# **High-resolution photoelectron spectroscopy of NO<sup>3</sup> <sup>−</sup> vibrationally excited**  along its  $v_3$  mode

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### **Abstract**

The nitrate  $(NO<sub>3</sub>)$  radical has long been the subject of both experimental and theoretical studies due to its complex electronic structure resulting from vibronic interactions between the  $\tilde{X}^2 A'_2$  and  $\tilde{B}^{2}E'$  states. In particular, the definite assignment of the fundamental of its degenerate stretching vibration  $(v_3)$  is still under debate. Here, we extend the available spectroscopic information by reporting high-resolution photoelectron spectra of vibrationally pre-excited  $NO<sub>3</sub><sup>-</sup>$  using the recently developed IR-cryo-SEVI technique. The anions are excited through infrared (IR) excitation near 1350 cm<sup>-1</sup>, accessing the  $v_3$  and  $2v_3(e')$  vibrational levels with band centers at 1350.5 cm<sup>-1</sup> and ~2700 cm<sup>-1</sup>, respectively. The IR-cryo-SEVI spectrum for  $2v_3$  pre-excitation shows clear evidence for an intense  $3\frac{1}{2}$  transition. From the position of this feature (30031 cm<sup>-1</sup>), the electron affinity of NO<sub>3</sub> also determined in this work (31680 cm<sup>-1</sup>), and the IR excitation energy, we obtain a fundamental frequency of 1051 cm<sup>-1</sup> for the  $v_3$  fundamental of the NO<sub>3</sub> radical. This assignment and other features in the IR-cryo-SEVI spectra are supported by spectral simulations based on a vibronic Köppel-Domcke-Cederbaum Hamiltonian. The simulations also show that nearly all features in the IR-cryo-SEVI spectra arise because of pseudo-Jahn-Teller coupling between the  $\tilde{X}$  and  $\tilde{B}$  state of NO<sub>3</sub>. The results and analysis presented here settle a long-standing controversy regarding the  $v_3$  frequency of NO<sub>3</sub>.

## **1. Introduction**

The nitrate  $(NO<sub>3</sub>)$  radical is of fundamental interest as an atmospherically important species that exhibits unusually complex spectroscopy. Atmospheric  $NO<sub>3</sub>$  is photolyzed in daytime, but at nighttime its concentration builds up and it serves as a primary tropospheric oxidizer, reacting to form  $HNO<sub>3</sub>$ ,  $N<sub>2</sub>O<sub>5</sub>$ , and organic nitrates.<sup>1</sup> It was one of the first atmospheric radicals investigated by spectroscopic methods, as some of its absorption lines were already seen as a byproduct in the investigation of ozone in  $1882<sup>2</sup>$ . Since then, NO<sub>3</sub> has been investigated by practically every spectroscopic technique in the physical chemistry toolbox, including infrared  $(IR)$  spectroscopy,<sup>3-</sup> <sup>12</sup> laser-induced and dispersed fluorescence,<sup>13-19</sup> cavity-ringdown spectroscopy,<sup>20-22</sup> matrix isolation spectroscopy,<sup>23, 24</sup> and photoelectron spectroscopy of the  $NO<sub>3</sub><sup>-</sup>$  anion.<sup>25, 26</sup> Nonetheless, several aspects of NO<sub>3</sub> spectroscopy remain under discussion, most notably the frequency of its  $v_3$ degenerate stretching vibration. To address this issue, we investigate  $NO<sub>3</sub>$  in this work by applying a newly developed spectroscopic method in which the high-resolution photoelectron spectrum of NO<sub>3</sub><sup>-</sup>, obtained via slow-electron velocity-map imaging of cryogenically cooled anions (cryo-SEVI),<sup>27</sup> is measured following IR excitation of the  $v_3$  mode of the NO<sub>3</sub><sup>-</sup> anion.

The spectroscopic complexity of  $NO<sub>3</sub>$  is exemplified by uncertainties in such basic properties as its equilibrium geometry. For many years, experimental and theoretical studies alternated between a  $D_{3h}^{4,5,28-30}$  and  $C_{2v}^{15,16,31-33}$  equilibrium structure. It was recognized early on that the difficulty in assigning a clear structure comes from the very flat potential energy landscape along the degenerate  $v_4$  bending and  $v_3$  stretching modes that connect these two structures.<sup>34</sup> The reason for this flat landscape is the presence of strong vibronic coupling in NO<sub>3</sub>. The distortion of the adiabatic  $\tilde{X}$   $^{2}A'_{2}$ ground-state potential energy surface (PES) arises from a pseudo-Jahn-Teller (pJT) interaction with the  $\tilde{B}^2 E'$  excited state, which itself is strongly influenced by Jahn-Teller coupling.<sup>34, 35</sup> The question of whether the equilibrium geometry is  $C_{2v}$  or  $D_{3h}$  has not been settled. However, the issue is purely an academic one; the molecule behaves as a  $D_{3h}$  symmetric top.<sup>35</sup>

In 2007, Stanton suggested that the same vibronic interactions also have a profound influence on the  $v_3$  mode.<sup>35</sup> He predicted the fundamental frequency closer to 1050 cm<sup>-1</sup>, in notable disagreement with the accepted position of 1492 cm<sup>-1</sup> at that time and leading to a still ongoing controversy<sup>36, 37</sup> about the correct  $v_3$  frequency of NO<sub>3</sub>. To be more precise, the original assignment assigns the  $v_3$  fundamental frequency to 1492 cm<sup>-1</sup> ("Assignment A"), which corresponds to the strongest IR transition of the molecule<sup>3, 10</sup> and was first observed in laser-induced fluorescence (LIF) spectra.<sup>13, 15</sup> Stanton, on the other hand, proposed that the  $v_3$  fundamental frequency lies close to 1050 cm−1 ("Assignment B") and has vanishing infrared intensity as a result of canceling contributions to the transition dipole moment from the vibronically coupled  $\tilde{X}^2 A'_2$  and  $\tilde{B}^2 E'$ states.<sup>35, 38</sup> Consequently, he assigned the strongly IR-active mode at 1492 cm<sup>-1</sup> to  $v_3 + v_4$ , for which such a cancellation does not occur.<sup>38</sup> Another complication adding to this debate is that the frequency of the totally symmetric stretching vibration  $(v_1)$ , which appears in the LIF spectra, also lies close to 1050 cm<sup>-1.18</sup>

Ab initio calculations generally seem to support "Assignment B". However, even for computational methods that agree with this assignment, an overall satisfactory description of the  $NO<sub>3</sub>$  radical is not always possible. For example, calculations using coupled diabatic potential energy surfaces place the  $v_3$  fundamental frequency between 1020 and 1040 cm<sup>-1</sup>, in good agreement with "Assignment B".<sup>39, 40</sup> These calculations also agree with experimental anion photoelectron spectra<sup>41, 42</sup> but predict a large IR intensity for the  $v_3$  fundamental. Adiabatic calculations of the NO<sub>3</sub> ground state give vibrational frequencies for the  $v_3$  fundamental close to 1050 cm<sup>-1</sup> and predict vanishing intensity for  $v_3$  while the combination band  $v_3 + v_4$  carries significant intensity.38, 43 However, these adiabatic frequency and intensity calculations suffer from the strong distortion of the ground-state surface caused by vibronic coupling, leading to significant higherorder contributions to the anharmonic ground state potential and dipole moment surface that need to be included for an accurate description.

The  $\nu_3$  assignment has also been the focus of several recent experimental studies. As mentioned earlier, LIF spectroscopy was among the first techniques to study the vibrational structure of  $NO<sub>3</sub>$ .<sup>13, 15, 16</sup> Only recently, higher-resolution LIF emission spectra for <sup>14</sup>NO<sub>3</sub> and <sup>15</sup>NO<sub>3</sub> were published that showed two clearly resolved doublets around 1050 and 1500 cm<sup>-1</sup>, adding to the ambiguity of the exact location of the  $v_3$  frequency.<sup>18, 19</sup> In the <sup>14</sup>NO<sub>3</sub> spectra originating from the  $\tilde{B}$  <sup>2</sup>E' vibrational ground state, vibrational levels at 1051 and 1055 cm<sup>-1</sup> were assigned to  $v_1$  and  $3v_4(a'_1)$ , and vibrational levels at 1492 and 1500 cm<sup>-1</sup> were assigned to  $v_3$  and  $2v_2$ , in accordance with "Assignment A".<sup>18</sup>

Kawaguchi and co-workers were the first to adopt "Assignment B" in the analysis of their IR spectra in 2011. More recently in 2021, Kawaguchi *et al.* reported extremely weak rovibrational

transitions, consistent with a band center of 1054.1  $cm^{-1}$  and about 2000 times smaller than the ones observed for the strongly IR-active vibration at 1492.<sup>11</sup> They assigned the former to  $v_3$  and the latter to  $v_3 + v_4$ , consistent with "Assignment B". However, it should be noted that the observed transitions assigned to  $v_3$  barely lie above the noise level. In addition, Kawaguchi and co-workers recently published another work in which nearly all vibrational levels up to  $\sim$ 2500 cm<sup>-1</sup> are assigned based on the new estimate of the  $v_3$  frequency and all currently available high-resolution IR and LIF spectroscopic data.<sup>12</sup>

Anion photoelectron spectroscopy<sup>25</sup> of  $NO_3^-$  and cryo-SEVI, its high-resolution variant,<sup>26</sup> provide a complementary perspective on the spectroscopy of NO3. These spectra showed well-resolved features from transitions to the ground and excited vibrational levels of the  $NO<sub>3</sub>$  ground state. In particular, the vibrational origin (the  $0<sup>0</sup>$  transition) and two peaks lying 356 and 1044 cm<sup>-1</sup> above the origin were observed with cryo-SEVI.<sup>26</sup> The peak at 356 cm<sup>-1</sup> was assigned to the  $4<sup>1</sup><sub>0</sub>$  transition, a Franck-Condon (FC) forbidden transition that is allowed via pJT coupling to the  $v = 0$  level of the  $\tilde{B}$  state. Differing anisotropy parameters characterizing the photoelectron angular distributions (PADs) for the  $0<sub>0</sub><sup>0</sup>$  and  $4<sub>0</sub><sup>1</sup>$  transitions are consistent with this interpretation. The PAD for the feature at 1044 cm<sup>-1</sup> is similar to that of the  $4<sup>1</sup><sub>0</sub>$  transition, and this peak was thus assigned as predominantly arising from the  $3<sub>0</sub><sup>1</sup>$  transition, which is allowed by the same pJT mechanism. This assignment, which is consistent with "Assignment B" of the  $v_3$  frequency of the neutral, is supported by simulations based on a vibronic Köppel-Domcke-Cederbaum (KDC) Hamiltonian<sup>44</sup> also presented in Ref. 25. However, a small contribution to this peak from the very close-lying FC-allowed  $1<sub>0</sub><sup>1</sup>$ transition was also predicted. Unfortunately, the resolution of the cryo-SEVI experiment was not sufficient to separate these two potential contributions, a result that motivated the current study.

A key result from these photoelectron spectroscopy experiments is that the photodetachment cross section to the  $\tilde{X}$  state is considerably lower than that to form the  $\tilde{A}$   $^2E'$  and  $\tilde{B}$   $^2E'$  states. As a result, transitions allowed by pJT coupling can be more intense than FC-allowed transitions, since the neutral states are mixed with the  $\tilde{B}$  vibronic states of NO<sub>3</sub>. This trend is amplified in cryo-SEVI experiments, which are typically performed at low eKE. Symmetry dictates that for  $NO<sub>3</sub>$  the FCand pJT-allowed transitions undergo p- and s-wave detachment at low eKE, respectively, and according to the Wigner threshold law,<sup>45</sup> the cross section for p-wave detachment falls more rapidly near the photodetachment threshold. Indeed, one observes that at photodetachment energies close

to the electron affinity, the  $4^1_0$  and  $3^1_0$  transitions are considerably more intense than the FC-allowed  $0<sub>0</sub><sup>0</sup>$  transition, consistent with their assignments as pJT-allowed transitions.<sup>26</sup>

We have recently implemented IR-cryo-SEVI, a high-resolution photoelectron spectroscopy technique building on cryo-SEVI in which cryogenically cooled anions are vibrationally preexcited before photodetachment, and have applied it to  $OH^-$  and  $H_2CCHO^{-16, 47}$  Pre-excitation of the  $v_3$  mode in the NO<sub>3</sub><sup>-</sup> anion offers a new route to access vibronic states involving the  $v_3$  mode in the  $NO<sub>3</sub>$  radical with photoelectron spectroscopy and thus provide further insight into its assignment. In contrast to NO<sub>3</sub>, the  $v_3$  vibration of the well-behaved closed-shell NO<sub>3</sub><sup>-</sup> anion is strongly IR-active and its frequency has been reported in the literature, with a value of 1349 cm<sup>-1</sup> for NO<sub>3</sub><sup>-</sup> $\cdot$ Ar in the gas phase<sup>48</sup> and 1356 cm<sup>-1</sup> for NO<sub>3</sub><sup>-</sup> in a Ne matrix.<sup>49</sup>

In this work, we report high-resolution photoelectron spectra of vibrationally pre-excited  $NO_3$ <sup>-</sup> anions. Within a small IR excitation region around  $1350 \text{ cm}^{-1}$ , we selectively acquire photoelectron spectra for pre-excitation of the  $v_3$  fundamental or combined pre-excitation of the  $v_3$  fundamental and  $2v_3(e')$  overtone. A series of new features is observed in each case corresponding to hot and sequence band transitions. Spectral simulations based on a vibronic Köppel-Domcke-Cederbaum Hamiltonian are in good agreement with the experiment and show that intensity contributions due to pseudo-Jahn-Teller coupling dominate the observed spectra. Most importantly, the experimental and simulated spectra for  $2v_3(e')$  excitation show a peak that can only be assigned to a  $3\frac{1}{2}$ transition, from which the  $v_3$  fundamental frequency of 1051 $\pm$ 4 cm<sup>-1</sup> is unambiguously deduced for the  $NO<sub>3</sub>$  radical.

## **2. Experimental Methods**

The experimental setup for measuring high-resolution spectra of vibrationally excited anions (IRcryo-SEVI) has been presented previously<sup>46, 47</sup> and is described here only briefly.

Nitrate anions are generated in a supersonic expansion using an Even-Lavie valve coupled with a ring filament ionizer using a 1% mixture of  $NO<sub>2</sub>$  in  $N<sub>2</sub>$  gas. These anions pass through a skimmer, a radiofrequency (RF) hexapole guide, and a quadrupole mass filter before entering a cryogenically cooled RF octupole ion trap via a gated entrance aperture. The ion trap is held at 5 K and filled with a gas pulse of 20%  $H_2$  in He for buffer gas cooling about 3 ms before the ions enter. After a storage time of  $\sim$ 40 ms, the anions are extracted from the ion trap and then separated by an

orthogonal Wiley-McLaren time-of-flight mass spectrometer. After a ~2 m flight path, the pulsed ion packet is intersected at right angles by the IR excitation laser pulse and photodetachment laser pulses to vibrationally excite and photodetach ions of the desired mass (m/z=62). The laser interaction region is located within a 7-plate velocity-map imaging (VMI) lens that maps the detached photoelectrons onto a position-sensitive detector comprising a gated 2-plate microchannel plate (MCP) detector and a phosphor screen that is imaged by a CCD camera.<sup>50</sup> To obtain the 2D projections of the photoelectron distributions, every electron detection event on the positionsensitive VMI detector is centroided using the recently introduced hybrid gradient-CoM (HGCM) algorithm.<sup>51</sup> To reduce noise from stray photoelectrons generated by the UV photodetachment laser, the detection chamber was held at a base pressure of  $3\times10^{-9}$  Torr. The overall repetition rate of the experiment is 20 Hz, but the infrared excitation laser is run at 10 Hz to enable simultaneous collection of velocity-map images with IR excitation (IR on) and without IR excitation (IR off).

An IR OPO/OPA laser system (LaserVision, 2.8 cm<sup>-1</sup> bandwidth<sup>46</sup>) pumped by a pulsed Nd:YAG laser (Continuum SureLite SLIII-EX, 10 Hz) is used for vibrational pre-excitation. IR light at ~1350 cm<sup>-1</sup> is generated by difference frequency mixing of the signal and idler output of the OPA in a  $AgGaSe<sub>2</sub>$  crystal with typical pulse energies of 500  $\mu$ J. For photodetachment in the UV spectral range (285-335 nm), the frequency-doubled output of a tunable dye laser (Radiant Dyes NarrowScan) pumped by the second harmonic of a pulsed Nd:YAG laser (Spectra-Physics Quanta-Ray PRO-290, 20 Hz) is used. Residual fundamental dye laser light is separated from the desired frequency-doubled UV light via a harmonic separator. The UV light is focused with a fused silica lens (f=500 mm) and transmitted into the chamber through a  $CaF<sub>2</sub>$  brewster window. The IR and UV beams are counterpropagating and are temporally and spatially overlapped in the laser interaction region.

From the 2D projections of the electron distribution, three-dimensional photoelectron distributions are recovered using the maximum entropy Legendre expanded image reconstruction (MELEXIR) algorithm.<sup>52</sup> The radial part of the reconstructed distribution is related to electron kinetic energy (eKE) by a calibration of eKE against radius for several lines in the O<sup>−</sup> photodetachment spectrum at various photodetachment energies.<sup>53</sup> The accuracy of this calibration is estimated to be slightly better than 3 cm<sup>-1</sup>. The spectra as a function of eKE can then be converted to eBE via  $eBE = hv$  $eKE$ , where hv is the photon energy of the tunable photodetachment laser. To record a cryo-SEVI or IR-cryo-SEVI spectrum, multiple high-resolution spectra where the transitions of interest appear

at low eKE are recorded at various photodetachment energies, taking advantage of the improved eKE resolution of the VMI setup for slower photoelectrons.

The photoelectron angular distribution reports on the anisotropy parameter,  $\beta$ , according to Eq. (1) for the differential cross section:

$$
\frac{d\sigma}{d\Omega} = \frac{\sigma_{\text{total}}}{4\pi} \left( 1 + \beta P_2(\cos \theta) \right). \tag{1}
$$

Here,  $\sigma_{\text{total}}$  is the total photodetachment cross section,  $P_2(\cos \theta)$  is the second-order Legendre polynomial and  $\theta$  is defined by the angle between the vectors describing the laser polarization axis and the outgoing electron velocity. The anisotropy parameters can also be extracted from the MELEXIR output for each peak and lie between -1 and 2.

The (IR on) – (IR off) spectra show the effect of IR excitation on the anion photoelectron spectrum. In addition, IR action spectra of the anions can also be obtained by monitoring the integrals of peaks in the IR on spectrum relative to a reference peak as a function of IR wavelength in two ways. In the first case, the depletion of a peak in the IR on vs. IR off spectrum is monitored as a function of IR wavelength. For the second case, any new peak in the IR on spectrum that results from excitation of the anion vibration of interest can be chosen and its integrated signal relative to that of the reference peak in the IR off spectrum can be monitored as a function of IR wavelength. Experimentally, the mid-IR wavelength is determined by measuring the wavelength of the near-IR wavelength coupled out of the OPO cavity with a wavemeter (HighFinesse WS5). For each IR wavelength, complete photoelectron spectra are recorded and processed with around 25 min acquisition time per wavelength. Since the full photoelectron spectrum is stored, derivation of the IR action spectrum based on other peaks is possible in post-processing.

## **3. Computational Details**

Simulations of the spectrum are based on the same vibronic Köppel-Domcke-Cederbaum (KDC) Hamiltonian<sup>44</sup> used in Refs.  $54$  and  $55$ , which is fully documented in those two publications. The simulations were performed with the xsim module of the CFOUR program system,<sup>56</sup> which was also used to carry out all quantum-chemical calculations.

The only additional modification needed for the present work arises from the nature of the IR-cryo-SEVI experiments. While the simulation of photodetachment from the ground vibrational state of the anion is straightforward (the vibrational basis functions used to solve for the vibronic levels of the neutral are those of the anion)<sup>26</sup> and somewhat more involved in the simulation of the dispersed fluorescence spectrum,<sup>55</sup> the simulation of photodetachment from excited vibrational states of the anion represents a new challenge. When the initial anion state is non-degenerate, one can simply invoke the harmonic approximation, and provide a  $\text{Lanczos}^{57}$  seed vector corresponding to the vibrational state in question. However – and such examples are the  $v_3$  and  $2v_3(e')$  states in this paper – the photodetachment of degenerate e' vibrational states is less straightforward. Also here, a Lanczos seed vector representing either one of the  $v_{3a}$  and  $v_{3b}$  or one of the  $2v_{3a}$  and  $2v_{3b}$  states can be used. The resulting spectrum is independent of which component of the degenerate anion state is chosen. However, in such cases, the mutually exclusive nature of FC- and vibronicallyallowed transitions that exists for non-degenerate states, independent of the final neutral state, is lost. While transitions from  $e'$  vibrational anion levels that land on non-degenerate levels of the neutral fall cleanly into one of these two categories, those that land on e' states of the neutral can have contributions from both sources. This requires careful consideration of the transition intensities, as the FC and vibronic contributions can either constructively or destructively interfere.

In the present work, we simply consider the FC and vibronic contributions, associated with transition moments into the  $\tilde{X}^2 A_2'$  and  $\tilde{B}^2 E'$  states, respectively, as two separate contributions, which is a useful assumption for the present work as will be seen in Section 4. To simulate a  $\tilde{B}$ state spectrum, reflecting only vibronic contributions, only the photodetachment transition moment into the  $\tilde{B}$  state contributes while the transition moment into the  $\tilde{X}$  state is set to 0. To simulate an  $\tilde{X}$  state spectrum, reflecting the FC contributions, the transition moment into the  $\tilde{B}$  state is set to 0. The overall photodetachment cross section ratio (equivalent to the ratio of the squared transition moments) is assumed to be  $\sigma_{\tilde{X}}/\sigma_{\tilde{X}} = 1/100$  to allow for a direct comparison between the  $\tilde{X}$  and  $\tilde{B}$ state contributions.

In addition, accurate frequency calculations of the  $NO<sub>3</sub><sup>-</sup>$  anion are performed using a combination of vibrational perturbation theory (VPT2) and vibrational configuration interaction (VCI), based on a composite-hybrid full quartic force field (QFF), as described in detail in the SI.

## **4. Results**

## **4.1. High-resolution photoelectron and IR action spectra for**  $v_3$  **and**  $2v_3$  **preexcitation**

The gas phase IR spectrum of  $NO_3^-$  Ar in the region of the  $v_3$  frequency has been reported by Relph *et al.*<sup>48</sup> using IR predissociation spectroscopy. We therefore explored the effect of IR excitation on the anion photoelectron spectrum with frequencies near the anion  $v_3$  frequency of 1349 cm−1 determined in that work. The top panels of Figs. 1 and 2 show overview (green) and high-resolution (black) cryo-SEVI spectra of NO<sub>3</sub><sup>-</sup> out to 33000 cm<sup>-1</sup> with no IR excitation. (IR on)-(IR off) photoelectron spectra for IR excitation at 1356 and 1350 cm<sup>-1</sup> are shown in in the main sections of Figs. 1 and 2, respectively, and peak positions are listed in Tables 1-3. Negative-going features correspond to peaks in the ground-state photoelectron spectrum that are depleted by IR excitation, while positive-going features are from vibrationally excited anions. The two (IR on)- (IR off) spectra are clearly different, with several more peaks seen for 1350 cm<sup>-1</sup> excitation (Fig. 2).

The IR off spectra in Fig. 1 are similar to those reported in Ref. 26 but yield more accurate peak positions (see Table 1), as improved signal-to-noise and reduced ion temperature enabled us to take better-resolved spectra at lower eKE. Following the assignment of Ref. 26, peaks g1, g2, and g4 are assigned to the  $0^0_0$ ,  $4^1_0$ , and  $3^1_0$  transitions, respectively, keeping in mind that there is a small  $1^1_0$ contribution to g4. The more accurate energy for g1 yields a refined electron affinity of 31680(9) cm<sup>-1</sup> for NO<sub>3</sub>. This peak is barely visible in the high-resolution cryo-SEVI spectrum (black trace, see insert) owing to its small photodetachment cross section for slow electrons characteristic of pwave detachment, as discussed previously.<sup>26</sup> Note that the eBE of the  $4<sup>1</sup><sub>0</sub>$  peak is shifted by 364  $cm^{-1}$  with respect to the new electron affinity value, which is in excellent agreement with the  $v_4$ frequency of 365.5 cm<sup>-1</sup> extracted from high-resolution IR spectra.<sup>7</sup>

IR pre-excitation at 1356 cm<sup>-1</sup> (Fig. 1) leads to depletion of the  $4<sup>1</sup><sub>0</sub>$  feature (g2) at 32044 cm<sup>-1</sup>. Depletion of the vibrational origin  $(0<sub>0</sub><sup>0</sup>, g1)$  is not observed owing to its low intensity at high resolution. Many new features arise from IR excitation. Peak f1, located at an eBE of 30329(3), is shifted by -1351 cm<sup>-1</sup> with respect to peak g1 in the IR off spectrum, which is approximately equal to the IR excitation energy and implies that f1 is the  $3<sub>1</sub><sup>0</sup>$  transition from the anion  $v_3 = 1$  level to the neutral ground vibrational state. Two other prominent features of comparable intensity are f3

and f7, which are shifted by 1051 cm<sup>-1</sup> and 1493 cm<sup>-1</sup> relative to f1, respectively, and clearly match the two potential values for the neutral  $v_3$  frequency that have been previously discussed in the literature. The spacing of the three peaks f1, f3, and f7 is the same as the previously assigned  $0<sub>0</sub><sup>0</sup>$ ,  $3_0^1$ , and  $3_0^14_0^1(e')$  peaks in the ground state cryo-SEVI spectrum of NO<sub>3</sub><sup>-26</sup> This correspondence suggests that peaks f3 and f7 can be assigned to the  $3<sub>1</sub><sup>1</sup>$  and  $3<sub>1</sub><sup>1</sup>4<sub>0</sub><sup>1</sup>(e')$  transitions, respectively. These assignments are discussed in more detail in Section 4.3; one key point of the analysis is that f3 actually comprises the two closely spaced  $3_1^1$  and  $3_1^01_0^1$  transitions with comparable intensities.

Despite the small difference in the IR excitation frequency, pre-excitation at  $1350 \text{ cm}^{-1}$  (Fig. 2) yields a markedly different spectrum compared to 1356 cm<sup>-1</sup>. All features that are observed in Fig. 1 also appear in Fig. 2 with similar intensity ratios and are therefore labeled f1-f10 in the same manner. However, a set of additional features o1-o12 appear at eBE values below and above feature f1. Since f1 is assigned to a transition into the neutral ground state (i.e.,  $3<sup>0</sup>$ ), a one-photon IR excitation cannot lead to peaks with eBE values lower than that of f1; despite this, peak o4 has a lower eBE, 30031 cm<sup>-1</sup>, and is the most intense peak among the additional features in Fig. 2. Note that peak o4 is shifted by  $-1349 \text{ cm}^{-1}$  with respect to peak f3, corresponding almost exactly to the IR excitation frequency. Our assignment of peak  $f3$  to the  $3<sup>1</sup>$  transition then implies that peak o4 is the  $3\frac{1}{2}$  transition. This transition could be seen if IR excitation at 1350 cm<sup>-1</sup> accesses one of the  $v_3 = 2$  states of NO<sub>3</sub><sup>-</sup> by a two-photon transition, as illustrated in the inset of Fig. 3. The assignment of peak o4 to the  $3\frac{1}{2}$  transition is a critical aspect of this work and is explored further in Section 4.3.

Further insight into the two different IR excitation cases is obtained by measuring IR action spectra of the anion in the region  $1340$ -1360 cm<sup>-1</sup> for multiple features of the photodetachment spectra. Fig. 3 shows the depletion action spectrum of the  $4<sup>1</sup><sub>0</sub>$  peak (black dots) and the growth spectra of peaks f1 and o4 (blue and red dots, respectively). The depletion and f1 growth spectra are essentially identical, whereas the o4 growth spectrum is narrower, lining up with the low frequency edge of the depletion spectrum but dropping noticeably above  $1354 \text{ cm}^{-1}$ . These results are consistent with the energy level scheme shown in the inset of Fig. 3, in which IR light at 1356 cm<sup>-1</sup> excites the anion  $v_3$  fundamental while at 1350 cm<sup>-1</sup>, the  $v_3 = 2$  anion state is additionally excited by a resonant two-photon process through the  $v_3 = 1$  state. Using PGOPHER,<sup>58</sup> a vibrational band center of 1350.5 cm<sup>-1</sup> and an ion temperature of ~12 K can be extracted from the IR action spectra for the  $v_3$  fundamental (see Fig. S1). The narrower, red-shifted profile of the o4 action spectrum is consistent with the requirement that the IR light must be resonant both with the anion  $v_3 = 1 \leftarrow$ 0 and  $2 \leftarrow 1$  transitions and the expected anharmonicity of the anion  $\nu_3$  mode, leading to the conclusion that the 2 $v_3$  level must be located just slightly below 2700 cm<sup>-1</sup>. At 1356 cm<sup>-1</sup>, the IR light is no longer resonant with both transitions and only excitation of the anion fundamental occurs. By reducing the IR laser power at 1350 cm<sup>-1</sup> from 500 to 150  $\mu$ J/pulse in a test measurement, the photodetachment peaks related to  $2v_3$  excitation are almost completely suppressed and the IR on spectrum resembles that taken at 1356 cm<sup>-1</sup>, consistent with the assumption that these features arise from a two-photon process.

As mentioned above, features, f1, f3, and o4 are distinct and show large intensities and frequency shifts that appear to be connected to the proposed  $v_3$  frequencies in the anion and neutral. Photoelectron spectra of those peaks were therefore measured at the highest possible resolution, using detachment energies only 20-30 cm<sup>-1</sup> higher than the respective peak centers, as presented in Fig. S2. All three peaks show appreciable electron signal at these small eKE values, indicating that they result from s-wave detachment. For excitation at  $1350 \text{ cm}^{-1}$  (black curves), the profiles are symmetric and have the same shape and width for each peak. From Gaussian fits, a FWHM of 7 cm−1 is determined for each peak, as listed in Tables 1-3, implying that each of these peaks consists of a single peak or an unresolved doublet with a peak separation of less than  $7 \text{ cm}^{-1}$ . For excitation at 1356 cm<sup>-1</sup> (red curves), asymmetric peak shapes of comparable width are observed that also appear to be identical for each transition and represent unresolved rotational structure.

Fig. 4 shows the anisotropy parameters as a function of eKE for the same selected transitions involving the  $v_3$  mode that were extracted from the IR-cryo-SEVI spectra. These are compared with anisotropy parameter curves of the  $0<sub>0</sub><sup>0</sup>$  and  $4<sub>0</sub><sup>1</sup>$  transitions measured by Babin *et al*.<sup>26</sup> The two curves for these transitions from the anion ground-state  $(a'_1$  symmetry) represent the two limiting cases of a FC-allowed transition ( $0<sup>0</sup>$  ends up in a final state of  $a'_1$  symmetry) and transitions induced by pJT coupling  $(4_0^1$  ends up in a final state of e' symmetry), respectively. As already noted in Ref. 26, the  $0<sub>0</sub><sup>0</sup>$  and  $4<sub>0</sub><sup>1</sup>$  transitions show completely different anisotropy parameter curves with opposite signs for  $\beta$  at all finite eKE values, making them easily distinguishable.

It is immediately clear that all transitions extracted from the IR-cryo-SEVI spectra shown in Fig. 4 are similar to the  $4^1_0$  curve and do not follow the  $0^0_0$  curve, indicating a major vibronic contribution over the whole eKE range covered here, which is also consistent with the interpretation that these features result from s-wave detachment. We interpret the subtle deviation of the curve for peak f3, which is reflected in consistently more positive  $\beta$  values than those of the  $3<sup>0</sup>$  and  $3<sup>1</sup>$  transitions at eKE values above ~1000 cm<sup>-1</sup>, as an increasing FC contribution this peak. This trend is consistent with assigning peak f3 to a mixed  $3_1^1/3_1^01_0^1$  transition, as discussed in more detail in Section 4.3.1. Note that essentially all newly observed IR-cryo-SEVI transitions, even those not explicitly shown in Fig. 4, show negative  $\beta$  values at eKE values larger than 50-100 cm<sup>-1</sup>. This result clearly highlights the dominant role vibronic contributions play in the photoelectron spectra of vibrationally excited  $NO<sub>3</sub><sup>-</sup>$  from an experimental standpoint.

## **4.2. Simulated photoelectron spectra using the Köppel-Domcke-Cederbaum Hamiltonian**

Before discussing the assignment of the features observed in the IR-cryo-SEVI spectra of Figs. 1 and 2, the experimental spectra for a selected detachment energy of 32168 cm−1 will be compared to simulated spectra obtained with the KDC Hamiltonian (see Section 3 for details). This vibronic Hamiltonian has been used previously to successfully reproduce other nitrate spectra, including anion photoelectron<sup>26</sup> and LIF spectra.<sup>55</sup>

Figs. 5 and 6 compare the experimental spectrum for  $v_3$  and  $2v_3$  excitation, respectively, with two types of simulated photoelectron spectra originating from the same anion states. For the first type of simulation (middle panel), only the photodetachment transition moment into the  $\tilde{B}$  state contributes while the transition moment into the  $\tilde{X}$  state is set to 0. For the second type of simulation (bottom panel) a 1/100 times smaller transition moment for the  $\tilde{X}$  state compared to the  $\tilde{B}$  state is assumed and the transition moment into the  $\tilde{B}$  state is set to 0 – effectively showing only the  $\tilde{X}$ state contribution. To a good approximation, the  $\tilde{X}$  state simulation reproduces the FC-allowed features in the spectrum, while the  $\tilde{B}$  state simulation yields transitions allowed via pJT coupling between the  $\tilde{X}$  and  $\tilde{B}$  states. Because interference between the two contributions is expected when transitions into the same neutral state are present in both the  $\tilde{X}$  state and  $\tilde{B}$  state spectra, the two contributions cannot simply be added to yield the full simulated spectrum. However, it is instructive to compare the individual simulated contributions with the experiment because they generally show different features or features of greatly differing intensity.

Overall, the  $\tilde{B}$  state simulation in Fig. 5 provides a good description of the experimental spectrum for  $v_3$  pre-excitation at 1356 cm<sup>-1</sup>. The  $\tilde{X}$  state simulation, on the other hand, can only replicate feature f3 with reasonable intensity and does not explain many of the experimentally observed peaks, especially the transitions f1, f2 and f7. Note that the feature f3 comprises only a single transition in the  $\tilde{X}$  state simulation but two transitions in the  $\tilde{B}$  state simulation. In addition, the weak simulated peak at 30700 cm<sup>-1</sup>, which is only seen in the  $\tilde{X}$  state simulation, is not clearly observed in the experimental spectra at low electron kinetic energies, but does grow in at eKE values of 3000  $\text{cm}^{-1}$  or higher (see Fig. S3).

A similar situation arises when comparing the  $\tilde{B}$  and  $\tilde{X}$  state simulations with the experimental spectra for IR excitation at 1350 cm<sup>-1</sup> (Fig. 6), which are interpreted to result from a combination of  $v_3$  and  $2v_3$  excitation in the anion. Again, the only experimental feature that is reasonably reproduced by the  $\tilde{X}$  state simulation is f3. Other simulated features in the  $\tilde{X}$  state simulation either show intensities that are too small or features that are not observed. The  $\tilde{B}$  state simulation, however, again shows good agreement with the experiment. Note that for both simulations in Fig. 6, the relative contribution of the simulated spectrum arising from  $2v_3$  vs.  $v_3$  pre-excitation is chosen such that the relative peak intensities of 04 and f1 are reproduced in the  $\tilde{B}$  state simulation (0.4:1). Moreover, two of the prominent new features in the  $\tilde{B}$  state simulation at low eBE (o1 and o4) both arise from a single transition each and do not appear in the  $\tilde{X}$  state simulation.

### **4.3. Assignment**

#### 4.3.1. Assignment of the main features in the  $v_3$  IR-cryo-SEVI spectrum

From Section 4.2, it is clear that the  $\tilde{B}$  state simulations generally give much better agreement with the experiment than the  $\tilde{X}$  state simulations, meaning that an assignment based on FC factors and standard symmetry arguments typically employed to interpret cryo-SEVI spectra will not be successful in explaining the  $NO<sub>3</sub><sup>-</sup>$  IR-cryo-SEVI spectra. Instead, one would expect the lowestorder vibronic coupling terms involving the  $v_3$  mode to be responsible for most transitions from the anion  $v_3$  state. Therefore, a large part of the following assignment also relies on the simulations using the vibronic KDC Hamiltonian and previously assigned vibrational frequencies in the IR and LIF spectra. All assignments for  $v_3$  pre-excitation can be found in Table 2.

Peak f1, which shows a shift of  $-1351 \text{ cm}^{-1}$  with respect to  $0<sub>0</sub><sup>0</sup>$  in the IR off spectrum, is clearly assigned to the  $3_1^0$  transition and marks the onset of the  $v_3$  IR-cryo-SEVI spectrum. The high intensity peaks f3 and f7 show shifts of 1051 and 1493 cm<sup>-1</sup> relative to  $3<sub>1</sub><sup>0</sup>$ , respectively, and correspond to the two infamous vibrational levels that have both been assigned to the  $v_3$  mode of neutral  $NO<sub>3</sub>$  in the past.

We will first discuss peak f3. Most notably, this is the highest intensity peak next to peak f1 and the  $\tilde{B}$  state simulation in Fig. 5 shows that this peak is likely composed of two different peaks at very similar frequencies, which the simulation identifies as  $3_1^1$  and  $3_1^01_0^1$ , with the latter having a slightly higher contribution. Here, the intensity of the  $3<sup>0</sup>1<sup>1</sup>0$  contribution, just like the intense  $3<sup>0</sup>1$ transition, arises mainly from pJT coupling. The  $3<sub>1</sub><sup>1</sup>$  contribution, on the other hand, arises from both vibronic and FC contributions. Because of this, the relative intensity contributions of the  $3<sub>1</sub><sup>1</sup>$ vs.  $3_1^01_0^1$  transitions to f3 are hard to estimate without knowing the exact cross section ratio  $\sigma_{\tilde{X}}/\sigma_{\tilde{B}}$ in this eKE regime. Based on the good agreement between the experiment and the  $\ddot{B}$  state simulation, we do however speculate that the  $\tilde{X}$  state contribution to  $3_1^1$  only modulates the overall f3 peak intensity, which is then mainly determined by its  $\tilde{B}$  state contributions. This proposed doublet structure of peak f3 could not be resolved even at the highest resolution IR-cryo-SEVI spectrum (FWHM ~7 cm<sup>-1</sup>, see Fig. S2). However, the anisotropy parameter curve for peak f3 (Fig. 4), which mostly follows that of a vibronically allowed transition and slightly deviates at higher eKE, is completely consistent with this interpretation. A more detailed discussion of the vibronic coupling mechanisms that are responsible for the intensities of  $3_1^0$ ,  $3_1^1$  and  $3_1^01_0^1$  can be found in Section 5.

Having assigned peak f3 to a doublet consisting of  $3_1^1$  and  $3_1^01_0^1$ , this leaves us with  $3_1^14_0^1$  as the assignment for the other intense peak f7 at a shift of  $1493 \text{ cm}^{-1}$ , the level that had been originally assigned to the  $v_3$  level by Hirota and co-workers.<sup>3, 13</sup> Note, however, that only the  $v_3 + v_4(e')$ component of this combination band in the neutral has high intensity in the  $\tilde{B}$  state simulation of Fig. 5. Assignments of the remaining lower intensity peaks are discussed in Section 4.3.3.

## 4.3.2. Assignment of the main features in the  $2v_3(e')$  IR-cryo-SEVI spectrum

Our assignment of peak f3 to a doublet comprising the  $3<sup>0</sup>1<sup>1</sup>0$  and  $3<sup>1</sup>1$  transition places the neutral  $v_3$ frequency at 1051 cm<sup>-1</sup>, i.e., the spacing between peaks f1 and f3. However, given the history of

NO<sub>3</sub> spectroscopy, one would like to assign this frequency based on an unblended peak; the  $2v_3(e')$ overtone spectrum in Fig. 2 offers an opportunity to do this. All assignments for the  $2v_3(e')$ spectrum can be found in Table 3.

Comparing the experimental spectrum with the  $\tilde{B}$  state simulation in Fig. 6, there is little doubt that the observed spectrum indeed results from a mixture of the previously discussed  $v_3$  spectrum and an  $2v_3(e')$  overtone spectrum. To exclude the possibility that excitation of the nearby  $2v_3(a'_1)$ level could lead to an alternative interpretation, we performed accurate vibrational frequency calculations for the  $NO_3^-$  anion as outlined in the SI (also see Table S1). The anharmonic frequency calculations for the anion predict a  $v_3$  frequency of 1351 cm<sup>-1</sup>, in good agreement with the vibrational band center of 1350.5 cm<sup>-1</sup> extracted from the IR action spectra. The  $2v_3(a'_1)$  and  $2v_3(e')$  anion frequencies are calculated as 2679 and 2700 cm<sup>-1</sup>, respectively. Considering the excellent accuracy of the calculations for the  $v_3$  level, pre-excitation of the  $2v_3(e')$  overtone level at  $\tilde{\nu}_{IR} = 1350 \text{ cm}^{-1}$  is therefore more likely. Nevertheless, the simulated spectrum for  $2\nu_3(a_1)$ excitation is presented in Fig. S4 and shows only minor differences compared to the  $2v_3(e')$ simulation in Fig. 6. Therefore, only the  $2v_3(e')$  spectrum is discussed in the following.

With the refined electron affinity (i.e., the eBE of the  $0<sub>0</sub><sup>0</sup>$  transition at  $EA = 31680 \text{ cm}^{-1}$ ) extracted from the IR off spectra and the excitation energy determined by the 1+1 photon excitation ( $2\tilde{v}_{IR}$  =  $2\times1350$  cm<sup>-1</sup>), we obtain a relatively accurate estimate of the expected eBE for the  $3<sup>0</sup>$  transition, which should appear at around  $EA - 2\tilde{v}_{IR} = 28980 \text{ cm}^{-1}$ . Clearly, the  $3\frac{0}{2}$  transition is not observed at this eBE value for any of the detachment energies used in Fig. 2, an important observation for the following discussion. However, based on this estimate we can readily assign peak o1 to  $3^{0}_{2}4^{1}_{0}$ , as it shows a shift of 372 cm<sup>-1</sup> with respect to  $EA - 2\tilde{v}_{IR}$ , which further validates the accuracy of this estimate and allows us to deduce the neutral energy level positions for all peaks in the overtone spectrum.

The most intense peak (o4) shows a shift of 1051 cm<sup>-1</sup> with respect to  $EA - 2\tilde{v}_{IR}$ , which is basically identical with the shift observed for  $3_1^1/3_1^01_0^1$  (f3) with respect to the  $3_1^0$  (f1) transition. This leaves only two options for the final neutral level, either  $v_1$  or  $v_3$ . The choice of assigning 04 to  $3^1_2$  over  $3<sub>2</sub><sup>0</sup>1<sub>0</sub><sup>1</sup>$  is not only the simplest choice for the assignment, involving only a single-quanta change rather than a three-quanta change, but it also appears to be the most reasonable choice when considering the changes in quantum numbers  $v_1$  and  $v_3$  individually. With  $\Delta v_3 = -1$ , the intense

 $3<sub>2</sub><sup>1</sup>$  transition can be considered analogous to the  $3<sub>1</sub><sup>0</sup>$  transition, the latter being the most intense transition in the fundamental excitation spectrum. However, the most convincing argument for  $3\frac{1}{2}$ over  $3^{0}_{2}1^{1}_{0}$  is that the  $3^{0}_{2}$  transition is clearly not observed in any of the experimental spectra in Fig. 2. If a  $3^{0}_{2}1^{1}_{0}$  contribution was present, the  $3^{0}_{2}$  transition—differing only in the additional excitation of the totally symmetric and nominally FC-active  $v_1$  mode—should also be observed. Furthermore, it should be observed with a relative intensity that approximately reflects the ratio between the FC factors of  $1^1_0$  and  $0^0_0$  in the ground-state cryo-SEVI spectrum. However, the ratio for  $1^1_0$  vs.  $0^0_0$  is around 1:8, as apparent from the simulation of the cryo-SEVI spectrum in Fig. 2 of Ref. 26, indicating that the intensity of the  $3^{0}_{2}1^{1}_{0}$  should be about 8 times lower compared to  $3^{0}_{2}$ . We attribute the vanishing intensity in the  $3^0_2$  transition to the fact that the zeroth-order vibronic  $\tilde{X}^2 A'_2(v=0)$ and  $\tilde{B}^2 E'(2v_3)$  levels cannot efficiently be coupled by first-order vibronic interactions, which is explained in more detail in Section 5. Considering all this evidence, it is probably not surprising that the  $\tilde{B}$  state simulation in Fig. 6 shows the contribution of only a single transition to peak o4, which is assigned to  $3^1$ . This places the neutral  $v_3$  frequency at 1051 cm<sup>-1</sup> and the assignment of  $o4$  to  $3\frac{1}{2}$  will be further discussed in Section 5.

The only other low-frequency vibration observed in the overtone spectrum in Fig. 6 is associated with peak o5 at a shift of 1492 cm<sup>-1</sup>, which is assigned to the  $3\frac{1}{2}4_0^1(e')$  transition by comparison with the KDC simulation. This transition involves an additional  $\Delta v_4 = 1$  quanta change and only gains significant intensity for higher photodetachment energies, as seen for example in Fig. 2, indicating p-wave detachment and a FC contribution, which would not be expected for  $3^1$ <sub>2</sub>. Therefore, the result that o5 shows significantly less intensity than o4 is completely consistent with their assignments to  $3^1_24^1_0(e')$  and  $3^1_2$ , respectively.

## *4.3.3. Remaining assignments in the* <sup>3</sup> *and* 2<sup>3</sup> *IR-cryo-SEVI spectra*

Assignments of the remaining features in Figs. 1 and 2 are discussed here. The order of the discussed features will approximately follow the order of the corresponding neutral level energies in Tables 2 and 3, respectively.

A pure  $v_4$  progression can be observed in the  $v_3$  IR-cryo-SEVI spectra in Fig. 1. The first feature of this progression is f2, which is assigned to  $3_1^04_0^2$ . Known IR frequencies of  $2v_4(a'_1)$  and  $2v_4(e')$ , are 752 and 772 cm<sup>-1</sup>, respectively,<sup>7, 9</sup> and the peak position of f2 suggests that the main

contribution originates from the  $2v_4(e')$  level, consistent with the simulation. The next observed peak within this  $v_4$  progression, f4, is assigned to  $3_1^04_0^3$  (e'), as this is the only  $3_1^04_0^3$  state in the simulated  $\tilde{B}$  state spectrum of Fig. 5 and agrees with Kawaguchi's assignment. The  $3_1^04_0^1$  transition, expected at about 30700 cm<sup>-1</sup> according to the  $\tilde{X}$  state simulation, is not clearly observed until the eKE of this peak exceeds ~3000 cm<sup>-1</sup> (see Fig. S3). This indicates that  $3_1^0 4_0^1$  is FC- but not vibronically allowed, consistent with its appearance in the  $\tilde{X}$  but not the  $\tilde{B}$  state simulation.

Peak f5 is assigned to another  $3_1^14_0^1$  state of  $a'_2$  symmetry that appears at much lower eBE than the  $3_1^14_0^1(e')$  component (f7), as its experimental shift of 1364 cm<sup>-1</sup> to  $3_1^0$  is close to that predicted by the KDC simulation. This level has not been experimentally observed to our knowledge but is predicted at a similar energy (1330 cm<sup>-1</sup>) in calculations using coupled diabatic potential energy surfaces.<sup>59</sup> The location of  $v_3 + v_4(a'_2)$  far below the other  $v_3 + v_4$  states near 1490 cm<sup>-1</sup> may explain why only a single  $v_3 + v_4 \leftarrow v_4$  hot band of a symmetry has been observed with IR spectroscopy in prior work.<sup>8, 36</sup> The nearby peak f6 is consistent with the  $3<sup>0</sup>1<sup>1</sup>0<sup>4</sup>4<sup>1</sup>0<sup>1</sup>$  transition seen in the simulation and its position agrees well with the IR frequency of 1413.6 cm<sup>-1</sup> for the  $v_1 + v_4$ combination band.<sup>10</sup> Finally, peak f8 can be assigned to the  $3_1^14_0^2(e')$  transition by comparison with the KDC simulation. The shift of 1774  $cm^{-1}$  greatly differs from the IR frequency proposed by Kawaguchi and co-workers (1950 cm<sup>-1</sup>),<sup>9</sup> which was however not directly measured and only inferred using a simple vibronic interaction model to describe nearby states.

The accuracy of the KDC simulations starts deteriorating above 2000 cm<sup>-1</sup>. However, despite the limited predictability in this range, peaks of and o7 (Fig. 2) as well as peaks f9 and f10 (Fig. 1) can still be assigned based on previous IR experiments and deserve special attention, as they seem to correspond to the same vibrational levels (as reflected in similar shifts to  $3^0_2$  and  $3^0_1$ , respectively) and each pair shows a similar intensity ratio. The neutral energy levels line up with the IR transitions at 2024.3 and 2155.0 cm−1 reported by Kawaguchi *et al*. 10, 12 Based on the anharmonicity analysis carried out in Ref. 12, these levels carry dominant  $v_1 + v_3$  and  $2v_3(e')$  character, respectively. Another IR-active state at  $2205.7 \text{ cm}^{-1}$  is also strongly mixed with these two levels and has dominant  $v_1 + 3v_4$  (e') character.<sup>12</sup> Peak o8 in the  $2v_3$  IR-cryo-SEVI is consistent with this third state at 2205.7 cm<sup>-1</sup>, but only appears at higher eKE following p-wave detachment, indicating a stronger FC contribution compared to o6 and o7. The equivalent peak in the  $v_3$  IRcryo-SEVI spectra cannot be observed in Fig. 1; however, it appears as a shoulder at much higher

photon energies at an eBE of around 32520  $cm^{-1}$  in Fig. S3. According to the analysis of Kawaguchi *et al.*,<sup>12</sup> the mixing between those three states is so strong that an individual assignment may not be an appropriate description. Since the  $2v_3(e')$  character of these states must be at least partially responsible for the photodetachment intensities into these states, we will collectively refer to these states as a  $2v_3(e')$  polyad.

While the basic structure of this polyad can be seen in the KDC simulations in Fig. 6 between 31000 and 31200 cm<sup>-1</sup>, the experimental position of 06 is not reproduced. This can likely be attributed to the declining accuracy of the KDC simulations above 2000 cm<sup>-1</sup>. The simulated positions of the polyad features should also critically depend on the close spacing between the  $v_1$ and  $v_3$  fundamental frequencies (~4 cm<sup>-1</sup>), which is not quantitatively captured by the KDC Hamiltonian. Whereas in the fundamental region, the two vibrations cannot interact because of differing symmetries, in the overtone region, a strong interaction results for the  $v_1 + v_3(e')$  and  $2v_3(e')$  combination band and overtone.

The low intensity features o2 and o3 appear in a region where only  $3<sub>2</sub><sup>0</sup>4<sub>0</sub><sup>2</sup>$  transitions would be expected. However, the position of o2 is not consistent with the well-known  $2v_4(a'_1)$  and  $2v_4(e')$ frequencies of 752 and 772 cm<sup>-1</sup>, respectively.<sup>7, 9</sup> It is therefore possible that peaks o2 and o3 arise from  $3v_3$  excitation in the anion, specifically the levels of  $a'_1$  and  $a'_2$  vibrational symmetry, which according to the anharmonic frequency calculations in Table S1 are also accessible at an IR frequency of 1350 cm<sup>-1</sup> from the  $2v_3(e')$  state via absorption of an additional photon. Under this assumption, the shifts of o2 and o3 to  $EA - 3 \times \tilde{v}_{IR}$ , respectively, would be 2030 cm<sup>-1</sup> and 2160 cm<sup>-1</sup>, in close agreement with two of the  $2v_3(e')$  polyad levels discussed above. However, given their low intensities, no definite assignment can be made.

Features o9-o12 correspond to neutral levels with energies above 2600 cm<sup>-1</sup>. Features o9, o11 and o12 are thus left unassigned. Although rough predictions in this energy range exist from IR experiments<sup>10</sup> and recent calculations,<sup>59</sup> there are too many potential candidate states. Without a prediction of the photodetachment spectrum using the KDC Hamiltonian, no reasonable assignment can be made. Peak o10, which is prominently featured in the  $2v_3$  IR cyo-SEVI spectrum, presents an exception. It is assigned to a  $3_1^1 1_0^1 4_0^2$  (e') transition based on the intense, assigned IR transition observed at a frequency matching 2902 cm<sup>-1.10</sup>

## **5. Discussion**

Considering the history of the discussion centered around the correct assignment of the  $\nu_3$ frequency and the fact that most other frequencies below 2000 cm<sup>-1</sup> have already been unambiguously assigned in the past, we will focus on a few points that provide extremely strong evidence that peak o4 can only be assigned to a  $3\frac{1}{2}$  transition from the excited  $2v_3(e')$  overtone anion level into the  $v_3$  level of the neutral, thereby placing the  $v_3$  frequency at ~1050 cm<sup>-1</sup>.

An important point to consider is the prevalence of transitions that are nominally not FC-allowed, which becomes apparent from a comparison of the experimental spectra to the contribution of the  $\tilde{B}$  state vs. that of the  $\tilde{X}$  state in Figs. 5 and 6. Clearly, little agreement with the  $\tilde{X}$  state contributions can be observed for most peaks, except the FC-allowed contribution to  $3<sub>1</sub><sup>1</sup>$ . This is supported by the observation that essentially all transitions originating from vibrationally excited  $NO<sub>3</sub><sup>-</sup>$  show negative anisotropy parameters, consistent with those expected for a transition gaining intensity from the  $\tilde{B}$  state. In fact, such an observation is expected given that most transitions starting from an excited  $v_3$  or  $2v_3$  level involve a one- or two-quanta change in the  $v_3$  mode, a stretching vibration for which FC activity is not normally seen. $47$ 

As a consequence, the strong  $3_1^0$  and  $3_2^1$  transitions solely result from pJT coupling between the corresponding  $\tilde{X}^2 A_2'$  and  $\tilde{B}^2 E'$  levels as reflected in the simulations in Figs. 5 and 6, respectively. In the case of  $3^0$ , the reason is the strong pJT interaction between the vibronic  $\tilde{X}^2 A'_2(v=0)$  and  $\tilde{B}^{2}E'(\nu_{3})$  zeroth-order states, where the latter state is expected to show strong overlap with the initial  $\tilde{X}$   $^{1}A'_{1}(v_{3})$  anion state. Similarly,  $3^{1}_{2}$  gains most intensity through a pJT interaction between the  $\tilde{X}^2 A'_2(v_3)$  and  $\tilde{B}^2 E'(2v_3)$  zeroth-order states, where the latter has overlap with the excited  $\tilde{X}$ <sup>1</sup> $A'_1$ (2 $v_3$ ) anion state. It is important to realize that 3<sup>0</sup><sub>2</sub>, whose observation would be the prerequisite for observing any potential  $3<sub>2</sub><sup>0</sup>1<sub>0</sub><sup>1</sup>$  contribution, as discussed above, does not gain intensity through this simple mechanism involving only the linear pJT coupling term, as it only couples vibronic levels that differ by  $\Delta v_3 = 1$ . Therefore, the vibronic  $\tilde{X}^2 A'_2(v=0)$  and  $\tilde{B}^{2}E'(2v_{3})$  zeroth-order states do not show efficient mixing, explaining the vanishingly small intensity for the  $3^0_2$  and  $3^0_21^1_0$  transitions in stark contrast to  $3^0_1$  and  $3^1_2$ .

In a similar manner, we can also qualitatively rationalize the small  $\tilde{B}$  state contribution to the  $3\frac{1}{1}$ transition seen in Fig. 5. However, in this case JT coupling within the  $\tilde{B}$  state needs to be considered

in addition to pJT coupling between the  $\tilde{X}$  and  $\tilde{B}$  states. The vibronic  $\tilde{B}^2 E'(\nu_3)$  zeroth-order state, which is accessible from the  $\tilde{X}^{-1}A'_1(v_3)$  anion state, can couple to  $\tilde{B}^{-2}E'(v=0)$  or  $\tilde{B}^{-2}E'(2v_3)$ , which in turn are coupled to the  $\tilde{X}^2 A_2' (v_3)$  zeroth-order state via pJT interactions. In addition, other pathways based on higher-order JT coupling terms likely contribute to the vibronic part of the  $3<sub>1</sub><sup>1</sup>$  intensity.

Finally, recently published LIF spectra of NO<sub>3</sub> show two vibrational modes with band centers at 1051 and 1055 cm<sup>-1,18</sup> The former can clearly be assigned to  $v_1$ , as its position barely changes upon <sup>15</sup>N substitution; the latter must then be assigned to  $v_3$  based on the IR-cryo-SEVI results presented in this work. This  $v_3$  position is consistent with the vibrational level at 1054.1 cm<sup>-1</sup> that Kawaguchi *et al.* derived from extremely weak rovibrational lines observed with IR spectroscopy and which they assigned to the  $v_3$  fundamental.<sup>11</sup> Additionally, the alternative assignment of the 1055 cm<sup>-1</sup> feature to  $3v_4(a'_1)$  instead of  $v_3$ , which is given in Ref. 18, can easily be ruled out by comparison to the surrounding features in the LIF spectra, which are assigned to the  $n v<sub>4</sub>$ progression. The intensity of the  $3v_4(a'_1)$  transition is higher in intensity by about a factor of five compared to all other features in this progression and its position would indicate a fairly large splitting of more than 100 cm<sup>-1</sup> between the  $3v_4(a'_1)$  and the other  $3v_4$  levels. We also point out that the assignment of the 1055 cm<sup>-1</sup> peak to  $v_3$  is consistent with the simulation of the LIF spectrum based on the same vibronic Hamiltonian used in the present work.<sup>55</sup>

Considering the observation of peak o4 with its dominant contribution to the  $2v_3$  IR-cryo-SEVI spectrum and the discussion above, there appears to be no option other than assigning this feature to the  $3\frac{1}{2}$  transition. From its binding energy at 30031 cm<sup>-1</sup>, the electron affinity (i.e., the binding energy of 0<sup>0</sup><sub>0</sub>) of 31680 cm<sup>-1</sup> and the IR excitation frequency of 2×1350 cm<sup>-1</sup> for 2 $v_3$ , we therefore extract a  $v_3$  fundamental frequency of the neutral of 1051 cm<sup>-1</sup>. The error of this estimate is largely dependent on the accuracy of the eBE of the  $0<sub>0</sub><sup>0</sup>$  transition, which occurs via p-wave detachment and can only be measured with a  $1\sigma$  width of 9 cm<sup>-1</sup> that results from the relatively large eKE needed to observe it with appreciable intensity. However, a more accurate estimate of the neutral  $v_3$  frequency from the IR-cryo-SEVI spectra can be made based on the  $3<sup>0</sup>1<sup>1</sup>0<sup>1</sup>0<sup>1</sup>3<sup>1</sup>1<sup>1</sup>$  doublet that constitutes peak f3, even though this peak is not resolved. Because of the symmetric peak shapes and narrow widths in the  $2v_3$  IR-cryo-SEVI spectra (see Fig. S2), we assume that the error in determining the each of the two peak positions is mainly limited by the  $\sim$ 3 cm<sup>-1</sup> accuracy of the

eKE calibration. The difference in binding energies between peak  $f1$  (the  $3<sup>0</sup>$  transition) and  $f3$ (containing the  $3_1^1$  transition) is therefore determined as 1051±4 cm<sup>-1</sup> and serves as a more accurate estimate of the  $v_3$  fundamental frequency based on our experiments.

## **6. Conclusion**

High-resolution photoelectron spectra as well as IR action spectra for both  $v_3$  and  $2v_3$  IR preexcitation of the  $NO<sub>3</sub><sup>-</sup>$  anion have been presented. By selectively monitoring photoelectron peaks that arise from  $v_3$  excitation, we obtain an estimate of 1350.5 cm<sup>-1</sup> for the  $v_3$  frequency of the anion. The overtone pre-excitation becomes possible through a resonant 1+1 photon process that is most efficient at an IR frequency near 1350 cm<sup>-1</sup> and results from the fact that the  $2v_3(e')$  anion level lies close to twice the energy of the  $v_3$  fundamental at ~2700 cm<sup>-1</sup>, which is further confirmed by accurate anharmonic frequency computations. Careful selection of the IR excitation frequency thus enables us to selectively observe photoelectron spectra in which either the  $v_3$  fundamental or both the  $v_3$  fundamental and  $2v_3(e')$  overtone are excited.

Most importantly, the  $2v_3$  IR-cryo-SEVI spectrum shows an intense transition at 30031 cm<sup>-1</sup> that can only be assigned to the  $3\frac{1}{2}$  transition. From this transition, as well the difference between the  $3_1^0$  transition and the unresolved  $3_1^01_0^1/3_1^1$  transitions observed in the  $v_3$  IR-cryo-SEVI spectrum, the  $v_3$  frequency of the NO<sub>3</sub> radical is determined as 1051 $\pm$ 4 cm<sup>-1</sup>. The large intensity of the 3<sup>1</sup>/<sub>2</sub> transition is explained by pseudo Jahn-Teller coupling that efficiently mixes the contributions of the vibronic  $\tilde{X}^2 A'_2(v_3)$  and  $\tilde{B}^2 E'(2v_3)$  zeroth-order states through a first-order coupling term, where the latter state has strong overlap with the prepared anion vibrational state. The same mechanism is not possible for the  $3^0_2$  and  $3^0_21^1_0$  transitions, which is the main reason they are not observed experimentally.

Consideration of vibronic coupling is extremely important for explaining the observed intensities in the photodetachment spectra of vibrationally excited  $NO<sub>3</sub><sup>-</sup>$ . This is confirmed experimentally by negative anisotropy parameters and large intensities at low electron kinetic energies below ~3000 cm<sup>-1</sup> observed for essentially all transitions arising from IR pre-excitation. The importance of vibronic coupling is further confirmed by a comparison with simulations based on a vibronic Köppel-Domcke-Cederbaum Hamiltonian, in which contributions from the  $\tilde{X}^2 A_2'$  and  $\tilde{B}^2 E'$ 

diabatic states are considered separately. Only the spectra based on the  $\tilde{B}$  state contribution provide an adequate description of the experimentally observed spectra. Of the higher intensity photodetachment features, only the  $3<sub>1</sub><sup>1</sup>$  transition shows a significant Franck-Condon contribution that originates from the  $\tilde{X}$  state, as expected for a stretching vibration. Without the inclusion of pJT coupling to the  $\tilde{B}$  state, however, barely any of the experimentally observed IR-cryo-SEVI features would be reproduced.

With regards to the controversy that surrounds the position of the  $v_3$  fundamental level of NO<sub>3</sub>, the results of this study are unequivocal. It is located near  $1054 \text{ cm}^{-1}$ , first resolved (and misassigned) by Fukushima using high-resolution laser-induced fluorescence from the  $\tilde{B}$   ${}^{2}E'$  origin, <sup>18</sup> and later by direct absorption in the infrared by Kawaguchi (which was correctly assigned), the latter obtaining a precise position of 1054.1 cm<sup>-1 11</sup> The present work makes it abundantly clear that this level (observed here in somewhat lower resolution) is indeed the  $v_3$  fundamental. This is particularly demonstrated in the combined and detailed analysis of the spectra associated with the anion vibrational ground state as well as its  $v_3$  fundamental and overtone levels. Aided by simulations of the spectrum, a fully self-consistent interpretation of the experimental results has been achieved.

Indeed, the confirmation of what has been called ''Assignment B'' (with the historically accepted "Assignment A" placing  $v_3$  at 1492 cm<sup>-1</sup>) in this work should be regarded as setting a standard of rigor. In order to be regarded seriously, any further works arguing for the historical assignment must successfully address and refute the conclusions of this paper.

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## **Supporting Information**

Description of anharmonic frequency calculations for  $NO<sub>3</sub><sup>-</sup>$ ; calculated anharmonic frequencies of

 $NO<sub>3</sub><sup>-</sup>$ ; PGOPHER simulation of the  $v<sub>3</sub>$  IR action spectrum; high-resolution IR-cryo-SEVI spectra

of peaks o4, f1 and f3; IR-cryo-SEVI spectra at higher photon energies; simulated photodetachment spectra for  $2v_3(a'_1)$  pre-excitation (PDF).

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**Figure 1.** Top panel: Overview (green) and high-resolution (black) photoelectron spectra without IR pre-excitation (IR off). The inset shows the barely detectable vibrational origin peak (g1) for the highest-resolution spectrum. Note that the intensity of g1 in the overview spectrum is substantially higher because of the higher photon energy of 34841 cm<sup>-1</sup>. Main panel: (IR on)-(IR off) photoelectron spectra of NO<sub>3</sub><sup>-</sup> after IR excitation at 1356 cm<sup>-1</sup>, taken at increasingly lower photodetachment energies and improved resolution (from top to bottom). Peaks labeled f1-f10 are attributed to fundamental  $v_3$  excitation in the anion. Only the peak labeled o4 is a residual peak from the additional  $2v_3$  excitation seen in Fig. 2.



**Figure 2.** (IR on)-(IR off) photoelectron spectra of  $NO<sub>3</sub><sup>-</sup>$  after IR after excitation at 1350 cm<sup>-1</sup>, taken at increasingly lower photodetachment energies and improved resolution (from top to bottom). Peaks labeled f1-f10 indicate the same peaks that are also observed for 1356 cm−1 excitation (see Fig. 1). The additional peaks observed only for 1350 cm<sup>-1</sup> excitation are labeled o1o12.



**Figure 3.** IR action spectrum of  $NO_3^-$  taken with a step size of ~2.5 cm<sup>-1</sup> while monitoring either depletion of the  $4^1_0$  peak (black dots), growth of the  $3^0_1$  peak (peak f1, blue dots) or growth of the  $3<sub>2</sub><sup>1</sup>$  peak (peak o4, red dots) in the IR on spectra for each excitation frequency relative to the  $4<sub>0</sub><sup>1</sup>$ peak in the corresponding IR off spectra. Note that all action spectra are additionally normalized to a peak value of 1 for ease of comparison. Gray, dashed lines indicate the excitation frequencies of 1356 and 1350 cm<sup>-1</sup> used in Figs. 1 and 2, respectively. The energy level diagram in the upper right corner indicates the fundamental and overtone levels accessed via one and two-photon excitation at IR frequencies near  $1350 \text{ cm}^{-1}$ , corresponding to the blue and red action spectra, respectively.



**Figure 4.** Anisotropy parameters as a function of electron kinetic energy for selected transitions involving the  $v_3$  mode. Anisotropy parameters for the  $3_1^1$  and  $3_1^01_0^1$  (peak f3, red symbols),  $3_1^0$  (peak f1, blue symbols), and  $3^1_2$  (peak o4, orange symbols) are extracted from the IR-cryo-SEVI spectra for 1050 and 1056 cm<sup>-1</sup> IR pre-excitation (represented by stars and dots, respectively). Anisotropy parameters for the ground-state cryo-SEVI transitions  $0_0^0$  and  $4_0^1$ , shown as black and green triangles, respectively, are taken from Ref. 26.



Figure 5. Upper panel: Experimental NO<sub>3</sub><sup>-</sup> photoelectron spectrum measured at a detachment energy of 32168 cm<sup>-1</sup> following IR excitation at 1356 cm<sup>-1</sup>. Only selected peaks are highlighted by labels. Middle panel: Simulated photoelectron spectrum following excitation of the anion  $v_3$ level (blue stick spectra) at the same detachment energy as the experiment. Only contributions from the  $\tilde{B}$  (diabatic) electronic state are shown by setting the detachment cross section into the  $\tilde{X}$  state to 0. The solid black line shows a convolution of the stick spectrum with Gaussians assuming an eKE-dependent linewidth extracted from the experimental spectra. Bottom panel: Equivalent to the middle panel except that here only contributions from the  $\tilde{X}$  state are shown by setting the detachment cross section into the  $\tilde{B}$  state to 0. The  $\tilde{X}/\tilde{B}$  state photodetachment cross section ratio is assumed to be independent of binding energy and equal to 1/100. The simulated intensities, although in arbitrary units, include this intensity ratio and therefore relative intensities between the middle and bottom panels are accurately reflected.



Figure 6. Upper panel: Experimental NO<sub>3</sub><sup>-</sup> photoelectron spectrum at a detachment energy of 32168 cm<sup>-1</sup> following IR excitation at 1350 cm<sup>-1</sup>. Only selected peaks are highlighted by labels. Middle panel: Simulated spectra resulting from simultaneous excitation of the anion  $v_3$  level (blue stick spectra) and  $2v_3(e')$  level (red stick spectra) of the anion at the same detachment energy as the experiment. Only contributions from the  $\tilde{B}$  (diabatic) electronic state are shown by setting the detachment cross section into the  $\tilde{X}$  state to 0. The relative  $2v_3$  contribution is chosen such that the combined spectrum reproduces the relative intensities of the o4 and f1 peaks observed experimentally. The solid black line shows a convolution of the red and blue stick spectra with Gaussians assuming an eKE-dependent linewidth extracted from the experimental spectra. Bottom panel: Equivalent to the middle panel except that here only contributions from the  $\tilde{X}$  state are shown by setting the detachment cross section into the  $\tilde{B}$  state to 0. The  $\tilde{X}/\tilde{B}$  state photodetachment cross section ratio is assumed to be independent of binding energy and equal to 1/100. The simulated intensities, although in arbitrary units, include this intensity ratio and therefore relative intensities between the middle and bottom panels are accurately reflected.

Peak	$eBE$ (cm <sup>-1</sup> ) <sup>a)</sup>	Assignment <sup>b)</sup>	Shift from $0_0^0$	<b>KDC</b>	Kawaguchi
			$\rm (cm^{-1})$		(Ref. 12)
gl	31680(9)	0 <sub>0</sub>			
g2	32044(6)	$4_0^1$	364	369	365.5
g <sub>3</sub>	32451(8)	$4_0^2(e')$	771	777	771.8
$g_4$	32731(6)	$3_0^1$ and $1_0^1$	1051	1069 and	1054.1 and
				1061	1051.0

**Table 1.** Selected photodetachment features observed in the cryo-SEVI (IR off) spectra originating from the vibrational ground state of  $NO<sub>3</sub><sup>-</sup>$ .

a) Electron binding energies are determined from Gaussian fits to the highest-resolution spectrum for that peak, and the uncertainties in parentheses are estimated as 1σ based on the widths of the Gaussian fits.

b) Symmetry labels in parentheses indicate the vibrational symmetry of the final neutral state.

**Table 2.** Photodetachment features observed in the IR-cryo-SEVI spectra originating from the  $v_3$ vibrationally excited state of  $NO<sub>3</sub><sup>-</sup>$ .



a) Electron binding energies are determined from Gaussian fits to the highest-resolution spectrum for that peak, and the uncertainties in parentheses are estimated as 1σ based on the widths of the Gaussian fits.

- b) Symmetry labels in parentheses indicate the vibrational symmetry of the final neutral state.
- c) See Section 4.3.3 for a discussion of the levels assigned to transitions into the  $2v_3(e')$ polyad.

<b>Peak</b>	$eBE (cm^{-1})^{a)}$	Assignment <sup>b)</sup>	Shift from $320$ $(cm^{-1})^c$	<b>Shift from</b> $3^0_3$ (cm <sup>-1</sup> ) <sup>c)</sup>	<b>KDC</b>	Kawaguchi (Ref. 12)
<sub>o</sub> 1	29351(15)	$3^{0}_{2}4^{1}_{0}$	372		369	365.5
o2	29660(10)	$32/3(e')$ polyad <sup>d)</sup>	(680)	2030	> 2000	2024.3
$\sigma$ 3	29789(9)	$32/3(e')$ polyad <sup>d)</sup>	(810)	2160	> 2000	2155
0 <sub>4</sub>	30031(3)	3 <sup>1</sup>	1051		1069	1054.1
$\overline{05}$	30488(21)	$321401 (e')$	1508		1494	1492.4
06	31000(10)	$32$ (e') polyad <sup>d)</sup>	2020		> 2000	2024.3
o7	31135(9)	$32$ (e') polyad <sup>d)</sup>	2155		> 2000	2155
08	31184(23)	$32/2(e')$ polyad <sup>d)</sup>	2204		> 2000	2205.7
<sub>0</sub> 9	31617(13)	unassigned	2637			
010	31882(7)	$3_1^1 1_0^1 4_0^2$ (e')	2902			2902
011	32146(20)	unassigned	3166			
012	32252(17)	unassigned	3272			

**Table 3.** Photodetachment features observed in the IR-cryo-SEVI spectra originating from the  $2v_3(e')$  vibrationally excited state of NO<sub>3</sub><sup>-</sup>.

a) Electron binding energies are determined from Gaussian fits to the highest-resolution spectrum for that peak, and the uncertainties in parentheses are estimated as  $1\sigma$  based on the widths of the Gaussian fits.

b) Symmetry labels in parentheses indicate the vibrational symmetry of the final neutral state.

c) The positions of the  $3^0$  and  $3^0$  transitions are defined as  $EA - 2\tilde{v}_{IR} = 31680 \text{ cm}^{-1}$  –  $2 \times 1350 \text{ cm}^{-1}$  and  $EA - 3\tilde{v}_{IR} = 31680 \text{ cm}^{-1} - 3 \times 1350 \text{ cm}^{-1}$ , respectively.

d) See Section 4.3.3 for a discussion of the levels assigned to transitions into the  $2v_3(e')$ 

polyad.