

# Computational Investigations of the Detailed Mechanism of Reverse Intersystem Crossing in Inverted Singlet-Triplet Gap Molecules

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## Abstract

Inverted singlet-triplet gap (INVEST) materials have promising photophysical properties for optoelectronic applications due to an inversion of their lowest singlet ( $S_1$ ) and triplet ( $T_1$ ) excited states. This results in an exothermic reverse intersystem crossing (rISC) process that potentially enhances triplet harvesting, compared to thermally activated delayed fluorescence (TADF) emitters with endothermic rISCs. However, the processes and phenomena that facilitate conversion between

excited states for INVEST materials are underexplored. We investigate the complex potential energy surfaces (PESs) of the excited states of three heavily studied azaphenylene INVEST compounds, namely cyclazine, pentazine and heptazine using two state-of-the-art computational methodologies, namely RMS-CASPT2 and SCS-ADC(2) methods. Our findings suggest that ISC and rISC processes take place directly between the  $S_1$  and  $T_1$  electronic states in all three compounds through a minimum-energy crossing point (MECP) with an activation energy barrier between 0.11 to 0.58 eV above the  $S_1$  state for ISC and between 0.06 to 0.36 eV above the  $T_1$  state for rISC. We predict that higher-lying triplet states are not populated, since the crossing point structures to these states are not energetically accessible. Furthermore, the conical intersection (CI) between the ground and  $S_1$  states are high in energy for all compounds (between 0.4 to 2.0 eV) which makes nonradiative decay back to the ground state a relatively slow process. We demonstrate that the spin-orbit coupling (SOC) driving the  $S_1$ - $T_1$  conversion is enhanced by vibronic coupling with higher-lying singlet and triplet states possessing vibrational modes of proper symmetry. We also rationalize that the experimentally-observed anti-Kasha emission of cyclazine is due to the energetically inaccessible CI between the bright  $S_2$  and the dark  $S_1$  states, hindering internal conversion. Finally, we show that SCS-ADC(2) is able to qualitatively reproduce excited state features, but consistently overpredict relative energies of excited state structural minima compared to RMS-CASPT2. The identification of these excited state features elaborates design rules for new INVEST emitters with improved emission quantum yields.

## 1. Introduction

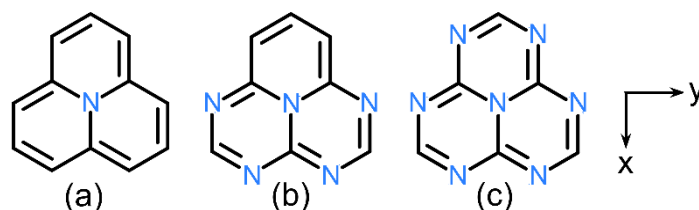
OLEDs have received considerable attention for their large tunability of emission color and promising applications in thin and flexible displays. However, due to the spin statistics bottleneck for closed-shell fluorescent emitters, the internal quantum efficiency (IQE) of the first generation of singlet emissive excitons is limited to merely 25% during the hole-electron recombination process.<sup>1</sup> The remaining population (75% as triplet excitons) is lost through non-radiative decay. Various design strategies have been proposed to harvest a portion of this lost triplet population to overcome the spin-statistic limit.<sup>2</sup> Of these proposed strategies, thermally activated delayed fluorescence (TADF)<sup>3</sup> materials have attracted much attention recently as they can reach a theoretical IQE of 100% without precious heavy metals in their molecular composition. These materials rely on thermal excitations of triplet excitons into the singlet manifold of excited states via reverse intersystem crossing (rISC), which may only take place when there is significant spin-orbit coupling (SOC) between the singlet

and triplet manifold of states. Additionally, according to El-Sayed's rule,<sup>4,5</sup> the singlet and triplet states involved in rISC must bear the same nature to have non-zero SOCs. Facile rISC processes require a small gap between the lowest singlet ( $S_1$ ) and triplet ( $T_1$ ) excited states ( $\Delta E_{ST}$ ) (typically around 0.2 eV). However, typical closed-shell organic molecules possess  $T_1$  states lower than  $S_1$  states as described by Hund's first rule, which makes  $S_1$  to  $T_1$  intersystem crossing (ISC) more favorable than rISC.<sup>6</sup>  $\Delta E_{ST}$  can be reduced through minimizing the exchange interaction energy, which is determined by the degree of overlap between the hole and electron densities associated with the lower lying singlet ( $S_1$ ) and triplet ( $T_1$ ) states. The first generation of TADF molecular emitters take advantage of this feature by introducing donor (D) and acceptor (A) units which involves lower excited states with a high intramolecular charge transfer (CT) character. Nonetheless, singlet CT states have weak to vanishing oscillator strength leading to small radiative emission cross sections and potentially low emission quantum yields. D-A TADF emitters also exhibit large conformational flexibilities, resulting in a broad emission spectrum impairing its color purity. Hence, multiple resonant (MR) TADF emitters<sup>4</sup> consisting of doped polyaromatic hydrocarbons were then proposed to improve color purity. Typically, the lower lying singlet and triplet states are short-range CT (SRCT) excited states characterized by electron transfer between neighboring atomic sites.

However, rISC in both design strategies remains an endothermic process. Past experimental studies on two nitrogen-containing triangulene analogues, i.e., cycl[3.3.3]azine<sup>7</sup> (cyclazine) and 1,4,7-triazacycl[3.3.3]azine,<sup>8</sup> showed unusual optoelectronic behavior with near-degenerate  $S_1$  and  $T_1$  states along with a short  $T_1$  lifetime. A spectroscopic investigation on another nitrogen-containing triangulene analogue, namely 1,3,4,6,7,9,9b-heptaazaphenalene (heptazine),<sup>9</sup> provided no evidence of a  $T_1$  state below the  $S_1$  state, which is consistent with an inverted singlet-triplet energy gap and a violation of Hund's rule for closed-shell organic systems. Recently, in OLEDs made of heptazine derivatives, rISC was demonstrated to be an exothermic process as a consequence of an inverted  $\Delta E_{ST}$  resulting in change of paradigm with respect to TADF compounds.<sup>10-12</sup> This new class of emitters of OLEDs is known as INVEST emitters.<sup>13</sup> Inverted singlet-triplet gaps have been theoretically predicted in conjugated hydrocarbons<sup>14</sup> and in their doped analogues,<sup>15-24</sup> further supported by several high-throughput virtual screening studies<sup>13,25-27</sup> that identified a series of new emitters for OLED applications based on these scaffolds that have both negative  $\Delta E_{ST}$  and large oscillator strength. Microscopically,  $\Delta E_{ST}$  originates from the sum of exchange (Fermi correlation) and Coulomb electron correlations, which contributes positively and negatively to  $\Delta E_{ST}$  respectively.<sup>23</sup> Various propositions

have been made for the conditions or structural motifs required to achieve a negative  $\Delta E_{ST}$  through the minimization of the exchange contributions, like doping to achieve high symmetry point groups<sup>21</sup> or double-bond delocalization.<sup>19,28</sup> However, inverted singlet-triplet gap predictions require correlated methodologies that include at least double excitations while some studies point to the need for the inclusion of triple-excitation with large basis sets,<sup>29–32</sup> to properly describe the stabilization of the  $S_1$  state via dynamic spin polarization in these materials.<sup>22</sup> Indeed, single-excitation computational methods typically used in computational excited-state studies were unable to predict inverted singlet-triplet gaps.<sup>9,23</sup> We also note the recent success in using semiempirical methods to reproduce inverted singlet-triplet gaps.<sup>29,33,34</sup> The difficulty in modelling these systems computationally is exacerbated by the dearth of experimental literature on the photophysical properties of these systems.

The interconversion mechanism occurring between the triplet and singlet manifolds of excited states have previously been computationally investigated in heptazine and one of its derivatives.<sup>16</sup> In that study, rISC is considered to occur between  $T_1$  and  $S_1$  through a Herzberg-Teller (HT) mechanism where the SOC is enhanced by vibronic coupling to  $E''$  vibrational modes. However, the contribution of higher-lying triplet excited states in INVEST materials remains unclear and has never been investigated systematically, although they have been identified to be key players in the rISC mechanism of TADF emitters. This would require specific investigations of the potential energy surfaces (PESs) of the singlet and triplet excited states as well as the possible crossings between them. With the aim to shed light on the photophysical mechanisms of INVEST emitters, we herein explore the PESs of cyclazine, pentazine and heptazine (**Figure 1**), three triangle-shaped azaphenalene compounds with computationally predicted inverted singlet-triplet gaps. We obtain geometries, energies, and the natures of excited-state structural minima, and both conical intersection (CI) and minimum-energy crossing point (MECP) ISC structures. We further geodesically interpolated<sup>35</sup> between the energy minima and their respective MECPs structures to obtain insights into the structural transformations that occur in the interconversion processes. Finally, we analyzed the influence of non-Condon effects for SOC required for  $S_1$ - $T_1$  conversions by considering vibronic coupling to higher-lying singlet and triplet states.



**Figure 1:** Schematic molecular structure of (a) cyclazine, (b) pentazine and (c) heptazine. The reference axes system adopted in this work is also illustrated.

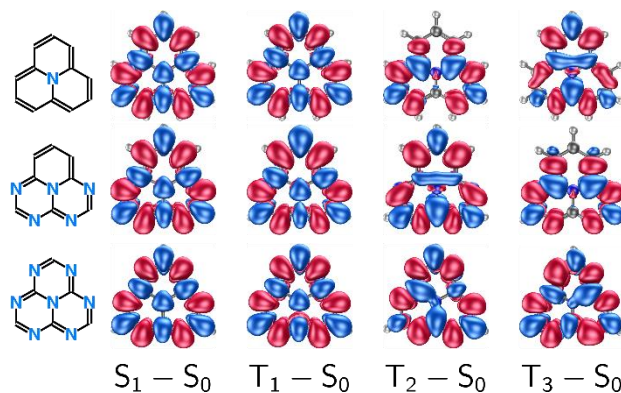
## 2. Methodology

The use of wavefunction-based methods is of prime importance in INVEST materials in order to include to properly account for electron correlation effects that describe the inversion of the lower-lying singlet and triplet excited states.<sup>15–24</sup> Hence, singlet and triplet structures of all compounds were optimized with the Rotated Multi-State Complete-Active Space Second Order Theory (RMS-CASPT2)<sup>36</sup> method, based on a zeroth-order wavefunction computed with the state-averaged CASSCF (SA-CASSCF)<sup>37</sup> approach. The singlet and triplet regimes were state-averaged separately in their respective calculations. RMS-CASPT2 has been proven to be less dependent on the number of roots used in the calculation when compared with other CASPT2 variants.<sup>38</sup> We also test the accuracy of the second-order algebraic-diagrammatic construction combined with a spin-component scaling correction (SCS-ADC(2))<sup>39–42</sup> method. Single-reference methods, such as SCS-CC2 and SCS-ADC(2), are computationally cheaper than RMS-CASPT2 and can thus be applied to study larger systems, but were shown to predict larger negative  $\Delta E_{ST}$ <sup>21</sup> for some compounds. However, the accuracy of these methods for the calculations of crossing points has never been tested before for this class of materials, and are hence worthy of investigation, especially in comparison to their lower computational cost. All calculations were performed with the def2-TZVP<sup>43</sup> atomic basis sets, and no symmetry restrictions were assumed. The resolution of identity (RI) approximation was employed for both levels of theory to speed up the computation of the two-electron integrals.<sup>44</sup> All RMS-CASPT2 calculations were carried out in OpenMolcas 23.02,<sup>45</sup> whereas SCS-ADC(2) calculations were run with the Turbomole 7.4 package.<sup>46</sup> An imaginary level shift<sup>47</sup> of 0.2 a.u. is introduced in RMS-CASPT2 to deal with possible intruder states, and, following a suggestion from the literature,<sup>48</sup> the ionization potential-electron affinity (IPEA) correction<sup>49</sup> is set to zero.

An active space comprising 12 electrons distributed over 12 orbitals is employed in the RMS-CASPT2 calculations (see **Figure S1**). The choice of the orbitals set and the size of the active space were based on the average orbital occupation numbers obtained from the SA-CASSCF calculation (threshold: between 0.02 and 1.98) so that only  $\pi$  and  $\pi^*$  orbitals were included in this initial active space (see **Section 3.2** for further justification). Crossing points between states of either same or different multiplicities were computed as MECP structures between the potential energy surfaces of the two states of interest using both the RMS-CASPT2 and SCS-ADC(2) approaches. For RMS-CASPT2 optimizations, analytical nonadiabatic coupling (NAC) vectors were explicitly calculated, making the computed MECP structures true CIs. As the SCS-ADC(2) method does not provide NAC vectors, the Bearpark-Robb-Schlegel algorithm<sup>50</sup> was used to find MECP structures. These calculations were carried out with the SHARC (Surface Hopping including ARbitrary Couplings)<sup>51</sup> program which generates an interface between the selected quantum chemistry program (in our case Turbomole) and the external Orca optimizer.<sup>52</sup> SOC elements between singlet and triplet states were computed on the RMS-CASPT2 structures by combining density functional theory (DFT) in its time-dependent version within the Tamm-Dancoff approximation (TDA)<sup>53</sup> and PBE0<sup>54</sup> as DFT functional, def2-TZVP<sup>43</sup> basis set, and the one-electron Breit-Pauli operator<sup>55</sup> as implemented in Q-CHEM 5.3.<sup>56</sup> Normal mode analyses of the optimized ground and excited states geometries were carried out using MOMAP 2022a<sup>57</sup> using an undistorted displaced harmonic oscillator (DHO) model. In this case, both ground and excited states were reoptimized at the TDA-PBE0/def2-TZVP level.

### 3. Results and Discussions

#### 3.1 Molecular orbital description and nature of the singlet and triplet excited states



**Figure 2:** Difference density plots between the ground-state and the excited state of interest computed at the SA-CASSCF(12,12)/def2-TZVP level for cyclazine, pentazine, and cyclazine (the singlet and triplet manifolds are state-averaged separately). A similar pattern is also obtained at the SCS-ADC(2)/def2-TZVP considering the first singlet and the three triplet states (see **Figure S2**). A negative density difference value corresponds to red, while a positive density difference value corresponds to blue. An isovalue of  $0.001 \text{ e/au}^3$  was used to plot the densities.

Despite the different nitrogen substitution patterns, the  $S_1$  and  $T_1$  excited states of all three molecules are predominantly described by a  $\pi$ - $\pi^*$  electronic transition (**Table 1**) in which the SA-CASSCF(12,12) molecular orbitals (MOs) are disjoint and resemble the highest occupied MO (HOMO) and the lowest unoccupied MO (LUMO) predicted at the Hartree-Fock level, respectively (see **Figure S3**). The HOMO displays an exclusively non-bonding character localized on the edge of the triangulene core and the LUMO shows bonding character and a contribution on the central nitrogen atom (**Figure 2**). Interestingly, the difference density plots between  $S_1$  and the ground state ( $S_0$ ) as well as between  $T_1$  and  $S_0$  states revealed a SRCT pattern as previously observed in MR-TADF materials, supporting the observation that weak overlaps between hole and electron densities correlates with a small exchange energy (**Figure 2**).<sup>58</sup>

#### 3.2 Vertical Excitation Energies

**Table 1:** Vertical excitation energies ( $\Delta E_{\text{FC}}$ , eV), corresponding oscillator strength ( $f$ ) and singlet-triplet energy gaps ( $\Delta E_{\text{ST}}$ ; eV) obtained at RMS-CASPT2(12,12)/def2-TZVP and SCS-ADC(2)/def2-

TZVP for cyclazine, pentazine and heptazine. Experimental absorption peaks are also reported for comparison. <sup>a</sup>Measured in hexane solution.<sup>7</sup> <sup>b</sup>Measured in chloroform for a series of pentazine derivatives.<sup>59</sup> <sup>c</sup>Measured in acetonitrile.<sup>60</sup>

Molecule	State	RMS-CASPT2(12,12)/def2-TZVP				SCS-ADC(2)/def2-TZVP				Exp.
		Nature	$\Delta E_{FC}$	$f$	$\Delta E_{ST}$	Nature	$\Delta E_{FC}$	$f$	$\Delta E_{ST}$	
Cyclazine	S <sub>1</sub>	<sup>1</sup> ( $\pi\pi^*$ )	0.89	$<1\times 10^{-5}$	—	<sup>1</sup> ( $\pi\pi^*$ )	1.08	0.000	—	1.0-1.5 <sup>a</sup>
	S <sub>2</sub>	<sup>1</sup> ( $\pi\pi^*$ )	2.76	0.057	—	<sup>1</sup> ( $\pi\pi^*$ )	3.17	0.281	—	2.6-3.0 <sup>a</sup>
	T <sub>1</sub>	<sup>1</sup> ( $\pi\pi^*$ )	0.97	—	-0.08	<sup>1</sup> ( $\pi\pi^*$ )	1.31	—	-0.23	
	T <sub>2</sub>	<sup>1</sup> ( $\pi\pi^*$ )	1.96	—	1.07	<sup>1</sup> ( $\pi\pi^*$ )	2.35	—	1.27	
	T <sub>3</sub>	<sup>1</sup> ( $\pi\pi^*$ )	1.96	—	1.07	<sup>1</sup> ( $\pi\pi^*$ )	2.35	—	1.27	
Pentazine	S <sub>1</sub>	<sup>1</sup> ( $\pi\pi^*$ )	2.00	0.002	—	<sup>1</sup> ( $\pi\pi^*$ )	2.28	0.004	—	1.8-2.2 <sup>b</sup>
	S <sub>2</sub>	<sup>1</sup> ( $\pi\pi^*$ )	3.36	0.084	—	<sup>1</sup> ( $\pi\pi^*$ )	3.93	0.245	—	3.3-4.1 <sup>b</sup>
	T <sub>1</sub>	<sup>3</sup> ( $\pi\pi^*$ )	2.08	—	-0.08	<sup>3</sup> ( $\pi\pi^*$ )	2.51	—	-0.23	
	T <sub>2</sub>	<sup>3</sup> ( $\pi\pi^*$ )	2.75	—	0.75	<sup>3</sup> ( $\pi\pi^*$ )	3.25	—	0.97	
	T <sub>3</sub>	<sup>3</sup> ( $\pi\pi^*$ )	2.92	—	0.92	<sup>3</sup> ( $\pi\pi^*$ )	3.40	—	1.12	
Heptazine	S <sub>1</sub>	<sup>1</sup> ( $\pi\pi^*$ )	2.50	$<1\times 10^{-5}$	—	<sup>1</sup> ( $\pi\pi^*$ )	2.81	0.000	—	2.7 <sup>c</sup>
	S <sub>2</sub>	<sup>1</sup> ( $\pi\pi^*$ )	3.99	0.122	—	<sup>1</sup> ( $\pi\pi^*$ )	4.15	0.000	—	4.0 <sup>c</sup>
	T <sub>1</sub>	<sup>3</sup> ( $\pi\pi^*$ )	2.70	—	-0.20	<sup>3</sup> ( $\pi\pi^*$ )	3.19	—	-0.38	
	T <sub>2</sub>	<sup>3</sup> ( $\pi\pi^*$ )	3.35	—	0.85	<sup>3</sup> ( $\pi\pi^*$ )	3.91	—	1.00	
	T <sub>3</sub>	<sup>3</sup> ( $\pi\pi^*$ )	3.35	—	0.85	<sup>3</sup> ( $\pi\pi^*$ )	3.91	—	1.00	

**Table 1** lists the vertical excitation energies of the lower two singlet and three triplet excited states of cyclazine, pentazine, and heptazine at the Franck-Condon (FC) region computed with the RMS-CASPT2 and SCS-ADC(2) methods. In general, RMS-CASPT2 provides vertical excitation energies lower than the SCS-ADC(2) method for all molecules. Both methods reproduce the expected hypsochromic shift going from cyclazine to pentazine and going from pentazine to heptazine. Both methods reveal that the S<sub>1</sub> transition has vanishing oscillator strength for both cyclazine and heptazine for symmetry reasons, and is only weakly allowed in pentazine which is in line with the low intensity absorption features measured experimentally.<sup>59,61</sup> Experimental lower absorption bands appear at energies around 1.0-1.5 eV and 2.6-3.0 eV for cyclazine<sup>7</sup> and heptazine<sup>60</sup> respectively, while our computations reasonably predict S<sub>1</sub> energies at 0.89 [1.08] eV and 2.50 [2.81] eV using RMS-CASPT2 [SCS-ADC(2)]. An intense absorption band is experimentally reported at ~2.7 eV and ~4.0 eV for cyclazine and heptazine, respectively, corresponding to the S<sub>2</sub> absorption at the RMS-CASPT2 calculations (see **Table 1**). In contrast, the strongly absorbing states at SCS-ADC(2) for heptazine and cyclazine correspond to S<sub>5</sub> and S<sub>2</sub>, respectively, and are blue-shifted by 0.59 eV for heptazine and 0.47 eV for cyclazine with respect to the experiment. Both methods predict a negative  $\Delta E_{ST}$  (**Table**



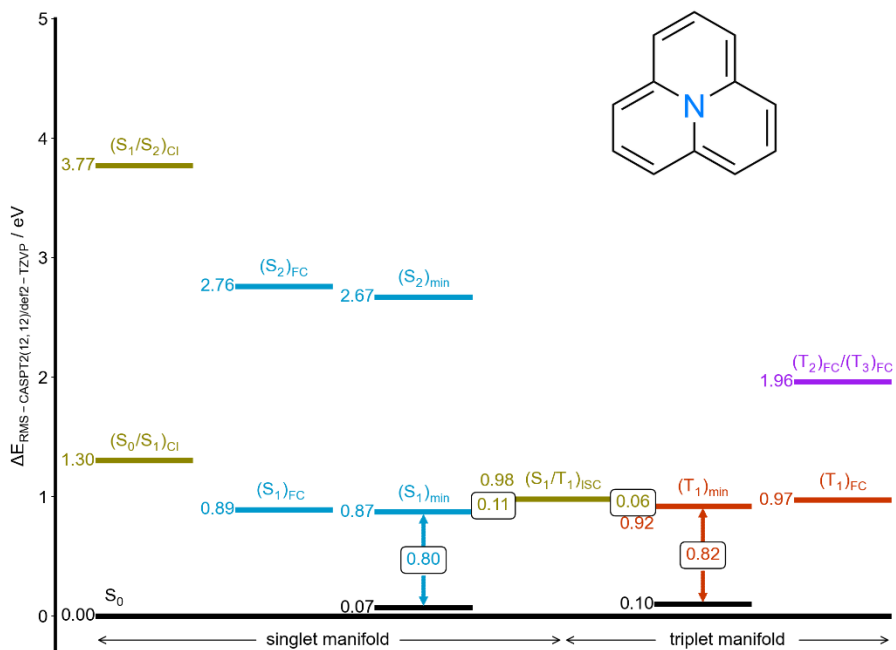
1) with SCS-ADC(2) giving the most negative gap in between the two methods, as previously demonstrated.<sup>19,21</sup> The  $\Delta E_{ST}$  values obtained from RMS-CASPT2 (-0.08 eV for cyclazine, -0.08 eV for pentazine, and -0.20 eV for heptazine) are in good agreement with the best theoretical estimates proposed by Loos *et al.* (-0.13 eV for cyclazine, -0.12 eV for pentazine and -0.22 eV for heptazine),<sup>32</sup> obtained from vertical excitation energy calculations with CC3 and CCSDT methods. The RMS-CASPT2  $\Delta E_{ST}$  values are also in line with previous NEVPT2  $\Delta E_{ST}$  predictions.<sup>15</sup>

The  $S_2$  excited state bears a  $^1(n\pi^*)$  nature at the SCS-ADC(2)/def2-TZVP level for heptazine (weighting of 83%), with a vertical excitation energy of 4.07 eV. This is more than 1 eV above the  $S_1$  state, which makes its contribution to spin interconversion via population of the  $S_2$  state unlikely. Within the active space considered for our RMS-CASPT2 calculations, no nitrogen lone pair orbitals were included and hence only  $\pi \rightarrow \pi^*$  transitions can be observed (see **Table 1**). To investigate if  $n \rightarrow \pi^*$  transitions are relevant for RMS-CASPT2, we further increased the active space from (12,12) to (14,13) for heptazine and pentazine. In heptazine, we found  $n \rightarrow \pi^*$  character for the  $S_2$  state at RMS-CASPT2(12,12) level, in near resonance with the  $S_3$   $^1(\pi\pi^*)$  state, 1.49 eV above  $S_1$  (see **Table S1**) with no expected contribution to the spin interconversion mechanism as suggested by the calculations at the SCS-ADC(2) level of theory. In the case of pentazine, the  $^1(n\pi^*)$  state is the  $S_3$  state and is more than 2 eV above  $S_1$  (see **Table S1**) and thus does not contribute directly to the spin interconversion mechanism. Since cyclazine does not have lone pair orbitals, the extension of the active space is not required. For cyclazine, the  $S_2$ - $S_1$  energy gap is predicted to be 1.87 eV with RMS-CASPT2(12,12) in good agreement with its absorption spectrum recorded in hexane solution (1.74 eV),<sup>7</sup> while it is slightly higher at the SCS-ADC(2) level (2.09 eV).

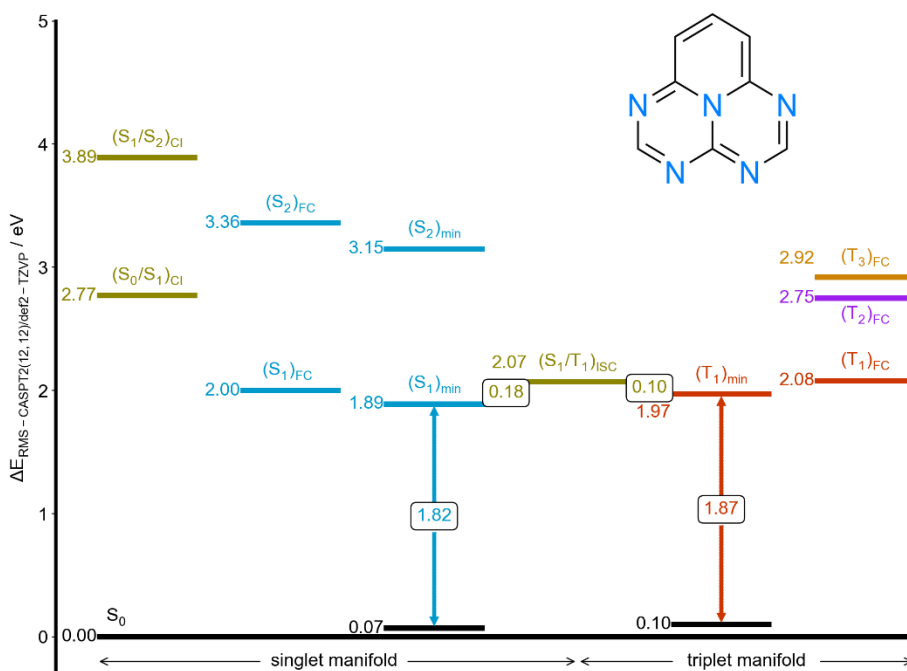
Turning to the triplets,  $T_2$  and  $T_3$  states are degenerate in both levels of theory for cyclazine and heptazine because of their  $D_{3h}$  symmetry, while this is not the case for pentazine. For cyclazine, the  $T_2$  and  $T_3$  states lie 0.99 [1.04] eV above the  $T_1$  state according to RMS-CASPT2 [SCS-ADC(2)], while they are located 0.65 [0.72] eV higher than  $T_1$  in the case of heptazine. This degeneracy is broken for pentazine, although the molecular orbitals that describe the  $T_2$  and  $T_3$  states are very similar to those observed for heptazine and cyclazine (see **Figure S4**). In this case,  $T_2$  and  $T_3$  are 0.67 [0.74] and 0.84 [0.89] eV above  $T_1$ . Overall, we observed a larger energy gap between  $S_1$  and  $T_2$  ( $\Delta E_{S_1T_2}$ ) at the SCS-ADC(2) level than at the RMS-CASPT2. Interestingly, the  $\Delta E_{S_1T_2}$  gap is very large (in all

compounds larger than 0.7 eV) which makes the direct involvement of T<sub>2</sub> in a potential rISC mechanism unlikely.

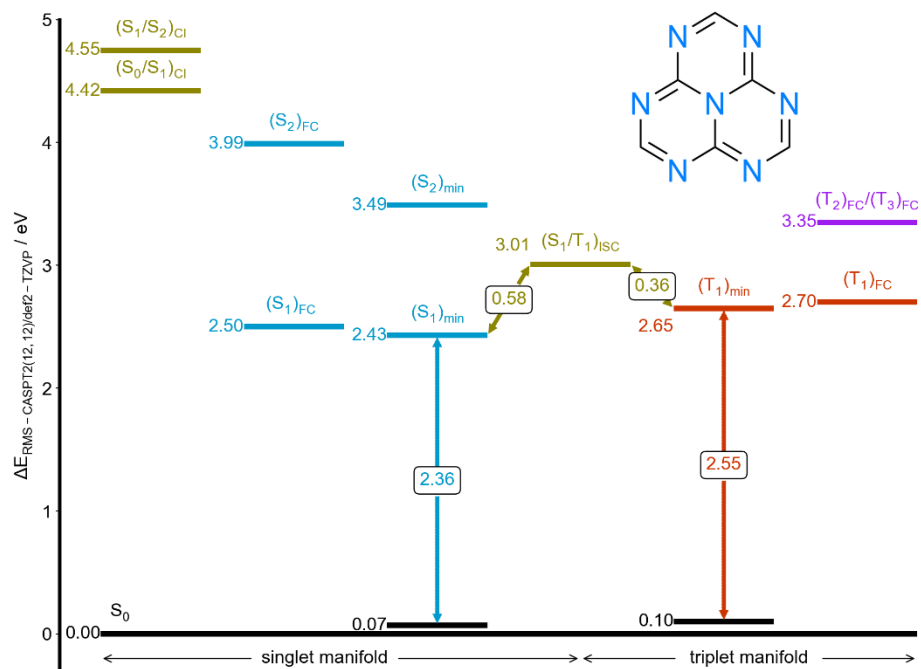
### 3.3 ISC and rISC mechanisms



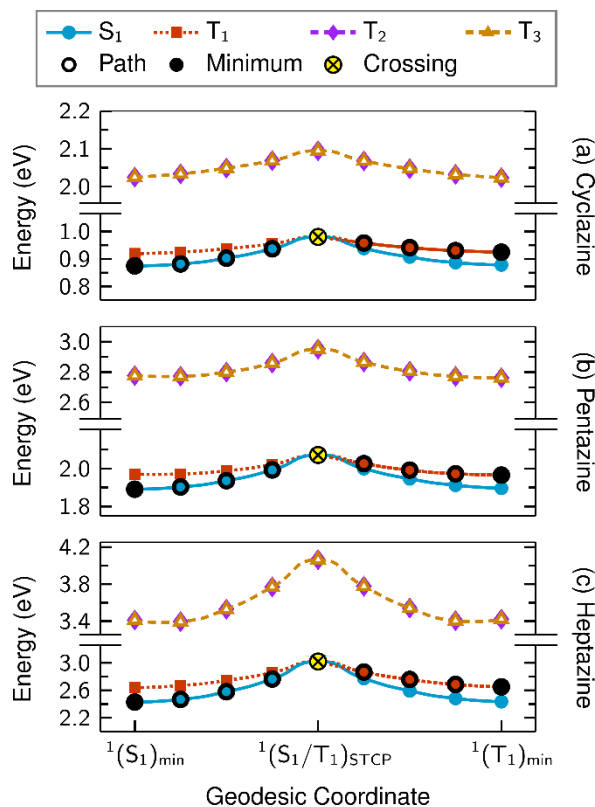
**Figure 3:** Jablonski diagram for cyclazine evaluated at the RMS-CASPT2(12,12)/def2-TZVP level of theory. SCS-ADC(2)/def2-TZVP values for cyclazine are plotted in **Figure S5**.



**Figure 4:** Jablonski diagram for pentazine evaluated at the RMS-CASPT2(12,12)/def2-TZVP level of theory. SCS-ADC(2)/def2-TZVP values for pentazine are plotted in **Figure S6**.



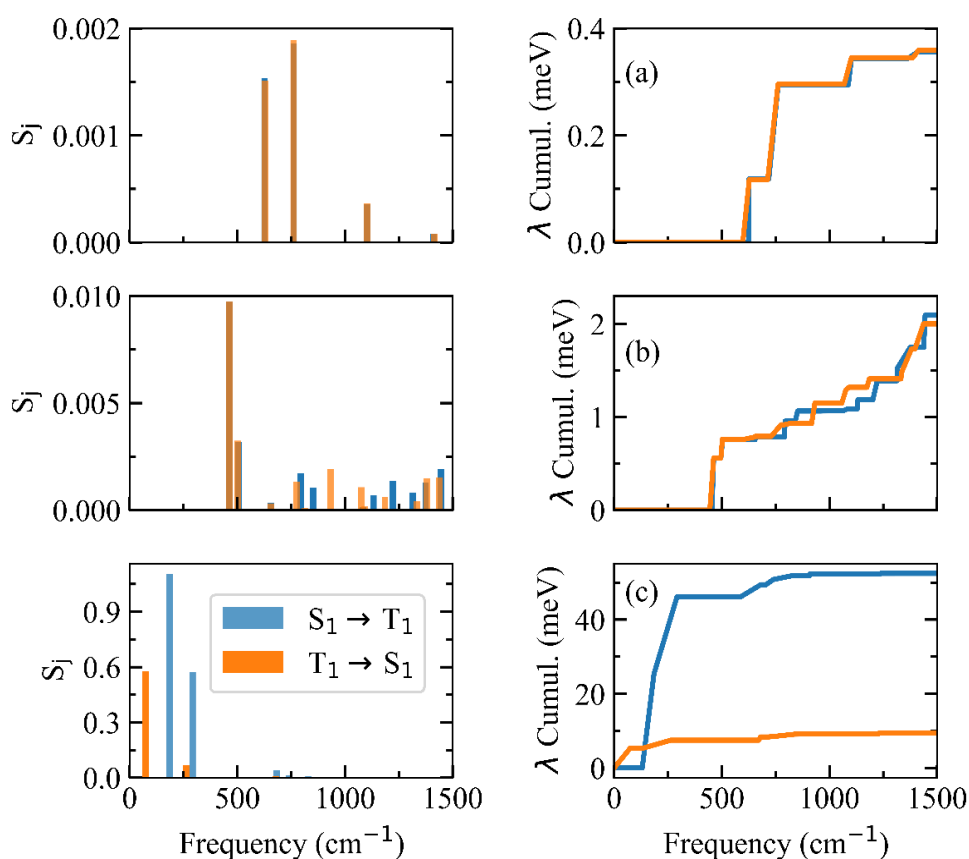
**Figure 5:** Jablonski diagram for heptazine evaluated at the RMS-CASPT2(12,12)/def2-TZVP level of theory. SCS-ADC(2)/def2-TZVP values for heptazine are plotted in **Figure S7**.



**Figure 6:** Geodesic interpolation pathways connecting optimized geometries and singlet-triplet crossing point for (a) cyclazine, (b) pentazine, and (c) heptazine computed at RMS-CASPT2/def2-TZVP level of theory. The relaxation paths are indicated with open black circles, minima with black circles and crossing points with X. SCS-ADC(2)/def2-TZVP interpolations are shown in **Figure S9**.

A complete understanding of the mechanistic aspects behind the rISC and ISC processes in these triangulene cores involves an estimation of the energy barrier as well as the magnitude of the SOC associated with the different conversion channels between the singlet and triplet excited states. This requires an exploration of the singlet and triplet excited state PESs beyond the FC region, and looking for the singlet-triplet crossing points (STCP) not only between  $S_1$  and  $T_1$  but potentially between  $S_1$  and higher-lying triplet states  $T_n$ . To this end, we computed the relaxed geometries of the singlet and triplet excited state energy minima and the MECP (or CI for same-spin crossings where NACs are explicitly evaluated) structures between these PESs. Our results show that the minima of the  $S_1$  and  $T_1$  states closely resemble the ground state-optimized structure with retained planarity, and the most prominent changes are the changes in bond lengths of the aromatic rings. The relaxation energies from

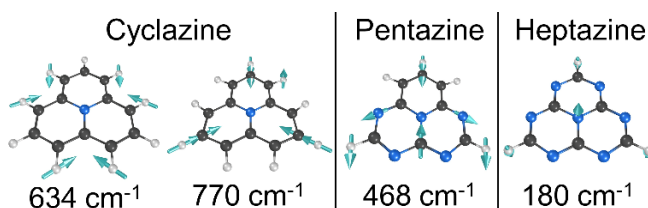
the FC point to the  $S_1$  and  $T_1$  minima are rather small for both RMS-CASPT2 and SCS-ADC(2) (see **Table S2-3** and **Figure S8**). For  $S_1$ , the relaxation energies are 0.02, 0.11 and 0.07 eV for cyclazine (**Figure 3**), pentazine (**Figure 4**), and heptazine (**Figure 5**) respectively for RMS-CASPT2, in line with what was previously reported for excited states exhibiting SRCT character.<sup>18</sup> For  $T_1$ , the relaxation energies are 0.05, 0.11 and 0.05 eV, for cyclazine, pentazine and heptazine respectively when computed with RMS-CASPT2. As a result, the adiabatic  $\Delta E_{ST}$  computed at the RMS-CASPT2 level amounts to -0.05, -0.08 and -0.22 eV for cyclazine, pentazine, and heptazine, respectively.



**Figure 7:** Huang-Rhys factor (left) and cumulative reorganization energy (right) computed at the TDA-PBE0/def2-TZVP level of theory for (a) cyclazine, (b) pentazine and (c) heptazine.

A STCP structure between the  $S_1$  and  $T_1$  states was found for all molecules. The STCP structures lie 0.11 and 0.06 eV, 0.18 and 0.11 eV, and 0.58 and 0.36 eV above the  $(S_1)_{\text{min}}$  and  $(T_1)_{\text{min}}$  for cyclazine, pentazine and heptazine respectively, at the RMS-CASPT2 level. As expected from the larger negative  $\Delta E_{ST}$ , the crossing points obtained at SCS-ADC(2) are higher in energy compared to RMS-CASPT2,

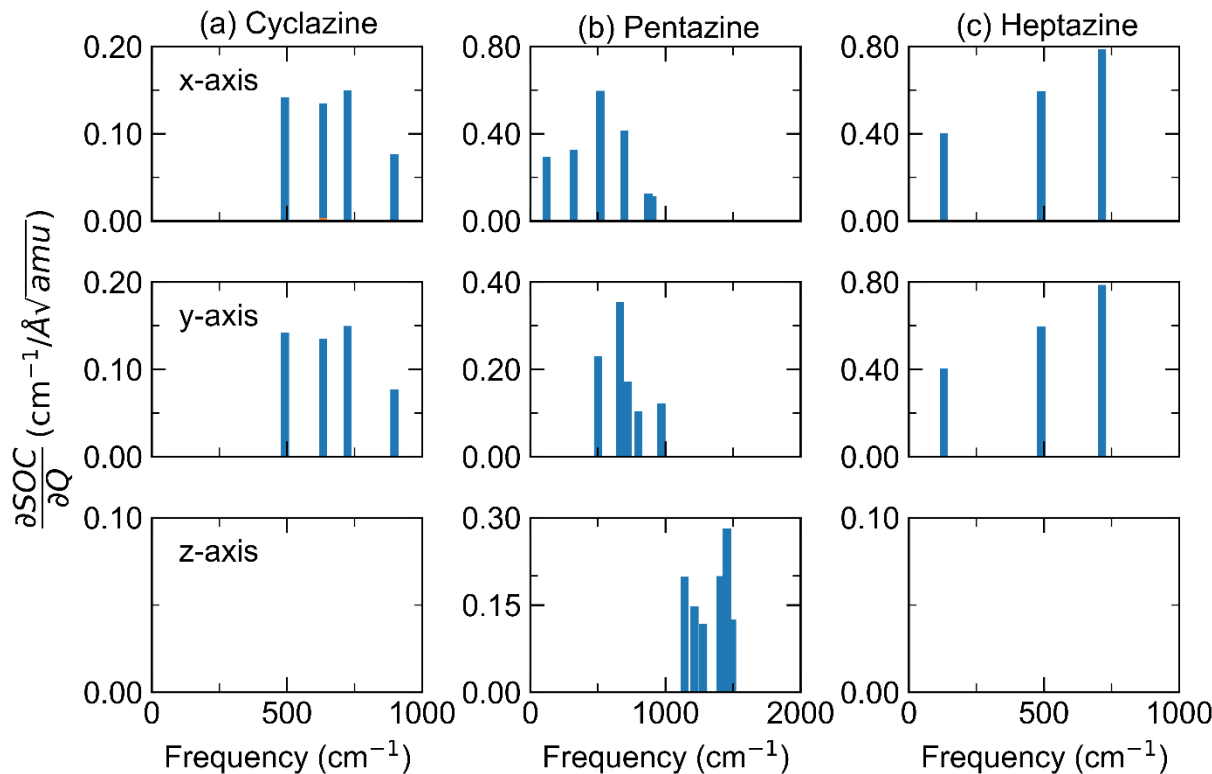
by up to 0.1 eV (see **Table S3**). Since no crossing is found between the  $S_1$  and the degenerate  $T_2$  and  $T_3$  PES along the geodesic scan (see **Figure 6**), ISC is expected to take place directly from  $S_1$  to  $T_1$ . Interestingly, the ISC process is thermally activated with an energy barrier that grows with the number of nitrogen atoms per triangulene core (cyclazine  $\rightarrow$  pentazine  $\rightarrow$  heptazine). This is likely attributed to a small difference in the nature for  $S_1$  and  $T_1$  as witnessed by the slightly different difference density patterns of these two states (see **Figure S10**) which thus involve a crossing structure with a larger conformational change with respect to the minima. As witnessed by the computed energy barriers from the  $(T_1)_{\min}$  towards the  $S_1/T_1$  STCP structure, rISC is also a thermally activated process, but it is still expected to occur on a faster timescale than ISC in view of the negative  $\Delta E_{ST}$ .



**Figure 8:** Normal modes associated with the highest Huang-Rhys factor for cyclazine, pentazine, and heptazine with their respective frequency.

We next computed the Huang-Rhys (HR) factors associated to the vibrational modes contributing to reorganization of the geometry of the three molecules upon ISC and rISC. The computed HR factors increase in magnitude when increasing the number of nitrogen atoms in the core of the selected triangulene compounds (see **Figure 7**). This further leads to a larger geometric distortion and thus larger reorganization energy associated with the ISC and rISC processes and thus a larger activation energy computed for heptazine. The opposite is true for cyclazine, where the lower geometric distortion leads to a lower activation energy. Furthermore, we identified the 770, 468, and 180 cm<sup>-1</sup> normal modes for cyclazine, pentazine, and heptazine, respectively, as the dominant mode responsible for the geometry reorganization. Interestingly, the normal modes associated with the highest HR factor in the three compounds are similar for cyclazine and pentazine (see **Figure 8**), being predominantly described by the rocking motion of the hydrogen atoms together with the stretching of either the C-C bonds or the N-C bonds. In contrast, in heptazine, a vibrational mode with an out-of-plane motion of the central nitrogen atom factor which breaks the  $D_{3h}$  symmetry of the core contributes with the

highest HR factor. It is also worth mentioning that in cyclazine the normal mode with frequency of  $634\text{ cm}^{-1}$ , described by the out-plane motion of some C-H bonds, also has a significant HR factor (see **Figure 8**).



**Figure 9:** Electron-phonon coupling constants for each spin-orbit coupling (SOC) component computed as a function of the vibrational modes computed at the TDA-PBE0/def2-TZVP level of theory. We report only the imaginary part of the SOC since the real part vanishes. See **Figure 1** for reference axis.

We next evaluated the SOC for each of the three compounds. As the molecules possess the same electronic nature for both the  $S_1$  and  $T_1$  states, and that they possess high symmetry ( $D_{3h}$  for cyclazine and heptazine and  $C_{2v}$  for pentazine), the SOC computed at the  $S_1/T_1$  crossing point for the three compounds vanishes (see **Tables S4, S5 and S6**). However, by including non-Condon effects within the linear vibronic coupling (LVC) regime, we could study the influence of vibrational modes on the magnitude of the SOC (see **Appendix S2**). In practice, we proceed by calculating the SOC along all normal modes distorting the equilibrium geometry of all molecules between  $-0.05$  and  $0.05\text{ \AA} \times \sqrt{\mu}$ , where  $\mu$  is the reduced mass associated with a given vibrational normal mode. We computed the

electron-phonon coupling constants of the  $\alpha$ -th component of the SOC ( $SOC_\alpha$ ) associated with a given vibrational mode as the slope  $\frac{\partial SOC_\alpha}{Q_i}$  of  $SOC_\alpha$  ( $\alpha = x, y, \text{ and } z$ ) as a function of the normal coordinate  $Q_i$ . We have also taken advantage of the  $D_{3h}$  symmetry that allows us to conclude, based on symmetry analysis, that only normal modes belonging to the  $A'_2 [E'']$  irreducible representation will affect  $SOC_z$  [ $SOC_x$  and  $SOC_y$ ] for both cyclazine and heptazine (see **Tables S7-S12**). Pentazine has a lower symmetry and belongs to the  $C_{2v}$  point group so that  $SOC_x$ ,  $SOC_y$  and  $SOC_z$  are affected by  $A_2$ ,  $B_1$  and  $B_2$  modes (see **Tables S13-S15**). In **Figure 9**, we report the electron-phonon coupling constant associated with the  $i$ -th vibrational mode. For cyclazine and heptazine, only  $SOC_x$  and  $SOC_y$  are affected, or in other words, the  $SOC_z$  component is not impacted by any  $A'_2$  vibrational normal mode. In the case of heptazine, the  $E''$  normal modes at 490 and 733  $\text{cm}^{-1}$  exhibit the largest electron-phonon coupling constants for the  $SOC_x$  and  $SOC_y$  components, even though they correspond to different atomic displacements for each component (see **Figure S11**, panels a and c). A similar trend is observed for cyclazine, with the  $E''$  normal modes at 493, 633, and 725  $\text{cm}^{-1}$  having the largest phonon coupling constants for both  $SOC_x$  and  $SOC_y$ . It is important to note that all normal modes that induce a large electron-phonon coupling constant for both heptazine and cyclazine involve out-of-plane distortions that break the  $D_{3h}$  symmetry. For pentazine, non-vanishing electron-phonon coupling constants are obtained for all components (see **Figure 9**).  $B_2$  normal modes with frequencies above 1000  $\text{cm}^{-1}$  contribute to  $SOC_z$  while  $A_2$  and  $B_1$  modes with frequencies below 1000  $\text{cm}^{-1}$  affect  $SOC_x$  and  $SOC_y$ . We have identified the normal modes at 518, 662, and 1455  $\text{cm}^{-1}$  with the highest electron coupling constants along the x-axis, y-axis, and z-axis, respectively. Our results suggest that  $A_2$  vibrational modes are more strongly coupled to the SOC than  $B_1$  and  $B_2$  modes for pentazine. Interestingly, for pentazine,  $A_2$  and  $B_1$  normal modes that induce the largest  $SOC_x$  and  $SOC_y$  electron-phonon coupling constants are out-of-plane distortion similar to what is observed for both cyclazine and heptazine, whereas a rocking motion of a specific hydrogen atom associated with a  $B_2$  mode along the y-axis leads to the largest electron-phonon coupling constant for  $SOC_z$ .

The thermalized SOC component can be calculated as follows:

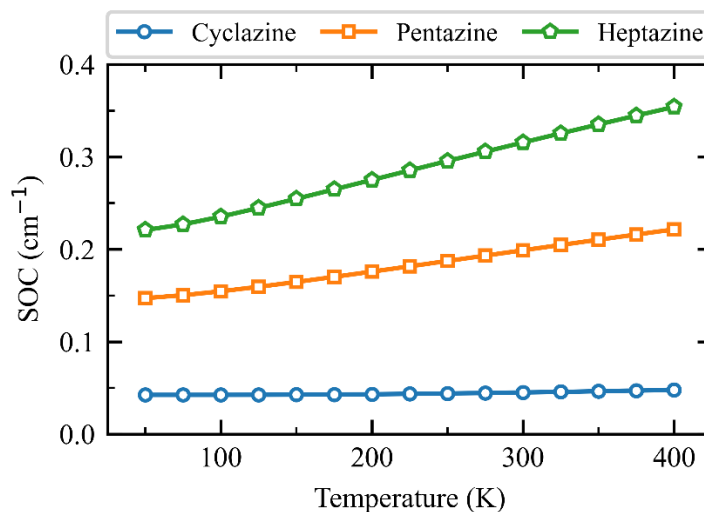
$$\overline{SOC}_\alpha = \sqrt{(SOC_\alpha)^2 + \sigma_\alpha^2} \quad (1)$$

where  $\sigma_\alpha^2$  is the temperature-dependent vibrational correction to the  $\alpha$ -th component of the SOC defined as:<sup>62</sup>



$$\sigma_{\alpha}^2 = \sum_i^{3N-6} \frac{\hbar}{4\omega_i} \left( \frac{\partial SOC_{\alpha}}{\partial Q_i} \right)^2 \coth \left( \frac{\hbar\omega_i}{2k_B T} \right) \quad \alpha \equiv x, y, z \quad (2)$$

where the sum runs over all the  $3N-6$  normal modes,  $\omega_i$  is the frequency associated with the  $i$ -th normal mode and  $T$  is the temperature.



**Figure 10:** Total spin-orbit coupling (SOC) as a function of temperature including vibrational correction for (a) cyclazine, (b) pentazine, and (c) heptazine.

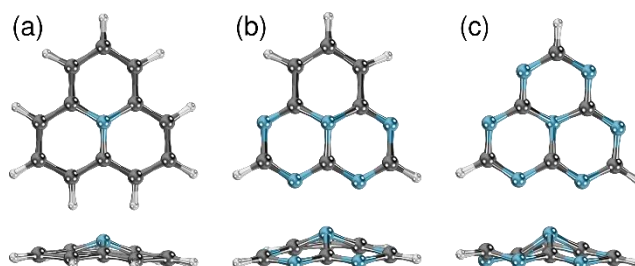
The vibrational corrections to the different components of the SOC at room temperature ( $\sigma_{\alpha}^2$ ) are larger in heptazine, for both the  $SOC_x$  and  $SOC_y$  components (**Table S4**). Unlike heptazine, the most notable contribution for pentazine comes from the  $SOC_x$  component (**Table S5**). Similar to heptazine, only the vibrational corrections to the  $SOC_x$  and  $SOC_y$  components contribute to the thermalized SOC components for cyclazine (**Table S6**), but this correction is around one order of magnitude smaller than for heptazine. By using expressions (1) and (2), we have computed the temperature-dependence of the total SOC value, as depicted in **Figure 10**. The temperature dependence of the SOC is more pronounced when increasing the nitrogen content from cyclazine to heptazine in line with the trends in the vibronic coupling associated with SOC. Our results suggest non-vanishing thermally activated SOC which amounts to 0.045, 0.199, and 0.316  $\text{cm}^{-1}$  for cyclazine, pentazine, and heptazine respectively, at room temperature (300 K). It is worth noting that the SOC values obtained for pentazine and heptazine are similar in magnitude as the SOC obtained for TADF emitters.<sup>63</sup> These results suggest the presence of strong vibrational correction contributions in all three molecules that can substantially influence the ISC and rISC rate constants.

The different impacts of the vibrational modes on the SOC magnitude of the three compounds can be rationalized by developing the excited state wavefunction in an HT expansion. Through this, we can shed light on how vibrational modes enhance SOC by a borrowing effect originating from the vibronic coupling between the first excited state of each spin manifold with higher excited states of the same spin manifold (see SI for detailed information). In **Table S7**, we reported that the SOC enhancement in cyclazine comes from the vibronic coupling of  $T_1$  with higher-lying triplet excited states, with the largest contribution coming from the coupling with  $T_6$ , via  $A'_2$  normal modes. SOC enhancement is also achieved in cyclazine via vibronic coupling of  $S_1$  and the degenerate pairs  $S_{5/6}$  via ( $E''$ ) normal modes. As shown in **Table S9**, the larger  $\text{SOC}(T_1-S_{5/6})$  compared to  $\text{SOC}(S_1-T_6)$ , along with the smaller energy gap between  $T_1$  and  $T_6$  compared to  $S_1$  and  $S_{5/6}$ , results in the  $E''$  normal modes contributing more than the  $A'_2$  normal modes to the HT correction. This can be seen from the ratio  $\frac{\text{SOC}}{(\varepsilon_{S_n/T_n} - \varepsilon_{S_1/T_1})}$ , which is almost two orders of magnitude larger for  $\text{SOC}(T_1-S_{5/6})$  compared to  $\text{SOC}(S_1-T_6)$ , in line with the results shown in **Figure 9a**. In heptazine, only  $E''$  normal modes induce vibronic coupling between  $S_1$  and higher-lying singlets, and also for  $T_1$  and higher-lying triplets (see **Table S10-S11**), rationalizing the vanishing electron-phonon contribution to  $\text{SOC}_z$ , which is associated with the  $A'_2$  normal modes (**Figure 9c**). Furthermore, the interplay between the energy gap between the vibronically active higher excited states of one spin manifold ( $S_n$  or  $T_n$ , respectively) and the first excited state of the same manifold ( $S_1$  and  $T_1$ , respectively), and the SOC of these higher-lying excited states with the first excited state of the other spin manifold ( $T_1$  and  $S_1$ , respectively) rationalizes the more prominent HT contribution in heptazine compared to cyclazine (**Figure 9a and 9c**). For instance, by comparing **Table S9** and **Table S12**, it can be observed that in heptazine, the lowest vibronically-active singlet (triplet) states bear a larger SOC with  $T_1$  ( $S_1$ ) ( $> 5 \text{ cm}^{-1}$ ) and a smaller energy gap with  $S_1$  ( $T_1$ ) ( $< 1 \text{ eV}$ ) in comparison to cyclazine, where the sole vibronically active  $S_{5/6}$  states are characterized by a smaller SOC with  $T_1$  ( $3.39 \text{ cm}^{-1}$ ) and a larger energy gap with  $S_1$  ( $> 2 \text{ eV}$ ) supporting the largest enhancement of SOC due to vibronic coupling in heptazine as compared to cyclazine. The same analysis can be carried out for pentazine, for which the less symmetrical core causes almost all the higher-lying singlet and triplet states to contribute to the SOC enhancement via vibronic coupling (**Table S13** and **Table S14**). As seen in **Table S15**, the lowest vibronically coupled states bearing a large SOC are  $T_4$  and  $S_2$  ( $6.87 \text{ cm}^{-1}$  and  $6.71 \text{ cm}^{-1}$ ) which are coupled by  $A_2$  normal modes to  $T_1$  and  $S_1$ , respectively. These states bring the largest contribution to the HT-enhanced SOC, as it can be

inferred from the ratio  $\frac{SOC}{(\epsilon_{S_n/T_n} - \epsilon_{S_1/T_1})}$ , rationalizing the largest electron-phonon coupling constants computed for SOC<sub>x</sub> (**Figure 9b**).

### 3.4 Internal conversion

The crossing structure between the ground and the first singlet states has been found for all molecules. This crossing structure is quite distorted compared to the planar S<sub>0</sub> and S<sub>1</sub> states, with the most striking feature being that the central nitrogen atom distorts out of the molecular plane (see **Figure 11**). This out-of-plane motion is energetically unfavorable due to the disruption of the π-system and the energetic barriers increase as the number of nitrogen atoms decreases. Using RMS-CASPT2 [SCS-ADC(2)], the barriers are 0.43 [0.39], 0.88 [0.81], and 1.99 [1.45] eV for cyclazine, pentazine and heptazine compared to their respective S<sub>1</sub> adiabatic minima. The high rigidity of these compounds supports the fact that the nonradiative decay mechanism towards the ground-state is a very slow process.



**Figure 9:** Front and side views of the (S<sub>1</sub>/S<sub>0</sub>)<sub>CI</sub> structure for (a) cyclazine, (b) pentazine and (c) heptazine computed at RMS-CASPT2(12,12)/def2-TZVP level. A similar structure with the central nitrogen outside the molecular plane is also found at the SCS-ADC(2)/def2-TZVP level (see **Figure S12**).

Cyclazine experimentally exhibits anti-Kasha emission when it is photoexcited to the S<sub>2</sub> state,<sup>7</sup> i.e., after the population of the S<sub>2</sub> state and the subsequent relaxation to the minimum of this state, the system remains excited long enough to release the excess energy through fluorescence. Our calculations predict an emission energy of 2.56 eV from the S<sub>2</sub> optimized minimum at the RMS-CASPT2(12,12)/def2-TZVP level in agreement with previous experimental data (~ 2.70 eV).<sup>7</sup> In order to better understand why cyclazine exhibits anti-Kasha behavior, we optimized the S<sub>1</sub>/S<sub>2</sub> CI structure. According to RMS-CASPT2, the S<sub>1</sub>/S<sub>2</sub> crossing point lies high in energy at 1.10 eV above the S<sub>2</sub> state

energy minimum, in line with the considerably distorted structure (see **Figure S13**). These findings allow us to conclude that the emission from the  $S_2$  is more likely than the  $S_2$ - $S_1$  IC, especially in view of the oscillator strength of 0.059 that suggests via spontaneous Einstein emission rate a radiative decay time constant in the  $\sim 50$  ns timescale. We can infer that a similar photophysical behaviour should occur for pentazine and heptazine since a large activation energy from the  $S_2$  energy minimum towards the  $S_1/S_2$  CI is also computed (0.74 eV for pentazine and 1.06 eV for heptazine at RMS-CASPT2 level) and a large oscillator strength that amounts to 0.087 and 0.145 for pentazine and heptazine, respectively suggesting radiative decay time constants for both compounds in the  $> \sim 10$  ns timescale. As proposed by Veys and Escudero<sup>64</sup> and Padula et al.,<sup>65</sup> a well-accepted rule of thumb for dominant anti-Kasha  $S_2 \rightarrow S_0$  emission from certain classes of molecules is a large  $S_2$ - $S_1$  energy gap which results in a very slow internal conversion  $S_2 \rightarrow S_1$ . This condition is achieved here for cyclazine, pentazine and heptazine with an adiabatic energy gap between  $S_1$ - $S_2$  as large as 1.80, 1.26 and 1.06 eV at the RMS-CASPT2 level. Unfortunately, there are no experimental results yet for pentazine and heptazine that could validate our theoretical predictions.

## 4. Conclusions

In this study, we explored in detail the singlet and triplet excited state PESs of three heavily-studied INVEST compounds through a static computational approach, which consists of the geometry optimizations of relevant critical point structures, *i.e.*, energy minima, conical intersections and minimum-energy crossing points between states of same and different multiplicities, and (r)ISCs between singlets and triplets as well as computing the SOC between the relevant excited states involved in the (r)ISC process. We evidenced several features of these materials as well as some methodological aspects which should be accounted for people with interest in the computational design of new INVEST molecules:

1. *From the understanding of the ISC and rISC mechanisms per se:*
  - a. Only the  $S_1$  and  $T_1$  states are directly populated in the ISC and rISC processes of these three compounds since there were no found crossing points between  $S_1$  and higher-lying triplet states as predicted by both RMS-CASPT2 and SCS-ADC(2).
  - b. While higher singlet and triplet states are not explicitly populated during ISC and rISC, they contribute by enhancing the otherwise vanishing SOC between the  $S_1$  and  $T_1$

through vibronic coupling. A careful symmetry analysis allowed us to rationalize both the magnitude of the electron-phonon coupling constants associated with each of the three SOC components and the vibrational modes contributing to them.

- c. Even though we considered unsubstituted INVEST compounds, we believe that vibronic coupling in the singlet and the triplet manifolds of excited states is a general rule of thumb in increasing the magnitude of SOC between relevant excited states in INVEST compounds. INVEST compounds available in the literature for OLEDs applications usually bear substituents which are usually quite flexible and can bring additional vibronic coupling to SOC<sup>18</sup>.
  - d. However, a rISC mechanism involving a direct conversion from T<sub>1</sub> to S<sub>1</sub> is likely not general for INVEST. In contrast to the three cores investigated here, functionalized cores can lead to the presence of lower-lying singlet and triplet associated with the substituents, potentially leading to a denser manifold of singlet and triplet excited states with multiple crossings between states of the same or different multiplicities.
2. *From the understanding of the IC mechanisms occurring in the singlet manifold of excited states:*
- a. The anti-Kasha behavior observed experimentally in cyclazine is due to the high energy barrier from S<sub>2</sub> adiabatic minimum towards the S<sub>1</sub>-S<sub>2</sub> CI. Similarly, we predicted that anti-Kasha behavior for pentazine and heptazine is likely to occur.
  - b. The nonradiative decay to the ground state is unlikely in view of the large energy barrier from the S<sub>1</sub> to the S<sub>1</sub>-S<sub>0</sub> conical intersection for all molecules considered in this study. A high energy barrier for INVEST compounds requires an apparent rigidity of the molecular core, as supported by the small relaxation energy and thus a small change in the molecular geometry to go from S<sub>1</sub> to S<sub>0</sub>.
3. *From a methodological point of view:* The investigation of the PES of these three compounds using the single-reference SCS-ADC(2) method results in the same qualitative interpretation of the mechanistic aspects of the ISC and rISC processes, although the magnitudes of the energy barriers associated with ISC and rISC are all higher compared to RMS-CASPT2, and the magnitudes of IC barriers are lower.

We hope that the conclusions derived from this study will aid efforts in the computational modelling of bright INVEST molecules and help the forefront development of these materials.

## Data availability

All input and output files for optimized geometries and energies evaluated at the RMS-CASPT2/def2-TZVP and SCS-ADC(2)/def2-TZVP levels of theory, and optimized geometries and frequencies at the TDA-PBE0/def2-TZVP level of theory are made accessible via an ioChem-BD<sup>66</sup> database hosted at <https://iochem-bd.matter.toronto.edu/>.

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