CrySPR: A Python interface for implementation of crystal structure prerelaxation and prediction using machine-learning interatomic potentials

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Summary

The functional properties of crystalline inorganic materials in a variety of applications including, but not limited to, catalysts, batteries, solar cells, electronics, fundamentally depend on their crystal structures. Discovery of novel materials could be transformative for these fields.¹ In the past few decades, the computational science community has developed crystal structure prediction (CSP) methods with the goal to find the exact symmetry-constrained atomic arrangements in the periodic unit cell, which are globally and/or locally energetically favorable: finding the globally minimal or locally minimal crystal structure for a given chemical formula. The implementation of CSP typically involves an iteration procedure with at least two components: the sampling of the potential energy surface (PES) for generating raw/unrelaxed crystal structures, and the subsequent local energy minimization of generated structures. The latter part is typically carried out through computationally expensive density functional (DFT) calculations. A non-exhaustive but representative list of available CSP codes includes USPEX², CALYPSO³, AIRSS⁴, XtalOpt^{5,6}, IM2ODE⁷; due to the nature of DFT calculations, this CSP process can be very time-consuming. Recent rapid advances of pre-trained machine-learning interatomic potentials (ML-IAPs) based on data from DFT calculations, such as, M3GNet⁸, CHGNet⁹ and MACE¹⁰ (amongst others) have significantly accelerated the process of local energy minimization but have not thoroughly been tested on CSP tasks. The realization of local energy minimization using ML-IAPs, referred to as pre-relaxation when compared with using DFT calculations, plays a critical role in the CSP implementation. We present here, CrySPR, which stands for Crystal Structure Pre-Relaxation and PRediction, which is specifically designed to serve as a Python package that provides user-friendly application programming interfaces (APIs), functionalities and utilities for crystal structure generation, prerelaxation of structures using ML-IAPs and structure prediction. The codes are open-source and have been released to the Python Package Index (PyPI).

Statement of need

As far as we are aware, there is no available and/or easily accessible Python code tailored for "allin-one" structure generation, pre-relaxation and prediction using contemporary ML-IAPs. CrySPR provides three key features:

1. Crystal structure generation for a given chemical formula, which crystallographically follows the space group symmetry of crystals, either by performing random match between Wyckoff positions (along with multiplicities) and the allowed elements and corresponding numbers of atoms, or by enumerating all possible matched cases. The match in this context

is also known as compatibility check.11

- 2. Automatic workflow for stepwise structure pre-relaxation using ML-IAPs.
- 3. Obtaining (a) the formation energy and (b) energy above the convex hull, from a given reference materials database (illustrated here with the Materials Project), and plotting the phase diagram for predicted materials.

These features are built mainly based on PyXtal¹¹, ASE¹² and pymatgen¹³. There are also a set of utilities for result visualization and analysis (formation energy calculation, energy data plotting). In this context, CrySPR can play a vital role by providing an efficient framework for structure optimization, prediction and validation, especially in the era of generative algorithms such as variational autoencoders (VAEs)^{14,15}, generative adversarial networks (GANs)¹⁶, diffusion models^{17,18}, transformers^{19,20}, flow-based approaches²¹, producing a large number of possible crystal structures that need to be tested for stability and relaxation rapidly.

CrySPR

CrySPR is a modular Python package, which includes three main submodules: calculators, optimization, and utils. **Figure 1** demonstrates the workflow for the CSP task in CrySPR, users are allowed to input several constraints for search space, including chemical formula, the number of formulae per conventional unit cell (Z), space groups (SPG), and some extra constraints including the number of sample structures per SPG for each Z, and Wyckoff positions, etc. The input information then is then processed by CrySPR then used by PyXtal to generate initial unrelaxed crystal structures, which subsequently encounter local structure optimization using a local optimization algorithm and ML-IAP in the ASE framework, from which the final total energies (E) are determined. The package has a built-in function for a developed stepwise strategy. It is specially designed for ML-IAPs and includes two fully symmetry-compliant steps for structure relaxation. The package can easily adopt any ML-IAP that is formulated in the form of ASE calculator class. CrySPR is expandable with more features and functionalities as it evolves, e.g., adapting more advanced and accurate ML-IAPs, comprehensive static reference data of the potential energies, optimization algorithms for efficient PES sampling, and multi-objective oriented CSP, etc.



Figure 1: Schematic illustration of the workflow in CrySPR. An example of data types is given.

Figure 2 shows the prediction results that employ one of the ML-IAPs, CHGNet, as the inter-atomic potentials. This demo uses a built-in function, `random_predict`, to perform CSP for chemical spaces with reduced formulae of (A) CaTiO₃ and (B) MgAl₂O₄, respectively. For CaTiO₃, the SPGs are constrained from No. 16 to 230, and Z values from 1 to 4, while for MgAl₂O₄, cubic space groups (No. 195 to 230) and Z values from 1 to 10 are set. In **Figure 2**A some representative crystal structures are illustrated with the energy above the hull labeled, and those experimental phases are underscored, while in **Figure 2**B the total energy per atom is shown. In this demo, the ground-state structure of CaTiO₃ in tetragonal perovskite phase and MgAl₂O₄ in cubic spinel phase are successfully predicted.



Figure 2: Crystal structure prediction for (A) the perovskite CaTiO₃ and (B) the spinel MgAl₂O₄.

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