

1 **Burnt plastic (pyroplastic) from the M/V *X-Press Pearl* ship fire and plastic spill contain**  
2 **compounds that activate endocrine and metabolism-related human and fish transcription**  
3 **factors**

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27

28 **ABSTRACT**

29

30 In May 2021, the M/V *X-Press Pearl* ship fire disaster led to the largest maritime spill of resin  
31 pellets (nurdles) and burnt plastic (pyroplastic). Field samples collected from beaches in Sri Lanka  
32 nearest to the ship comprised nurdles and pieces of pyroplastic. Three years later, the toxicity of  
33 the spilled material remains unresolved. To begin understanding its potential toxicity, solvent  
34 extracts of the nurdles and pyroplastic were screened for their bioactivity by several Attagene  
35 FACTORIAL bioassays (TF, NR, and AquaTox), which measured the activity of a combined 70  
36 human transcription factor response elements and nuclear receptors and 6-7 nuclear receptors for  
37 each of three phylogenetically distinct fish species. Extracts of the pyroplastics robustly activated  
38 end points for the human aryl hydrocarbon receptor (AhR), estrogen receptor (ER), pregnane X  
39 receptor (PXR), peroxisome proliferator-activated receptor (PPAR), retinoid X receptor (RXR),  
40 and oxidative stress (NRF2), and the potential for several others. This bioactivity profile of the  
41 pyroplastics was most similar (similarity score = 0.96) to that of probable human carcinogens  
42 benzo[*b*]fluoranthene and benzo[*k*]fluoranthene despite the extracts being a complex mixture of  
43 thousands of compounds. The activity diminished only slightly for extracts of pyroplastic collected  
44 eight months after the spill. The AquaTox FACTORIAL bioassay measured the activation of ER $\alpha$ ,  
45 ER $\beta$ , androgen receptor (AR), PPAR $\alpha$ , PPAR $\gamma$ , and RXR $\beta$  for human, zebrafish (*Danio rerio*),  
46 Japanese medaka (*Oryzias latipes*), and rainbow trout (*Oncorhynchus mykiss*), revealing species-  
47 specific sensitivities to the chemicals associated with the pyroplastics. These findings provide  
48 needed information to guide long-term monitoring efforts, make hazard assessments of the spilled  
49 material, and direct further research on pyroplastic, an emerging global contaminant.

50

51 *Keywords: nurdle, pollution, microplastic, open burning, maritime accident, bioactivity*

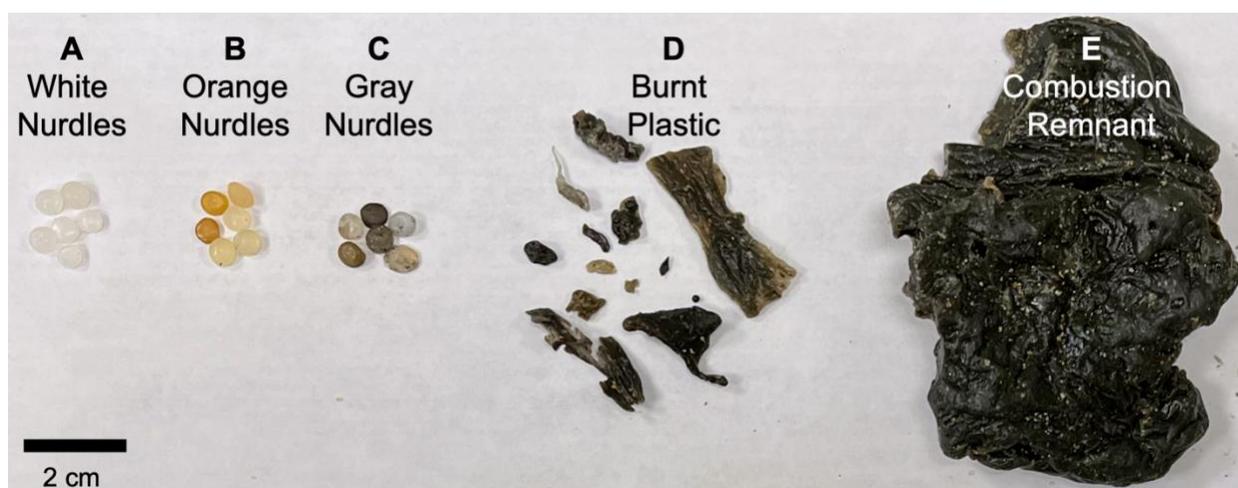
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## 53 INTRODUCTION

54  
55 In late May 2021, off the coast of Colombo, Sri Lanka, the ship fire and subsequent plastic spill of  
56 the M/V *X-Press Pearl* released ~1680 tons of plastic nurdles and other plastic debris, making it  
57 the largest maritime plastic spill in history.<sup>1-3</sup> Along with polyethylene pellets, the cargo on the  
58 ship included an assortment of raw materials, hazardous chemicals, and finished products,<sup>4</sup> capable  
59 of creating a complex mixture of uncertain toxicity. An observable fraction of the spilled material  
60 included burnt plastic (pyroplastic),<sup>4-7</sup> formed during the events of the ship fire. The pyroplastic  
61 was heterogeneous in size and shape and somewhat friable, giving it a greater propensity to form  
62 secondary microplastics than the other spilled material.<sup>4,8</sup> The attributes of the pyroplastic  
63 collectively challenged the response efforts and elevated the plastic's potential for injury to a host  
64 of marine organisms.<sup>3,4</sup>

65  
66 At least five forms of plastic were released, including three types of nurdles distinguished by their  
67 color (white, orange, and gray) and two types of pyroplastic characterized by their shape and size  
68 (burnt plastic and combustion remnants) (**Figure 1**).<sup>7,9,10</sup> Pieces of pyroplastic were not only at  
69 least 3-fold more chemically complex because of the fire,<sup>4</sup> they were shown to have the greatest  
70 content of polycyclic aromatic hydrocarbons (PAHs) of any plastic marine debris recorded to date,  
71 199,000 ng/g.<sup>9</sup> Comparatively, the more abundant white nurdles had PAH contents less than  
72 ~2,500 ng/g, within the range of other marine debris.<sup>9</sup> PAHs are chemical pollutants, many of  
73 which are carcinogenic, raising concern over the release of pyroplastic into the environment. While  
74 substantial, PAHs constituted only a fraction of the chromatographic features resolved within  
75 solvent extracts of the material.<sup>4</sup> No phthalates have been detected.<sup>4</sup> However, several other  
76 tentatively identified compounds have included chemical additives (e.g., Irgafos 168, 1,3,5-  
77 tris(2,4-di-*t*-butylphenyl)phosphite<sup>4</sup>; and Bumetrizole (Tinuvin-326), 2-(2-Hydroxy-3-*t*-butyl-5-  
78 methylphenyl)-5-chlorobenzotriazole<sup>11</sup>), their thermal breakdown products (e.g., 2,4-di-*t*-  
79 butylphenol),<sup>4</sup> and metals (e.g., Ti, Zn, Mn, Co)<sup>7,11,12</sup> as well as unknown compounds,  
80 demonstrating that the pyroplastic included a complex mixture of compounds, many with unknown  
81 bioactivity.

82



83  
84 **Figure 1.** The spilled plastic included white nurdles (A), orange nurdles (B), gray nurdles (C),  
85 pieces of burnt plastic (D), and larger combustion remnant chunks (E). Reprinted from James et  
86 al.<sup>9</sup> (CC BY-NC-ND 4.0).

87  
88 While the M/V *X-Press Pearl* disaster was a localized, acute release of pyroplastics, these forms  
89 of plastic have been documented globally. Along with other forms of charred plastic, pyroplastics  
90 have been found on coastlines and in waterbodies in Africa,<sup>13</sup> Antarctica,<sup>14</sup> Asia,<sup>15–21</sup> Europe,<sup>22–26</sup>  
91 North America,<sup>26–28</sup> and South America<sup>29,30</sup> (**Figure S1**). To date, the limited chemical analyses  
92 performed for beached pyroplastics unrelated to the M/V *X-Press Pearl* disaster have shown that  
93 these materials can be enriched in metals, PAHs, and phthalates.<sup>19,26</sup> Pyroplastics are an emerging  
94 global contaminant thought to primarily enter the marine environment following fires at the  
95 wildland-urban interface and leaking of openly burned waste.<sup>17,31–34</sup>

96  
97 The toxicological concerns for burning plastic are not new, as emphasized by studies of the toxicity  
98 and chemistry of smoke and ash from residential and commercial fires,<sup>35</sup> military burn pits,<sup>36–38</sup>  
99 openly burned municipal waste,<sup>33,39–41</sup> landfill fires,<sup>42</sup> and fires at the wildland-urban interface<sup>34,43</sup>  
100 as well as firewater runoff<sup>44,45</sup> (the contaminated water produced during firefighting). However,  
101 these studies have not investigated the bioactivity of burnt plastic that remained after the fires were  
102 extinguished; their focus has largely been on aerosols and their impacts on air quality and human  
103 health. Similarly, despite their documented presence globally and unlike other plastic debris,<sup>46</sup> the  
104 bioactivity of any pyroplastic is yet to be assessed. Not only is an assessment of potential toxicity  
105 necessary for making a hazardous waste determination of the spilled plastic,<sup>9</sup> but there is also a  
106 need to measure its bioactivity owing to the friability of the pyroplastic, the elevated amounts of  
107 PAHs and other chemicals that can be associated with the pyroplastic, and the recognized “Trojan  
108 horse” effect for microplastic and nanoplastics to leach chemical pollutants to biota upon exposure  
109 (e.g., ingestion).<sup>47–51</sup>

110  
111 Reporter bioassays have been a valuable method for determining the bioactivity of a chemical or  
112 complex mixture. Targeted bioassays have been used to screen extracts and leachates from  
113 consumer plastics,<sup>52–55</sup> plastic photoproducts,<sup>56</sup> weathered plastics,<sup>46</sup> and combustion-derived  
114 particulate matter and ash.<sup>43</sup> Measurements have primarily focused on the activation of the aryl  
115 hydrocarbon receptor (AhR), estrogen receptor (ER), androgen receptor (AR), pregnane X  
116 receptor (PXR), peroxisome proliferator-activated receptors (PPAR), and markers for oxidative  
117 stress (NRF2). Though targeted bioassays have been valuable, high-throughput, non-targeted  
118 screens of over 50 end points using the Attagene FACTORIAL platform can provide a more  
119 comprehensive assessment of bioactivity, capable of assigning chemicals and complex mixtures  
120 to specific modes of action.<sup>57–64</sup> Additionally, as part of the United States Environmental  
121 Protection Agency (EPA) ToxCast program, the FACTORIAL platform has been used to evaluate  
122 more than 3000 chemicals, making it possible to compare bioactivities against an extensive  
123 database of diverse compounds.<sup>60,65</sup> Moreover, variations of the platform (i.e., EcoTox and  
124 AquaTox) enable a harmonized cross-species assessment of endocrine and metabolic disruption  
125 upon chemical exposure for humans and wildlife (mouse, zebrafish, medaka, rainbow trout,  
126 chicken, frog, and turtle).<sup>66,67</sup> Having such capabilities is valuable to addressing the potential  
127 ecotoxicity of pyroplastics. Recent work uncovering the acute toxicity of N-(1,3-dimethylbutyl)-  
128 N'-phenyl-p-phenylenediamine (6PPD) and its oxidized form (6PPD-quinone) to select salmonids  
129 and not others emphasizes the need to assay across phylogenetically separated species when  
130 assessing the potential ecotoxicity of plastic-associated chemicals.<sup>68</sup> This is particularly needed for  
131 the M/V *X-Press Pearl* disaster as Sri Lankan fisheries rely on numerous, diverse fish species for  
132 sustenance.<sup>69</sup>

133  
134 Herein, three FACTORIAL bioassays were used to assess the bioactivity of solvent extracts of  
135 white nurdles and pyroplastic collected within days of, and 242 days after, the M/V *X-Press Pearl*  
136 disaster. In total, the activities of 70 end points were measured, assessing the induction of human  
137 transcription factors and nuclear receptors related to biotransformation, lipid metabolism, the  
138 endocrine system, immunity, and cell stress, differentiation, and growth. Additionally, the  
139 AquaTox bioassay assessed 6-7 end points for endocrine and lipid metabolic function for each of  
140 three phylogenetically distinct fish species. Our findings provide needed information to guide  
141 long-term monitoring efforts, make hazard assessments of the spilled material, and direct further  
142 research on pyroplastics, an emerging global contaminant.

## 143 144 **MATERIALS AND METHODS**

### 145 146 **Sample collection.**

147  
148 Spilled plastics from the M/V *X-Press Pearl* disaster were collected from Pamunugama Beach, Sri  
149 Lanka, on May 25, 2021 (5 days after the fire began), and stray plastic related to the spill was  
150 collected from Sarakkuwa Beach, Sri Lanka, on January 17, 2022 (eight months after the spill).  
151 The two beaches are ~2 km apart. The recovered plastic was shipped to the Woods Hole  
152 Oceanographic Institution (Woods Hole, MA, USA) and stored at 4 °C as collected. All plastic  
153 was manipulated using solvent-rinsed stainless-steel tweezers. The material was visually sorted  
154 according to the categories operationally defined by de Vos et al.<sup>4</sup> and James et al.<sup>10</sup>, i) white  
155 nurdles, ii) burnt plastic, and iii) excised pieces of combustion remnant (**Figure 1**). Samples from  
156 each category were previously analyzed for their PAH content.<sup>9</sup> Orange and gray nurdles were not  
157 assayed because of limited sample quantity, and previous assessments demonstrated that their PAH  
158 composition reflected that of burnt plastic pieces.<sup>9</sup>

159  
160 To provide a contrast to the complexity of the white nurdle and pyroplastic released during M/V  
161 *X-Press Pearl* spill, polyethylene nurdles from the M/V CMA CGA *Bianca* spill were also  
162 analyzed. These nurdles were released without exposure to additional chemicals from the ship or  
163 transformed by heat and combustion. Nurdles from the M/V CMA CGA *Bianca* pellet spill were  
164 graciously provided by Professor Mark Benfield (Louisiana State University). The nurdles were  
165 collected on August 13, 2020 (11 days after the spill) from the riverbank area of Chalmette  
166 Battlefield in New Orleans, Louisiana, USA.

### 167 168 **Solvent extracts.**

169  
170 Solvent extracts were prepared in triplicate by incubating ten nurdles or their equivalent mass of  
171 plastic in 5 mL (~45 mg/mL) analytical grade dichloromethane (DCM) for 24 h at room  
172 temperature in combusted borosilicate glass vials with PTFE/F217 lined caps. DCM was used as  
173 a solvent because it would provide parity with previous chemical analyses conducted on the spilled  
174 plastic,<sup>4,9</sup> it is commonly used to prepare extracts from combustion-derived plastics and materials  
175 for bioassays,<sup>37,70-73</sup> and many classes of organic compounds, including hydrocarbons, are readily  
176 soluble in it. After extraction, half of the DCM extract (2.5 mL) was blown to dryness under a  
177 gentle stream of nitrogen at room temperature and reconstituted in 100 µL of molecular biology

178 grade dimethyl sulfoxide (DMSO). An extraction blank without plastic was also prepared.  
179 Specifics of each extract are provided in **Table S1**.

180

### 181 **TF-, NR-, and AquaTox- FACTORIAL bioassays.**

182

183 DMSO-reconstituted DCM extracts were shipped to Attagene, Inc. (Morrisville, NC, USA) for  
184 testing by their TF-FACTORIAL (45 TF specific reporters), NR-FACTORIAL (24 human NRs)  
185 assays (previously named cis- and trans- FACTORIAL assays, respectively), and AquaTox-  
186 FACTORIAL (6 human NRs and 19 fish NRs).<sup>59,60</sup> The assays use HepG2 cells to assess the  
187 activity of endogenous transcription factors (TF assay) or transfected hybrid proteins consisting of  
188 a yeast GAL4 DNA binding domain and ligand-binding domain of the human nuclear receptors  
189 (NR assay) or fish nuclear receptors (AquaTox assay). These multiplexed assays comprised 89  
190 different measured end points related to cell stress, endocrine activity, growth and differentiation,  
191 immunity, and lipid, xenobiotic, and general metabolism.<sup>64</sup> Extracts were tested at a maximum  
192 concentration of 3  $\mu$ L DMSO extract/mL cell culture medium for 24 h. This concentration equates  
193 to the extractable content from ~4 mg of spilled plastic (~20% of the mass of a nurdle). Final  
194 DMSO concentrations were 0.3% (v/v). Five to six technical replicates of DMSO solvent controls  
195 matched to the DMSO concentration of the extracts were run with each sample set. Each extract  
196 was run as three technical replicates in Dulbecco's Modified Eagle Medium (DMEM) containing  
197 1% charcoal-stripped fetal bovine serum (FBS). The pyroplastics were evaluated by each  
198 FACTORIAL assay twice: the first at the maximum tested concentration for each of three extracts  
199 prepared from three independent sets of plastic, and the second as a 6-point serial dilution from  
200 the maximum tested concentration for a single representative extract. Each assay format was run  
201 once.

202

203 **TF-FACTORIAL assay.** HepG2 cells were transfected with TF-FACTORIAL reporter library (46  
204 TF-specific reporter plasmids and seven control reporters) using TransIT-LT1 transfection reagent  
205 according to the manufacturer's protocol (Mirus). Transfected cells were plated into 12-well plates  
206 ( $3 \times 10^5$ /well), incubated for 24 h in their growth medium, washed, and treated with samples for 24  
207 h in assay media (DMEM with 1% charcoal-stripped FBS). Cells were collected and processed.

208

209 **NR-FACTORIAL assay.** HepG2 cells were transfected with NR-FACTORIAL library (25 GAL4-  
210 NR expression vector and corresponding reporter plasmid pairs) using TransIT-LT1 transfection  
211 reagent according to the manufacturer's protocol (Mirus). Each pair of GAL4-NR/reporter was  
212 transfected separately to avoid cross-reactivity. Transfected cells were pooled together and plated  
213 into 12-well plates ( $3 \times 10^5$ /well), incubated for 24 h in growth media, washed and treated with  
214 tested samples for 24 h in assay medium (DMEM with 1% charcoal-stripped FBS). Cells were  
215 collected and processed.

216

217 **AquaTox-FACTORIAL assay.** HepG2 cells were transfected with AquaTox-FACTORIAL  
218 library (25 GAL4-NR expression vector and corresponding reporter plasmid pairs) using TransIT-  
219 LT1 transfection reagent according to manufacturer's protocol (Mirus). Each pair of GAL4-  
220 NR/reporter was transfected separately to avoid cross-reactivity. Transfected cells were pooled  
221 together and plated into 12-well plates ( $3 \times 10^5$ /well), incubated for 24 h in growth media, washed  
222 and treated with tested samples for 24 h in assay medium (DMEM with 1% charcoal-stripped  
223 FBS). Cells were collected and processed.

224  
225 **Sample processing.** Total RNA was isolated using PureLink Pro 96 total RNA Purification Kit  
226 (ThermoFisher). Reporter RNA was amplified by reverse-transcription polymerase chain reaction  
227 (RT-PCR) using a single pair of common primers. PCR fragments were labeled with fluorescent  
228 markers, and cut with HpaI restriction enzyme, generating reporter-specific sizes of labeled DNA  
229 fragments that were quantitatively assayed by capillary electrophoresis using a Genetic Analyzer  
230 3500xl. Bioassay responses were expressed as fold-induction relative to the DMSO control by  
231 dividing the treated cells' average technical replicate expression by the average technical replicate  
232 expression of the appropriate DMSO control. Activation of an end point was operationally-defined  
233 as requiring more than 1.5-fold induction across the two independently run assay formats and  
234 having a defined dose-response curve. All activities of an extraction blank were below the  
235 operationally-defined induction cut-off (**Tables S2-S3**), and all positive control compounds  
236 activated receptors as expected (**Table S4**).

237  
238 **Statistical analysis.**

239  
240 Statistical analyses were conducted using GraphPad Prism 10.2.3 (347). Data are presented as  
241 mean  $\pm$  standard deviation (n = sample size). When appropriate, either parametric or non-  
242 parametric tests were used to compare groups. Groups were considered significantly different for  
243 a *p* value less than 0.05. EC<sub>50</sub> concentrations and their asymmetrical 95% confidence intervals  
244 were calculated by fitting a three-parameter dose-response curve,  $response = bottom +$   
245  $\frac{[extract](top-bottom)}{[EC_{50}] + [extract]}$ . For the dose-response curves, the concentration was defined as the mass of  
246 extractable content per volume of cell culture medium used in the assay. Sample sizes and  
247 statistical tests are included in the text and figure captions where appropriate.

248 **RESULTS**

249  
250 Chemicals associated with the spilled plastic may leach from the material over time once in the  
251 environment and following ingestion. To assess the amount of chemicals associated with the  
252 spilled plastic, the plastic was extracted with DCM. This slightly polar solvent readily dissolves  
253 petroleum-like hydrocarbons and other hydrophobic compounds typically associated with plastic  
254 found in the environment. DCM extractable contents for the white nurdles, burnt plastic, and  
255 combustion remnant pieces that first washed ashore on May 25, 2021, were  $3 \pm 4$  mg/g plastic  
256 ( $n=3$ ),  $24 \pm 2$  mg/g plastic ( $n=3$ ), and  $88 \pm 2$  mg/g plastic ( $n=3$ ), respectively (**Table S1**).  
257 Comparatively, the DCM extractable content for the white nurdles and burnt plastic collected on  
258 January 17, 2022, 8 months after the spill, appeared relatively unchanged (unpaired t test with  
259 Welch's correction;  $p$  value  $> 0.05$ ) with values of  $5 \pm 3$  mg/g plastic ( $n=3$ ) and  $19 \pm 2$  mg/g plastic  
260 ( $n=3$ ), respectively (**Table S1**).

261  
262 To understand many of the biological pathways that could be affected by the complex mixture of  
263 plastic-associated chemicals, the solvent extracts from the spilled plastic were screened for their  
264 bioactivity using several FACTORIAL bioassays (TF, NR, and AquaTox). In total, across the three  
265 different bioassays, the activity of 70 human transcription factor response elements and nuclear  
266 receptors and 6-7 nuclear receptors for each of three phylogenetically distinct fish species were  
267 measured in response to the solvent extracts from white nurdles, burnt plastic, and combustion  
268 remnant pieces.

269  
270 **Extracts of the pyroplastic that first washed ashore on May 25, 2021, activated human**  
271 **transcription factors and nuclear receptors for metabolic, endocrine, and cell stress, growth,**  
272 **and differentiation processes.**

273  
274 Bioactivity varied according to the type of spilled plastic. First, a single extract concentration was  
275 tested to semi-quantitatively assess the variability in bioactivity within a sample type (e.g., white  
276 nurdle, burnt plastic, and combustion remnant). Subsequently, dose-response relationships were  
277 constructed for the bioactivity of the pyroplastics. Results were largely consistent across the three  
278 extracts prepared from three independent sets of plastic (**Figure 2, Tables S5-S7**). The coefficients  
279 of variation of the end points for the burnt plastic and combustion remnant ranged from 0.7 to  
280 16.9% with a mean of 5.2% and 0.2% to 15.6% with a mean of 5.3%, respectively. As a result,  
281 one extract of each plastic type was used as a representative sample (**Tables S6-S7**) for evaluating  
282 the dose-response activity of the pyroplastics. The variabilities for the activated end points between  
283 the two assay formats were within the reported biological variability of the assays.<sup>59,61,74</sup>

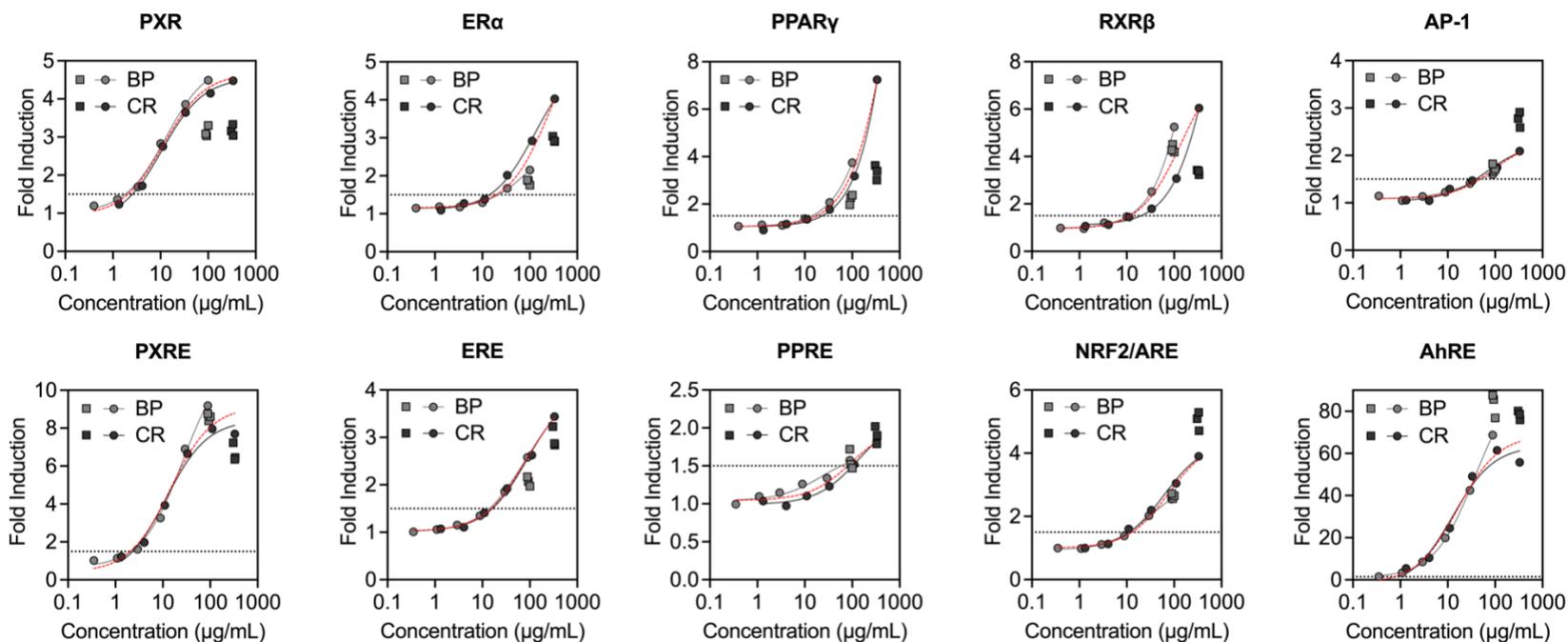
284  
285 *White nurdles.* Of the 45 human transcription factor response elements and 24 nuclear receptors  
286 tested for activity in the TF- and NR- FACTORIAL bioassays, the white nurdles activated only  
287 two end points above the operationally-defined 1.5 fold-induction cut-off. At the concentration  
288 tested, only the aryl hydrocarbon receptor response element (AhRE) and the retinoid X receptor  $\beta$   
289 (RXR $\beta$ ) nuclear receptor exceeded the cut-off. The extracts induced average fold increases in  
290 activity of  $1.76 \pm 0.48$  ( $n=3$ ) for AhRE and  $1.84 \pm 0.76$  ( $n=3$ ) for RXR $\beta$  (**Table S5**). This amount  
291 of bioactivity was comparable (within the same order of magnitude) to that of polyethylene nurdles  
292 collected after the M/V CMA CGM *Bianca* containership plastic spill that happened along the  
293 banks of the Mississippi River in New Orleans, Louisiana, USA, in August 2020. Extracts of these

294 nurdles induced average fold increases in activity of  $3.79 \pm 3.43$  (n=3) for AhRE; all other end  
295 points were below the operationally defined cut-off (**Table S8**). This spill was without fire, and so  
296 the source of the AhRE activity was attributed to hydrophobic organic contaminants from the  
297 Mississippi River that can associate with the nurdles.<sup>75</sup> Thus, the bioactivity of the white nurdles  
298 appeared comparable to that of other polyethylene nurdles found in aquatic environments resulting  
299 from a containership spill. This finding also agreed with chemical analyses of their PAH content,  
300 which did not differ from that of other nurdles collected in the aquatic environment globally.<sup>9</sup>

301  
302 *Pyroplastics.* The pyroplastic was more bioactive than the white nurdles, and activation trended  
303 with the amount of extractable material. The extracts from the burnt plastic and combustion  
304 remnant pieces activated several end points related to biotransformation, lipid, endocrine, and cell  
305 stress, growth, and differentiation processes (**Figure 2, Tables S6-S7, S9-S10**). Specifically, the  
306 extracts activated the pregnane X receptor response element (PXRE) and its nuclear receptor  
307 (PXR), the estrogen receptor response element (ERE) and its receptor  $\alpha$  ( $ER\alpha$ ), the peroxisome  
308 proliferator-activated receptor response element (PPRE) and its receptor  $\gamma$  ( $PPAR\gamma$ ),  $RXR\beta$ , the  
309 nuclear erythroid-2 related factor 2-antioxidant response element (NRF2/ARE), the activator  
310 protein 1 (AP-1), and AhRE (**Figure 2**). The elevated activity of PXR,  $ER\alpha$ , and  $PPAR\gamma$  in the TF  
311 and NR assays for pyroplastic extracts suggested that active components of these extracts acted as  
312 direct ligands of PXR,  $ER\alpha$ , and  $PPAR\gamma$ .

313  
314 Several other end points demonstrated defined dose-response relationships that did not exceed the  
315 1.5-fold induction cut-off operationally-defined for activation or inconsistently exceeded the cut-  
316 off between the two independently run assay formats (**Figure S2, Tables S6-S7, S9-S10**). These  
317 end points included the liver X receptor  $\alpha$  ( $LXR\alpha$ ), the constitutive androstane receptor (CAR),  
318 the peroxisome proliferator-activated receptor  $\alpha$  ( $PPAR\alpha$ ), the nuclear receptor related 1 (NURR1;  
319 also known as the nuclear receptor 4A2), the metal regulatory transcription factor 1 response  
320 element (MRE), the hypoxia-inducible factor-1 $\alpha$  (HIF1 $\alpha$ ), the vitamin D receptor response  
321 element (VDRE), and the retinoic acid receptor-related orphan receptor response element (RORE).  
322 The activity of the liver X receptor family (direct repeat 4-binding proteins) response element  
323 (DR4/LXR) and the nuclear respiratory factor 1 (NRF1) activity were suppressed with increasing  
324 concentration of extractable material (**Figure S2**). The dose-response curves for AhRE, AP-1,  
325 CAR, ERE,  $ER\alpha$ , HIF1 $\alpha$ ,  $LXR\alpha$ , MRE, NRF2/ARE, NURR1, PPRE,  $PPAR\gamma$ , PXRE, PXR, and  
326 VDRE showed tremendous concordance between the burnt plastic and combustion remnant with  
327 only minor deviations (i.e., the curves lined up on top of one another) (**Figures 2 and S2**). The  
328 dose-response curves for DR4/LXR,  $PPAR\alpha$ , RORE, and  $RXR\beta$  deviated substantially between  
329 the two plastic types (**Figures 2 and S2**). The deviation was assessed qualitatively as the relative  
330 difference between the dose-response curves of the individual datasets and those of a dose-  
331 response curve for their combined dataset.

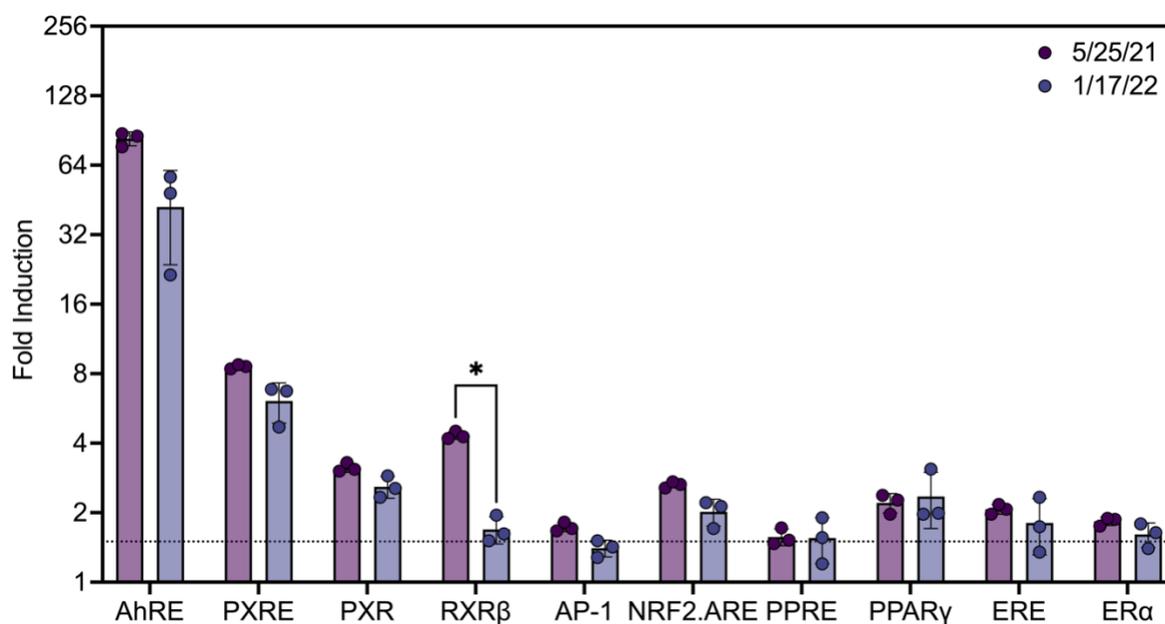
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333  
 334 **Figure 2.** Human nuclear receptors and transcription factors activated by the pyroplastics. The dose-response activity of the NR- and  
 335 TF- FACTORIAL end points for the solvent extracts of the burnt plastic (BP) and combustion remnant (CR) pieces collected on May  
 336 25, 2021. Data points are shown for the two FACTORIAL assay measurements: the first at the maximum tested concentration for each  
 337 of three extracts prepared from three independent sets of plastic (squares), and the second as a serial dilution from the maximum tested  
 338 concentration for a single representative extract from those previously evaluated (circles). Solid gray and black lines indicate the dose-  
 339 response curves for the burnt plastic and combustion remnant, respectively. Dashed lines in red indicate the dose-response curve when  
 340 values for the burnt plastic and combustion remnant were combined. Dotted lines indicate the operationally-defined 1.5-fold induction  
 341 criteria for activation. Concentration is presented as the mass of DCM extractable material per volume cell culture medium.  
 342

343 **The bioactivity of extracts from the pyroplastic collected eight months after the spill was**  
344 **slightly diminished.**  
345

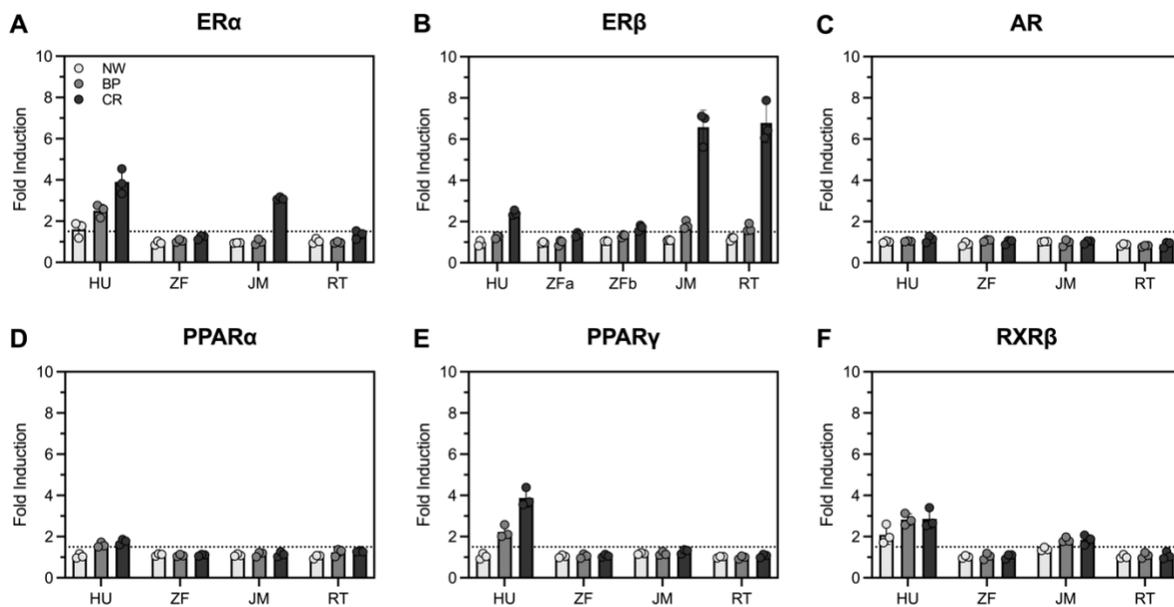
346 The bioactivity of white nurdles and burnt plastic collected eight months after the spill trended to  
347 lower values, and no additional end points were activated. On average, all end points in the TF-  
348 and NR- FACTORIAL bioassays were below the 1.5-fold induction criteria for extracts from white  
349 nurdles collected eight months after the spill on January 17, 2022 (**Table S11**). As for the burnt  
350 plastic, the induced average fold increase in activity trended lower; however, the activity of RXR $\beta$   
351 was the only end point with a statistically significant reduction in activity (**Figure 3, Table S12**).  
352 Overall, the end points were more variable at the later time point, while the variability of the  
353 extractable mass was unchanged between time points. This difference suggested that while the  
354 amount of extractable material did not appear to change, there was a change in its composition  
355 during this period, which is supported by reported changes in the PAH content of the burnt plastic  
356 within this timeframe.<sup>9</sup>  
357



358 **Figure 3.** Activity of extracts from burnt plastic collected on May 25, 2021 (5/25/21) and January  
359 17, 2022 (1/17/22). Statistical significance was evaluated by multiple unpaired Welch's t-tests  
360 corrected by the Holm-Šidák method for multiple comparisons. \* denotes  $p$  value < 0.05.  
361  
362

363 **The bioactivities of the extracts from the pyroplastic were species-specific.**

364  
365 The AquaTox FACTORIAL bioassay revealed differences in nuclear receptor activation among  
366 fish species and between fish and human receptors. End points included the induction of species-  
367 specific estrogen receptors (ER $\alpha$  and ER $\beta$ ), androgen receptors (AR), peroxisome proliferator-  
368 activated receptors (PPAR $\alpha$  and PPAR $\gamma$ ), and retinoid X receptors (RXR $\beta$ ) for humans (HU),  
369 *Danio rerio* (zebrafish; ZF), *Oryzias latipes* (Japanese medaka; JM), and *Oncorhynchus mykiss*  
370 (rainbow trout; RT) that were expressed as GAL4-NR hybrid proteins in human HepG2 cells. Only  
371 end points for human receptors (ER $\alpha$  and RXR $\beta$ ) were activated by extracts from the white  
372 nurdles. Fish ER $\alpha$  were largely unresponsive to the extracts from the spilled plastic (**Figure 4A**);  
373 only medaka ER $\alpha$  displayed activity above the 1.5-fold induction criteria in response to the  
374 combustion remnant extract ( $3.09 \pm 0.06$ , n=3). ER $\beta$  was the most sensitive to the pyroplastic  
375 extracts, and fish ER $\beta$  were more responsive to the pyroplastic extracts than human ER $\beta$  (**Figure**  
376 **4B**). Medaka and rainbow trout ER $\beta$  were activated in response to the burnt plastic and combustion  
377 remnant extracts. Human ER $\beta$  and zebrafish ER $\beta$ b expressed activity in response to the  
378 combustion remnant extract. None of the extracts elicited AR activity (**Figure 4C**). Fish PPAR $\alpha$   
379 and PPAR $\gamma$  were not activated by the plastic extracts, while the human PPARs were activated  
380 (**Figure 4D-E**). Human and medaka RXR $\beta$  showed activity in response to the pyroplastic extracts  
381 (**Figure 4F**). As with the TF- and NR- FACTORIAL bioassay results, the activity of the nurdles  
382 and pyroplastics collected eight months after the spill trended toward lower values (**Figure S3,**  
383 **Tables S16-S17**). In conjunction with these semi-quantitative results made at a single  
384 concentration, dose-activity measurements (**Figure S4, Table S18**) suggest the potential for the  
385 plastic-associated chemicals to disrupt fish estrogen signaling via different pathways depending  
386 on the fish species. In contrast, their ability to disrupt fish androgen and lipid metabolism via direct  
387 ligand-activated pathways is unlikely.



388  
 389 **Figure 4.** Bioactivity of AquaTox FACTORIAL end points for the solvent extracts of white  
 390 nurdles (NW), burnt plastic (BP), and combustion remnant (CR) pieces collected on May 25, 2021.  
 391 Dashed lines indicate the operationally-defined 1.5-fold induction criteria for activation. Values  
 392 for each extract are available in **Tables S13-S15**.

## 393 DISCUSSION

394

### 395 Sources of bioactivity.

396

397 The FACTORIAL profiles suggest that the complex mixture of PAHs (and other compounds)  
398 within the pyroplastic extracts reflected that of a single PAH. Several PAHs – especially those that  
399 are possible or known human carcinogens – have been screened by the FACTORIAL bioassays as  
400 part of the ToxCast program and within Attagene’s FACTORIAL database. Profiles of the burnt  
401 plastic and combustion remnant were very similar to those of benzo[*b*]fluoranthene (BbF) and  
402 benzo[*k*]fluoranthene (BkF) (similarity score >0.96; 0.74 μM; TF-FACTORIAL profile).  
403 Additionally, the TF-FACTORIAL profiles of the pyroplastic differed from those of other possible  
404 or known human carcinogenic PAHs, including benz[*a*]anthracene (BaA), chrysene (C0),  
405 benzo[*a*]pyrene (BaP), and indeno[1,2,3-*cd*]pyrene (IND). The AquaTox profiles also reflected  
406 this result, showing comparable similarity to BbF and not to BaP and BaA (**Figures S5-S8**) except  
407 for their ERβ activation, which was more akin to BaP and BaA than BbF. Notably, none of the  
408 single PAH compounds mentioned above activated PXRE/PXR and RXRβ and few activated  
409 PPRE/PPARγ while the pyroplastics robustly activated these end points (**Figures 2, S5-S8**). These  
410 results suggest that other compounds in the complex chemical mixture were the cause of their  
411 activity.

412

413 From previous chemical analyses of the pyroplastics, the relative abundances of BaA, C0, BbF,  
414 BaP, and IND were comparable, and BkF was much less abundant than the others.<sup>9</sup> Thus, the  
415 FACTORIAL profiles of the pyroplastics are unlikely to reflect the additive sum of the profiles  
416 for the individual compounds within the extracts. At first glance, this outcome aligns with evidence  
417 showing that complex mixtures of PAHs behave differently than single PAHs from a toxicological  
418 standpoint.<sup>76,77</sup> Yet, in contradiction to this, the pyroplastic extracts primarily reflected profiles of  
419 a single PAH, but for a profile of a single PAH at a ~10-fold greater concentration than is estimated  
420 to have been in the extract. Future work should investigate the FACTORIAL profiles of PAH  
421 mixtures to better interpret the contributions of each chemical component to the overall toxic  
422 potential of PAH complex mixtures that more represent real-world samples.

423

424 The findings reflect and expand on those made for other types of combustion-derived material  
425 (e.g., PAHs). Extracts of ash collected from forest fires at the wildland-urban interface were  
426 assessed for their AhR, ER, AR, interleukin-8, and cyclooxygenase-2 (COX-2) activity,<sup>43</sup> which  
427 largely reflected the bioactivity of common combustion-derived chemicals of concern. There were  
428 some indications that other compounds contributed to the total bioactivity. However, their extent  
429 of activation was no more than that of the more common chemicals from an AhR activation  
430 standpoint. The FACTORIAL profiling of the pyroplastics for a much larger number of end points  
431 suggests a similar conclusion – the bioactivity reflected that of common combustion-derived  
432 chemicals of concern (i.e., PAHs). Nonetheless, while the FACTORIAL platform provides a  
433 valuable screen for bioactivity, an ensemble of measures (e.g., transcriptomics and other methods)  
434 is necessary to fully understand the potential modes of toxicity for a contaminant.

435

436 Moreover, the pyroplastics from the *X-Press Pearl* disaster were formed following the combustion  
437 of polyethylene. Other compounds of concern (e.g., dioxins, PFAS) can be formed during the  
438 combustion of halogenated and heteroatom-containing polymers. Thus, investigating pyroplastics

439 from diverse plastics is necessary to more broadly confirm this similarity to other types of  
440 combustion-derived material.

441

#### 442 **Bioactivity of the uncollected plastic.**

443

444 The findings suggest that nearly a year after the spill, the pyroplastics largely retained quantities  
445 and compositions of associated chemicals capable of eliciting bioactivity comparable to when the  
446 material first spilled. This outcome was not entirely unexpected given that polyethylene is used  
447 for the passive sampling of hydrophobic organic contaminants in the environment,<sup>78</sup> i.e.,  
448 partitioning between seawater and polyethylene skews toward greater amounts in polyethylene.<sup>79</sup>  
449 With that in mind, the spilled plastic can accumulate and become enriched in additional  
450 contaminants from the environment.<sup>80,81</sup> Continued monitoring of any uncollected plastic will be  
451 necessary to ascertain the extent to which its bioactivity profile and chemical complexity deviate  
452 from those when it first spilled over more extended periods in the environment.

453

#### 454 **Potential ecotoxicity of the pyroplastics.**

455

456 Within the first few weeks of the M/V *X-Press Pearl* disaster, the spilled pyroplastics were  
457 expected to differentially impact wildlife because of their wide range of morphologies and physical  
458 properties.<sup>4</sup> The AquaTox results expand upon this point. The data indicate that the potential  
459 toxicological harm from the plastic-associated chemicals will also be heterogeneous because of  
460 species-specific effects. In other words, fish species of comparable size (i.e., capable of ingesting  
461 similarly sized pyroplastics) can be expected to respond differently to the complex mixture of  
462 chemicals that leach from the material. This finding also likely translates to other taxonomic  
463 classes (e.g., birds). While, in hindsight, this conclusion may appear evident to those versed in  
464 comparative toxicology,<sup>82,83</sup> during the environmental crisis of the spill, it was likely not at the  
465 forefront of concern. Instead, responders simply needed to know whether any chemicals associated  
466 with the plastic had toxicological potential. This point, however, is significant for monitoring  
467 programs and suggests the need to follow multiple phylogenetically distinct species within the  
468 same taxonomic class and use multiple end point measurements to best capture and assess potential  
469 harm.

470

#### 471 **Adverse outcome pathways related to the activated end points.**

472

473 Identifying pathway-based bioactivity in the samples can inform the potential hazards of exposure  
474 to chemicals associated with the pyroplastics. The adverse outcome pathway framework aims to  
475 connect *in vitro* pathway-based bioactivity (e.g., AhR activation) with organismal-level responses  
476 and adverse outcomes (e.g., cardiotoxicity).<sup>84,85</sup> Adverse outcome pathways have been defined on  
477 AOP-Wiki<sup>86</sup> for several of the activated end points, including PXR, AhR, ER, PPAR, and NRF2,  
478 while others (AP-1 and RXR) have yet to be established. The most developed adverse outcome  
479 pathways are for AhR and ER, whereby their activation has been connected to early mortality,  
480 several cancers, preeclampsia, cognitive decline, liver fibrosis and steatosis, and reproductive  
481 dysfunction. Activation of PPAR $\alpha$  and PPAR $\gamma$  have adverse outcome pathways resulting in  
482 vascular disruption, obesity, liver steatosis, cancers, and reproductive dysfunction. The adverse  
483 outcome pathways associated with PXR and NRF2 are more nascent than the others; their  
484 activation includes liver steatosis and vascular disruption. Having identified potential upstream

485 molecular initiating events with the FACTORIAL bioassays, future work should focus on  
486 hypothesis-driven, *in vivo* measures of tangential and downstream key events within these  
487 pathways to further guide risk assessment of pyroplastics.

488

## 489 CONCLUSIONS

490

491 At the time of the spill, ~1680 tons of plastic debris was released, of which a sizable portion was  
492 burned. By June 2021, ~1610 tons of plastic, debris, and contaminated sand had been recovered  
493 and has since remained siloed in warehouses.<sup>3</sup> Part of the prolonged containment of the waste has  
494 resulted from the uncertainty of its hazardousness and the methods for its appropriate disposal.<sup>3</sup>  
495 From the FACTORIAL bioassays, it appears that the bioactivity of the chemicals associated with  
496 the pyroplastics largely reflects that of presumed and recognized carcinogenic PAHs, specifically  
497 BbF and BkF, despite being a complex mixture of thousands of compounds. This finding suggests  
498 that the material should be handled similarly to other combustion-derived residues (e.g., from  
499 biomass). Conversely, any chemicals associated with the white nurdles appear to pose a  
500 comparatively marginal threat, eliciting relatively minimal bioactivity at their expected  
501 concentrations. Nonetheless, the bioavailability of the associated chemicals, which controls their  
502 effective dosage, remains to be determined. For the stray pyroplastic still in the environment,  
503 continued monitoring is necessary. Pieces of pyroplastic collected nearly a year after the spill  
504 largely retained quantities and compositions of associated chemicals capable of eliciting  
505 bioactivity comparable to when they first spilled. As the material fragments into smaller pieces,  
506 other organisms will become susceptible to it, and other modes of toxicological action will likely  
507 arise (e.g., submicron-sized plastic particles loaded with relatively high levels of  
508 contaminants).<sup>87,88</sup> With the detection of pyroplastics across much of the globe and recently in  
509 fish,<sup>13</sup> further understanding of their toxicity is needed.

510

## 511 ACKNOWLEDGMENTS

512

513 **Conflicts of Interest:** A.V.M. L.A.M., E.M., and S.S.M. have competing financial interests as  
514 Attagene employees and shareholders. The authors declare no other competing interests.

515

516 **Funding Sources:** Support was provided by The Schmidt Foundation via The Ocean Foundation  
517 on behalf of Friends of Oceanswell (A.d.V), the March Marine Initiative (a program of March  
518 Limited, Bermuda) through WHOI's Marine Microplastics Innovation Accelerator program, and  
519 the Woods Hole Center for Oceans and Human Health (NIH P01ES028938 and NSF OCE-  
520 1840381) (M.E.H.).

521

522 **Acknowledgments:** We thank David Campion (ITOPF) and Conor Bolas (ITOPF) for their  
523 helpful discussion and assistance in collecting samples eight months after the disaster, Professor  
524 Mark Benfield (LSU) for graciously providing samples from the *Bianca* nurdles spill, and Robert  
525 Nelson (WHOI) for his valued insights.

526

527 **Data Availability Statement:** All data is included in the manuscript and supporting information.  
528 Subsamples of the spilled plastic are available upon request.

529

530 **Author Contribution Statement:** Conceptualization – B.D.J., A.d.V., C.M.R., M.E.H.; Data  
531 curation – B.D.J.; Formal analysis – B.D.J.; Funding Acquisition – A.d.V., C.M.R., M.E.H.;  
532 Investigation – B.D.J., A.V.M., L.A.M., E.M., K.L.G., B.L., S.S.M.; Methodology – B.D.J.,  
533 A.V.M., S.S.M.; Project Administration B.D.J., A.d.V., C.M.R., M.E.H.; Resources – A.d.V.,  
534 L.I.A., A.V.M., S.S.M., M.E.H.; Supervision – C.M.R., M.E.H.; Visualization – B.D.J.; Writing  
535 original draft – B.D.J.; Writing review & editing – B.D.J., A.d.V., L.I.A., A.V.M., S.S.M., C.M.R.,  
536 M.E.H.

537

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