Boosting ¹H and ¹³C NMR signals by orders of magnitude on a bench

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Sensitivity is often the Achilles' heel of liquid-state nuclear magnetic resonance (NMR) experiments. This problem is perhaps most pressing at the lowest fields (e.g., 80 MHz ¹H frequency), with rapidly increasing access to NMR through benchtop systems, but also sometimes for higher-field NMR systems from 300 MHz to 1.2 GHz. Hyperpolarization by dissolution Dynamic Nuclear Polarization (dDNP) can address this sensitivity limitation. However, dDNP implies the use of massive and complex cryogenic and high-field instrumentation which cannot be installed on the bench. We introduce here the very first compact helium-free 1 Tesla tabletop polarizer as a simple and low-cost alternative. After freezing and polarizing the frozen analyte solutions at 77 Kelvin, we demonstrate ¹H signal enhancement factors of 100, with rapid 1 second build-up times. The high polarization is subsequently transferred by ${}^{1}H \rightarrow {}^{13}C$ cross-polarization (CP) to ${}^{13}C$ spins. Hyperpolarization in such a simple benchtop polarizer, in combination with the use of hyperpolarizing solid matrices (HYPSOs) may open the way to replenishable hyperpolarization throughout multiple liquid-state NMR experiments.

Hyperpolarization methods provide a way to tackle the low sensitivity inherently associated with nuclear magnetic resonance (NMR) and acquire more intense signals in shorter times. This problem of sensitivity is becoming even more pressing today with the fast-growing democratization of low-field benchtop NMR systems. Among the wide array of hyperpolarization strategies available nowadays¹, dissolution Dynamic Nuclear Polarization (dDNP) stands out due to its ability to boost nuclear spin polarization – and therefore sensitivity – of almost any small molecular target with long enough nuclear spin-lattice relaxation in solution (typ. > 1s)^{2,3}. For this reason, dDNP has been overcoming the Boltzmann limits of numerous solution-state magnetic resonance applications^{4,5} in need for trace analyte detection for over 20 years now, especially advancing biomolecular studies such as metabolomics^{6–10}, drug discovery^{11–14}, or enzymatic reaction monitoring¹⁵.

Even though dDNP has evolved into a robust, versatile, and even commercially available hyperpolarization technique, a considerable limitation remains its intrinsically destructive and therefore 'single shot' character. Once high electron polarization from unpaired radicals has fuelled nearby nuclear spins polarization by means of microwave irradiation in a frozen and glassy state at low temperature (1 - 4 K), dissolution with hot and pressurized solvent is performed to rapidly melt and transfer the sample into the liquid-state NMR spectrometer for detection. During the transfer, the sample is irreversibly diluted and sometimes contaminated with radicals and glassy agents - most commonly glycerol - further contributing to the relatively fast relaxation regime in the liquid state¹⁶. Dilution can be easily pinpointed to be the critical factor that translates dDNP into a destructive operation. However, it is also a critical factor for the success of dDNP since it attenuates unwanted paramagnetic relaxation induced by the presence of radicals in the liquid solution while simultaneously lowering the viscosity of the solution. Therefore, dilution overall attenuates important loss of polarization. Afterwards, only during the hyperpolarization lifetime (typically ranging from a few seconds for ¹H to minutes in the case of ¹³C or other heteronuclei) the increased signal can be detected using either one hard or a series of small angle rfpulses. Unfortunately, due to its irreversible nature, dDNP can only scratch the surface of the full spectroscopic potential of hyperpolarized liquid-state NMR with experiments that require numerous consecutive acquisitions for either phase cycling or multidimensional detection schemes. Multidimensional NMR, despite causing a revolution when it was introduced already 50 years ago, thus remains mostly incompatible with dDNP to this day in contrast to many other hyperpolarization strategies.

In the case of parahydrogen-induced hyperpolarization (PHIP), the introduction of the signal amplification by reversible exchange (SABRE) technique enabled continuous room temperature hyperpolarization of specific targets by means of $p-H_2$ acting as an inexhaustive source of

¹Universite Claude Bernard Lyon 1, CNRS, ENS Lyon, CRMN UMR 5082, 69100 Villeurbanne, France. ² Universite Claude Bernard Lyon 1, Institut de Chimie de Lyon, CP2M UMR 5128 CNRS-UCBL-CPE Lyon, 69616 Villeurbanne, France. ³Bruker Italia S.r.I., Viale V. Lancetti 43, 20158 Milano, Italy. ⁴Bruker Biospin, 76287 Rheinstetten, Germany. ⁵Bruker Biospin, Billerica, Massachusetts 01821, United States. e-mail : charlotte.bocquelet@univ-lyon1.fr; ewoud.vaneeckhaute@univ-lyon1.fr spin order^{17–21}. Also, chemically induced DNP (CIDNP)^{22–24} and optical pumping (OP)^{25,26} can continuously hyperpolarize specific targets via a number of photoactive molecules and noble gases, respectively, in relatively easy-to-operate experimental conditions. Yet, despite their reversible character and less demanding hardware requirements, hyperpolarization via PHIP, SABRE, CIDNP, and OP remains highly target-specific. The presence of specific chemical interactions between polarizing agents and the respective molecular targets is a key ingredient to activate the hyperpolarization mechanism. More recently, indirect methods were developed in which hyperpolarization initially produced by DNP²⁷ or PHIP at room temperature is transferred by nuclear Overhauser effect (NOE) after mixing polarized source and unpolarized target molecules. While these methods have some ability to deliver non-selective hyperpolarization (with varying efficacy due to the NOE transfer), they remain destructive by mixing and diluting.

DNP-induced hyperpolarization at low temperature produces hyperpolarization that spontaneously propagates among all same-kind of nuclear spins through spin diffusion²⁸ which thrives on energyconservative dipolar flip-flops. This allows for the efficient redistribution of nuclear polarization homogenously to any complex mixtures of targets in the sample independent of its chemical nature. Still dDNP remains destructive by dilution thus by its single shot nature.

A major scientific challenge remains to consolidate the universality of DNP and the benefits of multidimensional NMR. The introduction of ultrafast 2D sequence^{29–31} to perform homonuclear 2D NMR acquisitions in a single scan after dissolution illustrates previous efforts to achieve this goal. However, ultrafast NMR requires strong gradient pulses that affect both spectral resolution and sensitivity, thus lowering the benefits of signal gain by hyperpolarization. On top, not all multidimensional experiments are amenable to ultrafast to this day.

Inspired from previous work from Griffin's³² and Kentgens'^{33,34} groups, we are presently working on the development of widely accessible and recyclable DNP, with the additional aim of operating without dilution nor radical contamination, in an all-in-one compact system that will ultimately be installed on the bench, and, for example, directly connected to a benchtop NMR system. This will ensure compatibility of hyperpolarization with multi-scan analysis of liquid samples, aimed at advancing NMR in a chemical and metabolomic context. We propose a new instrumental setup designed to generate a pure and replenishable source of hyperpolarization, for a novel hyperpolarized flow (HypFlow) DNP methodology. It incorporates hyperpolarizing porous solids that is the key to our attempt to make DNP recyclable, by avoiding dilution, yet thriving on spin diffusion.

In our approach, the DNP polarizer operates at readily accessible conditions of 1 Tesla and 77 Kelvin and features ${}^{1}H \rightarrow {}^{13}C$ cross polarization (CP). Figure 1 schematically summarizes the HypFlow DNP approach used to replenish the hyperpolarization of target analytes flowing through a closed loop. The DNP polarizer uses liquid nitrogen instead of liquid helium as a coolant, and a permanent magnet instead of a superconducting magnet. This allows a compact design with dramatically reduced running costs. Second, a heterogenous stationary phase composed of porous materials (e.g. silica- or polymer-based) containing covalently immobilized radicals, in which the analytes of interest can be impregnated ${}^{35-38}$, is employed to circumvent the dissolution and dilution of the sample and the use of radical and

glassing agent contaminants. The analytes get impregnated in the mesoporous hyperpolarizing solids that fuel hyperpolarization through covalently attached radicals once the heterogenous phase has been cooled to 77 K. The use of immobilized radicals blocked in a flow cell by means of µm-sized filters is critical in keeping the analyte solution radical-free. Ultimately, beyond this article's scope, a rapid melting step is envisioned^{32,33}, after which pure analyte solution will be extruded with a high-pressure liquid pump, similar to what has been achieved by Han and Münnemann and co-workers in liquid state DNP^{39,40}. Our ultimate goal is to flow a pure hyperpolarized solution towards a benchtop or high-field NMR measurement while most of its enhanced polarization is preserved.

The present study focuses on a first step towards our aim of developing a widely accessible and recyclable DNP alternative, namely the initial quantification of solid-state DNP performances for both ¹H and ¹³C at $B_0 = 1$ T and T = 77 K with free radicals in solution as well as grafted onto hyperpolarizing solids (HYPSOs). This is a crucial step to assess the overall performance of the approach, which is unpredictable as DNP experiments, preceding dissolution, are usually performed at both much lower temperatures (1-4 K) and/or at much higher magnetic fields (3-7 T). The instrumentation presented here was built precisely



Fig. 1 | Scheme of the prospective HypFlow DNP system with its different parts. In (1), the ~ 600 µL sample loop is filled with the solution through port IN and OUT of a six-way port valve. When the loop is filled, the valve turns and closes the loop. A portion of the sample (in white) impregnated in the hyperpolarizing mesoporous material, retained between two filters (see zoom), is then frozen (2) at 77 K in the cryostat (in light blue) where DNP occurs upon frequency modulated microwave irradiation (µwave horn in yellow) at 1 Tesla. After the maximum level of polarization is reached, the DNP flow cell (in dark blue) is lifted (3) with a stepper motor (not represented here) to the sample melting system (in red) and the solution is rapidly melted. The high-pressure dosing pump is then activated (4) to move the liquid in the sample loop, thus transferring the hyperpolarized portion of the solution into the NMR coil. Here, the liquid state analysis (5) occurs at 2 Tesla while the DNP flow cell is lifted down again to freeze another portion of the sample and perform simultaneous DNP.

to access this information and is suited for static DNP measurements. The DNP enhancements on ¹H and ¹³C were first quantified with nitroxide radicals in a frozen solution. The feasibility of enhancing the sensitivity of impregnated solutions in porous matrices with covalently attached nitroxide radicals was also proven in those DNP conditions with an enhancement of ~62 for protons in water, and the high polarization subsequently transferred to ¹³C spins of [1-¹³C] sodium acetate, with an extended hyperpolarization lifetime compared to that of proton. This is a critical milestone that opens the way to our HypFlow approach.

Results

Design of the benchtop DNP polarizer

The benchtop DNP polarizer, visualized in Fig. 2a and in the Supplementary Fig. 1, was co-developed in collaboration with Bruker BioSpin serving as the prototype for the HypFlow system. The dimensions of the DNP polarizer are only 52x35x36 cm (lxwxh) encasing a temperature-stabilized 1 T permanent magnet. The static magnetic field (B_0) is orientated horizontally and provides a 22 ppm homogeneity over the sample volume (200 µL) suited for performing both DNP and static solid-state NMR experiments. Inside the polarizer, a DNP insert includes (i) a cryostat for operating at a base temperature of 77 K, (ii) a microwave transmitting antenna to induce DNP transfer from electrons to nuclear spins and (iii) ¹H and ¹³C radiofrequency (*rf*) coils to perform both cross-polarization (CP) transfer and detect the respective hyperpolarized NMR signals. The samples under investigation can be analyzed in a conventional 4 mm EPR tube which can be accommodated inside the DNP cavity.

To freeze the sample, a vacuum-sealed glass insert (Fig. 2b) is continuously supplied with nitrogen gas cooled and partly liquified by a heat exchanger. The base operating temperature of the cryostat reaches down to 77 K which is significantly higher than for conventional dDNP experiments operating at liquid helium temperatures at around 1.2-1.6 K. While this compromises the final achievable nuclear spin polarization due to an electron polarization of $P_{\rm e} \approx 0.85\%$ (instead of $P_{\rm e} > 99\%$ under dDNP conditions) it provides more magnetization per unit of time (build-up times on the order of a second instead of close to an hour), and overall a dramatically faster DNP turnover, thus enabling future implementation for replenishable hyperpolarization with freeze/melt cycles. The full operation and temperature monitoring inside the cryostat is described in the experimental methods.

A custom-made K α -band microwave source generating frequencies in the range of 26.6 – 28.8 GHz allows for polarization transfer from electrons to nuclear spins, with optional sinusoidal or triangular frequency modulation, and a maximal power of 5 W ± 2W depending on the frequency. Once generated by the microwave oscillator and amplified by a solid-state amplifier, the microwaves are transmitted to the DNP cavity by means of a coaxial transmission line. Next, a WR-28 coaxial to rectangular waveguide transition excites the TE₀₁ rectangular microwave mode. A standard gain microwave horn finally acts as an antenna and directs the microwave to irradiate the frozen sample placed inside the benchtop polarizer as seen in Fig. 2b.

The design of the complete DNP NMR probe is schematically visualized in Fig. 2c, and consists of a double coil configuration with a saddle coil made of copper tape for proton detection ($\omega_{1H}/2\pi = 42.7$ MHz) and a solenoid coil made of 0.5 mm copper wire for carbon detection ($\omega_{13c}/2\pi = 10.7$ MHz). Both *rf* coils were wound around a Teflon tube, chosen for i) avoiding electrical contact between the two coils, ii) allowing for efficient radiofrequency and microwave penetration, and iii) avoiding a ¹H background signal to guarantee accurate DNP enhancement calculations. Two orthogonal magnetic fields (*B*₁) perpendicular to the static field (*B*₀) are produced by the



Fig. 2 | **Overview of the design of the benchtop DNP polarizer. a)** Frontal cut of the DNP polarizer. The two plates of the 1 Tesla permanent magnet (1) producing a horizontal magnetic field, are attached by a stainless-steel frame. The magnet is temperature stabilized with the heating mats (2) and additional thermal insulation (not represented here). Two pair of feet create a space underneath the polarizer for the nitrogen exhaust. b) The cryostat is suited to fit an EPR tube inserted in the sample hole (3) and is cooled by the continuous flow of nitrogen from the input (4) to the the output (5). c) The probe cavity is fitting between the magnets structure and is fixed in the polarizer. The transverse cut of the DNP NMR probe shows the copper housing, the Teflon tube (6) on which the ¹³C solenoid *rf* coil (7) and the ¹H saddle *rf* coil (8) are wounded. The rectangular horn (9) is placed in front and as close as possible to the NMR coils and directs the microwaves onto the sample location.

saddle coil (2.9 kHz/W^½ for proton) and the solenoid coil (3.9 kHz/W^½ for carbon). With this configuration, double *rf* irradiation at relatively high power (typ. 10-100 W) and through prolonged periods of contact (typ. 1-10 ms) is feasible which is required to perform efficient polarization transfer by ¹H \rightarrow ¹³C CP technique. Further benchmarking of the probe performances is described in the Methods section.

¹H DNP performances on a frozen DNP solution

The DNP performances of the compact 1 T benchtop polarizer operating at 77 K were first evaluated using a 200 μ L solution of 50 mM TEMPOL in 2:2:6 H₂O:D₂O:DMSO-d₆ (v:v:v). This chemical formulation

is acknowledged for its good glassing capabilities^{41,42}, reproducibility, and inexpensiveness compared to formulation with deuterated glycerol. Since prior work has assessed the effectiveness of such sample formulation under conventional DNP conditions^{43,44}, it was therefore employed to ensure comparability on the first hyperpolarization results.

Fig. 3a.I shows the thermal equilibrium signal of the frozen DNP solution (in black) acquired without microwave irradiation and the DNP-enhanced signal acquired on 3 different samples (3 lines in blue hardly discernable) and measured 5 seconds after switching on the microwaves. After optimizing the microwave frequency as described in



Fig. 3 |Overview of the ¹**H hyperpolarization performance in frozen DNP solution and hyperpolarizing matrices. a)** ¹H DNP experiments performed with the benchtop DNP polarizer at 77 K and 1 T are shown for a 2:2:6 H₂O:D₂O:DMSO-*d₆* (*v*:*v*:*v*) DNP solution with 50 mM TEMPOL **I**) The hyperpolarized signal (in blue) corresponding to the maximal level of polarization acquired while applying a microwave irradiating at a frequency $f_{\mu w} = 28.16$ GHz with a triangular frequency modulation of $\Delta f = \pm 20$ MHz at a rate $f_{mod} = 60$ kHz and a power $P_{\mu w} = 5$ W. The DNP experiment was performed 3 times with 3 different samples in the DNP solution. The enhanced signal is compared with the thermal equilibrium signal of proton spins in the same sample shown in black without microwaves. **II**) ¹H polarization build-up curve (in blue) and T_1 relaxation curve (in black). Signals were fast Fourier transformed, integrated, and the time courses of the integrals were fitted with mono exponential functions. **b**) ¹H DNP experiments for a 2:8 H₂O:D₂O (*v*:*v*) solution impregnated in HYPSO-5 with a 43 µmol.cm⁻³ radical loading. **I**) Schematic view of a mesoporous HYPSO-5 silica bead with a zoom on the pore composition and the sample composition of the pore layer in contact with the solution and containing the radicals. More information on the HYPSO-5 can be found in Supplementary Fig. 6. **II**) The hyperpolarized signal (in blue) corresponding to the maximal level of polarization acquired while applying a microwave irradiating at a frequency $f_{\mu w} = 28.17$ GHz with a triangular frequency modulation of $\Delta f = \pm 20$ MHz at a rate $f_{mod} = 60$ kHz and a power $P_{\mu w} = 5$ W. The enhanced signal is compared with the thermal equilibrium signal of proton spins in the same sample shown in black without microwaves. **III**) The hyperpolarization acquired while applying a microwave irradiating at a frequency $f_{\mu w} = 28.17$ GHz with a triangular frequency modulation of $\Delta f = \pm 20$ MHz at a rate $f_$

the Methods section, DNP yielded a solid-state signal enhancement of $\varepsilon = 100 \pm 6$ if compared to the fully relaxed thermal equilibrium signal of the 22 mol.L⁻¹ water protons. This value corresponds to a proton spin polarization of $P(^{1}H) = 0.13\%$ while the Boltzmann distribution of the electron in those conditions fixes a theoretical maximum achievable polarization of P(e) = 0.84%. The first attempt of enhancing the signal within our prototype polarizer offers a satisfying polarization transfer with a signal boosted by two orders of magnitude.

In Fig. 3a.II, the DNP build-up time (in blue) and the longitudinal relaxation time (in black) were acquired with a saturation recovery experiment with and without microwave irradiation respectively. The proton polarization under DNP builds up with a time constant of $\tau_{DNP} = 0.5$ seconds, similar to the thermal equilibrium longitudinal relaxation time T_1 . This can be compared with what was reported at higher fields of 3.38 T and $T \sim 10$ K in static DNP⁴⁵, where an enhancement of 60 using 40 mM TEMPOL in a non-degassed sample was achieved through cross effect and spin diffusion with $\tau_{DNP} \sim 20$ seconds build-up time constants. The sensitivity attained here in 5 second would be attainable without DNP by scan accumulation over 13 hours.

¹H DNP performances using hyperpolarizing matrices

Radical immobilization on mesoporous matrices is an essential element of our approach to generating contaminant-free and pure hyperpolarized analytes. HYPSO-5 matrices³⁵ consist of mesoporous (pore diameter of about d = 4-5 nm) silica beads of 15 μ m diameter, coated with a silica layer containing 43 μ mol.cm⁻³ TEMPO radicals for this study. The beads contain multiple interconnected pores, as shown in Fig. 3b.I that allow for fast penetration of the solution in and out of the material. The HYPSO-5 beads exhibit a silica coating layer allowing a homogeneous distribution of immobilized radicals across the active surface of the silica-based material, including the mesopores. The immobilized radicals residing on the pores surfaces mimics the random distribution of radicals of the frozen DNP solution that is proven to be optimal³⁵. In the frozen state, polarization transfer happens through direct DNP to the nuclear spins nearby the immobilized radicals, followed by ¹H spin diffusion to the bulk. Assuming a ¹H spin diffusion constant $D = 54 \text{ nm}^2 \text{.s}^{-1}$ (see Supplementary section 1.6)⁴⁶, ¹H polarization would typically spread during the 5 seconds of the DNP build-up measurement over lengths on the order of $L = \sqrt{Dt} = 16$ nm. This is larger than the pore diameter, therefore spin diffusion is believed to efficiently spread the acquired proton polarization throughout the entire pores at a faster pace than the DNP build-up.

The HYPSO-5 DNP performances were tested by impregnating 73.7 mg of powder with the exact pore volume of $V_p = 46.4 \ \mu\text{L}$ of a 2:8 H₂O:D₂O (*v*:*v*) solution and by freezing it inside the cryostat operating at 77 K placed inside the 1 T DNP polarizer. In Fig. 3b.II, the thermal equilibrium signal (black line) is depicted with the DNP-enhanced signal (blue line) measured 5 seconds after switching on the microwaves at $f_{\mu w} = 28.17$ GHz with a triangular frequency modulation of $\Delta f = \pm 20$ MHz at a rate $f_{mod} = 60$ kHz and a power $P_{\mu w} = 5$ W. The solid-state signal enhancement of 62 exhibits favorable first results for hyperpolarization using the mesoporous solids in combination with the benchtop DNP polarizer. The sensitivity attained here in 5 second would be attainable without DNP by scan accumulation over 5 hours.

In Fig. 3b.III the hyperpolarization build-up (in blue) was acquired with a saturation recovery experiment under microwave irradiation and shows a polarization build-up time of $\tau_{DNP} = 1.03 \pm 0.04$ s seconds, i.e., twice that with TEMPOL in a homogeneous solution. The nuclear spin-lattice relaxation of the protons residing in the mesopores of HYPSO-5 (in black) has a characteristic decay time of $T_1 = 0.88 \pm 0.27$ seconds, about 1.65 times that observed in the homogeneous case. The reduced DNP efficiency using the HYPSO-5 might come from several factors.

- i. The radical loading in the HYPSO material is somewhat less (443 ¹H spins to 1 electron) than in the noted free solution (516:1) and may not be optimal. Further concentration studies are required to assess the ultimate potential of DNP efficiency with these advantageous porous materials.
- ii. The distribution of the radicals may not be as homogenous, compared to the statistical distribution of radicals in a flash-frozen DNP solution. Such distribution inhomogeneities may potentially be unraveled in the future by distance measurements through pulsed EPR measurements.
- iii. The presence of larger pores (larger than 5 nm as measured by N_2 adsorption, see Supplementary Fig. 6) may exacerbate the limiting aspect of spin diffusion. Spin diffusion may thus act as a bottleneck, limiting the spread of polarization from radicals on the material walls, out and across solution trapped in oversized pores.
- iv. Impregnation of the HYPSO-5, even though performed for the exact pore volume, might be suboptimal, with a fraction of the solution residing outside of the pores, in the interstices between HYPSO-5 particles, where no radicals are present, and thus where DNP is inactive.

To further optimize DNP performance and explore the limiting role of spin diffusion, we will perform further experiments with a range of radical loadings and pore sizes in HYPSO-5 in a future study.

¹³C DNP performances in hyperpolarizing matrices and frozen solution

The ability of the benchtop DNP polarizer to efficiently hyperpolarize ¹³C spins is a crucial goal. This follows on the ¹H polarization studies above, as DNP of protons may be utilized as a source for CP-based transfer to ¹³C (or other nuclei). Carbon is a ubiquitous element in countless molecules and has the advantage that its chemical shift does not overlap as much as proton signals, and it has a slower T_1 relaxation. Yet, due to the low natural abundance of its magnetically active ¹³C isotope, it remains challenging to measure, especially using traditional benchtop NMR systems. Hyperpolarization, therefore, can render ¹³C an attractive target for NMR applications from low-to-high field, notably in reaction monitoring^{47,48} and metabolomic studies⁴⁹.

We assessed the ¹³C DNP performances of the benchtop polarizer first using a standard sample of 3 M [1-¹³C] sodium acetate in a 200 μ L solution of 50 mM TEMPOL in 2:2:6 H₂O:D₂O:DMSO-d₆ (v:v:v). In Fig. 4a both direct ¹³C DNP and ¹³C CP DNP were tested and compared. The first method consists of the direct transfer of the electron polarization to the ¹³C spins by means of microwave irradiation while the second uses ¹H spins as an intermediate polarization carrier. Here, electron polarization is first transferred to nearby ¹H spins by microwave irradiation and then to ¹³C by CP sequences^{46,47}. The matching



Fig. 4 |**Overview of the CP-DNP performances in both frozen DNP solution and impregnated solution in HYPSO-5. a)** The ¹³C CP-DNP (yellow) and direct ¹³C DNP (black) experiments at 77 K and 1 T of 3 M [1-¹³C] sodium acetate in 2:2:6 H₂O:D₂O:DMSO-*d*₆ (*v:v:v*) with 50 mM TEMPOL measured at f_{\muw} = 28.15 GHz with a triangular frequency modulation of amplitude $\Delta f = \pm 20$ MHz at a rate f_{mod} = 60 kHz and a power $P_{\mu w}$ = 5 W. **b**) The respective polarization build up time. **c**) The ¹³C CP-DNP experiment at 77 K and 1 T of 3M [1-13C] sodium acetate in 2:8 H₂O:D₂O (*v:v*) impregnated in HYPSO-5 with 43 µmol.cm⁻³ radical loading measured at $f_{\mu w}$ = 28.35 GHz with a triangular frequency modulation of amplitude $\Delta f = \pm 20$ MHz at a rate f_{mod} = 60 kHz and a power $P_{\mu w}$ = 5 W. The build-up time (yellow) was measured with an increasing CP-DNP build up time as shown in the pulse sequence in **d**). The adiabatic half-passage chirp pulses with half WURST sweep over 100 kHz in 250 µs with 500 points in both channels (50 W for ¹³C and ¹H). Contact is realized with 1.5 ms contact pulses with a square pulse on ¹³C (26.4 kHz at 80 W) and a ramp 80-100 % on ¹H (24.8→27.8 kHz at 50 W) to compensate for B₁ field inhomogeneities. An additional adiabatic pulse puts the magnetization on *z*-axis and the delay τ is incremented before each acquisition to follow the ¹³C decay (black). **e)** Scheme of the impregnated HYPSO-5 pore where the radicals are fixed.

conditions for optimal CP polarization transfer are detailed in the Methods section.

The build-up time for ¹³C direct DNP was measured with a saturation recovery experiment with DNP and was found to be $\tau_{\text{DNP}}(^{13}\text{C}) = 12.18 \pm 1.75$ seconds as shown in Fig. 4b. The CP-DNP build-up time for ¹³C was measured with the same method and exhibited a significantly faster build-up time, with $\tau_{\text{CP-DNP}} = 670 \pm 60$ ms, a value primarily determined by the ¹H DNP build-up time. In addition to this ~ 18 times faster buildup with CP-DNP, the technique also resulted in a 4 times larger DNP enhancement compared to direct DNP. Indeed, a nitroxide radical such as TEMPOL was chosen with CP in mind, as these radicals exhibit EPR resonances broader than the ¹H NMR frequency, and thus well-suited to hyperpolarize ¹H nuclei and enable CP as the best approach for relayed hyperpolarization of heteronuclei like ¹³C ⁴¹. Neither the ¹³C signal enhancement nor $T_1(^{13}C)$ could be directly

estimated because of a lack of ¹³C sensitivity at thermal equilibrium signals.

For the long-term goals of rapid cycled freeze/melt DNP and NMR observation, it is also important to assess the quality of ¹³C polarization achievable by the CP-DNP method using HYPSO-5 as the polarizing agent. The same HYPSO-5 as in the previous section was used, here with 66.6 mg of powder impregnated with 42.0 μ L of a solution containing 3 M [1-¹³C] sodium acetate in (2:8) H₂O:D₂O (*v*:*v*). Direct ¹³C DNP with HYPSO-5 did not yield observable signal when signal averaging for 896 scans over 15 hours. However, using CP-DNP and HYPSO-5, (Fig. 4c) shows the excellent ¹³C spectrum acquired in a pulse sequence with single ¹H-¹³C CP contact using 8 scans collected over only 40 seconds. The dependence on build-up time for the CP-DNP is also shown (in yellow), measured using a saturation recovery experiment with DNP as represented in Fig. 4d. The resulting τ_{CP-DNP} =

0.83 ± 0.12 s is similar to the proton build-up time, and again, slightly slower than in the homogeneous solution. When HYPSO-5 was impregnated with the same (2:8) H₂O:D₂O (*v:v*) solution but without [1-¹³C] sodium acetate, the build-up time further slowed down. This observation is probably due to the presence of additional protons which accelerate the spin diffusion process. Finally, we measured the ¹³C relaxation after CP (black curve in Fig. 4c) by employing an increasing delay after the CP transfer. To our surprise, the signal did not decay to a significantly enhanced direct DNP equilibrium as it is the case in conventional DNP solutions. Instead, the signal decayed to a very small value below the detection limit, with a time constant of $\tau_{13C-decay} = 18.26 \pm 1.71$ seconds, which is 1.5 times longer than the nuclear spin-lattice relaxation time in the homogeneous solution.

This extension in ¹³C relaxation times is a critical key advantage of our approach using HYPSO polarizing matrices over DNP solutions, i.e., such that matrices can ensure very slow loss of hyperpolarization even after a sample is melted and sent on for solution-state observation. There are several underlying reasons for the advantage. Firstly, ¹³C spin diffusion is considerably slower than ¹H spin diffusion. The diffusion coefficient is proportional to the nuclear spin concentration and follows the equation $D \propto \gamma^4$, where γ is the gyromagnetic ratio. Therefore, one can expect in our conditions $D(^{13}C) \sim 0.02 \text{ nm}^2.\text{s}^{-1}$ (considering a 0.1 ¹³C-to-¹H concentration ratio), which leads to a diffusion length in 5 seconds of only 0.3 nm, insufficient to efficiently relay paramagnetic relaxation from the surface of the pores. Secondly, radicals are predominantly situated inside the layer located at the surface of the pores (Fig 4.e), where the ¹³C concentration is only present in the 1.1% natural abundance. This very small quantity of ¹³C nuclear spins proximal to the electrons results in inefficient paramagnetic relaxation or DNP transfer from the surface layer to the frozen solution. The CP-DNP offers the advantage of a fast ¹³C hyperpolarization, even at long distances from the polarizing agents in the pores of the polarizing matrices. This property is particularly advantageous for future melting experiments, as it achieves the paradoxical goal of having fast and efficient hyperpolarization, while displaying extended relaxation times. This is key for preventing polarization losses during sample melt and transfer to the liquid-state NMR spectrometer. It is worth noting that the measured rates were observed on non-degassed samples. Subsequent experiments involving oxygen removal may help further extend relaxation times and better preserve hyperpolarization.

Discussion

In this study, we have successfully demonstrated the feasibility of ¹H DNP and ¹³C CP-DNP in glassy DNP solutions and with HYPSO-5 polarizing matrices, utilizing a novel tabletop DNP polarizer operating at $B_0 = 1$ T and T = 77 K. Our initial results show a significant boost in signal for both proton and carbon nuclear spins, achieving two-orders of magnitude enhancement on ¹H in less than a second, corresponding to four-orders of magnitude time gain in signal averaging. We next plan to implement fast melting with various strategies as successfully reported by Griffin and Kentgens' groups^{29,30}. Additionally, the use of HYPSO-5 is essential as they offer two important features: i) a high-speed direct ¹H and ¹H-¹³C CP assisted DNP, and most importantly, ii) spin diffusion-limited slow ¹³C relaxation, which may limit

hyperpolarization losses upon melting and transfer. In our setting, liquid state NMR will be ultimately performed in an adjacent benchtop NMR spectrometer operating at $B_0 = 1.88$ T and room temperature, in which enhancements of two orders of magnitude are foreseen. This work paves the way for our final goal of integrating this DNP strategy with a system enabling on-the-bench multi-scan liquid-state NMR acquisitions on hyperpolarized mixtures through repeated freeze, DNP, melt, and flow.

Methods

¹H and ¹³C DNP sample preparation with free TEMPOL radicals

The free radicals 4-hydroxy-TEMPO (4-hydroxy-2,2,6,6tetramethylpiperidine-1-oxyl, 99.96% purity, CAS 2226-69-2), D₂O (99.5% deuteration, 99.96% purity, CAS 7789-20-0) and the glassing agent DMSO-d₆ (hexadeuterodimethyl sulfoxide, 99.5% deuteration, 99% purity, CAS 2206-27-1) were purchased from Sigma-Aldrich. The DNP solution used for testing the ¹H hyperpolarization performance of the benchtop polarizer was prepared by weighing 4.3 mg of 4-hydroxy-TEMPO (TEMPOL) and dissolving the radicals in a glass-forming mixture of 300 μL of DMSO-d_6 and 100 μL of D_2O with 100 μL H_2O as the analyte. This resulted in a concentration of 50 mM TEMPOL in the DNP solution comprising a final volumetric ratio of 2:2:6 H₂O:D₂O:DMSO-d₆ (v :v :v). An aliquot of 210 µL of the DNP solution was pipetted and introduced into the EPR tube (Wilmad quartz EPR tube O.D 4 mm, L 250 mm). The sample was then shock frozen by rapid introduction EPR tube in the DNP cryostat precooled to 77 K. The DNP solution used for testing the ¹³C hyperpolarization performance was prepared starting from weighing 198 mg of [1-13C] sodium acetate (CAS 23424-28-4) with a ¹³C isotope purity of 99% obtained from Eurisotop. It was dissolved in a mixture of 480 μ L of DMSO-d₆, 140 μ L of D₂O and 160 μ L of H₂O. The 6.9 mg of TEMPOL were weighed separately and dissolved in 20 μ L of D₂O. After sonication during 10 min at 30°C and vortex mixing, complete dissolution of the [1-13C] sodium acetate in the glass-forming DNP solution, the solution of TEMPOL was added and mixed with vortex mixing.

¹H and ¹³C DNP sample preparation with HYPSO-5

The HYPSO-5 powder employed in this work were synthesized following the procedure described in Cavaillès et al.35 The resulting hyperpolarizing solid was derived from commercially available mesoporous silica beads (SiliaSphere[™]) coated with a uniform silica layer containing 43 µmol.cm⁻³ of TEMPO radicals. The concentration of radicals contained in HYPSO-5 was quantified by recording X-band continuous wave Electron Paramagnetic Resonance (EPR) spectra at room temperature. The average pore diameter and pore volume were measured to be 4-5 nm and 0.63 cm³.g⁻¹ respectively and were determined via N2 adsorption-desorption (performed at 77 K using BELSORP-max after degassing the sample at 408 K under 10⁻⁵ mbar vacuum for 15 hours). For testing the DNP performance of HYPSO-5, a partially protonated 2:8 $H_2O:D_2O(v:v)$ solution was impregnated in the pores amounting the total pore volume of the HYPSO-5 sample. In practice, the volume of HYPSO-5 required for performing DNP was calibrated to fill the entire NMR coil space analogues to 200 µL of DNP solution, without packing. This represented 73.7 mg of powder that was impregnated with 46.4 µL of the partially protonated solution to only fill the pores. For the impregnation, the solution was dropped onto the powder sitting in a watch glass, and mixed with the powder with a glass tip until it appeared dry again (capillary impregnation). The impregnated HYPSO-5 was then simply introduced into the EPR tube without packing. Finally, for ¹³C DNP inside HYPSO-5, 24.9 mg of [1-¹³C] sodium acetate (CAS 23424-28-4) with a ¹³C isotope purity of 99% obtained from Eurisotop was dissolved in 100 μ L of partially protonated 2:8 H₂O:D₂O (*v*:*v*) solution. Again, complete dissolution of the [1-¹³C] sodium acetate was ensured by sonication and vortex mixing during 10 min at 30°C. As previously, 42 μ L of the solution was used to impregnate and fill the pores 66.6 mg of HYPSO-5 powder.

¹H DNP experiments

The thermal equilibrium (TE) and DNP experiments were acquired with 8 scans using hard $\pi/2$ *rf*-pulses. Thermal equilibrium signals of proton were acquired without microwave irradiation allowing proper polarization quantification. The carbon background from the Teflon was neglected as no signal could be detected by repeating the same measurement without sample. The microwave parameters were optimized for each sample as it depended on the temperature of the magnet at the time of the experiment, which, in turns, influence the value of static magnetic field B₀. The background signal was first subtracted in Topspin from the thermal equilibrium experiment. The thermal equilibrium spectrum and the DNP spectrum were phased on zero-order phase. The first-order phase correction was systematically set to 0. The baseline offset was corrected manually. Both spectra were manually integrated. The enhancement corresponds to the ratio between the absolute integral of the enhanced signal and the background subtracted TE signal

$$\varepsilon_{\rm DNP} = \frac{I^{\rm DNP}}{I^{\rm TE}},$$
 Eq.1

where both intensities are normalized by the number of scans and receiver gain. The build-up curves and spin-lattice relaxation experimental data were fitted in Matlab with a mono exponential equation:

$$I_t = I_{\infty} \left(1 - \exp\left(-\frac{t}{\tau_{\text{DNP}} \text{ or } T_1} \right) + d \right), \quad \text{Eq.2}$$

where τ_{DNP} is the characteristic DNP build-up time and T_1 the longitudinal relaxation time constant. The error margins in Figure 3 corresponds to 95% certainty interval. The absolute polarization was computed by multiplying the Boltzmann polarization at $B_0 = 1$ T and T = 77 K by the enhancement factor. To perform DNP at the optimal microwave frequency and optimal modulation parameters, the signal was empirically optimized by observing the signal through the Topspin multi scanning 'gs' interface.

¹³C DNP experiments

The hyperpolarized spectra were acquired both upon direct microwave irradiation and with cross polarization (CP). For direct ¹³C DNP, 8 scans were used with hard $\pi/2$ *rf*-pulses, resulting in an 8 minutes acquisition. For ¹³C CP-DNP, the *rf*-pulse sequence in Fig 5.a) was used with 8 scans resulting in a 40 seconds analysis. The matching conditions for the CP polarization transfer were optimized with a spinlock power of 50 W on the ¹H channel and 80 W on the ¹³C channel, yielding B_1

fields of 27.8 kHz and 26.4 kHz, respectively. The CP contact time was optimized to τ_{CP} = 1.5 ms, an optimal length limited by the proton nuclear spin relaxation in the rotating frame $T_{1\rho}$ (¹H). Indeed, we measured T_{10} (¹H) = 1.2 ± 0.2 ms (Supplementary Fig. 7). On the contrary, $T_{10}(^{13}C) = 72 \pm 50$ ms is sufficiently long not to affect the CP efficiency. The ¹³C CP DNP build-up rate was measured using a saturation recovery experiment upon microwaves irradiation with 8 scans and fitted with a mono-exponential fit in Matlab similar to the proton in Eq 2. The ¹³C build-up rate, proportional to the relaxation rate, was measured with a saturation recovery experiment in DNP juice upon microwave irradiation with 8 scans in 20 minutes. It was compared to the ¹³C relaxation rate measured using CP varying the delay between the end of the CP transfer and the acquisition acquired with 8 scans in 25 minutes. This experiment was used to probe the ¹³C relaxation in the pores of HYPSO as sensitivity was not enough to measure it with a conventional saturation recovery experiment. For both of these experiments, the same fit in Eq 2 was used with a positive or negative exponential decay.

Polarizer magnet

Two neodymium magnets held by a stainless-steel frame and separated by a distance of 30 mm, provide a horizontal permanent magnetic field of approximately 1 T with a homogeneity of 22 ppm over the sample volume (200 µL, 15 mm length). The magnetic stray field outside the housing falls below 0.5 mT, which makes the device safe to operate in most laboratory settings. Inside the housing, six independent heating elements and temperature sensors are connected to an external heating control unit to stabilize the temperature and therefore the B_0 field. The field produced by the NdFeB magnets vary at -1200 ppm/°C. A mean temperature of 27.999 ± 0.006 °C was used as a field setpoint, corresponding to a specific magnetic field of 1.004 T (± 7.2 ppm). Overall, the system exhibited ¹H linewidth of 924 Hz FWHM in the liquid state. Cooling-down the cryostat decreases the temperature inside the magnet, with an initial rate of about -0.7 °C per hour (see Supplementary Fig. 2). This drift can be compensated by periodic adjustment of the NMR carrier frequency. In addition, after about 6 hours, the magnet temperature stabilizes to ± 0.1°C (+/- 120ppm). Even this 'settled' variation can still be significant to CP-DNP performance, but fortunately, their slow timescale (tens of minutes) also allows compensation via adjusted NMR carrier frequencies. Further improvement in the future cryostat design will be needed to enable operating at fixed NMR and optimal microwave frequencies.

Cryostat

The first version of the cryostat is based on a low-temperature vacuum-sealed glass insert operating at a base temperature of 77.1 \pm 0.4 K (measured at the sample position) via cooling a flow of 40 slpm (0.5 bars) g-N₂ passing through a liquid nitrogen (I-N₂) heat exchanger. The cryostat fits into a warm g- N₂ insulating sleeve running through the magnet bore from top to bottom of the magnet enclosure. The insulating sleeve is split into parts above and below the enclosure of the NMR probe (see Fig. 2), and the warm insulating gas also passes through the probe body, about the former of the NMR coils. The *rf* coils and the microwave horn remain at ambient temperature.

accommodates a conventional 4 mm EPR tubes, held by a stopper element that seals nitrogen escape. It is supplied with the cooled gaseous nitrogen that enters the heat exchanger and that is then directed through a custom-made transfer line into the top of the cryostat. The cooled g-N₂ passes downward along the length of the sample tube and is directed to the outside through a pipe at the bottom of the setup. The heat exchanger is inserted in a liquid nitrogen (I-N₂) Dewar and the overall I-N₂ consumption amounts to 100 liters per day when running continuous experiments. The constant flow of nitrogen in the cryostat ensures fast freezing of the sample when the tube is inserted in the bore of the glass tube of the cryostat. The temperature is monitored with a PT1000 temperature sensor placed close to the bottom of the EPR tube next to the sample and is acquired by an Arduino card and displayed with a dedicated Matlab application. The initial cool-down starting from the housing temperature of 28°C takes approximately 5 minutes.

Microwaves

The microwave frequency, the modulation waveform and the modulation frequency are controlled with a graphical user interface (National Instruments). The microwaves are generated by a voltagecontrolled oscillator (100 mW) and amplified afterwards by a solidstate Qorvo amplifier chip up to a maximal power of 5 W ± 2W, depending on the frequency. The microwave frequency range can be adjusted from 26.6 to 28.8 GHz with a resolution of 10 MHz. The waveform of the modulation is selectable between a triangular and sinusoidal mode for which the modulation amplitude and frequency can be adjusted from respectively 1 to 200 MHz and 1 to 100 kHz with a resolution of 1 kHz. Microwaves are transmitted to the DNP cavity by means of a coaxial transmission line (Mini-Circuits KBL-2FT-LOW+) with a 2.92 mm connector and a minimal insertion loss of around 1.29 dB. A WR-28 coax-to-waveguide transition from Pasternack then results in microwave transmission in TE_{01} mode (Supplementary Fig. 3). The maximal output power and modulation amplitude vary as a function of the frequency, yet correct amplification values are obtained from power calibration data (see Supplementary Table 1). At the sample position, the final magnetic field (B_{1e}) strength of the microwave was estimated to an average value of 7.9 μ T/W^½ or 0.22 MHz/W^½ over the sample volume by finite element numerical simulations in Supplementary Fig. 3, corresponding to $B_{1e} \approx 0.5$ MHz when using the maximal output power of 5 W at the optimal microwave frequency for DNP. However, this field seems still insufficient, as noticed in The Supplementary Fig. 4, where the integrated DNP signal versus microwave power is plotted for the 50 mM TEMPOL frozen solution and where no plateau is reached, which opens the opportunity to increase DNP efficiency even further in the future by merely increasing the microwave power or by improving the microwave coupling to the sample.

DNP NMR Probe

The ¹H double saddle *rf* coil (Supplementary Fig.5a) has a dimension of 20 mm height, 13 mm diameter, 3 mm of flat wire width and an aperture angle of 120° leading to an inductance close to 130 nH. Two fixed capacitors (ATC Ceramics 100A) of 47 pF and 58 pF soldered in parallel to the coil, lead to a total capacitance of 105 pF, and are used

to tune the coil to roughly 42.7 MHz. The ¹³C *rf* coil has an overall dimension of 21 mm height and 14 mm diameter, leading to an inductance close to 350 nH. The carbon solenoid coil is made of a 0.5 mm copper wire wound in 7 loops separated by 3 mm to let the microwave penetrate the coil and reach the sample. Two capacitors (ATC Ceramics 100A) of 330 pF and 47 pF soldered in parallel to the coil, lead to a total capacitance of 377 pF, and are used to tune the coil to roughly 10.7 MHz. Tunning and matching boxes (details published elsewhere⁵²) are used to fine tune the *rf* coils. They comprise two variable capacitors, one in parallel and one in series (Voltronics NMAT40HVE) attached after a 28 cm long SMA cable connected to the ¹H coil and 50 cm for the ¹³C coil.

Supplementary Fig. 5b and Fig. 5c show the reflectance in blue and transmittance in orange for both ¹H and ¹³C channels. At the target frequencies, upon careful tuning and matching, ¹H \rightarrow ¹³C and ¹³C \rightarrow ¹H transmittances are respectively equal to -13 dB and -38 dB, and ¹H and ¹³C reflectances are respectively equal to -61 dB and -36 dB, which was overall considered sufficient for our purpose. The quality factors of the ¹H and ¹³C resonances (at -7 dB notch) are 88 and 67 respectively. The experimental nutation curves at room temperature for ¹H and ¹³C are shown in Supplementary Fig. 5d and Fig. 5e respectively. The integral of the signal is plotted against the pulse duration for a fixed pulse power set to 50 W and 200 W for ¹H and ¹³C, resulting in an optimized 90° hard pulse of 9 µs and 6 µs respectively, corresponding to *rf* efficiencies B₁/VW of 3.9 and 2.9 kHz/W^½.

NMR spectrometer

The NMR probe is connected to a Bruker Avance III NMR spectrometer equipped with two 300 W power amplifiers both for ¹H and ¹³C. A ¹H band pass filter in series with a ¹³C band stop (BS) filter, and a 32 MHz BS filter were hooked up to the ¹H and ¹³C channels, respectively, to further minimize cross-reflection between channels.

Data availability

The experimental data presented in this work can be downloaded from Zenodo <u>https://doi.org/10.5281/zenodo.10888591</u>

Code availability

The MATLAB codes used to analyze the data can be downloaded from Zenodo <u>https://doi.org/10.5281/zenodo.10888651</u>

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Author contributions

S.J. conceived the project. J.K. supervised the polarizer constructions. D.B. and R.M. built the polarizer and provided technological and scientific support. E.V. and Q.S. supervised the DNP work. C.B., N.R., E.V. performed the experimental work. C.T. and L.V. supervised the synthesis work. H.N.L. synthesized the hyperpolarizing solids. C.B. analyzed the data. C.B and E.V wrote the manuscript. All authors contributed to refining the manuscript.

Competing interests

The authors declare that they have no competing interests.

Additional information

Supplementary information The online version contains supplementary material available with the article on ChemRxiv.

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