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# Accelerated end-to-end chemical synthesis development with large language models

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- 16 Abstract

17 The rapid emergence of large language model (LLM) technology presents significant 18 opportunities to facilitate the development of synthetic reactions. In this work, we leveraged the power 19 of GPT-4 to build a multi-agent system to handle fundamental tasks involved throughout the chemical 20 synthesis development process. The multi-agent system comprises six specialized LLM-based agents, 21 including Literature Scouter, Experiment Designer, Hardware Executor, Spectrum Analyzer, 22 Separation Instructor, and Result Interpreter, which are pre-prompted to accomplish the designated 23 tasks. A web application was built with the multi-agent system as the backend to allow chemist users 24 to interact with experimental platforms and analyze results via natural language, thus, requiring zero-25 coding skills to allow easy access for all chemists. We demonstrated this multi-agent system on the 26 development of a recently developed copper/TEMPO catalyzed aerobic alcohol oxidation to aldehyde 27 reaction, and this LLM multi-agent copiloted end-to-end reaction development process includes: 28 literature search and information extraction, substrate scope and condition screening, reaction kinetics 29 study, reaction condition optimization, reaction scale-up and product purification. This work showcases the trilogy among chemist users, LLM-based agents, and automated experimental platforms
 to reform the traditional expert-centric and labor-intensive reaction development workflow.

#### 3 Introduction

4 Designing proper chemical synthesis reactions and routes towards target compounds is one of 5 core tasks during both drug discovery and process development, requiring significant time and cost 6 investments<sup>1</sup>. Currently, due to the enormous design space and necessity of experimental validation, 7 this process mainly relies on expert chemists and chemical engineers to go through iterative designmake-test-analyze cycles to identify an efficient synthesis route<sup>2,3</sup>. The multifaceted and complex 8 9 requirements for synthesis reaction design, such as efficiency, cost, sustainability, safety, scalability, 10 and impurity control, make it hard to formulate this task into a well-defined problem that can be tackled algorithmically and autonomously without customized inputs and decisions from experts<sup>4</sup>. 11

12 The recent advancement of machine learning (ML) technologies has shown great potential in expediting various subtasks during the synthesis design<sup>5,6</sup>. Notable examples include deep learning 13 based quantitative structure-activity relationship (QSAR) model facilitating drug molecule design<sup>7,8</sup> 14 15 and catalyst design<sup>9</sup>, rapid identification of promising synthetic routes using machine-learning-aided synthesis planning<sup>10,11</sup>, guiding automated high-throughput experimental platforms to search for 16 optimal reaction conditions<sup>12–15</sup>, and direct translation of multistep synthesis procedures from literature 17 to experimental execution via natural language processing (NLP) models<sup>16</sup>. Despite this rapid 18 19 involvement of machine learning methods in synthesis related tasks, the monolithic input-to-output 20 nature of existing machine learning methods makes them to only function as powerful single-purpose 21 tools for experts, while the goal of fully autonomous end-to-end synthesis reaction design and 22 development still remains to be realized.

23 In November 2022, OpenAI released the large language model (LLM) based ChatGPT tool, 24 marking a significant leap towards the artificial general intelligence (AGI). The enormous knowledge 25 and information packed in the LLM enables it to make decisions flexibly according to the complex 26 and non-standardized inputs (prompts). LLM-based agents, characterized by their strong generalization abilities and broad applicability, have demonstrated significant advancements in 27 language proficiency and interaction with humans<sup>17,18</sup>. Motivated by the outstanding performance of 28 29 these agent, scholars have explored and exploited their capability in the various tasks of chemical and material research, such as literature mining<sup>19-22</sup>, molecule and material design<sup>23-25</sup>, reaction condition 30 recommendation and optimization  $^{22,26-28}$ , and lab apparatus automation  $^{27-29}$ . 31

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**Fig. 1** | **Overview of LLM-based multi-agent system for reaction development. a)** Workflow for chemical synthesis development facilitated by LLM technology, and comparison with representative published works. (The gray lines denote the involvement of LLMs). **b)** Diagram illustrating the interactions between human chemists and the LLM-based agents for performing tasks in the synthesis reaction development. **c)** The web application with LLM-based agents as backend for end-to-end reaction development.

8 The existing reports of LLM-based agents showed scattered coverage of the stages in chemical 9 synthesis reaction development but have not presented a path to fully exploit the potential of LLM-10 based agents in the entire development process. Herein, we demonstrate a unified LLM-based reaction 11 development framework (LLM-RDF) to explore the universality and performance of LLM-based 12 agents in the entire of chemical synthesis reaction development process (Fig. 1a). The findings of this 13 work serve to map out the viable path to the autonomous end-to-end chemical synthesis development 14 using the emerging LLM technology.

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#### 1 **Results and discussion**

#### 2 LLM-based agents for end-to-end chemical synthesis reaction development

3 A typical chemical synthesis reaction development workflow consists of five steps: (1) literature 4 search and information extraction, (2) substrate scope and condition screening, (3) reaction kinetics 5 study, (4) reaction condition optimization, and (5) reaction scale-up and product purification. To 6 exploit the capabilities of LLM facilitating this development process, we developed a set of LLM-7 based intelligent agents in LLM-RDF to handle the fundamental tasks necessary to complete the development steps above (Fig. 1b). These agents were constructed based on GPT-4 model<sup>30</sup> to 8 9 maximize their capabilities in context understanding and chemical knowledge reasoning. In addition, 10 they were pre-promoted using customized instructions and documents to achieve consistent behavior 11 and performance for a specific task. These intelligent agents include: (1) Literature Scouter: An agent 12 (based on GPT-4 application, Consensus<sup>31</sup>) leverages LLM's capability to understand user's reaction 13 search request and lookup most relevant literatures in academic journal database, and extract reaction 14 condition and procedures from the literature document. (2) Experiment Designer: An agent translates 15 reaction processes and parameters described in natural language into standardized reaction execution 16 protocols to interface with experimental platforms. (3) Hardware Executor: An agent composes 17 automation hardware running codes according to the reaction protocols such that no manual coding 18 work would be required to execute the experiments. (4) Spectrum Analyzer: An agent processes raw 19 spectral data obtained from analytical apparatus (e.g., gas chromatograph and NMR) to identify the 20 target compound peaks and calculate the corresponding product yield. (5) Separation Instructor: An 21 agent instructs to identify the appropriate thin-layer chromatography (TLC) eluent composition which 22 will be used for the subsequent flash column chromatograph separation. (6) Result Interpreter: An 23 agent interprets and concludes reaction results based on fundamental chemical knowledge.

24 With the set of LLM-based agents developed above, we created a web application to allow users 25 to access them using natural language in a centralized manner, such that no coding was required during 26 the synthesis reaction development (Fig. 1c). After agents receive prompts and related reference 27 documents from the users describing the chemical task, they will analyze the requests and infer the appropriate responses or solutions through in-context learning<sup>32</sup>. If necessary, they would employ 28 29 external tools to enhance their capability to respond information out of the scope of the LLM 30 knowledge itself, including Python interpreter, academic database search, and self-driven reaction 31 optimization algorithms. In addition, there is a chain-of-thought mechanism to allow agents to interact 32 with these tools step-by-step, thus maximizing their reasoning capability. Despite the advanced 33 intelligence of GPT-4 model used for these agents, human chemists are still necessary in the decisionmaking loop, responsible for evaluating the correctness and completeness of agents' responses and
deciding whether to directly implement their suggestions or further communicate with them to tweak
the responses.

4 To explore and evaluate the capabilities of the engineered LLM-based agents, we opted alcohol 5 oxidation to the corresponding aldehyde as the model transformation considering the prevalent 6 presence of hydroxyl and carbonyl functional groups in the fine chemical and pharmaceutical compounds<sup>33</sup>. In particular, the synthesis sustainability has been one of the key considerations of the 7 8 future green chemistry. Compared to the hazardous oxidants used for alcohol oxidations, such as 9 chromium(VI) compounds<sup>34</sup>, Dess-Martin periodinane<sup>35</sup>, pyridinium chlorochromate (PCC)<sup>36</sup>, and manganese dioxide  $(MnO_2)^{37}$ , molecular oxygen from air is a promising terminal oxidant due to its 10 low cost and high atomic efficiency with minimal wastes generated<sup>38</sup>. Moreover, the implementation 11 12 of molecular oxygen as the oxidant could operate under milder conditions, thus tolerating sensitive 13 functional groups and enabling improved selectivity in the oxidation of alcohols to aldehydes. Thus, 14 we focused on the search, screening, optimization, and scaling-up of an aerobic alcohol oxidation 15 protocol to demonstrate the key roles of LLM-based agents during such a typical synthesis 16 development process, hoping to illustrate the potentials of LLM in the practical synthesis development 17 beyond the existing chemistry-knowledge-oriented chatting.

#### 18 Literature search and information extraction

19 To initiate the synthesis development of the aerobic alcohol oxidation to the corresponding 20 aldehyde, instead of manually finding relevant reports in conventional academic search engines (e.g., 21 SciFinder and Web of Science), we directly input the request to Literature Scouter agent with 22 "Searching for synthetic methods that can use air to oxidize alcohols into aldehydes" prompt. 23 Leveraging vector search technologies, Literature Scouter automatically sifted through the Semantic 24 Scholar database containing over 20 million academic literatures. The use of the Semantic Scholar 25 database instead of relying on the LLM's knowledge (i.e., training data used by OpenAI to train GPT-26 4) ensured the accuracy of the chemistry details with proper references (Fig. 2b).

Among the various methods given by Literature Scouter (Fig. 2b), we continued to query which method had the greatest potential for practical applications. Literature Scouter recommended the recently developed copper/TEMPO dual catalytic system developed by Stahl group<sup>39</sup> as this method outpaced others in the aspects of the environmental sustainability, simplicity, safety, chemoselectivity, and substrate compatibility (Fig. 4a). After manually evaluating other recommended methods, this copper/TEMPO catalytic chemistry indeed avoids the use of heterogeneous catalysts<sup>40</sup>, high-cost palladium catalysts<sup>41</sup>, or light irradiation<sup>42</sup> used in other approaches, proving to have claimed potentials in practical applications as suggested by the Literature Scouter. In addition, the chemoselective oxidation of the target hydroxyl group in diols or polyols is attractive in practice as function group protection and deprotection would not be required, reducing the number of steps required to synthesize the target compound. The literature Scouter recognized the capability of copper/TEMPO catalytic system was able to selectively oxidize primary alcohols in presence of the secondary alcohols on the same molecule (Fig. 2b).



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9 Fig. 2 | LLM-based agents facilitated literature search and information extraction. a) Workflow 10 for literature search and information extraction copiloted by Literature Scouter agent. b) The 11 interaction between human chemists with Literature Scouter. The dialogue presented in the figure is 12 simplified for the illustrative purpose, and see details in Supplementary Information Section 2.

Having identified the target transformation, we next turned to extract the detailed reaction conditions for this catalytic system. The literature document was provided to Literature Scouter to summarize the detailed experimental procedures and options for various reagents and catalysts. This information served as the basis for the subsequent experimental exploration of this chemistry (Fig. 2b).

17 As demonstrated in the task of method search and information extraction from literature, 18 Literature Scouter demonstrated its capability to assist researchers to identify the possible 1 methodologies necessary to achieve the target transformation under desired conditions, and extracting 2 the required experimental details for executing the reaction. Compared to conventional workflow for 3 identifying the proper chemistry from literature database, Literature Scouter alleviated the labor-4 intensive tasks of literature searching and reviewing, thus significantly expedited the process and 5 lowered the expertise requirement. Especially, when Literature Scouter was connected to an up-to-date 6 academic journal database, it could propose the new chemistries that were not included in the LLM 7 model pre-training process (Supplementary Information Section 2.1-2.2).

#### 8 Methodology substrate scope and condition screening

9 With the literature reported aerobic alcohol oxidation protocol in hand, understanding the 10 substrate scope under various reaction conditions for a methodology is essential for selecting the 11 suitable reaction conditions based on the target compound structure in practical synthesis. It is typically 12 challenging to predict the reaction yield based on first-principle theories, while recently emerging 13 machine learning based methods need a decent amount of experimental data to train the neural model for accurate predictions  $4^{43-45}$ . Thus, the second step for synthesis development process generally 14 involves the exploration of substrate scope for a specific protocol. The recent development of 15 16 automated high-throughput screening (HTS) technology has been proven as a powerful tool to accelerate the experimental data acquisition for these substrate scope studies<sup>46,47</sup>. However, HTS 17 18 technology is still not a routine tool that synthesis practitioners would use on their daily reaction 19 development workflows. Apart from the high costs of the required HTS hardware (e.g., liquid handler 20 platform, automated synthesis platform, and HTS analytical apparatus), the time-consuming 21 programming for executing the automation platforms and manual analysis of large amount of HTS 22 results create barriers for chemists with minimal coding experience to use HTS technology in their 23 routine workflows.



2 Fig. 3 | LLM-based agents facilitated substrate scope and condition screening. a) Workflow for 3 substrate scope and condition screening copiloted by Experiment Designer, Hardware Executor, 4 Spectrum Analyzer, and Result Interpreter agents. b) The aerobic alcohol oxidation reaction screening 5 task described in natural language for subsequent LLM-based agent understanding and OT-2 liquid 6 handler reaction execution. c) The interaction between human chemists with Spectrum Analyzer for 7 GC-FID-MS result analysis (see detailed interaction dialogues in Supplementary Information Section 8 3.2.2). d) The mass spectra for the retention time within 4.243-4.302 min, matched with substrate 3a 9 by Spectrum Analyzer. e) The mass spectra for retention time within 3.746-3.800 min, matched with 10 product **3b** by Spectrum Analyzer. **f**) Visualization of peak area integration for substrate **3a** and product 11 3b by Spectrum Analyzer using GC-FID data.

To tackle the above-mentioned challenges, we implemented Experiment Designer, Hardware Executor, Spectrum Analyzer, and Result Interpreter agents to automate HTS investigation of the substrate scope, such that the barrier for routine usage of HTS technology could be significantly lowered. The HTS substrate scope study consists of a series of subtasks, including HTS experiment design, automated HTS experiments, gas chromatography (GC) analysis, and results analysis (Fig. 3a).

6 In HTS experiment design, Experiment Designer agent parsed the HTS experiment task described 7 in natural language into a standardized experimental procedure that could be displayed in the web 8 application (Supplementary Information Section 3.1.1). To execute the HTS task, we chosen the 9 Opentrons liquid handler (OT-2) as the automated reaction screening platform since the Cu/TEMPO 10 catalyzed aerobic alcohol oxidation reaction only involved soluble reagents. In addition, the OT-2 liquid handler has a well-written Python API document, based on which Experiment Executor agent 11 12 could compose liquid handler running code. Thus, Hardware Executor converted the standardized HTS 13 experimental procedure to OT-2 execution codes to load the necessary labware and pipettes, plan the 14 storage locations for stock solutions, prepare the reaction mixtures as dictated by the experimental procedures, and shake the well plate to perform the aerobic alcohol oxidation. With the seamless 15 16 transition from HTS task described in natural language to automated reaction execution, two rounds 17 of HTS experiments were conducted, and each round contained a full factorial screening of six alcohol 18 substrates (six monols for the first round and six diols for the second round), four copper catalysts 19 [CuCl<sub>2</sub>, CuBr<sub>2</sub>, Cu(OTf)<sub>2</sub> and Cu(BF<sub>4</sub>)<sub>2</sub>], and two bases [N-Methylimidazole (NMI) and 1,8-20 diazabicyclo-[5.4.0]undec-7-ene (DBU)].

21 After the HTS experiment, the products were characterized with gas chromatography with parallel 22 flame ionization detector and mass spectrometer (GC-FID-MS). The use of parallel FID and MS 23 detectors enabled the simultaneous identification and quantification of the components in the reaction 24 crudes. Instead of labor-intensive manual identification of peaks for reactants and peaks and yield 25 calculation, Spectrum Analyzer agent was used to automated this process (see details in Supplementary 26 Information Section 3.2.2). Specifically, GC-FID-MS analysis instructions and the raw chromatogram 27 data, including FID intensity chromatogram and total ion chromatogram (TIC) from MS detector, were 28 provided to Spectrum Analyzer. It could identify the corresponding reactant and product peaks in TIC 29 by looking for their characteristic fragmentation patterns, and calculated the reaction yield based on 30 FID intensity chromatogram. With phenylpropynol (3a) and the corresponding product 31 phenylpropynal (3b) as an example, Spectrum Analyzer thought that 3a should have a 132 mass to 32 charge (m/z) ratio signal for the molecule itself and 115 m/z signal for the fragment resulting from the 33 loss of a hydroxyl group, and **3b** should have 130 m/z signal for the molecule itself and 102 m/z signal for the fragment resulting from the loss of the carbonyl group. Subsequently, Spectrum Analyzer wrote a Python code to search the TIC data for mass spectrometry peaks containing the characteristic m/zsignals and determine the retention times of the substrate and product (Fig. 3d-e). Next, Spectrum Analyzer integrated the FID peak areas at the substrate and product retention times to determine the reaction yield (assuming that the response factors of the products and substrates are the same in FID) (Fig. 3f). The yields obtained by Spectrum Analyzer were consistent with those derived from manual analysis using chromatography software.







Finally, we utilized Result Interpreter agent to summarize HTS results and explain observed patterns based on fundamental chemistry knowledge (Supplementary Information Section 3.3.2). Result Interpreter recognized that DBU base significantly outperformed NMI, and the reactivity of

1 copper salt followed the order of  $CuCl_2 < CuBr_2 < Cu(OTf)_2 \sim Cu(BF_4)_2$ . In addition, it concluded that 2 electron-withdrawing functional groups near the hydroxyl group (e.g., aromatic rings or unsaturated 3 carbon bonds) could increase the oxidation reactivity, which was consistent with chemistry principles<sup>48,49</sup>. However, Result Interpreter's ability to conduct further in-depth analysis was still 4 5 limited with existing GPT-4 model as the backend. For example, in explaining why diol 9a and 10a 6 exhibited no reaction in any condition tested, it could only suggest superficially that the arrangement 7 of functional groups or the spatial configuration of the molecules might play a role. The literature-8 proposed mechanism involves the chelation of copper catalyst by the vicinal diol substrates (9-10a) to 9 form an unreactive Cu-phenolate species, thus deactivating the copper catalyst<sup>39</sup>. This limitation should stem from that GPT-4 was trained with publicly available information (i.e., only including 10 11 general chemistry knowledge), and advanced coordination chemistry knowledge and reaction specific 12 behavior reported by professional chemistry journals were beyond the knowledge space of the GPT-4. However, this lack of advanced chemistry knowledge should be solved by further feeding the LLM 13 14 with domain-specific information.

#### 15 Reaction kinetic modeling

16 As mentioned earlier, this copper/TEMPO catalytic system prefers to oxidize primary hydroxyl 17 group compared to secondary hydroxyl group. We observed that dimethyl sulfoxide (DMSO) solvent 18 (used in the HTS experiment) gave superior primary alcohol (12a) oxidation chemoselectivity compared to acetonitrile (MeCN) solvent (used in the literature<sup>39</sup>) (Fig. 5b). To investigate the 19 20 observed solvent effects, Experiment Designer agent suggested that we could conduct oxidation 21 kinetics study for different solvent. Recently, automated kinetic profiling has become an efficient tool to help researchers establish reaction kinetic models $^{50-52}$ . However, similar to the HTS technology 22 23 discussed above, it is still not a routine tool used in process development due to the high entry barrier 24 for mastering automated hardware and intricate programming involved in fitting kinetics models. In 25 order to avoid extensive coding and data analysis, Experiment Designer, Hardware Executor, Spectrum 26 Analyzer, and Result Interpreter agents orchestrated to complete the kinetic study task, consisting of 27 subtasks including kinetics experiment design, automated sampling experiments, proton nuclear 28 magnetic resonance (<sup>1</sup>H NMR) analysis, and kinetic model fitting and analysis (Fig. 5a).





2 Fig. 5 | LLM-based agents facilitated reaction kinetic study. a) Workflow for reaction kinetic 3 modeling copiloted by Experiment Designer, Hardware Executor, Spectrum Analyzer, and Result 4 Interpreter agents. b) Comparison of the yields for products and overoxidation byproducts of diol 12a 5 for different and copper catalysts. c) The interaction between human chemists with LLM-based agents 6 for reaction kinetic study (see detailed interaction dialogues in Supplementary Information Section 4). d) Characteristic <sup>1</sup>H NMR peaks identified by Spectrum Analyzer for calculations of reaction samples' 7 8 compositions. e) The time-course concentration profile in DMSO solvent, and the fitted reaction 9 kinetic curves given by Result Interpreter.

1 In kinetics experiment design, Experiment Designer planned a sampling schedule for time-course 2 data collection. To provide an approximate reaction rate information for experimental design, we 3 firstly monitored the reaction via TLC, and found that substrate 12a was rapidly consumed within the 4 initial first hour reaction time and the reaction slowed down afterwards. Based on this observation, 5 Experiment Designer proposed a sampling schedule spanning a 10-hour reaction period. Samples were 6 to be collected at 10, 20, 30, 40, 50, 60, 120, 240, 360, 480, and 600 minutes, such that denser data 7 points could be obtained during the early stage of the reaction when the reaction rate was large. 8 Subsequently, Hardware Executor agent generated the OT-2 running code based on the experimental 9 design proposed by the Experiment Designer. The coded OT-2 liquid handler procedure contained a 10 series of operations for sampling, such as stopping the reaction's shaking, pipetting to sample, 11 quenching the reaction in the sample, and resuming shaking. The compositions of the sampled reaction crude were analyzed by <sup>1</sup>H NMR. Instead of manual analysis of the NMR data, we provided Spectrum 12 Analyzer agent with <sup>1</sup>H NMR spectra and approximate chemical shifts for characteristic hydrogen 13 14 atoms in the substrate, product, and byproducts (overoxidation products). Spectrum Analyzer wrote a 15 Python program according to the API documentation for the TopSpin NMR processing software to 16 automate the analysis of NMR data, the procedure of which included identifying target peaks, 17 performing peak integration, and calculating the compositions of the samples (Fig. 5d).

Next, providing the obtained kinetics experiment results to Result Interpreter agent, it fitted the time-course data to the kinetic model equations. The reaction rate for substrate to product followed saturation kinetic dependence on the substrate alcohol (Eq.(1)<sup>53</sup>, and in addition, the product overoxidation was assumed to be a first-order reaction (Eq.(2). Result Interpreter calculated the corresponding reaction rate constants ( $k_1$ ,  $k_2$ ,  $k_3$ ), and the proposed kinetic models fitted well with the experimental data with the coefficients of determination (R<sup>2</sup>) of 0.995 (Fig. 5e and Fig. S12).

$$r_{Product} = \frac{k_1 k_2 C_{Substrate}}{1 + k_1 C_{Substrate}} \tag{1}$$

$$r_{Byproducts} = k_3 C_{Product} \tag{2}$$

Result Interpreter further concluded that the rate constant for the product overoxidation  $(k_3)$  was larger in MeCN than that in DMSO, indicating that the product overoxidation rate had strong dependence on the reaction solvent choice (Supplementary Information Section 4.5). This analysis highlighted that the Result Interpreter agent had the ability to understand the underlying kinetics behind the observed the reaction selectivity. Even though we provided the kinetic model to the Result Interpreter here without demonstrating the agent's ability to identify the suitable kinetic model among various possible kinetic models, the self-driven kinetic model identification has already been shown
to be feasible using conventional statistical or machine-learning algorithms<sup>54,55</sup>, which should be able
to be integrated into the LLM kinetic analysis workflow.

#### 4 Self-driven reaction condition optimization

5 When a specific target compound is determined for process development towards manufacturing, 6 reaction condition optimization is necessary to improve the synthesis efficiency along with other considerations (e.g., costs and impurity generation)<sup>56</sup>. Instead of traditional manual one-factor-at-time 7 (OFAT) optimization, the recent development of optimization algorithms, such as Bayesian 8 optimization (BO)<sup>13,15,57</sup>, Nelder-Mead Simplex<sup>58</sup>, stable noisy optimization by branch and fit 9 (SNOBFIT) algorithm<sup>59</sup>, and the mixed-integer nonlinear program (MINLP) algorithm<sup>60</sup>, have enabled 10 11 the automated experimental platforms to perform closed-loop reaction optimization in an autonomous 12 manner. However, akin to the HTS technology mentioned previously, the steep learning curve 13 associated with mastering automated hardware and optimization algorithms prevents the widespread 14 adoption of the self-driven reaction optimization workflow as a routine tool in process development, 15 despite its demonstrated effectiveness.

16 To address this challenge, we employed Experiment Designer and Hardware Executor agents as 17 the backend of a reaction optimization module within our developed web application, such that users 18 could interface with the reaction optimization hardware system via natural language. This hardware 19 system is a robotic platform capable of performing end-to-end reaction and analysis, and the closed-20 loop reaction optimization was driven by a Bayesian optimization algorithm. Specifically, an 21 automated synthesis equipment (Unchained Big Kahuna) conducts the chemical reactions, which are 22 then analyzed by a high-performance liquid chromatography (HPLC) to provide result feedbacks to 23 the BO for suggesting the next-round reaction candidates. The capability of LLM as an optimizer has 24 been evaluated in recent publications. However, although the LLMs have shown superior performance 25 for optimizing reactions with clear kinetics or prior knowledge, they still fell behind statistical optimization algorithms (e.g., Bayesian optimization) for complex reaction systems<sup>26,28</sup>. 26



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**Fig. 6** | **LLM-based agents facilitated self-driven reaction condition optimization. a)** The LLM-based agents copiloted self-driven reaction optimization system. The evolution profile of **b**) yield and **c**) PI value during the closed-loop reaction optimization process driven by the BO. **d**) Result Interpreter's recommendations on whether reaction optimization should be terminated at 6<sup>th</sup>, 12<sup>th</sup>, 22<sup>nd</sup>, and 26<sup>th</sup> experiment (see detailed interaction dialogues in Supplementary Information Section 5.3).

7 To demonstrate LLM-based agents copiloted reaction optimization workflow (Fig. 6a), we conducted the condition optimization for the selective oxidation of diol (12a) to the corresponding 8 9 mono-oxidized aldehyde product (12b). The reaction design space included two continuous variables 10 (i.e., equivalents of base and reaction time) and two categorical variables (i.e., types of bases and copper catalysts). The optimization objective is to maximize the reaction yield of **12b**. First, 11 12 Experiment Designer translated synthesis procedure description [To a solution of alcohol (0.25 mmol)] 13 in DMSO (0.25 mL) in a reactor was added sequentially a solution of (1) CuX<sub>2</sub>/bpy(0.25 mL, 0.05M), (2) TEMPO (0.25 mL, 0.05M), and (3) NMI (0.25 mL, 0.10M)] into standardized JavaScript Object 14

1 Notation (JSON) procedure steps for the automated synthesis device. Hardware Executor generated 2 code templates based on these JSON procedure steps to define the automated synthesis platform 3 operation workflows. Next, Experiment Designer converted the optimization parameter space 4 described in natural language [I want to optimize four variables: 1. Reaction time: 45-90 minutes, 2. 5 Base volume: 0.125-0.25 mL, 3. Cu catalyst: CuCl<sub>2</sub>, CuBr<sub>2</sub>, Cu(OTf)<sub>2</sub>, Cu(BF<sub>4</sub>)<sub>2</sub> 4. Base type: NMI, 6 DBU.] into JSON format that was used as inputs for the Bayesian optimizer (Supplementary 7 Information Section 5.1.1). At last, users reviewed the entire experimental plan before running the 8 reaction optimization.

9 The self-driven optimization system iteratively conducted reactions and proposed candidate 10 experiments based on existing reaction results, thus gradually improving the reaction yield of **12b** (Fig. 11 6b). Multiple high-yield reaction conditions were identified within the design space (Table S2). To 12 automatically stop the reaction optimization task when the expectation of further yield improvement 13 was diminished, we compared the statistical stopping criterion and stopping decision given by the 14 LLM-based agent Result Interpreter. The probability of improvement (PI) metric, a typical statistical stopping criterion<sup>61,62</sup>, was first examined by stopping the optimization when the cumulative number 15 16 of proposed reaction conditions with PI values below 0.01 reached two. This PI stopping criterion was 17 met after completing 36 experiments (Fig. 6c), based on which the optimal conditions should be 18 confidently identified. In comparison, Result Interpreter was used to determine the appropriate 19 stopping point for the optimization task using the concept of balancing exploration and exploitation 20 for black-box function optimization (Supplementary Information Section 5.3). During the exploitation 21 of CuBr<sub>2</sub>-DBU combination (after 12 experiments), Result Interpreter indicated that the yield was 22 sufficiently high to consider stopping optimization, however, it still recommended further exploration 23 in copper catalysts based on exploration considerations. Then, the BO continued to explore two more 24 catalysts (i.e., Cu(BF<sub>4</sub>)<sub>2</sub> and Cu(OTf)<sub>2</sub>). After several small condition adjustments proposed by the BO 25 near the high-yield conditions, the reaction yield did not increase significantly, and a yield decrease was observed in the 22<sup>nd</sup> experiment. Result Interpreter once again suggested considering the cessation 26 of the optimization. After the 26<sup>th</sup> experiment, Result Interpreter assessed the reaction yield as 27 28 sufficiently high and the exploration of the reaction space as comprehensively executed, explicitly 29 recommending the termination of further optimization (Fig. 6d). This comparison showed that the 30 optimization stopping suggestions given by Result Interpreter agent were more intuitive and also 31 required less experiments to identify high-yield reaction conditions compared to the PI stopping 32 criterion. Unlike the PI stopping criterion relying on human experience to pre-define the stopping

- 1 threshold (improper selection may lead to poor optimization results or prolonged optimization time),
- 2 utilizing Result Interpreter to terminate optimization offers better flexibility and adaptability.



Fig. 7 | LLM-based agents facilitated reaction scale-up and product purification. a) Workflow for
reaction scale-up and product purification copiloted by Experiment Designer and Separation Instructor
agents. b) The interaction between human chemists with Experiment Designer for reaction scale-up
and Separation Instructor for finding the optimal eluent composition (see detailed interaction dialogues
in Supplementary Information Section 5). c) Radar chart of the reaction conditions for selecting the
most potent reaction condition for scale up. d) <sup>1</sup>H NMR spectrum of the purified target product (12b)
in DMSO-d6.

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#### **1** Reaction scale-up and product purification

In the process development, the scale-up investigation serves as a critical phase to determine whether a small-scale chemistry is suitable for further large-scale synthesis with similar reaction efficiency<sup>63</sup>. Here, we used the high-yield reaction conditions found in the previous reaction optimization task for targeting 1 gram scale synthesis of the compound **12b** to demonstrate the utility of LLM-based agents in facilitating the reaction process development.

7 Among various high-vield conditions during the condition optimization of diol oxidation, Experiment Designer selected the conditions used in 35<sup>th</sup> experiment for scaling up (Fig. 7b). The 8 9 choice of reaction conditions was made based on the preference to the high product yield, short reaction time, and low catalyst and reagent costs. The 35<sup>th</sup> experiment used a 45-minute reaction time, Cu(OTf)<sub>2</sub> 10 11 catalyst, and 1.34 equivalent DBU base, achieving a high yield of 94.5% (Fig. 7c). To showcase LLM's 12 ability to facilitate reaction scale-up, Experiment Designer accurately calculated the stoichiometries of the reagents based on selected the reaction condition to produce 1 gram of the desired product (Fig. 13 14 7b). We conducted the scale-up experiment according to the stoichiometries proposed by Experiment 15 Designer.

16 Prior to the product purification using flash column chromatography, the optimal eluent 17 composition is typically determined with manual TLC. TLC fine-tunes the eluent polarity to ensure 18 that the retention factor value (R<sub>f</sub> value) of the target compound falls within 0.2-0.3, and, at the same 19 time, impurities are separated from the target compound. A recent publication has applied machine 20 learning model to predict the R<sub>f</sub> value of a given compound structure in different eluent compositions<sup>64</sup>. 21 However, due to the inevitable prediction inaccuracy, this data-driven prediction model can only serve 22 to provide good initial eluent composition guesses to try, and chemists still need to determine the eluent 23 suitable for practical separation processes by conducting iterative trial-and-error experiments based on 24 their own experience and the polarity-controlled separation principles in TLC. To enable automated 25 identification of optimal eluent composition, we implemented Separation Instructor agent to replace 26 chemists for making eluent composition decisions during the iterative TLC experiment. Here, TLC 27 experiments were performed manually, but the automated TLC device is commercially available to 28 achieve closed-loop optimal eluent composition identification in an autonomous manner. Upon 29 inputting the initial TLC outcome of **12b** separation at hexane : ethyl acetate = 1:1 ratio into Separation 30 Instructor, it advised to reduce the polarity of the eluent to decrease the R<sub>f</sub> value of **12b**. Following 31 two iterative decision-and-experiment rounds, Separation Instructor finalized the eluent composition 32 (hexane : ethyl acetate = 3:1), under which the product's R<sub>f</sub> value was 0.28 with 0.49 Rf value for the 33 impurity, providing a sufficiently large difference for effective separation. Subsequently, this optimal eluent composition was used in the automated preparative column chromatography system to
 successfully separate the product, yielding 915 mg of the product (12b) with the isolated yield of 86%
 and a purity >98% (Fig. 7d).

### 4 Conclusion

5 In this work, we utilized a large language model (LLM)-based multi-agent system to demonstrate 6 the end-to-end development of sustainable aerobic alcohol oxidation, from methodological search to 7 product purification. The specialized LLM-based agents showcased their versatility in autonomous 8 chemical research, undertaking tasks such as synthesis method search, code composing for automated 9 equipment, spectrum signal processing and analysis, reaction stoichiometric calculation, optimization 10 of separation eluent composition, and deriving chemically informed conclusions. The LLM-based 11 multi-agent system demonstrates a transformative approach to chemical synthesis that integrates user 12 chemists, LLM-based agents, and automated experimental platforms, significantly streamlining the 13 traditional expert-driven and labor-intensive workflow of reaction development. Although the LLM technology is still nascent in chemistry applications primarily due to the lack of the advanced and 14 15 professional chemistry knowledge in the existing LLM training dataset, we would envision that this 16 work outlines a viable avenue to a deeper engagement of LLM technology in reaction development 17 and relevant fields in the future.

#### 18 Methods

#### 19 Construction of LLM-based agents

LLM-based agents developed in this work were based on OpenAI's GPT-4 model. (1) Literature 20 Scouter: This agent was developed using Consensus<sup>31</sup> available from OpenAI's GPT store, which can 21 22 access Semantic Scholar database for academic literatures. (2) Experiment Designer: For tasks include 23 substrate scope screening and self-driven reaction condition optimization, Experiment Designer was 24 configured through few-shot learning of several examples or pre-prompting to transform reaction procedures and parameters described in natural language into standardized execution protocols. (3) 25 26 Hardware Executor: Specific hardware running code examples or Opentrons Python API manual were 27 provided in the prompt, such that Hardware Executor could generate running codes for the automation 28 platforms according to the standardized execution protocols. (4) Spectrum Analyzer, (5) Separation 29 Instructor, and (6) Result Interpreter: We provided detailed descriptions and instructions as pre-30 prompts to teach them to perform these tasks. For more details, refer to the Supplementary Information 31 Section 1.

#### 1 Web application

2 The web application functioned as the interface through which users could interact with agents 3 and experimental platform. The frontend graphical interface was developed using the Vue.js and 4 Node.js frameworks, creating a user-friendly and interactive environment. For the backend, the Python 5 FastAPI framework was employed to manage the logics of multi-agent system and experimental 6 platform, including interfacing with the LLM-based agents through the GPT-4 APIs hosted on 7 Microsoft Azure and handling the operations of the experimental platforms. In addition, the web 8 application was segmented into individual modules corresponding to each task of the chemical 9 synthesis reaction development workflow.

#### 10 Liquid handler platform for substrate scope screening and reaction kinetic study

The experimentation for substrate scope screening and reaction kinetic study steps was conducted using the Opentrons OT-2 liquid handling workstation. In the OT-2, modules including the pipette module (P300 GEN2, 20-300  $\mu$ L) for liquid transferring, heater-shaker module (200-3000 RPM, 37-95 °C) for enhancing mixing of reaction mixture, and storage module for storing reaction stock solutions. Operation codes, generated by the Hardware Executor, were uploaded to the OT-2 via its desktop application or a Jupyter notebook to initiate automated reaction execution.

#### 17 Automated reaction platform for self-driven reaction condition optimization

18 The self-driven reaction condition optimization platform consists of three modules, including an automated synthesis equipment (Unchained Labs, Big Kahuna), a HPLC (Thermo Fisher Scientific 19 20 Vanquish), and a six-axis robotic arm (AUBO-i5) with a linear track. Big Kahuna automated 21 experimental procedures, incorporating several components, including an extended tip liquid dispenser 22 (20-3000 µL) for liquid transferring, the vortexing stations (60-3750 RPM) for mixing the reaction 23 mixture, and a vial/plate gripper for transferring reaction vials and plates. HPLC analyzed reaction 24 mixtures using a C18 reverse-phase column, with water and MeCN as the mobile phases. The robotic 25 arm was responsible for transferring samples between Big Kahuna and HPLC. This hardware platform 26 was controlled via a customized LabVIEW software, and experimental procedures and parameters 27 were defined by the JSON method files.

#### 28 **Reaction optimization algorithm**

The Bayesian optimization algorithm and the PI stopping criterion was developed and discussed in previous work<sup>62</sup>. In brief, it is composed of Gaussian process (GP) model and acquisition functions (AF). GP was a mixed kernel (Eq. S3), combining the Matérn52 kernel (Eq. S1) with the categorical kernel (Eq. S2), to handle the reaction's design space, which includes both continuous and categorical
variables. The new experiment candidates are proposed by maximizing the multi-points expected
improvement (*q*EI) acquisition functions:

$$\{\boldsymbol{x}_{new}^{(k)}\}_{k=1}^{q} = \operatorname{argmax} q \operatorname{EI}(\{\boldsymbol{x}^{(k)}\}_{k=1}^{q}) = \operatorname{argmax} \mathbb{E}_{n}\left(\operatorname{ReLu}\left(\max_{i=1,\dots,q} f(\boldsymbol{x}_{i}) - f_{n}(\boldsymbol{x}^{+})\right)\right)$$
(3)

4 where  $\{x_{new}^{(k)}\}_{k=1}^{q}$  is the *q* newly proposed reaction conditions,  $x^{+}$  is the current optimal condition, and 5  $\mathbb{E}_{n}$  indicates that the expectation is taken under the posterior distribution at time n.

6 The probability of improvement (PI) value is a measure of the possibility that the newly proposed 7 reaction candidate could have an improvement over the current optimal value (Eq. (4).

$$\operatorname{PI}(\boldsymbol{x}) = \mathbb{P}(f(\boldsymbol{x}) \ge f(\boldsymbol{x}^{+}) + \xi) = \Phi\left(\frac{\mu(\boldsymbol{x}) - f(\boldsymbol{x}^{+}) - \xi}{\sigma(\boldsymbol{x})}\right)$$
(4)

8 where μ(·) is GP's mean, σ(·) is GP's standard deviation, Φ(·) is the normal cumulative distribution
9 function, and ξ is the trade-off parameter of exploitation and exploration.

#### 10 Data and code availability

All the relevant data and code are publicly available in the repository (https://github.com/RuanYixiang/LLM-RDF).

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- 5

# 6 Author contributions

7 Y.R. and Y.M. conceived the project. Y.R. developed and implemented the LLM-based agents.

8 Y.R. and C.L. developed the web application. Y.R. and N.X. performed the chemical experiments.

9 Y.R. and Y.M. wrote the manuscript. All authors were involved in the discussions.

# 10 Competing interests

11 The authors declare no competing interests.

12