# Dissociation Dynamics of CO<sub>2</sub> on Cu(110) Studied Over a Wide Range of Incident Energies

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#### Abstract

In this work, we have studied the dissociation dynamics of CO<sub>2</sub> on a Cu(110) surface using molecular beams with incidence energies ranging from 0.28 eV to 4.6 eV. The incident energy dependence of the initial dissociative reaction probabilities  $(S_0)$  of CO<sub>2</sub> showed two distinct characteristics. At first,  $S_0$  exhibits a rapid increase from  $1.8 \times 10^{-4}$  at 0.28 eV to more than 150-fold at 2 eV. Beyond this, only a small increase by less than a factor of 1.5 was observed in the 2 eV to 4.6 eV range, with the  $S_0$  being  $4.1 \times 10^{-2}$  at 4.6 eV. Incident angle-dependent measurements reveal total energy scaling to be followed. Measurements using a heated nozzle showed no observable enhancement in  $S_0$  due to the vibrational energy of the incident molecules, with an upper limit of vibrational efficacy estimated to be 0.25. Furthermore, an increase in O-atom saturation coverage (resulting from CO<sub>2</sub> dissociation) from 0.5 ML to 0.66 ML was observed at high impact energies (> 3 eV), suggesting that newer dissociation sites become accessible at higher energies.

#### Introduction

Understanding the dissociation dynamics of small molecules on metal surfaces under wellcontrolled conditions is an important stepping stone toward comprehending the complexities of chemical transformations on catalytic surfaces. Specific questions of interest include determining the absolute dissociative sticking probability measured at the zero coverage limit  $(S_0)$ , understanding its dependence on the energy of the incident reactant molecules (translational, rotational, and vibrational), and determining the magnitude of the activation barrier. Such studies provide an in-depth understanding of the nature of the dissociation step and valuable experimental benchmarks for theoretical and computational studies.

 $CO_2$  dissociation on Cu surfaces is one such model system that has received extensive attention due to its relevance in the catalytic conversion of  $CO_2$  to methanol.<sup>1–3</sup> This process has been proposed as one of the strategies to reduce atmospheric  $CO_2$  levels, thereby helping mitigate global warming.<sup>4</sup> While extensive research has been conducted on understanding the interaction of  $CO_2$  with Cu surfaces, <sup>5,6</sup> a comprehensive understanding of the mechanism and factors influencing dissociation of  $CO_2$  on Cu surfaces is still lacking. On the low-index planes of copper surfaces,  $CO_2$  only adsorbs weakly and has a high activation barrier for dissociation, with Cu(110) being the most active.<sup>1</sup> Nakamura and Campbell have reported the activation energy for dissociation of  $CO_2$  on Cu(110) to be 0.64 eV, with an initial dissociative chemisorption probability  $(S_0)$  of the order of  $10^{-9}$  at room temperature conditions.<sup>7</sup> These studies were performed by exposing a clean Cu(110) single crystal to high pressure (few mbar)  $CO_2$ , and measuring the O-atom coverage (resulting from dissociation) using Auger electron spectroscopy (AES) under ultra-high vacuum (UHV) conditions. The activation energy was determined by studying the rate of O-atom coverage buildup at different temperatures. Similar conclusions have been reached by a more recent study<sup>8</sup> using near ambient X-ray photoelectron spectroscopy (NAXPS) combined with density functional theory (DFT) based modeling. Another study<sup>9</sup> using similar methods (as in the work by Nakamura and Campbell<sup>7</sup>) reported an activation energy of 0.96 eV for  $CO_2$  dissociation on Cu(100) under high-pressure conditions.

Adsorption studies of  $CO_2$  on Cu(110) at low temperatures (45 K - 120 K) have shown no detectable CO or adsorbed oxygen species using high-resolution energy electron loss spectroscopy (HREELS) and AES,<sup>10</sup> indicating that  $CO_2$  does not undergo chemisorption on low-index plane surfaces of copper. Based on temperature programmed desorption measurements after the exposure of a clean Cu(110) surface to  $CO_2$  (leaked into the UHV chamber, 300 K, dose = 4 Langmuir(L)), Fu and Samorjai reported that no dissociation could be observed.<sup>11</sup> However, under similar conditions, they also reported that  $CO_2$  readily dissociates on stepped Cu(311) and Cu(332) surfaces, forming CO and adsorbed oxygen at a dose of 4L and surface temperature of 150 K.<sup>11</sup> These observations are consistent with the fact that the dissociation of  $CO_2$  on low-index planes of copper surfaces has a much higher activation barrier when compared to stepped surfaces.

Molecular beam surface scattering experiments are well-suited to study such high-barrier dissociation processes. Here the incidence energy of the molecular beam can be precisely controlled and the  $S_0$  along with its incidence energy dependence can be studied to understand the characteristics of the reaction pathway. Interestingly, Funk and co-workers using molecular beam methods have reported<sup>12</sup> no evidence of dissociation of CO<sub>2</sub> on Cu(110) within their detection limit ( $S_0 = 0.03$ ) even with high incidence translation energies ( $E_i$ ) ranging up to 1.3 eV. This is indeed surprising as the experimentally estimated dissociation barrier was much lower at 0.64 eV.<sup>7</sup> Our previous work<sup>13</sup> using molecular beam-surface scattering resolves this apparent inconsistency revealing that the  $S_0$  ranges from  $3.9 \times 10^{-4}$  to  $1.8 \times 10^{-2}$  within the  $E_i$  range of 0.64 eV to 1.59 eV. We also found that the  $S_0$  at  $E_i =$ 1.59 eV, nearly the limit of what can be obtained using a room temperature nozzle, showed a strongly increasing trend with  $E_i$ , suggesting that it is still far from reaching its maximum value. Assuming a 1-dimensional (1D) potential energy surface (PES) and based on extrapolating the observed trend in the  $E_i$  dependence of  $S_0$ , the activation barrier was estimated to be of the order of 2 eV. Interestingly, recent theoretical work on the same system, based on dynamical calculations on a high-dimensional PES, suggests that  $CO_2$  dissociation on Cu(110) occurs via a much more complex pathway (compared to a simple 1D picture) involving multiple barriers and in an indirect manner.<sup>14</sup> The activation barrier was estimated to be of the order of 0.6 eV, consistent with several previous studies, but is in contrast to the conclusions from our recent molecular beam-based studies.<sup>13</sup> Low values of the  $S_0$ , even at  $E_i$  much larger than the estimated barrier height, were attributed to the fact that the reaction pathway is highly constrained, leading to only a small fraction of the incident molecules to dissociate.

Given this situation, a more detailed study of the dissociation of  $CO_2$  on Cu(110) is imperative to understand the dissociation dynamics better. In particular, dependence of the  $S_0$  on  $E_i$  needs to be understood at larger energies well beyond 2 eV, especially to check if any signs of a highly constrained reaction pathway are observed or not. In addition, examining the normal energy scaling, the effect of vibrational excitation of incident molecules, and understanding the surface temperature  $(T_s)$  dependence of the  $S_0$  can also provide valuable information towards understanding the nature of the reaction pathway. In this work, we have studied the dissociation of  $CO_2$  on Cu(110) surface across a wide range of  $E_i$ , ranging from 0.28 eV to 4.6 eV. We have also investigated the effect of incident angle on the  $S_0$ , to test the validity of normal energy scaling and looked into the effect of  $T_s$  on the  $S_0$ . To produce beams of  $CO_2$  at high  $E_i$  (> 1.7 eV) a heated nozzle was employed. This also allows us to understand the role of vibrational energy of the incident  $CO_2$  molecules in the dissociation step.

#### Experimental methods

A detailed description of our molecule-surface scattering apparatus has been provided in our previous work<sup>13</sup> and only a concise description is presented here. It consists of a source chamber and two differential pumping stages (Diff-1 and Diff-2) followed by a UHV chamber

where the Cu(110) target single crystal was placed. The Cu(110) single crystal (disk-shaped, 10 mm diameter and 2 mm thickness) is specified to be 99.9999% pure, cut within a precision of 0.1 degrees, and polished to a roughness below 10 nm (MaTeck Material Technologie and Kristalle GmbH). It was mounted on a four-axis (XYZ $\theta$ ) differentially pumped manipulator using 0.25 mm diameter tungsten wires.

The UHV chamber had a base pressure of  $5 \times 10^{-10}$  mbar and is equipped with a cylindrical mirror analyzer based Auger electron spectrometer (AES), a quadrupole mass spectrometer (QMS) for incident beam flux measurements and residual gas analysis. The Cu(110) surface can be heated and the surface temperature was monitored using a K-type thermocouple attached to the side of the crystal. For routine measurements, the Cu(110) surface was cleaned by ion bombardment (Ar ion at 3 keV, ion current 0.6  $\mu$ A, 30 min) followed by annealing at 800 K (30 min). Surface cleanliness was checked using AES measurements.

For the molecular beam source, a pulsed solenoid valve with an opening diameter of 1 mm (Parker 009-1643-900, driver Iota One 060-0001-900) placed in the source chamber was used. In addition, we have a SiC tube (20 mm length, 1 mm inner diameter, 3 mm outer diameter, WireTrex Limited, Germany) attached to the nozzle orifice. This SiC tube when painted with a thin layer of carbon paste can be resistively heated up to 900 K with 8 watts of electrical power (SI-1). The amount of  $CO_2$  incident on the target surface was estimated for every measurement from the partial pressure changes monitored using the QMS. The QMS was calibrated using an independently calibrated ionization gauge as a reference. Detailed calibration procedures are described in our previous work.<sup>13</sup> The incident beam diameter ranged from 2.2 mm to 2.6 mm (depending on the gas mixture and the nozzle temperature,  $T_n$ ) and was estimated from the spatial distribution of the O-atom coverage measured after the  $CO_2$  beam exposure for each experiment. The spatial distribution of the O-atom coverage was measured using a combination of surface current and AES (SI-2). This information was used to estimate the incident  $CO_2$  flux on the target surface, which ranged from 0.05 - 0.8 ML/sec for different gas mixtures and nozzle conditions. Here 1 ML corresponds to

 $1.08 \times 10^{15}$  atoms cm<sup>-2</sup>, the surface density of Cu atoms on a flat Cu(110) surface.<sup>15</sup>

#### Preparation of molecular beam and its characterization

For producing beams of CO<sub>2</sub> with different  $E_i$  (0.28 eV to 1.7 eV), we employed seeded molecular beams having different concentrations of CO<sub>2</sub> in H<sub>2</sub> ranging from 30% to 0.5%, with the nozzle at 300 K. Higher  $E_i$  beyond this limit were produced by varying the nozzle temperature up to 850 K. This allowed us to produce CO<sub>2</sub> beams with  $E_i$  up to 4.6 eV. The backing pressure used in all these measurements was 4 bar.

Incident translation and rotation energies and their distributions were determined using spectroscopic methods in combination with our newly developed ion imaging setup. Due to the lack of availability of efficient resonance-enhanced multiphoton excitation (REMPI) schemes for  $CO_2$ , we chose to use CO as a proxy instead. These measurements were carried out under identical experimental conditions as used for  $CO_2$ . It should be noted that systematic differences in the rotational cooling of the incident beam can arise due to the larger rotation constant of CO (compared to  $CO_2$ ). Hence, our inferences are valid under the assumption that small changes in the rotation state distributions will not affect the  $S_0$ values by much.

Our findings revealed that the incident beam rotational temperature typically ranged from 10 K to 15 K for  $T_{\rm n} = 300$  K and increased to 55 K at  $T_{\rm n} = 838$  K. The spread in  $E_{\rm i}$  ( $\Delta E/E$ , full width at half maximum), measured at different  $T_{\rm n}$  ranged from 20% to 56% for 300 K to 853 K, respectively. The estimates for  $E_{\rm i}$  were found to be consistent with the following relation:

$$E_{i} = \frac{X_{\rm CO_{2}}C_{\rm P_{CO_{2}}} + X_{\rm H_{2}}C_{\rm P_{H_{2}}}}{X_{\rm CO_{2}}M_{\rm CO_{2}} + X_{\rm H_{2}}M_{\rm H_{2}}}M_{\rm CO_{2}}(T_{n} - T_{R})$$
(1)

Here,  $X_{\rm CO_2}$  and  $X_{\rm H_2}$  represent the mole fractions of CO<sub>2</sub> and H<sub>2</sub>, respectively.  $C_{\rm P_{CO_2}}$  and  $C_{\rm P_{H_2}}$  indicate the heat capacities of CO<sub>2</sub> and H<sub>2</sub>, respectively.  $T_n$  corresponds to the nozzle temperature,  $T_R$  represents the rotational temperature of the molecular beam and  $M_{\rm CO_2}$ 

represents the molar mass of  $CO_2$ . A detailed characterization of the translation and rotation energy distribution of the incident beams used in this work is provided in SI-3.

The fraction of vibrationally excited CO<sub>2</sub> in the incident beam at high  $T_n$  was estimated assuming minimal vibrational relaxation in the nozzle. A qualitative confirmation of the same was also obtained using the 3+1 REMPI scheme for CO<sub>2</sub> at 330 nm.<sup>16</sup> Although this scheme is inefficient, it was good enough to observe a systematic increase in the bending (010) state population (relative to the ground state) with increasing  $T_n$  (SI-4). Based on these measurements we estimate that 26% population is in the excited bending state (010) and 8.5% is in the (020) state, at  $T_n$ = 853 K. The estimated relative uncertainty in  $S_0$  is about 20% (1 $\sigma$ ) and arises mainly from the uncertainties in the incidence beam flux and O-atom coverage measurements.

#### Results



Figure 1: (left) Auger electron spectra of the Cu(110) surface measured at different incident dose of CO<sub>2</sub> at  $E_n = 1.7$  eV. Background buildup of O-atom coverage was measured after the last measurement, at a different position on the surface which was not exposed to incident CO<sub>2</sub> beam. (right) A plot of O-atom coverage build-up with increasing CO<sub>2</sub> dose obtained from the AES measurements shown in the left panel. The coverage estimation was made using the AES peak ratio of O(503 eV) and Cu(776 eV). Red curve is the best fit using a first-order kinetics model. The dashed black line shows the saturation coverage obtained for dissociative chemisorption of O<sub>2</sub> on the same surface (measured independently).



Figure 2: (a) A plot of the  $S_0$  versus normal component incident energy of CO<sub>2</sub> on Cu(110). Blue triangles indicate measurements at normal incidence with  $T_n = 300$  K. Black squares correspond to measurements at an incidence angle of 35° with  $T_n = 300$  K. Red open circles represent measurements at elevated nozzle temperatures between 743 K and 763 K. Filled green circles denote measurements performed over a range of nozzle temperatures (473 K to 838 K) and for CO<sub>2</sub> concentrations of 30% and 0.5% in H<sub>2</sub>. The dashed blue curve is a fit using a S-shaped function. The numbers associated with each point are labels for identifying the experimental parameters and incident beam characteristics, described in panel (b). Note that the  $T_n$  was maintained within  $\pm$  5 K of the aforementioned values across all measurements. The experimental error in the  $E_i$  determination typically ranged from 2% to 8% (SI-3) when using the spatial ion imaging technique with CO as a proxy.



Figure 3: A comparison of the  $S_0$  observed at a  $\theta_i = 35^{\circ}$  (black open squares) and  $\theta_i = 0^{\circ}$  (blue open triangles) at the same  $E_i$ . Horizontal arrows indicate a change in  $E_n$ , and the heads of the vertical arrows represent the expected  $S_0$  values if normal energy scaling was followed. The blue dashed line is a fit (same as in Figure 2(a)) using an S-shaped curve and the numbers correspond to the same labeling scheme as in Figure 2.

Initial sticking probabilities for dissociative chemisorption of CO<sub>2</sub> on Cu(110) were determined by measuring O-atom coverage (measured using AES) as a function of incident CO<sub>2</sub> dose. Figure 1 (left) shows the AES signal measured at different incident CO<sub>2</sub> doses ranging from 0 to 60 monolayers (ML), with  $E_i = 1.7$  eV and incidence angle ( $\theta_i$ ) = 0°. A clear trend of increasing surface O-atom coverage with CO<sub>2</sub> dose is seen. Quantitative analysis of this trend was obtained by analyzing the ratio of oxygen to Cu peak-to-peak signal (background subtracted) as a function of incident CO<sub>2</sub> dose (Figure 1, right). The O/Cu AES signal ratio reached a value of  $0.24 \pm 0.01$  at saturation coverage. To obtain the corresponding O-atom coverage in monolayers, we independently measured the dissociative chemisorption of O<sub>2</sub> on this surface for calibration. The saturation O/Cu AES signal ratio obtained in both the cases (O<sub>2</sub> and CO<sub>2</sub> dosing) were observed to be the same (black dashed line in Figure 1, right panel). Given that it is well-established from several previous studies that O<sub>2</sub> exposure leads to a saturation O-atom coverage of 0.5 ML, owing to a (2×1) overlayer structure,<sup>17-21</sup> we conclude that the CO<sub>2</sub> dissociation leads to a saturation O-atom coverage of 0.5 ML as well. This also rules out the presence of any unwanted clean-up reactions affecting our measurements, even when using H<sub>2</sub> seeded beams along with a heated nozzle.<sup>22</sup> It is worth pointing out that at  $E_i$  higher than 3 eV we see an increased saturation coverage (0.66 ML). While this point is discussed in more detail later, it should be noted that this does not affect the O-atom coverage estimation presented here. The surface O-atom coverage build-up as a function of the incident CO<sub>2</sub> dose was fitted with a simple first-order kinetics model:  $\Theta = \Theta_{\text{sat}}(1 - e^{-k\phi_i})$ . Here,  $\phi_i$  corresponds to the incident CO<sub>2</sub> dose, and the value of saturation coverage ( $\Theta_{\text{sat}}$ ) is set to 0.5 ML. The slope of this function in the zero coverage limit (0.5 × k) gives the initial dissociative sticking probability of CO<sub>2</sub> on Cu(110).

## Incident translational energy and impact angle dependence of the initial sticking probability

The  $S_0$  values of CO<sub>2</sub> on the Cu(110) surface obtained under different experimental conditions are depicted in Figure 2(a). Blue triangles represent  $S_0$  values measured at normal incidence ( $\theta_i = 0^\circ$ ) and  $T_n = 300$  K. Green-filled circles depict the measurements carried out using a heated nozzle at different temperatures. The red circles (hollow) indicate measurements at nozzle temperatures ranging from 743 K to 763 K, at normal incidence for different gas mixtures. Black squares depict measurements at  $\theta_i = 35^\circ$  for three different  $E_i$  of 1.7 eV, 1.55 eV, and 1.25 eV, corresponding to  $E_n$  of 1.14 eV, 1.04 eV, and 0.84 eV, respectively. The blue dashed curve represents an empirical fit in the form of an S-shaped curve, which is discussed later. A detailed description of the molecular beam characteristics for each of the measurements is shown in Figure 2(b). The values of  $S_0$  obtained in these sets of measurements are consistent with the previously reported values<sup>13</sup> (SI-5).

These results clearly show that with increasing  $E_n$  from 0.28 to 4.6 eV,  $S_0$  increases from  $1.9 \times 10^{-4}$  to  $4.1 \times 10^{-2}$ . Importantly,  $S_0$  increases rapidly with  $E_i$  up to 2 eV and only very slowly beyond this. A comparison of the measurements performed at  $\theta_i = 35^\circ$  and  $0^\circ$  are shown in detail in Figure 3. The observed  $S_0$  (for a given  $E_i$ ) remains largely unchanged with



Figure 4:  $S_0$  obtained for different surface temperatures at  $E_i = 0.28$  eV, 1.04 eV, and 1.55 eV,  $T_n = 300$  K).

the incidence angle. These observations show a large deviation from the expected values if the normal energy scaling was valid (depicted by the tip of black vertical arrows, Figure 3) and are consistent with total energy scaling. We also point out that in our previous study<sup>13</sup> on the same system, we could not firmly establish this aspect as the largest incident angle used was only  $\theta_i = 19^\circ$  due to experimental design limitations. The present version of the experimental setup allows for larger incidence angles and enables us to see these changes more clearly.

 $S_0$  values obtained with higher  $T_n$  (743 K - 763 K) are shown in red open circles in



Figure 5: Comparing the O-atom coverage as a function of incident  $CO_2$  dose at low and higher  $E_i$ . Measurements at  $E_i = 1.55$  eV and 3.6 eV (left), and 1.7 eV and 4.6 eV (right). These results correspond to point #6 (blue triangle), point #4 (red hollow circle), point #7 (blue triangle), and point #4 (green filled circle) shown in Figure 2(a), respectively. In both sets of measurements, the saturation coverage at higher incident energy is larger. Note that the data presented at 1.7 eV (right panel) is from an independent measurement (under similar conditions) compared to that shown in Figure 1.

Figure 2(a). A comparison with  $S_0$  values at similar  $E_i$ , but at lower  $T_n$  (obtained using a more dilute mixture of CO<sub>2</sub> in H<sub>2</sub>), a slight decrease was observed. Qualitatively speaking, at  $E_i$  larger than 2 eV, this decrease is consistent with that expected from broadened  $E_i$ distribution of the incident beam at higher nozzle temperatures, especially when combined with the fact that the  $S_0$  only increases very slowly at energies larger than 2 eV. However, at lower  $E_i$  a corresponding increase is not observed, indicating that the spread in  $E_i$  is not the sole contributing factor here (SI-6). Given the limitations of the present set of experiments where the  $E_i$  has been estimated indirectly, the spread in  $E_i$ , rotational and vibrational state distribution can not be controlled independently, and that we have only a few such measurements, we are unable to conclusively understand this trend. A direct  $E_i$ measurement of CO<sub>2</sub> especially over the entire temporal incidence beam profile can possibly help in understanding this issue better. Nonetheless, this observation does rule out any significant enhancement in  $S_0$  caused by the vibrationally excited incident CO<sub>2</sub> molecules under our experimental conditions. This is important as the low-lying bending mode is expected to be populated significantly at higher  $T_n$ .

The high nozzle temperatures used in combination with  $CO_2$  seeded in  $H_2$  raises a potential concern about the presence of Reverse Water-Gas Shift (RWGS) reaction, which can adversely impact our measurements.<sup>23</sup> Specifically, there is a possibility that the RWGS reaction could produce CO and  $H_2O$ , which might interfere with our  $S_0$  measurements. In particular, the dissociative chemisorption of  $H_2O$  can also lead to oxygen atom buildup on the Cu(110) surface in addition to  $CO_2$  dissociation. To assess this situation better, we used a Quadrupole Mass Spectrometer (QMS) to monitor any increase in CO levels with increasing  $T_{\rm n}$ . As the CO signal on QMS also results from the residual gases in the UHV chamber and the electron impact fragmentation of  $CO_2$  in the QMS, the ratio of CO to  $CO_2$ partial pressure was chosen as a measure for detecting small changes in CO levels as  $T_n$  was varied. Our detection sensitivity, capable of discerning changes in the CO to  $CO_2$  partial pressure ratio as small as 4%, revealed no significant variation in this ratio, indicating that CO production via RWGS was minimal even at the highest  $T_n$  used in this work. Further confirmation comes from the measurement of  $S_0$  at  $T_n = 750$  K for a mixture containing 30%  $CO_2$  in  $H_2$  (Figure 2(a), red hollow circle #1,  $E_i = 0.66$  eV). Here, any additional O-atom coverage buildup due to RWGS is expected to show up as an increase in the estimated  $S_0$ . However, the observed reaction probability is  $3.9 \times 10^{-4}$ , consistent with the overall trend showing no anomalous increase. Additionally, the measurement with a beam of 1% CO<sub>2</sub> in He with  $E_i = 0.6$  eV (black star, Figure 2(a)), where no RWGS reaction is possible, is also consistent with that observed at similar  $E_i$  using  $H_2$  seeded beam. Based on these considerations, we rule out any overestimation of  $S_0$  caused by RWGS, despite using the heated nozzle in our measurements.

The  $S_0$  measured at different  $T_s$  of 300 K, 380 K, and 480 K are shown in Figure 4. These measurements were carried out for three different  $E_i$  of 0.28 eV, 1.04 eV, and 1.55 eV at normal incidence. No measurable change in the  $S_0$  was observed with increasing surface temperature for all three energies. This clearly shows that within 300 K to 480 K, surface temperature does not play a significant role in the dissociative chemisorption of CO<sub>2</sub>. Another interesting feature observed is that saturation O-atom coverage seen in our measurements increases from 0.5 ML to 0.66 ML for  $E_i$  greater than 3 eV (Figure 5). This suggests that at higher  $E_i$  new reaction sites become accessible.

### Discussion

Dependence of the  $S_0$  on  $E_i$  at normal incidence can be divided into two characteristic regions. In the first region below 2 eV,  $S_0$  increases by more than 150 times as the  $E_i$ changes from 0.28 eV to 2 eV. This indicates a translationally activated process with a high activation barrier. In the second region of 2 eV to 4.6 eV,  $S_0$  increases by a factor of less than 1.5, and is  $4.1 \times 10^{-2}$  at 4.6 eV. The values of the  $S_0$  being low at large  $E_i$ (much greater than the estimated barrier) is an indication of a severely constrained reaction pathway. Similar characteristics have been reported in other cases previously, for example, the well-studied  $N_2/Ru(0001)$  system. Here too the  $S_0$  increases very slowly with  $E_i$ , with much larger than the activation barrier.<sup>24</sup> Based on simulations over a six-dimensional PES<sup>25</sup> it was found that increased surface corrugation and anisotropy near the minimum energy pathway creates a narrow bottleneck, thereby explaining the low reactivity, even at much higher energies than the dissociation barrier. A similar situation could be prevailing in this case as well. The slow increase in the  $S_0$  even at  $E_i$  well above 2 eV is consistent with a highly constrained reaction pathway, allowing only a small fraction of configurations to overcome the barrier, regardless of the available incident energy. Similar conclusions have been drawn from recent work by Yin and coworkers<sup>14</sup> based on simulations for the  $CO_2/Cu(110)$  system on a multidimensional PES. Although, at the moment results from simulations are only available up to an  $E_i$  of 2 eV, it will be interesting to look into the reaction probabilities predicted by extending this work to higher  $E_i$ .

Another significant finding arising from this work is that the dissociative chemisorption probability of  $CO_2$  on Cu(110) is largely independent of the incidence angle and follows total

energy scaling, rather than normal energy scaling (Figure 3). In many cases of moleculesurface collisions, where the interaction PES exhibits low corrugation, the parallel component of the incident momentum does not get exchanged and largely behaves like a spectator mode. This leads to the so called normal energy scaling, where the  $S_0$  solely depends on  $E_{\rm n}$  (and not on  $E_{\rm i}$ ).<sup>26-30</sup> On the other hand, if the molecule-surface interaction potential is corrugated or is highly anisotropic, then a significant exchange among the perpendicular and parallel components of incident momenta can occur, ultimately leading to deviation from normal energy scaling. Similar characteristics have been reported previously for the physisorption dynamics of  $CO_2$  on Cu(110),<sup>5</sup> studied up to an  $E_i$  of 1.3 eV. Here too the physisorption probability was reported to follow total energy scaling. Since we have measured  $CO_2$  dissociation probability at much higher incident energies, it is reasonable to conclude that the incident molecules penetrate the surface electron density sufficiently and encounter a significant amount of corrugation. This combined with the anisotropic nature of the PES can give rise to the total energy scaling behavior, as observed in the present case. Further experiments, especially looking into the inelastic scattering and energy transfer, are likely to shed more light on this aspect. In particular, broadening of the angular distribution as a function of incident energy caused by large corrugation is expected.

Another noteworthy point is that incident beams at a high nozzle temperature, having a higher fraction of vibrationally excited CO<sub>2</sub>, especially in the low-lying bending modes, do not show a measurable increase in  $S_0$  for the same  $E_i$  (red open circles, Figure 2(a),  $T_n = 743$  - 763 K). The total bending mode population at  $T_n = 750$  K is estimated to be 34% (26.5% in (010) and 7.5% in (020) state, see SI-4). This estimate allows us to put an upper limit to the relative efficiency of vibrational and translational energy in overcoming the reaction barrier, often described in terms of vibrational efficacy.<sup>31,32</sup> In particular,  $S_0$  measurements at nearly the same incident energy but at different nozzle temperatures help us understand this aspect better. Consider the measurements at  $E_i \sim 0.6$  eV with  $T_n = 300$  K and 750 K (Figure 2(a), blue triangle, point #2 and hollow red circle, point #1), and measurements

at  $E_{\rm i} \sim 1.4$  eV at  $T_{\rm n} = 300$  K and 745 K (Figure 2(a), blue triangle point #5 and hollow red circle point #2). At 750 K, the mean vibrational energy of the incident CO<sub>2</sub> beam is 0.08 eV (assuming no vibrational relaxation in the expansion process). For the same energy provided in the form of translational energy,  $S_0$  increases approximately by a factor of two. If we assume the vibrational efficacy to be 1, we would expect  $S_0$  to increase by a factor of two, which would be clearly discernible in our measurements. However, our results clearly show that there is no such increase. Based on the uncertainty in  $S_0$  estimation, we conclude that the upper limit of vibrational efficacy for this system is 0.25.

This is rather surprising since several studies have indicated that the transition state has a bent configuration in dissociative chemisorption of  $CO_2$  on various metal surfaces.<sup>8,33,34</sup> Interestingly, for the closely related system of  $CO_2$  dissociation on Ni(100), a factor of 2-10 increase in  $S_0$  was observed at a 1000 K nozzle temperature compared to 300 K.<sup>30</sup> Similarly, a recent study of  $CO_2$  on an H-covered Cu(111) and Cu(100) surface reported a vibrational efficacy of 8-9 for the hydrogen pickup reaction through the Eley-Rideal mechanism.<sup>35</sup> A recent study of  $CO_2$  dissociation dynamics on Cu(110) using multidimensional PES also suggests that excitation of bending vibrational mode enhances the reaction probabilities.<sup>14</sup>

We attribute this lack of vibrational enhancement to be resulting from the fact that the overall vibrational energy of the incident beam is still much smaller compared to the dissociation barrier. On the other hand, numerous previous studies where significant vibrational enhancement has been reported, are systems where the vibrational energy is comparable to the dissociation barrier height.<sup>30,31</sup> Another point that should be noted is that previous experimental work, such as HREELS studies of  $CO_2$  adsorbed on low-index planes of Cu, have also shown no evidence of chemisorption or bent  $CO_2$  state on Cu(110) surface.<sup>10</sup> However, a chemisorption state was observed for high-index planes of copper surfaces.<sup>6</sup> The absence of any role for vibrational energy in our measurements suggests that the bent configuration as a transition state is unlikely for low-index planes such as Cu(110).

We also explored the role of surface temperature on the dissociation probabilities. It is well understood that in direct dissociation processes, surface temperature has a minimal effect. However, in some systems, surface temperature can influence the reaction barrier and dissociation dynamics.<sup>29</sup> For example, in the dissociative chemisorption of CH<sub>4</sub> on a Pt(110)-(1×2) surface, increasing  $T_s$  from 400 K to 600 K reduced the dissociation barrier height by  $0.09 \pm 0.02$  eV, with an estimated surface temperature efficacy of 5.1.<sup>28</sup> Motivated by such findings, we sought to understand the role of  $T_s$  in the current system. Here, the temperature range was chosen based on previous literature, which indicates that prolonged annealing above 500 K can lead to the incorporation of oxygen atoms into the surface or the formation of a supergrating-like structure.<sup>36,37</sup> To avoid such complications, we maintained  $T_s$  well below 500 K. The measurements were performed at three translational energies: 0.28 eV, 1.04 eV, and 1.55 eV. Our studies show no significant effect of  $T_s$  on  $S_0$ , consistent with a direct dissociation process rather than a precursor-mediated one. We also conclude that the thermal energy of the surface is too low compared to the barrier height, and consequently no significant influence on the  $S_0$  is seen.

With the absence of a notable  $T_s$  effect on dissociation, we aimed to determine the barrier height and its distribution for the direct dissociation process (assuming a simplified 1D PES). Since both parallel and perpendicular momentum contribute similarly to overcoming the dissociation barrier, it is reasonable to assume that the barrier height distribution is consistent across all angles of incidence. Therefore, we used the measurements at normal incidence and nozzle temperature of 300 K to fit an S-shaped function for determining the barrier height and its distribution. Barrier height distribution is a convolution of various parameters, including beam energy distribution, surface temperature, the vibrational energy of molecules, the orientation of molecules in the molecular beam, and impact sites. Given our findings that surface temperature and vibrational energy have minimal influence on dissociation probability, the remaining parameters significantly contribute to the barrier height distribution. Knowing the energy spread of our incident beam, which ranged from 20% to 55% for  $T_n$  varying from 300 K to 850 K, respectively (see SI-3), we can infer the contribution of orientation and impact sites on barrier height distribution. From the S-shaped fitting function, we obtained the parameters: A = 0.04,  $E_0 = 1.6$  eV, and  $W_0 = 0.4$  eV. After accounting for the energy spread in the molecular beam, the effective barrier width  $W_0$  was determined to be 0.3 eV (see SI-7). To further understand the individual contribution of orientation and impact sites on the  $S_0$ , it will be interesting to look into the results from simulations.

The last point to be discussed is the increase in saturation coverage (from 0.5 to 0.66 ML), observed at  $E_i$  greater than 3 eV. Since this enhanced saturation coverage is only seen for higher  $E_i$  where a heated nozzle was employed, it is important to first understand if RWGS or increased ro-vibrational excitation has any role to play here. To understand this better, we turn our attention towards  $S_0$  measurements using a 30% CO<sub>2</sub> in H<sub>2</sub> mixture at  $T_n = 750$  K, corresponding to  $E_i = 0.66$  eV (point #1, red hollow circle in Figure 2(a)). Here too we observed that the O-atom coverage saturated at 0.5 ML. Further, no appreciable change in the  $S_0$  was observed compared to the overall trend at  $T_n = 300$  K. Based on these observations we rule out any role of increased ro-vibrational excitation (in the incident beam) leading to an increased saturation coverage. As also discussed earlier, this rules out the presence of RWGS (in the heated nozzle) and consequently its contribution towards the increased saturation coverage.

To understand this issue better, we turn our attention to the dissociative chemisorption of  $O_2$  on Cu(110), which is a well-studied system.<sup>6,38</sup> Previous literature firmly establishes that after dissociation, the O-atom coverage saturates at 0.5 ML, forming a (2×1) overlayer.<sup>36,37</sup> It is also known that prolonged exposure to  $O_2$  (10<sup>5</sup> Langmuir) leads to the formation of a new (6×2) O-Cu structure with 0.66 ML O-atom coverage,<sup>37</sup> similar to what we see at higher incident energies. A similar situation has also been reported for the N<sub>2</sub>/W(110) system.<sup>39</sup> In this work, it was reported that the saturation coverage is 0.25 ML at an energy of 0.1 to 1 eV. At higher incidence kinetic energies (2 eV), this saturation coverage increases to around

0.5 ML. Low-energy electron diffraction (LEED) studies<sup>39</sup> revealed a  $p(2\times2)$  structure at 0.25 ML coverage and  $c(4\times2)$  structure at 0.5 ML coverage. In light of the above points, we attribute the increased surface coverage at higher  $E_i$  to specific surface sites having a higher activation barrier for dissociation becoming accessible at  $E_i$  beyond 3 eV.

#### Summary and Concluding Remarks

In this work, we have studied the dissociative chemisorption of  $CO_2$  on a Cu(110) using a heated nozzle with  $E_i$  up to 4.6 eV. Our findings reveal that the  $S_0$  increases very slowly with increasing  $E_i$  beyond 2 eV. Additionally, the  $E_i$  dependence of  $S_0$  is consistent with total energy scaling, indicating a significant corrugation in the interaction potential. Another finding of this work is that vibrational energy (especially the bending mode) does not play a significant role in the dissociation probability, with an upper limit of vibrational efficacy estimated to be 0.25. These observations are consistent with previous UHV adsorption studies that reported no chemisorption state of  $CO_2$  on the Cu(110) surface. Furthermore, an increase in the saturation coverage from 0.5 ML to 0.66 ML at  $E_i$  higher than 3 eV shows that new surface sites with higher activation barriers become accessible at higher  $E_i$ . Importantly, the  $S_0$  values even at large  $E_i$  are of the order of  $10^{-2}$  and only increase slowly. These observations are consistent with a highly constrained reaction pathway where only a small fraction of incident molecules can cross the dissociation barrier. For obtaining deeper insights into the dissociation dynamics it will be interesting to look into the dissociation process with state-selective preparation of incident CO<sub>2</sub>, as demonstrated recently.<sup>40</sup> This will help in understanding the role of vibration excitation and possibly the effect of alignment of the incident  $CO_2$  on the  $S_0$ , shedding more light on the configurations that are more favorable for barrier crossing.

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## Supporting Information Available

- SI-1: Heated nozzle design and operation
- SI-2: Molecular beam profile at the Cu(110) surface
- SI-3: Molecular beam characterization
- SI-4: Using the hot nozzle to understand the role of vibrational excitation in CO<sub>2</sub> dissociation
- SI-5: Comparison with previous work
- SI-6: Effect of broad energy distribution on initial reaction probability
- SI-7: Barrier height distribution measurement

### Author contributions

SKS and PRS conceived and designed the study. SKS performed the measurements and analyzed the results with inputs from PRS. SKS and PRS discussed the results and prepared the manuscript.

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# **TOC** Graphic

