Manuscript Submitted to: 1 **Environmental Science & Technology – Air** 2 for Peer-Review on May 1, 2024 3 4 5 Identifying Sources of NO_x emissions from Aircraft through Source Apportionment and 6 **Regression Models** 7 8 Daniel Goldberg¹, Benjamin de Foy², M. Omar Nawaz¹, Jeremiah Johnson³, Greg Yarwood³, 9 Laura Judd⁴ 10 11 ¹Department of Environmental and Occupational Health, George Washington University, 12 Washington, DC, 20052, USA 13 ²Saint Louis University, St. Louis, Missouri, 63103, USA 14 ³Ramboll, Novato, California, 94945, USA 15 ⁴NASA Langley Research Center, Hampton, Virginia, 23681, USA

16 Abstract

17 Air quality managers in areas exceeding air pollution standards are motivated to understand where there 18 are further opportunities to reduce NO_x emissions to improve ozone and $PM_{2.5}$ air quality. In this project, 19 we use a combination of aircraft remote sensing (i.e., GCAS), source apportionment models (i.e., CAMx), 20 and regression models to investigate NO_x emissions from individual source-sectors in Houston, TX. In prior 21 work, GCAS column NO₂ was shown to be close to the "truth" in validating column NO₂ in model 22 simulations. Column NO₂ from CAMx was substantially low biased compared to Pandora (-20%) and GCAS measurements (-31%), suggesting an underestimate of local NO_x emissions. We applied a flux 23 24 divergence method to the GCAS and CAMx data to distinguish the linear shape of major highways and 25 identify NO₂ underestimates at highway locations. Using a multiple linear regression model, we isolated 26 on-road, railyard, and "other" NO_x emissions as the likeliest cause of this low bias, and simultaneously 27 identified a potential overestimate of shipping NO_x emissions. We modified on-road and shipping NO_x 28 emissions in a new CAMx simulation and increased the background NO₂, and better agreement was found 29 with GCAS measurements: bias improved from -31% to -10% and r^2 improved from 0.78 to 0.80. This 30 study outlines how remote sensing data, including fine spatial information from newer instruments such as 31 TEMPO, can be used in concert with chemical transport models to provide actionable information for air 32 quality managers to identify further opportunities to reduce NO_x emissions.

33 Introduction

34 Nitrogen oxide ($NO_x = NO + NO_2$) emissions are directly harmful to human health and a critical participant

35 in ozone formation. Many North American cities already have NOx-limited ozone formation during the

- 36 warm season¹⁻³, and the remaining cities should have primarily NO_x -limited conditions in the coming years⁴.
- 37 Further reducing ozone pollution in metropolitan areas will therefore require improved quantification of
- 38 NO_x emissions. Exposure to NO_x is also directly associated with asthma exacerbation in vulnerable
- 39 groups^{5,6} and premature death^{7,8}. One major limitation of our current observing network is the inability to
- 40 accurately quantify NO_x emissions on a sector-by-sector basis in a timely fashion, with the exception of
- 41 continuous emissions monitoring systems (CEMS) on electricity generating units. Additionally, many non-
- 42 road sources of NO_x emissions, such as industrial or construction emissions, have large uncertainties⁹.

Typically, air pollutant emission rates for chemical species such as NO_x are estimated using a "bottom-up" 43 44 approach, which uses fuel consumption information, spatial surrogates (e.g., road density, population 45 density, locations of known stack emissions), temporal surrogates (e.g., traffic patterns, industrial work 46 schedules) and emission factors (mass of pollutant per mass of fuel burned) to estimate the spatiotemporal patterns of emissions across regions^{10,11}. With investments in technology to better understand the 47 48 spatiotemporal patterns of pollutants (e.g. incorporating real-time traffic data using speed and type of 49 vehicle) and laboratory studies to better estimate the emission factors in a wide range of conditions, these 50 "bottom-up" estimates can be improved¹². These new and improved estimates can then be incorporated into 51 a chemical transport model and evaluated against observations from ground monitors. Based on this 52 comparison, the emission estimates can be further adjusted and improved if necessary. However, given the 53 complexity of this cycle, "bottom-up" emission estimates typically take many years to compile by a large 54 team of scientists, and subsequently, are delayed in time by several years from the actual emission time.

55 A complementary method to estimate air pollutant emissions is in using a "top-down" approach. With this 56 method, emissions are back calculated from pollutant measurements acquired across an entire airshed. This is typically done with a remote sensing instrument – in $orbit^{13-15}$ or on an aircraft¹⁶⁻¹⁸. Analyses have been 57 conducted for global megacities¹⁹⁻²³ and power plants^{24,25} using the Tropospheric Monitoring Instrument 58 59 (TROPOMI) and a complementary satellite instrument, the Ozone Monitoring Instrument (OMI). The 60 emission rates are inferred by analyzing the concentration maps over a large region and incorporating the 61 lifetime (chemical and dispersion lifetime) of the pollutant to back-calculate the emission rate at the source. 62 The advantage of a "top-down" technique is that it is independent of the complex datasets needed to 63 estimate "bottom-up" emissions rates. Typically these aggregated "top-down" estimates agree with the 64 "bottom-up" estimates within 40% in North American cities (well within the uncertainty associated with 65 the 'top-down' method)^{13,26}. Given TROPOMI's spatial resolution ($3.5 \times 5.5 \text{ km}^2$ at nadir) and temporal 66 resolution (once daily), TROPOMI is most often used to calculate total emissions aggregated over the entire 67 metropolitan area and seasonal/annual timescales, and assumptions are needed to infer emissions rate 68 during morning and evening hours. Therefore, very limited, if any, sectoral or hourly information can be 69 gleaned from an analysis using polar-orbiting satellite datasets, such as TROPOMI.

70 In this project we used fine spatial resolution nitrogen dioxide (NO₂) information ($250 \times 560 \text{ m}^2$) from the Geostationary Coastal and air pollution events Airborne Simulator (GCAS) instrument^{27,28}, available during 71 72 the September 2021 NASA/TCEQ Tracking Aerosol Convection ExpeRiment - Air Quality (TRACER-AO) field campaign²⁹, to better understand the fine-scale structure of NO_x emissions in the Houston 73 74 metropolitan area including a sector-by-sector analysis. Complementing the airborne observations, we 75 perform a simulation using the Comprehensive Air Quality Model with Extensions (CAMx) at fine spatial 76 resolution (444×444 m²). The model output has already been compared to data from GCAS and TROPOMI in a complementary paper³⁰. In this project, we push further by using source-tagged NO₂ information from 77 CAMx and GCAS data to estimate more accurate contributions from different NO_x emission sectors. We 78 79 do this by using flux divergence methods on the GCAS data and by training a multiple linear regression

80 model to the airborne retrievals, with the CAMx source-tagged NO₂ as the independent variables.

81 Methods

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GCAS. The GCAS instrument was installed on the NASA G-V aircraft during the Tracking Aerosol Convection. ExpeRiment – Air Quality (TRACER-AQ) field campaign in Houston, Texas during September 2021. The GCAS instrument employs charge-coupled device array detectors to observe backscattered light. These data can be used to calculate column densities of gases, such as NO₂, below the aircraft using differential optical absorption spectroscopy (DOAS)³¹.

During TRACER-AQ, GCAS collected data over the Houston metropolitan area across 12 days during late
August and throughout September 2021. The flight strategy of the aircraft included flying the plane in a
'lawnmower' fashion with flight lines spaced 6.3 km apart, ensuring overlap at flight altitude (28,000 feet)
with the instrument field of view of 45 degrees creating one gapless map of NO₂ up to three times per flight
day. GCAS has a native pixel resolution of approximately 250 m × 250 m at flight altitude. Observations

92 from two of the flight days – a test flight (August 30) and a flight over the Gulf of Mexico (September 27)

93 are excluded from this study because they provided no meaningful data over Houston. Given the relatively

94 short timeframe of flight data collection; meteorological conditions have an influence on the fine-scale

- 95 patterns in NO₂ columns observations.
- 96 Air mass factors use modeled scattering weights and vertical profile information to account for altitudedependent sensitivities in remote-sensing observations^{32,33}. The original vertical profiles in the dataset were 97 98 derived from a global model, GEOS-CF³⁴, that had a coarser spatial resolution ($0.25^{\circ} \times 0.25^{\circ}$), but in this 99 study we only show GCAS column NO₂ measurements processed with vertical profile information from 100 CAMx. To directly compare GCAS measurements to the CAMx NO₂ column concentrations we re-grid 101 them to the fine-scale WRF-CAMx grid. Only cloud-free GCAS data is considered in this analysis. An 102 example of daily and monthly averaged GCAS data is shown in Figure 1. The differences in these two 103 timescales highlights the complexity of NO₂ variance in cities, such as Houston. Diurnal column NO₂ 104 patterns are shown in Figure S1.



Figure 1. GCAS Column NO₂ measurements during the September 2021 Houston TRACER-AQ field campaign. Left panel showing all GCAS measurements during ten flight days between September 1 - 26, 108 2021 averaged together. Right panel showing all measurements during the September 8, 2021 flight day averaged together. Areas of large NO_x emissions are labeled on both panels.

Pandora. Observations from three Pandora monitoring sites were available during the TRACER-AQ field campaign to validate the GCAS, satellite, and model column NO₂ measurements. Critical to this project, we found in previous work³⁰ that the GCAS measurements of column NO₂ during the TRACER-AQ campaign had an excellent correlation ($r^2 = 0.79$) and minimal normalized mean bias (NMB = +3.4%) when compared to measurements of the same quantity from Pandora instruments, suggesting that the GCAS measurements acquired during the TRACER-AQ campaign are very close to the "truth". The Pandora instruments were located in the suburban and urban neighborhoods.

117 **WRF-CAMx simulation**. For this study, a set of simulations were conducted employing version 4.3.3 of the Advanced Research Weather Research and Forecasting (WRF) model³⁵ jointly with the 118 Comprehensive Air Quality Model with Extensions (CAMx) v7.20³⁶ with the CB6r5 chemical mechanism 119 120 for a simulation period that matched the September 2021 TRACER-AQ domain and timeframe. The 121 36/12/4/1.33/0.444 km model domains can be seen in Figure S2. Prior work evaluated this WRF simulation 122 and found minimal systematic biases in surface-level wind speed, direction, temperature, and water vapor mixing ratio compared to observations from sixteen ground-level monitors³⁰. A longer description of the 123 124 WRF-CAMx model options is included in the supplemental including the WRF physics options (Table S1), 125 vertical layer mapping from WRF to CAMx (Table S2), and CAMx science options (Table S3). For 126 emissions in CAMx, we start with an emissions inventory developed by the TCEQ for the Dallas-Fort 127 Worth (DFW) and Houston-Galveston-Brazoria (HGB) Attainment Demonstration (AD) SIP revisions and 128 implement further minor changes as discussed in the Supplemental.

129 **Source Apportionment.** We used the CAMx OSAT source apportionment tool to track NO₂ from several 130 emission source sectors as listed in Table S4. To select individual electric generating units (EGUs) in our 131 0.444 km CAMx domain for NO₂ tracking we used a threshold of 0.8 tons per day of NO_x emissions. This 132 threshold identified nine EGUs shown in the first nine rows of Table S4. We also selected on-road mobile. 133 railyards, shipping and the George Bush Intercontinental (KIAH) and William P. Hobby (KHOU) airports 134 for NO₂ tracking. All remaining NO_x emissions were tracked together in the "other" category. Relevant for 135 this project, average weekday NO_x emissions for the 0.444 m domain were 372.9 tons per day (123 Gg/yr). 136 The total amount of NO_x emissions in each sector can be seen in Table S5 and Figures S3 and S4. Examples 137 of the NO₂ source apportionment can be seen in Figure 2.



Figure 2. Examples of six of the CAMx tagged surface NO₂ concentrations at 8:00 AM local time on
September 8, 2021.

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Flux divergence top-down emissions quantification. The flux divergence method can identify point sources in the TROPOMI NO₂ retrievals with higher resolution than averaged vertical column densities. The method was first applied over Riyadh, Germany and South Africa to estimate NO_x emissions from large point sources^{15,25}. Due to TROPOMI's higher spatial resolution compared with OMI, the flux divergence method can identify emissions within individual urban areas^{26,37–39}.

147 The flux divergence method works best with long temporal averages; for TROPOMI analyses, annual or 148 multi-year averages are used. We adapted the method for the current project to handle GCAS data from 27 149 individual scenes spanning 10 days. We found that the method worked best when the GCAS data was 150 oversampled to the 444×444 m² CAMx grid. Only pixels with an aircraft roll angle below 0.5° were used. 151 We interpolated the WRF-CAMx winds to the time of the GCAS overpass. We used second-order 152 differences and performed the flux divergences along the x/y axes (i.e., using the cells to the north, south, 153 east, and west of the central cell). We also calculated the flux divergence for the cross-terms (i.e., using the 154 cells to the north-east, south-west and north-west of the central cell). Averaging both the x/y 155 estimate and the cross-estimate led to smoother divergence fields with less noise.

The method was initially performed using the GCAS standard retrievals and the ERA5 wind reanalysis product⁴⁰. While this gave good results, we found that the level of noise was reduced and the known sources were better identified when we used the GCAS retrievals that were corrected using the CAMx air mass factors, and when we used the WRF-CAMx meteorology. These sensitivity tests revealed that CAMx simulations can be used to yield clear improvements in the flux divergence method.

161 **Multiple linear regression model.** For this study, we built a multiple linear regression (MLR) model 162 to find the optimal combination of the sectoral emissions simulated by CAMx that matches the GCAS 163 tropospheric vertical columns. CAMx simulations were made in Source Apportionment mode to separate 164 the NO₂ vertical column densities associated with the 15 individual sources (e.g., EGU) and groups of 165 sources (on-road mobile) (Table S4). In practice, some of the emission sources in OSAT are too close 166 together to be able to be clearly distinguished from each other. We therefore merged the following: 1. 167 Channelview Cogeneration Facility and Odyssey Energy Altura Cogen, LLC; 2. Deer Park Energy Center 168 and Pasadena Power Plant; 3. Texas City Cogeneration, South Houston Green Power Site, and the "other" 169 category. Equation 1 shows the MLR model: the tropospheric vertical column density of GCAS is 170 represented as an optimal combination of the CAMx VCD from the 10 contributing sectors with a residual 171 given by ε . In seeking an optimal match to the GCAS columns, it is important to apply a regularization term to prevent unphysical results⁴¹. The optimal parameters (β) were determined by minimising the cost 172 173 function in Equation 2. The residual ε is minimised subject to the regularization parameter λ applied to the 174 magnitude of the scale factors (β). Equation 2 can be solved in a single Least Squares inversion⁴¹. We 175 applied the MLR model to the entire field campaign, and we also performed simulations separately for 176 weekdays and for weekends.

177
$$VCD_{GCAS} = \sum_{i=1}^{10} \beta_i VCD_{CAMx,i} + \varepsilon$$
(1)

178
$$J = \|\varepsilon\|_2 + \lambda^2 \|\beta\|_2$$
(2)

179 The regularization term, λ in Equation 2, imposes a cost on the departure of the posterior emissions from 180 the prior emissions. In this work, we chose a value of 25 because it balances the desire to maximize the 181 improvements in the model (lower grid residuals) while minimizing the departure from the prior (lower 182 emission residuals). By selecting this value, we achieve most of the improvements in the correlation 183 coefficient of the model without ending up with unrealistic scaling factors. The algorithm then balances the 184 cost in the change of the emissions with the cost of the mismatch between the GCAS retrievals and the sum 185 of the scaled fields from the source apportionment simulations. We assume as a prior that all scaling factors 186 are 1.

187 **Results and Discussion**

- 188 The first step in this project is to qualitatively compare the GCAS column NO₂ measurements with
- 189 coincident measurements from TROPOMI and model output from CAMx. Spatial plots of column NO₂ in
- 190 the early afternoon at the TROPOMI overpass time are shown in Figure 3 for a 10-day average and a single
- day. By observing the 10-day average (top row), we can see that GCAS measurements are generally larger
- 192 than both the TROPOMI measurements and CAMx model output. TROPOMI captures the broad spatial
- 193 patterns observed by GCAS with a notable low bias. The TROPOMI low bias is partially driven by the 3.5
- 194 x 5.5 km² spatial resolution which is unable to capture the peaks of the NO_2 pollution, especially the NO_2
- in narrow point source plume⁴². Previous work shows that the air mass factor is a small contributor to this
- 196 low satellite bias in this area³⁰, but this can vary by region⁴³. The low satellite bias is consistent with
- 197 comparisons completed by the TROPOMI Cal-Val team⁴⁴.
- The GCAS versus CAMx intercomparison suggests that the point source plumes are captured by the model with decent accuracy, but that NO_2 is severely underestimated in the downtown area of Houston, as well as in the outer portions of the model domain. This suggests two issues with the NO_2 in CAMx: an underestimate of NO_x emissions near downtown Houston, and an underestimate of NO_2 advected into the model domain from the boundary conditions. In following sections, we explore these model biases quantitatively.



Figure 3. Column NO₂ over Houston from two remote sensing observational platforms, and CAMx model simulation in the early afternoon: 12 - 3 PM local time. Left column shows measurements from TROPOMI, center column shows measurements from GCAS, and right column shows the CAMx model. Top row shows measurements during all 10 flight days in September 2021, while bottom row shows September 8, 2021 only.

209 **NO**_x emissions using Flux divergence applied to GCAS. The flux divergence (FD) method applied to the GCAS aircraft data quantified NO₂ fluxes ($\mu g/m^2$). To our knowledge, this paper is the first to quantify 210 211 NO₂ fluxes by applying the FD method to aircraft remote sensing data. We identified many of the major 212 NO_x sources individually in the Houston CAMx domain: power plants and refineries as well as the IAH 213 international airport (Figure 4 left panel). In addition, the method identified the area of the ship channel as 214 well as the route of the ships sailing through the Galveston Bay. Finally, the method clearly identified the 215 major highways in the region. The center panel of Figure 4 shows the flux divergence method applied to 216 the CAMx simulation. In this case, the sources are known and so these simulations serve to evaluate the 217 accuracy of the method. The method clearly recovers the main point and line sources used in the CAMx 218 simulations. The right panel of Figure 4 shows the ratio of the NO₂ flux divergence (i.e., (CAMx-219 GCAS)/GCAS) for the GCAS grid cells exceeding $0.2 \,\mu \text{g}\text{-m}^2\text{-s}^{-1}$. Over the large point sources near the ship 220 channel, the values are a mix of positive and negative values suggesting that the emissions inventory is 221 relatively accurate in this location. Over highways, the values are strongly negative suggesting that actual 222 on-road emissions may be underestimated in the current inventory used as input to the CAMx model. In 223 theory, the 444 m spatial resolution model should be capturing the near-road NO₂ concentrations with the 224 same precision as the GCAS which has similar spatial resolution. Over the ship paths, especially closer to 225 the Gulf of Mexico, the values are positive suggesting that some of the ship NO_x emissions may be 226 overestimated in the inventory.



232 areas where the GCAS NO₂ fluxes are >0.2 ug/m²-s.

233 Use of machine learning to estimate emission factors for individual sectors. We applied the 234 MLR model to CAMx source apportionment NO₂ sectoral output and GCAS NO₂ data, as shown in 235 Equations 1 and 2, to estimate scaling factors for the sectoral NO₂. All median scaling factors were between 236 a value of 0.5 and 2.5 as shown in Figure 5. A median value below 1 indicates the sector needs a NO_x 237 decrease, while a median value greater than 1 indicates the sector needs a NO_x increase. The box-and-238 whisker plots represent the uncertainties of the scaling factors quantified by bootstrapping on two different 239 levels. The most important level for bootstrapping was randomly selecting, with replacement, the GCAS 240 rasters included in the optimization. For the full time series, there were 27 rasters over 10 days. In addition 241 to performing the simulations for these 27 rasters, we performed 100 simulations with random selections 242 of the 27 rasters. The second level for bootstrapping was to randomly select grid blocks within each raster 243 for use in the analysis. We randomly select 7 x 7 blocks of cells within the CAMx grid cells and include 244 them until we have the same number of points as in the initial grid. We did this 100 times for each selection 245 of rasters, leading to a total of 10,000 simulations.

246 The box-and-whisker plots in Figure 5 show that on-road mobile NO_x emissions representing 19% of 247 domain emissions may be underestimated in the model and need to be scaled up by a median factor of 1.68, 248 which is consistent with findings using the FD method. Similarly, the MLR found railyard NO_x emissions 249 should by increased by a median factor of 1.5. In contrast, the shipping NO_x emissions representing 17% 250 of domain emissions may be overestimated and should be scaled by a median factor of 0.77, which is also 251 consistent with findings using the FD method, though it should be noted that the sign of the shipping 252 adjustment changes with the regularization factor. The EGU point sources are close to a scale factor of one, 253 which is expected given the use of emissions obtained from CAMPD measurements. The "other" sources 254 representing 54% of domain NO_x emissions are underestimated, although this factor is particularly sensitive 255 to the regularization factor because it encompasses a diverse set of point and nonpoint sources. Finally, the boxplot suggests that the NO₂ background concentration is underestimated by CAMx by a median value of 256 0.7×10^{15} molec/cm², which equates to a scaling factor of 2.2, and is consistent with qualitative findings 257 258 discussed earlier.



259 260

Figure 5. Box-and-whisker plot of scaling factors obtained from the Multi Linear Regression Model with

261 100 bootstrapped selection of rasters each consisting of 100 bootstrapped selection of grid blocks included

262 in the analysis. Percentages show the fraction of domain-wide NOx emissions from each sector. 263

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264 **Results from an "Optimized NOx" CAMx simulation.** We then performed a new "Optimized NOx" 265 CAMx simulation with on-road mobile and shipping NO_x emissions adjusted to be in alignment with 266 findings from using the MLR. On-road mobile NO_x emissions were increased by a factor of 1.68, and 267 shipping NO_x emissions were decreased by multiplying by a factor of 0.77. Despite a large change to the 268 on-road NO_x, the total NO_x emissions in the domain only increased by 8.6% from 373 to 405 tons per day 269 (Table S5). We chose not to adjust the railyards and airport sectors because combined they represent less 270 than 3% of domain NOx emissions. We chose not to adjust the "other" sector or background / boundary 271 NO₂ since there is no straightforward modification for those contributions.

When comparing the column NO₂ from the Baseline and "Optimized NOx" simulations to the GCAS measurements, we found better agreement and an improvement in bias, albeit a smaller improvement than we had expected (Figure 6). The column NO₂ low bias improved from -30.6% to -25.2% with a small increase in correlation from r²=0.78 to r²=0.80. When we further add an artificial 0.7 x 10¹⁵ molec/cm² column NO₂ enhancement domain-wide – value acquired from the MLR – the NO₂ low bias improves further to -10.0%. The remaining low bias is likely due to not adjusting the "other" NO_x emissions, which represented 54% of domain NO_x emissions in the Baseline simulation.



280Figure 6. CAMx column NO2 evaluated against the same quantity from GCAS measurements. Left panel281is the baseline simulation. Center panel is the "Optimized NOx" simulation (1.68x on-road mobile and 0.77x282shipping). Right panel is "Optimized NOx" simulation + an additional 0.7 x 10¹⁵ column NO2 background283NO2 using scaling factor from the MLR.

When comparing the column NO₂ from the Baseline and "Optimized NOx" simulations to the Pandora measurements, we found better agreement and an improvement in bias, albeit a smaller improvement than we had expected (Figure 7). The column NO₂ low bias improved from -20.2% to -17.8% with a small increase in correlation from $r^2=0.39$ to $r^2=0.41$. However, much of the apparent low bias appears to be driven by the large Pandora measured values. When then exclude values exceeding 10e15 molec/cm² – which approximate fine-scale NO₂ plumes that we cannot expect CAMx to recreate in space due to the need to match wind speed and direction. For moderately polluted scenes (values <10e15), the NO₂ low bias is

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only -6.8%. When evaluating the new model simulation improved from -6.8% to -3.4%; the correlation is
notably poor in both scenarios due to the smaller range of values.



Figure 7. CAMx vs. Pandora total column NO₂ intercomparisons. Left column shows intercomparisons during all conditions. Right column shows intercomparisons during moderately polluted and clean conditions ($< 10 \times 10^{15}$ molec/cm²). Top row shows the baseline CAMx simulation. Middle row shows the "Optimized NOx" CAMx simulation. Bottom row shows the "Optimized NOx" CAMx simulation + Background NO₂ correction (a uniform addition of 0.7 x 10¹⁵ molec/cm²). Different colors represent the three Pandora measurement sites as discussed in Nawaz et al., 2024.

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Figure 8 shows a ratio difference plot of modeled column NO₂ between the Baseline and "Optimized NOx" simulations. The largest NO₂ enhancements in the "Optimized NO_x" simulation occurred in areas of west Houston where there were no Pandora measurements (denoted as square boxes on the figure). The maximum change in column NO₂ was an increase of 29.6% in west Houston near a major highway intersection, with a median column NO₂ enhancement of +4.2% across the full model domain. Although the model domain NO_x emissions increased +8.6%, column NO₂ only increased +4.2% because a substantial amount of NO₂ originates beyond the model domain.



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Figure 8. Column NO₂ ratio plot between the CAMx "Optimized NOx" simulation vs. CAMx baseline simulation during the early afternoon (12:00 - 15:00 local time).

310 When comparing the MLR results between the Baseline and Optimized NOx simulations, we see substantial 311 improvement in the coefficients attributed to on-road and shipping emissions (Figure 9), while all other 312 coefficients remain largely steady. This indicates both the robustness of the method as well as a strong 313 indication that the adjustments made to the NO_x emissions were appropriate. The on-road NO_x emissions 314 may have been slightly overcorrected, and the "other" NOx emissions still need an adjustment up. As 315 expected, the coefficient attributed to the background NO₂ did not change, and this would be more difficult 316 to control without modifying the boundary conditions or the NO₂ lifetime. A longer discussion on the 317 influence of NO₂ long-range transport on the MLR can be found in the supporting information.



318 319 Figure 9. Box-and-whisker plot of scaling factors of the baseline and updated CAMx simulation obtained 320 from the Multi Linear Regression Model with 100 bootstrapped selection of rasters and 100 bootstrapped 321 selection of grid blocks to include in the analysis.

322 **Discussion.** In this project, we were able to conduct a thorough analysis of NO_x emissions in Houston, 323 Texas during September 2021 during the TRACER-AQ campaign. Prior work found column NO₂ from 324 GCAS to have excellent agreement with Pandora measurements $(r^2=0.79 \text{ and NMB of } +2.4\%)^{30}$, suggesting 325 it can be used as the "truth" in validating the column NO2 CAMx model simulation. Column NO2 from 326 CAMx showed a substantial low bias when compared with Pandora (-20%) and GCAS measurements (-

327 31%), suggesting an underestimate of local NO_x emissions.

- 328 This study expands upon previous work³⁰ by applying additional measures to identify and quantify the
- 329 magnitude of NO_x emissions. The FD method was able to distinguish the linear shape of major highways,
- 330 many of the large point sources, and the Galveston Bay ship track. The NO₂ FD comparison between
- 331 CAMx and GCAS shows underestimates at highway locations. Through a multiple linear regression
- 332 model, we were able to isolate on-road, railyard, and "other" NO_x emissions as the likeliest cause of this
- 333 low bias, while simultaneously finding that shipping NO_x emissions may be overestimated. A new
- 334 "Optimized NO_x" simulation was performed with on-road NO_x emissions increased by a factor of 1.68
- 335 and shipping NO_x emissions decreased by a factor of 0.77, and confirmed that these NO_x adjustments
- 336 made to the inventory were reasonable and yielded better agreement with NO₂ measurements acquired
- 337 during the TRACER-AQ campaign.
- 338 To our knowledge, this is the first time a source apportionment model was coupled with aircraft
- 339 measurements to identify uncertainties in the gridded NO_x emissions inventory. Our analyses were

- 340 primarily conducted using column NO₂ instead of surface NO₂ to diagnose NO_x emissions since vertical
- 341 mixing can be a source of error in a surface-only comparison. With finer spatial resolution and more
- 342 numerous NO₂ measurements now available from TEMPO starting in August 2023, it is feasible that a
- 343 similar analysis could be conducted using satellite data. This project provides actionable information to
- 344 policymakers looking to understand where there are further opportunities to reduce NO_x emissions and
- 345 improve ozone and PM_{2.5} air quality in metropolitan areas.

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Description of author's responsibilities. D.G., L.J., B.D. and G.Y. developed the project design. J.J. and G.Y. set-up and conducted the WRF-CAMx simulations. L.J. and the TRACER-AQ science team measured and processed the GCAS and Pandora Data. D.G downloaded and processed the TROPOMI NO₂ data and re-gridded all data to the WRF-CAMx grid. B.D. performed the flux divergence and ran the regression model. D.G., B.D., and M.O.N developed figures for the manuscript. D.G. and B.D. wrote the paper. All authors edited the manuscript.

- 363
- 364 **Data availability.** NO₂ observations from GCAS are available here:
- 365 https://doi.org/10.5067/ASDC/SUBORBITAL/TRACERAQ/DATA001/GV/AircraftRemoteSensing/GC
- 366 AS 1. The publicly available GCAS measurements (version R2) include a version of the dataset with
- 367 reprocessed AMFs to include NO₂ vertical profile estimates from the fine-scale ($444 \times 444 \text{ m}^2$) WRF-
- 368 CAMx simulation used in this analysis. TROPOMI NO₂ column data are publicly available from the
- 369 Copernicus Data Space Ecosystem: https://dataspace.copernicus.eu. Pandora NO₂ data are available here:
- 370 https://asdc.larc.nasa.gov/project/TRACER-AQ/TRACERAQ_Pandora_Data_1. WRF-CAMx output for
- 371 NO_2 and O_3 are available upon request.

372 **References**

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