pipeline  
33 involves identifying molecules binding to a target of interest with high affinity and  
34 specificity [1], making accurate prediction of both crucial for drug development [2]. Binding  
35 affinity, which reflects the strength of the interaction between a drug and its protein target,  
36 is commonly expressed in terms of dissociation constant ( $K_d$ ), measurable via a plethora  
37 of experimental techniques [3]. However, these techniques are usually time-consuming  
38 and resource intensive [4], [5], especially at high throughput rates required to explore vast  
39 chemical spaces [6]. Consequently, *in-silico* screening methods have gained significant  
40 momentum, especially in the recent years [7].

41 Although accurate computational estimation of ligand-protein affinity and interactions is  
42 crucial, significant challenges arise due to the dynamic nature of these complexes.  
43 Molecular dynamics (MD) simulations provide valuable insights into the nature of these  
44 interactions, *e.g.*, by considering an ensemble of bound conformations to generate  
45 thermodynamically accurate estimates of various energy contributions [8]. This is usually  
46 done by calculating the statistical properties of systems in thermodynamic equilibrium and  
47 estimating the time spent in the various microstates. Therefore, MD has the potential to  
48 connect the chemical world to physical observables, aiding in the determination of state  
49 variables (free energy, enthalpy, entropy, ...), kinetics, and the exploration of biomolecular  
50 mechanisms driven by rare events [9]. Numerous studies have illuminated the remarkable  
51 performance of MD simulations in predicting experimental outcomes, showcasing their  
52 transformative potential to accelerate and economize the drug discovery process. For  
53 instance, the ligand gaussian accelerated MD (LGMD) method, an enhanced sampling  
54 technique pioneered by Miao et al. [10], was employed to forecast the binding affinity of  
55 nirmatrelvir with the coronavirus 3C-like protease, yielding predictions in striking  
56 concordance with experimental observations [11], [12]. Likewise, Wolf et al. [13]  
57 harnessed the power of Langevin simulations an extended MD approach that delves into  
58 the intricate low-frequency motions governing large conformational shifts [14], to estimate

59 the binding affinity of the benzamidine-trypsin complex, achieving results that closely  
60 mirrored experimental findings. However, standard and biased MD methods require  
61 significant computational power that render these techniques unsuited for high-  
62 throughput screening purposes. Consequently, faster and less accurate methods such as  
63 molecular docking and machine learning (ML) approaches have been proposed as  
64 alternatives.

65 Molecular docking methods generate bound conformations of a ligand within a rigid  
66 binding pocket and then rank the poses using a scoring function to estimate the binding  
67 affinity [15]. Despite its simplicity, docking has shown great potential to identify active  
68 molecules from vast backgrounds of inactive compounds [17], [18], with its impact  
69 extending across numerous therapeutic areas. A notable example is the work of Manglik  
70 et al., in which docking was used to screen over 3 million molecules against the  $\mu$ -opioid  
71 receptor ( $\mu$ OR), leading to the discovery of PZM21, a G protein-biased  $\mu$ OR agonist [19].  
72 This compound not only demonstrated remarkable analgesic efficacy but also lacked the  
73 severe side effects associated with traditional opioids, marking a significant milestone in  
74 pain management. Beyond its therapeutic promise, PZM21 exemplifies a new class of  
75  $\mu$ OR agonists with enhanced specificity [20]. Zernov et al., for instance, discovered an  
76 anti-Alzheimer's compound targeting the transient receptor potential cation channel 6,  
77 with *in-vitro* studies confirming its efficacy, stability, and target specificity without adverse  
78 effects [21]. Docking has also been key in identifying treatments for infectious diseases.  
79 Agnihotri et al. identified potent inhibitors of  $\gamma$ -glutamylcysteine synthase for treating  
80 leishmaniasis, with four out of five candidates showing strong specificity and low toxicity  
81 in human cells [22]. Amid the global urgency of the COVID-19 pandemic, Wang et al.  
82 screened 2,467 compounds against the SARS-CoV-2 spike protein, yielding promising  
83 antiviral leads through docking [23]. Stein et al., for instance, employed docking to screen  
84 over 150 million molecules targeting melatonin receptor 1 (MT1) in the search for  
85 therapeutics addressing sleep disorders and depression. Despite numerous *in-vivo*  
86 studies aiming to identify selective MT1 ligands, few have demonstrated significant  
87 selectivity [24], [25]. Interestingly, docking identified a novel chemotype with selective  
88 MT1 agonist activity, later validated experimentally, underscoring the robustness of  
89 docking in discovering new chemical scaffolds for neurological disorders [26]. Additionally,

90 Fink et al. identified promising  $\alpha$ 2A-adrenergic receptor ( $\alpha$ 2AAR) agonists with fewer  
91 adverse effects compared to earlier treatments. Screening over 300 million compounds  
92 via docking, their findings were corroborated through experimental validation, confirming  
93 both the efficacy and favorable pharmacokinetics of these compounds [27]. These studies  
94 underscore the vital role of docking in advancing drug discovery.

95 While molecular docking continues to be a transformative tool in drug discovery, several  
96 limitations remain due to the approximative nature of scoring functions and the neglect of  
97 flexibility, among others [15], [28]. Machine learning (ML) methods, on the other hand,  
98 have been introduced in the last decade to tackle molecular docking challenges [15]. For  
99 example, Graph Neural Networks (GNNs) have been widely explored to characterize  
100 ligand-protein interactions [29]. Several GNN models have been used for ligand-protein  
101 affinity prediction, such as CurvAGN [30], PIGNet [31], GenScore [32] and SS-GNN [33],  
102 reporting strong correlations between predicted and experimental affinities [29], [34], [35].  
103 Additionally, GNNs have been applied in generative settings to replace physics-based  
104 sampling and generate and score potential ligand-protein poses, such as in DiffDock [36]  
105 and MedusaGraph [37]. Although these architectures have shown promising results, an  
106 increasing number of studies suggest that GNNs tend to memorize ligand and protein  
107 patterns instead of learning the true interactions between them [29], [35]. Moreover, single  
108 pose graphs are generally mapped to binding affinities, potentially missing the opportunity  
109 to capture the full thermodynamic profile and dynamics of ligand-protein interactions that  
110 depends on multiple conformations [29].

111 Recent efforts have been made to consider multiple conformations in training GNNs for  
112 binding affinity predictions, such as Dynaformer [38]. However, this method utilizes a data  
113 augmentation strategy that still relies on individual graphs for each binding conformation,  
114 derived from costly MD simulations, to predict affinities. In this work, we introduce  
115 DockBox2 (DBX2), a GNNs framework that enables to encode multiple ligand-protein  
116 conformations derived from docking within single graphs to leverage ensemble  
117 representations, for predicting simultaneously near-to-native binding poses and binding  
118 affinities. In a series of retrospective experiments, DBX2 demonstrated significant  
119 improved performances both for docking and virtual screening (VS) tasks compared with

120 physics-based and ML methods, warranting further investigation of ensemble-based ML  
121 models in computer-aided drug discovery.

122

## 123 **Material and Methods**

### 124 ***Datasets***

125 The DBX2 model was trained and evaluated using the PDBbind database [39]. The  
126 refined set of PDBbind version 2016 (4,057 complexes) [40] was used to train the model.  
127 The hold-out test set from Volkov et al [35], which consists of 3,393 complexes, were  
128 used as test sets. A subset of the LIT-PCBA database [41] was used to perform  
129 retrospective VS experiments.

### 130 ***Protein and ligand preparation***

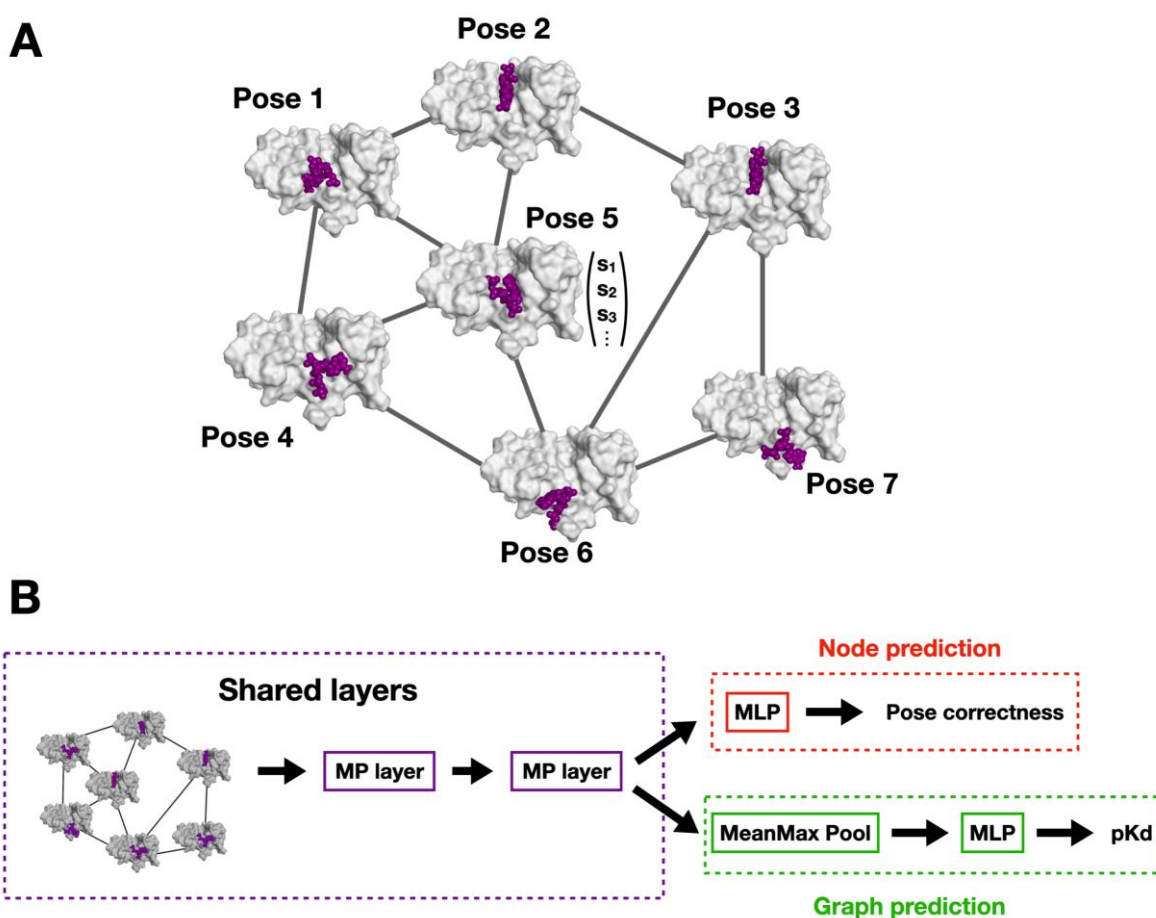
131 Complexes from PDBbind were prepared following the same procedure of our previous  
132 work [42]. For retrospective VS, dominant protonation and tautomerization state was  
133 computed from the small molecule SMILES using Openeye 's QUACPAC [43]. Resulting  
134 SMILES strings were then converted into low-energy 3D conformations (mol2 format)  
135 using Openeye 's OMEGA tool [43]. The target proteins were prepared as follows:  
136 redundant protein chains, along with non-essential ions, waters, and heteroatoms, were  
137 removed. The resulting protein structures were prepared using the Molecular Operating  
138 Environment (MOE) QuickPrep tool [44], by automatically adding missing loops in the  
139 structure and assigning the proper conformation to the residues with alternate orientation.  
140 Subsequently, protonation states were generated and optimized using the Protonate 3D  
141 tool from MOE (at pH 7.4). Finally, the structures were energy-minimized using the  
142 AMBER10:EHT forcefield implemented in MOE , and saved in pdb format.

### 143 ***Molecular docking and rescoring***

144 The first Dockbox package (DBX) [42] was utilized to generate binding poses with  
145 AutoDock [45], AutoDock Vina [46] and DOCK 6 (DOCK) [47], and rescore with their  
146 scoring function in addition to Gnina [48] and DSX [49]. The DBX configuration file used  
147 for generating binding pose from PDBbind v2016 and the test sets is illustrated in **Figure**

148 **S1**; a maximum of 140 binding poses were generated for each system, 60 from AutoDock,  
149 20 from Vina, and 60 from DOCK. For AutoDock, grid spacing was set to 0.3 Å, and the  
150 Lamarckian genetic algorithm [50] was employed to generate poses. For Vina, the energy  
151 range for final poses was set to 3 kcal/mol. In DOCK, a grid-based scoring method was  
152 applied with a spacing of 0.3 Å. Docking with any of the above programs was followed by  
153 energy minimization, starting with 500 steps of the steepest descent method followed by  
154 1,000 steps combining steepest descent and conjugate gradient methods. Energy  
155 minimization was performed using AmberTools 17 [51] to prevent structural clashes and  
156 ensure appropriate rescoring with different programs. Rescoring was then conducted with  
157 AutoDock, Vina, DOCK, and DSX scoring functions.

### 158 **Dockbox2 architecture**



160 **Figure 1: Architecture of DBX2.** (A) Binding poses are represented as nodes. Two pose  
161 nodes are connected by an edge based on the root mean square deviation (RMSD)

162 *between them. Docking-derived energies and categorical features of each binding pose,*  
163 *here referred as  $s_1, s_2, s_3 \dots$ , are used as node features. (B) DBX2 model showing the*  
164 *different layers involved. Pose correctness and  $pKd$  are jointly learned as node- and*  
165 *graph-level tasks, respectively.*

166

167 DBX2 architecture is based on the GraphSAGE model [52] as shown in **Figure 1**. The  
168 ensemble of poses generated by docking a given ligand-protein pair is used to construct  
169 a graph (**Figure 1A**), with each node encoding an individual binding pose represented by  
170 categorical and energetic features, listed in **Table S1**. Two nodes are connected by an  
171 edge if the root mean square deviation (RMSD) between the two poses is below a  
172 predefined threshold (usually 5Å or more) while the RMSD value is kept as edge feature.  
173 Graphs may be generated using the *create\_graphs* script available in the DBX2 package.  
174 In the shared layers, the DBX2 model uses message passing (MP) [53], *i.e.*, for each  
175 node  $i$ , information from its neighbors  $j \in \mathcal{N}(i)$  is gathered and aggregated using the  
176 symmetric mean (symmean) aggregation (capturing averaged features of node's  
177 neighborhood):

$$178 \quad \mathbf{m}_{\mathcal{N}(i)}^{(k-1)} = \text{SYMMEAN}\{\mathbf{s}_j^{(k-1)} \oplus \text{RMSD}_{ij}, \forall j \in \mathcal{N}(i)\}, \quad (1)$$

179 where  $\mathbf{m}_{\mathcal{N}(i)}^{(k-1)}$  is the aggregated message for node  $i$  from its neighbors,  $\mathbf{s}_j^{(k-1)}$  is the feature  
180 vector of neighbor node  $j$ ,  $\text{RMSD}_{ij}$  is the RMSD between node  $i$  and  $j$ . The feature vector  
181 is concatenated with the RMSD between nodes  $i$  and  $j$ . The aggregation function then  
182 combines these concatenated vectors to produce a single aggregation message vector.  
183 The node feature vector is then updated:

$$184 \quad \mathbf{s}_i^{(k)} = \sigma \left( \mathbf{W}_{self}^{(k)} \mathbf{s}_i^{(k-1)} \oplus \mathbf{W}_{neigh}^{(k)} \mathbf{m}_{\mathcal{N}(i)}^{(k-1)} \right), \quad (2)$$

185 where  $\mathbf{s}_i^{(k-1)}$  is the feature vector of node  $i$  at layer  $k$ .  $\mathbf{s}_i^{(k-1)}$  is the feature vector of node  $i$   
186 from the previous layer  $k-1$ .  $\mathbf{W}_{self}^{(k)}$  and  $\mathbf{W}_{neigh}^{(k)}$  are learnable weight matrices that apply  
187 to the feature vector of the current node and to the aggregated message vector from  
188 neighbor nodes, respectively.  $\mathbf{m}_{\mathcal{N}(i)}^{(k-1)}$  is the aggregated message from the neighbors  $\mathcal{N}(i)$

189 of node  $i$ . The MP layers are followed by multilayer perceptron (MLP) layers to predict  
190 pose correctness (node-level task) and the  $pK_d/pK_i$  (graph-level task) as illustrated in **Fig.**  
191 **1B**. For node-level predictions, aggregated information from the MP layers is passed to  
192 an MLP with Rectified Linear Unit (ReLU) and sigmoid activation function for hidden layers  
193 and final layer of MLP, respectively. For graph-level predictions, aggregated information  
194 is passed to a readout layer corresponding to a MeanMax pooling and then passed to a  
195 two-layers MLP, with ReLU activation function for the hidden layer and linear activation  
196 function for the output layer. This allows MLP to leverage energetic information from  
197 ensembles of binding poses for ligand-protein affinity predictions.

### 198 **Model training and evaluation**

199 The total loss function of DBX2 consists of three components  $Loss_n$ ,  $Loss_g$ , and  
200  $Loss_{reg}$  as in eqn (3):

$$201 \quad \text{Total loss} = Loss_n + w_1 Loss_g + w_2 Loss_{reg} \quad (3)$$

202  $Loss_n$  is the loss function for node-level task, where the binary focal cross entropy [54] is  
203 used as loss function for node-level task:

$$204 \quad Loss_n = -\alpha \cdot (1 - p_t)^\gamma \cdot \log(p_t) \quad (4)$$

205 where  $\alpha$  is a weighting factor,  $\gamma$  is the focusing parameter and  $p_t$  is an estimate of the  
206 probability for the true class, typically given by the number of correct poses over the total  
207 number of poses in the training set. Minimizing  $Loss_n$  enables the model to correctly  
208 predict the likelihood of binding pose.  $Loss_g$  and  $w_1$  are the loss function and weight for  
209 graph-level task, respectively, where  $Loss_g$  corresponds to the root mean square error  
210 (RMSE) [55]:

$$211 \quad Loss_g = \sqrt{\frac{1}{N} \sum_{i=1}^N (y_i - \hat{y}_i)^2} \quad (5)$$

212 Here  $N$  denotes the total number of ligand-protein complexes,  $y_i$  is the actual value of  
213 binding affinity for each complex and  $\hat{y}_i$  is the predicted binding affinity for each ligand-  
214 protein complex. Minimizing  $Loss_g$  contributes to correctly predicting the ligand-protein



215 affinity.  $Loss_{reg}$  and  $w_2$  are the regularization loss and weight, respectively, while L2  
216 regularization loss [56] was here used to prevent overfitting of model:

$$217 \quad Loss_{reg} = \frac{1}{2} \sum_{i=1}^n t_i^2 \quad (6)$$

218 where  $t_i$  represent the model parameter,  $n$  is the number of model parameter. The model  
219 was trained by using *traindbx2* routine (example of a configuration file for *traindbx2* in the  
220 INI format is provided in **Figure S2**). Training was performed with a maximum of 200  
221 epochs and early stopping was used by monitoring the total loss on the validation sets for  
222 3 consecutive epochs. The model was trained with mini-batch gradient descent (batch  
223 size of 100) and the adaptive moment estimation (ADAM) optimizer with a learning rate  
224 of 5e-4 and a decay rate of 0.99.

225 Hyperparameter optimization was performed using a grid search, considering RMSD  
226 cutoff value to define an edge (RMSD cut-off), number of adjacent nodes to randomly  
227 sample for aggregation (nrof-neigh), and graph loss weight (lossg weight) as  
228 hyperparameters, for a total of 30 combinations (**Table S2**). Training and validation sets  
229 were prepared by using the *split\_train\_val\_dbx2* routine of the DBX2 package. The  
230 graphs generated from PDBbind 2016 complexes were split as follows: the graph was  
231 created with the number of nodes per graph of 140. Then, the data was split for stratified  
232 5-fold cross-validation (90% training, 10% validation), with each fold maintaining a  
233 consistent distribution of protein families (e.g. T4 lysozyme,  $\beta$ -galactosidase, etc.) across  
234 all folds. Node and edge features for each graph were normalized using standard scaler.  
235 For node-level predictions, success rate, accuracy, and area under the curve (AUC) were  
236 used as evaluation metrics. For graph-level predictions, RMSE, and R-squared ( $R^2$ ) were  
237 used. The predictive power of DBX2 was further assessed by calculating the Pearson  
238 correlation coefficient (Rp) between experimental Kd and graph-level predicted Kd.

### 239 **Model testing**

240 Models were tested on the test sets and compared for docking and scoring tasks with  
241 other docking software. Several metrics were employed to evaluate performance. To  
242 evaluate docking power, the success rate was used to measure the likelihood that the  
243 top-ranked pose as determined by a given scoring function corresponds to the native

244 pose. Specifically, the top-ranked pose was compared to the minimized experimental  
245 structure, with a pose deemed successful if its RMSD was less than 2 Å. For DBX2, the  
246 success rate was evaluated using top-ranked poses from node-level predictions.

247 Next, the scoring power was assessed to evaluate the model's ability to predict and  
248 reproduce experimental binding constants using linear and multiple linear regression. The  
249 correlation between experimental binding affinities and scores of the best-poses from  
250 different scoring functions was analyzed through linear regression, and the  $R^2$  values  
251 were calculated to assess the quality of the fitting. For DBX2, graph-level predictions were  
252 utilized to evaluate the correlation with experimental binding affinities. Additionally,  
253 multiple linear regression was conducted to correlate experimental binding affinities with  
254 predicted values derived from various linear combinations of scoring functions, as  
255 described in a previous study [42].

256 Scoring power was also evaluated using the Pearson correlation coefficient (RP) and the  
257 predictive index, as described in a prior study [42]. Proposed by Pearlman et al. [57], the  
258 predictive index measures the reliability of a scoring function in accurately distinguishing  
259 the most potent binder between two compounds. It is calculated as follows:

$$260 \quad PI = \sum_{j>i} \sum_i w_{ij} C_{ij} \quad (7)$$

261 With

$$262 \quad w_{ij} = |E_j - E_i|$$
$$263 \quad C_{ij} = \begin{cases} 1 & \text{if } \frac{E_j - E_i}{S_j - S_i} < 0 \\ -1 & \text{if } \frac{E_j - E_i}{S_j - S_i} > 0 \\ 0 & \text{if } S_j - S_i = 0 \end{cases}$$

264 Where  $E_i$  is the experimental binding affinity of compound  $i$ , and  $S_i$  is the score of  
265 compound  $i$ . Predictive index gives values in range from -1 (wrong prediction) to 1 (perfect  
266 prediction), with 0 being random prediction.  $w_{ij}$  is the weighting term which underscores  
267 the accurate ranking of compounds exhibiting substantial disparities in experimental  
268 binding affinities.

## 269 **Retrospective virtual screening**

270 The VS experiment was conducted on three target proteins from the LIT-PCBA database  
271 [41] that were not present in the training set: Flap structure-specific endonuclease 1  
272 (FEN1, PDB id: 5FV7) [58], Glucocerebrosidase (GBA, PDB id: 2XWE) [59], and  
273 Mammalian Target of Rapamycin Complex 1 (MTORC1, PDB id: 5GPG) [60]. As a first  
274 step, Vina was used to screen active-inactive sets from LIT-PCBA against each  
275 corresponding structure. The top 20,000 compounds based on the Vina ranking were then  
276 docked also with AutoDock. 80 binding poses (60 from AutoDock and 20 from Vina) were  
277 generated for each ligand-protein complex (**Figure S3**). Rescoring was performed with  
278 AutoDock, Vina, DOCK, and Gnina (Gnina rescoring was done by selecting the best pose  
279 by CNNScore, then considering its CNNAffinity) [48]. VS performance was evaluated by  
280 calculating logarithmic area under the curve (logAUC) [61], enrichment factors (EF) and  
281 Boltzmann-Enhanced Discrimination of ROC (BEDROC) with adjust parameter ( $\alpha$ ) values  
282 of 20 and 80.5 using the CROC Python package [62], [63], [64].

283 The logAUC quantifies the overall performance of a virtual screening (VS) method by  
284 assessing its ability to distinguish active compounds from decoys across the ranked list.  
285 By applying a logarithmic scale to false positive rates, it places greater emphasis on the  
286 early retrieval of active compounds, which is critical for the efficiency of screening  
287 methods.

288 EF measures how effectively the VS method identifies active compounds within a specific  
289 fraction of the ranked list [65]. EF at a given cutoff ( $x$ ) is calculated from the proportion of  
290 true active compounds in the selection set in relation to the proportion of true active  
291 compounds in the entire dataset:

$$292 \quad EF(x) = \frac{TP/TP+FP}{TP+FN/TP+TN+FP+FN} = \frac{N \times n_s}{n \times N_s} \quad (8)$$

293 Where  $TP$  and  $TN$  are true positive and true negative,  $FP$  and  $FN$  are false positive and  
294 false negative.  $N$  is a total number of compounds in the entire dataset,  $N_s$  is a total number  
295 of predicted active compounds in the selection set ( $x$ ),  $n$  is a total number of true active  
296 compounds in the entire dataset,  $n_s$  is the number of true active compounds in the

297 selection set ( $x$ ). The top 2% of the ranked compounds for each scoring functions and  
298 both graph-level and node-level predictions by DBX2 were calculated to assess EF (EF2).

299 Normalized enrichment factor (NEF) is calculated to rescale the EF values into a range  
300 from 0 (bad prediction) to 1 (perfect prediction) [66], with the goal of standardizing  
301 comparison across different datasets. NEF is calculated with following:

$$302 \quad NEF(x) = \frac{EF(x)}{EF(x)_{max}} \quad (9)$$

303 With

$$304 \quad EF(x)_{max} = \frac{\min\{n_s, N \times x\}}{n \times x}$$

305 Where  $EF(x)_{max}$  denotes the maximum enrichment factor achievable within a selection  
306 set ( $x$ ). It serves as a quantitative measure of the highest potential efficiency of a virtual  
307 screening method in identifying active compounds from a selection set.  $n_s$  is the number  
308 of true active compounds in the selection set ( $x$ ).  $N$  is a total number of compounds in the  
309 entire dataset.

310 BEDROC is used to emphasized the concentration of active compounds at several range  
311 of ranked data sets [63], [66] through a scaling function ( $\alpha$ ). This metric is defined as:

$$312 \quad BEDROC = \frac{RIE - RIE_{min}}{RIE_{min} - RIE_{max}} \quad (10)$$

313 With

$$314 \quad RIE_{min} = \frac{1 - e^{\alpha R_\alpha}}{R_\alpha(1 - e^\alpha)}$$

$$315 \quad RIE_{max} = \frac{1 - e^{-\alpha R_\alpha}}{R_\alpha(1 - e^{-\alpha})}$$

$$316 \quad RIE = \frac{\frac{1}{n} \sum_{i=1}^n e^{\alpha x_i}}{\frac{1}{n} \left( \frac{1 - e^\alpha}{e^\alpha / N - 1} \right)}$$

317 Where *RIE* is robust initial enhancement which proposed by Sheridan et al [67],  $x_i$  is a  
318 relative ranking of active compound  $i$ .  $R_\alpha$  is the fraction of active compound ( $R_\alpha = n/N$ ),  
319  $\alpha$  is the scaling function.

## 320 **Baseline models**

321 The performance of our DBX2 model in predicting ligand-protein binding affinity and  
322 retrospective virtual screening was estimated using the following approach:

- 323 • AutoDock, Vina, DOCK6, and DBX2 were compared both in terms docking/scoring  
324 power and retrospective virtual screening.
- 325 • Gnina and DBX2 were compared only for retrospective virtual screening.
- 326 • DSX and DBX2 were compared only for docking/scoring power.

327 To demonstrate the accuracy of DBX2, docking and scoring performances were evaluated  
328 using a temporal split hold-out test set from Volkov et al [35]. This dataset was carefully  
329 curated to eliminate latent biases, such as patterns in ligands or proteins, which can lead  
330 neural networks to depend on memorization rather than genuine protein-ligand interaction  
331 learning. As highlighted in previous studies [29], [35], this memorization often arises from  
332 significant redundancies between training and test sets, resulting in data leakage.

333

## 334 **Results and Discussion**

### 335 **Hyperparameter optimization**

336 The results of hyperparameter optimization for the DBX2 model are summarized in **Table**  
337 **S3**. The best-performing hyperparameters were an RMSD cut-off of 10 Å, nrof-neigh of  
338 30, and a loss graph weight of 0.02, yielding a success rate of around 60%. This  
339 underscores the significance of a higher RMSD cut-off and wider neighborhood size in  
340 enhancing model accuracy.

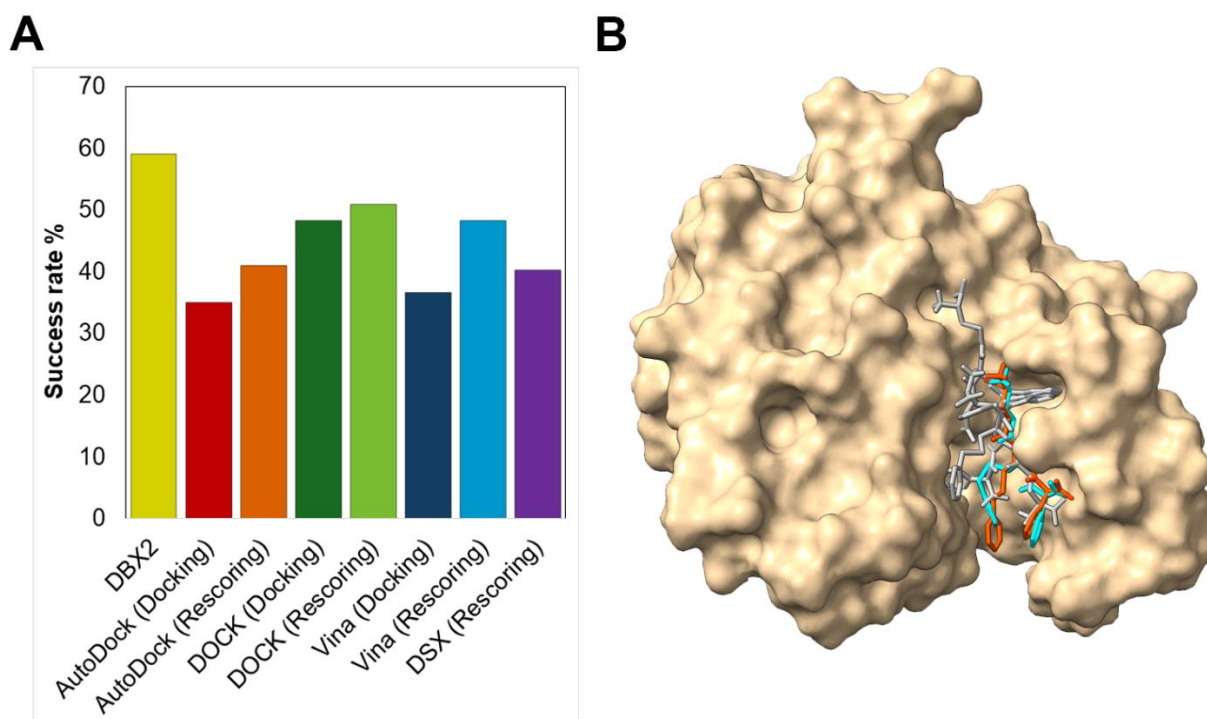
### 341 **Docking and scoring power**

342 To evaluate the effectiveness of predicting the correct binding pose in DBX2 and other  
343 docking programs, we compute the success rate on the hold-out test set as described in

344 the Material and Methods section (**Figure 2A**). As expected, rescoring ensembles of  
345 docking poses with different scoring functions led to significantly improved performances  
346 for all the scoring functions likely due to enhanced pose sampling, as observed in previous  
347 studies [42]. Noticeably, the node-level pose classification method implemented in DBX2  
348 significantly outperformed all docking and rescoring schemes while considering the same  
349 pool of poses. These findings suggest that by leveraging neighbor information via the  
350 GNN framework, DBX2 offers a significant advantage in accurately identifying native  
351 near-to-native ligand binding poses compared with docking methods that score each pose  
352 individually. **Figure 2B** illustrates an example of successful application of DBX2 for  
353 identifying the native pose of the potent TER-117 inhibitor bound to its target, the human  
354 Glutathione S-Transferase P1-1 (PDB id: 10gs) [68].

355

356



357

358 **Figure 2:** (A) Success rate of identification of the pose correctness on hold-out dataset  
359 comparison between AutoDock, DOCK, Vina, DSX, and DBX2, comparing docking and  
360 rescoring strategies. Rescoring improved the performance of each docking program

361 compared to standard docking alone, emphasizing the advantage of refining initial pose  
362 predictions by evaluating them with additional scoring functions. DBX2 node-level  
363 classification outperformed all the other tested methods (B) Crystal structure of human  
364 glutathione S-transferase (PDB id: 10gs) with bound TER117 inhibitor (cyan). The binding  
365 pose predicted by DBX2 (orange) aligns closely with the crystallographic structure, in  
366 contrast to the poses predicted as native by other docking software (grey).

367

368 Next, we evaluated the ability of the scoring functions to reproduce experimentally  
369 determined binding constants in the hold-out test set (**Table 1**). DBX2 directly computes  
370 the binding affinity from an ensemble of poses, so it does not require selecting a specific  
371 docking pose as input, unlike other scoring functions. For traditional scoring functions,  
372 since DOCK showed the best success rate among classical docking programs, we  
373 focused only on poses with the best DOCK scores (after rescoring) in order to compute  
374 binding affinities with docking scoring functions, similarly to our previous work [42]. Linear  
375 regression was performed to compare experimental binding affinities from the hold-out  
376 dataset with the scores of the best poses from DOCK using different scoring functions  
377 and their linear combinations [42]. For DBX2, the affinity values for each protein-ligand  
378 complex in the hold-out dataset were predicted as graph-level tasks, hence as readouts  
379 of ensembles of poses generated for a system rather than relying on a single pose.

380 **Table 1:**  $R^2$ , Pearson correlation coefficients and predictive index values between  
381 experimental binding affinities and the scores provided by multiple scoring functions.

| Number of functions | Scoring function/combination | $R^2$       | Pearson coefficient | Predictive index |
|---------------------|------------------------------|-------------|---------------------|------------------|
| <b>1</b>            | <b>DBX2</b>                  | <b>0.38</b> | <b>0.61</b>         | <b>0.79</b>      |
| 1                   | AutoDock                     | 0.20        | 0.45                | 0.45             |
| 1                   | DOCK                         | 0.16        | 0.41                | 0.42             |
| 1                   | Vina                         | 0.25        | 0.52                | 0.48             |
| 1                   | DSX                          | 0.22        | 0.47                | 0.46             |
| 2                   | AutoDock, Vina               | 0.25        | 0.50                | 0.49             |

|   |                           |      |      |      |
|---|---------------------------|------|------|------|
| 3 | AutoDock, Vina, DOCK      | 0.18 | 0.44 | 0.43 |
| 3 | AutoDock, Vina, DSX       | 0.23 | 0.49 | 0.48 |
| 4 | AutoDock, Vina, DSX, DOCK | 0.22 | 0.47 | 0.47 |

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382

383

384 Our results showed that DBX2 exhibited the highest correlation with experimental binding  
385 affinities on the hold-out dataset, outperforming other scoring functions. In contrast,  
386 DOCK, despite showing the best prediction of binding poses, had the lowest correlation  
387 ( $R^2 = 0.16$ ). DBX2 scoring function also displayed a significantly higher predictive index  
388 (0.79) than other methods, indicating its potential suitability in ranking active molecules  
389 based on their binding affinities to a target of interest. Likewise, the Pearson coefficient  
390 of DBX2 (0.61) indicated a good predictive power based on pharmaceutical industry  
391 standards [69]. Nevertheless, the  $R^2$  value, while indicating positive correlation as well as  
392 an improvement compared with physics-based methods, remained low (0.38). While our  
393 results suggest that docking poses ensembles are more suitable than single poses for  
394 binding affinity predictions, they likely fail to provide a comprehensive thermodynamic  
395 picture of binding processes, due to the approximations (especially, neglect of protein  
396 flexibility and water) necessary to ensure the high throughput required in VS. Correlations  
397 of experimental values versus computational scores are shown in **Figure S4**.

398 Moreover, the scoring power on the hold-out set of DBX2 was compared with published  
399 state-of-the-art methods that were trained and tested on the same splits or supersets of  
400 them. Thus, DBX2 was compared with GNN-MP neural network (MPNN) models from  
401 Volkov et al [35] and Pafnucy model from Stepniewska-Dziubinska et al [70]. The first  
402 class of models are GNNs with a customizable hidden size and a two-layer dense module,  
403 which map protein- (P), ligand- (L) and protein-ligand interactions (I) graph  
404 representations to ligand-protein affinities. The Pafnucy model is a state-of-the-art  
405 convolutional neural network utilizing 3D convolution to produce a feature map for protein  
406 and ligand atoms to predict ligand-protein affinity. Notably, these models were already  
407 trained and tested on the same datasets as used in DBX2 (PDBbind v2016 dataset and



408 the hold-out test set, respectively) as reported in the previous studied [35]. The  
409 comparison of Rp and RMSE on all models is summarized in **Table S4**.

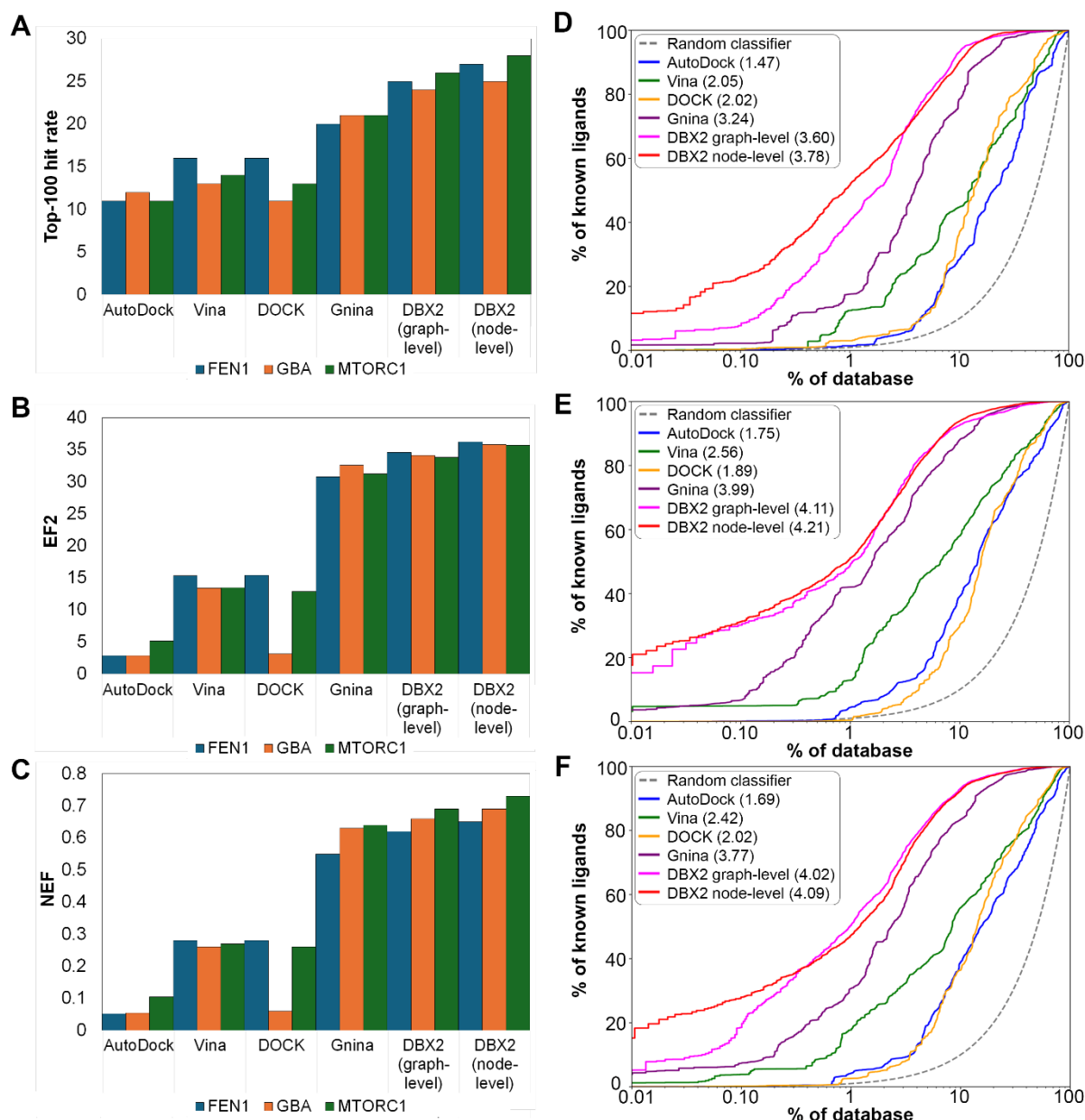
410 Even though the number of entries in the training set for DBX2 was lower than other  
411 models, it exhibited significantly improved performances in predicting binding affinity  
412 against hold-out set with respect to GNN-MPNN pure interaction (I) models from Volkov  
413 et al [35] and Pafnucy model [70], as evident from the Rp and RMSE values, and  
414 comparable performances with GNN models that include protein and ligand structural  
415 information explicitly. Importantly, DBX2 is entirely based on energetic ensemble  
416 representations that do not consider any structural information about ligand and/or protein  
417 structures, differently than the models from [35] and [70]. This observation suggests that  
418 DBX2 could (at least partially) overcome the hidden biases causing memorization of 2D  
419 molecular patterns that these models display, as described in the study by Volkov et al  
420 [35], while significantly outperforming the success rate of generalizable pure interaction  
421 models.

#### 422 ***Retrospective virtual screening***

423 LIT-PCBA is a chemical dataset designed to eliminate hidden chemical biases. Derived  
424 from bioassays, it mimics experimental screening decks, spans diverse protein targets,  
425 and has been validated across multiple screening methods, making it suitable for both  
426 structure- and ligand-based virtual screening experiments [41]. In order to test the VS  
427 power of DBX2 in realistic scenarios, we focused on three LIT-PCBA targets that were  
428 not present in our training set: FEN1, GBA, and MTORC1. The numbers of active and  
429 inactive compounds for each LIT-PCBA protein target at the beginning of the retrospective  
430 VS experiment and after the first round of Vina docking (with the top 20,000 molecules  
431 brought forward) are reported in **Table S5**.

432 After generating additional poses with AutoDock for molecules endowed by the Vina  
433 docking step, rescoring with different scoring functions (including DBX2) was performed  
434 and the result evaluated by computing top-100 hit rate, EF2, and NEF (**Figure 3A, 3B,**  
435 **and 3C**). DBX2 demonstrated superior performance across all metrics (EF2, NEF, and  
436 top-100 hit rate) when compared to other scoring functions for the three target proteins.  
437 DBX2's node-level predictions, which assess the likelihood of each binding pose within a

438 specific graph, consistently matched the screening power of graph-level predictions of  
 439 binding affinities. Interestingly, Gnina, another ML-based tool that recently demonstrated  
 440 state-of-the-art performance in prospective drug discovery challenges [71], also  
 441 performed well, further validating the potential of data-driven models in VS tasks.



442  
 443 **Figure 3: Retrospective VS results of different scoring functions on LIT-PCBA database**  
 444 (A) top-100 hit rate (B) EF2 (C) NEF. Higher values in top-100 hit rate, EF2 and NEF  
 445 corresponding to superior performance in identifying active compound at top-ranked.

446 *Enrichment plot comparison between DBX2 graph-level (magenta), DBX2 node-level*  
447 *(red), Gnina (purple), AutoDock (blue), Vina (green), and DOCK (yellow) on (A) FLAP*  
448 *Endonuclease (FEN1) protein, (B) Glucocerebrosidase (GBA) protein, and (C)*  
449 *Mechanistic target of rapamycin (MTORC1).*

450 Additionally, logAUC was plotted (Figure 6D, 6E, 6F) and BEDROC were calculated  
451 (Table S6) to assess each scoring functions' ability to distinguish between active and  
452 inactive compounds. DBX2 demonstrates superior performance across both logAUC and  
453 BEDROC with the two scaling functions, suggesting a robust efficacy in prioritizing active  
454 compounds throughout top and broad ranks of compounds. Notably, node-level  
455 predictions show the highest performance, followed by graph-level predictions and  
456 Gnina's CNNAffinity scoring function.

457

## 458 **Conclusions**

459 We introduced DBX2, a novel GNN framework that enables to learn computational  
460 ensembles of small molecule-protein conformations as single graphs to predict binding  
461 modes and affinities. The model relies solely on simple energetic features derived from  
462 docking, without incorporating ligand and protein structural information that render  
463 conventional GNNs prone to memorization and consequently, poor generalization. We  
464 comprehensively evaluated DBX2 across various metrics for docking and VS tasks,  
465 underscoring its effectiveness as a robust tool for binding affinity prediction and virtual  
466 screening compared to conventional scoring functions and ML models based on single  
467 poses. At the same time, some caveats associated with the ensemble-based method  
468 emerged, especially reflected in the poor correlation between graph-level predicted and  
469 experimental binding affinities. We reasoned that these constraints can be ascribed to the  
470 limitations of the data generating process, i.e., docking, both in sampling the free energy  
471 landscape of binding and in quantitatively estimate binding energy contributions.  
472 Nevertheless, the significant performances observed for DBX2 not only advocate for its  
473 adoption in prospective drug discovery campaigns relying on high throughput VS but  
474 encourages also further exploration of ML models suitable for learning from  
475 computationally generated ensembles better representing binding thermodynamics than

476 single poses. In this context, an exciting venue for further investigation could be the  
477 adaptation of the DBX2 architecture to MD-derived conformational ensembles of small  
478 molecule-protein complexes, to take into consideration also protein flexibility and induced  
479 fit as well as solvation.

480

#### 481 **Conflict of interest**

482 The authors declare no conflict of interest.

483

#### 484 **Data availability**

485 The DBX2 code is available at <https://github.com/jp43/DockBox2>. Trained models and  
486 training data are available at [10.5281/zenodo.14181651](https://doi.org/10.5281/zenodo.14181651).

487

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