

1 **Neutral and Dicationic [5]helicene-embedded Cycloparaphenylene**  
2 **Nanohoops with Möbius Topology and Local/In-Plane Aromaticity**

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7 **KEYWORDS.** Möbius topology, aromaticity, nanohoop, helicene, circularly  
8 polarized luminescence

9 **ABSTRACT:** The relationship between Möbius topology and aromaticity is still  
10 elusive to date, which is, to a large extent, due to the related synthetic  
11 challenges and, further, the scarcity in both the quantity and the diversity of the  
12 constructed Möbius systems. In this work, we report the synthesis of [4n]Möbius  
13 conjugated all-carbon nanohoops (**[5]H-[7,8]CPPs**) by utilizing a [5]helicene  
14 unit as a hidden writhe and a masked aromatic unit to overcome the strain  
15 inherited from Möbius topology. X-ray analyses reveal that **[5]H-[7,8]CPPs**  
16 contain a [5]helicene moiety and an oligoparaphenylene unit, and display a  
17 Möbius topology. Photophysical investigations demonstrated that **[5]H-**  
18 **[7,8]CPPs** exhibited moderately high fluorescence quantum yields, which are  
19 significantly higher than those of pristine [5]helicene and [7,8]CPPs. Chiroptical  
20 studies revealed that **[5]H-[7,8]CPPs** displayed an obvious Cotton effect in  
21 circular dichroism and bright circularly polarized luminescence, indicating that

22 the chirality of [5]helicene was efficiently transferred to the overall carbon  
23 nano hoops. Importantly, theoretical investigations reveal that, though  
24 possessing a Möbius topology and a  $4n$   $\pi$ -electron array in the neutral state,  
25 such all-carbon nano hoops fundamentally exhibit local Hückel aromaticity,  
26 while their dicationic counterparts, with a  $4n+2$   $\pi$ -electron in the conjugation circuits, show  
27 Hückel in-plane global aromaticity, deviating from the Heilbronner prediction.  
28 The results may help us to better understand the complicated relationship  
29 between Möbius topology and aromaticity.

## 30 **Introduction**

31 The research on aromaticity and stability of cyclic  $\pi$ -conjugated systems  
32 with distinct topology has attracted much attention of both organic and theoretic  
33 chemists, and received a significant evolution in synthesis and theory since the  
34 landmark discovery of benzene by Faraday in 1825.<sup>1-5</sup> It is well recognized that  
35 annulenes displaying a Hückel topology are aromatic and stable when their  
36 cyclic conjugated  $\pi$ -electrons are  $4n+2$ , whereas those with  $4n$  are antiaromatic  
37 and unstable. However, when annulene is twisted by  $180^\circ$  to adopt a Möbius  
38 topology that is the classic example of a non-orientable surface, its electronic  
39 structure and aromatic character were changed significantly. The concept of  
40 Möbius aromaticity, proposed by Heilbronner in 1964, predicted that Möbius  
41 annulenes with  $4n$  conjugated  $\pi$ -electrons should be aromatic and stable.<sup>6</sup>  
42 Since then, annulenes with a Möbius topology have aroused tremendous

43 interest to both synthetic organic and theoretic chemists. One of important  
44 milestones in the field of Möbius aromaticity was demonstrated by the first  
45 successful synthesis of a [16] Möbius annulene by Herges and co-workers in  
46 2003,<sup>7</sup> and followed by the expanded porphyrin with a dynamic Möbius-Hückel  
47 aromaticity.<sup>8</sup> After that, the topic of Möbius aromaticity has been mainly  
48 dominated by expanded porphyrinoid systems because their structural features  
49 can potentially stabilize Möbius aromatic systems.<sup>9-11</sup>

50 Stimulated by recent advances on all-carbon macrocycle-based  
51 nanoarchitectures such as catenanes and knots,<sup>12-21</sup> conjugated all-carbon  
52 nanohoops with a Möbius topology have recaptured great attention of scientists  
53 from synthetic organic chemistry, physical science and material science. So far,  
54 there are limited examples of Möbius conjugated all-carbon nanohoops  
55 because of the synthetic difficulties associated with the twisted topological  
56 structure.<sup>3-5</sup> In 2014, Herges and co-workers reported the first triply twisted  
57 Möbius [24]dehydroannulene,<sup>22</sup> whose conjugation is interrupted by large  
58 torsional angles due to its flexible building blocks. Durola and Herges then  
59 developed a triple cyclic tri-[5]helicenes with a Möbius topology, exhibiting a  
60 strong diatropic ring current in the outer periphery. However, no net macrocyclic  
61 aromaticity was displayed due to the counterbalance of the diatropic and  
62 paratropic currents in the outer  $\pi$  and the inner  $\sigma$  system, respectively.<sup>23</sup> Moore  
63 and co-workers reported the synthesis of a Möbius tris((ethynyl)[5]helicene)

64 macrocycle without obvious global aromaticity due to the weak conjugation  
65 between the alkyne and adjacent helicene units.<sup>24</sup> Cong and Zhu disclosed the  
66 aromaticity of mechanically interlocked Möbius conjugated nano hoops.<sup>25</sup>  
67 Šolomek reported a Möbius nano hoop containing [6]helicene unit with circularly  
68 polarized luminescence, but without global Möbius aromaticity because the  
69 presence of [6]helicene in nano hoop leads to an odd number of  $\pi$ -electrons in  
70 the cyclic conjugated pathway.<sup>26</sup> Very recently, a Möbius topology has been  
71 disclosed in the twisted [n]cycloparaphenylenes ([n]CPPs) with alkene insertion  
72 by Kayahara and Yamago.<sup>27</sup> Besides above excellent advances, there are a  
73 few elegant examples on other aromatic macrocycles with a Möbius topology.  
74<sup>28-33</sup> Nevertheless, the relationship between Möbius topology and  
75 aromaticity/chirality, in particular if there is inevitable connection between  
76 [4n]Möbius topology and Möbius aromaticity, is still far from being fully  
77 understood.

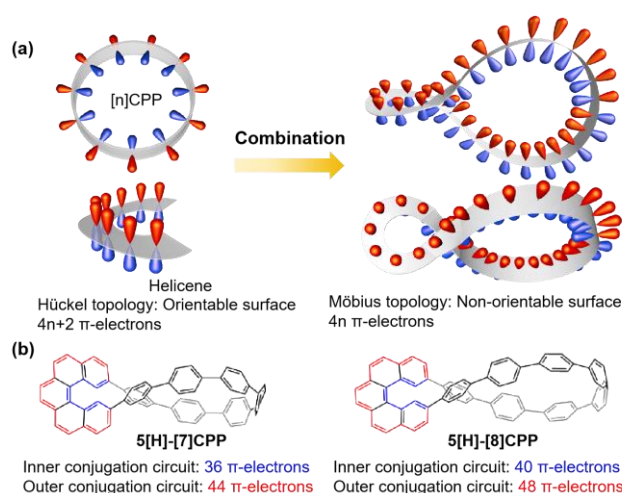
78 We have a long-term interest in conjugated carbon nano hoops<sup>34-39</sup>. In  
79 particular, we are very interested in the fabrication of highly  $\pi$ -conjugated  
80 nano hoops with well-defined Möbius topologies and, at the same time,  
81 passionately curious about the relationship between the topology/electronic  
82 structure and the aromaticity for such systems.<sup>6</sup> Herein, we present the design  
83 and synthesis of [4n]Möbius conjugated all-carbon nano hoops (**5[H]-[7,8]CPPs**,  
84 Figure 1 and Scheme 1) by combining [5]helicene and cycloparaphenylenes.

85 We demonstrated that the presence of [5]helicene unit in  
86 [7,8]cycloparaphenylenes enables the conjugated all-carbon nano hoops to  
87 exhibit a distinct Möbius topology as demonstrated by X-ray crystal structures  
88 and bright circularly polarized luminescence. More interestingly, DFT  
89 computations indicate that the neutral nano hoops, **5[H]-[7,8]CPPs**, are local  
90 Hückel-aromatic systems, while the corresponding dicationic ones, which  
91 possess  $4n+2$   $\pi$ -electrons in the conjugation circuits, exhibit in-plane global  
92 aromaticity, as revealed by the structural analyses as well as the results of  
93 Nucleus-independent chemical shifts (NICS/2D-NICS), Anisotropy of the  
94 induced current density (AICD) and localized orbital locator- $\pi/\sigma$  (LOL- $\pi/\sigma$ )  
95 calculations. Our observations apparently deviate from that Heilbronner  
96 predicted, implying that the relationship between the topology/electronic  
97 structure and the aromaticity might be diverse for different  $\pi$ -conjugated Möbius  
98 systems.

## 99 **Design and Synthesis**

100 In a singly twisted Möbius annulene featuring a molecular twist and an  
101 overall macrocyclic conjugation (Figure 1), a  $180^\circ$  twist induces strain in  $\pi$ -  
102 conjugated macrocycle and thus causes tremendous difficulties in stabilizing  
103 the Möbius topology. The utilization of a hidden writhe such as helicene to  
104 circumvent this difficulty has been successfully demonstrated in helicene-based  
105 Möbius annulenes.<sup>23, 24, 26</sup> However, this method is not yet enough to mitigate

106 strain caused by the overall macrocyclic conjugation within a singly twisted  
 107 Möbius annulene. On the other hand, a 3,6-*syn*-dimethoxy-cyclohexa-1,4-diene  
 108 moiety as a masked aromatic ring has been successfully used to develop  
 109 strained [n]cycloparaphenylenes ([n]CPPs) followed by sequential  
 110 aromatization.<sup>40</sup>



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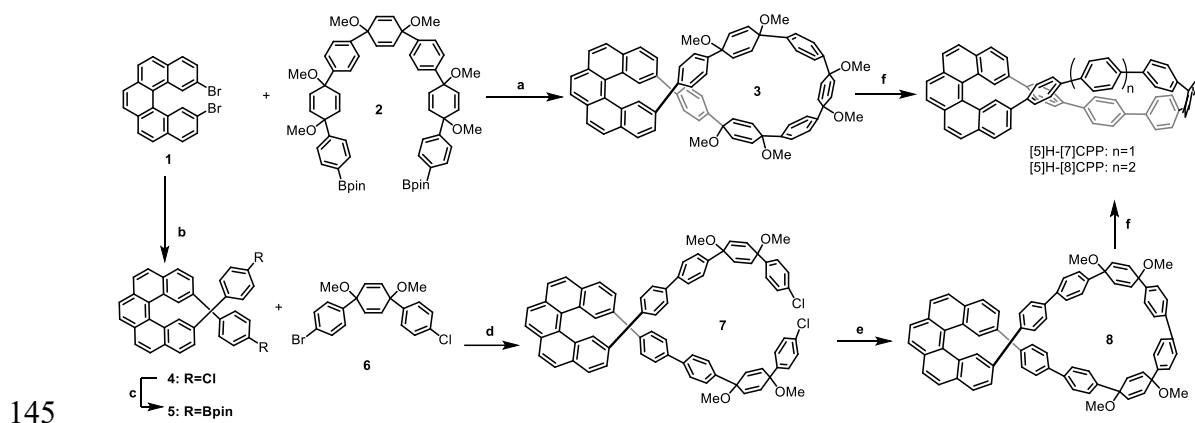
112 **Figure 1.** Concept of this work. (a) The cartoon illustration of hybridization of two conjugated subunits  
 113 ([5]helicene and CPP) with a Hückel topology into a globally conjugated carbon nanohoop with a Möbius  
 114 topology. (b) the structures of the [4n]Möbius conjugated all-carbon nanohoops studied herein. Each  
 115 nanohoop contains two different conjugated circuits dependent on the inner (blue) or outer (red) paths of  
 116 helicene. All cyclic conjugation paths contain  $4n$   $\pi$ -electrons.

117 The cyclohexadiene moiety could be utilized to construct marginally  
 118 strained macrocyclic Möbius precursor in combination with [5]helicene as a  
 119 hidden writhe, and sequential aromatization of the cyclohexadiene units in  
 120 macrocyclic Möbius precursor would readily build up strained Möbius  
 121 conjugated all-carbon nanohoop.<sup>26</sup> More importantly, the presence of

122 [5]helicene subunit in Möbius conjugated carbon nano hoops eventually provide  
123 the cyclic conjugation paths with  $4n$   $\pi$ -electrons (Figure 1 B), that is, **[5]H-**  
124 **[7]CPP** contains 44 and 36  $\pi$  electrons in the peripheric and inner conjugation  
125 circuits, respectively; for **[5]H-[8]CPP**, those are 48 and 40 ones, respectively,  
126 which are ready for investigating the relationship between  $[4n]$ Möbius topology  
127 and aromaticity in present work.

128 The synthetic routes to **[5]H-[7]CPP** and **[5]H-[8]CPP** are outlined in  
129 Scheme 1. The targeted Möbius nano hoop **[5]H-[7]CPP** was synthesized by  
130 Suzuki-Miyaura cross-coupling between dibromopentahelicene **1**<sup>41</sup> and C-  
131 shaped synthon **2** to give **3**,<sup>42</sup> followed by a reductive aromatization in 12%  
132 yield over two steps. In the case of **[5]H-[8]CPP**, compound **4** was generated  
133 in a yield of 80% by Suzuki coupling reaction between **1** and 4-  
134 chlorophenylboronic acid, and was then converted to borate ester **5** in a yield  
135 of 85%. Compound **7** was obtained by the Suzuki coupling reaction of **5** with L-  
136 shaped synthon **6** in the presence of  $\text{Pd}(\text{PPh}_3)_4$ , 2M  $\text{K}_2\text{CO}_3$  in deoxygenated  
137 1,4-dioxane and  $\text{H}_2\text{O}$  in a yield of 72%. Precursor **8** was obtained by a ring-  
138 closure reaction via a nickel-mediated Yamamoto reaction without further  
139 purification,<sup>43-44</sup> subjected to a reductive aromatization using freshly made  
140  $\text{H}_2\text{SnCl}_4$  in anhydrous THF at room temperature to afford the final nano hoop  
141 **[5]H-[8]CPP** as a yellow solid in a total yield of 35%. The chemical structures  
142 of **[5]H-[7]CPP** and **[5]H-[8]CPP** were confirmed by NMR, X-ray

143 crystallography and high-resolution mass spectra (see the Supporting  
144 Information).



146 **Scheme 1.** Synthesis of **[5]H-[7, 8]CPP**. (a) 2M NaOH, Pd(PPh<sub>3</sub>)<sub>4</sub>, 1,4-dioxane, H<sub>2</sub>O, 115 °C, overnight,  
147 without purification; (b) 4-Chlorophenylboronic acid, 2M Cs<sub>2</sub>CO<sub>3</sub>, Pd(PPh<sub>3</sub>)<sub>4</sub>, 1,4-dioxane, H<sub>2</sub>O, 115 °C,  
148 overnight, 80%; (c) X-phos, Pd<sub>2</sub>(dba)<sub>3</sub>, B<sub>2</sub>pin<sub>2</sub>, KOAc, 1,4-dioxane, 115 °C, overnight, 85%; (d) 2M K<sub>2</sub>CO<sub>3</sub>,  
149 Pd(PPh<sub>3</sub>)<sub>4</sub>, 1,4-dioxane, H<sub>2</sub>O, 115 °C, overnight, 72%; (e) bpy, Ni(COD)<sub>2</sub>, THF, 75 °C, overnight, without  
150 purification; (f) H<sub>2</sub>SnCl<sub>4</sub>, THF, RT, overnight, 12% for **[5]H-[7]CPP** and 35% for **[5]H-[8]CPP**.

### 151 Structural analysis

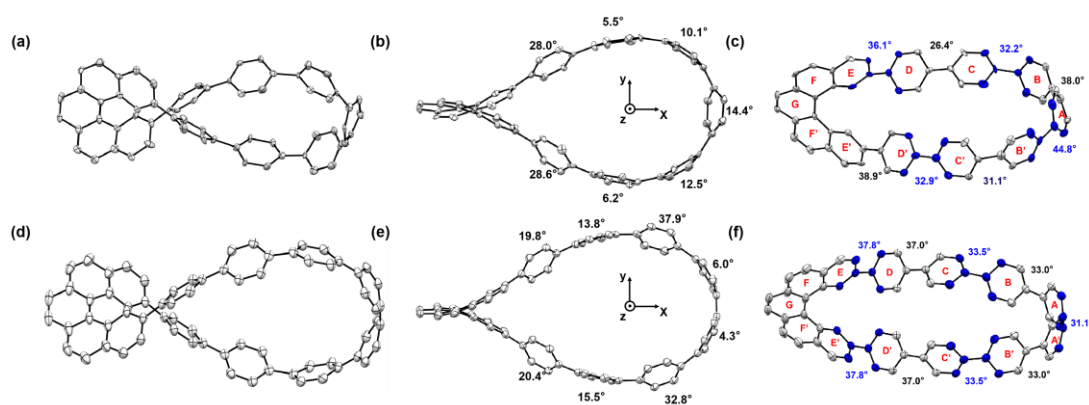
152 The single crystals of **[5]H-[7]CPP** were obtained by slowly volatilizing a  
153 chloroform solution at 4 °C, while the single crystals of **[5]H-[8]CPP** were  
154 obtained by crystallizing its solution in a mixture of DCM/toluene (1:1, v/v) at the  
155 same temperature. The single crystal X-ray analysis showed that **[5]H-[7]CPP**  
156 was crystallized in triclinic *P1* space group, while **[5]H-[8]CPP** was solved in  
157 orthorhombic *Pnna* space group. In each case, two pairs of enantiomers were  
158 found in each unit cell. As shown in Figure 2, the crystal structures clearly  
159 demonstrate that **[5]H-[7]CPP** and **[5]H-[8]CPP** possess a Möbius topology



160 with a reasonable conjugation. For **[5]H-[7]CPP**, the dihedral angles of the  
161 adjacent six-membered rings as shown in Figure 2c are found to be from 26.4°  
162 to 44.8°, while those for **[5]H-[8]CPP** are from 31.1° to 38.7° (Figure 2f) (Table  
163 1). The fluctuated extent of the dihedral angles for **[5]H-[7]CPP** is larger than  
164 those for **[5]H-[8]CPP**, mainly due to its larger strain in **[5]H-[7]CPP**, which is  
165 supported by the DFT calculations (*vide infra*). The dihedral angles of **[5]H-**  
166 **[7]CPP** and **[5]H-[8]CPP** in the solid phases are in good agreement with those  
167 predicted by DFT (b3lyp/6-31g(d)/PCM-UFF) symmetry-unconstrained  
168 calculations (in both the range and the average value) (Table 1 and Figure  
169 S15b-S16b). The same arguments also hold for the torsional angles (Figure  
170 S19-S20, Table S6-S7). In particular, in all the case of the crystal and the DFT-  
171 optimized structures of **[5]H-[7, 8]CPP**, the lengths of the C<sub>ortho</sub>–C<sub>ortho</sub> bonds in  
172 the *p*-phenylene units are pretty close to the neighbouring C<sub>ipso</sub>–C<sub>ipso</sub> ones  
173 (Table 1), implying that these six-membered rings adopt a benzene-like  
174 structure.

175 The strain energies of the Möbius nanohoops were estimated by DFT  
176 calculations according to the homodesmotic reactions shown in Scheme S5,  
177 wherein the nanohoops and biphenyl are converted to diphenyl[5]helicenes and  
178 terphenyl. The strain energies of **[5]H-[7]CPP** and **[5]H-[8]CPP** are calculated  
179 to be 52.5 and 47.8 kcal mol<sup>-1</sup>, respectively, which indicates that **[5]H-[7]CPP**  
180 is less stable due to the smaller size of the nanohoop as expected. Such strain

181 energies of **[5]H-[7]CPP** and **[5]H-[8]CPP** are close to those of [11]CPP (54  
 182 kcal mol<sup>-1</sup>) and [12]CPP (48 kcal mol<sup>-1</sup>), but are significantly smaller than those  
 183 of [7]CPP (84 kcal mol<sup>-1</sup>) and [8]CPP (72 kcal mol<sup>-1</sup>),<sup>46</sup> respectively, indicating  
 184 that the presence of [5]helicene moiety substantially decrease the tension of  
 185 [n]CPP nano hoops possessing the same number of paraphenylene units.  
 186 Moreover, it is worth noting that the strain energy of **[5]H-[7]CPP** is smaller than  
 187 that (55.4 kcal mol<sup>-1</sup>) of Šolomek's [6]helicene nano hoop with the same  
 188 numbers of paraphenylene units,<sup>26</sup> suggesting that the [5]helicene nano hoops  
 189 might be more stable.



190

191 **Figure 2.** Single-crystal X-ray structures of (a–c) **[5]H-[7]CPP** and (d–f) **[5]H-[8]CPP**, with carbon atoms  
 192 depicted using thermal ellipsoids set at the 50% probability level: the (a,d) side and the (b,e) top views.  
 193 Only the *P*-enantiomers are shown for clarity. The intersection angles between the benzene rings and the  
 194 *Z*-axis are provided in Figure 2b,e in degrees; and the related dihedral angles between the adjacent six-  
 195 membered rings are shown in Figure 2c,f.

196 **Table 1.** C–C bond lengths (Bond length, [Å]), dihedral angles between benzene rings and the neighboring  
 197 aryl rings (Dihedral angle, [°]), as well as the intersection angles between the benzene rings and the axis<sup>a</sup>

198 of the hoop along which the 2D projection exhibits the largest macrocyclic area (Intersection angle, [°]) in  
 199 the solid and the DFT-optimized structures of **[5]H-[7,8]CPP** and **[5]H-[7,8]CPP<sup>2+</sup>**. <sup>b,c</sup>

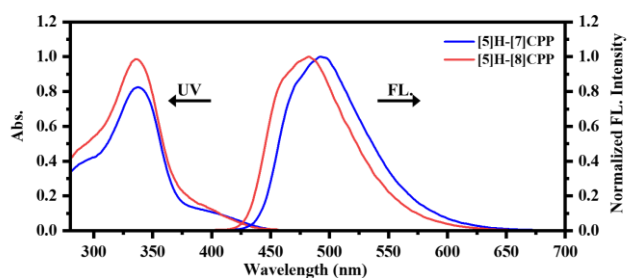
Parameters	<b>[5]H-[7]CPP</b> (crystal)	<b>[5]H-[7]CPP</b> (DFT-optimized)	<b>[5]H-[7]CPP<sup>2+</sup></b> (DFT-optimized)	<b>[5]H-[8]CPP</b> (crystal)	<b>[5]H-[8]CPP</b> (DFT- optimized)	<b>[5]H-[8]CPP<sup>2+</sup></b> (DFT- optimized)
Bond lengths [Å]:						
<sup>c</sup>						
C <sub>ortho</sub> -C <sub>ortho</sub>	1.372	1.391	1.377	1.385	1.391	1.379
C <sub>ipso</sub> -C <sub>ortho</sub>	1.389	1.408	1.421	1.394	1.407	1.420
C <sub>ipso</sub> -C <sub>ipso</sub>	1.499	1.487	1.457	1.487	1.486	1.460
Dihedral angle [°]:						
<sup>d</sup>						
	26.4–44.8 (35.1)	24.9–47.1 (36.8)	24.3–60.0 (40.0)	31.1–37.8 (34.9)	25.7–45.2 (35.3)	25.6–55.5 (38.0)
Intersection angle [°]: <sup>d</sup>	5.5–28.6 (15.0)	4.5–29.4 (15.3)	3.9–22.0 (9.0)	4.3–37.9 (18.8)	1.7–23.6 (14.0)	2.4–27.5 (9.9)

200 <sup>a</sup> That is the Z-axis shown in Figure 2b,e. <sup>b</sup> For illustrations on the studied dihedral angles and the intersection angles  
 201 herein, see Figure 2 (b and e), Figure 6 and S4-S5. <sup>c</sup> Average values. <sup>d</sup> The ranges of the angles are given; the  
 202 average values are shown in the parentheses.

## 203 Optical properties

204 The photophysical properties of **[5]H-[7]CPP** and **[5]H-[8]CPP** were first  
 205 examined by UV-vis and fluorescence spectroscopies. The results are shown  
 206 in Figure 3 and the determined corresponding photophysical parameters are  
 207 summarized in Table 2. Basically, **[5]H-[7]CPP** in DCM displays the main  
 208 absorption peak at 338 nm with a molar extinction coefficient ( $\epsilon$ ) of  $8.2 \times 10^4$   
 209  $M^{-1} \text{ cm}^{-1}$  and a shoulder band in the range of 370 – 450 nm, resembling that of  
 210 Šolomek's [6]helicene nano hoop. **[5]H-[8]CPP** shows a very similar absorption  
 211 contour, but with a larger  $\epsilon$  of  $9.8 \times 10^4 M^{-1} \text{ cm}^{-1}$  (Table 2).

212 The Möbius nanohoops show strong fluorescence in DCM with the  
213 emission maximum at 492 nm and 482 nm for **[5]H-[7]CPP** and **[5]H-[8]CPP**,  
214 respectively, which is red-shifted by 93 nm and 83 nm, respectively, compared  
215 to that of [5]helicene ( $\lambda_{em} = 430$  nm). When compared with [7]CPP, the  
216 fluorescence maximum of **[5]H-[7]CPP** is blue-shifted by 97 nm. Similarly, the  
217 peak of **[5]H-[8]CPP** is blue-shifted by 50 nm as compared to [8]CPP. These  
218 are as expected due to the bigger size of these two molecules. The  
219 fluorescence quantum yields ( $\Phi_F$ ) were determined to be 37.1% for **[5]H-**  
220 **[7]CPP** and 44.6% for **[5]H-[8]CPP**, which are moderate but significantly higher  
221 than those of the pristine [7-8]CPPs<sup>47-48</sup> and [5]helicene.<sup>49-50</sup> The symmetry  
222 breaking caused by the presence of [5]helicene moiety in the nanohoops is  
223 partially responsible for the turn-on fluorescence of [5]helicene-based  
224 nanohoops.<sup>52</sup> The enhancement in fluorescence quantum yields were further  
225 interpreted by theoretic calculations (*vide infra*). The fluorescence lifetimes ( $\tau$ )  
226 of **[5]H-[7]CPP** and **[5]H-[8]CPP** were determined to be 3.0 ns and 3.1 ns,  
227 respectively, by single-exponential decay fitting (Figure S31-S32); and the  
228 radiative decay rate constants ( $k_r$ ) were corresponded to be  $1.24 \times 10^8$  s<sup>-1</sup> for  
229 **[5]H-[7]CPP** and  $1.44 \times 10^8$  s<sup>-1</sup> for **[5]H-[8]CPP**, respectively. The Stokes shifts  
230 are 154 nm and 144 nm for **[5]H-[7]CPP** and **[5]H-[8]CPP**, respectively. The  
231 large Stokes shifts are mainly attributed to the significant structural relaxation  
232 that occurs from the Franck-Condon state to the relaxed state.<sup>51</sup>



233

234 **Figure 3.** The UV-vis absorption and fluorescence emission spectra of **[5]H-[7]CPP** (blue) and **[5]H-**  
 235 **[8]CPP** (red) in CH<sub>2</sub>Cl<sub>2</sub> (1.0×10<sup>-5</sup> M).

236 **Table 2.** Photophysical properties of **[5]H-[7]CPP**<sup>a</sup>, **[5]H-[8]CPP**<sup>a</sup>, [7]CPP<sup>47</sup>, [8]CPP<sup>48</sup> and [5]Helicene  
 237 49-50.

Compd.	$\lambda_{\text{Abs}}^b/\text{nm}$	$\epsilon_m \times 10^4$	$\lambda_{\text{em}}^c/\text{nm}$	$\Phi_F^d/\%$	$\tau^e/\text{ns}$	Stokes Shift <sup>f</sup> /nm
<b>[5]H-[7]CPP</b>	338	8.2	492	37.1	3.0	154
<b>[5]H-[8]CPP</b>	338	9.8	482	44.6	3.1	144
[7]CPP <sup>g</sup>	340	6.9	587	0.7	NA	247
[8]CPP <sup>g</sup>	340	10.0	533	10	17.6	193
[5]Helicene <sup>g</sup>	305	10.0	400	4	25.5	95

238 <sup>a</sup> UV-vis absorption and fluorescence spectra were measured in DCM (1.0×10<sup>-5</sup> M) at room temperature.

239 <sup>b</sup> Maximum absorption. <sup>c</sup> Maximum emission upon excitation at 338 nm. <sup>d</sup> Fluorescence quantum yields

240 in DCM solution were measured by using a calibrated integrating sphere system within ±3% error, excited

241 at 338 nm. <sup>e</sup> Lifetimes were measured in DCM (1.0×10<sup>-5</sup> M,  $\lambda_{\text{ex}}$ =338 nm). <sup>f</sup> Stokes shift was calculated

242 based on  $\lambda_{\text{em}} - \lambda_{\text{Abs}}$ . <sup>g</sup> Compounds [7-8]CPPs and [5]Helicene were synthesized according to the reported

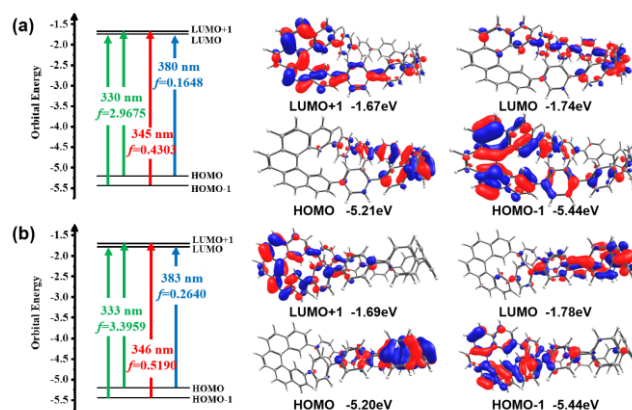
243 procedures (ref. 47-50) and their photophysical properties were measured under identical conditions.

244 The frontier molecular orbitals (FMOs) calculated at MN15/6-31g\* level

245 reveal similar orbital characteristics for **[5]H-[7]CPP** and **[5]H-[8]CPP** (Figure 4

246 and S42-S44). Both HOMO and LUMO mainly concentrate on the

247 paraphenylene moieties, whereas HOMO-1 and LUMO+1 are comparatively  
248 more dispersed and mainly scattered over the [5]helicene subunits. The energy  
249 diagrams of the dominant excitations are shown in the left of Figure 4. In both  
250 cases, the absorption bands at 338 nm are mainly attributed to transitions from  
251 HOMO-1→LUMO and HOMO→LUMO+1, which are corresponding to the  
252 calculated absorption maxima at 330 nm for **[5]H-[7]CPP** and 333 nm for **[5]H-**  
253 **[8]CPP**, respectively, with the large oscillator strength ( $f$ ) values. The small  
254 shoulder bands around 400 nm are corresponding to the transition of  
255 HOMO→LUMO. Note that the FMOs of [5]helicene are no longer HOMOs and  
256 LUMOs of **[5]H-[7]CPP** and **[5]H-[8]CPP** so that the emission wavelength are  
257 mainly dependent on the cyclic paraphenylene units, which are presumably  
258 accountable for the fact that the fluorescence quantum yields of [5]helicene-  
259 based nanohoops are significantly higher than that of the pristine [5]helicene.  
260 **[5]H-[7]CPP** features the HOMO and the LUMO energy level of -5.21 and -1.74  
261 eV, respectively, slightly wider than that of **[5]H-[8]CPP** corresponding to -5.20  
262 and -1.78 eV, respectively (Figure 4). The HOMO–LUMO gaps of **[5]H-[7]CPP**  
263 and **[5]H-[8]CPP** are determined to be 3.47 and 3.42 eV, respectively, which  
264 are slightly narrower than those of [11]CPP and [12]CPP (3.49 and 3.56 eV,  
265 respectively). **[5]H-[7]CPP** exhibits a notably larger HOMO-LUMO gap than  
266 7CPP (3.15 eV), while **[5]H-[8]CPP** possesses a slightly larger HOMO-LUMO  
267 gap, compared to 8CPP (3.36 eV, MN15/6-31g\* level).<sup>53</sup>



268

269 **Figure 4.** Energy diagrams and pictorial representations of the FMOs for (a) **[5]H-[7]CPP** and (b) **[5]H-**  
 270 **[8]CPP**. The values of  $f$  represent the oscillator strengths.

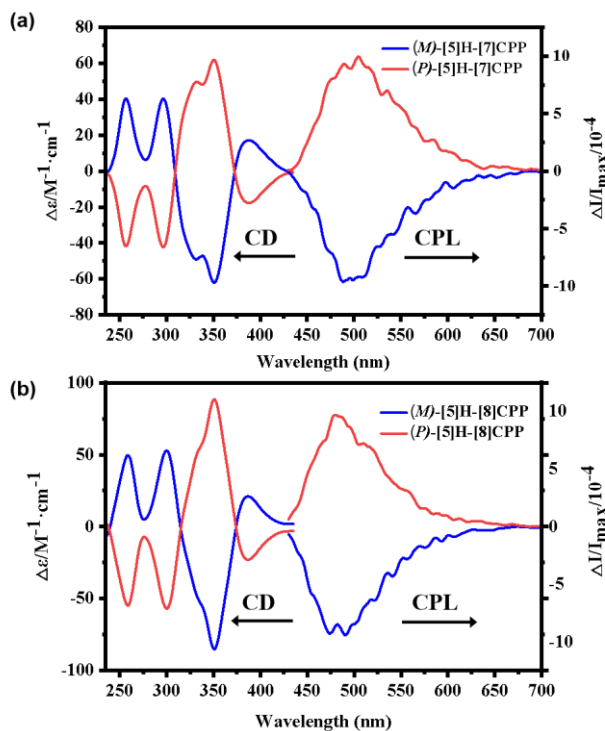
271

272 Chiral resolutions of the enantiomers of **[5]H-[7]CPP** and **[5]H-[8]CPP**  
 273 were achieved by HPLC with a chiral-stationary-phase column (Chiralpak IB  
 274 column, 4.6 mm  $\Phi$   $\times$  250 mm L) and a UV detector, using DCM/*n*-heptane  
 275 (40:60) as the mobile phase with a flow of 2 mL/min. Each pair of enantiomers  
 276 were obtained as a pair of isolated fractions with a ratio of about 1:1,  
 277 respectively (Figure S36). The ECD spectra of the two isolated HPLC fractions  
 278 recorded in DCM solution (Figure 5a and 5b) were perfect mirror images  
 279 corresponding to the *P*- and *M*-enantiomers of **[5]H-[7]CPP** and **[5]H-[8]CPP**,  
 280 respectively, which were assigned according to the computational studies  
 281 (Figure S47). The ECD spectra of enantiomers display multiple Cotton effects  
 282 in the range of 235 to 435 nm, with two distinct sign inversions at  $\lambda = 310$  and  
 283 374 nm for **[5]H-[7]CPP** and at  $\lambda = 314$  and 374 nm for **[5]H-[8]CPP** (Figure 5

284 and S37), respectively. The enantiomers of **[5]H-[7]CPP** exhibits a maximum  
285 CD signal at 350 nm with  $|\Delta\varepsilon| = 61.8 \text{ M}^{-1} \text{ cm}^{-1}$  and the  $|g_{\text{abs}}|$  value of  $2.7 \times 10^{-3}$ ,  
286 while the enantiomers of **[5]H-[8]CPP** also exhibits a maximum CD signal at  
287 the same wavelength but with a larger  $|\Delta\varepsilon| = 88.5 \text{ M}^{-1} \text{ cm}^{-1}$  and  $|g_{\text{abs}}| = 3.3 \times 10^{-3}$   
288 (Figure S38).

289 The chiroptical properties of the excited states were investigated by the  
290 means of circularly polarized luminescence (CPL) spectroscopy. The two  
291 enantiomers of **[5]H-[7]CPP** and **[5]H-[8]CPP** show CPL emission in the range  
292 of 430 to 700 nm (Figure 5), which are corresponding to the fluorescence  
293 emission. The CPL spectra of each pair of enantiomers are mirror images of  
294 each other. The maximum CPL dissymmetry factor ( $|g_{\text{lum}}|$ ) can evaluate the  
295 magnitudes of CPL, thus the  $|g_{\text{lum}}|$  values were determined to be  $1.40 \times 10^{-3}$  and  
296  $1.26 \times 10^{-3}$  for **[5]H-[7]CPP** and **[5]H-[8]CPP**, respectively, which are in the  
297 range of  $10^{-5}$  to  $10^{-3}$  for small organic molecules.<sup>54-58</sup> The ratios of  $|g_{\text{lum}}|$  and  
298  $|g_{\text{abs}}|$  were calculated to be 0.52 and 0.38 for **[5]H-[7]CPP** and **[5]H-[8]CPP**,  
299 respectively. The values are likely to indicate that the geometric change  
300 between the ground and excited states is relatively small for **[5]H-[7]CPP** but  
301 significant for **[5]H-[8]CPP**, which is presumably due to that the structure in  
302 excited state of **[5]H-[7]CPP** is more rigid than that of **[5]H-[8]CPP**.<sup>54-61</sup>





303

304 **Figure 5.** CD and CPL spectra of (a) **[5]H-[7]CPP** and (b) **[5]H-[8]CPP** in DCM ( $1.0 \times 10^{-5} \text{M}$ ).

305

### 306 **Electronic Structures of the Neutral Species**

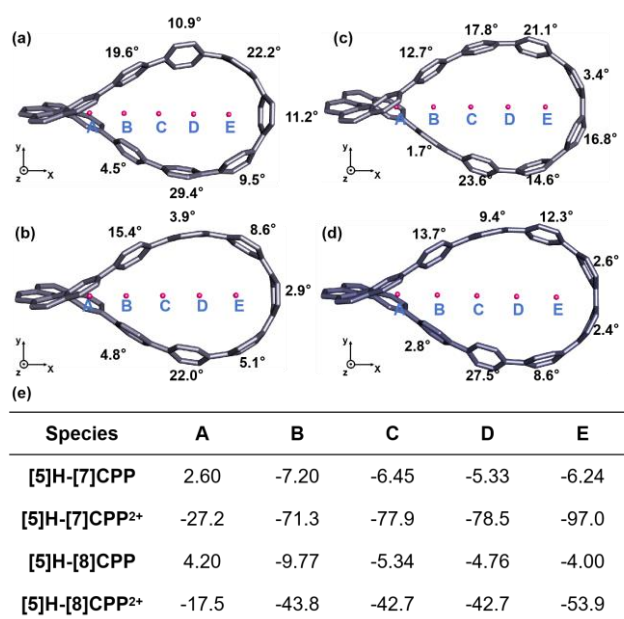
307 To understand the electronic structures of the neutral compound and its  
 308 aromaticity, Nucleus-independent chemical shifts (NICS) analysis was first  
 309 carried out. The  $\text{NICS}(\pm 1)_{zz}$  values of all the six-membered rings of the studied  
 310 neutral systems, obtained from the GIAO-B3LYP/6-31g(d,p) computations, are  
 311 largely negative (Figure S48, Table S19), indicative of a strong aromaticity of  
 312 these moieties. Geometric aromaticity analyses, on the basis of the structures  
 313 optimized at the B3LYP/6-31g(d) level, provided accordant results. In both of  
 314 **[5]H-[7]CPP** and **[5]H-[8]CPP**, the harmonic oscillator model of aromaticity

315 (HOMA) values are determined to be 0.989-0.993 of the *p*-phenylene units,  
316 revealing that these rings are highly aromatic. Values of about 0.950 of the  
317 peripheral rings and 0.84-0.89 for the rest ones in the [5]helicene moiety are  
318 obtained, which is due to the twisted conformation and the strong  $\pi$ -conjugation  
319 in this unit (Figure S26-S27, Table S11 and S12).

320 Interestingly, the calculated NICS<sub>zz</sub> values show some differences on the  
321 periphery and interior cavity of the Möbius nano hoops (Figure S48-S49). For  
322 the ghost atoms located at the periphery of the nano hoop for a specific ring, the  
323 value is typically less negative compared to the corresponding one located  
324 inside, suggestive of a more aromatic circumstance for the inner cavity of the  
325 nano hoops<sup>62</sup>. Further NICS-scan was carried out, in which the NICS probes  
326 were placed the inner cavity of the nano hoops at intervals of 2.61 and 2.91 Å  
327 to give a quinque-section in the case of **[5]H-[7]CPP** and **[5]H-[8]CPP**,  
328 respectively, along the theoretical C<sub>2</sub> axis of symmetry.

329 As shown in Figure 6, ignoring place A that located in the bay area of the  
330 [5]helicene moiety, the NICS values at the inner cavity are in the range from -  
331 7.2 to -5.3 and from -9.8 to -4.0 ppm for **[5]H-[7]CPP** and **[5]H-[8]CPP**,  
332 respectively. These small negative NICS values could be due to the presence  
333 of marginal aromaticity and the small positive values of position A might be  
334 ascribed to the, comparatively, stronger paratropic current flowing in the bay of  
335 the helicene moiety. Therefore, NICS-scan analyses are suggestive of local

336 Hückel aromaticity for the neutral species of the studied molecules. Such a local  
 337 aromaticity is supported further by the two-dimensional nucleus-independent  
 338 chemical shift value (2D-NICS) calculations (Figure 8c and S54 and S56),  
 339 which show that no obvious shielding can be observed in the inner cavity of the  
 340 hoops.



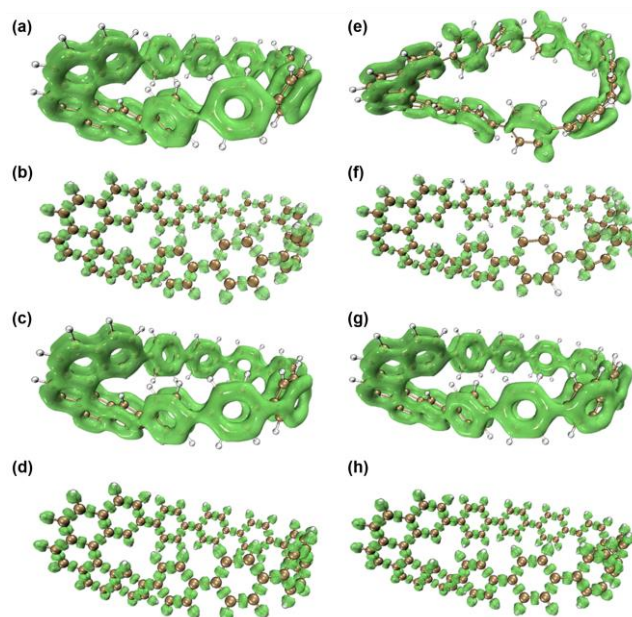
341

342 **Figure 6.** NICS(0)<sub>zz</sub> values calculated at GIAO-B3LYP/6-31g(d,p) level of theory at points of quinque-  
 343 section (ghost atom A to E) of the inner cavities of (a) [5]H-[7]CPP and (b) [5]H-[7]CPP<sup>2+</sup>, (c) [5]H-[8]CPP  
 344 and (d) [5]H-[8]CPP<sup>2+</sup> along the theoretical C<sub>2</sub> axis of symmetry. The values are shown in the table (Figure  
 345 6e) below the DFT-optimized structures. The external magnetic field is oriented along the z axis. The  
 346 black numbers shown in the Figures (a-d) correspond to the intersection angles (in degrees) between the  
 347 benzene rings and the Z-axis.

348 Anisotropy of the induced current density (AICD) analyses are widely  
 349 accepted for visualization of aromaticity.<sup>3, 22-25, 53-67</sup> The AICD plot (isovalue =  
 350 0.040) of [5]H-[7]CPP clearly shows locally diatropic currents in each individual  
 351 benzene ring (Figure 8a,b). For the [5]helicene moiety, a strong diatropic  
 352 current can be observed in the periphery and a paratropic current presents in

353 the bay. Similar pattern is also observable in the case of **[5]H-[8]CPP** (Figure  
354 S60). Such AICD plots is strongly indicative of a local aromaticity for both **[5]H-**  
355 **[7]CPP** and **[5]H-[8]CPP**.

356 The isolated electron density observed in the localized orbital locator- $\pi$   
357 (LOL- $\pi$ ) plots<sup>68-69</sup> are in good agreement with the results of the NICS and AICD  
358 analysis as well. In the case of **[5]H-[7]CPP**, at isovalue = 0.30 level, the  $\pi$ -  
359 electrons delocalize over all the [5]helicene moiety and the individual benzene  
360 rings (Figure 7a). Nevertheless, the  $\pi$ -electrons do not spread continuously in  
361 the whole [7]cycloparaphenylenes fragment, and bifurcations located at the  
362 bridging C–C single bonds are observed, indicating that the  $\pi$ -conjugation  
363 within **[5]H-[7]CPP** is not significant enough, inhibiting the system to display  
364 global aromaticity. For **[5]H-[8]CPP**, a similar, but less continuous,  $\pi$ -electrons  
365 delocalization pattern is shown, suggestive of a weaker electron conjugation  
366 (Figure 7e). This phenomenon, similar to those of their parent molecules  
367 [n]CPPs,<sup>47, 48, 52</sup> is understandable because that a smaller nanohoop would give  
368 rise to a better radial  $\pi$ -overlap and thus an effective increase in the  $\pi$ -  
369 conjugation between the neighbouring aryl rings. Notably, LOL- $\sigma$  plots at  
370 isovalue = 0.60 clearly show that the electrons delocalization on the C–C atoms  
371 bridged neighbouring aryls are comparable to that over the benzene rings  
372 (Figure 7, b and f), suggesting that **[5]H-[7]CPP** and **[5]H-[8]CPP** are still  
373 conjugated to some extent.



374

375 **Figure 7.** (a,c,e,g) LOL- $\pi$  (isovalue = 0.30) and (b,d,f,h) LOL- $\sigma$  (isovalue = 0.60) isosurfaces for (a,b)

376 **[5]H-[7]CPP**, (c,d) **[5]H-[7]CPP<sup>2+</sup>**, (e,f) **[5]H-[8]CPP** and (g,h) **[5]H-[8]CPP<sup>2+</sup>**

### 377 **Electronic Structures of the Dications**

378 We expected that the dications of the studied nanohoops, **[5]H-[7,8]CPP<sup>2+</sup>**,  
 379 may possess different aromatic characters compared to their neutral analogues.

380 Theoretically, the dications of **[5]H-[7,8]CPP** have cyclic conjugation paths with  
 381  $4n+2$   $\pi$ -electrons in both the peripheric and inner conjugation circuits, which  
 382 match the  $\pi$ -electron rule of either Möbius anti-aromaticity or Hückel aromaticity.

383 We next tried to prepare the dicationic **[5]H-[7,8]CPP**. Cyclic voltammetry (CV)  
 384 of **[5]H-[7]CPP** in 0.1 M tetrabutylammonium hexafluorophosphate ( $n$ -  
 385 Bu<sub>4</sub>N<sup>+</sup>[PF<sub>6</sub>]<sup>-</sup>) in DCM showed a wave, not fully reversible, at  $E_{ox} = +0.385$  V vs  
 386 Fc/Fc<sup>+</sup> (Figure S41). Accordingly, tris(4-bromophenyl) ammoniumyl  
 387 hexachloroantimonate (Magic Blue, +0.7 V vs Fc/Fc<sup>+</sup>)<sup>70</sup> was chosen to oxidize

388 **[5]H-[7]CPP** in DCM at room temperature. Unexpectedly, a white diamagnetic  
389 product with complicated  $^1\text{H}$  NMR signals was isolated (Figure S2-S3 and S6).  
390 An attempt to crystalize the white product was unsuccessful. However, a mass  
391 peak of  $m/z = 1615.6131$  was detected by the MOLDI-TOF-HRMS spectrum  
392 (Figure S4), which is presumably assignable to a dimer of **[5]H-[7]CPP**  
393 (Scheme S2), according to the observations reported by Kayahara, *et al.*<sup>27</sup>  
394 However, we preferred not to incur further discussion on the detailed structural  
395 assignment.

396 The unsuccessful synthesis of the dications compelled us to solely explore  
397 the electronic structures computationally. Like **[5]H-[7]CPP**, the DFT (b3lyp/6-  
398 31g(d) optimized structure of **[5]H-[7]CPP<sup>2+</sup>** show a Möbius topology as well  
399 (Figure 6a–d). Nevertheless, compared to the neutral species in which the *p*-  
400 phenylene units are somewhat staggered, the *p*-phenylenes in **[5]H-[7]CPP<sup>2+</sup>**  
401 are more parallel to the axle of the hoop along which the 2D projection exhibits  
402 the largest macrocyclic area (i.e., the Z-axis shown in Figure 6) (with the  
403 intersection angles of 2.9–22.0°, compared to 5.5–28.6 for **[5]H-[7]CPP**) (Table  
404 1, Figure 2b,e and Figure 6), so that their *p* orbitals are more radially oriented,  
405 implied of a higher  $\pi$ -conjugation of the whole systems. In regard to the bond  
406 lengths, though there are no obvious changes for those in the [5]helicene  
407 subunit (Table S9), the  $C_{\text{ortho}}-C_{\text{ortho}}$  and  $C_{\text{ipso}}-C_{\text{ipso}}$  bonds in the *p*-phenylene  
408 units contract to some extent (1.377 and 1.457 Å, respectively, in average,

409 compared to 1.391 and 1.487 Å, respectively, for **[5]H-[7]CPP**), whereas the  
410  $C_{\text{ipso}}-C_{\text{ortho}}$  bond elongate slightly (1.421 vs 1.408 Å, averagely, for **[5]H-**  
411 **[7]CPP**)<sup>31</sup> (Table 1), which is indicative of an increase in the quinoidal  
412 contribution to the cycloparaphenylenes moiety. Notably, the HOMA values  
413 (0.989-0.993) for the *p*-phenylene rings in **[5]H-[7]CPP<sup>2+</sup>** are very close to those  
414 (0.989-0.992) in **[5]H-[7]CPP** (Table S11 and Figure S26). This indicates that  
415 HOMA is not a good parameter to evaluate the aromaticity character in our  
416 cases in which the changes of length show different trends for different bonds.  
417 Very similar results are also obtained for **[5]H-[8]CPP<sup>2+</sup>**. (Table 1 and S12,  
418 Figure S27).

419 The strain energies of the dicationic nanohoops were estimated based on  
420 the homodesmotic reactions associating conversions from the dicationic  
421 nanohoops and biphenyl to diphenyl[5]helicene radical cation as well as the  
422 radical-cationic and the neutral terphenyls (Scheme S6), which gave a value of  
423 41.2 and 36.8 kcal mol<sup>-1</sup> for **[5]H-[7]CPP<sup>2+</sup>** and **[5]H-[8]CPP<sup>2+</sup>**, respectively, ca.  
424 11 kcal mol<sup>-1</sup> smaller than that of the neutral one in both the cases. The lower  
425 strain energies represent that the quinoidal contribution is helpful to stabilize  
426 the Möbius topology.

427 The NICS(±1)<sub>zz</sub> values of the *p*-phenylene rings in **[5]H-[7,8]CPP<sup>2+</sup>** are still  
428 largely negative, but less as compared to those of the neutral species (Figure  
429 S48-S49), indicative of a decrease in the local aromaticity for the benzene rings

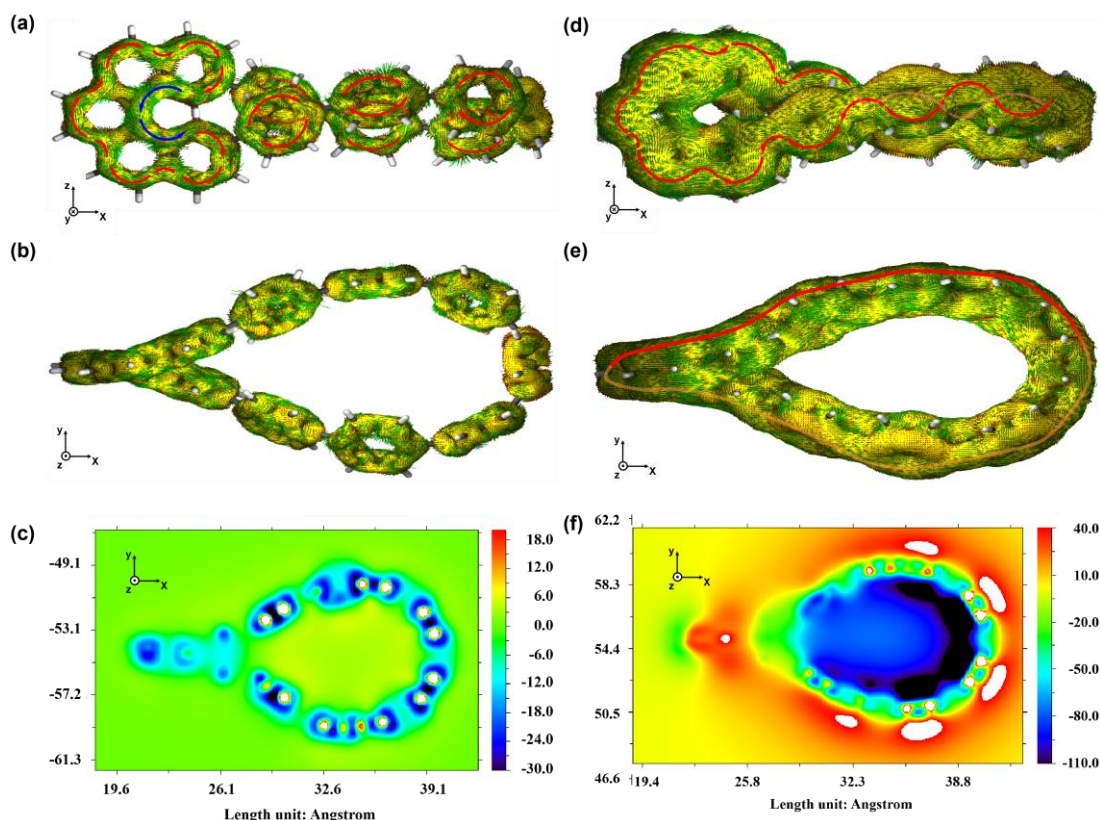
430 in the dications adopting a quinoid-like structure. In contrast, for the six-  
431 membered rings in [5]helicene units, the values become much more negative.  
432 In particular, extremely negative values (for example, less than  $-130$  ppm in the  
433 case of **[5]H-[7]CPP<sup>2+</sup>**) are observed for the two rings adjacent to the central  
434 six-membered rings, suggesting the presence of strong local diatropic ring  
435 current in an external magnetic field. NICS-scanning in which the probes were  
436 placed in quinque-section points of the inner cavity along the  $C_2$  axis gave rise  
437 to very negative values in both the case of **[5]H-[7]CPP<sup>2+</sup>** and **[5]H-[8]CPP<sup>2+</sup>**  
438 (Figure 6, Figure S51 and S53). This strongly indicates that, distinct from their  
439 neutral analogues, **[5]H-[7,8]CPP<sup>2+</sup>** possess a global aromaticity character.  
440 Further, the global aromaticity of such aromatic systems is provided by 2D-  
441 NICS calculations. As shown in Figure 8f and Figure S55 and S57, consistent  
442 with the corresponding computed NICS<sub>zz</sub> values, a very strong shielding effect  
443 caused by the diatropic ring current on the nanohoops is observed on their inner  
444 cavities due to the formation of in-plane aromaticity, which is in sharp contrast  
445 with that in the cases of the neutral species (Figure 8c and S54 and S56).

446 AICD analyses provide more details of the global aromaticity. In both the  
447 case of **[5]H-[7,8]CPP<sup>2+</sup>** (Figure S59 and S61), the AICD plot shows a  
448 continuous, strong counter-clockwise paratropic ring current along the whole  
449 molecular periphery (Figure 8e and figure S61b). More careful observation  
450 reveals that, under the perspective of a front view (Figure 8d and figure S61a),



451 the induced electron flow is significant along, first, the lower rim of the  
452 cycloparaphenylenes fragment and, then, the periphery of the [5]helicene unit,  
453 followed the upper rim of the cycloparaphenylenes fragment on the back. By  
454 this way, the current-flow lifts the restriction of Möbius topology to exhibit in-  
455 plane global aromaticity, resembling that of the dications of [n]CPPs. Local  
456 vortices of current are observable on the two six-membered rings adjacent to  
457 the central ring of the [5]helicene unit, which is indicative of a non-negligible  
458 local aromaticity contribution, in agreement with the corresponding highly  
459 negative NICS( $\pm 1$ )<sub>zz</sub> values of these two rings.

460 The LOL- $\pi$  plots (isovalue = 0.30) (Figure 7) show that, compared to **[5]H-**  
461 **[7,8]CPP**, the  $\pi$ -electrons on **[5]H-[7,8]CPP<sup>2+</sup>** are more thoroughly distributed  
462 throughout the [5]helicene moiety and the whole [7]cycloparaphenylenes  
463 fragment to form a big conjugated structures, which is in coincidence with the  
464 character of global aromaticity of the systems. In contrast, the LOL- $\sigma$  plots at  
465 isovalue = 0.60 level of **[5]H-[7,8]CPP<sup>2+</sup>** display an almost identical pattern to  
466 that of the neutral species, indicating that the  $\sigma$  aromaticity is similar before and  
467 after the cationization.



468

469

**Figure 8.** The (a,d) front and (b,e) the top views of the AICD plots (isovalue = 0.040) as well as (c,f) the

470

2D-NICS grids calculated by the GIAO-B3LYP/6-31g(d,p) method for (a–c) [5]H-[7]CPP and (d–f) [5]H-

471

[7]CPP<sup>2+</sup>. The diamagnetic (clockwise) and paramagnetic (counterclockwise) ring currents under the

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magnetic field are highlighted by red and blue arrows, respectively. In all the cases, the external magnetic

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field is oriented along the Z-axis.

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## Conclusions

475

In this study, two  $\pi$ -conjugated all-carbon nanohoops with a Möbius

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topology by incorporating [5]helicene into oligoparaphenylene units are

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prepared. X-ray structural analyses confirmed that the conjugated all-carbon

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nanohoops exhibit a distinct Möbius topology. The photophysical and chiroptical

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properties of the nanohoops were investigated after HPLC resolutions. The

480 chiral nano hoops display strong electronic circular dichroism signal with multi-  
481 bands in the spectra and bright CPL emissions, with moderately high absorption  
482 and luminescence dissymmetry factors. Theoretical structural analysis  
483 revealed that the presence of [5]helicene unit leads to a significant mitigation of  
484 strain and the cyclic conjugated pathways with  $4n$   $\pi$ -electrons. Though  
485 possessing a Möbius topology, our computational investigations revealed a  
486 weakly conjugated, locally Hückel-aromatic character of these all-carbon  
487 nano hoops in the neutral state, while their dicationic species exhibit in-plane  
488 global aromaticity, resembling that of [n]CPPs. The results may provide a better  
489 understanding of the complicated relationship between Möbius topology and  
490 aromaticity.

491

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642 SYNOPSIS TOC.

643 All-carbon nano hoops with  $[4n]$ Möbius topology via combining

644  $[5]$ helicene and cycloparaphenylene units exhibit bright circularly

645 polarized luminescence and Hückel aromaticity.

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