- 1 Protein Representations: Encoding Biological Information for Machine Learning in Biocatalysis
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13 Abstract

- 14 Enzymes offer a more environmentally friendly and low-impact solution to conventional
- 15 chemistry, but they often require additional engineering for industrial settings, an endeavor
- 16 that is challenging and laborious. To address this issue, the power of machine learning can be
- 17 harnessed to produce predictive models that facilitate *in* silico study and engineering of novel
- 18 enzymatic properties. However, the conversion from the biological domain to the
- 19 computational realm requires special attention to ensure the training of accurate and precise
- 20 models. In this review, we examine the critical step of encoding protein information to
- 21 numeric representations for use in machine learning. We selected the most important
- 22 approaches for encoding the three distinct biological protein representations primary
- 23 sequence, 3D structure, and dynamics to explore their requirements for employment and
- 24 inherent biases. Combined representations of proteins and substrates are also introduced as
- 25 emergent tools in biocatalysis. We propose the division of fixed representations, a collection
- of rule-based encoding strategies, and learned representations extracted from the latent spaces
- of large neural networks. To select the most suitable protein representation, we propose two
- 28 main factors governing this choice. The first one is the model setup, being influenced by the 29 size of the training dataset and the choice of architecture. The second factor is the model
- 30 objectives, concerning the assayed property, the difference between wild-type models and
- 31 mutant predictors, and requirements for explainability. This review is aimed at serving as a
- 32 source of information and guidance for properly representing enzymes in future machine
- 32 source of mornation and guidant33 learning models for biocatalysis.
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- 34 35

36 Keywords

- 37 Machine Learning; Biocatalysis; Protein Representations; Enzyme Engineering;
- 38 Representation Learning; Protein Dynamics, Predictive Models
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42 **1. Introduction**

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44 In the current time of climate change and increasing resource depletion, enzyme technology 45 has emerged as a more environmentally friendly and potentially low-impact approach to industrial processes traditionally mediated by conventional chemistry (Buller et al., 2023; 46 47 Hauer, 2020; Radley et al., 2023; Reetz et al., 2024; Sheldon and Woodley, 2018; Wu et al., 48 2021). Instead of complicated pathways with a plethora of reagents, extreme conditions, and 49 protection groups, enzymes offer a renewable alternative with high selectivity and tunability 50 (Sheldon and Woodley, 2018; Woodley, 2022; Wu et al., 2021). Early examples consist of 51 enzyme-based detergents (Kirk et al., 2002) and the employment of nitrile hydratases to 52 synthesize acrylamide (Yamada and Kobayashi, 1996). Recent advances in bioinformatics 53 strategies have enabled the discovery of enzymes with specialized activity (Buller et al., 54 2023; Hon et al., 2020; Oberg et al., 2023), as well as the engineering of enzymes towards 55 enhanced activity, substrate specificity, enantioselectivity, and thermostability (Galanie et al., 2020; Qu et al., 2020; Renata et al., 2015). Especially the directed evolution (DE) approach 56 57 of mimicking Darwinian evolution, which was co-awarded with a Nobel Prize to Frances 58 Arnold (Arnold, 2018, 1998, 1996) has seen significant use for enzyme engineering 59 (Bornscheuer and Pohl, 2001; Cherry et al., 1999; Cherry and Fidantsef, 2003; Giver et al., 1998; Stimple et al., 2020; Turner, 2009; Zhao and Arnold, 1999). Enzymatic biocatalysis 60 61 has had a profound impact in areas such as pharmaceutical drug discovery (Devine et al., 2018; Savile et al., 2010), the cosmetic industry (Heath et al., 2022; Khan and Rathod, 2015), 62 63 and waste degradation (Bilal et al., 2019; Mohanan et al., 2020), and multiple enzymatic 64 processes have even been developed sequentially to create biocatalytic cascades (France et al., 2017; Gandomkar et al., 2019; Huffman et al., 2019; Nazor et al., 2021; Santacoloma et 65 66 al., 2011; Sperl and Sieber, 2018).

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68 The growing use of enzymes has, nonetheless, revealed several challenges when utilizing 69 them for industrial catalysis purposes because they did not evolve to perform optimally in 70 industrial bioreactors where high stability, selectivity, and activity are important to maximize 71 product yields. Despite improvements in protein engineering, enhancing multiple enzyme 72 properties such as activity and stability simultaneously is still a difficult endeavor (Acevedo-73 Rocha et al., 2018; Calzadiaz-Ramirez et al., 2020; Stimple et al., 2020; Tokuriki et al., 74 2012), as well as the prediction and control of substrate specificity and regioselectivity — 75 crucial properties for industrial purposes — are often challenging (Harding-Larsen et al., 76 2023; M. Yang et al., 2018). In this context, machine learning (ML) algorithms have emerged 77 as powerful tools, capable of modeling complex relationships within protein and enzyme 78 datasets. In biocatalysis, ML has facilitated the study and engineering of proteins and led to 79 novel insights for improving enzymatic processes (Kouba et al., 2023; Markus et al., 2023; 80 Mazurenko et al., 2020; Yang et al., 2019). Notable examples include activity and substrate 81 specificity predictors (Robinson et al., 2020), deep learning (DL) models for the estimation of 82 metabolic enzyme activities (Li et al., 2022) and for functional predictions of enzymes 83 (Gligorijević et al., 2021), models for protein solubility predictions (Yang et al., 2016; Y. 84 Yang et al., 2021), and numerous approaches for predicting protein stability changes upon 85 mutagenesis (Blaabjerg et al., 2023; Folkman et al., 2016; Iqbal et al., 2022; Li et al., 2020;

86 Teng et al., 2010). ML has also enabled a more efficient multiparametric optimization

Abbreviations: BLOSUM, BLOck SUbstitution Matrix; CNN, convolutional neural network; DL, deep learning; EC,enzyme commission; ELBO, evidence lower bound; GFP, green fluorescent protein; GNN, graph neural network; KNN, k-nearest neighbors; MD, molecular dynamics; MLDE, machine learning-assisted directed evolution; MSM, Markov state models; OHE, one-hot encoding; PLM, protein language model; QM/MM, quantum mechanics/molecular mechanics; VAE, variational autoencoder, XAI, explainable AI

87 strategy (Kunka et al., 2023; Ma et al., 2021), facilitated de novo enzyme design (Yeh et al.,

- 88 2023), and prediction of non-additive epistatic effects (Cadet et al., 2018, 2022; Li et al.,
- 89 2021). Finally, ML has been combined with DE in the aptly termed "machine learning-
- 90 assisted" directed evolution (MLDE), where it has significantly improved the exploration of the sequence-function landscape in the search for enhanced variants (Bruce J. Wittmann et
- 91 92 al., 2021; Wu et al., 2019; Xu et al., 2020; Yang et al., 2024, 2019).
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94 Traditionally, the focus within ML research has often been to refine the algorithms, whereas

95 data representation is treated as a secondary concern. This viewpoint posits that given 96 sufficient data and computational resources, ML models should inherently discern and

- 97 leverage the most salient features relevant to the task at hand. However, this view overlooks
- 98 the challenge of producing such large protein datasets of high quality (*i.e.*, reproducibility)
- 99 and neglects the critical role of data representation in enhancing or limiting a model's ability
- 100 to learn (Bengio et al., 2013; Iuchi et al., 2021). Our work addresses the topic of protein
- representations as a critical step for uniting biology and data science. In biology, a protein is 101
- commonly represented by its primary or tertiary structure through categorical or symbolic 102
- 103 information, while ML traditionally requires numeric inputs in the forms of vectors, matrices,
- 104 and tensors. This poses an exciting task of representing proteins in a manner that is both
- informative for ML models and reflective of the underlying biological properties. 105
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107 Interestingly, the concept of inductive biases introduces a nuanced understanding of how ML 108 models approach learning tasks. Inductive biases refer to the assumptions made by a model 109 about the patterns it expects to find in the data before any data is indeed observed. They 110

guide the learning algorithm towards certain solutions over others, effectively shaping the

- 111 hypothesis space that the model explores (Baxter, 2000). Selecting the right inductive biases
- 112 — through the strategic representation of data — can significantly facilitate the learning
- 113 process, enabling models to learn more efficiently and effectively from fewer examples 114 (Baxter, 2000).
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116 In the context of biocatalysis, these inductive biases arise either manually or by

117 representation learning, and the choices made during the encoding process strongly affect the

118 information captured in the representations. In this review, we investigate the methodologies

119 for protein representation utilizing the protein sequence, structure, or dynamics. We also

120 analyze the assumptions of the inductive biases that are captured in the different

121 representation techniques. We conclude with a discussion about different factors influencing 122 the choice of protein representation.

123

124 2. Sequence Representations

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126 A simple description of a protein is the one-dimensional sequence representation of the molecular structure using an alphabet of 20 amino acids. This leads to an alphanumeric 127 128 expression of the biomolecular components to easily differentiate between proteins. While simple, the string of single-letter residue codes contains a vast amount of information, from 129 130 the physicochemical properties of every amino acid to the evolutionary trace of the protein. 131 Sequences are even intrinsically linked to 3D structures and functional properties, making 132 them a rich source of information critical for protein design. However, the development of 133 ML models for predicting protein functions requires precise feature extraction from those 134 sequences. A spectrum of methodologies to identify optimal features are available, ranging 135 from simple to complex ones. This section outlines the evolution of feature extraction 136 techniques, emphasizing the transition from elementary assumptions to sophisticated models.

- 137 Finally, we will treat a mixed representation where structural insights are used to influence the sequence representation.
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140 **2.1 Fixed Sequence Representations**

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142 The methods for capturing biological information stored in the sequence representation are 143 varied, often focusing on different elements of this information. One category of methods is the so-called "fixed" representations, a collection of rule-based approaches to convert 144 145 between the protein sequence and numerical vectors by incorporating specific parts of the 146 amino acid characteristics (Figure 1) (Markus et al., 2023). The simplest of all is the one-hot encoding (OHE) technique, a prevalent method in ML for transforming categorical data into 147 148 a binary format. Here, each residue is represented as a vector $v_i = (0, 0, ..., 1, ..., 0)$ with '1' 149 placed at the *i*th index corresponding to its lettering, creating a binary $20 \times N$ matrix with a single non-zero entry in each column, where N is the length of the protein sequence. 150 Although OHE offers no protein information aside from the amino acid identities, it is used 151 152 extensively as a fast and effective method for converting biological information into 153 numerical vectors (Elabd et al., 2020; Goldman et al., 2022; Greenhalgh et al., 2021; Hsu et 154 al., 2022; Michael et al., 2023; Raimondi et al., 2019; Bruce J. Wittmann et al., 2021; M. 155 Yang et al., 2018). However, the sparse and high-dimensional nature of OHE can lead to 156 computational inefficiencies, particularly in models dealing with long protein sequences. Moreover, many ML algorithms require the input of a fixed size throughout their training and 157

158 inference, necessitating an additional data pre-treatment step in OHE, e.g., trimming long

159 sequences or extending short ones with zeros.

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Fig. 1. Fixed representations for encoding the protein sequence. OHE (left) is the simplest method and only 163 uses the amino acid identity. Physicochemical properties (middle left) instead capture the nature of the amino 164 acids by explicitly using their properties as features. Matrices such as the BLOSUM encoding introduce 165 evolutionary information to the protein representation (middle-right). Lastly, the sequence can also be used to 166 calculate structural properties such as SASA (right).

167 The simple nature and lack of inherent bias prevent OHE from capturing any relationships 168 between amino acids before the training. Property-based encoding strategies emerge as a potential solution to instruct ML algorithms about the physicochemical nature of the 169 170 sequences, either global protein descriptors or those at the residue level. The former captures the behavior of the entire protein chain through properties such as solubility or radius of 171 172 gyration, while the latter instead enables the encoding of each amino acid using a set of 173 properties such as charge, hydrophobicity, volume, or pK_a, imposing representation biases 174 towards certain residue attributes and allowing the model to discern the similarities and 175 differences between two residues. Various sets of physicochemical residue descriptors exist, 176 such as the large database of amino acid indices, and AAindex (Kawashima and Kanehisa, 177 2000), containing over 500 matrices for encoding sequence information. Such a set of indices 178 for charge, polarity, hydrophobicity, average accessible surface area, and side chain volume 179 was used to model and predict the donor specificity of fold A glycosyltransferases by Taujale et al. (Taujale et al., 2020). Another example is the recent pre-print by Xu et al., where the 180 authors employ physicochemical properties such as volume, hydrophobicity, and π - π 181 182 interactions to model and improve enantioselectivity of carboxylesterase AcEst1 from

183 Acinetobacter sp. JNU9335 (Xu et al., 2024).

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185 Instead of manually choosing between the many similar indices, the inherent patterns of the 186 physicochemical properties can be extracted through their principle components, such as the Vectors of Hydrophobic, Steric, and Electronic properties (VSHE) (Mei et al., 2005), z-187 scales (Hellberg et al., 1987; Jonsson et al., 1989; Sandberg et al., 1998; Wold et al., 2011), 188 189 the DL-based amino acid parameter representations by Meiler et al. (Meiler et al., 2001), or 190 the five factors described by Atchley et al. (Atchley et al., 2005). Using these principal 191 components enables the incorporation of a wide range of different residue properties without 192 drastically increasing the dimensionality of the vector representation due to the principal 193 components containing information from multiple physicochemical properties. An example 194 is Factor III by Atchley et al. which encompasses bulkiness, residue volume, average volume 195 of a buried residue, side chain volume, and molecular weight (Atchley et al., 2005). Several 196 ML models have employed these dimension-reduced physicochemical representations for 197 different enzymes, including the thiolase activity and substrate specificity predictors 198 (Robinson et al., 2020), the Sortase A mutagenesis model for ML-guided directed evolution 199 (Saito et al., 2021), and DeepTM, a DL-based model for predicting the melting temperatures 200 of proteins such as PET plastic-degrading enzymes (M. Li et al., 2023). Nevertheless, a 201 potential issue with this approach is the "black box"-like nature, complicating the process of 202 interpreting the results and discerning the actual residue property contributions when 203 examining model feature importance.

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205 Aside from introducing residue information and imposing an inherent bias to the protein 206 representation through physicochemical properties, the encoding method can be based on the 207 evolutionary information contained in the sequence. These biases force the model to learn 208 evolutionary important patterns. One such technique, the BLOck SUbstitution Matrix 209 (BLOSUM) encoding, is generated from alignments of protein sequences and focuses on 210 evolutionary changes and conservation (Henikoff and Henikoff, 1992; Mount, 2008). Based 211 on the frequency of amino acid substitutions in these alignments, each entry in a BLOSUM 212 matrix represents the likelihood of substitution between amino acids, calculated based on observed substitutions in protein families. In BLOSUM encoding, each amino acid is 213 214 replaced by a vector derived from the corresponding row in the BLOSUM matrix, $v_i =$ $(x_A, x_G, ..., x_Y)$ where x_A is the likelihood score that the *i*th residue is substituted with alanine, 215 216 thus enabling the representation to capture the evolutionary history and functional similarities

- 217 between amino acids. We employed this sequence representation in our model for predicting
- glycosyltransferase activity specificity (GASP), which allowed the model to use the 218
- 219 evolutionary information to discern the wide array of different glycosyltransferases (Harding-
- 220 Larsen et al., 2023). The evolutionary information can also be captured using a Position
- 221 Specific Scoring Matrix (PSSM), a method that uses a Multiple Sequence Alignment (MSA) 222 of a set of proteins to quantify the likelihood p_{ii} that an amino acid at a specific position i
- 223 mutates into the i^{th} residue. These matrices can be constructed using a sequence similarity
- 224 program such as PSI-BLAST (Altschul et al., 1997).
- 225
- 226 Finally, a fourth approach to extracting biological information from the protein sequences is 227 to exploit the relationship between the primary sequence and the 3D structure. Secondary
- 228 structure elements have long been possible to estimate purely through primary sequence (Y.
- 229 Yang et al., 2018), and also structural properties such as Solvent Accessible Surface Area
- 230 (SASA) (Lee and Richards, 1971) and the Half Sphere Exposure (HSE) (Hamelryck, 2005)
- 231 can be predicted from sequence alone (Cheng et al., 2005; Fraczkiewicz and Braun, 1998;
- 232 Heffernan et al., 2017; Song et al., 2008). Sequence-based structural properties have been
- 233 used in tandem with metabolic network properties, reaction thermodynamics, and assay 234
- conditions to predict WT metabolic enzyme turnover numbers (Heckmann et al., 2020,
- 235 2018), exhibiting significant importance compared to the other model features. Sequence-
- 236 based structural properties were also applied in the previously mentioned DeepTM (M. Li et 237 al., 2023) algorithm, again as part of a larger feature set.
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239 Lastly, it should be noted that the development of AlphaFold2 (Jumper et al., 2021) and

- 240 similar sequence-to-structure tools (Ahdritz et al., 2022; Baek et al., 2021; Lin et al., 2023)
- 241 has blurred the boundary between sequence- and structure-based protein representations, as
- 242 these tools are capable of predicting the entire 3D structure using only the sequence. This ambiguity is necessary to consider, e.g., for fair comparison of sequence-only encoding
- 243 244 techniques and algorithms.
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246 **2.2 Representation learning** 247

248 An alternative to manually extracting features from sequence information is to learn features 249 or representations of sequences through machine learning from data (Iuchi et al., 2021; Sinai 250 and Kelsic, 2020). The key idea is to learn general representations through a machine model 251 by training on large data sets of unlabeled protein sequences. The obtained representations of 252 the pre-trained embedding model are then used to train a task-specific (surrogate) model, 253 requiring less labeled data. The following sections will describe two common approaches for 254 learning sequence embeddings (Figure 2).

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256 2.2.1 Variational Autoencoders

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258 Variational Autoencoders (VAEs), introduced by Kingma and Welling in 2013 (Kingma and 259 Welling, 2013), offer a framework for training deep latent variable models that learn 260 meaningful representations by optimizing a lower bound on the likelihood of the data, 261 essentially trying to maximize the probability of observing the training data under the model. 262 This process involves a balance between accurately reconstructing data and enforcing a

- structured latent space, making it possible for VAEs to generate new data samples that 263
- 264 resemble the original inputs. This allows VAEs to capture essential features of the data
- 265 efficiently. The utility of VAEs is particularly evident in handling high-dimensional and

- sparse data, such as large sets of one-hot encoded (OHE) protein sequences, enabling the
- 267 extraction of compact and meaningful representations (Detlefsen et al., 2022).
- 268



Fig. 2. Two common approaches for learning sequence embedding. Variational Autoencoders (left) are
latent variable models that utilize an encoder-decoder setup to learn a latent space embedding, z. Protein
Language Models (right) are also used to generate sequence representations but instead employ an attention
mechanism that dynamically weighs the relevance of different parts of a protein and a Feedforward Neural
Network (FFNN). A protein encoding can be obtained by averaging over the neural embeddings. The resulting
representations from both techniques can then be used for fine-tuning task-specific predictions.

The foundation of VAEs is centered around the transformation of input data (*e.g.* OHE sequences), *x*, into a latent distribution, *z*, through an encoder, $q_{\theta}(z|x)$. The latent distribution, typically Gaussian, is characterized by parameters (mean and variance) derived from the input by a neural network. The decoder of the VAE then attempts to reconstruct the input data from the latent variables, following the distribution $p_{\phi}(x|z)$. The objective of training a VAE is to maximize the evidence lower bound (ELBO) on the log-likelihood, which is expressed as:

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 $\mathcal{L}(\boldsymbol{x};\boldsymbol{\theta},\boldsymbol{\phi}) = \mathbb{E}_{q_{\boldsymbol{\theta}}(\boldsymbol{z}|\boldsymbol{x})} \left[\log p_{\boldsymbol{\phi}}(\boldsymbol{x}|\boldsymbol{z}) \right] - D_{KL}(q_{\boldsymbol{\theta}}(\boldsymbol{z}|\boldsymbol{x})||p(\boldsymbol{z}))$

The first term in the ELBO represents the reconstruction loss, promoting similarity between
the decoded samples and the original inputs, and the second term is the Kullback-Leibler
(KL) divergence, serving as a regularization term ensuring that the latent space is wellregularized and continuous, enabling efficient data representation and interpolation
(Tschannen et al., 2018; Vincent et al., 2008).

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293 In the context of protein sequences, VAEs leverage the manifold hypothesis, which suggests 294 that high-dimensional data can be effectively modeled on a low-dimensional, non-linear 295 manifold (Vincent et al., 2008). VAEs achieve two critical objectives: (i) reducing the 296 dimensionality and sparsity to mitigate the curse of high dimensionality (Bellman, 1966) and 297 (ii) incorporating domain-specific knowledge through the model architecture and sequence 298 preprocessing and sequence alignment (Detlefsen et al., 2022). Choices made when building 299 the architecture and constructing the MSA not only facilitate more efficient learning but also 300 enhance the model's ability to support transfer learning by introducing inductive biases that 301 align with the tree topology of the evolutionary history underlying the protein family (Ding et 302 al., 2019). For these among other reasons, latent variable models such as VAEs have seen 303 widespread adoption for predicting the mutational effect on protein fitness and in MLDE. 304 Notable examples are the mutational effect predictor EVE by Frazer et al., (Frazer et al., 305 2021) or applications in MLDE studies conducted by Wittmann et al. (Bruce J Wittmann et

al., 2021; Bruce J. Wittmann et al., 2021). Giessel et al. utilized Variational Autoencoders to

- 307 engineer therapeutic enzyme variants with improved stability and activity, showcasing the
- 308 model's ability to generate novel ornithine transcarbamylase sequences with enhanced 309 therapeutic potential, marking a significant advancement in the application of VAEs for
- therapeutic enzyme engineering (Giessel et al., 2022). Hawkins-Hooker et al. successfully
- 311 employed Variational Autoencoders to generate novel, functional variants of the luxA
- 312 bacterial luciferase, demonstrating VAEs' capacity to explore protein sequence space and
- 313 manipulate biophysical properties such as solubility, thereby presenting a valuable
- 314 complement to traditional protein engineering methods (Hawkins-Hooker et al., 2021).
- 315 Kohout et al. leverage VAEs to design novel variants of haloalkane dehalogenases for
- 316 biocatalysis, demonstrating the applicability to generate sequences with stability and activity
- 317 comparable to wild types while addressing challenges in maintaining protein solubility
- (Kohout et al., 2023). Finally, Hsu et al. highlighted the versatility of VAEs by augmenting
 evolutionary density scores extracted from the DeepSequence VAE model (Riesselman et al.,
- 2018) with the simplistic OHE (Hsu et al., 2022). The augmentation approach achieved high
- 321 performance across 19 different datasets even models trained on as few data points as 42.
- 322

323 2.2.2 Protein Language Models

324

325 Another common method for generating protein sequence representations is Protein 326 Language Models (PLMs), which nowadays increasingly employ the Transformer architecture (Vaswani et al., 2017). The Transformer is an ML architecture originally 327 328 popularized in the domain of natural language processing to learn general patterns of 329 language by predicting the missing words intentionally removed from sentences by their 330 context. PLMs are trained on large protein sequence databases containing sequences sampled 331 across different organisms. The training objective of PLMs is to reconstruct the sequence of a 332 protein after it has been partially corrupted through the masked language modeling objective 333 (Devlin et al., 2018). Similar to VAEs, PLMs can be used to extract latent representations of 334 protein sequences, by forward passing sequences through the trained model and averaging the 335 final layer output over the sequence length (Rao et al., 2020). A major difference between 336 PLMs and VAEs is the attention mechanism at the core of PLMs, which allows the network to build up complex representations that incorporate context from across sequences (Rives et 337 338 al., 2021):

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- 340

Attention(
$$\boldsymbol{Q}, \boldsymbol{K}, \boldsymbol{V}$$
) = softmax $\left(\frac{\boldsymbol{Q}\boldsymbol{K}^{T}}{\sqrt{d_{k}}}\right)\boldsymbol{V}$

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342 The attention mechanism used in Protein Language Models (PLMs) dynamically weighs the 343 relevance of different parts of a protein sequence by calculating a weighted sum of values 344 (V). The weights are determined by the compatibility of queries (Q) and keys (K), which is 345 scaled by a constant, the square root of the dimension of the keys (d_k) in the original 346 transformer implementation (Vaswani et al., 2017), and normalized through a softmax 347 function. Analysis of PLM representations has revealed that PLMs intrinsically learn 348 biologically relevant features. For instance, their attention maps have been shown to bear a 349 close resemblance to contact maps in proteins, indicating their capability to capture essential 350 biological insights (Rives et al., 2021). PLM representations have demonstrated great 351 flexibility in domain-specific tasks, such as function prediction, protein localization, and mutational effect prediction (Brandes et al., 2022; Elnaggar et al., 2021; Ferruz et al., 2022; 352 353 Goldman et al., 2022; Rives et al., 2021; Thumuluri et al., 2022). PLMs offer a robust way to 354 generate highly effective representations for domain-specific applications, making them a

- 355 popular choice when creating ML models for biocatalysis. Examples of PLMs for
- biocatalysis include the study by Yu et al. utilizing contrastive learning for the precise
- annotation of enzyme functions by Enzyme Commission (EC) numbers, outperforming
- 358 conventional tools in accuracy and capability to annotate underexplored and mislabeled 359 enzymes (Yu et al., 2023). Hoffbauer and Strodel introduce TransMEP, a tool employing
- 360 transfer learning from protein language models to accurately predict the effects of mutations
- 361 on proteins, demonstrating the efficacy of leveraging pre-trained models like ESM-2 (Lin et
- 362 al., 2023) for mutation effect prediction in protein engineering (Hoffbauer and Strodel, 2024).
- 363 The pre-trained model of ESM-1b (Rives et al., 2021) has also seen extensive use in
- 364 biocatalysis, either directly employed as protein representations for supervised tasks
- 365 (Goldman et al., 2022; Hou et al., 2023; Bruce J. Wittmann et al., 2021; Xu et al., 2022), or in
- the form of a fine-tuned task-specific encodings (Kroll et al., 2023a, 2023b).
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368 2.2.3 Comparing VAEs with PLMs

- 369 370 Both PLM and VAE representations frequently rank as the state of the art in task-specific 371 application benchmarks, such as mutational effect prediction (Livesey and Marsh, 2023) or 372 MLDE studies (Bruce J. Wittmann et al., 2021). When comparing VAEs to PLMs for 373 applications in protein engineering, some general rules can be drawn. There are some 374 indications that VAEs show greater performance for task-specific applications (Bruce J. 375 Wittmann et al., 2021). VAEs are also smaller than PLMs, which makes them faster at 376 inference and easier to run without large computational resources. Furthermore, VAEs are 377 superior during sampling, due to their ability to easily sample from the latent distribution by 378 passing latent variables through the decoder. VAEs can be highly customized, for example, 379 allowing the creation of latent variables with fewer dimensions to facilitate data visualization 380 or fine-tuning (Detlefsen et al., 2022). On the other hand, VAEs have to be trained 381 individually for each protein family, whereas PLMs can be used across all protein families 382 without further training, even generalizing beyond naturally observed proteins (Verkuil et al., 383 2022). Interestingly, nowadays ML developers are exploring the possibility of combining 384 PLMs and VAEs (Sevgen et al., 2023). 385
- 386 387

386 **2.3 Structure-Informed Sequence Representations**

- 388 Some methods incorporate structural information when producing a sequence representation. 389 Here, the protein structure is employed as a selection filter for the identification of important 390 residues, delimiting the sequence encoding to a curated list of amino acids and circumventing 391 the issue of information dilution where redundant features dominate the informative ones. For 392 biocatalysis, these structure-informed sequence representations ensure that the focus is 393 directed towards important parts of the enzyme, such as the active site, remote binding sites, 394 or other areas believed to be important for the enzymatic property to be modeled (e.g., dimer 395 interfaces).
- 396
- In structure-informed sequence representations, a 3D structure is combined with an MSA to
 identify and encode specific residues in every protein of interest. Generally, two different
 approaches exist for this identification: manual selection and spherical extraction. The former
- 400 method entails examining the template structure and choosing the residues important for the
- 400 inethod entails examining the template structure and choosing the residues important for the 401 area in focus such as the residues lining the active site as described by Röttig et al. in their
- 401 Active Site Classification (ASC) strategy to model the protein families of kinases, nucleotidyl
- 403 cyclases, trypsins, malate/lactate dehydrogenases, and decarboxylating dehydrogenases
- 404 (Röttig et al., 2010). The list of manually curated residues is then mapped onto every protein

405 in the MSA through the aligned positions of the identified residues. In the spherical

- 406 extraction method, the list of important residues is instead acquired automatically by
- 407 constructing a spherical boundary around the area in focus, *e.g.*, the catalytic residues, and
- 408 then extracting all amino acids encompassed by this boundary using protein structure analysis
- 409 programs such as MDTraj (McGibbon et al., 2015) or BioPython (Cock et al., 2009). This
- 410 automated selection approach was employed by Robinson et al. to model and predict the
- substrate specificity of OleA thiolases; aligning all 73 sequences to the OleA thiolase from
 Xanthomonas campestris (Goblirsch et al., 2016) and extracting the active site residues from
- 412 *Xannomonas campestris* (Goolinsch et al., 2016) and extracting the active site residues from 413 a crystal structure of the before-mentioned protein using a 12 Å sphere centered around the
- 413 a crystal structure of the before-mentioned protein using a 12 A sphere centered around the 414 C_{α} of the active site cysteine (Robinson et al., 2020). Another example is Goldman et al. who
- 415 examined the activity and substrate specificity of multiple protein families including
- 416 glycosyltransferases and halogenases using spheres ranging from 3 to 30 Å (Goldman et al.,
- 417 2022).
- 418

419 Both selection strategies have their merits and deficiencies: while manual selection ensures a

- significant degree of control over the choice of residues, it ultimately requires expert curation
- 421 and is highly protein-specific. The spherical extraction technique sacrifices some of this
- 422 control to alleviate these issues by only needing the centroid and radius to be defined, making
- 423 the process faster than the manual selection.
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425 Importantly, the structure-informed approach currently requires an MSA to map the identified residues to the entire set of proteins, which might cause problems for poor alignments with 426 427 many gaps that offer minimal protein information. Furthermore, while the strategy can be 428 used to bias the representation to focus on specific areas of the protein, discarding a 429 significant portion of the sequence is also an inherent limitation of the method. If a distant 430 part of the protein is important for a property, e.g., due to allostery influencing protein 431 activity (Calvó-Tusell et al., 2022a), this information will be lost when only focusing on a 432 specific site. Furthermore, if an ML model targets global properties such as protein fitness 433 scores (Fox, 2005; Michael et al., 2023; Bruce J. Wittmann et al., 2021; Wu et al., 2019) or 434 melting temperature (M. Li et al., 2023), it is unlikely to benefit from focusing the protein

- 435 representation on a particular part of the protein.
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437 **3. Structure Representations**

438

439 The biological structure representation contains information about the relative 3D positions 440 and chemical identities of every atom and bond of the protein, $\mathbf{x} = \mathbb{R}^{3 \times N}$, with N being the 441 length of the sequence. Increasing the information complexity from a 1D amino acid 442 sequence to a 3D structure thus introduces additional challenges for the encoding, especially 443 when working with simpler ML architectures requiring an abstraction of the protein structure into a one-dimensional representation vector. Encoding the protein structure can either be 444 445 done by extracting fixed features directly from the structure or by converting the highly 446 detailed 3D protein into a simpler representation for producing learned representations. 447 Alternatively, it can be done by utilizing a novel structure alphabet.

448

449 **3.1 Fixed Features Extracted from the Protein Structure**

450

451 Similar to describing the sequence through a set of fixed properties, fixed structure

- 452 representations can be constructed by quantifying different aspects of the protein structure.
- 453 While the use of these structural features has been limited in ML for biocatalysis, several
- 454 approaches exist for extracting features from the 3D structure of a protein. Many enzymes

- 455 utilize a binding pocket to tailor the catalytic environment, which can be converted to
- 456 numerical descriptors through tools such as Fpocket (Le Guilloux et al., 2009), a program for
- 457 detecting and describing ligand-binding pockets. Features from Fpocket have seen use in
- 458 allosteric site prediction (Xiao et al., 2022). Accurate van der Waals surface area descriptors,
- 459 moments of inertia, electrostatics, and thermodynamic values can be calculated through
- 460 programs such as ProtDCal (Ruiz-Blanco et al., 2015), and those features have seen use in 461 models predicting the substrate specificity of nitrilases (Mou et al., 2021) or estimating the
- 461 models predicting the substrate specificity of nitrilases (Mou et al., 2021) or estimating the 462 kinetic parameters of glycoside hydrolases (Carlin et al., 2016).
- 463

464 **3.2 Simplification of the 3D Protein Structure for Representation Learning**

- Instead of distilling the structural information into a set of descriptors, the structural data can
 be converted into simplified representations that retain more information than fixed structure
 features. This can be done with a cubic grid (voxel), protein graph representations, or protein
 surface representations. These methods can then be employed in DL architectures to
- 470 construct learned protein representations (Figure 3) (Isert et al., 2023).
- 471



472 473 Fig. 3. Three common structure representations for DL architectures and their process towards a learned 474 **1D vector representation x** $\in \mathbb{R}^d$. Top: the protein structure is approximated using a 3D voxel grid 475 representation. This grid is processed using a 3D CNN, where voxels are sequentially convoluted to reach the 476 desired dimensions. Middle: the protein graph is a non-linear representation of the structure using nodes and 477 edges. In the GNN, the properties of each node are passed through the edges to update the node information. 478 Bottom: Triangulation creates a protein surface representation with each vertex containing physicochemical 479 information. The mesh is usually deformed to a polar coordinate system and processed using a convolutional 480 network.

481

482 **3.2.1 Grid Representations**

483

The continuous protein structure can be converted to a discrete representation by dividing the
molecular space into individual grid sections. Volumetric cubes — so-called voxels —
represent 3D data by an assembly of course-grained cubes, drastically reducing the

487 dimensions of the encoding (Isert et al., 2023). This can either be implemented by dividing

- the structure into smaller "microenvironments" and then encoding each of these
- 489 microenvironments individually (Paik et al., 2023; Shroff et al., 2020; Torng and Altman,
- 2017), or by encoding the entire protein into a single arrangement of cubes based on a regular
 3D grid (Amidi et al., 2018).
- 492

493 MutCompute is a tool that utilizes the former strategy of microenvironments (Paik et al., 494 2023; Shroff et al., 2020). For every residue in each protein, a cubic 20Å microenvironment 495 is represented by 1Å voxel cubes containing information about atom labels, partial charges, 496 and solvent accessibility of each atom within the voxel cube. The microenvironment 497 representation is then processed by a 3D convolutional neural network (CNN) and later a 498 fully connected neural network (FCNN). This allows the authors to evaluate the chemical and 499 steric suitability of each of the 20 natural amino acids. This can be used as the basis for 500 mutagenesis, such as highlighted by the study achieving an improved thermostability of the 501 Bacillus stearothermphilus DNA polymerase (Paik et al., 2023). Novel work has expanded 502 upon the model of MutCompute, introducing information about phosphorus and grouped 503 halogens and thereby facilitating the training on heterogeneous microenvironments 504 (d'Oelsnitz et al., 2024). The new model, MutComputeX, was employed for the engineering of activity-enriched variants of methyltransferase.

505 506

507 Instead of dividing the protein structure into smaller segments, Amidi et al. employed the 508 entire protein structure in their encoding strategy (Amidi et al., 2018). The protein backbone 509 is converted into a binary voxel grid with a predefined resolution and processed by a 3D CNN. The model was trained to predict EC numbers, achieving an accuracy of 78.4%. The 510 authors furthermore highlighted the versatility of this approach, as the model's binary voxel 511 512 representation can be replaced by physicochemical properties such as hydrophobicity and 513 isoelectric points. This allows future models to include inductive biases tailor-made for a 514 specific task. It should be noted that while the voxel representation can directly capture the 515 3D nature of proteins, it is not without limitations. For example, it is sensitive to rotations and 516 translations of a 3D structure in space and does not directly capture information about 517 chemical bonds.

518

519 **3.2.2 Protein Graphs**

520

521 An alternative approach to grid representations is to collapse the 3D protein structure to a 522 graph representation where the structural information of the protein is encoded as elements 523 and connections, designated as "vertices"/"nodes" and "edges", respectively (Fasoulis et al., 524 2021). Different detail levels can be employed when creating protein graphs, e.g., for 525 atomistic resolution, features of each node consist of atom type and charge, while the edges 526 represent the molecular bonds (Fasoulis et al., 2021). A more coarse-grained approach is the 527 residue-level description where the nodes represent entire amino acids and the edges specify 528 both the covalent and non-covalent interactions between the residues. For residue-level 529 protein graphs, the node features can include physicochemical properties such as polarity and 530 hydrophobicity (Fasoulis et al., 2021), or more advanced residue encodings such as 531 evolutionary information or secondary structure (M. Li et al., 2023). Importantly, a graph is a 532 non-linear data structure. The node connections can be represented using adjacency matrices 533 where the i^{th} element in the j^{th} row describes the edge between the i^{th} and the j^{th} node, with the 534 ordering of the nodes being arbitrary. The protein contact map is an example of an adjacency 535 matrix.

536

537 Due to the non-linearity of graph representations, it is often infeasible to combine them with a 538 classical ML architecture, such as logistic regression or tree-based models. This processing 539 issue is solved by employing Graph Neural Networks (GNNs), a network architecture that

540 directly implements the graph representation in model construction. In contrast to traditional

- 541 neural networks where the information is passed through a series of hidden layers, GNNs
- 542 utilize the edges as channels for information transfer between the individual nodes. This

- 543 ensures that only information originating from neighboring nodes within a pre-defined
- 544 proximity is used to update each node (Zhou et al., 2020).
- 545
- 546 An exciting example of a GNN-based enzyme predictor is DeepFRI, a model leveraging both
- 547 sequence and structure representations to model Gene Ontology (GO) terms and EC numbers
- 548 (Gligorijević et al., 2021). Here, the sequence embeddings of a pre-trained PLM are used as
- residue nodes while a protein contact map is utilized as graph edges. A recent pre-print also proposed to combine the ESM2 sequence embeddings with graph-based structure
- 550 proposed to combine the ESW2 sequence embeddings with graph-based structure 551 embeddings for downstream tasks, such as predicting EC numbers, introducing the Protein
- 551 Structure Transformer (PST) architecture, outperforming previous state-of-the-art models
- 553 (Chen et al., 2024).
- 554

555 It should be noted that while building GNNs requires a significant amount of data, pre-trained 556 structure embeddings can be utilized as protein encodings, drawing a parallel to the pre-

- trained sequence embeddings. This was highlighted by the authors of PST, exhibiting high
- 558 performance using pre-trained protein embeddings extracted from the model (Chen et al.,
- 559 2024). Another example is the Masked Inverse Folding (MIF) model (K. K. Yang et al.,
- 560 2022), a GNN trained on the sequences and structures of 19.000 proteins in the CATH4.2
- dataset (Dawson et al., 2019, 2017) to reconstruct a corrupted protein sequence using
 backbone information. The MIF embeddings have seen use as a representation of the protein
- backbone information. The MIF embeddings have seen use as a representation of the protei structure (Hou et al., 2023), where the power of GNNs is harnessed to process structural
- information without requiring either a large dataset or computationally costly model training.
- 565

566 3.2.3 Surface Encodings

567

568 Finally, the protein can be modeled using a mesh-based variant of the molecular surface, a continuous sheet describing the accessibility trace of the molecule using a probe of a given 569 570 radius (Richards, 1977). An example is the surface used for calculating the previously 571 mentioned SASA, where the contact surface is the parts of the atomic van der Waals spheres 572 in contact with the probe. The continuous surface can be discretized using triangulation, 573 where the curvature is converted into a protein polygon mesh using tools such as MSMS 574 (Sanner et al., 1996). These surface meshes are often encoded with the physicochemical 575 information of the residues or atoms, allowing them to function as protein representations in 576 ML models. 577

- 578 Notable examples of models harnessing surface representations include molecular surface 579 interaction fingerprinting MaSIF (Gainza et al., 2019). In this example, the surface is here 580 segmented by assigning radial patches to every vertex in the protein mesh and generating an 581 overlapping collection of surface vertices. Geometric features and chemical properties are 582 calculated for each vertex within the patches, and the mesh is mapped to a polar coordinate 583 system. This representation is passed through a convolutional architecture that produces 584 learned fingerprint descriptors. The authors utilized these fingerprints to classify ligand-585 binding pockets, predict protein-protein interaction sites, and estimate the structural 586 configurations of protein-protein complexes. While not inherently targeting biocatalysis, 587 Gainza et al. consequentially highlight the advantage of surface presentation learning for 588 understanding protein interactions. 589
- In SURFMAP, the reduced surface generated by the MSMS tool (Sanner et al., 1996) is
 employed to generate a set of particles, each 3Å away from the protein surface (Schweke et
 al., 2022). After mapping the particles with a feature such as hydrophobicity or stickiness

593 related to the closest residue, their spherical coordinates are projected onto a 2D map using

594 the Sanson-Flamsteed 2D projection. The authors employed this simplified representation to

595 construct a hierarchical clustering model of superoxidase dismutases. This allowed them to

- 596 distinguish between enzymes with different oligomerization states and metal ion binding 597 preferences. Lastly, the HoloProt model combined structure- and surface-based graphs in
- 598 multi-scale graph representation to predict enzyme classifications and protein-ligand binding
- 599 affinities (Somnath et al., 2021).
- 600

601 **3.3 Alternative Structure Representations**

602

603 While we have generally categorized protein structure representation as either fixed 604 descriptors or geometrical simplifications for learned representations, some approaches fall 605 outside of this division. Recently, a novel technique for representing the protein structure 606 using a string of letters has emerged in Foldseek (van Kempen et al., 2023). Originally 607 designed as a tool to efficiently align a query structure against large databases, Kempen et al. 608 developed an intriguing structure encoding. An artificial alphabet — denoted 3Di — 609 describing the tertiary interactions of the protein is generated using a VAE. Each protein is 610 encoded using this 3Di alphabet, and the resulting sequences are parsed through the prefilter modules of MMseqs2 (Steinegger and Söding, 2017), a protein sequence searching tool, to 611 612 use in alignment queries. The Foldseek structure-to-sequence approach facilitates the use of traditional sequence representation architecture to process structural information (Heinzinger 613 et al., 2023; Sledzieski et al., 2023; Su et al., 2023; Waksman et al., 2024). While no enzyme 614 615 models have been trained using these 3Di representations as of the writing of this review, we envision this to be an exciting area for future utilization of structural information. 616

617

618 4. Dynamics Representation

619

620 At the heart of enzymology lies the dynamic nature of enzymes (Henzler-Wildman and Kern, 621 2007), a realm where static structural protein models meet their limits (Lane, 2023). Enzyme 622 dynamics are becoming a key ingredient to understanding and engineering enzyme function, 623 yet the incorporation of dynamic representations in ML remains in its infancy. Enzyme 624 dynamics is observed as the collective movements at time scales of femtosecond bond 625 vibrations, nanosecond side-chain fluctuations, and millisecond domain motions. Together, 626 these motions are termed conformational dynamics and are critical for understanding 627 enzymes (Agarwal et al., 2020; Corbella et al., 2023; Henzler-Wildman and Kern, 2007).

628

629 4.1 Dynamics as a Tool to Understand, Predict, and Engineer Enzymatic Activity 630

631 Dynamics are important and offer explanations to why distal mutations accumulate during 632 directed evolution campaigns (Osuna, 2021), why conformational changes such as lid 633 opening/closing rates can be rate-limiting (Wolf-Watz et al., 2004), and how conformational 634 heterogeneity is linked with evolvability of enzyme function (Campbell et al., 2016, 2018; 635 Corbella et al., 2023; Kim and Porter, 2021). Enzyme dynamics form a foundation on which enzymes have been studied rationally, ranging from the canonical β -lactamase (Galdadas et 636 637 al., 2021), to halogenases (Ainsley et al., 2018), transferases (Tian et al., 2024), lipases 638 (Behera and Balasubramanian, 2023), luciferases(Schenkmayerova et al., 2021), 639 dehalogenases (Vasina et al., 2022), and dehydrogenases (Acevedo-Rocha et al., 2021; 640 Calzadiaz-Ramirez et al., 2020). Dynamics often explain the evolution of enzymes, as they 641 seemingly evolve dynamic networks and freeze out unproductive motions to increase 642 catalytic activity (Bunzel et al., 2021; Campbell et al., 2016).

643

- 644 Predictions of mutant effects on dynamics using statistical tools and algorithms are currently
- 645 enabling the challenging task of conformationally driven enzyme design (Osuna, 2021). The
- 646 approaches are, however, not limited to computational tools. Experimentally driven design of
- 647 dynamics is also underway, enabled by advances in NMR, room-temperature and time-
- resolved X-ray crystallography, facilitating experimental studies of enzyme dynamics and
- elucidating its link to activity (Bhattacharya et al., 2022; Broom et al., 2020; Weinert et al.,2017).
- 650 651

652 What remains are ML/DL-driven end-to-end solutions for predicting changes in catalytic 653 activity based on dynamic representations. This necessarily requires numerical

representations that are well-suited for available architectures. The next frontier of
 computational biology is to predict the correlation between conformational dynamics and
 specific mutations, and their effect on activity, work which is well underway. This includes

recent works on multi-state design, including simple dynamic representations to predict
 changes in activity, and ensemble-based enzyme design (Broom et al., 2020; Elia Venanzi et

659 al., 2024; St-Jacques et al., 2023).660

661 **4.2 A Primer on Conformational Dynamics**

662

663 Utilizing the temporal dimension of structural biology implies moving from a single structure

664 parameterized computationally by Euclidean coordinates $\mathbf{x} \in \mathbb{R}^{3n}$ to a set of structures $\mathbf{X} = \{\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_n\}$. The temporal perspective $(\mathbb{R}^{3n}_{x,y,z} \times \mathbb{R}^t)$ is challenging for biologists and

666 computational scientists alike, as relevant collective movements must be extracted and

667 correlated with enzymatic properties. It is a significant challenge for both communities to

668 represent these movements efficiently. The task of dynamic representations is thus finding a

669 map between the high-dimensional input using a collection of structures **X** to a lower-

670 dimensional representation $f: \mathbf{X} \to \mathbb{R}^m$, without losing essential information.

671

672 Reflecting contemporary opinions (Vani et al., 2023), it is pertinent to clarify the dynamics of

673 enzymes, which can be defined as a hierarchy of information (Figure 4). While the simplest 674 protein dynamics examination is short-timescale sampling around one conformational state,

protein dynamics examination is short-timescale sampling around one conformational state, for systems populated by multiple conformational states, *e.g.*, A, B, and C, conformational

675 for systems populated by multiple conformational states, *e.g.*, A, B, and C, conform 676 diversity is defined as all accessible conformations without any order {C, A, B}.

677 Conformational ranking implies that the order of relative population is known {A, B, C}.

678 Boltzmann diversity orders all conformational states with correct Boltzmann weights (relative

679 populations). Lastly, conformational dynamics are all accessible conformational states with

680 correct Boltzmann weights and inter-conversion timescales (arrows in Figure 4). Using these

definitions, many approaches do not rigorously describe conformational dynamics, but only

aspects on low rungs of the information hierarchy.



683 684

Fig. 4. The hierarchy of information for dynamics. Conformational Diversity is all accessible conformations 685 without any order, while the order of the relative population is known in Conformational Ranking. Boltzmann 686 Diversity orders all conformational states according to their Boltzmann weights. Lastly, Conformational 687 Dynamics contains all accessible conformational states with correct Boltzmann weights and inter-conversion 688 timescales (arrows).

689

690 **4.3 Dimensionality Reduction of MD Simulations**

691

692 Enzyme dynamics is typically studied computationally using long-duration molecular 693 dynamics (MD) simulations in silico, based on Newtonian dynamics using small time steps to 694 propagate a system forward a small unit in time (typically femtoseconds, 10^{-15} s). Often, this is carried out for millions of time steps resulting in a high-dimensional representation, and the

695 696 challenge then lies in reducing dimensionality while conserving relevant dynamics

697 information (Figure 5). These reductions are termed collective variables (Bhakat, 2022).

698 699 Collective variables were conventionally geometric measures between key catalytic residues

700 and the ligand (Bhakat, 2022). These may represent the temporal fluctuation of distances,

701 angles, or dihedral angles, thus summarising key interactions. The measures are selected

- 702 based on domain knowledge of enzyme function and mechanism and have been successfully
- 703 used to predict and engineer enzymes (A.Maria-Solano et al., 2018; Elia Venanzi et al.,
- 704 2024).
- 705

706 Modern collective variables are learned, finding a collective coordinate system that retains

- 707 crucial information of the dynamic system. Briefly, a linear/non-linear map (E) is estimated
- 708 which projects the high-dimensional data **X** to a lower dimensional space $y = E(\mathbf{X})$ (See
- 709 Figure 5) (Noé et al., 2020). Common examples include principal component analysis (PCA),
- and time-lagged independent component analysis (tICA) (Bhakat, 2022; Schultze and 710

712 (VAMPnets) (Ghorbani et al., 2022; Mardt et al., 2018), These are frequently used to

represent the dynamic enzyme system and can help with visualizing the relative population of
conformational states (Acevedo-Rocha et al., 2021; Agarwal et al., 2020; Curado-Carballada
et al., 2019; Romero-Rivera et al., 2017).

716



Fig. 5. Procuring protein representations from dynamics. Dynamics are often studied using high
dimensional MD simulations, with X containing both multidimensional spatial and temporal information. Using
a map, *E*, lower-dimensional collective variables that summarise the relevant dynamics of the system can be
extracted. The dimensions can be further reduced by averaging over the temporal dimension, *Z*(y), obtaining
time-averaged variables.

723

In analogy with collective variables, many dynamic representations often remain a function of time, and time-averaged measures are thus beneficial to further reduce the dimensionality

726 (Z(y) in Figure 5). For example, root-mean-square deviation (RMSD, $\mathbb{R}^{n}(t)$) is a time-

dependent measure, but root-mean-square fluctuation (RMSF, \mathbb{R}^n) is not. Time-averaged

measures are popular as they can reduce geometric collective variables (*e.g.* distance

fluctuations) to a single scalar value. While this summarises the entire time series, it is

- inherently coarse-grained, thus potentially losing the representation of key dynamic behavior.
- 731 Nevertheless, the time-dependent and independent measures (RMSD and RMSF,
- respectively) and their variance remain key representations of rigid and mobile regions in
 enzymes as well as or indicators of whether catalytically conducive conformations are
- rss enzymes as wen as or indicators of whether catalytically conducive conformations are sampled. These features can be thought of in the context of the aforementioned map *f*, in this
- rose sampled. These relatives can be mought of in the context of the aforementioned map f, in the rose $Z(E(\mathbf{X}))$, which produces a low-dimensional representation \mathbb{R}^n by summarising the
- variability of a collection of structures \mathbf{X} across a simulation (Ainsley et al., 2018;
- 737 Audagnotto et al., 2022; Kamerlin and Warshel, 2010).
- 738

739 **4.4 Multi-state Design**

740

741 Another state-of-the-art strategy is to employ energy-centric methods. These methods cannot 742 explain anything past the Boltzmann diversity on the conformational information hierarchy and assume that hinge motions or other major conformational states can be slightly perturbed 743 744 in their stability by mutation to favor a desired conformation. These major conformational 745 states may be contributing to substrate specificity and activity, thus a multi-state design 746 accounts for the relevant $\Delta\Delta G$ of mutations with respect to the change in conformation (St-747 Jacques et al., 2023). This energy-centric representation associates an energy value with each 748 mutant and conformational state, which may be used to assess the relative stability of 749 conformational states. In terms of f, each structure **x** is assigned an energy which drastically reduces the dimensionality of the representation. 750 751

752 **4.5 Shortest Path Map; A Dynamic Representation**

753

At equilibrium, a more informative representation of dynamics may instead be derived from
long-duration MD simulations. These representations elucidate allosteric networks
(communication paths between distal residues and the active site) and can be obtained by
considering the dynamic cross-correlation matrix made of elements

758

759

$$C_{ij} = \frac{\langle \Delta r_i \cdot \Delta r_j \rangle}{\sqrt{\langle r_i^2 \rangle \langle r_j^2 \rangle}}$$

- 760 where C_{ij} is the dynamic cross-correlation between residue *i* and *j*, $\langle \Delta r_i \cdot \Delta r_j \rangle$ is the time-
- averaged displacement from the mean coordinate of residue i and j, and $\sqrt{\langle r^2_i \rangle \langle r^2_j \rangle}$ is a normalization factor. This representation was developed by the group of Silvia Osuna and
- recently deployed as a web server (Casadevall et al., 2024), conferring accessibility of
- dynamic representations. The measure lies one rank above residue-independent measures
 such as RMSF, as it treats pairs of residues in a dynamic, but time-averaged, context (Morra
- et al., 2012). One obtains a representation of $\mathbb{R}^{n \times n}$, where *n* is the number of atoms, a square
- 767 matrix with information about the covariance of residues. The allosteric networks derived
- 768 from this representation have been strongly correlated with distal mutations and subsequent
- ros in this representation have been strongly correlated with distal initiations and subsequent effects on catalytic activity. In fact, many directed evolution campaigns accumulate
- 70 mutations along allosteric networks in retro-aldolase, tryptophan synthase, cytochrome P450
- 771 oxygenase, imidazole glycerol phosphate synthase, and protein tyrosine phosphatase
- 772 (Acevedo-Rocha et al., 2021; Calvó-Tusell et al., 2022b; Crean et al., 2021; Gergel et al.,
- 773 2023; Maria-Solano et al., 2021; Romero-Rivera et al., 2022, 2017). Alternatively,
- asymmetric measures have also become prevalent, describing the directionality in coupling and thus also ideal asymptotic (K_{1}, \dots, K_{n})
- and thus elucidating residues controlling dynamics (Kazan et al., 2023).
- 776

777 During catalytic transformation, non-equilibrium dynamics have been observed using 778 advanced MD tools. This so-called D-NEMD method is an alternative but complimentary 779 way of representing allosteric networks from which one obtains a time-dependent vector, 780 $R^n(t)$, that carries information about communication pathways in the catalytic cycle (Castelli 781 et al., 2024; Oliveira et al., 2021).

782

783 **4.6 Learned Dynamic Representations and Future Directions**

- 784 785 Finally, to address conformational transitions using a full description of conformational 786 dynamics, Markov state models (MSM) are critical as they capture both relative populations 787 and inter-conversion timescales between conformational states (Chodera and Noé, 2014). 788 Despite their initial challenges (Konovalov et al., 2021), MSMs have successfully been 789 applied to explain the dynamic behavior of many enzymes, *e.g.*, polymerases, isomerase, 790 glycosylases, and synthase (Gordon et al., 2016; Konovalov et al., 2021; Wapeesittipan et al., 791 2019). With subsequent advances in ML, the collective variables are learned and extracted to 792 form a thermodynamic and kinetic basis for understanding the enzyme in question (Ghorbani 793 et al., 2022; Mardt et al., 2018). They are typically represented by a transition probability 794 matrix ($\mathbb{R}^{|S| \times |S|}$ where |S| is the number of discrete states) and a stationary distribution ($\pi =$ 795 $[\pi_1,...,\pi_{[S]}]$) describing the relative population of states, which are obtained from long-duration 796 MDs.
- 797
- 798 The representations above are often derived from long-duration MD simulations, and thus 799 limit the use of dynamics data in ML due to their computational cost. This tension lies in the
- 800 discrepancy between the femtosecond time step of MDs and the microsecond-millisecond

- 801 timescales at which large conformational changes occur that are important for enzymatic
- 802 catalysis.
- 803

804 In principle, however, MD is not the only approach for obtaining a collection of structures X. 805 The field is currently addressing this through the use of ML tools and DL generative models, 806 where X is considered as being derived from a probability distribution p(x). Generating X is 807 thus a question of sampling from p(x). It has been shown that AlphaFold2 can be used to 808 obtain various conformational states of proteins by feeding shallow MSAs (Casadevall et al.,

809 2023; Sala et al., 2023; Wayment-Steele et al., 2024). These methods only obtain

- 810 conformational diversity on the information hierarchy but have subsequently been extended
- toward Boltzmann diversity using seeded MD simulations (Audagnotto et al., 2022; Vani et
- al., 2023). Alternatively, a combination of AlphaFold2 and generative models has also been
- 813 developed to enable the generation of conformational ensembles (Jing et al., 2024). Thus, a
- rapidly expanding toolkit with which conformational ensembles can be generated is being established (Arts et al., 2023; Bose et al., 2023; Mansoor et al., 2023; Noé et al., 2020),
- enabling dynamic representations to be used for in biocatalysis.
- 817

818 5. Protein-Substrate Representations

819

820 In previous sections, the emphasis has been on the featurisation of the protein. However, 821 those strategies do not consider the possible interactions with the protein environments, e.g., 822 solvents, ligands, substrates, or cofactors. This is an integral part of biocatalysis and 823 constitutes a treasure trove of information that could prove beneficial in the training of ML 824 models. The inclusion of protein-substrate interactions would, in most cases, include 825 molecular docking, but could also involve protein dynamics, QM/MM simulations, or even 826 crystallized complexes (Bonk et al., 2019). This could, in turn, assist in addressing tasks such 827 as predicting substrate specificity or elucidating the structure-function of enzymes (Berselli et 828 al., 2021). Within the realm of ML, features extracted from substrate-docking have yet to be 829 fully leveraged (Ao et al., 2024) and are possibly challenged by difficulties in translating 830 protein-substrate complexes into a numerical and general representation. However, some 831 studies have successfully included information harvested from protein-substrate complexes 832 for ML models employing different strategies which will be introduced in this section (Figure 833 6).

834

835 **5.1 Molecular Docking-based Descriptors and Binding Energies**

836

837 One strategy to generate descriptors of the protein-substrate binding involves the use of 838 scoring functions derived from the docking. For example, the scoring functions from Rosetta 839 (Davis and Baker, 2009; Meiler and Baker, 2006) can be combined with physicochemical and 840 active site descriptors to train a model that can predict the substrate scope of bacterial 841 nitrilases (Mou et al., 2021). The scoring functions described interfacial interaction energy 842 terms including full-atom van der Waals attraction, electrostatics, van der Waals repulsion, 843 hydrogen bonding terms, and solvation energy. From all the features used to train the random 844 forest model, the attractive part of the Lennard-Jones potential obtained from the molecular 845 docking scoring functions was revealed to be the most consistently important variable for the 846 model's performance. A similar approach has been employed to predict the site of 847 metabolism for cytochrome P450 monooxygenases and their substrates in multiple instances 848 (Feng et al., 2023; Huang et al., 2013; Zaretzki et al., 2013, 2011). One example included the use of substrate interaction-based descriptors derived from Autodock Vina (Eberhardt et al., 849 850 2021; Trott and Olson, 2010) along with chemical reactivity descriptors to train a multiple-

- instance ranking algorithm (Huang et al., 2013). The model was then used to predict the site
- of metabolism of the substrates of two cytochrome P450 enzymes, yielding an accuracy of
- the top two predicted rank positions of 86 % and 83 %, respectively for the two isoforms.
- 854



855 856

Fig. 6. Approaches for encoding the protein-substrate complexes. The protein-substrate complex can be
encoded based on the intermolecular interactions into a binary string commonly denoted as a fingerprint (left).
The complex can also be represented by the dihedral angles and distances between catalytic residues along with
the angles and distances between catalytic residues and the substrate (middle). Lastly, the protein-substrate
complex can be converted into a graph representation where the nodes represent the atoms and the edges
represent the interaction between two atoms (right). Notably, while not shown, the complexes can also be
represented using scoring functions.

863

864 A slightly different route was taken in a study of the bile acid specificity in a single bile acid hydrolase (WT and two mutational variants) (Karlov et al., 2023). Here, a previously 865 866 published complex of the bile acid hydrolase and a bile acid was used as a template to model the complex with other bile acid substrates with MD simulations. The last nanosecond of a 867 868 100 ns simulation was used for binding energy calculations employing molecular mechanics Poisson-Boltzmann surface area and molecular mechanics generalized Born surface area 869 870 methods implemented in AmberTools (Case et al., 2023). The calculated binding energies 871 were then correlated with the corresponding activity data using linear regression which led to

- the identification of structural determinants of substrate binding and specificity.
- 873

874 **5.2 Interaction fingerprinting**

875

876 Another way of representing protein-substrate interactions is through interaction

- 877 fingerprinting which captures the protein-substrate interactions in one-dimensional binary
- 878 representations (Figure 6) (Desaphy et al., 2013). This method was utilized for predicting
- 879 kinase inhibitors by comparing models trained on ligand-interaction fingerprints with models
- trained on molecular fingerprints of the substrates (Witek et al., 2014). Here, the models
- trained on the interaction fingerprints outperformed the models trained on molecular
- fingerprints in discriminating between active and inactive compounds. The use of interaction
- fingerprints was also explored in a model trained to predict the ligand affinity of HIV-1

protease inhibitors (Leidner et al., 2019). The authors extracted interaction fingerprints from
crystallized protein-substrate complexes harvested from the Protein Data Bank (Berman et
al., 2000), adapting the binary encoding into continuous features describing selected noncovalent interactions. These interaction fingerprints were used to train a gradient-boosting
model achieving an RMSE of 1.48 kcal/mol. The study also demonstrated the interpretability
of the model using Shapley values which elucidated that van der Waals interactions were
critical for model performance.

891

892 **5.3 Distance and Angle-based Representations**

893

894 An alternative encoding strategy for protein-substrate complexes is the use of distances and 895 angles between the substrate and surrounding residues (Figure 6). This was leveraged in a 896 study of hydrolases for the breakdown of several classes of substrates (Ran et al., 2023). Here, the authors aimed to construct a model that could predict the hydrolytic activation free 897 898 energy for the reactive complexes of hydrolase-catalyzed reactions along with the favored 899 enantiomer of the product. The ability to predict the enantiomeric outcome was enabled by 900 including an atomic distance map consisting of atomic distances between a docked substrate 901 and the C α atoms of the surrounding catalytic residues transformed into a tensor by a single-902 layer CNN. This map was concatenated with the dihedral angles of the docked substrate 903 converted into sine and cosine values. Combined with sequence-based representations and 904 substrate SMILES, this model could classify reactive and unreactive poses achieving an AUC 905 of 0.87 and a good Pearson R value of 0.72. The model predicted the enantiomeric preference 906 with an accuracy of 55 %. Distances and angles between substrate and enzyme were also 907 employed in a study of ketol-acid reductoisomerases (Bonk et al., 2019). The 68 generated 908 features, consisting of distances and angles between catalytic residues, substrate, cofactor, 909 and active site waters, and magnesium ions, were regularised using LASSO regression, fed to 910 a logistic classifier, and subsequently clustered. The trained model could differentiate between reactive and almost-reactive trajectories with >85 % accuracy. Furthermore, ranking 911 912 the features from LASSO enabled the identification of a subpart of the reactive site to be 913 particularly important in describing the activity of the enzyme.

914

915 5.4 Graph Neural Networks for Protein-Substrate Interactions

916

917 Lately, GNNs have been readily employed to capture detailed information from the protein-918 substrate complex by converting the docking pose into a graph representation where the 919 nodes represent the atoms and the edges represent their interaction (Yang et al., 2023). This 920 could include the interaction between protein and substrate, between protein and protein, and 921 between substrate and substrate (Figure 6) (Lu et al., 2023; Xia et al., 2023). While not in the 922 realm of biocatalysis, this technique has been used to improve the accuracy of scoring 923 functions of molecular docking (Wang et al., 2022; L. Yang et al., 2021) and to predict 924 protein-ligand affinities (Mastropietro et al., 2023; Wang et al., 2023), especially within drug 925 discovery (Z. Yang et al., 2022). Since enzymes do not solely rely on binding affinity for 926 their functionality, one cannot draw direct parallels between the use of GNNs in these cases 927 and in the case of predicting/understanding the substrate scope of enzymes. However, one 928 study used a GNN-based model to predict and interpret the substrate specificity of multiple 929 mutational variants of two model proteases (Lu et al., 2023). This was achieved by 930 developing a protein graph convolutional network that could model protein structures and 931 their complexes as fully connected graphs where each node corresponded to an amino acid 932 from either the protein or the peptide-substrate while the edges represent the pairwise residue 933 interactions between the nodes. The generated model could ultimately predict protease

- activity with a given substrate achieving an accuracy >85 % across protease variants. In
- addition, the authors also displayed how node and edge ablation tests provided insights into
- the feature importance of the models. In a model that only included sequence-based features,
- the edges did not affect the model accuracy, and the peptide nodes played a leading role.
- 938 However, when energy-based features were included, ablating edge-based features 939 significantly impacted the model accuracy with the intermolecular edges being particularly
- significantly impacted the model accuracy with the intermolecular edges being particularlyimportant.
- 941

942 Overall, the use of protein-substrate complexes to generate representations holds great

943 promise within ML for biocatalytic systems. Many of the described methods capture 944 interpretable information which is useful in cases where explainability is an important factor. 945 However, one should still keep in mind that obtaining protein-substrate complexes is 946 computationally demanding when using molecular docking, making the method realistic for 947 smaller datasets, at least until the ML-based docking methods significantly accelerate the 948 process (Buttenschoen et al., 2024). In addition, molecular docking is not an accurate method, 949 especially without manual inspection of poses, which could directly impact the accuracy of 950 the model.

950 951

952 6. Choosing a Suitable Representation

953

954 Selecting the most appropriate representation approach when constructing models can be a 955 challenging task, and although several attempts have been made to examine the efficacies of 956 different encoding techniques (Elabd et al., 2020; Goldman et al., 2022; Michael et al., 2023; 957 Bruce J. Wittmann et al., 2021), no consensus exists for determining the best representation 958 for a new protein ML model. Consequently, finding a suitable protein representation remains 959 case-dependent. To address this issue, we propose two general factors to consider (Figure 7). 960 The first factor is the model setup, determining the overall design of the predictive tool. This 961 includes the size of the training dataset, defining the ease of discovering hidden patterns, and 962 the choice of ML architecture, imposing requirements for the input representation. The 963 second factor is the model objective, describing the type of task envisioned for the resulting model. Linking the choice of representation with project objectives such as the assayed 964 965 property, wild type vs. mutational predictor, and explainability may eventually increase the 966 chances of achieving these objectives. We expect that these two factors can be used as a 967 source of inspiration and guidance when creating new ML models for biocatalysis. 968

969 6.1 Model Setup

970

When developing an ML model, design decisions are often made based on element harmony, where the size of the dataset matches the model architecture. This is also applicable to the choice of a suitable protein representation, and selecting a harmonious encoding strategy based on the model setup is extremely important. In this section, we will discuss how model design can influence the appropriate representation approach.

976

977 6.1.1 Size of Dataset

978

An important feature of the model setup is the size of the dataset. Here, a protein

- 980 representation approach that produces a large feature set might be problematic when
- 981 encoding smaller data sets due to a poor data-to-feature ratio, as the high dimensionality
- 982 introduces sparsity and higher chances of finding patterns in feature noise. This can lead to
- 983 significant overfitting, thus hindering the identification of hidden patterns and trends in the

data which is crucial for an efficient and accurate predictive model (Bellman, 1961;

Theodoridis and Koutroumbas, 2008). The low-to-medium-throughput nature of experiments
is a common issue in biocatalysis, which imposes significant restrictions on the choice of

- 987 suitable representations for ML to ensure only informative features are incorporated.
- 988



989 990

Fig. 7. Factors influencing the choice of a suitable protein representation. The first main factor is "model 991 setup" (colored blue), which concerns the size of the dataset due to small datasets potentially preventing the 992 discovery of patterns contained in sparse representations. The choice of ML architecture might instead impede 993 the use of certain representations due to incompatibility. The second main factor is "model objective" (colored 994 beige), as specialized representation might enhance models for predicting assayed enzyme properties such as 995 activity, while full representations will likely better suit global properties, e.g., thermostability. Furthermore, 996 WT models impose different requirements on the encoding strategy than mutant predictors due to the disparity 997 in representation similarity. Finally, any explainability task will benefit from a clear connection between the 998 model features and protein features.

999

A promising strategy to circumvent this problem is to leverage the large pre-trained models for self-supervised representation learning (Ferruz and Höcker, 2022; Notin et al., 2023; Qiu and Wei, 2023). A notable example of this is the approach introduced by Biswas et al., which involved fine-tuning the deep neural network UniRep by using the sequences evolutionarily

1004 related to their protein of interest, GFP, thus adapting the resulting latent vector embeddings

- 1005 to better encode protein information crucial to the evolution of GFP (Biswas et al., 2021).
- 1006 The resulting ML models were capable of identifying mutants with increased fluorescence
- using as few as 24 mutants as training data. Biswas et al. observed a large sequence diversity 1007
- 1008 in the new model-based variants, suggesting that the increased density of evolutionary
- important information contained in the protein representation due to the fine-tuning 1009
- procedure allowed for a greater exploration of the sequence-to-function space. 1010
- 1011
- 1012 Related to utilizing knowledge from pre-trained embeddings, insights obtained from a
- 1013 mutational study of a single enzyme can be transferred to homologues with little
- 1014 characterization. This is known as transfer learning which entails training models on large
- 1015 datasets to study scarce datasets (Yosinski et al., 2014). This could eliminate the requirement
- 1016 of conducting a thorough mutational assay every time a new enzyme is examined and facilitate Low-N modeling, though this is yet to be explored for biocatalysis.
- 1017 1018
- 1019 Alleviating the issue of a low amount of data can be done with the previously mentioned
- approach of augmenting a VAE-based evolutionary density score with a simple OHE (Hsu et 1020
- 1021 al., 2022). Models trained on as few as 48 proteins exhibited good performance when
- 1022 utilizing this augmentation technique. This finding highlights how combining representations
- 1023 containing different protein information can be beneficial.
- 1024

1025 Notably, while a low amount of data is a significant hindrance for most encoding strategies, a large dataset might instead hinder the use of representations requiring significant processing 1026 1027 power. This includes methods for QM calculations or MD simulations, as their computational 1028 demands make them infeasible for datasets with a large selection of proteins. This might be especially relevant for predictive models trained on dynamics representations, as the 1029 1030 acquisition of such protein encodings is often computationally expensive, introducing a 1031 question of balance between a larger dataset and an increased usage of computational resources.

1032

1033

1034 Lastly, while the size of the training dataset is extremely influential for the choice of suitable representation, another important related step is the split between test and training data. Here, 1035 1036 the choice of representation influences the preferred approach for cross-validation due to the different types of information bias(Corso et al., 2024; Kanakala et al., 2022; Kroll and 1037 Lercher, 2023; J. Li et al., 2023). It is important to harmonize the dataset validation strategy 1038 1039 with the protein representation.

1040

1041 **6.1.2** Choice of Architecture

1042

1043 Even though the choice of model architecture is often related to the amount of training data 1044 available due to how the performance of ML algorithms often depends on the size of the 1045 dataset (Beleites et al., 2012; Raudys and Jain, 1991), the architecture imposes different 1046 requirements to the representation than those described in the previous section. While innumerable ML architectures have been developed, researchers are more likely to build 1047 1048 models inside of their field of expertise. Therefore, the model architecture is often determined 1049 before the encoding approach, and the choice of protein representation is therefore strongly influenced by the model architecture. Classical ML methods, such as logistic regression, 1050 KNN, and random forest, usually require a 1D vector with numerical values. Consequently, 1051 1052 any multidimensional information must either be flattened or reduced in dimensions before 1053 use in these models, potentially losing the important data structure contained in the 1054 representation. Employing a representation with a large feature set together with the simplest

1055 of architectures might also cause problems due to their limited capacity to discover the 1056 patterns in the feature set.

1057

1058 Some protein representations might require the use of advanced DL architectures such as

GNNs and CNNs as highlighted in the description of structure representations. If a 1059

researcher's field of expertise is mainly CNNs, combining these ML architectures with a 1060

- 1061 protein voxel representation is likely more beneficial than attempting to employ protein
- 1062 graphs and GNNs. Consequently, the generalisability of fixed descriptors is quite advantageous.
- 1063
- 1064

1065 Finally, some ML models have shown dispositions towards memorization instead of 1066 generalization (Buttenschoen et al., 2024; Corso et al., 2024; Kroll and Lercher, 2023; 1067 Wallach and Heifets, 2018). Rather than learning a fundamental relationship between the proteins and their function through the model features, they memorize all individual 1068 1069 representations in the training set which leads to a high degree of overfitting. If the chosen 1070 architecture tends to achieve high validation accuracy due to such memorization, we propose 1071 to employ fixed encoding strategies instead of learned representation. This is due to the latter 1072 often behaving as a fingerprint with few similarities between two representations, while a set 1073 of proteins encoded with fixed representations often has the same values across different 1074 descriptors. In consequence, the model will be less likely to turn towards memorization when 1075 these fixed features are used.

1076 1077

6.2 Model Objective 1078

1079 The second factor that influences the choice of suitable protein representation is the objective 1080 envisioned for the ML model. Certain enzyme properties might benefit from using specialized representation methods. Another important distinction comes from the contrast 1081 1082 between training models on WT and mutational data. Finally, we will discuss tasks in which 1083 explainability is essential.

1084

1085 **6.2.1 Assayed Property**

1086

1087 If the objective of the model is to examine the activity or specificity of the enzymes, it is crucial to encode the active site — potentially only focusing on the area of the protein 1088 1089 containing this site. In our recent model for glycosyltransferase acceptor specificity 1090 predictions, we limited the representation to contain only the N-terminal domain which 1091 contains the acceptor binding site (Harding-Larsen et al., 2023). The structure-informed ASC 1092 method also allowed Röttig et al. to focus the representation on the active site (Röttig et al., 1093 2010). Other examples of the representations targeting task-specific parts of the protein 1094 include the domain embeddings of Domain-PFP for predicting Gene Ontology (GO) 1095 annotations (Ibtehaz et al., 2023), the site embeddings and encoding of neighbouring regions N-linked glycosylation site predictions in EMNGly (Hou et al., 2023), and the 1096 1097 microenvironments of MutCompute used for identifying position where mutations can

- 1098 stabilize the local environment (Paik et al., 2023; Shroff et al., 2020).
- 1099

1100 However, as previously described, limiting the representation to specific areas of the protein

- can potentially remove important information, such as for allostery or protein fitness. To 1101
- 1102 capture this information, a more general protein encoding will be more suitable to allow the
- 1103 resulting ML model to explore the entire sequence and structure landscape.
- 1104

- 1105 6.2.2 Wild Type vs Mutational Data
- 1106

1107 Aside from predicted property, the type of enzymes, be it mutants or wild-type (WT)

proteins, will also significantly influence the choice of representation as two variants of the 1108

same enzyme are inherently more similar than two WT proteins from the same family. An 1109

ML model trained on mutant data can thus utilize more specialized protein representations 1110

1111 than a model trained on WT data due to a significant portion of the sequence being constant

- 1112 across every variant. This strategy was employed by Saito et al. to encode variants of Sortase
- A for use in MLDE by only encoding five positions known to result in a high-activity variant, 1113
- 1114 ultimately achieving an improved variant of the enzyme (Saito et al., 2021). Such an

1115 approach will not be possible for a WT predictor, as not only will large portions of the 1116 proteins potentially differ, but the length of each protein is unlikely to be equal.

1117

1118 Due to the limited variance contained in the sequences of mutant datasets, the representation

1119 strategies require higher sensitivity to the minute changes between each variant. Otherwise,

- the resulting ML model will be unable to discern top-performing variants from those of poor 1120
- 1121 nature. Unfortunately, no gold standard has been established for the sensitivity of encoding
- 1122 techniques, and it is therefore difficult to determine the best representation strategy in this
- endeavour. Wittmann et al. proposed that learned embeddings obtained from models trained 1123
- 1124 on MSAs will result in representations containing a higher density of information important 1125 for mutational tasks due to highlighting which mutations are evolutionarily feasible (Bruce J.
- Wittmann et al., 2021). Nevertheless, they only observed small performance increases when 1126
- 1127 using embeddings from MSA Transformer (Rao et al., 2021), highlighting how a suitable
- 1128 representation can be highly case-dependent. Consequently, new representation learning
- 1129 models should be benchmarked through large collections of diverse datasets such as the deep
- 1130 mutational scans collected in ProteinGym (Notin et al., 2023).
- 1131

1132 WT models do not have the same sensitivity issue due to the larger variance between the 1133 training sequences. This is of course by design, as WT models often remove proteins within a 1134 preset similarity cutoff. Instead, the representation of WT proteins introduces a question of compatibility across all proteins in both the training and test data. Methods requiring 1135 1136 sequence alignments, such as OHE, BLOSUM encodings, or structure-informed approaches, will not work with sequences of low similarity. Here, graph models trained on structurally 1137 1138 heterogeneous enzymes might be superior.

1139

1140 **6.2.3 Explaining Protein Representations**

1141

1142 In some studies, the model objective is mainly to produce a predictive model that can be

1143 utilized for future in silico scoring of potential variants or WT enzymes for a given reaction.

1144 In that case, the representation strategy producing the highest accuracy is likely desired.

1145 However, if the purpose of the model is instead to obtain a fundamental understanding of the

- 1146 forces governing the protein function and the modeled process, the explainability of the model is crucial.
- 1147
- 1148
- 1149 Recently, the notion of Explainable AI (XAI) has gained momentum, with terms such as
- explainability, interpretability, and justification being regarded as increasingly valuable for 1150
- new models (Novakovsky et al., 2022; Vilone and Longo, 2020; Wellawatte et al., 2023; 1151
- 1152 Wojciech Samek et al., 2019). In ML for biocatalysis, the ability to explain model decisions
- actively allows a more thorough understanding of enzyme features and phenotypes. However, 1153
- 1154 as XAI mainly addresses the model features, the accuracy of said explanations depends on the

1155 connection between model features and protein properties — a connection, that is defined by

- 1156 the encoding strategy.
- 1157

1158 If the model features represent inherent amino acid characteristics such as physicochemical 1159 properties, incorporation of XAI can help pinpoint which of these residue features are

1160 important for model predictions. This knowledge may lead to novel insights as well as

1161 potentially assist in choosing targets for the rational design of new variants with enhanced

- 1162 enzymatic properties. XAI was utilized by Robinson et al. to elucidate the essential residues
- 1163 for the activity of thiolase members of the OleA enzyme family (Robinson et al., 2020) and
- by Taujale et al. to discover a buried residue important for the donor specificity of fold A
- 1165 glycosyltransferases (Taujale et al., 2020).
- 1166
- 1167 If coarse-grained protein properties are implemented in the model features, the ability to
- 1168 identify important amino acid attributes is reduced. Here, the implementation of XAI can
- 1169 instead be utilized to compare the influence of the different protein characteristics, an
- approach taken by Heckman et al. to highlight the importance of structural properties for the
- 1171 activity of metabolic enzymes at the genome scale (Heckmann et al., 2020, 2018), as well as
- by Mou et al. (Mou et al., 2021) and Carlin et al. (Carlin et al., 2016) to identify key ligand
- 1173 binding-related features for nitrilase substrate specificity and glycoside hydrolase kinetics,
- 1174 respectively.
- 1175

1176 Finally, encoding the protein using learned embeddings introduces some interesting

- 1177 challenges in XAI, as the abstract representation often does not translate directly to specific
- 1178 properties in the protein. Consequently, explaining the protein properties based on the
- 1179 importance of the model features is even more complicated than for the coarse-grained
- 1180 representations. One solution is to use an attention mechanism when constructing the protein
- embeddings, as implemented by Li et al. when examining the positional importance with regard to the k_{cat} of WT metabolic enzymes (Li et al., 2022). Due to the DL nature of their
- model architecture, they would have been unable to directly extract the feature importance of
- their model (Wellawatte et al., 2023; Wojciech Samek et al., 2019). Here, the authors incorporated an additional sub-architecture, the attention mechanism, that allows the model to
- 1186 "remember" the connection between input properties and embedding features (Bahdanau et al., 2014; Li et al., 2022; Wellawatte et al., 2023).
- 1187

Instead of changing the architecture, the model decisions can also be elucidated using input perturbation such as *in silico* mutagenesis, where the input sequence is perturbed by changing a single amino acid and then examining the difference between the model prediction of the original and new sequence (Novakovsky et al., 2022; Zhou and Troyanskaya, 2015). This difference, also known as the attribution score (Novakovsky et al., 2022), can then be calculated for a large number of perturbations, ideally, all possible ones, resulting in a thorough sequence-function landscape of the ML model. This landscape can be examined to

- thorough sequence-function landscape of the ML model. This landscape can be examined to determine the key residue properties, thus introducing explainability to an inherently abstract
- 1197 protein representation and modeling approach.
- 1198

1199 **7. Summary & Outlook**

1200
1201 In this review, we have presented a diverse selection of the most prominent strategies for
1202 encoding enzyme information for ML modeling. The representation approaches are capable

1203 of utilizing varying levels of protein information, from primary sequence to temporal

1204 dynamics, and their complexities range from fixed descriptors with little inherent bias to

- 1205 learned presentations extracted from complex DL models. To navigate this ever-growing
- 1206 field, we introduced two main factors for choosing the most suitable encoding strategy:
- 1207 "model setup", especially concerning the training dataset size and ML architecture, and
- 1208 "model objective", relating to the assayed enzyme property, the differences between a WT
- 1209 model and mutant predictor, and explainability of the model. We believe that this review 1210 serves as both a source of information and a guide for future researchers in biocatalysis when
- 1210 serves as both a source of information and a guide for future researchers in biocatarysis will 1211 determining a suitable encoding strategy for their own ML models. The field is rapidly
- 1211 determining a suitable encoding strategy for their own ML models. The field is rapidly 1212 expanding, and we envision a promising future for the development and use of more
- 1212 sophisticated protein encodings. Solving the Low-N objective is a pressing objective, and
- 1213 future approaches should build on the pioneering work of fine-tuning pre-trained PLM
- 1215 embeddings or the combination of representations containing distinct information and
- 1216 inherent bias. Another vital task is to efficiently incorporate protein dynamics representations
- 1217 due to their ability to capture crucial aspects of enzymatic behavior. Lastly, we hope that
- 1218 future ML projects for biocatalysis will ensure a better alignment between the choice of
- 1219 protein representation and model design.

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