# Rules Describing CO<sub>2</sub> Activation on Single-Atom Alloys from DFT-meta-GGA Calculations and Artificial Intelligence

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Single-atom alloys (SAAs) arise as a promising concept for the design of improved  $CO_2$  hydrogenation catalysts. However, from the immense number of possible SAA compositions and structures, only a few might display the properties required to be useful catalysts. Thus, the direct, high-throughput screening of materials is inefficient. Here, we use artificial intelligence to derive rules describing surface sites of SAAs that provide an effective  $CO_2$  activation, a crucial initial step to convert the molecule into valuable products. We start by modeling the  $CO_2$  interaction with 780 sites of flat and stepped surfaces of SAAs composed by Cu, Zn, and Pd hosts via high-quality DFT-mBEEF calculations. Then, we apply subgroup discovery to determine constraints on key physical parameters, out of 24 offered candidate descriptive parameters, characterizing subgroups (SGs) of surface sites where chemisorbed  $CO_2$  displays large elongations of its C–O bonds. The key identified parameters are free-atom properties of the elements constituting the surface sites, such as their electron affinity, electronegativity, and radii of the *d*-orbitals. Additionally, the generalized coordination number is selected as a key geometrical parameter. The SG rules are used to identify promising alloys among more than 1,500 possible single-atom and dual-atom alloys. Some of the promising alloys predicted by the SG rules were explicitly evaluated by additional DFT-mBEEF calculations and confirmed to provide a significant  $CO_2$  activation.

## I. INTRODUCTION

Carbon dioxide is the main by-product of fossil fuels combustion and the largest contributor to global warming.<sup>1-3</sup> Among greenhouse gases, CO<sub>2</sub> is responsible for approximately 66% of Earth's total radiative forcing.<sup>4</sup> Thus, there is an urgent need for developing chemical processes to capture and transform CO<sub>2</sub> into valuable compounds, such as olefins and alcohols.<sup>5–12</sup> These processes can support a sustainable society if they are combined with the large-scale production of hydrogen from renewable energy sources.<sup>13-15</sup> Because the molecule is rather inert, the efficient conversion of CO<sub>2</sub> requires the use of catalysis. For instance, transition-metal catalysts can enable the hydrogenation of CO<sub>2</sub> to methanol via thermal processes.<sup>16-20</sup> Currently, Cu/ZnO/Al<sub>2</sub>O<sub>3</sub> is the state-of-the-art catalyst for performing this reaction.<sup>21</sup> However, this catalyst experiences deactivation in the presence of high concentrations of water within the reaction mixture.<sup>20–22</sup> Hence, developing new, water-tolerant catalysts is crucial to achieve industrial CO<sub>2</sub> utilization.

Pd-based CO<sub>2</sub> hydrogenation catalysts are more watertolerant than those based on Cu. Nevertheless, these systems favor the reverse water-gas shift reaction and produce significant amounts of carbon monoxide.<sup>17,18</sup> It has been shown that the selectivity to the desired methanol product can be increased by alloying Pd with a second metal, such as Zn or Ga.<sup>20,23</sup> Therefore, alloy materials offer a promising strategy for improving the performance of CO<sub>2</sub> hydrogenation catalysts.

Single-atom catalysts are a frontline approach in catalysis research.<sup>24,25</sup> In these materials, isolated atoms of a metal are dispersed and stabilized on a host material. If the substrates are metals, we identify these materials as single-atom alloys (SAAs). Thus, SAAs are metallic systems in which one single atom of a given chemical element is embedded in a monometallic host surface of a second element.<sup>26–30</sup> We denote these systems hereafter as SA@host. SAAs can display unique electronic properties compared to monometallic systems or stoichiometric alloys.<sup>31-34</sup> These properties can be exploited to design new catalysts. Particularly, the adsorption strength of reactive species with the materials surface can be modulated by the choice of the SA and host elements, and by the geometry of the adsorption sites containing the SA. Several methods for the synthesis of SAAs and applications in catalysis have been reported.<sup>35,36</sup> SAAs are typically designed by embedding a highly active SA element on the surface of a less active host element. This is done to enable the desired reaction while avoiding undesirable side (competing) reactions that would occur in systems of pure, highly active metals. Thus, the selectivity can be improved. Additionally, it has been shown that SAAs can display enhanced stability during time on stream (operation).<sup>27,37</sup> However, due to the practically infinite compositional and structural space, designing SAAs for catalysis is a formidable challenge.

Theoretical approaches like those based on density functional theory (DFT),<sup>38</sup> can elucidate specific processes related to reactivity, such as surface reaction elementary steps.<sup>39</sup> For instance, it is possible to model the  $CO_2$  activation, the key initial step for converting the molecule into valuable products.<sup>40,41</sup> Several DFT studies model the full reaction path for the  $CO_2$  conversion, on SAAs, to different products like methanol and Ethylene.<sup>42,43</sup>

Artificial Intelligence (AI) can accelerate the discovery of promising materials by identifying correlations and patterns in data.<sup>44</sup> Nonetheless, only few SAAs might present the properties required for them to be useful in a specific application. This is often the case in catalysis, where only a handful of compounds are known as efficient catalysts (active, selective, and stable during operation). Global AI models might overlook these exceptional cases, since these models are designed to describe as many materials as possible, *i.e.*, to have the best predictive performance *on average* for most, but not necessarily the useful materials.<sup>45,46</sup> Alternatively, focused AI approaches can provide descriptions of specific regions of inter-

est in the data space (or materials space). For instance, subgroup discovery (SGD) identifies local partitions of the data associated with outstanding distributions of a given target of interest.<sup>47–49</sup> In particular, SGD provides rules as constraints (*e.g.*, inequalities) on the values of the key properties identifying the materials in the subset(s) of interest. Compared with widely used clustering algorithms, SGD has significant differences. Clustering is an unsupervised method that groups data points based solely on similarity, without considering a target variable or providing explicit explanations for the grouping. In contrast, rather than assigning each point to a cluster, SGD is a supervised approach that identifies specific subsets or subgroups (SGs) of interest as well as rules explaining why data points belong to these SGs.

In this work, we combine DFT simulations with the SGD approach to obtain rules describing surface sites on different SAAs able to activate CO<sub>2</sub> effectively (Fig. 1). Relying on the meta-Bayesian-error-estimation functional (mBEEF) for exchange and correlation, a semi-local meta-generalized gradient approximation (meta-GGA),<sup>50</sup> we model the CO<sub>2</sub> interaction with 780 surface sites in several flat and stepped surfaces of 36 SAAs based on Cu, Zn, and Pd host elements. We created a data set containing 24 physicochemical candidate descriptive parameters characterizing the surface sites where the molecule chemisorbs. As the target property for our AI analysis, we use the C-O bond elongation of these chemisorbed CO<sub>2</sub> structures. Then, by applying SGD, we uncover descriptions of surface-site SGs in the SAAs data set resulting in a large elongation of at least one of the C-O bonds. The obtained rules highlight the key electronic and geometric properties of the SAA surface sites associated with CO2 activation. Based on the obtained SG rules, we efficiently identify promising alloys in a candidate space of more than 1,500 possible SAAs and dual-atom alloys (DAAs).<sup>51,52</sup> Through additional DFT-mBEEF calculations, we confirm the capability of the surface sites in these promising alloys to activate CO<sub>2</sub>. Therefore, our approach provides chemical insights into the CO2 activation on SAAs while enabling the efficient design of new materials.

#### II. METHODOLOGY

# A. Atomistic Models of the SAA Surfaces and Calculations Settings

Based on previous work on the CO<sub>2</sub> hydrogenation by thermal<sup>16–20</sup> and electrochemical catalysis,<sup>53–55</sup> we choose Cu, Zn, and Pd as host metals in our SAAs. 12 different SAs are combined with each of the three hosts resulting in 36 SAAs. Overall, 120 flat and stepped SAA surfaces and 780 different surface sites were considered (Figure 2). The sites in the pristine surfaces of the three host metals were also included in the study.

The DFT simulations were performed with the FHIaims package<sup>56</sup> and managed through the Atomic Simulation Environment.<sup>57</sup> We use the mBEEF functional<sup>50</sup> to perform our calculations. Prior benchmark work<sup>58–60</sup> confirms



FIG. 1. The single-atom-alloy (SAA) concept, *i.e.* embedding a single atom in a host metal, can significantly affect the CO<sub>2</sub> activation. (a) For the Pd(111) surface, the molecule chemisorption is not favorable ( $E_{\rm ads}^{\rm CO_2} = 0.046 \, {\rm eV}$ ), and the C–O bond of chemisorbed CO<sub>2</sub> is slightly elongated with respect to the bond lengths in the isolated (gas-phase) molecule (1.155 Å). (b) In the Os@Pd(111) surface, CO<sub>2</sub> chemisorption is favored ( $E_{\rm ads}^{\rm CO_2} = -0.337 \, {\rm eV}$ ) and the C–O bond is significantly elongated, indicating a stronger activation.



 Zn
 HCP<sup>b</sup>
 0001, 0001-step

 Face-centered-cubic structure
 Hexagonal-closed-packed structure
 Additional set of atoms

FIG. 2. Single-atoms, hosts, and considered surface terminations in our study of the  $CO_2$  activation on SAAs. The structures containing Co and Ni atoms involved spin-polarized calculations.

mBEEF as an appropriate choice to model the interaction between  $CO_2$  and the SAA surfaces. The use of the Hartree potential correction of FHI-aims allowed us to speed up the simulations. A detailed description of the slab models, considered surface sites, and the DFT-mBEEF simulations, is provided in the Electronic Supplementary Information (ESI) Section 1.

We evaluate the CO<sub>2</sub> adsorption energy  $(E_{ads}^{CO_2})$  to judge whether the interaction with the SAA surface is energetically favorable.

$$E_{\rm ads}^{\rm CO_2} = E_{\rm slab\cdots CO_2} - (E_{\rm slab} + E_{\rm CO_2}). \tag{1}$$

Here,  $E_{\text{slab...CO}_2}$  is the energy of the slab with adsorbed CO<sub>2</sub>,  $E_{\text{slab}}$  is the energy of the clean slab (without the molecule), and  $E_{\text{CO}_2}$  is the energy of the isolated CO<sub>2</sub> molecule.

As the physisorption of CO<sub>2</sub> is favorable on the surface of the three host metals, with  $E_{ads}^{CO_2}$  values between -0.16and -0.12 eV, we employ two criteria to select the structures for the SGD analysis. First, we only take into account chemisorbed CO<sub>2</sub> structures associated with  $E_{ads}^{CO_2} < 0$  eV, since metastable configurations with  $E_{ads}^{CO_2} > 0$  eV will be unlikely compared with the molecule's physisorption. Second, we select only the structures in which CO<sub>2</sub> directly interacts with the SA on the surface (see Fig. 1(b)), as the adsorption on SAA structures that are only composed by atoms of host element is similar to the adsorption on the sites of monometallic Cu, Zn, and Pd surfaces, which are included in our analysis (see Table S2 in the ESI and related discussion).

We consider the C–O bond elongation in the chemisorbed  $CO_2$  molecule ( $\Delta d_{max}^{C-O}$ ) as an indicator of  $CO_2$  activation.<sup>61</sup> This quantity is defined as:

$$\Delta d_{\text{max}}^{\text{C}-\text{O}} = d_{\text{chem}}^{\text{C}-\text{O}} - d_{\text{equil}}^{\text{C}-\text{O}}, \qquad (2)$$

were  $d_{chem}^{C-O}$  is the largest distance between the two C–O bond distances in the chemisorbed CO<sub>2</sub> molecule, and  $d_{equil}^{C-O}$  refers to the distance between C and O in an optimized gas-phase CO<sub>2</sub> molecule evaluated with DFT-mBEEF (1.155 Å).

#### B. Subgroup discovery

Starting with a data set of population  $\tilde{P}$ , containing N physicochemical candidate descriptive parameters ( $\varphi_i$ ) and a target quantity of interest Y, *e.g.* a material's property, SGD searches along the  $\varphi_i$ -space and identifies subsets of data or subgroups (SGs) with outstanding distributions of Y.<sup>47,48</sup> These SGs are identified by selectors ( $\sigma_i$ ) or "rules" which can be used to obtain physical insights and to screen for new materials outside the training data set. The rules typically have the form:

$$\sigma_i = \pi_1 \wedge \pi_2 \wedge \dots \tag{3}$$

were the  $\pi_i$  are propositions constraining the values of each  $\varphi_i$  to some minimum ( $\pi_1 \equiv \varphi_1 > a$ ) or maximum ( $\pi_2 \equiv \varphi_2 < b$ ) values to be determined. When a sample in the data set follows all the propositions in a given set of rules, the sample belongs to the SG. The identification of the SGs is based on the maximization of a quality function *Q*:

$$Q(SG, \widetilde{P}) = \frac{s(SG)}{s(\widetilde{P})} \cdot u(SG, \widetilde{P}).$$
(4)

The first term, known as the coverage, is the ratio between the size of the subgroup s(SG) and the size of the data set  $s(\tilde{P})$ . This term prevents the selection of too small SGs. The second term is the utility function  $u(SG,\tilde{P})$  and provides a metric for the usefulness of the SGs. The target in our analysis is  $\Delta d_{max}^{C-O}$  as defined in Eq. 2 and we aim at identifying rules

TABLE I. Candidate descriptive parameters ( $\varphi_i$ ) used in the subgroup-discovery analysis. Including a large number of properties increases the chances of AI to find which properties of the alloy determine an efficient CO<sub>2</sub> activation.

Туре	Symbol	Unit	Description			
	PE <sub>h</sub>	-	Host Pauling electronegativity			
Host	IP <sub>h</sub>	aV	Host ionization potential			
	EA <sub>h</sub>	ev	Host electron affinity			
	r <sub>s-h</sub>		Host s-orbital atomic radius			
	r <sub>p-h</sub>		Host <i>p</i> -orbital atomic radius			
	r <sub>d-h</sub>	Å	Host <i>d</i> -orbital atomic radius			
	r <sub>val-h</sub>		Host valence radius			
	Bulk <sub>h-nnd</sub>		Neighbor distance in host bulk			
Single- atom	PE <sub>SA</sub>	-	SA Pauling electronegativity			
	IP <sub>SA</sub>	aV.	SA Ionization potential			
	EASA	εv	SA electron affinity			
	r <sub>s-SA</sub>	Å	SA s-orbital atomic radius			
	r <sub>p-SA</sub>		SA <i>p</i> -orbital atomic radius			
	r <sub>d-SA</sub>		SA <i>d</i> -orbital atomic radius			
	rval-SA		SA valence radius			
	PE <sub>site</sub>	-	Surface site PE <sup>a</sup>			
Surface site	IP <sub>site</sub>	aV	Surface site IP <sup>a</sup>			
	EAsite	εv	Surface site EA <sup>a</sup>			
	Site <sub>no</sub>	# atoms	Atoms in the surface site			
Surface	PE <sub>snn</sub>	-	Surface site and first neighbors PE <sup>a</sup>			
	IP <sub>snn</sub>	οV	Surface site and first neighbors IP <sup>a</sup>			
site + first	EA <sub>snn</sub>	C V	Surface site and first neighbors EA <sup>a</sup>			
neighbors	CN	# atoms	Surface site coordination number			
	gen-CN		Generalized CN			

<sup>a</sup> Average of all atoms in the ensemble.

describing structures with large  $\Delta d_{max}^{C-O}$  values, Thus, we used the normalized positive mean shift function. This utility function favors SGs with high mean values of  $\Delta d_{max}^{C-O}$ . Hence, we should identify SGs of SAA surface sites that can significantly activate CO<sub>2</sub>, and weaken at least one of its bonds.

As candidate descriptive parameters, we collected 24 physicochemical properties characterizing the SAAs and the surface sites where CO<sub>2</sub> chemisorbs (Table I). These parameters are constructed considering (free-atom) properties of the elements in the alloys, the monometallic bulk systems and of the SAA surface sites. The elemental properties are Pauling electronegativity (PE), ionization potential (IP), electron affinity (EA), and s-, p-, d-, and valence-orbital radii. We define four types of candidate descriptive parameters: host, SA, surface site, and surface site + first nearest neighbors. The host and SA parameters are defined as the properties of the elements associated with hosts and SAs. Additionally, the bulk interatomic distance is included within the host's candidate parameter. The surface site and surface site + first nearest neighbors parameters are defined as the average of the elemental properties corresponding to all atoms in the site (or ensemble). In other words, if the adsorption site is composed by more than one atom, the property average of all atoms in the ensemble is the value included in the data set. Two geometrical parameters characterizing the surface sites are included: coordination number (CN) and the generalized-CN (gen-CN).<sup>62</sup> By including basic candidate descriptive parameters, we aim to derive rules capable of screening new materials efficiently, without the need of further sophisticated DFT simulations. Additional details on the SGD AI approach, the utility function, and the candidate descriptive parameters evaluation are provided in the ESI Section 3.

#### III. RESULTS AND DISCUSSION

#### A. DFT-mBEEF Simulations and CO<sub>2</sub> interaction with SAAs

Overall, we performed approximately 2200 simulations modeling the interaction of the CO<sub>2</sub> molecule with SAAs based on the hosts Cu, Zn, and Pd. The monometallic surfaces of the hosts were also included in our analysis. Most of our simulations identified structures where CO<sub>2</sub> is physisorbed. The physisorption is characterized by the molecule's linear configuration. Besides, in the physisorbed systems, the molecule-surface distance is typically around 3 Å. Nevertheless, some simulations resulted in chemisorbed CO<sub>2</sub> structures. We found 199 structures where chemisorb CO<sub>2</sub> satisfies the criteria in Sec. II A. Three kinds of interactions are identified among the structures with chemisorbed  $CO_2$ : a) only the carbon atom of CO<sub>2</sub> bonds to the surface  $(\eta^1)$ , b) the carbon and one oxygen atom of CO<sub>2</sub> bond to the surface  $(\eta^2)$ as in Fig. 1, and c) the three atoms of the molecule bond to the surface  $(\eta^3)$ . The  $\eta^1$  and  $\eta^3$  geometries are illustrated in ESI Section 2.1. We analyze the correlation between  $\Delta d_{max}^{C-O}$ and  $E_{ads}^{CO_2}$  considering the overall trends observed on these 199 systems. In particular, we focus on the host element, the surface structure, and the SA influences.

Fig. 3 (a) shows the adsorption energy and the C-O bond elongation values associated with the structures containing chemisorbed CO<sub>2</sub>. The  $E_{ads}^{CO_2}$  and  $\Delta d_{max}^{C-O}$  values are in the ranges [-1.2, 0 eV] and [0.04, 0.25 Å], respectively. These wide ranges show that the surface-adsorbate adsorption energy can be largely tuned by the choice of host and SA elements, and by the structure of the adsorption site. The SAAs displaying the strongest binding with the adsorbate, *i.e.*, the lowest  $E_{ads}^{CO_2}$  values, are based on the Cu host. No clear pattern can be identified among the SAAs associated with large  $\Delta d_{max}^{C-O}$  values. Fig. 3 (a) also shows that low  $E_{ads}^{CO_2}$  translates to large C-O bond elongations in some systems, implying that these quantities might be inversely correlated. However, the systems presenting the largest C–O bond elonga-tions ( $\Delta d_{max}^{C-O} > 0.18$  Å) display rather high CO<sub>2</sub> adsorption energy ( $E_{ads}^{CO_2} > -0.30$  eV). Thus, large C–O bond elongations are not necessarily correlated with low CO<sub>2</sub> adsorption energies. The analysis of the charge transfer from the SAAs surfaces to chemisorbed CO<sub>2</sub> shows no clear correlation with the adsorption energy (see ESI Section 2.3).

We have also verified the effect of the surface structure on the  $CO_2$  chemisorption. Here, we focus the discussion on surface terminations of the SAAs based on the Pd host (Fig. 3(b)). The Pd(110) and Pd(211) terminations tend to provide SAAs with stronger CO<sub>2</sub> chemisorption compared to Pd(111) and Pd(100). The Pd(110) and Pd(211) surfaces are also associated with some of the largest  $\Delta d_{max}^{C-O}$  values. These observations can be related to the fact that surface adsorption sites in (110) and (211) terminations display atoms with lower coordination (lower gen-CN) compared to the (111) and (100) terminations. Thus, these more unsaturated surface atoms can bind stronger to CO<sub>2</sub>, activating the molecule. However, we note that some surface sites of (110) and (211) terminations are also associated with weak adsorption energies and small  $\Delta d_{max}^{C-O}$  values. Thus, these trends do not hold for all situations and the SA element also plays an important role.

Fig. 3(c) displays the influence of the SA on the  $E_{ads}^{CO_2}$  and  $\Delta d_{max}^{C-O}$ . For this analysis, we focus on bridge sites of SAAs with the Pd host and corresponding to the surfaces (111), (100), (110), and (211). Firstly, we note that the presence of the SA typically favors the CO<sub>2</sub> chemisorption compared to the case of monometallic surfaces.<sup>63</sup> Moreover, we observe a decrease in the  $E_{ads}^{CO_2}$  as the group of the SA increases within a given period in the periodic table. For instance, the average  $E_{ads}^{CO_2}$  decrease between Ru and Rh bridge sites in Fig. 3(c) is 0.104 eV. In general,  $\Delta d_{max}^{C-O}$  seems to be mostly dictated by the SA element. Variations in the C–O elongation among the different surface terminations are small and do not show a clear pattern. The analysis for bridge sites of SAAs based on Cu and Zn hosts (see ESI Section 2.4) highlights similar trends to those for SAAs based on the Pd host.

Through the analysis of the data in Figs. 3(a), (b), and (c), it becomes clear that the host metal, the SA element, as well as the structure of the surface sites all impact  $CO_2$  activation on the SAAs. Thus, it is challenging to establish simple (*e.g.* linear) correlations describing an effective  $CO_2$  activation. In particular, the above discussion highlights that interesting scenarios might escape the overall (global) trends. To obtain such correlations, termed rules, we collected the 24 candidate descriptive parameters described in Table I for each of the 199 structures and used this information as input for the SGD AI analysis.

# B. Identifying rules describing large C–O bond elongation in SAAs $% \left( {{{\rm{SAA}}} \right)$

The conversion of  $CO_2$  into valuable products requires breaking at least one of its bonds. Hence, large values of  $\Delta d_{max}^{C-O}$  can reflect high reactivity of the SAA surface sites. For this reason,  $\Delta d_{max}^{C-O}$  is chosen as the target in our SGD study. The histogram showing the distribution of  $\Delta d_{max}^{C-O}$  in the data set of 199 structures (Fig. 3(d), in grey) highlights that the mean bond elongation is 0.103 Å. As only a few structures provide bond elongations larger than 0.15 Å, it is clear that the strong activation of  $CO_2$  is an exceptional situation among the different SAA adsorption sites.

We used the SGD approach to identify descriptions of surface sites of SAAs presenting large values of the target  $\Delta d_{max}^{C-O}$ . For this purpose, we use the normalized positive-mean-shift utility function (see ESI Eq. S9). Table I shows the candidate descriptive parameters used in the SGD studies. After sectioning the  $\varphi_i$ -space, SGD analyzed half a million SGs and identi-



FIG. 3. Analysis of CO<sub>2</sub> chemisorption and activation on surface sites of single-atom alloys (SAAs) via DFT-mBEEF calculations and subgroup discovery (SGD) using 199 data points. (a): Relationship between adsorption energy  $(E_{ads}^{CO_2})$  and largest C–O distance in chemisorbed CO<sub>2</sub> ( $\Delta d_{max}^{C-O}$ ). (b): Surface-sensitivity of  $E_{ads}^{CO_2}$  and  $\Delta d_{max}^{C-O}$  for SAAs based on the Pd host. (c): SA influence on  $E_{ads}^{CO_2}$  and  $\Delta d_{max}^{C-O}$  for SAAs based on the Pd host. (d): Distribution of  $\Delta d_{max}^{C-O}$  in the entire data set and in the identified SGs  $\sigma_{max(Q)}$  and  $\sigma_{selected}$ . (e): Top-rated SGD solutions with respect to the quality function of Eq. 4 and Pareto front of optimal solutions with respect to coverage (*x* axis) and normalizedpositive-mean-shift utility function (*y* axis, see ESI Eq. S9). (f): Results of new DFT-mBEEF simulations used to validate the SG rules (see details of considered systems in Table III).

fies those with high  $Q(SG, \tilde{P})$  values. In Figure 3(e), we show the 15,000 top-rated SGD solutions with respect to the quality function (Eq. 4). These solutions are shown in a utilityfunction  $(u(SG, \tilde{P}))$  vs. coverage plot. The SG maximizing  $Q(SG, \tilde{P})$ , denoted  $\sigma_{max(Q)}$ , has a  $\Delta d_{max}^{C-O}$  mean of 0.118 Å, a coverage of 0.387, and is displayed in green in Fig. 4(d,e). We introduce the rules associated with  $\sigma_{max(Q)}$  and the corresponding surface sites in the ESI Section 3.3. This SG contains surface sites associated with larger bond elongation compared to the entire data set. Nevertheless,  $\sigma_{max(Q)}$  includes a significant fraction of systems with relatively small values of  $\Delta d_{max}^{C-O}$ .

In order to identify SGs focusing on the large  $\Delta d_{max}^{C-O}$ , *i.e.*, associated with more outstanding distributions of the target, we analyzed the Pareto front of SGD solutions with respect to the objectives coverage and utility function (magenta points in Fig. 3(e)).<sup>64</sup> In multi-objective optimization, a Pareto front

is defined as the set of solutions for which no single objective can be improved without deteriorating at least one other objective. Thus, the solutions in the Pareto front reflect an optimal tradeoff between competing objectives. This analysis allows us to take into account multiple tradeoffs between the two conflicting objectives of SGD: coverage and utility. We focus on the SG with the highest utility value in the Pareto front. Denoted as  $\sigma_{selected}$ , this SG coverage is 0.131 and shows a  $\Delta d_{max}^{C-O}$  mean of 0.132 Å. The target distribution in  $\sigma_{selected}$  is shown in blue in Fig. 3(d, e). This SG is more focused on the outstanding situation compared to the SG that maximizes the quality function. From the 24 offered candidate descriptive parameters, SGD identifies four parameters as key to describe the capability of the surface sites in SAAs to strongly elongate CO<sub>2</sub> bonds: the electron affinity of the adsorption site (EA<sub>site</sub>), the Pauling electronegativity of the adsorption site (PEsite), the generalized coordination number

of the adsorption site (gen-CN), and the radius of the SA *d*-orbital ( $r_{d-SA}$ ). The rules associated with the SG  $\sigma_{selected}$  are the following:

$$\sigma_{selected} : \quad \text{EA}_{\text{site}} \le 1.28 \,\text{eV} \land \text{PE}_{\text{site}} \le 2.22 \land$$
$$\text{gen-CN} \ge 5.87 \land r_{d\text{-SA}} > 0.647 \,\text{\AA}$$
(5)

 $EA_{site}$ ,  $PE_{site}$ , and  $r_{d-SA}$  highlight the importance of the electronic properties of the SA and host elements for achieving  $CO_2$  activation. In particular, the rules establish that the SA should display  $r_{d-SA} > 0.647$  Å. This value is larger than the hosts' d-orbital radii equal to 0.319 Å, 0.300 Å, and 0.581 Å, for Cu, Zn, and Pd, respectively. The trend for the *d*-orbital radius of the elements within a given period decreases as the group number increases. Thus, there is a connection between the uncovered rules and the patterns observed in Fig. 3(c), where the SAs with larger *d*-orbital radius are also the ones favoring larger  $\Delta d_{max}^{C-O}$  (Os > Ir  $\approx$  Pt, and Ru > Rh). The gen-CN, in turn, denotes the importance of the geometric environment of the surface site as another key factor for CO<sub>2</sub> activation. The rule on gen-CN constrains the values of this parameter to a minimum threshold. This excludes adsorption sites that present unsaturated atoms, such as the ontop and the bridge2-step (see Fig. S1) sites of the (211) surfaces, and ontop and short bridge sites of the (110) surfaces. No explicit properties of the host are listed in the rules. However, EAsite and PEsite introduce a host dependence as these quantities are averages among all the atoms that are part of the adsorption sites (SA + host).

The 26 surface sites that are part of  $\sigma_{selected}$  are presented in Table II and highlighted by crosses in Figure 3(a-c). The selected SG contains surface sites of SAAs associated with the three considered hosts. This means that SAAs based on Cu, Zn, and Pd hosts can activate CO<sub>2</sub> effectively. The geometries of the surface sites in  $\sigma_{selected}$  for the Cu host are bridge, hollow, and long bridge and they are present in the (111), (100), and (110) surfaces. In the case of the Zn host, ontop and bridge surface sites of the (0001) and (0001)-step surfaces are part of  $\sigma_{selected}$ . Finally, for the Pd host, the sites in  $\sigma_{selected}$  are bridge, ontop, long bridge, 4-fold hollow, and fcc-s. These sites are present in the Pd surfaces (111), (100), (110), and (211). Each of these sites is displayed in the ESI Figure S2. Only two SA chemical elements are contained in  $\sigma_{selected}$ , namely Os and Ir. Overall, the analysis of the systems in  $\sigma_{selected}$  reveals that the electronic and geometric environment mostly provided by bridge sites with Ir and Os SAs are key for CO<sub>2</sub> activation.

Clearly, the rules uncovered by SGD provide physical insights by identifying the key parameters favoring the effective  $CO_2$  activation on SAAs. Nonetheless, each of the constraints identified by SGD does not necessarily have a specific physical meaning, as only the combination of all constraints describes  $CO_2$  activation. In addition to the physical insights, the SG rules provide an efficient way to search for new SAAs containing different metals. This is because the parameters entering the rules in Expression 5 can be evaluated for a large number of surface sites in alloys. In particular, it is desirable to identify SAAs that can activate  $CO_2$  and contain more

TABLE II. SAAs and surfaces belonging to the selected SG. From the 26 sites in the SG, SAAs based on Cu and Zn hosts have 5 each, the remaining 16 belong to the Pd host.

Host	Surface	Geometry of sites	SAs	
	111	Bridge		
Cu	100	Bridge, hollow	Os	
	110	Long bridge		
Zn	0001	Ontop, <sup>a</sup> bridge	Oa Ir	
	0001-step	Bridge1-s, fcc-s	Os, Ir	
Pd	111	Bridge		
	100	Ontop, <sup>a</sup> bridge	Os, Ir	
	110	Long bridge, 4-fold hollow		
	211	Bridge1-s, bridge3-s, fcc-s		

<sup>a</sup> Chemisorbed CO<sub>2</sub> displays an  $\eta^3$  configuration.

earth-abundant elements than those in the identified SG (Os and Ir). In the following section, we perform the screening of SAAs considering a broader number of candidate elements.

#### C. Exploiting the SG rules to identify candidate alloys

We used the SG rules to identify SAAs in a larger *candidate space* of SAAs compared to the training set. The chosen candidate space contains 20 SA chemical elements (yellow elements in Fig. 2). The surface terminations, and adsorption sites considered in this analysis are shown in Table III. We focused on bridge adsorption sites, as this site geometry is present in all of the surface terminations within  $\sigma_{selected}$ . More specifically, three bridge sites were taken into account: the long bridge in Cu(110), and the bridge sites of Zn(0001) and Pd(100). In total, 60 surface sites are taken into account in this screening.

From the 60 SAA surface sites considered in the screening, 23 fulfill the propositions in  $\sigma_{selected}$ . We performed new DFT-mBEEF calculations to confirm whether the SAAs selected by the SG rules indeed provide significant C-O bond elongation in chemisorbed CO<sub>2</sub>. The computational settings for these geometry optimizations were similar to the ones described in Sec. II A. We evaluated the 23 systems selected by the SG rules. These results are displayed in the upper half of Figure 3(f). In this figure, the orange bins correspond to the distribution of  $\Delta d_{max}^{C-O}$  values for the 23 systems. 21 of the 23 tested adsorption sites activate CO<sub>2</sub>. These systems contain the SA elements Y, Zr, Nb, Mo, Hf, Ta, W, and Re on the Cu(110) surface, the SA elements Y, Nb, Mo, Ta, W, and Re on the Zn(0001) surface, and the SA elements Y, Zr, Nb, Mo, Hf, Ta, and Re on the Pd(100) surface. The average  $\Delta d_{max}^{C-O}$  among these 23 sites is equal to 0.139 Å. The system displaying the largest elongation among the tested sites is Hf@Cu(110) ( $\Delta d_{max}^{C-O} = 0.235$  Å). This large bond elongation is closer to the maximum value within the training data set, of 0.252 Å, which is associated to a long bridge in an Os@Cu(110) surface. Two sites selected by the SG rules do not activate CO<sub>2</sub> (Zr@Zn(0001) and Hf@Zn(0001)). Additionally, we also use DFT-mBEEF to evaluate CO<sub>2</sub> activation

TABLE III. Host surfaces and bridge sites considered in the screening of SA and DA alloys suitable for CO<sub>2</sub> activation. In the case of the SAAs, we used the 20 SAs highlighted in yellow in Fig. 2. For DAAs, we used the set of 33 atoms highlighted in Fig. 2 (green and yellow), and  $r_{d-SA}$  in  $\sigma_{selected}$  is taken as the average of the two substituted atoms. Applying the rules allows a reduction of 62% and 75% of the candidate surface sites in the considered SA and DA alloys, respectively. Moreover, additional DFT-mBEEF calculations confirmed the rules' capability to predict an effective CO<sub>2</sub> activation.

Materials	Host	Studied	Candidate	Sites selected	Selected sites		Not selected sites	
	surfaces	site	sites	by the SG rules	Tested	Confirmed	Tested	Confirmed
SAAs	Cu(110)	Long bridge	20	8	8	8	5	4
	Zn(0001)	Bridge	20	8	8	6	5	4
	Pd(100)	Bridge	20	7	7	7	5	3
DAAs	Cu(100)	Bridge	496	133	5	5	5	2
	Pd(111)	Bridge	496	125	5	4	5	5
	Pd(100)	Bridge	496	125	5	3	5	2

on 15 sites that were not selected by the SG rules. The distribution of  $\Delta d_{max}^{C-O}$  values corresponding to these 15 systems is shown in 3(f) as purple bins. With a mean bond elongation of 0.04 Å, 11 of 15 tested systems did not activate CO<sub>2</sub>. The largest value of  $\Delta d_{max}^{C-O}$  among these 15 systems is 0.178 Å, lower than the corresponding value among the selected SAA surface sites (0.235 Å). Overall,  $\sigma_{selected}$  provides good criteria to identify sites where CO<sub>2</sub> can be activated in SAAs.

In addition to SAAs, we have also considered dual-atom alloys (DAAs) in our screening. DAAs are composed of a host and two different chemical elements A and B in close proximity, denoted (A, B)@host.<sup>51,52</sup> DAAs have recently received attention since the synergy between the two atoms in these systems can be exploited to efficiently activate molecules, such as ethanol,<sup>51</sup> and  $CO_2$ .<sup>52</sup> The design of DAAs is more challenging compared to the design of SAAs, as the number of possible combinations of elements and surface sites increases substantially. Hence, the SG rules could accelerate the discovery of DAA capable of activating CO<sub>2</sub>. For the DAA screening, we considered bridge sites of the following hosts and surface terminations Cu(100), Pd(111), and Pd(100). The 33 SA chemical elements highlighted in green and yellow in Figure 2 were included in this screening. The number of DA bridge sites that can be constructed based on these SA and host surfaces is 1,488. The parameter  $r_{d-SA}$  in Expression 5 is defined based on one SA element. Thus, to apply the constraint on  $r_{d-SA}$  to the screening of surface sites in DAAs, we extended the definition of  $r_{d-SA}$  by considering the average of the *d*-orbital radii of the two elements A and B in the DAA systems. The remaining parameters in 5 can be evaluated for DAAs using the definitions discussed previously.

By applying the SG rules with such extended definition for  $r_{d-SA}$ , we identify 383 surface sites of DAAs likely to provide large C–O bond elongations. We selected 15 out of these 383 systems, corresponding to five DAA sites per surface, and performed new DFT-mBEEF calculations. These results are summarized in the lower half of 3(f). The orange bins show the distribution of  $\Delta d_{max}^{C-O}$  values corresponding to these 15 systems. 12 of the tested bridge sites are able to activate CO<sub>2</sub>. These sites are, for each host surface, the following: (Cd, W), (Mo, Pd), (Sc, Hf), (Zr, Ag), (Y, Pd)@Cu(100); (Nb, Mo), (Re, Pt),(Rh, Hf),(Sc, W)@Pd(111); (Mo, Hf), (Ti, Ta),(Ta, Pt)@Pd(100). The average  $\Delta d_{max}^{C-O}$  among these

15 sites is equal to 0.111 Å. The systems displaying the largest C–O bond elongation among the tested sites are (Re, Pt)@Pd(111) and (Ta, Pt)@Pd(100), with  $\Delta d_{max}^{C-O}$  values of 0.190 and 0.187 Å, respectively. Three of the selected DAAs, (Zr, Ag)@Pd(111) and (Nb, Hg), (Y, Ag)@Pd(100), did not present CO<sub>2</sub> activation. Finally, we evaluated CO<sub>2</sub> activation on 15 of the DAA surface sites that were not selected by the SG rules. The purple bins of the lower panel in Fig. 3 (f) show the distribution of  $\Delta d_{max}^{C-O}$  among these 15 systems. 8 sites did not show any activation and the average  $\Delta d_{max}^{C-O}$  value across the 15 sites is 0.059 Å. Even though the SG rules missed some DAA systems providing relatively large  $\Delta d_{max}^{C-O}$  and were not as effective as they were for SAAs, they correctly identified the DAA systems presenting the larger  $\Delta d_{max}^{C-O}$  values among all tested DAAs. This result is remarkable given that the rules were only trained on the much simpler SAA systems. We stress that the SGD rules can be systematically improved by retraining with more data (e.g., the data related to DAAs), providing rules that better describe an effective CO2 activation on both SA and DA alloy systems.

In addition to the surface reactivity, the synthesizability, and stability of a catalyst during the operation are crucial design criteria in heterogeneous catalysis. Indeed, the migration of metal atoms and the segregation of different metal phases might occur in SAAs and DAAs.<sup>65</sup> As a proxy for the synthesizability and stability of the considered alloys, we evaluated the formation energy of the SAAs used for training SGD by using DFT-mBEEF calculations. The details are presented in Section 4 of the ESI. The formation energy reflects the thermodynamic stability of the SAA system compared to the puremetal phases. Our results show that the formation energies strongly depend on the host and SA. SAAs with favorable as well as unfavorable formation energies are present in our data set. In general, large C-O bond elongation are associated with unfavorable formation energies, *i.e.*, the most unstable SAAs are also the most reactive towards CO<sub>2</sub> activation. Nonetheless, relatively stable alloys can also achieve moderate C-O bond elongations, making them promising candidates for further investigation.

An active catalyst for  $CO_2$  hydrogenation likely displays a good capability of activating  $CO_2$  and  $H_2$ . However, a selective catalyst should also favor the formation of the desired products by interacting with hydrogen and other reaction intermediates in an appropriate manner. The use of SGD described here can be extended to other key steps and intermediates along  $CO_2$  conversion. For instance, the stability of formate intermediate in the molecule's hydrogenation to methanol has been proposed to be crucial.<sup>11,39</sup> Such analysis will be the subject of a separate contribution.

Finally, we note that the reaction conditions were not taken into account in this work. Nonetheless, if the applied reaction conditions (*e.g.*, temperature, pressure) are harsh, the surface of the catalyst might restructure. Such restructuring is typically unknown. Previously, the blending of high-quality theoretical and experimental data has shown that AI can identify correlations that take into account the experimental reaction conditions.<sup>66,67</sup> These approaches enabled the design of new materials confirmed experimentally. Thus, the incorporation of experimental data into SGD studies describing CO<sub>2</sub> conversion on SA and DA alloys is a promising route for addressing the impact of reaction conditions on the catalytic performance. This will require, however, systematic and rigorous experimental procedures<sup>68</sup> for the characterization and the evaluation of stability and reactivity of series of SAAs and DAAs.

### **IV. CONCLUSIONS**

In this work, we used high-quality data generated by DFTmBEEF calculations and applied the SGD AI approach to study the CO<sub>2</sub> activation in 36 SAAs based on Cu, Zn, and Pd hosts. From 24 easily accessible candidate descriptive parameters, SGD selected four parameters as key to characterize a SG of SAA surface sites capable of strongly elongating the C-O bonds of the chemisorbed molecule. These key properties highlight the importance of the SA nature (radius of its d-orbitals) and the surface site's electronic (the electron affinity and Pauling electronegativity of the surface site) and geometric factors in achieving an effective CO<sub>2</sub> activation. The rules provided by SGD connect these key parameters to the desired bond elongation and can be used for materials design. Indeed, from a total of 1,548 candidate systems, the rules enabled a fast screening and prediction of SA and DA alloys of new potential members of the outstanding SG. Through new DFT-mBEEF simulations, we tested and confirmed the rules' capability to correctly predict the strong CO2 activation on SA and DA alloys beyond the chemical space used to train SGD. We hope this work will encourage the use of high-quality data with focused AI approaches, like SGD, to accelerate the design of materials for catalysis. Crucially, having access to experimental data capturing phenomena that are hard to include in calculations, such as surface reconstruction and mass/energy transport, will boost the capabilities of datacentric AI approaches.

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#### DATA AVAILABILITY

The input and output files for the calculations supporting this study's findings are available in the NOMAD repository at http://doi.org/10.17172/NOMAD/2024.05.10-1.

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