Harnessing ultrasound-derived hydroxyl radicals for the selective oxidation of aldehyde functions

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ABSTRACT

Ultrasonic irradiation holds potential for the selective oxidation of non-volatile organic substrates in the aqueous phase by harnessing hydroxyl radicals as chemical initiators. Here, a mechanistic description of hydroxyl radical-initiated glyoxal oxidation is constructed by gleaning insights from photolysis and radiation chemistry to explain the yields and kinetic trends for oxidation products. The mechanistic description and kinetic measurements reported herein reveal that increasing the formation rate of hydroxyl radicals by changing the ultrasound frequency increases both the rates of glyoxal consumption and the selectivity towards C_2 acid products over those from C-C cleavage. Glyoxal consumption also occurs more rapidly and with greater selectivity towards C_2 acids under acidic conditions, which favor the protonation of carboxylate intermediates into their less reactive acidic forms. Leveraging such pH and frequency effects is crucial to mitigating product degradation by secondary reactions with hydroxyl radicals and oxidation products (specifically H_2O_2 and $\bullet O_2^-$). These findings demonstrate the potential of ultrasound as a driver for the selective oxidation of aldehyde functions to carboxylic acids, offering a sustainable route for converting biomass-derived platform molecules into valuable products.

KEYWORDS

Biorefinery, green chemistry, advanced oxidation, sonochemistry, glyoxal oxidation, density functional theory (DFT), kinetic modeling

INTRODUCTION

The selective oxidation of aldehyde functions to carboxylic acid groups offers a promising approach for converting biomass-derived compounds into valuable commodity chemicals or chemical intermediates. Examples include converting glucose to gluconic acid¹, glucose-derived isobutaldehyde² into isobutyric acid, and glycerol-derived 3-hydroxy-propionaldehyde³ to 3-hydroxypropionic acid⁴. Such oxidations are ideally performed in aqueous phase to reduce the energetic costs associated with separating these biomass-derived chemicals from the effluents of aqueous hydrolysis⁵ or bio-reactors⁶.

Aqueous-phase aldehyde oxidation can occur through •OH-mediated routes ubiquitous in atmospheric chemistry (e.g., glyoxal^{7–9}, methyl glyoxal^{10–12}, glycolaldehyde¹³). Generating these •OH initiators is commonly achieved through H_2O_2 dissociation with ultraviolet radiation or Fenton chemistry¹⁴. Controlling the selectivity of aldehyde oxidation in the presence of H_2O_2 is difficult, however, due to H_2O_2 -mediated degradation of acid products^{15,16}. Hydrogen transfer reactions between H_2O_2 and •OH also scavenge •OH initiators¹⁷, thereby inhibiting their targeted activation of organic substrates.

Ultrasound irradiation generates •OH from H₂O homolysis¹⁸ without the need for sacrificial H₂O₂, thereby mitigating deleterious reactions of H₂O₂ that scavenge •OH and degrade carboxylic acid products. This H₂O homolysis occurs during the process of inertial cavitation, which involves the quasi-adiabatic compression (>100 bar¹⁹) and heating (>10³ K^{20,21}) of suspended gas cavities over nanosecond durations²². The •OH radicals formed under such extreme reaction conditions are then transported into solution¹⁸ where they initiate aqueous radical reaction pathways^{23–25}. Solutes with low vapor pressures react predominantly in the aqueous phase through these •OH-initiated pathways^{23,25–27} as well as through pyrolysis reactions near superheated gas-liquid interfaces^{19,24,28}, instead of in collapsing gas cavities. The interfacial pyrolysis reactions of small organic molecules (e.g., amino acids, glucose, acetate²⁵) during cavitation of Ar-filled bubbles have been found to be significant only at solute concentrations ≥

0.1 M 25 . Adding O₂ into these bubbles increases the heat capacity and introduces additional reaction pathways that form •OH²⁹. O₂ consequently facilitates •OH formation at relatively lower temperatures reached during collapse^{29,30}, likely making interfacial pyrolysis less prevalent. Ultrasound therefore holds potential for controlled •OH-mediated oxidation chemistry of non-volatile solutes in the presence of O₂ at concentrations of typical bioreactors effluents (0.2-1 M) observed during biomass conversion.⁶

•OH is an exceptionally powerful oxidant having known reactions with thousands of organic and inorganic solutes³¹, including aldehydes as well as their carboxylic acid counterparts^{7,17,32}. Selective aldehyde oxidation is therefore possible only under reactor conditions (e.g., temperature, pH, reactant concentrations) that maximize rates of the primary •OH-aldehyde reactions that yield carboxylic acids while minimizing secondary reactions of •OH with acid products. Identifying the kinetic regimes for such selective aldehyde chemistry is only feasible after a robust understanding of underlying reaction mechanisms and an accurate parameterization of rate constants used to build such mechanisms³³. The nature and rates of aqueous radical reactions have been extensively characterized in radiolysis, photolysis, and Fenton chemistry¹⁷ and compiled in databases³⁴. Advances in density functional theory (DFT) methods, moreover, enable accurate predictions of reactions and thermochemistry in solution^{35–} ³⁸. With these methods, rate constants for radical-molecule reactions in aqueous solution can be predicted within 1 kcal mol⁻¹ of experimental measurements³⁸, enabling mechanistic exploration and parameterization of coefficients in kinetic models not available from experimental studies³³. Such robust understanding of elementary radical reactions and methods for parameterizing kinetic models enables the quantitative explorations of radical mechanisms occurring in sonochemistry.

Experimental and computational techniques are combined here to develop a robust mechanismbased understanding for the ultrasound mediated oxidation of glyoxal, a C₂ dialdehyde, to C₂ carboxylic acids. This mechanistic inquiry builds upon extensive studies into the kinetics of aqueous •OH-initiated glyoxal oxidation, conducted to address its role in forming aerosols in the atmosphere^{8–11,39}. Glyoxal is non-volatile because it readily hydrates to geminal diols in aqueous solution⁴⁰; it therefore does not enter cavitation bubbles, thereby restricting its reactions within the aqueous phase. These characteristics of glyoxal make its oxidation an ideal model for understanding sonochemical oxidation of aqueous aldehydes. First, aqueous •OH radicals are established as the primary drivers of sonochemical glyoxal oxidation (at 5 mM) through kinetic measurements at two ultrasonic frequencies (20 kHz and 580 kHz), electro-paramagnetic resonance measurements, and •OH titrations (via $H_2O_2^{41,42}$). These frequencies fall into ranges where either mechanical effects (e.g., micro-jetting, mass-transfer, shock waves; 20 kHz) and chemical effects (i.e., radical generation; 580 kHz) of ultrasound are more prevalent⁴³. The dilute concentrations facilitate both a mechanistic comparison with atmospheric chemistry and an investigation of sonochemistry under the control of radical pathways. A sonochemical reactor model based on •OH-initiated homogeneous glyoxal oxidation networks accurately predict absolute yields and kinetic trends of aqueous products (formic, glyoxylic, and oxalic acids). Yields are predicted with small mean percentage errors at both frequencies (9.8% and 13% at 20 kHz and 580 kHz, respectively), despite a ten-fold difference in the rates of •OH supply and of glyoxal consumption. These predictions require that mechanisms developed from earlier aqueous glyoxal oxidation studies are adapted to include the nucleophilic addition of $\cdot O_2^-$ to glyoxal, which was not considered previously.

The analysis of model-predicted product yields reveals that faster •OH formation promotes glyoxal activation and C₂ acid formation in favour of deleterious C-C cleavage. These kinetic differences underlie changes to rates of glyoxal consumption and relative yields to C₁ and C₂ products at different ultrasound frequencies. Glyoxal oxidation products (glyoxylate and hydrogen oxalate), moreover, inhibit glyoxal oxidation through competitive reactions with •OH initiators. Reactions performed under acidic conditions avoid such inhibition by protonating carboxylate products into carboxylic acids, which react with •OH less rapidly at the carboxy/carboxylate groups and at the α C-H position. These mechanistic insights are leveraged to predict reaction conditions that enable glyoxal oxidation to C₂ acid products at 90-98% yields relative to glyoxal reactants. In doing so, we demonstrate a pathway for the selective oxidation of biomass-derived aldehyde platform molecules into valuable carboxylic acids; more broadly, we showcase the promise of sonochemistry for the selective and sustainable oxidation of aqueous organic species.

RESULTS AND DISCUSSION

•OH drives ultrasound-mediated aqueous glyoxal oxidation.

Ultrasound was used to generate •OH in aqueous solutions through inertial cavitation in the presence of bubbling O₂. These ultrasound waves were generated continuously at either 20 kHz (0.27 W cm⁻³ at 20% amplitude; 315 K) or 580 kHz (0.48 W cm⁻³ at 100% amplitude; 325 K) in glass ultrasound reactors (**Experimental Methods**). The rates of •OH formation were assessed by titrating H₂O₂ generated from sonicated H₂O (through •OH coupling^{41,42}) in the absence of any solutes (**Experimental Methods**). The concentrations of H₂O₂ formed are shown in **Figure 1**. These concentrations increased linearly with time at both frequencies (2.6×10^{-8} M s⁻¹ (20 kHz) and 2.0×10^{-7} M s⁻¹ (580 kHz); **Fig. 1**); the linear trends reflect constant rates of H₂O₂ formation from a continuous supply of •OH. The H₂O₂ formation rates were 8-fold faster at 580 kHz than at 20 kHz, moreover, reflecting a faster supply of •OH available to initiate oxidation chemistry.



Figure 1: Time-dependent H_2O_2 concentrations during the ultrasonic irradiation of H_2O under 20 kHz (blue \circ ; 315 K) and 580 kHz (orange \Box ; 325 K) under bubbling O_2 (**Experimental Methods**). Slopes of linear fits are shown brackets.

Ultrasound irradiation at both frequencies converted aqueous glyoxal (at 5 mM) into organic acids, including oxalic, glyoxylic, glycolic, and formic acids (**Fig. 2a**). Product yields were quantified using high-performance liquid chromatography (HPLC; **Experimental Methods**). **Figure 2** shows the

concentrations of glyoxal and these acid products at different times of exposure to ultrasound at 20 kHz (**Fig. 2b**) and 580 kHz (**Fig. 2c**). These acid products (except glycolic acid) resemble those reported from aqueous •OH-driven glyoxal oxidation reactions,^{7–9} where •OH is derived from UV-induced H₂O₂ dissociation. Glyoxal concentrations decreased monotonically with time, reaching fractional conversions of 0.2 after 2.9 ×10⁴ s at 20 kHz (**Fig. 1b**) and nearly 1 within 2.2 ×10⁴ s at 580 kHz (**Fig. 1c**). The average rate of glyoxal consumption at 20 kHz (3.5 ×10⁻⁸ M s⁻¹) and initial rate at 580 kHz (3.4 ×10⁻⁷ M s⁻¹) are shown in **Table 1**. These consumption rates were similar, moreover, to •OH formation rates (denoted as \dot{n}_{OH}) determined from H₂O₂ titrations (**Fig. 1**) at the same acoustic conditions (5.2 ×10⁻⁸ mM s⁻¹ at 20 kHz and 4.0 ×10⁻⁷ mM s⁻¹ 580 kHz; **Table 1**). This similarity between •OH formation rates and initial rates of glyoxal consumption indicates that •OH is formed by cavitation at rates that are sufficient to drive the stoichiometric consumption of glyoxal. Such similar rates, along with the formation of products of a similar nature to H₂O₂/UV-driven glyoxal oxidation, implicates •OH driven reactions as the predominant drivers of ultrasonic glyoxal oxidation.



Figure 2: (a) Aqueous glyoxal oxidation under ultrasound irradiation yielding glyoxylic acid (\Box), formic acid (\diamond), oxalic acid (Δ), glycolic acid (∇), and CO₂. (b, c) Time-dependent concentrations of glyoxal (\circ) and oxidation products during ultrasound irradiation at 20 kHz (b) and 580 kHz (c) under flowing O₂ (0.33 cm³ s⁻¹; **Experimental Methods**). The amount of glyoxal remaining after accounting for C-atoms in measured products (i.e., C-atom balance; \triangleright ; brown) is also shown (b, c). The curves (dashed-20 kHz, solid-580 kHz) represent trends predicted by the mechanism-based kinetic model (**Computational Methods**) denoted with the same colors used to depict experimental data. The yield of CO₂, predicted from the model but not measured, is shown in grey. Oligomers proposed to form through pathways in **Section S6**.

Table 1: Values for average volumetric •OH-formation rates (\dot{n}_{OH}) and forward kinetic rate constants for steps in **Figure 4** (k_i), determined by regression (**Computational Methods**), experimental benchmarks for these same values, and statistics for the regression. Error ranges denote 95% confidence intervals.

Parameter value	20 kHz		580 kHz	
	Regressed	Benchmark	Regressed	Benchmark
$\dot{n}_{OH} (M s^{-1})$	$3.9 (\pm 0.2) \times 10^{-8}$	5.2 ×10 ^{-8 a}	$4.8 (\pm 0.6) \times 10^{-7}$	4.0 ×10 ^{-7 a}
$k_{7a} (\mathrm{M}^{-1} \mathrm{s}^{-1})$	$4.0 (\pm 0.4) \times 10^8$	8.7 ^b -150 ^c ×10 ⁷	$1.4 (\pm 0.3) \times 10^9$	$1.0-17^{\circ} \times 10^{8}$
$k_{11a} (\mathrm{M}^{-1} \mathrm{s}^{-1})$	$1.3 (\pm 0.3) \times 10^7$			
k_{14} (M ⁻¹ s ⁻¹)			3.6 ±4	16 ^d
Glyoxal consumption				
rate (M s ⁻¹) e	2.5×10^{-8}	3.5 ×10 ⁻⁸	3.3×10^{-7}	3.4 ×10 ⁻⁷
Root-mean-squared				
error (mM; acid yields)	0.015		0.18	
Mean percentage error				
Acid yields	8.7%		13%	
Glyoxal consumed	29%		3.9%	

^aFrom H₂O₂ titrations (**Fig. 1**). ^bExtrapolated from 298 K¹⁷ with reported E_A^{44} . ^cValues reported at reaction temperatures (k_{7a}^{44}). ^dFrom the rate constants reported at 298 K (0.11 M⁻¹ s⁻¹)¹⁵ referenced to glyoxylate.H₂O, then multiplied by the temperature-dependent equilibrium constant for glyoxylate dehydration⁴⁵. ^eAveraged over the 2.9 ×10⁴ s at 20 kHz (**Fig. 2b**) and determined from the first measurement at 580 kHz (4 ×10³ s; **Fig. 2c**).

The susceptibility of glyoxal to attack by ultrasound-derived •OH was assessed from the Electron paramagnetic resonance (EPR) spectra of sonicated aqueous mixtures of 5,5-dimethyl-1-pyrroline N-oxide (DMPO) and glyoxal (50 mM DMPO; 5-50 mM glyoxal; 0.33 cm³ s⁻¹ O₂; pulsed ultrasound at 20 kHz with 16 W cm⁻³ at 25% amplitude; **Experimental Methods**). The spectra measured at different glyoxal concentrations are shown in **Figure 3**. The predominant signal observed at each glyoxal concentration was associated with the product from •OH addition to DMPO (denoted as DMPO/OH). These DMPO/OH signals reflect aqueous reactions between DMPO and ultrasound-derived •OH¹⁸. The signals from DMPO/OH adducts decreased in intensity as glyoxal concentrations increased from 0-50 mM (**Fig. 3**). This decrease is illustrated more clearly in **Figure S1**, which shows the maximum height of DMPO/OH signals at different glyoxal concentrations. Such suppression of DMPO/OH signals with the progressive addition of glyoxal indicates that glyoxal competes with DMPO for a limited supply of ultrasound-derived •OH. These rapid glyoxal-•OH reactions initiate reactions of glyoxal even at low concentrations (5-50 mM). Products of these glyoxal-•OH reactions plausible react further to form the products observed after ultrasonic glyoxal irradiation (**Fig. 2**).



Figure 3: (a) Electron paramagnetic resonance (EPR) spectra for aqueous solutions of 5,5-dimethyl-1pyrroline N-oxide (DMPO) and glyoxal exposed to pulsed ultrasound at 20 kHz under flow of O_2 (0.33 cm³ s⁻¹) for 1.2×10^3 s (**Experimental Methods**). (b) Scheme depicting the formation of different spin adducts formed during DMPO sonication in the presence of glyoxal that correspond to the features measured in (a; identified in **Section S1**).

Glyoxal oxidation by 20 kHz ultrasonic irradiation (Fig. 2b) formed oxalic, glyoxylic, and formic acids at concentrations that increased monotonically with ultrasound irradiation time, while glycolic acid was detected at small yields (<0.05 mM) with a maximum value reached within 1×10^4 s. Formic, glyoxylic, and oxalic acids were formed at comparable yields below 0.1 mM within 1×10^4 s.

Glyoxylic and oxalic acids were favored at longer reaction times, however, exceeding yields of 0.2 mM and 0.3 mM, respectively, at 2.9×10^4 s while formic acid yields remained below 0.1 mM. A carbon balance revealed that the fraction of the converted C-atoms contained within the quantified products was between 0.53 and 0.76. The C-atoms unaccounted for by these products constitutes, in part, CO₂ and carbonates which could not be quantified with the chromatographic methods used here (**Experimental Methods**). Oligomers of glyoxal are proposed as additional products needed to close the carbon balance. The structures of these oligomers and the mechanisms for their formation are proposed in **Section S6**.

At the higher frequency of 580 kHz (**Fig. 2c**), concentrations of oxalic, formic, and glycolic acids increased monotonically with ultrasound irradiation time while glyoxylic acid concentrations plateaued below 1 mM. Initial yields to formic acid (within 5×10^3 s) were negligible, while yields to oxalic and glyoxylic acids were comparable at 0.5 mM. Oxalic acid was the predominant product formed at later reaction times (after 1.5×10^4 s) with concentrations surpassing 2.5 mM. This concentration of oxalic acid represented 50% of the initial glyoxal concentration, and exceeded the concentrations of glyoxylic and formic acid products by five-fold. A balance on C-atoms revealed 0.76-0.93 of the consumed glyoxal was accounted for by these identified acid products. The fraction of glyoxal unaccounted for by these products increased monotonically with increasing glyoxal conversion, exceeding one-tenth of the total amount of glyoxal consumed only after 60% glyoxal conversion (> 1.1 × 10⁴ s). Such a large yield of unidentified products only at the later stages of the reaction is consistent with the overoxidation of C₂ acid products to CO₂^{7,8}.

A comparison of product yields at similar the glyoxal conversions of 0.23 (20 kHz, 2.9×10^4 s, **Fig. 2b**) and 0.24 (580 kHz, 3.6×10^3 s, **Fig. 2c**) under both frequencies underscores the influence of the ultrasound frequency on product selectivity. Yields to glyoxylic and oxalic acids were 1.8 and 2.0 times larger, respectively, under 580 kHz than at 20 kHz. The yield to formic acid under 580 kHz, in contrast, was 9.6 times smaller than that under 20 kHz. These relative yields reveal an enhanced selectivity to C₂ acids in favour of formic acid at the higher glyoxal consumption rates, which was prevalent during ultrasound irradiation at 580 kHz. A robust mechanistic description of these •OH-

initiated oxidation reactions is established next in order to understand how the distribution of their products are influenced by the ultrasound frequency.

·OH-initiated glyoxal oxidation mechanisms describe observed kinetic trends.

A sequence of reaction steps was proposed to account for the predominant products (glyoxylic, oxalic, and formic acids and their conjugate bases) formed by sonochemical glyoxal oxidation reactions (**Fig. 4**). Step 1 (**Fig. 4**) shows H₂O homolysis during the inertial cavitation of oxygen bubbles to form •OH and H•. The remaining steps reflect the oxidation of aqueous glyoxal initiated by •OH-glyoxal reactions. The mechanism was adapted from earlier proposals for •OH-initiated glyoxal oxidation^{7,8}. Several steps in earlier proposals were omitted here because rate constants calculated using DFT methods indicated that they were too slow to be important. **Section S2** enumerates these omitted reactions and provides a rationale for doing so. An additional pathway involving \cdot O₂⁻ (Steps 9-13; **Fig. 4**), not considered previously, was necessary to account for formic acid yields at 20 kHz. Several reaction steps in **Figure 4** group multiple elementary steps together to improve readability, but are expanded in a comprehensive mechanism that is shown in **Figure S2**. These proposed reactions occur alongside the dissociation of glyoxylic acid, oxalic acid, hydrogen oxalate, formic acid, hydrogen peroxide, H₂O, •OOH, and bicarbonate in acid-base equilibrium (**Section S4**).

The mechanism (**Fig. 4**) includes elementary reactions of inorganic radicals (•OH, •OOH, and $\cdot O_2^-$; Steps 2-6). These steps influence the concentrations of the various inorganic radicals in the solution, and in turn dictate the extents of their reactions with organic solutes. Step 2 shows •OH coupling to form H₂O₂. Step 3 shows H-transfer between H₂O₂ and •OH to form •OOH and H₂O. Step 4 shows H-transfer between •OOH and •OH to form O₂ and H₂O. Steps 5 and 6 show reactions between •OOH and either •OOH (5) or •O₂⁻ (6). Steps 5 and 6 occur via H-transfer (5) or single-electron transfer (6) to form O₂, and either H₂O₂ (5) or HO₂⁻ (6), respectively. Among these reactions, Steps 2, 4, 5, and 6 terminate radical propagation reactions by coupling unpaired electrons to form molecules in singlet states or O₂ in its triplet ground state.

The mechanism (**Fig. 4**) also includes pathways that oxidize the geminal diol groups of glyoxal.2H₂O, glyoxylic acid.H₂O, and glyoxylate.H₂O into glyoxylic acid.H₂O, oxalic acid, and hydrogen oxalate, respectively (Steps 7-8). Here, ".*n*H₂O" denotes the hydrated form of a species with *n* added H₂O molecules. These hydrates are referred to as *R*-hydroxylmethanol, where "*R*" denotes the dihydroxymethyl (R_a), carboxylic (R_b), and carboxylate (R_c), and the carboxylic acids products are denoted as *R*-formic acids. •OH first abstracts an α -H from a diol group (7) to form *R*-dihydroxylmethyl•. The *R*-dihydroxylmethyl• intermediates further react with O₂ to form *R*-formic acid and •OOH (8) through a two-step addition-elimination (**Fig. S2**).

Steps 9-13 (Fig. 4) shows a pathway for formic acid formation from *R*-hydroxylmethanol that is mediated by $\cdot O_2^-$. This pathway was included to reflect the prevalence of $\cdot O_2^-$ formed in acid-base equilibrium with •OOH during the initial stages of the reaction where pH levels are nearly neutral (and exceed the pKa of •OOH; 4.48 at 298 K⁴⁶). Step 9 shows the interconversion between the hydrated and aldehyde forms of glyoxal⁹, glyoxylic acid, and glyoxylate⁴⁵. The electrophilic nature of the formyl groups in these aldehyde intermediates, denoted as R-hydroxyformaldehyde, enables nucleophilic addition by $\cdot O_2^-$, a strong nucleophile⁴⁷, to form *R*-methoxide peroxyl \cdot (Step 10). The large pKa values of alkoxide anions with geminal C-OH groups (e.g. hydrated acetaldehyde, 13.548) indicates a significant thermodynamic driving force towards R-methoxide peroxyl• protonation to Rhydroxymethylperoxyl• (Step 11), the corresponding alcohols. The rapid nature of proton transfer in aqueous solution^{49–51} suggests, moreover, that R-methoxide peroxyl• protonates rapidly and irreversibly once formed. Step 12 shows O-transfer between the resulting R-hydroxymethylperoxyl• and other peroxyl radicals (denoted as R'OO•) to from alkoxyl radicals and O2. Such peroxyl O-transfer reactions are ubiquitous in autoxidation processes⁵² and occur with rapid rate constants; for example, the rate constant for the disproportionation of structurally similar 1-hydroxylethylperoxyl• is $4 \times 10^8 \text{ M}^{-1} \text{ s}^{-1}$ at 298 K⁵³. The *R*-hydroxyperoxyl• products from Step 12 undergo rapid β-cleavage (Step 13), also ubiquitous among alkoxyl radicals⁵² (> 10^5 s⁻¹ calculated with DFT (**Table S2**; 298 K)), to form formic acid and eliminate β -functional groups (*R*) as radicals.

The remaining reactions (Steps 14-22; **Fig. 4**) produce formic acid, formate, and CO_2 . Step 14 shows H₂O₂-mediated glyoxylate oxidation to form water, CO_2 , and formate¹⁵. This formate product

along with formic acid react further with •OH via alkyl H-transfer to form carboxylate• (R_3 ; Step 16; **Fig. 4**) and carboxyl• (R_2 ; Step 18; **Fig. 4**), respectively. Carboxylate• also forms, alongside CO₂ and OH⁻, through an outer-shell electron-transfer reaction between oxalate and •OH (Step 22). Carboxyl• forms from reactions between •OH and oxalic acid (Step 20) and hydrogen oxalate (Step 21) through H and electron transfer, respectively. Carboxylate• reacts with O₂ through single-electron transfer forming CO₂ and •O₂⁻ (Step 16; **Fig. 4**). Steps 17 and 19 show addition-elimination reactions between O₂ and dihydroxy methyl• and carboxyl•, respectively, to form •OOH and formic acid and CO₂, respectively.



Figure 4: Proposed sequence of steps for aqueous radical-mediated glyoxal oxidation (Steps 2-22) in the presence of O_2 . These reactions are initiated by •OH that is derived from ultrasound-induced

cavitation events (Step 1). Forward arrows indicate irreversible reactions, forward/backward arrows indicate reversible reactions, and double forward arrows indicate lumped elementary steps that occur irreversibly. The black arrows denote steps existing in earlier mechanisms⁷ and blue arrows denote steps proposed here that were not previously considered. The purple underlines identify the products quantified in experiments (**Fig. 2b,c**).

Time-dependent concentration profiles for reactions under 20 kHz and 580 kHz ultrasound irradiation were predicted using a kinetic model of the reaction steps shown **Figure S2** to assess contributions of these proposed pathways to sonochemical yields. The model consists of a homogeneous isothermal batch reactor with a constant volume-averaged •OH-formation rate (\dot{n}_{OH}) introduced to represent •OH formation from cavitation processes (as described in the **Computational Methods**). The values of kinetic and equilibrium coefficients were assigned to those reported from experiments or calculated using theoretical methods (as detailed in the **Computational Methods**). These theoretical methods gave free energy barriers that differed from reported experimental values for a set of H-transfer reactions with small mean-absolute errors of (2.9 ± 1.4 kJ mol⁻¹; at 298 K; **Table S2**) which fall within "chemical accuracy"^{37,38}.

The values of \dot{n}_{OH} and the rate constants for two reaction steps (with forward and reverse rate constants covaried) were regressed to the experimentally measured yields of glyoxylic, oxalic, and formic acid products (**Fig. 2b,c**) at each frequency; their values are reported in **Table 1**. Glyoxal consumption was not included when regressing these parameters because the mass balance was not closed completely (**Fig. 2b,c**). The regressed rate constants include those for the glyoxal.2H₂O-•OH reaction (Step 7a (with "a" denoting the R_a-functionalized reactant); **Fig. 4**; k_{7a}) at both 20 kHz and 580 kHz. In addition, proton transfer from H₂O to 2,2-dihydroxyethoxide peroxyl• (Step 11a; **Fig. 4**; k_{1ta}) was regressed at 20 kHz while glyoxylate decomposition by H₂O₂ (Step 14; **Fig. 4**; k_{1d}) was regressed at 580 kHz. The rationale for selecting these particular rate constants is provided in the **Experimental Methods** and in **Section S8**. The predicted trends capture the observed glyoxylic, oxalic, and formic acid yields (**Fig. 2b,c**) with small residuals that are within 8.7% and 13% of the mean experimental values at 20 kHz and 580 kHz, respectively (**Table 1**). The ability of the mechanism-based kinetic

model to describe observed acid product yields at 20 and 580 kHz with such small errors across a wide range of glyoxal conversions supports the proposed origins of these products from the •OH-initiated reactions.

The regressed \dot{n}_{OH} values at 20 and 580 kHz differed from their corresponding •OH formation rates measured independently in H₂O₂ titration experiments by factors of 0.75 and 1.2, respectively (**Table 1**). These near-unity values show that ultrasonic irradiation supplies •OH initiators at rates that are similar to amounts that are required to drive glyoxal oxidation reactions through proposed pathways (**Fig. 4**) at both frequencies.

Table 1 compares the regressed values of k_{7a} , and k_{14} to values reported from experiment studies. Regressed k_{7a} values are within the range reported from pulse radiolysis studies^{17,44} of •OH-glyoxal.2H₂O reactions measured at or extrapolated to sonochemical reaction temperatures. The regressed k_{14} value was four times smaller than the value reported for the H₂O₂-glyoxylate reaction rate measured at 298 K¹⁵. These comparisons show agreement within an order of magnitude between the regressed rate constants and the experimentally reported benchmarks, thus indicating a reasonable fit.

The regressed k_{11a} value was large $(1.3 (\pm .3) \times 10^7;$ **Table 1**), indicating a rapid rate of proton transfer from H₂O solvent molecules to the oxide group of 2,2-dihydroxyethoxide peroxyl•. Such a large forward rate constant is consistent with the low barriers reported for protonation of alkoxides by alcohols (of order 8 kJ mol⁻¹ between methanol and methoxide present as gaseous dimers⁵⁰). The rapid nature of proton transfer between H₂O and ⁻OH⁵⁴ suggests, moreover, that H₂O can rapidly donate protons to anionic bases. Such precedence for H₂O and alkoxides as proton donors and acceptors, respectively, suggests that the large regressed value for k_{11a} is reasonable.

The model under-predicted extents of glyoxal consumption at 20 kHz (0.12-1.1 mM; not included in the set of regressed output data), on the other hand, with MAEs (0.24 mM) and values that were within 29% of measured values, on average. This underprediction reflects an incomplete balance of C-atoms consumed by glyoxal oxidation to glyoxylic acid, oxalic acid, formic acid, and CO₂ products proposed in **Figure 4**; it is not, moreover, accounted for by the small measured, but not simulated, yields of glycolic acid. This underprediction is nearly commensurate with the 0.75-fold smaller regressed \dot{n}_{OH} value than the \dot{n}_{OH} value determined from H₂O₂ titration (**Table 1**). This comparison suggests that the

stoichiometric consumption of glyoxal by the amounts of •OH observed in excess of the stoichiometric requirements for driving reactions in **Figure 4** could nearly close the C-atom balance. We propose that such excess •OH initiates oligomerization through addition-elimination reactions between *R*-dihydroxylmethyl• and glyoxal.H₂O (**Figures S6** and **S7**). This proposed mechanism is analogous to ketyl radical addition to hydrated methylglyoxal, which was proposed to occur during methylglyoxal oxidation¹¹. **Section S6** shows that the thermodynamics for the proposed pathway are favourable (**Table S4**). The small enthalpic barriers for alkyl radical addition to carbonyls⁵⁵ and large rate constants for β-cleavage of alkoxyl radicals⁵² suggest, moreover, that the proposed pathway is kinetically accessible. This proposed pathway can also account for the formation of products with larger molecular weights that were reported in glyoxal oxidation driven by UV/H₂O₂⁷ (**Figure S8**).

The model also predicted extents of glyoxal consumption at 580 kHz (1.2-5.0 mM; not included in the set of regressed output data) with small MAEs (0.24 mM) that were within 3.9% of measured values. Such alignment demonstrates that the homogeneous reactions responsible for forming predominant acid products account entirely for the glyoxal consumed. Hence, the chemical effects of ultrasound under these conditions arise from the generation of •OH, akin to UV-induced or Fe²⁺catalysed H₂O₂ dissociation¹⁴, rather than from competing processes such as pyrolysis of solutes in hot spots near superheated bubbles^{19,25}. Consequently, ultrasonic irradiation at 580 kHz presents a viable strategy for driving glyoxal oxidation with chemical origins predominantly from aqueous radical chemistry.

Increases in rates of •OH formation consume glyoxal faster and with greater selectivity to C_2 acids.

The kinetic model was leveraged to understand the influence of an increase in •OH formation rates at 580 kHz than at 20 kHz on both the rates of glyoxal consumption and the selectivity to C₂ acids (in favor of formic acid) (**Fig. 2 b,c**). **Figure 5** shows rates of glyoxal consumption through the oxidation pathways in **Figure 4** obtained from the kinetic model at 20 kHz and 580 kHz, plotted against extents of glyoxal consumption through these same pathways (denoted as ϵ). These reaction extents do not include amounts of glyoxal consumed to form oligomers or other products that remained unidentified Figure 4. The limits plotted in Figure 5 were selected to encompass extents achieved within the timescales of the experiments. These extents were 0.65 mM at 20 kHz (denoted as ϵ_{LF} ; Fig. 2b) and 4.9 mM at 580 kHz (denoted as ϵ_{HF} ; Fig. 2c). The reaction rates are compared with regressed rates of •OH formation (\dot{n}_{OH} ; Table 1) and with the rates of glyoxal activation by •OH in Step 7a (Fig. 4).

The rates of glyoxal consumption and of glyoxal-•OH reactions were similar to each other at both frequencies, irrespective of the extent of reaction (**Fig. 5**). Such similar rates indicate that glyoxal is consumed predominantly through stoichiometric reactions with •OH (Step 7a; **Fig. 4**), in favor of its reactions with •O₂⁻ (Step 10a; **Fig. 4**). These glyoxal-•OH reaction rates consumed between 50-100% of the •OH generated within a reaction extent of ϵ_{LF} at both frequencies. Such selective attack of glyoxal by •OH indicates that changes to rates of •OH formation due to changes in the ultrasound frequency (**Table 1**) drives a nearly commensurate increase in the amount of glyoxal consumed. To illustrate, regressed \dot{n}_{OH} values were 14 times larger at 580 kHz than at 20 kHz (**Table 1**). This increase in \dot{n}_{OH} accounts for the nearly proportional increase in glyoxal consumption rates (by 13-fold) at 580 kHz than at 20 kHz (**Table 1**) obtained from the model. Such proportionality between •OH formation and glyoxal consumption rates underlies the relatively faster rates of glyoxal consumption observed at 580 kHz than at 20 kHz in experiments (**Table 1**).



Figure 5: Rates of aqueous glyoxal consumption, •OH formation, and of net rates of reactions involving •OH from the kinetic model (**Computational Methods**) at 20 kHz (a) and 580 kHz (b). Rates at 20 kHz and 580 kHz are represented using dashed and solid-lines, respectively. Rates are shown at different extents of glyoxal consumption (ϵ). The legend denotes the corresponding reaction steps in **Figure 4**. These steps include those with rates that exceed 10% of the •OH formation rate at either frequency (**Section S7**).

The interplay between rates of steps at key branching points in the glyoxal oxidation mechanism (**Fig. 4**) determines the relative yields to the C_2 acids (glyoxylates and oxalates), formates, and CO_2 products formed. Here, formates, glyoxylates, and oxalates refer to carboxylic acids grouped together with their conjugate bases, and including any hydrated forms. **Figure 6** shows a simplified

representation of the reactions in **Figure 4** that combines sequences of reaction steps to illustrate the branching points. The C₂ acids form through pathways initiated by glyoxal.2H₂O-•OH reactions (Step 1; **Fig. 6**). Formates, on the other hand, form by glyoxylate oxidation by H₂O₂ (Step 7; **Fig. 6**) and through glyoxal.H₂O oxidation by \cdot O₂⁻ (Step 9; **Fig. 6**). Finally, CO₂ forms through both glyoxylate oxidation by H₂O₂ (Step 7; **Fig. 6**), and by secondary reactions of oxalates with •OH (Steps 4-6; **Fig. 6**). These pathways show that yields of C₂ acid products decrease by oxidizing further to form formic acid (Step 7; **Fig. 6**) and CO₂ (Steps 4-7,10; **Fig. 6**).



Figure 6: Sequence of reaction steps adapted from **Figure 4** to illustrate key branching points in the mechanism that yield C_2 acids (glyoxylates and oxalates), formates, and CO_2 as products. The forward/backwards arrows denote equilibrated reactions and the double forward arrows indicate lumped elementary steps that occur irreversibly. The italicized numbers denote corresponding steps in **Figure 4**. The **AB**-*i* labels denote acid-base equilibrium reactions and the *i* labels denote all other steps. The parenthesis beneath **AB**-*i* indicate the pKa values of the acids at 298 K (**Table S3**).

The ultrasound frequency influences the branching between different routes (**Fig. 6**), at least in part, by changing the rate that •OH initiators are formed (\dot{n}_{OH}). To illustrate this effect, **Figure 7** depicts C₂ acid and formates yields from the kinetic model and from experiments (**Fig. 2b,c**) at different ϵ values. Model-predicted formates and C₂ acid yields increased linearly within reaction extents of ϵ_{LF} (0.65 mM) under ultrasound irradiation at both 20 kHz and 580 kHz. These model-predicted C₂ acid yields were on average 1.2 times larger at 580 kHz than at 20 kHz. Conversely, the model-predicted formates yields were on average 2.7 times smaller at 580 kHz than at 20 kHz.



Figure 7: Yields to formates (a) and C_2 acids (glyoxylates and oxalates; b) at different ϵ values at 20 kHz (orange circles and dashed curves) and 580 kHz (blue diamonds and solid curves). Symbols show experimental measurements and curves were calculated using the kinetic model (Computational Methods) evaluated at 20 kHz (dashed) or 580 kHz (solid).

Figure 7 shows that C₂ acids formed more selectively at 580 kHz than at 20 kHz, even at the same reaction extent ϵ . An expression for the selectivity to C₂ acids, denoted as $S_{C_2,FA}$, is defined by dividing the rate of C₂ acid formation (r_{C_2}) by the rate of formates formation (r_{FA}):

$$S_{C_2,FA} = \frac{r_{C_2}}{r_{FA}}$$
(1)

Figure 8 shows $S_{C_2,FA}$ values obtained from the kinetic model at 20 kHz and 580 kHz at different ϵ values. $S_{C_2,FA}$ values follow a similar shaped curve at both frequencies with a steep initial increase that peaks before 0.5 mM, then decreases. The average $S_{C_2,FA}$ value within an extent of ϵ_{LF} was 3.5 times larger at 580 kHz (22) than at 20 kHz (6.4), consistent with the greater relative yields to C₂ acids in favor of formates at 580 kHz.



Figure 8: The rate of C₂ acid (glyoxylates and oxalates) formation divided by the rate of formates formation ($S_{C_2,FA}$; Eq. 1) at different extents of glyoxal consumption (ϵ). These rate ratios were obtained from the kinetic model (**Computational Methods**) evaluated under 20 kHz (orange-dashed) and 580 kHz (blue-solid) of ultrasound irradiation. Trends are also shown for the model evaluated at 20 kHz

with the fitted \dot{n}_{OH} value replaced with the fitted value at 580 kHz (denoted as 20[580] kHz; greydotted).

Changes to \dot{n}_{OH} values not only influence the rates of glyoxal activation by •OH but also the selectivity to different oxidation products. This is because the concentrations of •OH and glyoxal oxidation products, and the relative rates of reactions that they mediate, adjust to different \dot{n}_{OH} values in order to maintain a balance at steady-state. The influence of an increase in \dot{n}_{OH} on the values of $S_{C_2,FA}$ was investigated by simulating glyoxal oxidation with kinetic parameters used to describe reactions at 20 kHz, but with a \dot{n}_{OH} value obtained from the kinetic analysis at 580 kHz (**Table 1**). This kinetic model is labeled with "20[580] kHz", and the simulated $S_{C_2,FA}$ values are shown at different ϵ values in **Figure 7**. The $S_{C_2,FA}$ values increased monotonically with ϵ at 20[580] kHz. This average $S_{C_2,FA}$ value (within an extent of ϵ_{LF} ; 33), moreover, was within a factor of 1.5 of the average $S_{C_2,FA}$ value at 580 kHz (22). This average value at 20[580] kHz was 4.7 times larger, on the other hand, than the average $S_{C_2,FA}$ value at 20 kHz (6.4). Such similar $S_{C_2,FA}$ values at 20[580] kHz and at 580 kHz, which both exceed values at 20 kHz, demonstrate that oxidation reactions are more selective to C₂ acids at 580 kHz (**Fig. 7**), in large part, because of a larger \dot{n}_{OH} value.

A kinetic analysis based on the degree of rate control formalisms^{56,57} (Section S5) was performed to uncover how changes to rates of individual reaction steps influence the selectivity to C₂ acids ($S_{C_2,FA}$). This analysis begun by determining approximate expressions for r_{C_2} and r_{FA} (Section S5). r_{C_2} was approximately equal to the rate of the glyoxal.2H₂O-•OH reaction (Step 7a; Fig. 4). r_{FA} was approximately equal to the sum of the rates of H₂O₂-mediated glyoxylate oxidation (Step 14; Fig. 4) and $\cdot O_2^-$ -mediated glyoxal.H₂O oxidation (Steps 9a-13a; Fig. 4) minus the rate of \cdot OH-mediated formate oxidation. An expression for $S_{C_2,FA}$ restated in terms of these kinetically relevant steps in these pathways ($S_{C_2,FA}^0$) is given in Equation 2; its derivation is provided in Section S5.

$$S_{C_2,FA}^0 = \frac{k_{7a}[\bullet OH][gly. 2H_2O]}{2k_{11a}K_{10a}[\bullet O_2^-][gly. H_2O] + k_{14}[H_2O_2][glyox^-] - k_{15}[\bullet OH][HCOO^-]}$$
(2)

In Equation 2, $[\bullet OH]$, $[gly. 2H_2O]$, $[\bullet O_2^-]$, $[gly. H_2O]$, $[H_2O_2]$, $[glyox^-]$, and $[HCOO^-]$ are concentrations of •OH, glyoxal.2H₂O, •O₂⁻, glyoxal.H₂O, H₂O₂, glyoxylate.H₂O, and formate, respectively. The $K_{10a}[\bullet O_2^-][gly. H_2O]$ product in the denominator of Equation 2 reflects the concentration of dihydroxyethoxide peroxyl•, which react in a kinetically relevant proton-transfer reaction with H₂O (Steps 11a; Fig. 4), formed in quasi-equilibrium with •O₂⁻ and glyoxal.H₂O. This quasi-equilibrium reflects the faster rate of •O₂⁻ elimination from dihydroxyethoxide peroxyl• than for proton transfer with H₂O, as discussed in Section S5. The factor of two before k_{11a} reflects the formation of two stoichiometric equivalents of formates formed for each •O₂⁻-mediated glyoxal.H₂O oxidation event.

Equation 2 shows that $S^0_{C_2,FA}$ values depend on concentrations of glyoxal reactants (in hydrated or aldehyde forms), of •OH, and of products formed from glyoxal oxidation mechanisms (•O2-, H2O2, glyoxylate, and formate). The concentrations of these species, obtained from kinetic models of glyoxal oxidation (Computational Methods), are shown in Figure 9 at 20 kHz and at 20[580] kHz and with different ϵ values. A comparison between the concentrations of glyoxylate and H₂O₂ at 20 kHz and at 20[580] kHz reveals slightly larger average values (1.1 and 1.1, respectively, within an extent of ϵ_{LF}) with the larger \dot{n}_{OH} value at 20[580] kHz (Fig. 9a). Such increases correspond to only a 1.2-fold increase in the driving force for the H₂O₂-glyoxylate reaction (Step 7; Fig. 6). The concentration of \cdot O₂⁻ was 3.5 times larger at 20[580] kHz than at 20 kHz (Fig. 9b), indicating a commensurate increase in rates of glyoxal- \bullet O₂⁻ reactions (Step 9; Fig. 6). The \bullet OH concentration, in contrast, was 14-fold larger at 20[580] kHz than at 20 kHz (Fig. 9b); this increase results in a commensurate increase in the rates of •OH-mediated glyoxal oxidation (Step 1; Fig. 6). The larger •OH concentration also enhances the rates of the •OH-mediated formate oxidation (Step 10; Fig. 6); this enhancement is counteracted, however, by a 0.26 times smaller formate concentration at 20[580] kHz than at 20 kHz (Fig. 9a). These larger •OH concentrations promote •OH-mediated glyoxal oxidation to C2 acids (Step 1; Fig. 6) to a greater extent than any changes to the rates that formates form (Steps 7,9, and 10; Fig. 6). This analysis reveals that the greater concentration of •OH sustained by faster •OH formation promotes the attack of the glyoxal reactant by •OH initiators preferentially over deleterious C-C cleavage reactions involving glyoxal oxidation products.

The 14-fold increase in •OH concentration calculated at 20[580] kHz, compared with 20 kHz, is commensurate with the increase in regressed \dot{n}_{OH} values (14; **Table 1**). Such proportionality reflects the highly reactive nature of •OH which establishes low pseudo-steady state thresholds that balance their rates of formation from cavitation processes and consumption via homogeneous reactions. A comparison between the rates shown in **Figure 5** reveals that stoichiometric reactions of •OH with glyoxal.2H₂O (Step 7a; **Fig. 4**) and glyoxylate.H₂O (Step 7c; **Fig. 4**) consume nearly all of the •OH formed (>95%) within a reaction extent of ϵ_{LF} . Pseudo-steady state •OH concentrations can therefore be expressed by equating •OH formation rates (\dot{n}_{OH}) with rates of reactions with glyoxal and glyoxylate hydrate, and isolating [• *OH*] from the resulting relation:

$$[\bullet OH] = \frac{\dot{n}_{OH}}{k_{7a}[gly.2H_2O] + k_{7c}[glyox^-.H_2O]}$$
(3)

Here, $[glyox^-, H_2O]$ is the concentration of glyoxylate hydrate and k_{7c} is the rate constant for Step 7c (**Fig. 4**). The form of **Equation 3** shows an increase in •OH concentration in proportion to \dot{n}_{OH} , and an inverse dependence on $[gly.2H_2O]$ and $[glyox^-, H_2O]$. The denominator of **Equation 3** is nearly unchanged as the \dot{n}_{OH} value increases in the 20[580] kHz model from the value in the 20 kHz, when comparing reactions at the same conversion (and the same $[gly.2H_2O]$ values, in turn). This is because $[glyox^-, H_2O]$ concentrations are nearly unaffected by the change in \dot{n}_{OH} , as evident from the $[glyox^-]$ concentrations in **Figure 9a**. Such a constant denominator accounts for the proportionality between calculated [• *OH*] and regressed \dot{n}_{OH} values. This proportionality underscores that increasing \dot{n}_{OH} at a higher ultrasound frequency of 580 kHz not only accelerates reactions by providing initiators faster, but also enhances rates of reaction steps that involve •OH as a stoichiometric reactant due to elevated •OH concentrations. The twin effects of increasing \dot{n}_{OH} and [• *OH*], as the ultrasound frequency increases from 20 kHz to 580 kHz, explains, in part, the increase in the selectivity to C₂ carboxylic acids relative to formates at higher frequencies.



Figure 9: Predicted concentrations of reactive intermediates in glyoxal oxidation reactions including H_2O_2 (orange, a), glyoxylate (aldehyde form; blue, a), formate (green, a), $\bullet O_2^-$ (black, b), $\bullet OH$ (red, b) at 20 kHz (dashed) and 20[580] kHz (dotted; **Fig. 8**) with different extents of glyoxal consumption (ϵ).

Glyoxal oxidation forms C₂ acid products more selectively under acidic conditions by avoiding reactions of •OH with carboxylates.

Initial rates of glyoxal consumption at both 20 kHz and 580 kHz calculated using kinetic models (**Computational Methods**) mirrored the respective rates of •OH formation (**Fig. 5**). Given the one-toone stoichiometry of glyoxal.2H₂O activation by •OH (Step 7a; **Fig. 4**), the similar initial rates of glyoxal consumption and •OH formation indicates that •OH was captured completely by glyoxal. Rates of glyoxal consumption decreased at higher conversions (**Fig. 5**), despite constant rates of •OH formation, reflecting significant rates of •OH reactions with other substrates in competition with glyoxal. Specifically, A comparison between rates of •OH consumption through different reaction steps (**Fig. 4**) revealed significant rates (i.e., >10% of the •OH formation rate; **Fig. S9**) not only through reactions with glyoxal.2H₂O (Step 7a; **Fig. 4**), but also through glyoxylate.H₂O (Step 7c; **Fig. 4**), H₂O₂ (Step 3; **Fig. 4**), and hydrogen oxalate (Step 21; **Fig. 4**). The rates of these kinetically relevant steps for •OH-consumption at different extents of glyoxal consumption (ϵ ; Fig. 5) are shown Figure 5 at 20 kHz and 580 kHz.

The rates of the glyoxylate.H₂O-•OH reaction (Step 7c; **Fig. 4**) at both frequencies increased rapidly at low ϵ values (<0.5 mM) before reaching a plateau. Rates decreased precipitously at higher ϵ values (>4 mM) accessed at 580 kHz. The rates of •OH reactions with hydrogen oxalate (Step 21; **Fig. 4**) and H₂O₂ (Step 3; **Fig. 4**), on the other hand, increased monotonically with conversion following concave up trends at both frequencies. These kinetic trends show that glyoxal reacts with •OH at slower rates as glyoxylate.H₂O, hydrogen oxalate, and H₂O₂ scavenge •OH.

Bimolecular H-transfer between •OH and glyoxal or glyoxylate occurs at rates that are proportional to the concentrations of •OH and the respective H-donor. Despite these concentration dependences, glyoxylate.H₂O and glyoxal.2H₂O were consumed at rates (via •OH) within a factor of two of each other even when glyoxylate.H₂O was twenty times less abundant than glyoxal.2H₂O. These comparable rates even with such small glyoxylate.H₂O concentrations reflects the relatively larger rate constant for abstracting the alkyl H-atom from glyoxylate.H₂O by •OH than from glyoxal.2H₂O. The larger rate constant is evident from values reported experimentally (**Table 1**), regressed to the kinetic data (**Table 1**), and derived from DFT (at 298 K; **Table S2**). This rapid reaction between •OH and glyoxylate.H₂O scavenges •OH that would otherwise activate glyoxal to initiate C₂ acid formation (Step 1; **Fig. 6**). This reaction also rapidly converts glyoxylate.H₂O into hydrogen oxalate (Step 3; **Fig. 6**), thereby limiting glyoxylate.H₂O yields to small fractions of initial glyoxal concentrations.

Glyoxylate.H₂O forms during glyoxal oxidation in acid-base equilibrium with glyoxylic acid.H₂O (Step AB-1; **Fig. 6**). The acid-base equilibrium shifts in favor of glyoxylic acid.H₂O as acid products derived from glyoxal accumulate and the pH drops below the pKa of glyoxylic acid (3.12 at 20 kHz and 3.03 at 580 kHz; **Table S3**) with increasing reaction time. This pH drop and the corresponding shift in acid-base equilibrium is illustrated in **Fig. S11**, which shows pH values and the fractions of glyoxylates (glyoxylic acid and glyoxylate in aldehyde and hydrated forms) present as glyoxylic acid.H₂O. Furthermore, glyoxylic acid.H₂O reacts less rapidly with •OH than glyoxylate.H₂O does, as indicated by lower rate constants for the former (**Table S2**); this smaller rate constant indicates

that the pool of glyoxylates is oxidized (Steps 2,3; **Fig. 6**) less rapidly by •OH under acidic conditions with glyoxylic acid.H₂O present in its neutral form.

The effect of pH on the oxidation of glyoxylates (Steps 2,3; **Fig. 6**) is evident from **Figure S12**, which shows the calculated rate that the pool of glyoxylates reacts with •OH at 20 kHz and 580 kHz (from the kinetic model; **Computational Methods**). **Figure S12** also shows a hypothetical rate of reaction if all of the glyoxylates were present as anions. The rates under acid-base equilibrium were 1.4 and 3.8 times smaller, on average, than the hypothetical rates of glyoxylate.H₂O anions at 20 kHz and 580 kHz within reaction extents of ϵ_{LF} and ϵ_{HF} , respectively. The slower rates prevalent under acid-base equilibrium reveals that the oxidation of glyoxylates (Steps 2,3; **Fig. 6**) is suppressed by glyoxylate.H₂O protonation, specifically by decreasing rates of •OH-mediated glyoxylate.H₂O oxidation (Steps 3; **Fig. 6**).

The ability to mitigate inhibition of glyoxal.2H₂O-•OH reactions by protonating glyoxylate.H₂O (Step AB-1; **Fig. 6**) suggests that more selective •OH attack of glyoxal.2H₂O would be achieved under acidic conditions. The kinetic model was used to explore such consequences of pH on glyoxal consumption rates and product distributions. The analysis was limited to 580 kHz, which achieved faster and more selective formation of C₂ acid products (**Fig. 2b,c**). **Figure 10a,c,e** shows the concentrations of glyoxal (in aldehyde and hydrated forms; a), glyoxylates (c), and oxalates (e) from the kinetic model (**Computational Methods**) as contour plots at fixed pH values between 0 and 5 and at different reaction times. **Figures 10b,d,f** also show contour plots for rates of glyoxal consumption (b) and of the oxidations of glyoxylates (d) and oxalates (f) by •OH. Glyoxal concentrations decreased monotonically with reaction time across all pH values, dropping below 0.5 mM within progressively shorter reaction times at lower pH (**Fig. 10a**). This glyoxal consumption trend aligns with the rate of glyoxal consumption that maintained larger values (>4 ×10⁻⁷ M s⁻¹) for longer durations at lower pH (**Fig. 10b**).

Concentrations of glyoxylates remained below 0.8 mM at pH 3.3, but increased significantly at lower pHs peaking above 3.8 mM below pH 1 (**Fig. 10c**). The corresponding rates for H-transfer reactions with glyoxylates became slower at early reaction times ($< 10^4$ s) with lower pH (**Fig. 10d**).

The decrease in rates at lower pH despite increasing glyoxylates concentration reflects the predominance of glyoxylic acid.H₂O in acid-base equilibrium at these pH levels. These trends thus show that lowering the pH to favor the less-reactive glyoxylic acid.H₂O (Step AB-1; **Fig. 6**) suppresses the glyoxylate.H₂O-•OH reaction (Step 3; **Fig. 6**), thus promoting glyoxal activation by •OH and the formation of glyoxylates.

Oxalates yields showed two peaks, the first centered on pH 3 and the second below pH 1 (**Fig. 10e**). At pH values above 2, glyoxylates are rapidly oxidized to oxalates at the initial stages of glyoxal oxidation (between 0- 10^4 s; **Fig. 10d**). The rates of oxalates-•OH reactions at these same reaction times decrease with decreasing pH (from 5 to 2 pH; **Fig. 10f**), reflecting a slower rate of oxalates decomposition via C-C cleavage (Steps 4-6; **Fig. 6**). This decrease in rate occurs at lower pH levels, despite a concomitant increase in oxalates concentrations (**Fig. 10e**), because such lower pH levels favor oxalate protonation to form hydrogen oxalate (**AB-3**). This is because hydrogen oxalate reacts with •OH with a slower rate constant than that of oxalate (at 325 K³²). A second maximum occurs at lower pH values (below pH 1) and later reaction times (after 2×10^4 s; **Fig. 10e**). Furthermore, the consumption rates for these oxalates decrease monotonically with the pH decrease. This slower rate, which enables greater oxalates accumulation, reflects the protonation of hydrogen oxalate (**AB-2**) under acid conditions (below its pKa of 1.19 at 325; **Table S3**) to form oxalic acid. This slower rate reflects the smaller rate constant for the reaction of oxalic acid with •OH (step 4; **Fig. 6**) compared with those for both of its conjugate bases³².



Figure 10: Contour plots for the concentrations of glyoxal (hydrated and aldehyde forms; a), glyoxylates (c), oxalates (e), C₂ acid products (glyoxylates and oxalates; g), and C₁ product (formates and carbonates; h). Rates of glyoxal consumption (b), glyoxylates consumption by reactions with •OH (d), and oxalates consumption by reactions with •OH (f). These trends are shown at different pH values

and reaction times simulated with the kinetic model (Computational Methods) at 580 kHz of ultrasound irradiation.

The combined yields to C_2 acids (glyoxylates and oxalates) and C_1 products (formates and carbonates) at different pH levels and reaction times are shown in **Figures 10g** and **10h**. The maximum C_2 yields (for reactions at a fixed pH level) increased monotonically with decreasing pH, exceeding 4 mM, or 80% of the glyoxal reactant, at pH levels below 1 and at around 10^4 s of reaction (**Fig. 10g**). Yields of C_1 products, on the other hand, decreased monotonically with pH values, and were negligible at reaction times that maximized C_2 acids (**Fig. 10h**). The maximum yields to C_2 acids, normalized by the initial glyoxal concentration, were determined at pH values between 0 and 5, as shown in **Figure 11**. **Figure 11** also shows normalized yields of the various C_2 acid yields of C_1 products, and the fractions of unreacted glyoxal at the reaction times that maximized C_2 acid yields of the various of C_1 products, and the fractions of unreacted glyoxal at the reaction times that maximized C_2 acid yields show a monotonic decrease with increasing pH, ranging from 0.47 to 0.97, with the highest yields obtained at the lowest pH. Glyoxylic acid concentrations were larger at lower pH (peaking at 0.6) and decreased asymptotically at higher pH. The glyoxylate yields were held below 0.05 across the entire pH range. The oxalates yields peaked above 0.5 at pH 3. C_1 product yields increased monotonically with increasing pH.

The influence of pH on the mechanisms of glyoxal oxidation underpins these trends in C_2 acid and C_1 product yields. Firstly, low pH favors glyoxylic acid over glyoxylate in acid-base equilibrium (Step AB-1; Fig. 6), which in turn (i) suppresses C-C cleavage of glyoxylate by H_2O_2 (Step 7; Fig. 6), and (ii) reduces •OH scavenging by glyoxylate. H_2O (Step 3; Fig. 6), thereby enhancing the glyoxylic acid. H_2O formation rates (via glyoxal oxidation; Step 1; Fig. 6). Secondly, glyoxylates accumulate substantially (Fig. 10c) before they are oxidized to oxalates (Fig. 10d), thereby delaying oxalates formation (Fig. 10e) and the subsequent •OH-mediated C-C cleavage (Fig. 10; Steps 4-6; Fig. 6). These findings demonstrate that controlling the kinetics of glyoxal oxidation pathways by manipulating acidbase equilibrium through changes to the pH of the solution enables the selective formation of C_2 acids even at high glyoxal conversion.



Figure 11: Maximum yields to C_2 products (glyoxylates and oxalates), and corresponding concentrations of C_1 products (formates and carbonates), glyoxylic acid, glyoxylate, oxalates and unreacted glyoxal at different pH. Yields were calculated with the kinetic model (Computational Methods) at 580 kHz and are normalized the initial glyoxal concentration (5 mM). Amounts of glyoxylic acid, glyoxylate, and glyoxal include both aldehyde and hydrated forms.

Conclusions

In summary, ultrasonic irradiation at 20 kHz and 580 kHz successfully oxidized aqueous glyoxal into formic, glyoxylic, glycolic, and oxalic acids alongside CO_2 as primary products, through •OH-mediated pathways. Glyoxal was consumed ten-times faster at 580 kHz than at 20 kHz and with greater selectivity to C_2 acids than C_1 products. Nucleophilic $•O_2^-$ addition to glyoxal monohydrate, a previously overlooked pathway, contributed significantly to rates of C-C cleavage, particularly at 20 kHz of ultrasound irradiation. Glyoxal was converted entirely at 580 kHz into these oxidation products,

within experimental error. The majority of the glyoxal consumed at 20 kHz yielded these same oxidation products (71%), while the balance likely oligomerized through reactions between alkyl radicals and carbonyls. The •OH supply was commensurate with the amounts of glyoxal consumed at both ultrasound frequencies, thus eliminating a need to invoke aqueous pyrolysis reactions occurring at local hot spots to account for rates of glyoxal consumption.

The greater selectivity to C_2 acids at 580 kHz than at 20 kHz stemmed, in large part, from increased rates of •OH formation. These increased rates promoted •OH-mediated glyoxal oxidation to C_2 acids preferentially over deleterious C-C cleavage reactions mediated by oxidation products (i.e., $•O_2^-$ and H_2O_2). Consequently, not only does the frequency affect the mechanical consequences of the ultrasound waves (e.g., micro-jetting, mass-transfer, shock waves) and reaction rates by introducing more radical initiators, but also the selectivity of the reactions it initiates through the interplay of reaction steps in complex reaction networks.

Rates of •OH-mediated glyoxal oxidation were suppressed through inhibition by glyoxylate and hydrogen oxalate oxidation products scavenging •OH in electron and hydrogen-transfer reactions. Such product inhibition was mitigated under acidic conditions by protonating these carboxylates into less reactive carboxylic acids. Minimizing these secondary reactions between acid products and •OH, moreover, was shown to avoid the C-C cleavage reactions responsible for forming C_1 products. Kinetic simulations of these reactions predicted the maximum yield to C_2 acid products possible for reactions occurring at fixed pH. Maximum yields of C_2 acids did not exceed 60% of glyoxal reactants at high pH (>3), but formed with increasing amounts at lower pH values (<2) reaching 90-97% at 0-1 pH.

Ultimately, by manipulating acid-base equilibrium and •OH formation rates, ultrasoundderived •OH oxidants can selectively convert aldehyde functions into carboxylic acids. When powered by renewable electricity, ultrasound therefore presents a viable and sustainable strategy for selectively upgrading carbohydrate-derived platform molecules with aldehyde functions into valuable chemicals.

EXPERIMENTAL AND COMPUTATIONAL PROCEDURES

Resource availability

Lead contact

Further information and requests for resources should be directed to and will be fulfilled by the lead contact, Tej Choksi (tej.choksi@ntu.edu.sg).

Materials availability This study did not generate new materials.

Data and code availability

The datasets and original codes generated during this study are available at the data and code repository accessible at: <u>https://github.com/ari-fischer/glyoxal_oxidation_2024.git</u>. These datasets and original codes include: DFT output files for stationary points needed to generate kinetic and thermodynamic parameters, for the basis set convergence test, and for and additional calculations reported; the Jupyter notebook and dependences to generate the input files for microkinetic model implemented in MATLAB and outputs at LFUS (20 kHz) and HFUS (580 kHz); the microkinetic model implemented in MATLAB for generating reaction rates and trends; the kinetic and thermodynamic coefficients evaluated at 315 K and 325 K and inputs to MKM model; and the python code for calculating van der Waals volumes.

Experimental Methods

Kinetic measurements for sonochemical glyoxal oxidation and H₂O₂ formation.

The oxidation of glyoxal solutions was performed at 20 kHz or 580 kHz ultrasound frequency. Reactions were performed with 100 mL of 5 mM glyoxal (prepared from 40% wt. glyoxal in H₂O; Sigma-Aldrich; distilled H₂O) at 20 kHz ultrasound in a 250 mL glass reactor with waves generated using a Digital SonifierS-250D from Branson (power of standby, $P_o = 27.0$ W; nominal electric power of the generator, $P_{elee} = 93$ W) operating at 20% wave amplitude. The waves were delivered continuously by a 13 mm diameter probe immersed directly in the reaction medium. Reactions of 5 mM glyoxal solutions (100 mL) were also performed at 580 kHz in a 250 mL glass ultrasound reactor (Meinhardt Ultrasonics Multifrequency Ultrasound reactor with a functional generator; standby power $P_0 = 48$ W, nominal electric power of the generator $P_{elee} = 178$ W) with waves delivered at 100% amplitude. The solutions in both reactors were bubbled with O₂ (Air Liquid Co) at 0.33 cm³ s⁻¹. A Minichiller cooler (Huber) was used to dissipate the heat generated. The solutions reached steady-state temperatures of 315 K and 325 K at 20 kHz and 580 kHz, respectively.

Glyoxal, oxalic acid, glyoxylic acid, glycolic acid, and formic acid were detected and quantified using high-performance liquid chromatography (HPLC) analysis (Shimadzu HPLC; ICE-COREGEL 107H column 300 × 7.8 mm (Transgenomic) with a UV/vis detector (Varian Pro Star, 210 nm/200 nm), a refractive index detector from Waters, pump system (LC-20AD), an autosampler SIL-10A, and CBM 20A controller. A 10 mM aqueous H₂SO₄ solution (prepared from \ge 95% H₂SO₄ (Sigma-Aldrich) with distilled H₂O) was used as the eluent with 0.013 mL s⁻¹ flow rate and oven temperature of 308 K. External calibration of liquid chromatography was performed using oxalic acid (\ge 99%; Sigma-Aldrich), glycolic acid (99%; Sigma-Aldrich), glyoxylic acid (98%; Sigma-Aldrich) and formic acid (98%; Acros Organics) as standards to quantify product concentrations.

The concentrations of H₂O₂ following ultrasound irradiation of distilled H₂O (100 mL; without a substrate) in the 20 kHz and 580 kHz reactors were determined by H₂O₂ titration with Ti^{4+,58} An aqueous solution of TiOSO₄ (0.02 M) in H₂SO₄ (0.5 M; distilled H₂O) was added to samples drawn from sonication products to form a yellow-orange complex Ti(IV)-H₂O₂ ⁵⁸. The Ti(IV)-H₂O₂ absorbance was measured at 412 nm using a UV visible spectrophotometer (ThermoFisher Evolution 60S). H₂O₂ concentrations were quantified by comparison with the absorbance of standard aqueous H₂O₂ and TiOSO₄ solutions.

Detecting free radicals with electron paramagnetic resonance spectroscopy.

X-band electron paramagnetic resonance (EPR) spectroscopy (Bruker EPR A-300 spectrometer) was used to detect free radicals generated by exposing aqueous solutions of glyoxal (40 wt. % in H₂O; Shanghai Aladdin Biochemical Technological Co., Ltd) and 5,5-Dimethyl-1-pyrroline N-oxide (DMPO; Shanghai Aladdin Biochemical Technological Co., Ltd.) to ultrasonic irradiation. 15 mL solutions of 0-50 mM glyoxal and 50 mM DMPO were prepared in deionized water (18 M Ω cm⁻¹). The solutions were bubbled continuously with Ar or O₂ bubbling 0.33 cm³ s⁻¹. The aerated solutions were then exposed to

ultrasound irradiation at 20 kHz pulsed ultrasound (3 s on and 1 s off) with 25% amplitude (JY88-IIN ultrasonic processer, Shanghai Feitong Instrument Co., Ltd.; 250 W) for 1.2×10^3 s. The ultrasound waves were generated using a probe with 6 mm diameter (at the tip; Shanghai Feitong Instrument Co., Ltd.). The sonicated solutions were sampled using a quartz flat cell. The EPR spectra were collected with a 9.85 G microwave frequency, 100 G sweep width, 3505 G center field, 40 s sweep time, 2G modulation amplitude and 100 kHz modulation frequency, 20 mW microwave bridge power, 10.24 ms time constant and 20 ms conversion time. MATLAB Software (version R2022a) and EasySpin package (version 5.2.33) were used to fit observed EPR spectra to combinations of spectra of individual DMPO spin adducts.

Computational Methods

Calculating rate constants and constructing a kinetic model for sonochemical glyoxal oxidation.

Time-dependent reactant and product concentrations for ultrasound-driven glyoxal oxidation were simulated using a well-mixed isothermal batch reactor model. The well-mixed assumption presumes that local concentrations of chemical species do not depend significantly on the location in the reactor relative to the ultrasound source or on the proximity to nearby cavitation bubbles. Such a batch reactor model was employed successfully to study the kinetics of sonochemical oxidation of aqueous H_2S .⁵⁹ This approach differs notably from those that look explicitly at gradients in chemical potentials of radical initiators and solutes at dynamic gas-liquid interfaces^{60,61}.

The formation rate for each species (denoted as A with concentration [A]) in solution $(\partial [A]/\partial t)$ was expressed by adding its formation rate from each of the N elementary steps in the proposed reaction mechanism (considering forward and reverse reactions separately), along with its volumetric generation from cavitation processes (\dot{n}_A):

$$\frac{\partial[A]}{\partial t} = \sum_{i}^{N} \epsilon_{Ai} r_{i} + \dot{n}_{A}$$
⁽⁴⁾

Here, r_i is the unidirectional rate for each of N elementary reactions and ϵ_{Ai} is a vector that represents the stoichiometric coefficient of A in that step. \dot{n}_A was used to introduce a source of •OH to initiate homogeneous reactions (\dot{n}_{OH} ; when A is •OH in Eq. 4). The value of \dot{n}_A reflects a net molar rate of transport from a population of cavitation bubbles into solution within a control region, normalized by the region's volume. The \dot{n}_{OH} term was assumed to be insensitive to sonication time, reflecting a steady supply of •OH, because rates of •OH formation quantified using H₂O₂ titration were constant with time (Fig. 1). The equation is independent of spatial dimensions, and thus implicitly assumes a sufficiently large volume element to average spatial inhomogeneities. The rate of each reaction step is proportional to a kinetic rate constant (k_i) and the concentrations of each species in solution raised to the stoichiometric coefficient (v_{iA}) for that reaction step:

$$r_i = k_i \prod_{A}^{M} [A]^{\nu_{iA}} \tag{5}$$

 O_2 , which was continuously bubbled through solution during reaction, was assumed to dissolve in solution in its equilibrium extent, dictated by Henry's law (9.9 mM kg⁻¹ bar⁻¹ at 20 kHz (315 K) and 8.6 mM kg⁻¹ bar⁻¹ at 580 kHz (325 K)³¹). The chemical activity of H₂O was maintained at unity irrespective of reaction extent.

Acids were assumed to deprotonate in equilibrium with their conjugate bases due to the rapid nature of proton transfer in H₂O⁴⁹. Equilibrium constants (*K*₄) were obtained from experimental references at reaction temperatures from temperature-dependent data where available or by extrapolating from 298 K (**Table S3**). Equilibrium was established by including forward and reverse reactions for acid deprotonation as elementary steps in the reactor model. The rate constant for proton transfer from H₃O⁺ to OH⁻⁻ was assigned to reported values.⁴⁹ The rate constants for proton transfer from H₃O⁺ to other anions, on the other hand, were assigned (**Table S3**) so as to maintain an approach to equilibrium value ($\eta = r_r/r_f$; where r_f and r_r are forward and reverse reaction rates) above 0.99 for all reactions beyond 0.5% glyoxal conversion (0.025 mM; **Section S7**), while avoiding spurious results incurred from the stiffness of the equations with larger rate constants. Transition-state theory (TST) was used to calculate rate constants for all reactions for which a transition state (TS) could be identified using density-functional theory (DFT) based TS-search algorithms (in the next section). TST stipulates that rate constants (k_{TST}) depend exponentially on the free-energy barrier (ΔG^{\ddagger}) associated with forming a TS from an active complex that immediately proceed the TS along a reaction coordinate^{62,63}:

$$k_{TST} = \frac{k_B T}{h} \exp\left(-\frac{\Delta G^{\ddagger}}{RT}\right) \tag{6}$$

Here, k_B is Boltzmann's constant, *T* is the absolute temperature, *h* is Plank's constant, and *R* is the ideal gas constant. The ΔG^{\ddagger} value was evaluated from the difference between free energy of the TS (G^{\ddagger}) and the active complex ($G_{A,C}$):

$$\Delta G^{\ddagger} = G^{\ddagger} - G_{A.C.} \tag{7}$$

The free energy values were determined using the density functional theory (DFT) and statistical mechanics methods described in the next section.

The influence of quantum tunneling on rate constants was assessed by treating barriers are parabolic and approximating a tunneling correction (κ_{QM}) using the model from Wigner^{64,65}:

$$\kappa_{QM} = \frac{\alpha_0/2}{\sin\left(\alpha_0/2\right)} \tag{8}$$

Here, α_0 is defined as $\hbar \omega_{\ddagger}/k_B T$, where \hbar is the reduced Plank's constant and ω_{\ddagger} is the imaginary frequency at the transition state. This expression for κ_{QM} in **Equation 8** is applicable here because α_0 values for all transition states reported are less than 2π (**Table S6**).⁶⁴ The values of κ_{QM} for all DFT-derived transition states are shown in **Table S6** alongside their corresponding imaginary frequencies. These κ_{QM} were no larger than 1.09, indicating that tunneling corrections are minor and are not needed to reach the conclusions drawn in this paper.

Rate constants for bimolecular reactions that occur without appreciable kinetic barriers, such as radical coupling reactions⁶⁶, were calculated from rates of diffusive encounters between co-reactants

(denoted A and B)⁶⁷. Rate constants for such diffusive encounters were described using formalisms developed by Smoluchowski⁶⁸:

$$k_{D} = \frac{2k_{B}T}{3\eta} \frac{(R_{A} + R_{B})^{2}}{R_{A}R_{B}}$$
(9)

Here, η is the viscosity of water (6.29 ×10⁻⁴ at 20 kHz (315 K) and 5.29×10⁻⁴ at 580 kHz (325 K)³¹), and R_A and R_B are the respective molecular radii of A and B. These rate constants were of order 1.1 ×10¹⁰ M⁻¹ s⁻¹ at 20 kHz (315 K) and 1.4 ×10¹⁰ M⁻¹ s⁻¹ at 580 kHz (325 K), respectively. Their values are equivalent to those from TST (**Eq. 6**) for a hypothetical reaction with a free energy barrier of 16-20 kJ mol⁻¹. The k_D values for all steps at 315 K and 325 K are reported in the **code and data repository**. The k_D values of steps in the diffusion limit are shown in **Table S2**.

Equation 9 is derived assuming diffusion of quasi-spherical particles; therefore, the radii of spheres with equivalent volume to the molecular volume (V_M) were used to obtain R_A and R_B :

$$R = \left(\frac{3V_M}{4\pi}\right)^{\frac{1}{3}} \tag{10}$$

Molecular volumes^{69,70} were calculated using an algorithm herein developed that encloses the atomic coordinates of converged structures within a rectangular volume partitioned into cubes with 0.01 Å dimension. The volume is populated with van der Waals spheres⁶⁹ centered at the atomic coordinates of each constitutive atom. The molecular volume is then obtained by adding the volumes of cubes enclosed within the superimposed van der Waals spheres without double-counting. The molecular volumes and the code are reported in the **code and data repository**.

Some of the intrinsic rate constants determined from TST (k_{TST}) were of similar magnitude to diffusive rate constants (k_D) . Effective rate constants (k) that reflect contributions from both intrinsic kinetics and diffusion were calculated from their resistances $(k_{TST}^{-1} \text{ and } k_D^{-1}, \text{ respectively})$ with:⁶⁷

$$\frac{1}{k} = \frac{1}{k_{TST}} + \frac{1}{k_D}$$
(11)

These effective rate constants were used in kinetic models instead of k_{TST} . Their values at 298 K are reported in **Table S2**, and at 315 K and 325 K are reported in the **code and data repository**. The effective rate constants calculated using **Equation 11** differed from values reported from experiments for a set of 9 H-transfer reactions by factors ranging from 0.3-9. The ratio between experimentally measured and calculated rate constants (k_{Exp} amd k_{DFT} , respectively) were related to a difference between an effective free energy barrier corresponding to each rate constant:

$$\Delta\Delta G_{DFT}^{\ddagger} = RT \log\left(\frac{k_{Exp}}{k_{DFT}}\right) \tag{12}$$

$$\Delta\Delta G_{DFT}^{\ddagger} = \Delta G_{DFT}^{\ddagger} - \Delta G_{Exp}^{\ddagger}$$
(13)

Here, $\Delta G_{DFT}^{\ddagger}$ and $\Delta G_{Exp}^{\ddagger}$ are the effective free energy barriers for the rate constants. The average $\Delta \Delta G_{DFT}^{\ddagger}$ values calculated for these same 9 H-transfer reactions was 2.9 ± 1.4 kJ mol⁻¹. This $\Delta \Delta G_{DFT}^{\ddagger}$ falls within the error generally considered to reflect "chemical accuracy" (4 kJ mol⁻¹)^{37,38}. Such small differences between experimental and calculated values indicates that these methods are robust for testing mechanistic hypotheses and determining rate constants for steps in proposed reaction pathways.

Several elementary steps in the proposed mechanisms involve single-electron transfer, such as the electron transfer from the carboxylate group of oxalate to •OH. The TS of such reactions involve the migration of electrons, not nuclei, and thus could not be described with the DFT methods employed here (**Section S4**) which employ the Born-Oppenheimer approximation. Methods to treat such processes that go beyond qualitative agreement with experiments (i.e., using constrained DFT methods⁷¹⁻⁷³) are still under active development⁷⁴. Rate constants from experimental studies reported at sonochemical reaction temperatures, where available, or at 298 K were used instead. Their values at 298 K are shown in **Table S2** and at 315 K and 325 K in the **code and data repository**. The error introduced by using rate constants reported at 298 K without temperature corrections is not expected to influence the conclusions reached here because the values of any kinetic parameters most consequential in determining kinetic behaviours were regressed to the experimental data (see below).

Time-dependent concentrations of glyoxal and oxidation products were obtained by numerically integrating the set of ordinary differential equations represented by Equations 4 and 5 at a certain O₂ concentration, temperature (315 K at 20 kHz and 325 K at 580 kHz), pH (Figures 9 and 10), and initial glyoxal concentration (5 mM). Values of \dot{n}_{OH} and rate constants for two reaction steps at each frequency (with forward and reverse rate constants co-varied) were regressed to minimize the sum of the squares of the residuals between measured (Experimental Methods) and predicted yields to formic, glyoxylic, and oxalic acid products using a nonlinear least-squares method (as implemented in MATLAB). Residuals for concentrations of unreacted glyoxal were not included in the regression because yields to quantified products did not close the mass balance in experiments at 20 kHz. The regressed rate constants included those for the glyoxal.2H₂O-•OH reaction (Step 7a; Fig. S2; k_{7a}) at both 20 kHz and 580 kHz, 2,2-dihydroxyethoxide peroxyl• protonation by H₂O (Step 11a; Fig. S2; k_{11a}), and the glyoxylate-H₂O₂ reaction (Step 14; Fig. S2; k_{14}) at 580 kHz. Here, the ".nH₂O" denotes a hydrated form of an aldehyde with *n* number of added H₂O molecules. Values of k_{7a} where regressed because a sensitivity analysis based on degree of rate control formalisms^{56,57} showed their values contributed most significantly to the model error (Section S8). Regressing k_{11a} and k_{14} at 20 kHz and 580 kHz, respectively, was required to produce the measured formic acid yields in both magnitude and curvature (Section S8).

Density functional theory and statistical mechanics methods for determining thermochemistry and kinetic barriers.

Density functional theory methods (as implemented in QChem 5.4.1)⁷⁵ were used to calculate molecular geometries and energetic properties of species participating in proposed reaction networks. Molecular structures were converged to their minimum potential of mean force (PMF; with wavefunction error less than 10^{-7} Ha) using the ω B97M-V functional³⁶ and 6-311++G(2df,2p) basis set with the SMD implicit solvation model³⁵. Unrestricted orbitals were used to converge structures with unpaired electrons including (i) radicals with odd numbers of electrons (e.g. •OH) and a spin multiplicity of two and (ii) diradical molecules with even numbers of electrons (i.e. triplet O₂) with a

spin multiplicity of three. Harmonic vibrational frequencies at stationary points corresponding to reactant, transition, and product states for each elementary step were evaluated from the eigenvalues of the Hessian matrix (with a finite differences step of 0.001 a.u). The vibrational frequencies were obtained from minima on the PMF surface and computed in the presence of the SMD model, as recommended elsewhere⁷⁶.

The TS for elementary reaction steps (stationary states with a single negative eigenvalue of the Hessian matrix) were located using the partitioned rational function optimization (P-RFO) algorithm from Baker⁷⁷. The energy and gradient convergence criteria of $<10^{-6}$ Ha and $<3\times10^{-4}$ Ha, respectively were employed. The Hessian matrix of the resulting TS was evaluated with a finite differences step of 0.001 a.u to ensure a single negative eigenvalue for each TS structure and confirm their location at a saddle point on the PMF surface. These imaginary frequencies are reported in Table S6. The initial guesses for TS geometries for the P-RFO calculation were determined either by (i) manually manipulating the reactant state geometry or (ii) using the freezing string method⁷⁸. The intrinsic reaction coordinate (IRC) analysis⁷⁹ was used to confirm that the TS connects the intended reactants and products along the reaction coordinate. The IRC analysis failed in a few instances (Table S6); the imaginary modes of these structures were inspected to ensure motion along the expected reaction coordinate. Barriers calculated with the 6-311++G(2df,2p) basis set converged within 1 kJ mol⁻¹ of those calculated with the larger 6-311++G(3df,2p) basis set for five model reactions (Section S9). These barriers differed with mean absolute errors of 1.6 ± 1.0 kJ mol⁻¹ of those calculated using the largest basis set (def2-QZVP). Such convergence indicates that the mechanistic conclusions drawn from kinetic parameters calculated with these barriers are not sensitive to the choice of the type of basis set used or its size.

The free energies of solutes at stationary points under reaction conditions (G_s) were calculated from DFT-based methods and statistical mechanics formalisms established previously^{76,80}. DFT calculations in QChem using the SMD solvation model were used to calculate a free energy (denoted as G°_{S} ; with standard state of 1 M at 298 K) of the solute which averages over all solvent, but not solute, degrees of freedom³⁵. It includes the influence of solute-solvent electronic interactions, polarization, solvent cavity formation, dispersion energy, and changes to the local solvent structure on the solute's free energy³⁵. These G°_{S} values are calculated at 298 K and were used to describe solute free energies at reaction temperatures (315 K and 325 K) without applying temperature corrections. The free energy of the solute averaging over all solute degrees of freedom was obtained from the G°_{S} values by accounting for additional contributions from zero-point energy (ZPE), liberational free energy (G_{lib}), rotational-vibrational free energy (G_{ro-vib}), and electronic entropy (S_{elec}):

$$G_s = G^{\circ}_{S} + ZPE + G_{lib} + G_{ro-vib} - TS_{elec}$$
(14)

The G_s reported here considered only a single conformer without conformational free energy. These G_s values were used to determine free energies of intermediates and TSs for calculating equilibrium and rate coefficients in the reaction mechanism. An implementation of these thermochemical calculations is available in the **code and data repository**.

The zero-point energy (ZPE) was obtained from DFT-derived vibrational modes calculated on the PMF surface (Section 2.2) using the harmonic oscillator approximation. The S_{elec} value was determined from the spin multiplicity using standard methods⁸¹.

The liberational free energy of a solute in solution is equivalent to the translational free energy of the solute at the same concentration as an ideal gas^{76,80}. G_{lib} was therefore calculated using the translational enthalpy (H_{trans}) and entropy (S_{trans}) of an ideal gas ($G_{lib} = H_{trans} - TS_{trans}$) following standard statistical mechanics formalisms evaluated at reaction temperature⁸¹, ensuring a standard state of 1 M at 298 K.

The G_{ro-vib} term was approximated by separating its value into rotational free energy (G_{rot}) and vibrational free energy (G_{vib}) components. G_{rot} is calculated from the solute partition function for unhindered rotations⁸¹ (at reaction temperatures), which has been suggested to be a useful approximation of the free energy of librations in solution⁷⁶. The principle moments of inertia used to calculate G_{rot} were obtained from QChem. G_{vib} was calculated from the solute vibrational partition function in the harmonic oscillator approximation⁸¹ (at reaction temperatures) with vibrational frequencies calculated using the SMD solvation model from PMF minima. In calculating vibrational entropy, vibrational modes below 100 cm⁻¹ were replaced with 100 cm⁻¹ to avoid well-established numerical artifacts^{76,82}.

SUPPLEMENTAL INFORMATION

Document S1. Supplemental experimental and computational results, supplemental discussion, Sections S1-S11, Figures S1-S13, Tables S1-S6, Equations S1-S4, and supplemental references.

Data and code repository. Datasets and original codes generated during this study are available at: https://github.com/ari-fischer/glyoxal_oxidation_2024.git.

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AUTHOR CONTRIBUTIONS

Author contributions are defined based on the CRediT (Contributor Roles Taxonomy) and listed alphabetically.

Conceptualization: AF and TC conceptualized the mechanistic description, kinetic modeling approach, explanations for the effects of ultrasound frequency on selectivity, and the selectivity control. Data curation: AF constructed the code and data repository. Formal analysis: AF performed the DFT calculations and the kinetic analyses; TB obtained yields from experimental kinetic data; ZX analyzed EPR spectra. Funding acquisition: PA, TC, and WL acquired financial support for the study. Investigation: TB performed kinetic measurements. ZX performed EPR measurements. Methodology: AF and TC designed the methodologies of kinetic analyses. AF constructed the kinetic models. PA and TB designed and conducted the sonochemical kinetic experiments. KQ, RL, WL, and ZX designed the methodology for EPR experiments. Project administration: PA, TC, and WL managed and coordinated the research activities. Resources: TC provided computational resources. FJ and PA provided experimental resources for the kinetic measurements. RL and WL provided experimental resources for EPR measurements. Software: AF developed all new codes and algorithms used in the computational studies and implemented QChem software for the investigation. Supervision: TC supervised the computational research. FJ and PA supervised the kinetic experiments. RL and WL supervised the EPR measurements. Visualization: ZX created the figures for the EPR studies. AF created all other figures and illustrations. Writing-original draft: AF and TC drafted the main text of the original manuscript, modeling sections of the methods, supporting information, and data repository. AF, TB, and ZX drafted the experimental sections of the supporting information. Writing-review & editing: All authors reviewed and edited the manuscript.

DECLARATION OF INTERESTS

The authors declare no competing interests.

DECLARATION OF GENERATIVE AI IN SCIENTIFIC WRITING

During the preparation of this work the author(s) used ChatGPT (v4) in order to suggest changes to language and writing style. After using this tool/service, the author(s) reviewed and edited the content as needed and take(s) full responsibility for the content of the publication.

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