

Advancing Organic Photoredox Catalysis: Mechanistic Insight through Time-Resolved Spectroscopy

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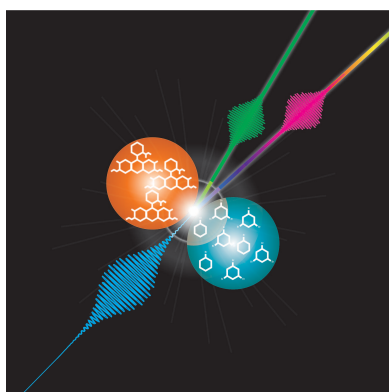
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ABSTRACT

The rapid development of light-activated organic photoredox catalysts has led to the proliferation of powerful synthetic chemical strategies with industrial and pharmaceutical applications. Despite the advancement in synthetic approaches, a detailed understanding of the mechanisms governing these reactions has lagged. Time-resolved optical spectroscopy provides a method to track organic photoredox catalysis processes and reveal the energy pathways that drive reaction mechanisms. These measurements are sensitive to key processes in organic photoredox catalysis such as charge or energy transfer, lifetimes of singlet or triplet states and solvation dynamics. The sensitivity and specificity of ultrafast spectroscopic measurements can provide a new perspective on the mechanisms of these reactions, including electron-transfer events, the role of solvent, and the short lifetimes of radical intermediates.

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Introduction

Photoredox catalysis has enabled researchers to overcome previously intractable problems in organic synthesis,^{1, 2} natural product synthesis,³ and the synthesis of pharmaceuticals.⁴ Advancements in chemical synthetic methods achieved by light-activated techniques have led to synthetic work allowing challenging chemical reactions across a wide variety of substrates. The use of light to drive reactions has allowed for more selective reactions that generate both simple and complex molecules from renewable and economic starting materials under mild conditions. In addition, photoredox catalysis corresponds to many of the broadly defined principles of green chemistry.^{5, 6} Using light excitation, photoredox catalysis can initiate single electron-transfer processes to drive chemical reactions starting from generally inert or stable reactants. This means that activation with harsh or toxic synthetic methods is not required to permit highly endothermic and selective reactions, leading to safer conditions.

The term photocatalysis first appeared in the literature in the early 1900s.⁷ Much of the early work on photoredox catalysis focused on transition metal coordination compounds with charge transfer bands, e.g., tris-(2,2'-phenylpyridine) iridium or tris(2,2'-bipyridine) ruthenium(II) (aka

Ru(bpy)₃). An early report of Ru(bpy)₃ as a photocatalyst dates to 1978.⁸ In addition to the widely used inorganic complexes, organic chromophores have been highly successfully as photocatalysts due to their potentially strong reactivity and wide diversity, including eosin y and methylene blue⁹ dyes. The modern era of photoredox catalysis began in 2008 with simultaneous papers from Yoon et al.¹⁰ and MacMillan et al.¹¹. Both used Ru(bpy)₃ to either drive [2+2] enone cycloadditions or the asymmetric alkylation of aldehydes, respectively. These papers impacted the field enormously and led to many new synthetic strategies.^{9,12-17} This work on transition metal complexes, primarily using iridium and ruthenium, renewed the field of solution-based photocatalysis. However, more recently, organic photocatalysts have become of great interest. Organic photoredox catalysts are generally cheaper to produce and are more sustainable and synthetically tunable than transition metal complexes. In addition, accessing excited state organic radicals can provide reduction potentials that may rival that of alkali metals.⁹

Despite the rapid development and potential of organic photoredox reactions, a comprehensive understanding of the fundamental mechanisms driving these reactions has not kept pace. In fact, recent work¹⁸⁻²⁰ has called into question the mechanistic role of reactive organic radicals as synthetic intermediates. Mechanism determination has largely been based on indirect methods, e.g., combining redox potential measurements, bond dissociation energies, spectroelectrochemistry, Stern-Volmer measurements, and changes in reagent concentration and

type.²¹⁻²⁴ Such measurements can give significant information about individual sections of a reaction but may not provide much information on the critical intermediates.

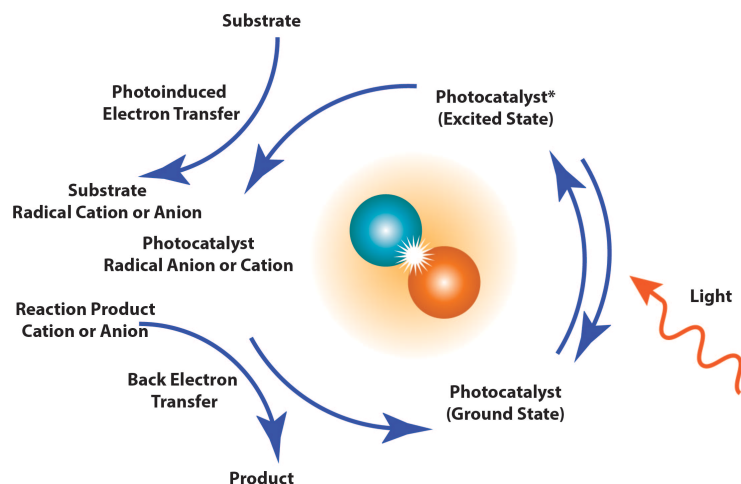


Figure 1: A generalized photoredox catalysis cycle (blue arrows) with a cartoon representing a photoinduced electron transfer event.

The mechanism of a photoredox reaction using an organic catalyst is generally described in a simplified manner. A closed-shell organic catalyst absorbs light, generating a photoexcited species. Internal conversion may occur from a hot excited state or there may be intersystem crossing to a triplet state. The catalyst can then undergo electron transfer, acting either as an oxidant or reductant depending on the nature of the reaction as shown in Figure 1. Following a charge transfer event, the catalyst is generally reformed with the use of a sacrificial species, usually

an amine. There are several issues with this description. It oversimplifies the nature of solution-based photochemistry. For instance, the solvent is not explicitly considered here but it can participate in the reaction. This may happen through electrostatic interactions that drive processes like pre-aggregation or solvent coordination, hydrogen bonding, and even direct participation of the solvent in the reaction. Energy transfer processes may also play a role in the mechanisms of these reactions as well as the physical limits of the process of diffusion. If a photoexcited organic radical is acting as an intermediate species, the lifetime of that species is generally shorter than the timescale of diffusion for a bimolecular reaction in solution. This would imply that additional intermediate processes must occur. This description of an organic photoredox reaction also does not include productive and unproductive reaction pathways. Organic photoredox catalytic reactions often have low yields and require long reaction times with high numbers of turnovers. This suggests that these non-productive pathways may be significant. In fact, the issue of low ‘quantum yield’ or efficiency has been a major factor in the field.²⁵

Photoinduced Electron Transfer

The mechanisms of organic photoredox catalytic reactions have generally been rationalized by thermodynamic arguments centered on a determination of ΔG . The change in the Gibbs free energy is estimated from the redox potentials of the excited photocatalyst and the reactant obtained from either electrochemical data or steady-state absorption and fluorescence measurements. The

thermodynamic favorability of a photoinduced electron transfer event has been described using the following equation:^{26,27}

$$\Delta G = E_{ox} (D^{\bullet+}/D) - E_{red} (A/A^{\bullet-}) - E_{0,0} - \frac{e^2}{4\pi\epsilon_0\epsilon r} \quad (1)$$

Where $E_{ox} (D^{\bullet+}/D)$ and $E_{red} (A/A^{\bullet-})$ are the ground state oxidation and reduction potentials of the donor and acceptor, respectively. $E_{0,0}$ is the energy of the vibrationally relaxed excited state of the reactant. This is typically estimated in the synthetic organic photoredox catalysis literature as the crossing point between steady-state absorption and fluorescence plots (half the Stokes shift). However, this will only provide a rough estimation as it does not consider other contributions to the absorption and fluorescence lineshape. The last term in Equation 1 corresponds to the coulombic stabilization energy associated with the solvent-dependent energy difference induced by charge separation. Equation 1 is sometimes called the Rehm–Weller equation, although this notation is not fully correct⁹ as there is another expression also referred to as the Rehm-Weller equation that relates the bimolecular rate constant for photoinduced electron transfer with the change in the Gibbs free energy for that process based on an empirical correlation.²⁷

Although Equation 1 provides a reasonable starting point for understanding the energy parameters of photoinduced electron transfer in photoredox catalysis, it has limitations. It is a phenomenological expression based on measurements done in the late 1960s.^{27, 28} These

measurements did not demonstrate the expected existence of the inverted region in the Marcus theory of electron transfer. Instead, they showed that the rate constant of photoinduced electron transfer increased to a diffusion limited value. The existence of the Marcus inversion region has since been demonstrated many times.²⁹⁻³³ As key steps of photoredox reactions such as those involving benzoquinone and quinolinium catalysts may occur in the Marcus inverted region,^{34, 35} using Marcus theory directly is more likely to provide an appropriate description of the electron transfer process.³⁶ In addition, while the use of Equation 1 is conceptually straightforward, it disregards kinetic limitations, the direct involvement of solvent, or that the actual catalytic species might be a transient intermediate. Identifying the processes governing the efficiency and understanding the mechanisms of these photoredox reactions requires direct observation of the steps of the reactions. This can be achieved using time-resolved spectroscopy to track the photocatalyst as it proceeds through the reaction.

Application of Time-Resolved Spectroscopy to Organic Photoredox Catalysis

There is a long history of time-resolved measurements to study chemical reactions. The 1967 Nobel prize in chemistry was for flash photolysis,³⁷⁻³⁹ which has investigated photocatalytic reactions extensively.⁴⁰⁻⁴⁷ These time-resolved measurements have generally been sensitive to timescales on the order of nanoseconds-to-milliseconds and often narrow spectral bandwidth. However, generating a measurement to fully map a reaction mechanism requires broadband

spectral resolution and timescales from femtoseconds to nanoseconds (and beyond). This photophysical view of a reaction can be used to construct a kinetic scheme and directly observe the intermediates, including both productive and unproductive steps. Mechanistic understanding of these reactions can be used to establish design principles that guide photocatalyst future developments.⁴⁸⁻⁵⁰ Some recent transient absorption (TA) or pump-probe experiments⁵⁰⁻⁵⁵ have demonstrated the importance of ultrafast spectroscopy to study catalytic systems. Applying ultrafast spectroscopy to photocatalytic systems has only just begun: initial work has demonstrated that nonlinear spectroscopy provides powerful insights into the fundamental physical properties driving chemical reactions.^{18, 50, 52, 54, 56-61}

The chemical transformations enabled by photoredox catalysis are driven by fundamental photophysical processes. These include energy or charge transfer, proton transfer, vibronic coupling, intersystem crossing, internal conversion, and solvation dynamics among many others as shown in Figure 2. Time-resolved ultrafast methods such as TA or two-dimensional electronic spectroscopy (2DES) can be used to characterize and track these processes, following a photocatalyst as it undergoes the first steps of the chemical reaction. These initial steps are on femto-to-nanosecond timescales and determine the outcome of the catalytic pathway, setting in motion the longer timescale dynamics.

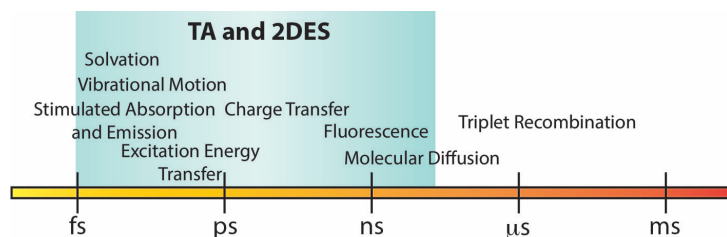


Figure 2: Overview of the timescales of molecular processes in solution associated with photoredox catalysis relative to the time resolution of TA and 2DES. The time resolution of TA and 2DES can extend beyond the nanosecond regime but different delay methods are generally required. The timescale ranges shown here are approximate and longer or shorter timescale outliers exist for all processes shown here.

TA measurements with broadband detection, can follow the evolution of the photocatalyst as it undergoes processes that are central to many proposed photoredox catalytic mechanisms including charge or energy transfer, intersystem crossing, solvation dynamics, and internal conversion.^{62, 63} The use of broadband laser pulses in TA allows for wide spectral resolution of contributing signals. Generating a map of the excited state evolution for a photocatalyst requires sufficient spectral bandwidth to identify intermediates. These measurements can track the reduction or oxidation of photoredox catalysts and identify any subsequent reactive intermediates. They can distinguish between and follow the evolution of singlet and triplet states. TA can also be used to characterize solvent interactions and help determine the role of solvent in the reaction including the formation

of aggregates, pre-assembled charge transfer complexes, hydrogen bonding, and solvent mediated proton coupled electron transfer, among others. In addition, as TA is an inherently heterodyned measurement, signal contributions can be separated based on their sign.⁶² Negative contributions correspond to ground state bleach or stimulated emission. Positive features are associated with excited state absorption or the generation of photoproduct. Signals associated with photoproducts can reveal intermediates or provide other direct information on the mechanism.

Following the dynamics of an organic photoredox catalyst using a time-resolved optical technique such as TA or 2DES imposes requirements on both the maximum delay and experimental time steps. Chemical reactions require molecular collisions or interactions to proceed. At room temperature, the timescale of these interactions is limited by the average time between molecular encounters per the diffusion rate. Estimating a diffusion rate of $\sim 100\text{--}1000\text{ M}^{-1}\text{s}^{-1}$ and a photocatalyst concentration of 0.1 M gives a limit on molecular interactions on the order of $1\text{--}10$ nanoseconds. Therefore, time delays for the optical experiment must reach the nanosecond regime or beyond. Tracking the dynamics of photoredox catalysts also requires short time-resolution to capture processes such as internal conversion on <100 femtosecond timescales and energy transfer on picosecond timescales. Intermediate radical species may only have picosecond lifetimes and we expect solvent reorganization and other related effects to occur in femtoseconds to picoseconds. These requirements equate to an apparatus capable of long time-delays (nanosecond plus) and high-resolution short time-delays (femtosecond) simultaneously.

While TA provides an overall survey of the dynamics of the excited states, 2DES can allow for greater resolution and selectivity. 2DES has been primarily used to measure energy transfer and vibrational coupling, which is not the focus of its application to photoredox catalysis. Instead, it can be used to get a greater understanding of spectral lineshape, which can identify overlapped intermediates, and to provide an extra dimension that can allow for separation of components that may be hidden in TA measurements.

Figure 3 shows data collected on a Cu(II)-tripyrindione neutral radical complex.⁶⁴⁻⁶⁶ The boxes in (a) and (b) indicate the same regions in both data sets, where the TA signal is negative while the 2DES shows negative and positive signal components. The positive component, associated with stimulated emission, is hidden in the TA measurements. To aid comparison, the TA signal corresponds to a summation over the horizontal axis of the 2DES measurement. In this case, the larger negative signal obscures the smaller positive component. While both signal components are in the TA data, it is difficult to separate out the positive signal at 16200 cm⁻¹. In complex photocatalytic reaction mixtures with multiple pathways and intermediates, additional information from 2DES may assist in identifying overlapping contributions to the signal. For example, more than one charge-transfer process may produce a signal at the same or similar energy. In a TA measurement, these would overlap, whereas in 2DES the signal contributions can be spatially separated. Figure 3 (a) and (b) show an example of signals that are separable in 2DES and hidden

in TA. The red and blue pathways shown in Figure 3 (c) represent two different excited states that relax through a state or states at similar energy. Signals associated with electron transfer events may follow a similar path. The green and orange pathways represent an excited state absorption or a photoproduct generation, which is common in photoredox catalysis. Figure 3 (d) shows how the pathways in panel (c) would appear as separate signal contributions on a 2DES plot and as single features in TA (plot on the left side of panel (d)).

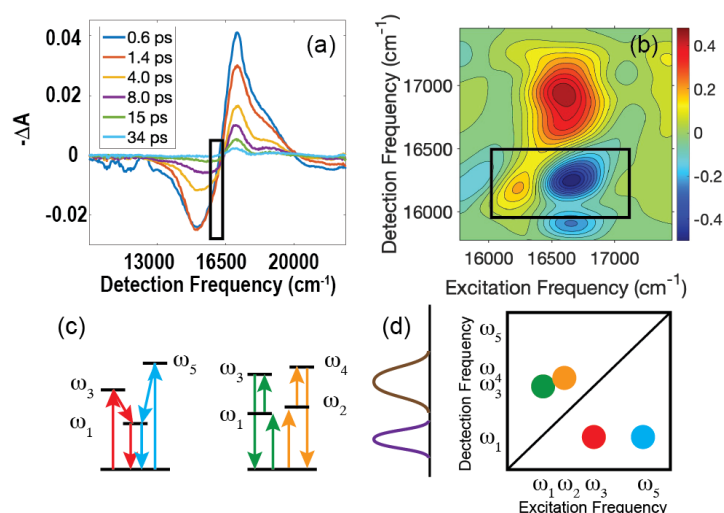


Figure 3: Data for a Cu(II)-tripyrindione complex at room temperature. The boxed region is the same in both (a) and (b). Panel (a) shows TA data and (b) shows 2DES data (1 ps waiting time). In the 2DES plot, there is a positive feature at 16200 cm^{-1} emission (black box), which is hidden in (b) because of the integration over the excitation axis. The black boxes in panel (a) and (b) correspond to the same regions. (c) Signal contributions for the peaks in the cartoon 2DES and TA

in panel (d). The colors in (c) correspond to the peaks in (d). The left side of (d) shows the corresponding TA plot, where much of the detail is lost. The colors in the TA cartoon are representative of the mixing of signal contributions.

Mechanistic Understanding of Organic Photoredox Catalysis

The field of organic photoredox catalysis has many open questions. These include a disparity between the lifetime of organic radicals and diffusion limits for bimolecular reactions, the specifics of early/intermediate steps that determine the trajectories of light-activated catalytic reactions, the identity of transient intermediate species, productive versus unproductive pathways, and the potential role of solvent as a critical intermediary.

Lifetimes of Organic Radicals as Compared to Diffusion Limits

Organic photoredox catalysts have been used in reactions that require reduction potentials comparable to alkali metals^{67, 68} by accessing the excited state of the radical species. However, organic radicals tend to be short lived. The excited-state lifetimes of many organic radical species are generally considered to be too short (picoseconds) to be the key drivers of photoredox catalytic reactions due to temporal limits on binuclear reactions (nanoseconds). If the diffusion limited average timescale for the photoexcited catalyst to encounter a reactant is longer than the lifetime

of the excited state, then the probability that a reaction will occur is low. The reaction would only proceed if the reactant happened to be close to the photocatalyst immediately following photoexcitation. This is represented in Figure 4 (a). If excited-state reactive open-shell doublet radicals do not participate directly in the electron transfer steps of photoredox catalysis due to their short lifetimes, then many proposed mechanisms may be incomplete. However, reactions requiring high reduction potentials have been observed using organic photoredox catalysts.^{18, 67-69} Possible explanations for the action of these photocatalysts include pre-aggregation or other physical association between catalyst and substrate to circumvent diffusion limits, the conversion of the open-shell radical to a closed-shell species that then participates in the reaction, or the direct participation of solvent through reduction or oxidation processes.

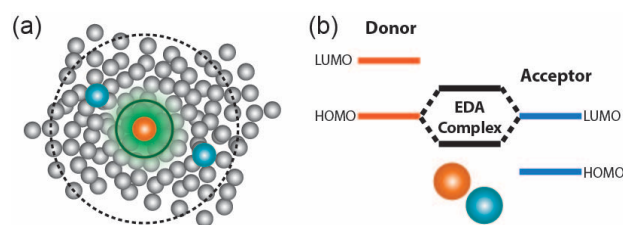


Figure 4: (a) Cartoon schematic representing diffusion limits and excited state lifetimes for photoredox catalysis. The grey, blue, and orange spheres are solvent molecules, reactants, and the photocatalyst, respectively. The green circle represents the diffusion limit of a photoexcited organic radical. Since the lifetime of an organic radical is expected to be short, the photocatalyst

may not diffuse far enough, on average, to encounter a reactant molecule. The black dashed line circle represents the photoexcited diffusion limit of a longer-lived closed shell species. The longer lifetime of the closed-shell species results in a longer diffusion limit while the photocatalyst is in the excited state, increasing the likelihood of encountering a reactant. (b) Molecular orbital representation of the formation of an electron donor acceptor (EDA) complex with a cartoon representation of the association between donor and acceptor molecules.

The short lifetimes and optical characteristics of organic radicals also affects standard optical characterization methods that involve steady-state absorption and fluorescence. In steady-state absorption, the lowest lying electronic transition of a radical species is usually a doublet state, D_1 . This state generally has low oscillator strength.⁷⁰ Stronger absorption features in the visible that could be targeted for photoredox catalysis are higher lying doublet states. In addition, organic radicals tend to not have significant fluorescence. This is due to their short excited-state lifetimes as well as the presence of low-lying states with small oscillator strength in agreement with energy gap law.⁷¹ These factors make estimation of the thermodynamic driving force for the photoinduced electron transfer challenging using an expression such as Equation 1.

The Role of Solvent in Promoting or Suppressing Reactions

The role of the solvent in the mechanisms of photoredox catalysis represents another open question in the field. While it is well-known that the dielectric constant of the solvent influences the rate of charge transfer events, and therefore the efficiency of the reaction, more direct involvement has not been thoroughly investigated. With sufficiently high reduction or oxidation potentials, the solvent may act as an electron donor or acceptor. Solvent reduction by the excited state of an organic radical may also generate a longer lived intermediate that can allow the reaction to proceed.

Solvents are known to participate in photoinduced processes in solution. They can promote non-radiative transitions, hydrogen-bond or participate in proton and charge transfer events. Solvents may coordinate to photocatalysts or reactants, potentially modifying the rate of reactions. Coordination could support the formation of ground state electron donor acceptor (EDA) complexes or charge transfer complexes that are known to be part of the mechanism of certain photoredox catalysis reactions.^{9,72} The basic level structure of an EDA complex is shown in Figure 4 (b). A systematic investigation of the role of the solvent in photoredox catalysis mechanisms using time-resolved spectroscopy may lead new methods to control the selectivity of reactions and improve their efficiency.

Singlet and Triplet States in Photoredox Catalysis.

In addition to highly reducing or oxidizing radical species, many closed-shell molecules act as photoredox catalysts and participate as intermediates in photocatalytic reactions. Organic closed-shell species generally have longer lived excited states as compared to organic radicals. This potentially avoids the diffusion limit issue that may hamper the action of the excited state of organic radicals as photocatalysts. Singlet states tend to be higher in energy than triplet states and, as such, singlet states are usually stronger reductants or oxidants. In comparison, the longer lifetime of triplet states due to intersystem crossing allows for a longer diffusion time for bimolecular interactions and electron transfer events to occur. Triplet states may be favorable for another reason that has not been widely investigated: reduced probability of back electron transfer. If we consider a reductive quenching process, the contact ion pair that is formed as a part of the electron transfer event between the photocatalyst and the reactant should have the same spin multiplicity as the photocatalyst as shown in Figure 5. For a triplet state, back electron transfer would require intersystem crossing and would be slower than back electron transfer involving a singlet state.⁹ Back electron transfer processes compete with the desired catalytic reaction, reducing the overall efficiency. Minimizing this issue by favoring triplet states may result in higher product yields. Time-resolved spectroscopy can be used to identify the timescales associated with photoredox reactions driven by triplet states, compare these to related singlet states processes and

determine the back electron transfer rates to evaluate the effect of triplet states on the efficiency of the reaction.

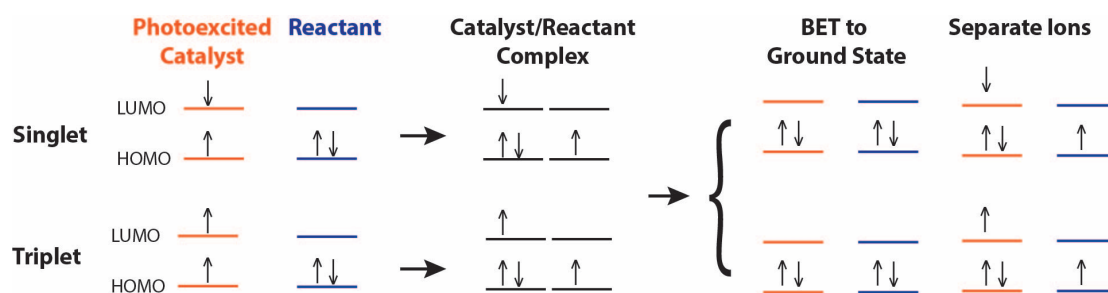


Figure 5: Molecular orbital representation of an electron transfer process where the catalyst is reduced (reductive quenching). The organic photocatalyst is excited to a singlet or triplet state while the reactant or substrate is in the ground state. Once the photoexcited catalyst encounters the reactant, charge transfer can occur. The contact ion pair has the same spin as the photoexcited catalyst. Following charge transfer, both molecules can end up in the ground state via BET or as separate ions. Since BET from the triplet requires intersystem crossing, it is expected to be slower.

Identifying Productive and Unproductive Pathways

In spectroscopy, the term quantum yield often refers to the ratio of photons emitted as fluorescence relative to the number of photons absorbed by a molecule. In the field of photoredox catalysis, quantum yield instead represents the units of product generated per photon absorbed by

the photocatalyst. Although it is a powerful approach to chemical synthesis, photoredox catalysis tends to have low quantum yields.²⁵ Low quantum yield (low efficiency) reactions require more intense light sources being used for longer times, often 24-48 hours, to generate a reasonable amount of product. This had led to significant issues with scaling up reactions for industrial and pharmaceutical applications. High photocatalyst loadings are used to offset the lower efficiency but this causes issues with light absorption in reactors. A higher concentration of light absorbing photocatalyst results in greater opacity of the solution and shallower depth penetration of the light, lowering the yield. In addition, organic photocatalysts that absorb visible light have relatively planar conjugated structures. While this allows for longer wavelength absorption, it can also mean that these molecules are likely to pi-stack or aggregate. As a result, increasing the concentration may lead to more photocatalyst sequestered in aggregates and not participating in the reaction mechanism also lowering the yield. Strategies for increasing efficiency per photon are important to the long-term growth and stability of the field. As the photoredox quantum yield is defined in terms of efficiency per input photons, time-resolved optical spectroscopy methods are particularly well suited to addressing this issue.

Conclusions

The consideration of the feasibility of organic photoredox reactions has been primarily focused on thermodynamic considerations and the presence of accessible visible absorption features

associated with the photocatalyst. This approach largely disregards kinetics, pathways involving multiple intermediates and other considerations such as direct solvent involvement or molecular interactions. A new approach to understanding organic photoredox catalytic reactions is needed. Using time-resolved spectroscopic optical techniques, it is possible to reveal the mechanisms and intermediate states that enable photoredox catalytic processes under reaction conditions. Through these techniques, it is possible to identify the driving forces and the intermediate states for photoredox catalytic reactions, which will open pathways for the design of new, more efficient catalysts.

AUTHOR INFORMATION

Notes

The authors declare no competing financial interests.

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