

1 **Synthesis of D-glucono-1,4-lactones modified with linear**
2 **saturated fatty acids and evaluation of their physical**
3 **properties**

4

5 Shiro Komba*

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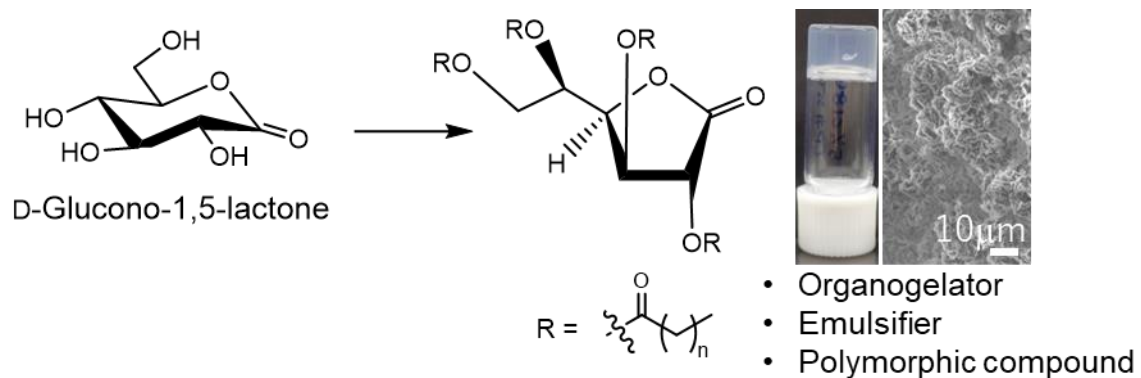
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10 **Table of Contents/Abstract Graphics**

11



12

The six-membered ring changes to a five-membered ring.

13 **Abstract**

14 To develop a novel low molecular-weight organogelator, D-glucono-1,4-lactones, in which all
15 hydroxy groups were esterified with linear saturated fatty acids, were synthesized, and their gelation
16 ability was evaluated. When a fatty acid was introduced, the six-membered ring D-glucono-1,5-
17 lactone changed to a five-membered ring D-glucono-1,4-lactone, regardless of the length of the fatty
18 acid. However, the gelation ability depended on the length of the fatty acid, and compounds esterified
19 with palmitic acid (16 carbons) and stearic acid (18 carbons) showed a better gelation ability. Electron
20 microscopy showed that the structure of the xerogels varied with the length of the fatty acids, with
21 some forming a fiber structure and others forming plate-like crystals building up to a porous structure.
22 In addition, their emulsification ability and crystal polymorphism were confirmed, and detailed
23 polymorphic crystal analysis by FT-IR was performed to estimate the intermolecular packing
24 structure at the molecular level.

25

26 **Keywords:** *D-glucono-1,5-lactone, D-glucono-1,4-lactone, 1,5-GL, 1,4-GL, C16GL, C18GL,*
27 *organogelator, emulsifier, crystal polymorphism*

28 **1. Introduction**

29 Organogelators include low molecular-weight organogelators (LMG) and polymeric organogelators.
30 LMGs express their functions by creating three-dimensional supramolecular structures, such as self-
31 assembled fibrous structures, through noncovalent bonds, such as van der Waals interactions, π - π
32 interactions, solvophobic effects, and hydrogen bonding. Various sugar derivatives have been
33 developed as LMGs.¹ D-glucose has been the most well studied among the sugars, and various
34 derivatives have been developed as organogelators.²⁻⁶ However, synthesizing various organogelators
35 derived from D-glucose inevitably requires multiple steps. This is because the anomeric position of
36 D-glucose can form two stereoisomers, α - and β -forms, and α -methyl glycosylation is often performed
37 first to fix either one of them.

38 Various LMGs using D-gluconic acid, the ring-opened structure of D-glucono-1,5-lactone (1,5-GL),
39 have already been developed. Song et al. have reported that D-gluconic acid derivatives with a
40 benzylidene group at the 2,4-position hydroxy groups and an alkylamide group at the 1-position can
41 be used as organogelators.^{7, 8} Fang et al. have reported that D-gluconic acid derivatives with a
42 benzylidene group at the 2,4-position hydroxy groups and a methyl ester group at the 1-position can
43 be used as organogelators.⁹ These are derivatives of the linear D-gluconic acid and are immobilized
44 with a benzylidene group that can control the molecular mobility of the 2,4-position hydroxy groups.
45 These compounds with such a restrained three-dimensional molecular mobility improve the
46 intermolecular packing ability and facilitate the formation of three-dimensional supramolecular
47 structures, i.e., self-assembled fibrous structures.

48 Previously, we have developed LMGs in which linear saturated fatty acids are introduced into the
49 hydroxy groups of a six-membered ring cyclic polyol. We have found that 1,5-anhydro-D-glucitol
50 (1,5-AG), one of the six-membered ring cyclic polyols and a compound in which the anomeric
51 position of D-glucose is deoxygenated, is a good organogelator when linear saturated fatty acids are

52 introduced into all hydroxy groups. Among them, 1,5-anhydro-2,3,4,6-tetra-*O*-hexadecanoyl-D-
53 glucitol (C16AG) (**Figure 1**, compound **8**), which has a 16-carbon palmitic acid, was found to have
54 the best gelation ability.¹⁰⁻¹³ Furthermore, it has been found that 1,5-AG derivatives with linear
55 saturated fatty acids containing an amide group can form thinner fibers than those without amide
56 groups, thereby creating stiffer gels.¹⁴ Thus, we retained the six-membered ring structure, which is
57 the sugar backbone, and used this structure to control three-dimensional molecular mobility, thereby
58 improving the packing between molecules and creating a three-dimensional supramolecular structure.
59 Considering practical use, unlike D-glucose, the six-membered ring structure used here is free of
60 stereoisomers, and raw materials that enable the synthesis of the target product in a single step were
61 selected. Applying this idea to 1,5-GL, the six-membered ring structure of D-gluconic acid, and
62 introducing a fatty acid to this hydroxy group, we speculated it would make a good organogelator.
63 1,5-GL does not have the problem of stereoisomerization at the anomeric position, which has been
64 an issue with D-glucose. Furthermore, unlike 1,5-AG, the oxygen atom of the carbonyl group of the
65 lactone, which has a high electron density, may improve the affinity for water and is expected to
66 develop an emulsifying ability.

67 Herein, we report the synthesis of gluconolactone derivatives reacted with various linear saturated
68 fatty acids of different lengths and the results of the verification of their gelation and emulsification
69 properties. Furthermore, we also report the discovery of crystal polymorphism as an unexpected
70 physical property of gluconolactone derivatives and the estimation of the molecular packing
71 mechanism at the molecular level based on detailed analysis of the polymorphism.

72

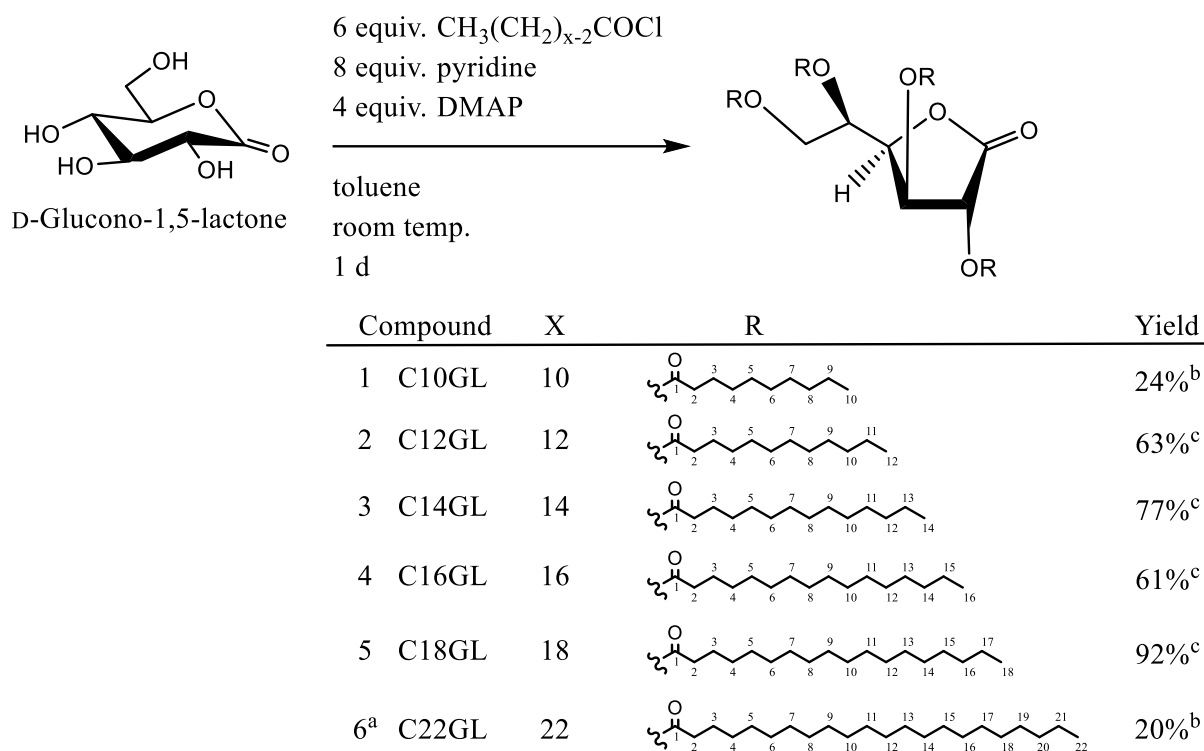
73 **2. Results and discussion**

74 *2.1. Synthesis of gelators*

75 Previous studies have reported on introducing fatty acids to the 6-position hydroxy group of 1,5-GL,^{15,}

76 ¹⁶ but as far as we know, there are no reports on introducing fatty acids to all hydroxy groups of 1,5-
77 GL. Therefore, we decided to introduce various linear saturated fatty acids of different lengths into
78 1,5-GL and compare their physical properties. The selected fatty acids were 10-carbon decanoic acid
79 (capric acid), 12-carbon dodecanoic acid (lauric acid), 14-carbon tetradecanoic acid (myristic acid),
80 16-carbon hexadecanoic acid (palmitic acid), 18-carbon octadecanoic acid (stearic acid), and 22-
81 carbon docosanoic acid (behenic acid), and the acyl chlorides of each were used. However, because
82 there is no commercially available acyl chloride of behenic acid, the acyl chloride was synthesized
83 using thionyl chloride and then used.

84 1,5-GL was dissolved in 8 equivalents of pyridine and 4 equivalents of 4-dimethylaminopyridine
85 (DMAP). 1,5-GL, being a hydrophilic compound, could dissolve in the pyridine solution containing
86 DMAP. It was then suspended in toluene and reacted by adding 6 equivalents of various fatty acyl
87 chlorides and stirring at room temperature for one day (**Scheme 1**). The compound with capric acid
88 (C10GL) and the compound with behenic acid (C22GL) required purification using silica gel column
89 chromatography, while the other compounds were successfully isolated and purified using
90 crystallizations. The homemade behenoyl chloride was colored, and the color remained after
91 purification of the product, C22GL, by column chromatography. Therefore, the gel during gelation
92 tests was colored.



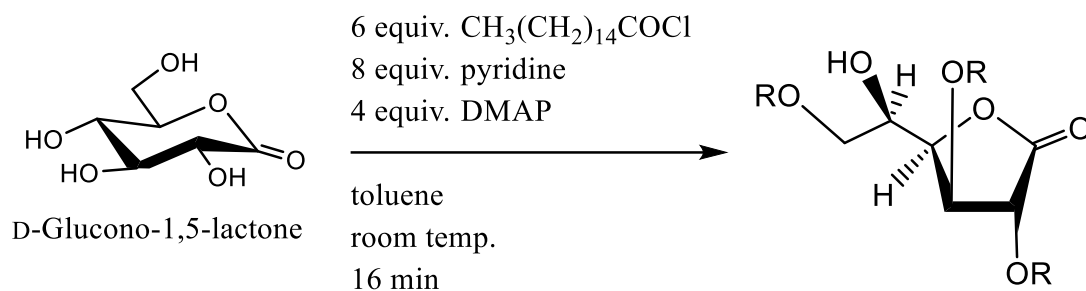
Scheme 1. Introduction of fatty acids of various lengths into 1,5-GL. ^aSince behenoyl chloride is not commercially available, it was synthesized from behenic acid and used without purification. The reaction was conducted at room temperature for one day, followed by 4 h at 80°C. ^bIsolated yield purified using column chromatography. ^cIsolated yield purified using crystallization.

93
 94 Nuclear magnetic resonance (NMR) analysis of the obtained compounds revealed that they did not
 95 have the expected six-membered ring structure with 1,5 cyclization, but all compounds had five-
 96 membered ring structures with 1,4 cyclization (D-glucono-1,4-lactone: 1,4-GL). This was confirmed
 97 using heteronuclear multiple bond correlation (HMBC)-NMR. Specifically, a cross-peak from the
 98 hydrogen at position 4 to the carbon at position 1 and a cross-peak from the hydrogen at position 5 to
 99 the carbonyl carbon of the fatty acid were observed for each compound. C10GL (**1**) (δ 4.95 (H-4) \rightarrow
 100 169.04 (C-1), 5.36 (H-5) \rightarrow 171.93–172.11 (C_{lipid}-1)), C12GL (**2**) (δ 4.94 (H-4) \rightarrow 169.04 (C-1), 5.36
 101 (H-5) \rightarrow 171.93–172.10 (C_{lipid}-1)), C14GL (**3**) (δ 4.94 (H-4) \rightarrow 169.04 (C-1), 5.36 (H-5) \rightarrow 171.93–
 102 172.10 (C_{lipid}-1)), C16GL (**4**) (δ 4.94 (H-4) \rightarrow 169.04 (C-1), 5.36 (H-5) \rightarrow 171.93–172.10 (C_{lipid}-1)),

103 C18GL (**5**) (δ 4.94 (H-4) \rightarrow 169.03 (C-1), 5.36 (H-5) \rightarrow 171.92–172.10 ($C_{\text{lipid-1}}$), C22GL (**6**) (δ 4.94
104 (H-4) \rightarrow 169.03 (C-1), 5.36 (H-5) \rightarrow 171.92–172.10 ($C_{\text{lipid-1}}$)).

105 When lauric acid was introduced into the 6-position hydroxy group of 1,5-GL by lipase by Pocalyko
106 et al.,¹⁵ the initial compound synthesized was the one in which the 6-position hydroxy group of 1,5-
107 GL was esterified. This compound decreases with time, and an increase in the compound has been
108 reported in which the 6-position hydroxy group of 1,4-GL, which cyclized at 1,4-position, is esterified.
109 The esterification of the 6-position hydroxy group of 1,5-GL is thought to result in a structural change
110 from a six-membered ring to a five-membered ring, forming 1,4-GL.

111 Next, fatty acids were selectively introduced to determine which hydroxy groups were least reactive,
112 as well as to investigate the gelation property of a compound in which three of the four hydroxy
113 groups of 1,5-GL have fatty acids and one is a free hydroxy group. We attempted to selectively
114 introduce fatty acids by closely monitoring the reaction with thin-layer chromatography (TLC) and
115 terminating it midway. The fatty acid introduced here was palmitic acid, and the reaction conditions
116 were the same as for the synthesis of C16GL (**4**) except for the reaction time (**Scheme 2**). In a mixture
117 crystallized in acetone, the main compound with a lower R_f value on TLC than fully palmitoylated
118 C16GL (**4**) was purified using silica gel column chromatography, crystallized, and the structure was
119 confirmed using NMR. ^1H – ^1H COSY NMR showed that the hydroxy group at position 5 was free (δ
120 4.11 (H-5) \rightarrow 2.75 (OH)), and HMBC-NMR showed that it was a five-membered ring cyclized at 1,4
121 (δ 4.70 (H-4) \rightarrow 169.19 (C-1)). Compound **7** (5-OH-C16GL) was confirmed with a free hydroxy
122 group at position 5. This revealed that the hydroxy group at position 5 was the least reactive of the
123 1,4-GL hydroxy groups.



Compound	R	Yield
7 (5-OH-C16GL)		19%

Scheme 2. Selective introduction of palmitic acid.

124

125

126 2.2. Gelation test of each compound

127 Gelation tests were performed using 2-mL screw-capped glass bottles. Each sample of compounds
 128 **2–7** (compound **1** was not tested for gelation because it was liquid at room temperature) and C16AG
 129 (**8, Figure 1**),¹⁰ an existing gelator for comparison, was accurately weighed into these bottles. An
 130 accurate amount of liquid paraffin #350 was added to achieve concentrations of 1 wt% and 5 wt%.
 131 The mixtures were heated on a hot plate at 100°C–150°C to dissolve the compounds and then left at
 132 room temperature for 12 h to form gels. The bottles were inverted; if the content did not flow, it was
 133 considered gelled. The gel hardness and relative turbidity were then measured (**Figure 2, Table 1**).

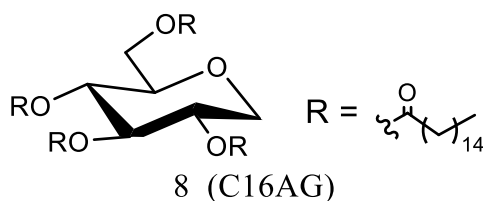


Figure 1. Structure of existing organogelator C16AG (**8**).

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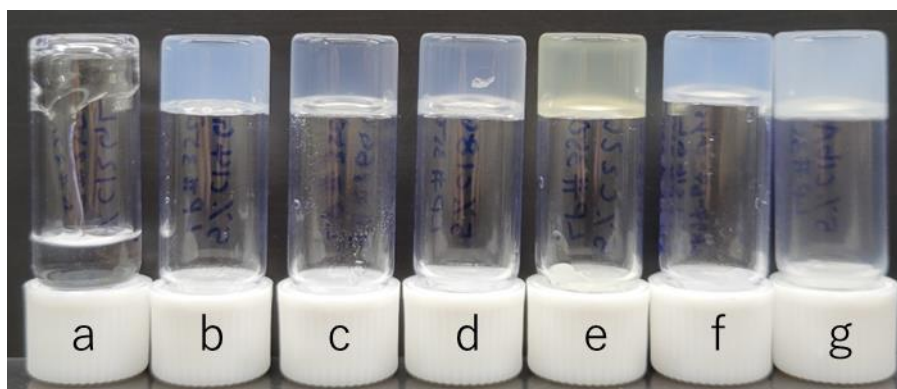


Figure 2. Actual photographs of the formed gels of each sample; 5 wt% gel of each sample in liquid paraffin #350. (a) C12GL (2), (b) C14GL (3), (c) C16GL (4), (d) C18GL (5), (e) C22GL (6), (f) 5-OH-C16GL (7), and (g) C16AG (8).

135

Table 1. Gel hardness and relative turbidity.

Compound	Gel hardness (mN)		Turbidity
	1 wt%	5 wt%	(660 nm abs. a.u.)
C12GL (2)	-	-	
C14GL (3)	-	85	0.56
C16GL (4)	8	64	0.13
C18GL (5)	2	207	0.20
C22GL (6)	-	23	0.50
5-OH-C16GL (7)	-	32	0.63
C16AG (8)	22	318	1.2

“-” indicates that the compound did not gel and flowed down.

136

137 At 1 wt%, only C16GL (4) and C18GL (5) formed gels, while the others did not. At 5 wt%, all
 138 compounds except C12GL (2) formed gels. In particular, C18GL (5) showed a high gel hardness of
 139 207 mN and relatively low turbidity. Although its hardness was lower than that of C16AG (8), an
 140 existing gelator, its transparency was higher. 5-OH-C16GL (7), which has a free 5-position hydroxy
 141 group, had lower gel hardness than the fully palmitoylated C16GL (4).

142 These results indicate an optimal fatty acid chain length for gelation, with 16 and 18 carbons being
143 optimal. The difference between C16GL (**4**) and C16AG (**8**) is the presence or the absence of a
144 carbonyl group at the 1-position and the difference between a five- and six-membered ring. A five-
145 membered ring allows the 5- and 6-position carbons to rotate freely, increasing molecular freedom.
146 Therefore, we speculate that the packing force between molecules is reduced, resulting in lower gel
147 hardness. In addition, a three-dimensional supramolecular structure is necessary for gelation, and
148 C16GL (**4**) and C18GL (**5**) may form thinner or smaller structures owing to their lower turbidity
149 compared with the others. From the comparison of C16GL (**4**) and 5-OH-C16GL (**7**), it is thought
150 that the introduction of fatty acids to all hydroxy groups increases the hydrophobic effect
151 (solvophobic effect), which facilitates the formation of a three-dimensional supramolecular structure
152 and improves gel hardness.

153

154 *2.3. Field emission scanning electron microscopy (FE-SEM) observation*

155 FE-SEM observation was performed on the xerogels derived from compounds **3–6** (C14GL–C22GL)
156 among the 5 wt% gels described in section 2.2. Liquid paraffin #350 was removed to obtain xerogels
157 by immersing each gel in acetone for one week, but the gels from C14GL (**3**) and C16GL (**4**) dissolved
158 in acetone, so ethanol was used for deoiling for one week. FE-SEM observations were performed
159 after the osmium coating of the obtained xerogels. **Figure 3** shows the FE-SEM images of various
160 xerogels.

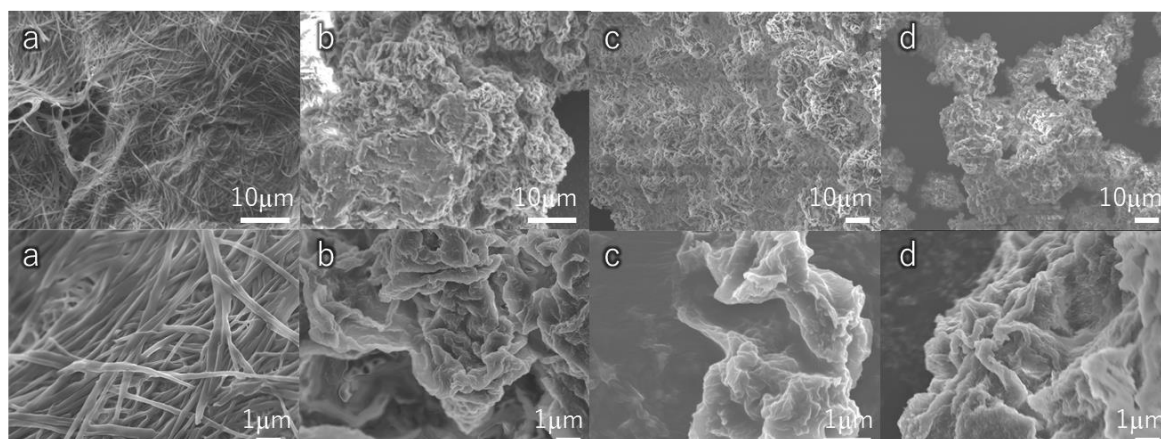


Figure 3. FE-SEM images of the xerogels from a 5 wt% gel of each sample in liquid paraffin #350. (a) C14GL (**3**), (b) C16GL (**4**), (c) C18GL (**5**), and (d) C22GL (**6**). The top and bottom photos show different magnification.

161

162 The C14GL (**3**) xerogel had a fiber structure with a diameter of 100–200 nm, while the other xerogels
 163 had a porous structure with plate-like crystals stacked three-dimensionally. Despite the same porous
 164 structure, C16GL (**4**), C18GL (**5**), and C22GL (**6**) exhibited different turbidity levels (**Table 1**). In
 165 other words, the size of the plate-like crystals themselves was comparable, although the overall
 166 structure was expected to be larger with longer fatty acids, which would increase aggregation. All of
 167 these compounds exhibited gelation ability, but the gelation mechanism differed depending on the
 168 length of the fatty acid, with some forming a fiber structure and others a porous structure.

169

170 *2.4. Gelation test with various organic solvents using C18GL and comparison with the C16AG gel*

171 The 5 wt% of C18GL (**5**), which exhibited the highest gelation ability as shown in **Table 1**, was used
 172 to examine the gelation ability in various oils (**Figure 4**).

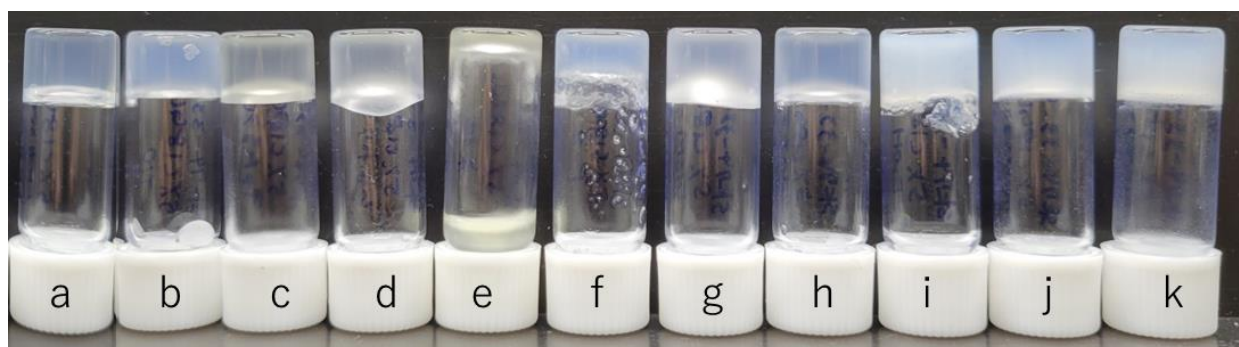


Figure 4. Actual photograph of the formed gels of each solvent; 5 wt% gel of C18GL (**5**) in various solvents and gel hardness (mN). (a) Liquid paraffin #350, 207 mN, (b) glyceryl tri(2-ethylhexanoate), 43 mN, (c) canola oil, 6 mN, (d) sunflower oil, 1 mN, (e) rice bran oil, flowed down, (f) 2-ethylhexyl palmitate, liquid separation, (g) diisostearyl malate, 5 mN, (h) cyclohexanol, 19 mN, (i) ethanol (99.5), 18 mN, (j) cyclopentasiloxane, 4 mN, and (k) dimethicone ($6 \text{ mm}^2/\text{s}$), 89 mN.

173

174 The hydrocarbon oil, liquid paraffin #350 (a), produced the hardest gel. Glyceryl tri(2-
 175 ethylhexanoate) (b), an ester oil, formed a relatively hard gel, while canola oil (c), sunflower oil (d),
 176 and diisostearyl malate (g) formed soft gels. Rice bran oil (e) was too soft to gel. 2-Ethylhexyl
 177 palmitate (f) also formed an incomplete gel with liquid separation. Alcohols (h and i) and silicone oils
 178 (j and k) could gel. The FE-SEM study showed that C18GL (**5**) forms plate-like crystals building up
 179 to a porous structure. In contrast, C16AG (**8**) forms fiber structures. The inverted microscopy images
 180 showed fibers for C16AG (**8**), whereas C18GL (**5**) showed a mass of crystals (**Figure 5**).

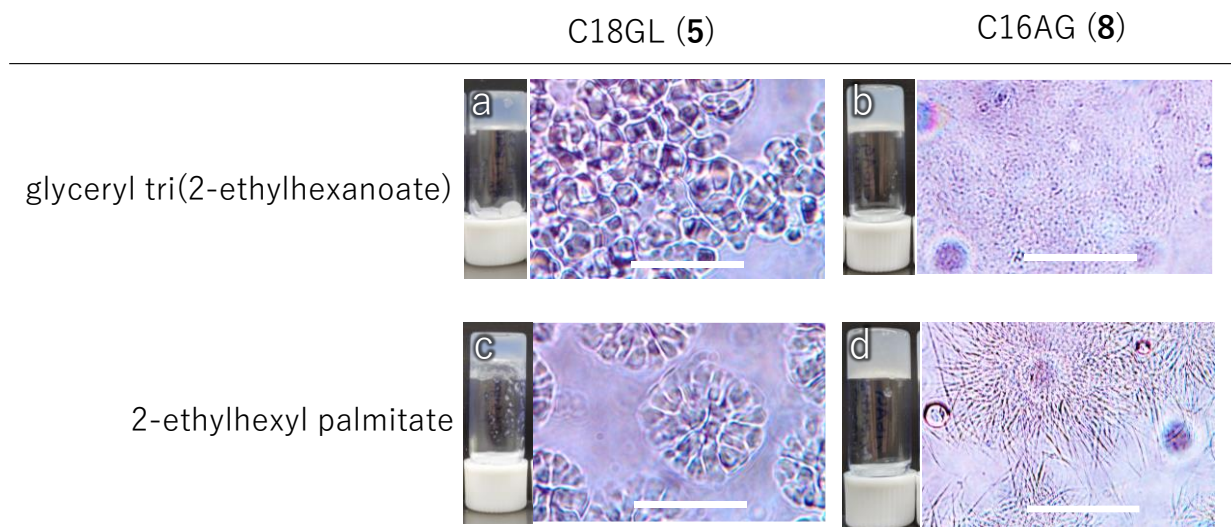


Figure 5. Appearance and differences between C18GL (5) and C16AG (8) gels. Actual photographs (left) and inverted microscope photographs (right). (a) 5 wt% of C18GL (5) in glyceryl tri(2-ethylhexanoate), (b) 1 wt% of C16AG (8) in glyceryl tri(2-ethylhexanoate), (c) 5 wt% of C18GL (5) in 2-ethylhexyl palmitate, and (d) 1 wt% of C16AG (8) in 2-ethylhexyl palmitate. Scale bars = 0.1 mm.

181

182 In addition, in 2-ethylhexyl palmitate, these crystalline masses gathered radially to form domains. We
 183 suggested that C16AG (8) gels by trapping oil between fibers, while C18GL (5) gels by trapping oil
 184 in a porous mass. This idea correlates well with the FE-SEM observations. In the mechanism of
 185 trapping oil between fibers, oil can be gelled with a small amount of gelator, while in the mechanism
 186 of trapping oil in a porous mass, oil cannot be gelled without increasing the concentration of gelator.
 187 Therefore, C16AG (8) can gel a variety of oils at 1 wt%, while C18GL (5) requires a concentration
 188 of 1 to 5 wt%.

189

190 2.5. X-ray diffraction (XRD) analysis

191 XRD analysis of compounds 2–6 was performed to determine the molecular arrangement. Clear
 192 diffraction peaks were obtained for compounds 2–5 (Figure 6), and these profiles suggested a

193 lamellar crystalline state (**Table 2**).

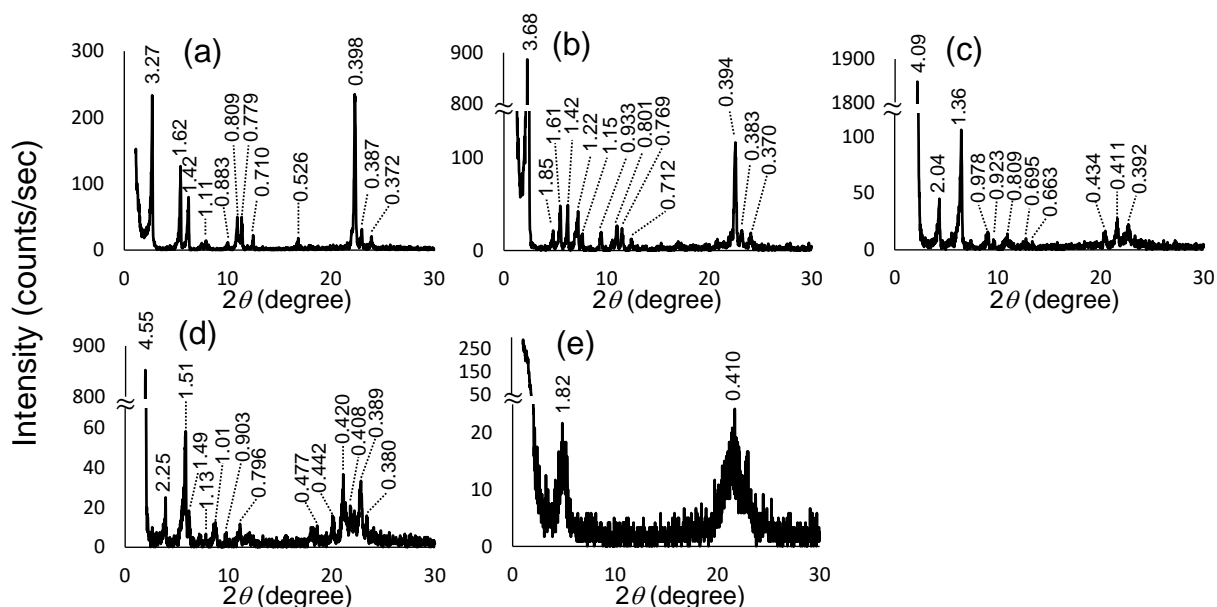


Figure 6. XRD patterns of (a) C12GL (**2**), (b) C14GL (**3**), (c) C16GL (**4**), (d) C18GL (**5**), and (e) C22GL (**6**). The numbers indicate d spacings (nm).

194

Table 2. Distance (nm) and reflection attributions calculated from Bragg's law from the XRD pattern of neat powders for compounds **2–5**.

Compound	Small-angle diffractions				Wide-angle diffractions
	001	002	003	004	
C12GL (2)	3.27	1.62	1.11		0.526, 0.398, 0.387, 0.372
	1.42	0.710			
C14GL (3)	3.68	1.85	1.22	0.933	0.394, 0.383, 0.370
	1.61	0.801			
	1.42	0.712			
C16GL (4)	4.09	2.04	1.36		0.434, 0.411, 0.392
C18GL (5)	4.55	2.25	1.51	1.13	0.477, 0.442, 0.420, 0.408, 0.389, 0.380

195

196 Two lamellar structures were observed for C12GL (**2**) and three for C14GL (**3**). The ideal structure

197 with the lowest thermal energy, calculated using MOPAC in Chem3D software (PerkinElmer
198 Software), was a compound in which the fatty acid chains attached to the 2- and 3-position hydroxy
199 groups were in pairs, and the fatty acid chains attached to the 5- and 6-position hydroxy groups formed
200 another pair, each extending in opposite directions. The α -position of the fatty acid chain attached to
201 the 3- and 5-positions was twisted (Gauche), and the rest was in an all-*trans* structure. The calculated
202 molecular lengths were 3.49 nm (C12GL (**2**)), 3.98 nm (C14GL (**3**)), 4.46 nm (C16GL (**4**)), and 4.94
203 nm (C18GL (**5**)). XRD results showed that for each compound, molecular lengths of 3.27 nm (C12GL
204 (**2**)), 3.68 nm (C14GL (**3**)), 4.09 nm (C16GL (**4**)), and 4.55 nm (C18GL (**5**)) corresponded to lamellar
205 structures. This is consistent with the lamellar structures obtained when they are aligned at angles of
206 approximately 70°, 68°, 67°, and 67° to the horizontal plane, respectively. This lamellar structure
207 became thicker as the number of fatty acid increased. In contrast, compound **6** (C22GL) showed only
208 a broad peak. It is thought that the clear diffraction peak was not observed because C22GL (**6**) was
209 purified by silica gel column chromatography and to powder, whereas the other compounds were
210 powders obtained by crystallization. Each compound showed an average diffraction peak of 0.4 nm
211 in wide-angle diffractions, corresponding to the average distance of 0.4 nm between two paired fatty
212 acid chains, as known from calculations.

213

214 2.6. Emulsification tests

215 To verify the emulsification performance, we compared compounds **1–6** to Span 65 and 60 (sorbitan
216 tristearate and monostearate, respectively, commercial emulsifiers), C16AG (**8**) (an existing
217 organogelator), and dextrin palmitate (Rheoparl® KL2, a commercial organogelator). Each sample
218 was accurately weighed using 2-mL screw-capped glass bottles, and liquid paraffin #350 was added
219 to achieve a concentration of 1 wt% (approximately 500 mg in total). After adding 200 μ L of water,
220 the mixtures were heated on a hot plate at 100°C–150°C to dissolve the compounds and then

221 emulsified while hot using a hand sonic for 30 seconds. All samples were emulsified uniformly. The
222 emulsions were left at room temperature for six days before observation (**Figure 7**).

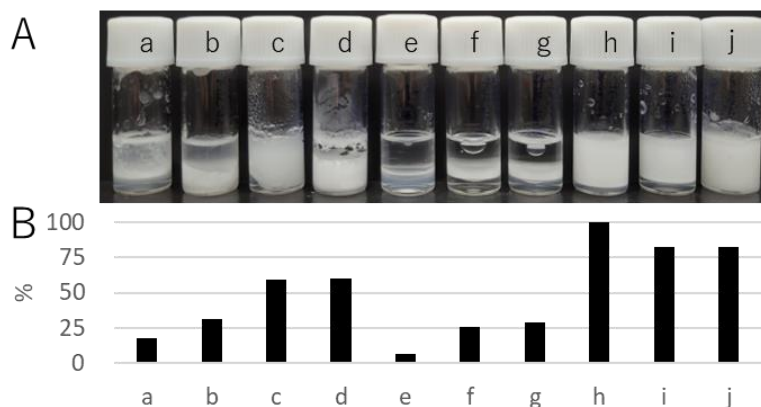


Figure 7. Photograph of the emulsification test and area of cream determined using image analysis. (A) Photograph of emulsion left at room temperature for six days after preparation. (B) Graphical representation of the relative area in % when the area of the cream in (h) C16GL (4) is 100, analyzed using image analysis software. (a) Span 65, (b) Span 60, (c) C16AG (8), (d) dextrin palmitate, (e) C10GL (1), (f) C12GL (2), (g) C14GL (3), (h) C16GL (4), (i) C18GL (5), and (j) C22GL (6).

223
224 The photograph was analyzed using image analysis software, ImageJ (National Institutes of Health,
225 USA), to compare the cloudy emulsion (cream) area (**Figure S36** in the Supporting Information).
226 C10GL (1), C12GL (2), and C14GL (3) exhibited poor emulsification stability and did not retain
227 much cream. Similarly, the commercial emulsifiers Span 65 and 60 were also poorly stable. In
228 contrast, the creams of C16GL (4), C18GL (5), and C22GL (6) were maintained much the same as
229 they were prepared, indicating that they have high emulsification stability. The existing
230 organogelators C16AG (8) and dextrin palmitate were shown to have inferior emulsion stability to
231 C16GL (4), C18GL (5), and C22GL (6). C16AG (8), C16GL (4), and C18GL (5) could gel at 1 wt%
232 in liquid paraffin #350, and all showed high emulsion stability. Dextrin palmitate and C22GL (6),

233 which cannot gel at 1 wt%, also exhibited high emulsion stability. This may be due to the thickening
234 effect. Comparing C16AG (**8**) and C16GL (**4**) protected by the same palmitic acid, C16AG (**8**) is
235 more effective as a gelator to create a harder gel, while C16GL (**4**) has better emulsion stability,
236 suggesting that the carbonyl group of the lactone ring may be effective in emulsion stability.

237

238 *2.7. Differential scanning calorimetry analysis*

239 Differential scanning calorimetry (DSC) measurements were performed to observe the thermal
240 behavior of each compound (**Figure 8**).

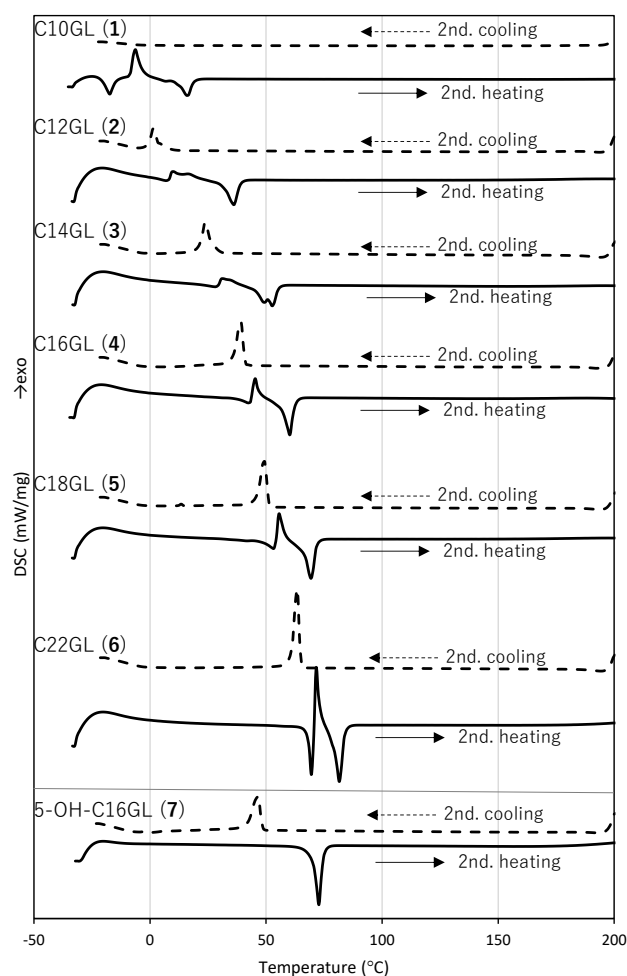


Figure 8. DSC charts of each compound using condition 1 (cooling and heating rate: 10°C /min). Cooling from 200°C to –30°C (dashed line). Heating from –30°C to 200°C (solid line). Owing to the low cooling capacity of the equipment, the cooling could not keep up at temperatures below –6.7°C, resulting in a reduced cooling rate and reaching only –21°C even though the final temperature was set at –30°C. About the dashed line of C10GL (1), the exothermic peak due to type I crystallization is not observed here. Before the second heating, we programmed to maintain the temperature at –30°C for 5 min, during which the actual temperature is cooled from –21°C to –30°C. The exothermic peak due to type I crystallization was observed during this step.

242 Each compound, except 5-OH-C16GL (7), exhibited an exothermic peak during cooling, due to
243 polymorphic type I crystallization, and an endothermic peak during heating, which due to the melting
244 of the type I crystals. Moreover, an exothermic peak during heating, possibly due to molecular
245 realignment (type II crystallization), and a subsequent endothermic peak, due to the melting of type
246 II crystals, were observed. The temperatures of the exothermic peak during cooling and the first
247 endothermic peak during heating are very close, indicating they are the same phenomenon, capturing
248 type I crystallization and melting. The exothermic peaks observed upon heating for these compounds
249 indicate type II crystallization, where molecules transition to realigned second crystalline state. Since
250 this type II crystallization during heating is accompanied by exothermicity and can be regarded as so-
251 called cold crystallization, DSC measurements were performed at different heating rates (**Figure 9**).
252 Compared to these compounds, 5-OH-C16GL (7) showed no crystal polymorphism. In other words,
253 the introduction of fatty acids into all the hydroxy groups of 1,4-GL was essential to create the crystal
254 polymorphism.

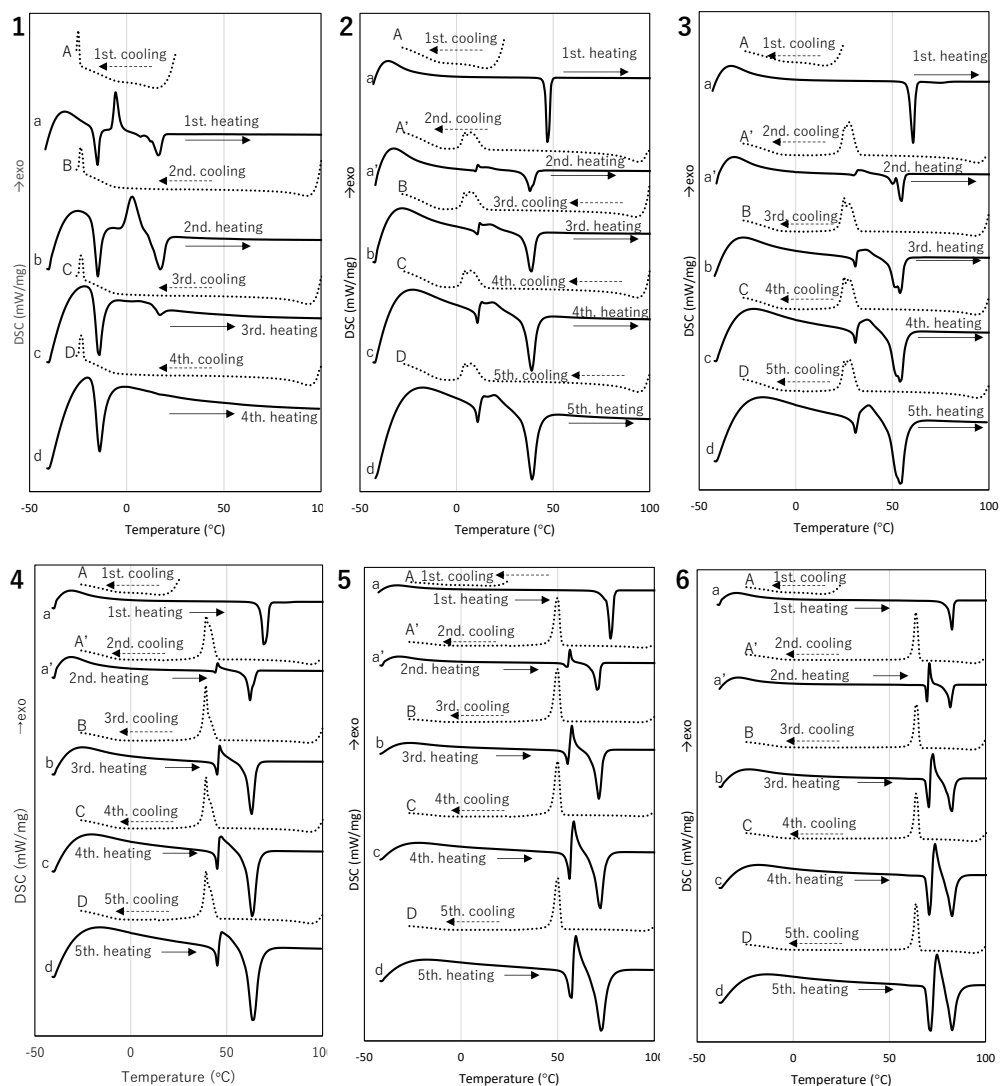


Figure 9. DSC charts with different heating rates using condition 2 (set cooling from 100°C to -40°C, except for the 1st cooling from 25°C to -40°C, and set heating from -40°C to 100°C). Each heating rate (solid line) is as follows: (a) and (a') 5°C/min, (b) 10°C/min, (c) 15°C/min, and (d) 20°C/min. All cooling rates are 10°C/min (dotted line). (1) C10GL (1), (2) C12GL (2), (3) C14GL (3), (4) C16GL (4), (5) C18GL (5), and (6) C22GL (6). Owing to the low cooling capacity of the equipment, the cooling rate could not keep up at temperatures below -6.7°C, resulting in a reduced cooling rate. Although the device was set to cool down to -40°C, it could only cool down to -26°C. By subsequently running a program that maintained the temperature at -40°C for 10 min, the initial temperature of the next heating measurement could be started at -40°C.

256 The peak temperature of the exothermic peak shifted to higher temperatures as the heating rate
257 increased, indicating typical cold crystalline behavior. The crystallization energies of cold
258 crystallization were calculated using **Equation 1** after creating a Kissinger plot with the Kissinger
259 equation (**Figure S37** in the Supporting Information).¹⁷

$$\frac{d \ln (\lambda / T_p^2)}{d (1 / T_p)} = -\frac{E_a}{R}$$

Equation 1. T_p [K]: peak cold crystallization temperature on heating. λ [K min⁻¹]: temperature rate during heating. R [J K⁻¹ mol⁻¹]: gas constant (8.314). E_a [kJ mol⁻¹]: crystallization energy of cold crystallization.

260
261 The crystallization energies were calculated to be 46, 217, 229, 528, 356, and 336 KJ mol⁻¹ for
262 compounds **1–6**, respectively. Crystalline samples with low crystallinity tend to have smaller
263 crystallization energies. For example, C10GL (**1**) is crystalline because of the van der Waals forces
264 from the fatty acid chain, but its short chain length and high degree of freedom result in lower
265 crystallinity and smaller crystallization energy. C16GL (**4**) (palmitic acid with 16 carbons) has the
266 highest crystallization energy, while compounds C18GL (**5**) and C22GL (**6**) with longer carbon chains
267 exhibit decreasing crystallization energies. This suggests that 16-carbon palmitic acid has the highest
268 intermolecular packing strength, whereas increasing carbon chain length makes packing more
269 difficult, reducing the crystallinity and crystallization energy.

270 C10GL (**1**) is liquid at room temperature, exhibiting an endothermic peak due to type I crystallization
271 in the first cooling. In the first heating, the observed sequence was melting of type I crystal, type II
272 crystallization (cold crystallization), and type II crystal melting. As the heating rate increased, the
273 temperature of the exothermic peak due to cold crystallization rose, overlapping with the endothermic
274 peak from subsequent melting of crystals, causing the disappearance of distinct peaks at a heating
275 rate of 20°C/min. The cold crystallization temperature is near 0°C, suggesting its potential application

276 as a heat storage material for ice melting.
277 C12GL (2), C14GL (3), C16GL (4), and C18GL (5) are solids crystallized in organic solvents.
278 Therefore, only melting peak of these crystals was observed on the first heating. However, in DSC
279 measurements with varying temperature increase, the melting points of its crystals in both type I and
280 type II crystals were different from the melting point of the first crystal and were lower in temperature.
281 Therefore, it was suggested that the crystals crystallized in the organic solvent were type III crystals.
282 On the other hand, the melting point of C22GL (6) on the first heating was the same as that of the
283 type II crystal. We speculate that this is because no special crystallization operation was performed
284 after the column purification during the synthesis of C22GL (6), and therefore it did not become type
285 III crystal. The lamellar structure observed in the XRD analysis was found to be derived from type
286 III crystals. We also speculate that C22GL (6) was a type II crystal, which caused the broad XRD
287 pattern. Thus, the C-GL series, in which fatty acids were introduced into all hydroxy groups, was
288 found to have type I, type II, and type III crystal polymorphism.

289

290 *2.8. Fourier transform infrared spectroscopy analysis*

291 To analyze each crystal state of the crystal polymorphism of C18GL (5) in detail at the molecular
292 level, Fourier transform infrared spectroscopy (FT-IR) was used to measure type I, type II, and type
293 III crystals and a solution melted at 100°C (Figure 10, see also Figure S38 in the Supporting
294 Information). Characteristic peaks were observed for the carbonyl ester stretching peaks in each
295 crystal form (Table 3). The wavenumber of the aliphatic carbonyl stretching peak decreased as the
296 crystal form progressed from type I to II and III. Type I had a peak at 1748–1749 cm⁻¹, the same as
297 the liquid form, and no intermolecular hydrogen bonding. In types II and III, the wavenumber of the
298 stretching peaks gradually decreased to 1744 cm⁻¹ and 1741 cm⁻¹, respectively. In other words, it is
299 believed that the intermolecular hydrogen bonding gradually limits the carbonyl ester stretching. On

300 the other hand, γ -lactone has two carbonyl stretching peaks at 1820 cm^{-1} and 1809 cm^{-1} in the liquid
301 state. Type I has a peak at 1810 cm^{-1} and no intermolecular hydrogen bonding. Compared to type I,
302 types II and III have peaks 1820 cm^{-1} and 1803 cm^{-1} . Peak 1820 cm^{-1} is also in the liquid and is not
303 used for intermolecular hydrogen bonding, while peak 1803 cm^{-1} appears to be used for
304 intermolecular hydrogen bonding. Thus, as the crystal polymorphism progresses from I to II and III,
305 the aliphatic and γ -lactone carbonyl groups become less stretched and intermolecular hydrogen bonds
306 are formed. The Type I crystals have no intermolecular hydrogen bonds and melt at low temperatures,
307 and the formation of intermolecular hydrogen bonds by the carbonyl groups of γ -lactone and fatty
308 acids is thought to change the crystal form to type II and type III and increase the melting point.
309

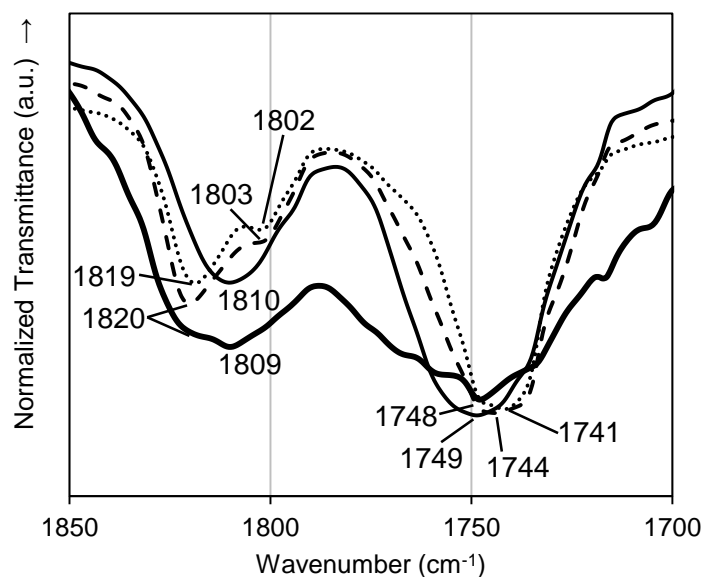


Figure 10. FT-IR spectra of type I, type II, and type III crystals and solution (100°C) of C18GL (5). The carbonyl [$\nu(-C=O)$] ester stretching regions from 1700–1850 cm^{-1} are shown. 1750–1820 cm^{-1} are stretching regions of lactone and 1735–1750 cm^{-1} are stretching regions of aliphatic ester. Type I crystal (solid line). Type II crystal (dashed line). Type III crystal (dotted line). Solution at 100°C (bold line).

310

Table 3. Wavenumber (cm^{-1}) of FT-IR spectra of carbonyl ester stretching regions (lactone and aliphatic ester stretching regions) for each type of crystal and solution (100°C).

Crystal type	Lactone (cm^{-1})		Aliphatic ester (cm^{-1})
Solution	1820	1809	1748
Type I		1810	1749
Type II	1820	1803	1744
Type III	1819	1802	1741

311

312 3. Conclusions

313 We focused on 1,5-Gl as a sugar that does not require protection at the anomeric position and

314 synthesized compounds protected with various linear saturated fatty acids (C-GL series) in only one
315 step for developing novel organogelators. In all cases, the compounds were obtained in which the six-
316 membered ring structure was changed to a five-membered ring structure (1,4-GL). We believe this is
317 because the five-membered ring is thermodynamically more stable when the fatty acid is introduced
318 into the 6-position hydroxy group of 1,5-GL. The C-GL series synthesized here inferior gelation
319 ability compared to C16AG, an existing gelator with six-membered ring structure. The first reason
320 for this is thought to be weakening of the intermolecular packing force due to the increased degrees
321 of freedom of the carbons at 5- and 6-positions of the five-membered ring. Furthermore, this may be
322 reflected in the xerogel structure, as revealed by FE-SEM observations. Compounds with molecular
323 chains longer than 16 carbons form a porous structure with irregular plate-like crystals rather than the
324 fiber structure typical of LMG. However, XRD measurements of C12GL to C18GL showed a lamellar
325 structure with regularly arranged molecules. The existing LMG, C16AG, has a lamellar structure,
326 forming a fiber structure with a diameter of 100–200 nm. When the lamellar structure extends in one
327 direction, fibers are formed, but when it extends in multiple directions, plate-like crystals are formed.
328 We conclude that the gelation mechanism of C16GL to C22GL is the confinement of oil in the porous
329 structure created by the plate-like crystals, which results in the loss of fluidity and gelation. Compared
330 to fibers, porous structures require higher concentrations of a gelator to gel the same volume of oil.
331 This may be the second reason why the gelation ability of the C-GL series synthesized in this study
332 is inferior to that of C16AG, an existing gelator.

333 In addition to being used as an organogelator, the C-GL series was also intended to be used as a new
334 emulsifier. As expected, C16GL to C22GL were found to have very high emulsification stability.
335 They had better stability than the existing organogelators C16AG and dextrin palmitate. While these
336 existing organogelators stabilize the emulsified state by increasing the oil's viscosity, we believe that
337 the C-GL series stabilizes the emulsified state by increasing the oil's viscosity moreover some

338 interaction with water. In other words, we speculate that the carbonyl oxygen atom at the γ -lactone,
339 which is characteristic of C-GL series, improves the affinity with water and stabilizes the emulsified
340 state. Among these, C16GL and C18GL were found to gelate oil at relatively low concentrations and
341 high emulsion stability and are expected to be applied in the cosmetics and painting fields.

342 DSC measurements revealed that the C-GL series except for 5-OH-C16GL (7) has crystal
343 polymorphism. There were three types of crystals: type I crystals precipitated by rapid cooling, type
344 II crystals precipitated by heating, and type III crystals precipitated by using organic solvents. Among
345 the C-GL series, C10GL is liquid at room temperature, but when it was solidified by rapid cooling
346 and then slowly heated, an exothermic peak, type II crystallization peak, was observed near 0°C. This
347 is cold crystallization due to C10GL's heat storage properties, which is expected to have an ice-
348 melting effect. In the C-GL series, the exothermic temperature due to cold crystallization (type II
349 crystallization) varies depending on the length of the fatty acid. When used as a heat storage material,
350 the exothermic temperature can be controlled by simply changing the length of the fatty acid, and we
351 speculate that it can be used as a heat storage material that suits the purpose. Furthermore, FT-IR
352 measurements of each crystal polymorph indicate that intermolecular hydrogen bonds are formed by
353 carbonyl groups as the crystal polymorph shifts from I to II and III. This is the reason for the increase
354 in melting point. Furthermore, correlation with XRD measurements revealed that a lamellar structure
355 is formed in type III crystals. Since there is no crystal polymorphism in 5-OH-C16GL (7), fatty acid
356 binding to all hydroxy groups of 1,4-GL is required to create the crystal polymorphism.

357 Thus, a novel C-GL series was synthesized, and their physical properties were elucidated in detail. In
358 the future, we plan to apply the physical properties of these compounds for practical applications.

359

360 **Supporting Information:** Materials and methods (general methods, preparation of per-*O*-linear
361 saturated fatty acyl-protected D-glucono-1,4-lactone, gelation evaluation, hardness measurements,

362 turbidity measurements, FM-SEM observation, inverted microscope observation, XRD analysis,
363 emulsification test, DSC analysis, and FT-IR analysis) of compounds **1–7**. ^1H , ^1H – ^1H COSY, ^{13}C ,
364 HSQC, and HMBC-NMR charts of compounds **1–7**. How to determine the area of cream. Kissinger
365 plots of compounds **1–6**. FT-IR spectra of type I, type II, and type III crystals and solution (at 100°C)
366 (PDF).

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372 **Conflicts of Interest:** The author declares no conflicts of interest.

373

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