

# Accurate Electronic and Optical Properties of Organic Doublet Radicals Using Machine Learned Range-Separated Functionals

Cheng-Wei Ju,<sup>†,‡</sup> Yili Shen,<sup>¶,§</sup> Ethan J. French,<sup>†,||,⊥</sup> Jun Yi,<sup>†,#</sup> Hongshan Bi,<sup>†</sup>  
Aaron Tian,<sup>¶,||</sup> and Zhou Lin<sup>\*,†</sup>

<sup>†</sup>*Department of Chemistry, University of Massachusetts, Amherst, MA 01003, United States*

<sup>‡</sup>*Pritzker School of Molecular Engineering, The University of Chicago, Chicago, IL, 60637, United States*

<sup>¶</sup>*Manning College of Information and Computer Sciences, University of Massachusetts, Amherst, MA 01003, United States*

<sup>§</sup>*Department of Computer Science and Engineering, University of Notre Dame, Notre Dame, IN 46556*

<sup>||</sup>*Department of Mathematics and Statistics, University of Massachusetts, Amherst, MA 01003, United States*

<sup>⊥</sup>*Athinoula A. Martinos Center for Biomedical Imaging, Department of Radiology, Massachusetts General Hospital and Harvard Medical School, Charlestown, MA 02129, United States*

<sup>#</sup>*Department of Chemistry, Wake Forest University, Winston-Salem, NC 27109, United States*

E-mail: zhoulin@umass.edu

## Abstract

Luminescent organic semiconducting doublet-spin radicals are unique and emergent optical materials because their fluorescent quantum yields ( $\Phi_{\text{fl}}$ ) are not compromised by the spin-flipping intersystem crossing (ISC) into a dark high-spin state. The multi-configurational nature of these radicals challenges their electronic structure calculations in the framework of single-reference density functional theory (DFT) and introduces room for method improvement. In the present study, we extended our earlier development of ML- $\omega$ PBE [*J. Phys. Chem. Lett.*, 2021, 12, 9516], a range-separated hybrid (RSH) exchange–correlation (XC) functional constructed using the *stacked ensemble machine learning* (SEML) algorithm, from closed-shell organic semiconducting molecules to doublet-spin organic semiconducting radicals. We assessed its performance for a new test set of 64 doublet-spin radicals from five categories while placing all previously compiled 3,926 closed-shell molecules in the new training set. Interestingly, ML- $\omega$ PBE agrees with the first-principles OT- $\omega$ PBE functional regarding the prediction of the molecule-dependent range-separation parameter ( $\omega$ ), with a small mean absolute error (MAE) of  $0.0197 a_0^{-1}$  but saves the computational cost by 2.46 orders of magnitude. This result demonstrates an outstanding *domain adaptation* capacity of ML- $\omega$ PBE for diverse organic semiconducting species. To further assess the predictive power of ML- $\omega$ PBE in experimental observables, we also applied it to evaluate absorption and fluorescence energies ( $E_{\text{abs}}$  and  $E_{\text{fl}}$ ), using linear-response time-dependent DFT (TDDFT) and compared its behavior with nine popular XC functionals. For most radicals, ML- $\omega$ PBE reproduces experimental measurements of  $E_{\text{abs}}$  and  $E_{\text{fl}}$  with small MAEs of 0.299 and 0.254 eV, only marginally different from OT- $\omega$ PBE. Our work illustrates a successful extension of the SEML framework from closed-shell molecules to doublet-spin radicals and will open the venue for calculating optical properties for organic semiconductors using single-reference TDDFT.

# Introduction

An organic semiconducting doublet-spin radical can stabilize its unpaired electron through the delocalized  $\pi$ -conjugation and exhibit a non-conventional *non-Aufbau* configuration where the singly occupied molecular orbital (SOMO) is lower-lying than the highest (doubly) occupied molecular orbital (HOMO).<sup>1-10</sup> Such a long-lived open-shell configuration and the resulting compelling physicochemical characteristics, especially controllable optical properties between the doublet ground ( $D_0$ ) and excited states ( $D_{n \geq 1}$ ), make a radical like this a promising functional material for emergent scientific fields. For example, in photothermal therapy (PTT), a radical anion like a supramolecular complex of benzodithiophene-fused perylene diimide (BPDI) and cucurbit[7]uril (CB[7]) absorbs biologically transparent near-infrared (NIR) light and dissipates the photon energy as heat.<sup>11-15</sup> In an organic light-emitting diode (OLED), the  $D_1$  state can, in principle, reach a 100% fluorescent quantum yield ( $\Phi_f$ ) because it does not favor the intersystem crossing (ISC) into a high-spin dark state.<sup>4,7,16-18</sup>

The open-shell character of such an organic semiconducting radical makes its ground and excited state electronic structures challenging to calculate.<sup>19</sup> Density functional theory (DFT) based multiconfigurational approaches can be physically correct and reliable for this purpose, including complete-active-space density functional theory (CAS-DFT),<sup>20-22</sup> multiconfiguration pair DFT (MC-PDFT),<sup>23-25</sup> multiconfigurational short-range density-functional theory (MC-srDFT),<sup>26-28</sup> and multistate density functional theory (MSDFT).<sup>29-31</sup> However, applications of these multiconfigurational DFT approaches have been limited to small and simple systems because they are less user-friendly in terms of the difficult construction of an appropriate active space without prior knowledge of the electronic structure and the expensive computational cost ( $\simeq N_{\text{act}} N_{\text{orb}}^4$ ) for an organic semiconductor species with a typical size.<sup>32-34</sup>

The low computational cost ( $\simeq N_{\text{orb}}^3$ ) and the black-box character of regular single-reference DFT and linear-response time-dependent DFT (TDDFT) make themselves appealing again for organic semiconducting radicals despite the above-mentioned theoretical

challenges and insufficient reliable benchmarks. DFT and TDDFT can generate ground and/or excited state electronic structures of these radicals to a desired accuracy after careful development and calibration of the exchange–correlation (XC) functional.<sup>35–44</sup> Head-Gordon and coworkers performed systematic studies for excited state properties of polycyclic aromatic hydrocarbon (PAH) radical ions<sup>37,45–49</sup> using original TDDFT and its simplified variant with the Tamm–Dancoff approximation (TDA)<sup>50</sup> along with common functionals like BLYP<sup>51,52</sup> and B3LYP.<sup>51–53</sup> They found that TDDFT and TDDFT/TDA both reproduced experimental excited state energies with errors smaller than 0.3 eV when the basis set was reasonably large, despite inexact XC functionals and adiabatic approximations and the inability to treat double excitations in a single-reference framework.<sup>54,55</sup> They also concluded that TDDFT/TDA outperformed TDDFT in capturing correct states by overcoming the orbital instability problem existing for some radicals.<sup>50,56–60</sup> They further assigned the strongest absorption of each radical to involve its SOMO.

Other researchers, such as Joblin,<sup>38,61–63</sup> Jacquemin,<sup>40</sup> Grimme,<sup>64,65</sup> Furche,<sup>66–68</sup> and Allouche,<sup>69</sup> performed similar benchmark analyses on organic semiconducting radicals using DFT and TDDFT and obtained essential insights into their electronic structures. All these DFT-based studies demonstrated the advantage of using a global hybrid functional (GH)<sup>53,70–72</sup> or a range-separated hybrid (RSH) functional<sup>73–84</sup> with molecule-dependent parameters for organic semiconducting radicals. This is due to the necessity to balance the accuracy of the electronic density between the Hartree–Fock (HF) exchange functional and a (semi)local XC functional like local-density approximation (LDA),<sup>77,85</sup> Becke88 exchange with “one-parameter progressive” correlation (BOP),<sup>86,87</sup> or Perdew–Burke–Ernzerhof (PBE).<sup>88–90</sup>

One outstanding example of a molecule-dependent RSH functional was developed by Kronik, Baer, and their coworkers based on the idea of optimal tuning (OT) based on Koopmans’ theorem.<sup>91–97</sup> They utilized the range-separation parameter ( $\omega$ ), which characterizes the inverse distance at which the functional transitions from a (semi-)local formula in the short range to the HF formula in the long range, appearing in the separation of the Coulomb

operator

$$\frac{1}{|\mathbf{r} - \mathbf{r}'|} = \underbrace{\frac{1 - \text{erf}(\omega|\mathbf{r} - \mathbf{r}'|)}{|\mathbf{r} - \mathbf{r}'|}}_{\text{short range}} + \underbrace{\frac{\text{erf}(\omega|\mathbf{r} - \mathbf{r}'|)}{|\mathbf{r} - \mathbf{r}'|}}_{\text{long range}} \quad (1)$$

They optimized the value of  $\omega$  by minimizing the metrics of

$$J^2(\omega) = [\varepsilon_{\text{HOMO}}(\omega) + I(\omega)]^2 + [\varepsilon_{\text{LUMO}}(\omega) + A(\omega)]^2 \quad (2)$$

However, this non-empirical, first-principles OT protocol is expensive for large organic semiconducting compounds because it can cost twenty or more converged SCF calculations to determine a single value of  $\omega$  without implementing the analytical gradient. In our previous study, we spent an average of 41,940 seconds of CPU time to optimally tune  $\omega$  for each molecule in our training set.<sup>84</sup>

Motivated by the urgent demand to efficiently determine the molecule-dependent value of  $\omega$ , as well as the rapid advancement in state-of-the-art machine learning (ML) models, we designed a new RSH functional referred to as ML- $\omega$ PBE.<sup>84</sup> Just like OT- $\omega$ PBE, we utilized the same XC formula as LC- $\omega$ PBE with an arbitrary value of  $\omega$  and optimized the value of  $\omega$  based on a *stacked ensemble machine learning* (SEML) algorithm<sup>98–103</sup> and a *composite molecular descriptor* (CMD).<sup>104–109</sup> We systematically trained and benchmarked ML- $\omega$ PBE using 3,926 closed-shell organic semiconducting molecules.<sup>84,110–115</sup> The value of  $\omega$  generated by well-trained ML- $\omega$ PBE ( $\omega_{\text{ML}}$ ) agreed perfectly with its counterpart from OT- $\omega$ PBE ( $\omega_{\text{OT}}$ ). The mean absolute error (MAE) was as small as 2.5% of the average  $\omega_{\text{OT}}$  ( $\langle\omega_{\text{OT}}\rangle$ ), but the computational cost was as low as 0.22%. We also compared ML- $\omega$ PBE-predicted optical properties with OT- $\omega$ PBE and many popular functionals,<sup>51–53,70–72,74,79,88,89,116</sup> and found that ML- $\omega$ PBE reproduced the accuracy of OT- $\omega$ PBE and outperformed everyone else. It is worth noticing that the test set in that study included some “external” molecules with *no* structural analogs present in the training set.<sup>83,117–119</sup> Successful predictions for these external molecules indicate the advantages of our SEML model and ML- $\omega$ PBE functional that were

seldom observed in other ML models and XC functionals, referred to as a substantial capacity in transferability or *domain adaptation*.

In the present study, we will assess the capacity in domain adaptation for the SEML algorithm and the ML- $\omega$ PBE functional from closed-shell organic semiconducting molecules to doublet-spin organic semiconducting radicals (Figure 1). We will achieve this goal by benchmarking its predictive power in the optimal value of  $\omega$  and vertical absorption and fluorescence energies ( $E_{\text{abs}}$  and  $E_{\text{fl}}$ ) between D<sub>0</sub> and D<sub>1</sub> states. We will show that organic semiconducting radicals can adopt the success of ML- $\omega$ PBE.

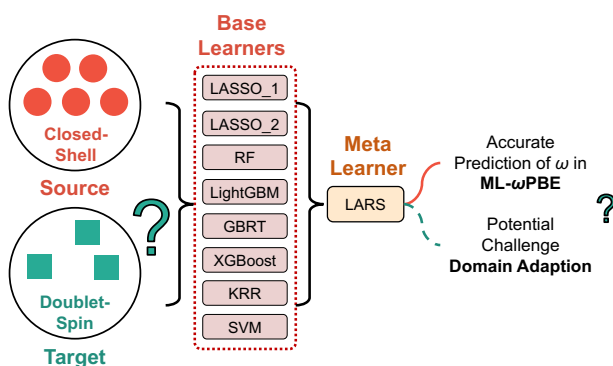


Figure 1: Architecture of the SEML model for ML- $\omega$ PBE and potential challenges in domain adaptation from closed-shell molecules to doublet-spin radicals.

## Methods

### Training and Test Sets

Our training set combined training and test sets from the initial development of ML- $\omega$ PBE,<sup>84</sup> and includes a total of 3,926 organic semiconducting molecules from six open-source and home-made datasets, including 1,941 from Harvard Clean Energy Project (CEP),<sup>110,111</sup> 904 from DeepChem,<sup>112</sup> 431 from ChemFluor,<sup>113</sup> 337 from Harvard Organic Photovoltaic 2015 (HOPV15),<sup>114</sup> 84 from uncompiled research of Aspuru-Guzik and coworkers,<sup>115</sup> and 229 from our compilation.<sup>84</sup> We also compiled a brand-new test set with 64 charge-neutral, doublet-

spin radicals. In particular, we selected 19 carbon-based radicals (C-1 through C-19),<sup>120–133</sup> 2 polyaromatic hydrocarbon-based radicals (PAH-20 and PAH-21),<sup>134,135</sup> 13 nitrogen-based radicals (N-22 through N-34),<sup>136–144</sup> 6 nitrogen-oxygen-based radicals (NO-35 through NO-40),<sup>145–150</sup> and 8 aryl oxygen-based radicals (ArO-41 through ArO-48)<sup>151–158</sup> to evaluate their  $E_{\text{abs}}$  values. We also selected 16 carbon-based radicals (C-49 through C-64)<sup>17,122,127,159–165</sup> to evaluate their  $E_{\text{fl}}$  values. We opted to use different sets of radicals for evaluating  $E_{\text{abs}}$  and  $E_{\text{fl}}$  because we wanted to reflect their most pertinent applications in electronic and biological areas as well as the availability of reliable experimental measurements. In the Supporting Information (SI), we will provide the Cartesian (XYZ) coordinates associated with optimized geometries of these radicals for  $D_0$  and  $D_1$  states, as well as their experimental measurements of  $E_{\text{abs}}$  or  $E_{\text{fl}}$  and optimal values of  $\omega_{\text{OT}}$  and  $\omega_{\text{ML}}$ . In the following sections, we will show that the absence of radical species from the training set does not undermine the predictive power of ML- $\omega$ PBE in the value of  $\omega$ , electronic structures, and optical properties.

## Composite Molecular Descriptor

To represent the structural and electronic configurations for all species in the training and test sets, we constructed a CMD following the same procedure as the previous study.<sup>84</sup> This CMD is a vector that collects information from a few computationally low-cost molecular properties, including combined molecular fingerprints (CMFs),<sup>104–106</sup> physical organic descriptors (PODs),<sup>104</sup> and semi-empirical electronic structure properties (ESPs) from tight-binding method GFN2-xTB developed by Grimme and coworkers.<sup>107–109</sup> Unlike closed-shell molecules in the training set, we specified the number of unpaired electrons as one for every doublet-spin radical in the test set. This tight-binding calculation turned out to be the rate-determining step for acquiring  $\omega_{\text{ML}}$  from well-trained ML- $\omega$ PBE.<sup>84</sup>

## Stacked Ensemble Machine Learning

Our “top-down” SEML algorithm, as described in detail in Figure S1 and Algorithm 1 in SI, implemented the stacked generalization of eight successful descriptor-based regression models (or *base learners*), including least absolute shrinkage and selection operator (LASSO\_1 and LASSO\_2, differing in molecular descriptors),<sup>166</sup> random forest (RF),<sup>167</sup> gradient boosted regression trees (GBRT),<sup>168</sup> eXtreme Gradient Boosting (XgBoost),<sup>169</sup> light gradient boosting machine (LightGBM),<sup>170</sup> kernel ridge regression (KRR),<sup>171</sup> and support vector machine (SVM).<sup>172</sup> We selected these regression models rather than more popular neural networks (NNs) because they are less expensive, less data-demanding, and interestingly, more potent for smaller datasets of larger organic semiconducting species.<sup>173–180</sup> Each base learner generated a non-linear quantitative relationship between the CMD and the optimal  $\omega_{\text{ML}}$ . We also used the least angle regression (LARS)<sup>181</sup> method as the *meta learner* to collect and analyze the above-mentioned quantitative relationships from all base learners and provide the final prediction of  $\omega_{\text{ML}}$ . Earlier studies demonstrated that stacked generalization is more powerful and accurate than every single base learner alone.<sup>84,98–103</sup> The source code and database associated with the present study have been uploaded to the GitHub repository of the Lin Group.<sup>182</sup>

## Computational Details

All semi-empirical tight-binding calculations as part of CMDs were performed using GFN2-xTB developed by Grimmes and coworkers and the STO-3G minimal basis set in the xTB package,<sup>107–109</sup> with radical structures generated using RDKit<sup>183</sup> based on their simplified molecular-input line-entry systems (SMILES). All first-principles electronic structure calculations, including those using DFT, TDDFT, and complete active space self-consistent field (CASSCF), were performed using the developmental version of the Q-Chem 6.1 package.<sup>184</sup> Based on RDKit-generated radical structures as initial guesses,  $D_0$  structures were optimized using ground state DFT<sup>85,185</sup> at the level of PBE0<sup>70,71</sup>/6-311+G(d), and  $D_1$  structures were



optimized using TDDFT<sup>186–188</sup> at the level of CAM-B3LYP<sup>116</sup>/6-311+G(d). PBE0 and CAM-B3LYP were selected for geometry optimizations of D<sub>0</sub> and D<sub>1</sub>, respectively, because of their proven superior performance to other functionals in earlier benchmark studies for  $E_{\text{abs}}$  and  $E_{\text{fl}}$ , respectively.<sup>189–191</sup> Values of  $\omega_{\text{OT}}$  were optimized using the golden section search algorithm,<sup>192</sup> and those of  $\omega_{\text{ML}}$  were generated directly using the SEML model.

Using ML- $\omega$ PBE and correct atomistic structures, optical properties  $E_{\text{abs}}$  and  $E_{\text{fl}}$  were calculated based on equations

$$E_{\text{abs}} = E(\text{D}_1|\text{D}_0) - E(\text{D}_0|\text{D}_0) \quad (3)$$

$$E_{\text{fl}} = E(\text{D}_1|\text{D}_1) - E(\text{D}_0|\text{D}_1) \quad (4)$$

These energies were compared with those generated by OT- $\omega$ PBE as well as eight conventional functionals in the assessment, including LC- $\omega$ PBE ( $\omega = 0.200$  and  $0.300 a_0^{-1}$ ),<sup>79,80</sup> CAM-B3LYP ( $\omega = 0.330 a_0^{-1}$ ),<sup>116</sup>  $\omega$ B97X-D3 ( $\omega = 0.250 a_0^{-1}$ ),<sup>74</sup> M06-2X,<sup>72</sup> B3LYP,<sup>51–53</sup> PBE0,<sup>70,71</sup> and PBE.<sup>88,89</sup> Across all other analyses of electronic structures, 6-311+G(d) and the original version of TDDFT were used by default for all DFT-based calculations unless otherwise stated, while 6-311G(d) was employed for CASSCF calculations to reduce the memory usage. Natural orbitals (NOs) were generated from CASSCF calculations as benchmarks for DFT-calculated frontier MOs. They were obtained as eigenvectors of the CASSCF-generated one-particle density matrix ( $\mathbf{P}$ ) and designated based on ranked occupation numbers (eigenvalues) of  $\mathbf{P}$ .

The switching/Gaussian implementation<sup>193,194</sup> of state-specific,<sup>195–197</sup> conductor-like polarizable continuum model (C-PCM)<sup>198–200</sup> was used to model the solvent effect in all DFT-based calculations. For each radical in question, the ground state version of C-PCM was employed for the geometry optimization of D<sub>0</sub> and the single-point calculation of  $E_{\text{abs}}$  with the slow-fast charge separation performed at the solvent-equilibrium state of D<sub>0</sub> (Marcus partition). In particular, the non-equilibrium perturbative scheme was adopted for  $E_{\text{abs}}$ . On the

other hand, the equilibrium excited state version of C-PCM was utilized for the geometry optimization of  $D_1$  and the single-point calculation of  $E_{\text{fl}}$  with the slow-fast charge separation performed at the solvent-equilibrium state of  $D_1$ .<sup>196,197,201,202</sup> Solvents reported by corresponding experimental measurements from which our test set was compiled<sup>17,120–143,145–164</sup> were used in these calculations and their static and optical dielectric constants ( $\epsilon_{\text{r}}$  and  $\epsilon_{\infty}$ ) were obtained from the CRC Handbook<sup>203</sup> and are provided in SI.

## Results

### Domain Adaptation

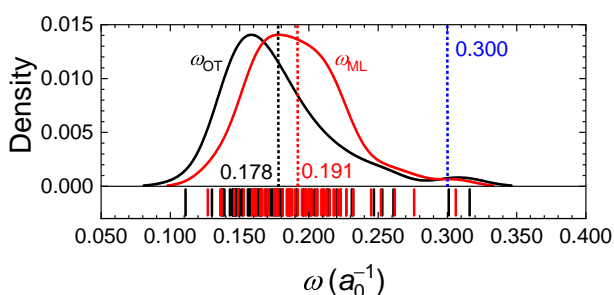


Figure 2: Distributions of  $\omega_{\text{ML}}$  and  $\omega_{\text{OT}}$  ( $a_0^{-1}$ ) for all doublet-spin radicals from the test set, with average values  $\langle\omega_{\text{OT}}\rangle = 0.178 a_0^{-1}$  and  $\langle\omega_{\text{ML}}\rangle = 0.191 a_0^{-1}$  labeled, as well as  $\omega = 0.300 a_0^{-1}$  used in LC- $\omega$ PBE.

In the present section, we will evaluate the performance of ML- $\omega$ PBE from a few different aspects but will prioritize its potential in domain adaptation. We will confirm a high capacity of ML- $\omega$ PBE on this aspect by showing that the non-linear quantitative relationship between the CMD and  $\omega_{\text{ML}}$  can be extrapolated from the domain of closed-shell organic semiconducting molecules to that of doublet-spin organic semiconducting radicals.

Figure 2 shows broad distributions of  $\omega_{\text{OT}}$  and  $\omega_{\text{ML}}$  between 0.120 and 0.320  $a_0^{-1}$ , as generated for the entire test set of radicals. These lineshapes are similar to the training set,<sup>84</sup> and indicate the necessity to implement a molecule-dependent value of  $\omega$  for any organic semiconducting radical rather than selecting a universal value if reliable electronic structures

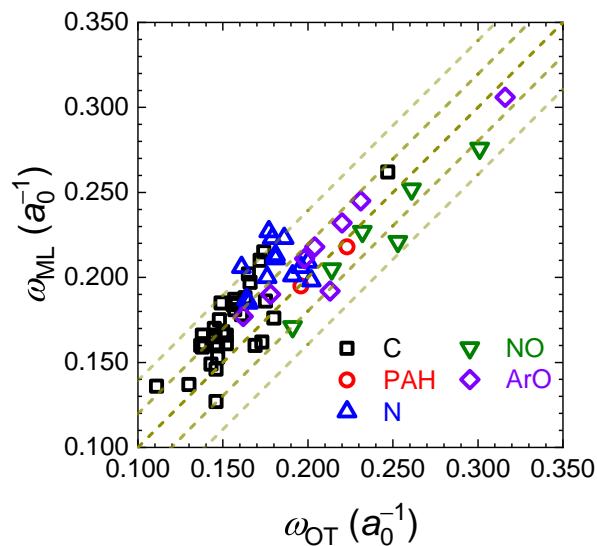


Figure 3: Comparison between  $\omega_{\text{ML}}$  and  $\omega_{\text{OT}}$  for all radicals from the test set, with signed errors (SEs)  $\Delta\omega = \omega_{\text{ML}} - \omega_{\text{OT}} = 0, \pm \langle |\Delta\omega| \rangle, \pm 2 \langle |\Delta\omega| \rangle$  labeled.

are needed. For example, the typical choice of  $\omega = 0.300 a_0^{-1}$  from LC- $\omega$ PBE<sup>79</sup> is doomed to failure to describe our radicals because the majority of  $\omega_{\text{ML}}$  and  $\omega_{\text{OT}}$  values are far lower than  $0.300 a_0^{-1}$ . In addition, average values of  $\langle \omega_{\text{OT}} \rangle = 0.178 a_0^{-1}$  and  $\langle \omega_{\text{ML}} \rangle = 0.191 a_0^{-1}$  are even smaller than the training set ( $\langle \omega_{\text{OT}} \rangle = 0.206 a_0^{-1}$ ), suggesting that doublet-spin radicals exhibit more diffusion and delocalized electronic structures in general.

Figure 3 compares  $\omega_{\text{ML}}$  to  $\omega_{\text{OT}}$  for all radicals from the test set and illustrates an excellent agreement. If we define the error of  $\omega_{\text{ML}}$  to be the difference  $\Delta\omega = \omega_{\text{ML}} - \omega_{\text{OT}}$ , the comparison shows a small mean signed error (MSE) of  $\langle \Delta\omega \rangle = 0.0139 a_0^{-1}$  and a small MAE of  $\langle |\Delta\omega| \rangle = 0.0197 a_0^{-1}$ , as well as a narrow distribution of  $\Delta\omega$ . We found  $|\Delta\omega| < \langle |\Delta\omega| \rangle$  for 33 out of the 64 radicals and  $\langle |\Delta\omega| \rangle < |\Delta\omega| < 2 \langle |\Delta\omega| \rangle$  for other 27. Compared to the previous study on closed-shell molecules,<sup>84</sup> the MAE associated with doublet-spin radicals is more than three times as large. However, we can still claim the successful domain adaptation of ML- $\omega$ PBE because (1) this MAE value is only 11.1% of  $\langle \omega_{\text{OT}} \rangle$  and 10.3% of  $\langle \omega_{\text{ML}} \rangle$  and turns out not to significantly affect the predictive power of ML- $\omega$ PBE in further analyses of electronic structures and optical properties, and (2) there are only molecules but *no* radicals in the current training set. Regarding the computational complexity, ML- $\omega$ PBE spent an average

of 221 seconds to generate  $\omega_{\text{ML}}$  for each radical, while OT- $\omega$ PBE consumed an average of 63,442 seconds to evaluate  $\omega_{\text{OT}}$ , arriving at a substantial save of 99.7%. This result proves that ML- $\omega$ PBE is as successful for radicals as for molecules, with a similar capacity to generate  $\omega$  to OT- $\omega$ PBE but a considerably higher efficiency.

## Chemical Space

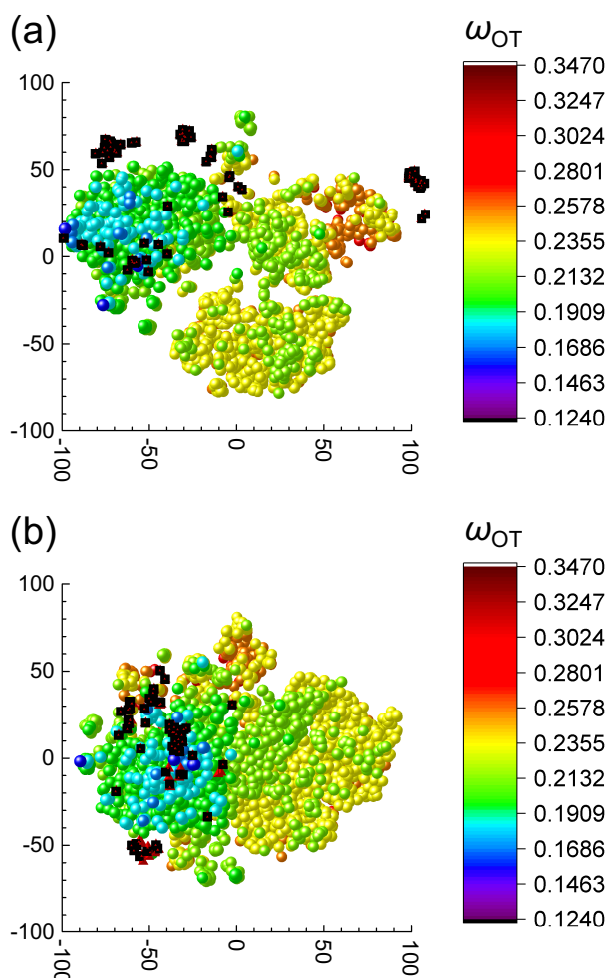


Figure 4: t-SNE results on closed-shell molecules (colorful spheres), as well as doublet-spin radicals (black cubes) along with their hydrogenated counterparts (red tetrahedrons), are described using (a) the composite ECFP4 (Morgan)<sup>105,204</sup> and PaDEL<sup>205</sup> fingerprint and (b) the simple ECFP4 fingerprint. The color bar represents the scale of  $\omega_{\text{OT}}$ .

To explore the origin behind the successful domain adaptation of ML- $\omega$ PBE, we will visualize the high-dimensional CMD and analyze the chemical space occupied by training and

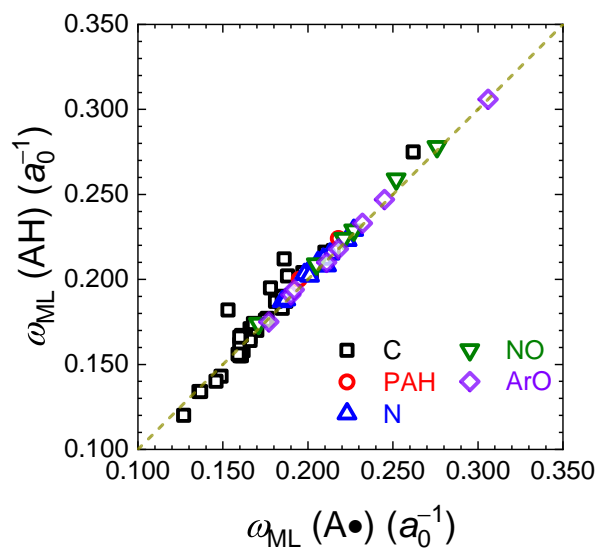


Figure 5: Comparison in  $\omega_{\text{ML}}$  between all radicals from the test set and their hydrogenated counterparts.

test sets by illustrating the t-distributed stochastic neighbor embedding (t-SNE)<sup>206</sup> diagram with an embedded space of two (Figure 4). To extract important molecular representation features and validate the advantage of a CMD, we compared the performance of a simplified CMD constructed using ECFP4 (Morgan)<sup>105,204</sup> and PaDEL<sup>205</sup> fingerprints (Figure 4(a)), and the simple ECFP4 fingerprint (Figure 4(b)). Our t-SNE results demonstrate obviously that selected features of radicals in the test set are highly diversified as long as the chemical space is described using an appropriate CMD, and their ranges significantly overlap with molecules from the training set. This observation partially deciphers the cause of a successful domain adaptation. Also, compared to the simple ECFP4 fingerprint, the t-SNE diagram given by the simplified CMD from ECFP4 and PaDEL fingerprints shows a more substantial although not perfect natural clustering, validating a stronger capacity of CMD in differentiating species and indicating room for improvement in conventional molecular representations.<sup>207–211</sup>

As a further validation of the overlap in the chemical space between training and test sets, Figure 5 compares the value of  $\omega_{\text{ML}}$  between every radical in the test set ( $A\bullet$ ) and its closed-shell hydrogenated counterpart (with an additional hydrogen atom attached to the

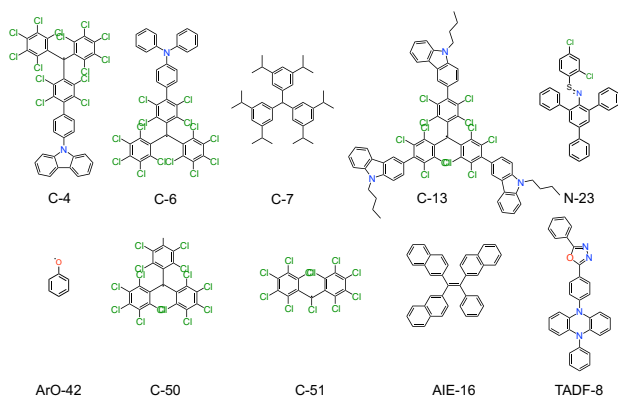


Figure 6: Structures of representative molecules from our training set and radicals from our test set.

radical site (AH) so that SOMO becomes doubly occupied). If we define their difference to be  $\Delta\omega'(A) = \omega_{\text{ML}}(\text{AH}) - \omega_{\text{ML}}(\text{A}\cdot)$ , this comparison shows that they are very close to each other with a tiny MAE of  $\langle\Delta\omega'\rangle = 0.00434 a_0^{-1}$ .

Figures S2 and S3 compare frontier  $\alpha$  molecular orbitals (MOs) obtained from CASSCF and ML- $\omega$ PBE for two representative radicals from the test set, N-23 and C-50, as well as their hydrogenated counterparts, N-23-H and C-50-H. These two radicals show different results, allowing us to interpret our observation from Figure 5. Like most radicals, the remarkable similarity in MO configurations between N-23 and N-23-H (Figure S2) agrees with the small  $\Delta\omega' = +0.002 a_0^{-1}$  and proves that molecular features extracted by our CMD and SEML model are so robust that similar electronic structures lead to similar predictions of  $\omega_{\text{ML}}$ . For a small portion of the test set, like C-50 ( $\Delta\omega' = +0.029 a_0^{-1}$ , Figure S3), the large deviation is caused by a significant change in electronic structures after the additional hydrogen atom is introduced, usually a broken  $\pi$ -conjugation and an enhanced localization of the original SOMO. The same character shift was also reflected in CMD, especially in the tight-binding calculation.

## Impact of Range Separation Parameter

Before we systematically discuss the accuracy of ML- $\omega$ PBE on radical electronic structures, we will take a short detour and examine the sensitivity of electronic structures to the choice of  $\omega$  using the formula of LC- $\omega$ PBE, as motivated by the insufficient benchmark of RSH functionals on open-shell systems. To facilitate this discussion we selected two representative molecules from the training set, including AIE-16 with a locally excited (LE) singlet first excited state ( $S_1$ )<sup>84,117</sup> and TADF-8 with a charge transfer (CT)  $S_1$  state,<sup>84,119</sup> as well as three representative radicals from the test set, including C-6 with a primarily CT  $D_1$  state, C-7 with a primarily LE  $D_1$  state, and N-23 with a partial CT  $D_1$  character (Figure 6).

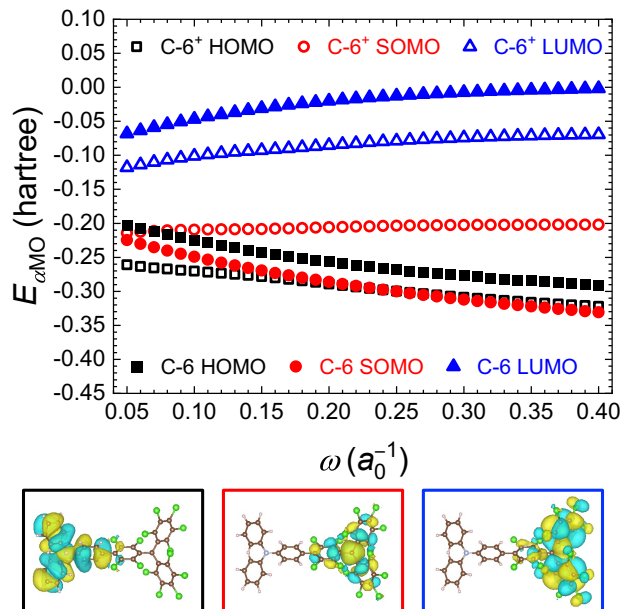


Figure 7: DFT-evaluated energies (hartree) of frontier  $\alpha$  HOMO, SOMO, and LUMO for C-6 and C-6<sup>+</sup> as functions of  $\omega$  ( $a_0^{-1}$ ) at the LC- $\omega$ PBE/6-311+G(d) level.

Figures 7 and S4 illustrate configurations of frontier MOs for  $\alpha$  electrons associated with C-6, C-7, and N-23. They were all evaluated using LC- $\omega$ PBE with various values of  $\omega$  between 0.050 and 0.400  $a_0^{-1}$ . To understand and demonstrate the change in electronic structures before and after introducing the unpaired electron to the SOMO, we also calculated orbital configurations of their corresponding closed-shell cations, namely C-6<sup>+</sup>, C-7<sup>+</sup>, and N-23<sup>+</sup>. Figures S5 through S11 provide  $\omega$ -dependent leading natural transition orbital

(NTO) pairs associated with their  $D_0 \rightarrow D_1$  transitions. In addition to an expected energy decrease from an unoccupied SOMO to its occupied counterpart, C-6 also exhibits a swapped energy order between HOMO and SOMO, or, in other words, a *non-Aufbau* configuration, after involving the unpaired electron. N-23 presents a mixing between nearly degenerated HOMO-1 and HOMO. For both radicals, the  $\beta$  HOMO  $\rightarrow$  SOMO transition dominates the  $D_0 \rightarrow D_1$  transition because the corresponding energy gap is smaller than the  $\alpha$  SOMO  $\rightarrow$  LUMO transition. Limited spatial overlaps within leading NTO pairs validate their CT and partial-CT characters. C-7, on the other hand, maintains its Aufbau configuration, but its  $D_0 \rightarrow D_1$  transition gives a mixture of  $\alpha$  SOMO  $\rightarrow$  LUMO and  $\beta$  HOMO  $\rightarrow$  SOMO because of similar energy gaps. Significant spatial overlap within each of the NTO pairs confirms its LE character. These results endorse the possibility of vital change in an orbital configuration when its occupation number varies.

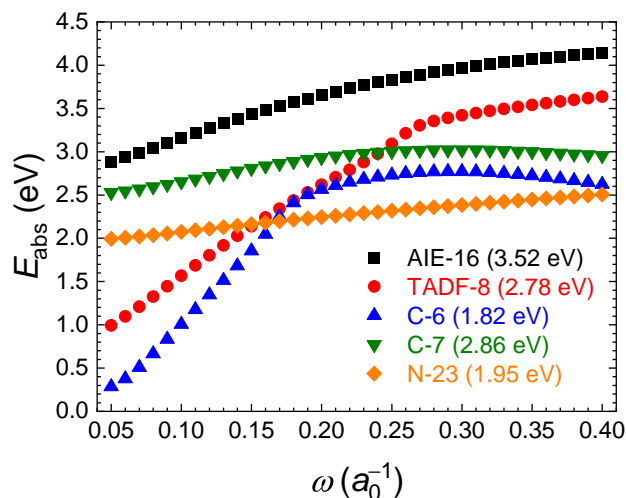


Figure 8: TDDFT-evaluated values of  $E_{\text{abs}}$  (eV) as functions of  $\omega$  ( $a_0^{-1}$ ) at the LC- $\omega$ PBE/6-311G+(d) level with experimental values in parentheses.

Figure 8 exhibits TDDFT-evaluated values of  $E_{\text{abs}}$  as functions of  $\omega$  for AIE-16, TADF-8, C-6, C-7, and N-23, and presents bimodal trends. For AIE-16, TADF-8, and N-23,  $E_{\text{abs}}$  monotonically increases with  $\omega$  as expected, because the raised effective fraction of HF exchange over-localizes electrons and over-estimates  $E_{\text{abs}}$ .<sup>212</sup> Their leading NTO pairs (Figures S5, S6, and S11) remain similar across the broad range of  $\omega$ , except that the amplitude of CT-



transition monotonically decreases with  $\omega$ , and small contributions (probability  $< 0.05$ ) from other transitions may appear. On the contrary, C-6 and C-7 demonstrate non-monotonic trends in  $E_{\text{abs}}$ . Their values of  $E_{\text{abs}}$  increase first with  $\omega$ , peak at  $\omega = 0.310$  and  $0.290 a_0^{-1}$ , respectively, and decrease afterward. In addition to the ever-increasing localization of MOs, their NTO pairs (Figures S7 through S10) also shift to more mixed characters, starting between  $0.200$  and  $0.300 a_0^{-1}$ .

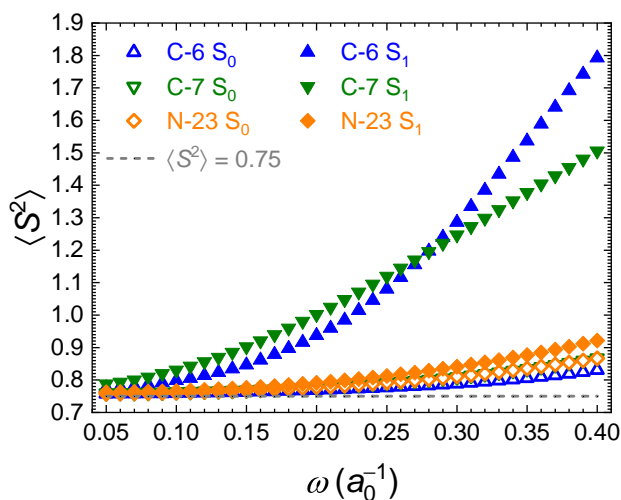


Figure 9: DFT- and TDDFT-evaluated values of  $\langle S^2 \rangle$  associated with  $D_0$  and  $D_1$  as functions of  $\omega (a_0^{-1})$  at the LC- $\omega$ PBE/6-311+G(d) level, with  $\langle S^2 \rangle = 0.75$  labeled for a pure doublet state.

Figure 9 presents total spin configurations ( $\langle S^2 \rangle$ ) associated with  $D_0$  and  $D_1$  states for C-6, C-7, and N-23, and further rationalizes the mixing of NTOs. Although all radicals in question present a universally increasing spin symmetry breakdown with an increasing fraction of HF exchange, N-23 experiences no obvious shift from an expected pure doublet ( $\langle S^2 \rangle = 0.75$ ) in both  $D_0$  and  $D_1$ , while  $D_1$  states of C-6 and C-7 experience more substantial mixing from quartets ( $\langle S^2 \rangle = 3.75$ ) or even higher spin states compared to  $D_0$ . This notable breakdown of excited state spin symmetry in C-6 and C-7 agrees with the ever-increasing mixing character of NTO pairs and explains bimodal configurations for  $E_{\text{abs}}$ . The situation is exceptionally serious for C-6 because its NTO pairs are more delocalized and CT-like.

All discussions herein and later reveal an important reason for optimizing  $\omega$  for organic

semiconducting radicals: intrinsic difficulty and instability embedded in RSH functionals when applied to open-shell systems, making the delicate balance between over-delocalizing PBE and over-localizing HF a key to success. In particular, excited-state electronic structures of doublet-spin radicals are susceptible to the choice of  $\omega$ , especially when they exhibit more delocalized or CT characters.

## Optical Band Gaps

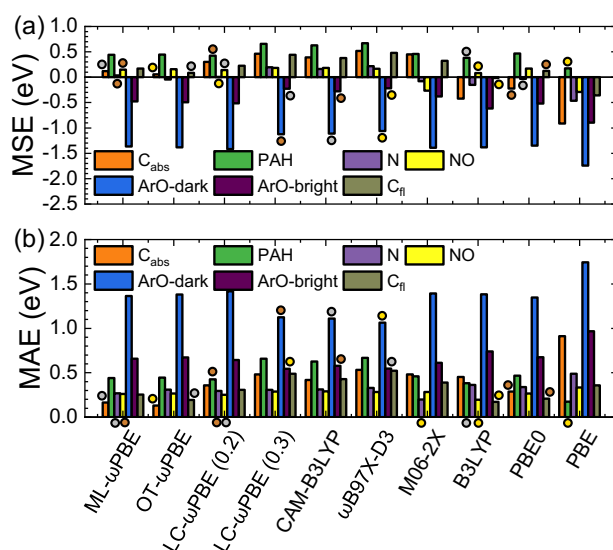


Figure 10: TDDFT-evaluated values of (a) MSEs (eV) and (b) MAEs (eV) of  $E_{\text{abs}}$  and  $E_{\text{fl}}$  at the ML- $\omega$ PBE/6-311+G(d) level for different categories of doublet-spin radicals from the test set, in comparison with nine conventional XC functionals. Functionals demonstrating the best performance and the second and third positions are labeled using gold, silver, and bronze circles.

We will benchmark ML- $\omega$ PBE by examining its predictive power of  $E_{\text{abs}}$  and  $E_{\text{fl}}$  for relevant doublet-spin radicals in the test set in the framework of TDDFT. We calibrated the precision and accuracy of ML- $\omega$ PBE-generated  $E_{\text{abs}}$  and  $E_{\text{fl}}$  in terms of their MSEs and MAEs concerning experimental measurements, and compared these results with OT- $\omega$ PBE<sup>83,84</sup> and eight other popular XC functionals as described in Computational Details.<sup>51–53,70–72,74,79,80,88,89,116</sup> We will provide statistics of MSEs and MAEs evaluated by TDDFT/6-311+G(d) in Figures 10 and 11 and Tables S1, S2, S9, and S10 in SI, and their counterparts from TDDFT/TDA/6-

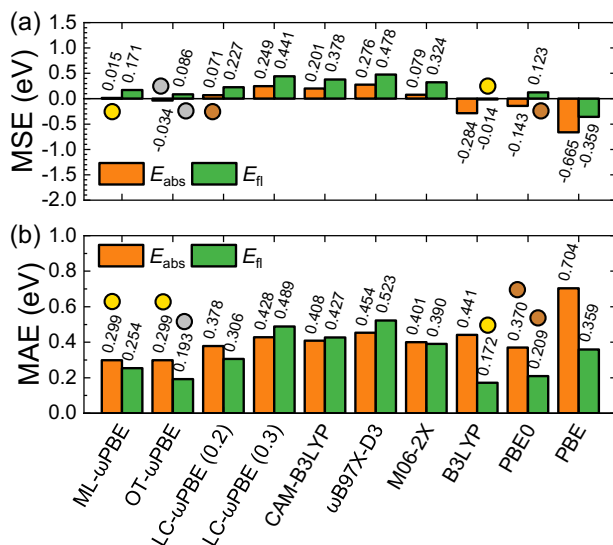


Figure 11: TDDFT-evaluated values of (a) MSEs (eV) and (b) MAEs (eV) of  $E_{\text{abs}}$  and  $E_{\text{fl}}$  at the ML- $\omega$ PBE/6-311+G(d) level for all relevant doublet-spin radicals from the test set, in comparison with nine conventional XC functionals. Functionals that demonstrate the best performance and the second and third positions are labeled using gold, silver, and bronze circles.

311+G(d), TDDFT/6-311G(d), and TDDFT/TDA/6-311G(d) in Table S3 through S10. From these results, we will draw a few conclusions about the outstanding performance of ML- $\omega$ PBE.

To begin with, we will re-validate the above-mentioned high sensitivity of the accuracy of  $E_{\text{abs}}$  and  $E_{\text{fl}}$  to the choice of  $\omega$ , especially for radicals with CT  $D_1$  states like C-6. As expected earlier, the standard LC- $\omega$ PBE functional with  $\omega = 0.300 a_0^{-1}$  shows a poor performance for almost all categories of radicals regardless of the choice of the TDDFT variant and the basis set, because  $0.300 a_0^{-1}$  is significantly larger than  $\omega_{\text{ML}}$  for all radicals except for the smallest ArO-42 (Figure 6). On the other hand, Reducing  $\omega$  to  $0.200 a_0^{-1}$ , a value closer to  $\langle\omega_{\text{OT}}\rangle = 0.178 a_0^{-1}$  and  $\langle\omega_{\text{ML}}\rangle = 0.191 a_0^{-1}$ , allows LC- $\omega$ PBE to improve its performance, but it does not reach consistently comparable MAEs and MSEs with ML- $\omega$ PBE and OT- $\omega$ PBE because a fixed value of  $\omega$  does not describe electronic structures for all radicals equally well. This situation becomes serious for any large  $\pi$ -conjugated C-based radical with a low value of  $\omega$ , such as C-6 ( $\omega_{\text{ML}} = 0.160 a_0^{-1}$ ) for which LC- $\omega$ PBE calculated values of  $E_{\text{abs}}$

give errors of +0.741 and +0.946 eV from  $\omega = 0.200 a_0^{-1}$  and  $0.300 a_0^{-1}$ , respectively. This result re-validates the necessity to apply a molecule-dependent  $\omega$  to organic semiconducting radicals.

Next, we will show that well-trained ML- $\omega$ PBE outperforms the majority of conventional functionals and accurately reproduces experimental optical band gaps. Within the  $E_{\text{abs}}$  test subset, ML- $\omega$ PBE achieves the second position for C-based radicals and the third for N- and NO-based radicals (Figure 10). It also illustrates the overall top performance among all functionals with the smallest total MSE of +0.015 eV and the smallest total MAE of 0.299 eV (Figure 11). This result is only marginally different from OT- $\omega$ PBE (-0.034 and 0.299 eV). Other popular functionals like B3LYP or  $\omega$ B97X-D3 occasionally perform better for some categories of radicals, but they never show a universal balance in accuracy (MAE) and precision (MSE). We attribute the success of ML- $\omega$ PBE to the excellent agreement between  $\omega_{\text{ML}}$  and  $\omega_{\text{OT}}$  (Figure 3), as well as the delicate balance between PBE and HF and between LE and CT. Further, this result re-affirms the robustness of ML- $\omega$ PBE among distinct domains of chemical species. In particular, our CMD can precisely represent features of these radicals, and our SEMML algorithm can reliably construct a quantitative relationship between the CMD and  $\omega_{\text{ML}}$ .

The behavior of ML- $\omega$ PBE on the  $E_{\text{fl}}$  test subset with all C-based radicals is also fairly good. Its performance does not enter the top three positions, but presents a reasonable agreement to OT- $\omega$ PBE and outperforms all RSH functionals (LC- $\omega$ PBE with  $\omega = 0.200$  and  $0.300 a_0^{-1}$ , CAM-B3LYP, and  $\omega$ B97X-D3) due to a greater choice of  $\omega$ . Among non-RSH functionals in comparison, two GH functionals B3LYP and PBE0, with contributions from both HF and (semi-)local exchanges, universally present smaller MSEs and MAEs for  $E_{\text{fl}}$ . This behavior is probably due to the accidental error cancellation between  $D_0$  and  $D_1$  or between HF and the (semi-)local exchange in use, as well as the usage of  $\omega_{\text{ML}}$  that was determined from ground state properties to an excited state geometry.

To visualize and strengthen our analyses about the predictive power of ML- $\omega$ PBE in

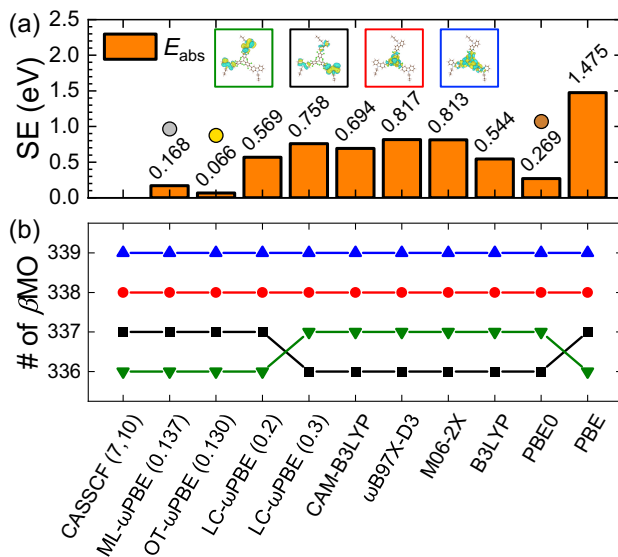


Figure 12: (a) SEs (eV) of TDDFT-evaluated  $E_{\text{abs}}$  for C-13 from various XC functionals at the 6-311+G(d) level. (b) Ordering of DFT-evaluated frontier  $\beta$  MOs of C-13 that bear leading characters of HOMO-1 (green), HOMO (black), SOMO (red), and LUMO (blue) from the benchmark CASSCF (7,10) calculation. Functionals that demonstrate the best performance and the second and third positions are labeled using gold, silver, and bronze circles.

radical electronic structures, we will assess the quality of frontier MOs using C-4 and C-13 as examples. Figure 12(a) gives signed errors (SEs) of  $E_{\text{abs}}$  for C-13 obtained from ML- $\omega$ PBE ( $\omega = 0.137 a_0^{-1}$ ), OT- $\omega$ PBE ( $\omega = 0.130 a_0^{-1}$ ), and all other functionals in question, and shows that errors of ML- $\omega$ PBE and OT- $\omega$ PBE are significantly smaller than other functionals. It also presents frontier  $\beta$  NOs for C-13 generated by the benchmark CASSCF (7,10) calculation and designated as HOMO-1, HOMO, SOMO, and LUMO based on their occupation numbers 1.9955, 1.9954, and 1.0000, and 0.0047. We found that HOMO-1 and HOMO are linear superpositions from the same set of three  $\pi$  orbitals but exhibit opposite symmetries. From each independent DFT calculation, we identified frontier  $\beta$  MOs that are involved in the  $D_0 \rightarrow D_1$  transition and bear the most similar characters to NOs predicted by CASSCF (7,10), and ordered them based on energies (Figure 12(b)). We found that ML- $\omega$ PBE, OT- $\omega$ PBE, LC- $\omega$ PBE ( $\omega = 0.200 a_0^{-1}$ ), and PBE reproduce the order of NOs predicted by CASSCF (7,10) so that their  $D_0 \rightarrow D_1$  transitions exhibit the same character. At the same time all other functionals swap HOMO-1 and HOMO and present a different

character for the same transition. In addition, considering re-ordered frontier MOs reported by PBE0 (Figure 12(b)), we concluded that an excellent energy agreement with experiments does not necessarily equal a great description of electronic structures. This result justifies the importance of obtaining correct key electronic structures in predicting optical properties, and can be re-affirmed by the character of frontier  $\alpha$  MOs from C-4 (Figure S12).

## Aryl Oxygen Radicals

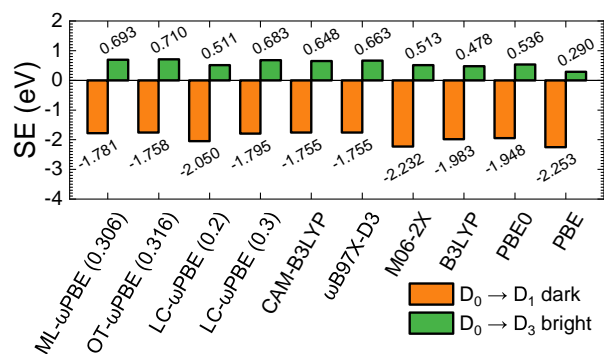


Figure 13: TDDFT-evaluated values of SEs (eV) of  $E_{\text{abs}}$  of ArO-42 for both the fundamental  $D_0 \rightarrow D_1$  transition and lowest-lying bright  $D_0 \rightarrow D_3$  transitions, at the ML- $\omega$ PBE/6-311+G(d) level and in comparison with nine conventional XC functionals.

We observed that DFT underestimates the value of  $E_{\text{abs}}$  by more than 1.07 eV on average for ArO-based radicals from the test set regardless of the choice of the functional, and ML- $\omega$ PBE and OT- $\omega$ PBE do not demonstrate any improvement. We will show that these vast errors originate from the existence of optically inaccessible dark  $D_1$  states. A careful examination of all frontier MOs and dimensionless oscillator strengths

$$f_{if} = \frac{2m_e}{3\hbar^2} (E_f - E_i) |\langle \psi_f | \vec{R} | \psi_i \rangle|^2 \quad (5)$$

for ArO-based radicals revealed that the lowest-lying  $D_1$  state is typically dark due to a strong CT character and a higher symmetry. Instead, the lowest optically “bright” state with  $f \geq 0.01$  appears to be  $D_2$  for ArO-43, ArO-44, ArO-45, and ArO-46 and  $D_3$  for ArO-41, ArO-42, and ArO-47. This observation agrees with earlier theoretical and experimental

studies of the phenoxy (ArO-42) radical.<sup>213-215</sup>

We re-analyzed the statistics of  $E_{\text{abs}}$  from these bright  $D_0 \rightarrow D_n$  transitions, labeled them as ArO-bright in Figure 10 and Tables S1 through S8, and compared them to  $D_0 \rightarrow D_1$  transitions labeled as ArO-dark. Figure 13 showcases a straightforward comparison between these two types of transitions for ArO-42. TDDFT-evaluated values of  $E_{\text{abs}}$  for these lowest bright transitions illustrate considerable enhancement in precision and accuracy compared with experimental measurement. For example, at the ML- $\omega$ PBE/TDDFT/6-311+G(d) level, MAE and MSE for ArO-based radicals are reduced from  $-1.378$  and  $1.378$  eV to  $-0.458$  and  $0.651$  eV, respectively. Therefore, we used ArO-bright results in overall MSEs and MAEs reported in Figure 11 and Tables S1 through S8 in place of ArO-dark.

## Conclusion

In the present study, we performed a follow-up assessment of the capacity of ML- $\omega$ PBE<sup>84</sup> that was self-developed based on the top-down SEML strategy,<sup>98-103</sup> and expanded its application domain from closed-shell organic semiconducting molecules<sup>110-115</sup> to doublet-spin organic semiconducting radicals<sup>17,120-165</sup> in the framework of single-reference DFT and TDDFT. Even with only closed-shell molecules in the training set, ML- $\omega$ PBE reproduces molecule-dependent values of  $\omega$  generated by OT- $\omega$ PBE with a MAE of  $0.0197 a_0^{-1}$  over all doublet-spin radicals in the test set, but reduces the average computational cost by 2.46 orders of magnitude.

Due to capturing accurate electronic structures, ML- $\omega$ PBE demonstrates the top predictive power in experimentally observable  $E_{\text{abs}}$  for all radicals in the test set compared with popular XC functionals. It also stands among those with the best behavior for  $E_{\text{fl}}$  without prominent error cancellations. Its overall performance is only marginally different from OT- $\omega$ PBE, where it was trained from.<sup>51-53,70-72,74,79,88,89,116</sup> For an ArO-based radical, we found that the disagreement between the ML- $\omega$ PBE-predicted  $E_{\text{abs}}$  and the experimental

optical gap should be attributed to its dark  $D_1$  state, and we obtained a substantially improved alignment from ML- $\omega$ PBE when its lowest-lying bright  $D_n$  state rather than  $D_0$  was collected for  $E_{\text{abs}}$ .

In summary, through our study, we strengthened the practical value of ML- $\omega$ PBE in deciphering and predicting optical properties for luminescent organic semiconducting radicals and endorsed its potential in application in large-scale computationally aided materials discovery for various emergent areas.

## Acknowledgement

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## Supporting Information Available

The Supporting Information is available free of charge at XXXXXXXXXX.

- Brief revisit of the SEML model; error statistics of ML- $\omega$ PBE and other XC functionals in optical properties; and configurations of frontier MOs and NTOs (PDF).
- Optimized  $D_0$  geometries for 48 radicals in the test subset of  $E_{\text{abs}}$ ; and optimized  $D_1$  geometries for 16 radicals in the test subset of  $E_{\text{fl}}$  (ZIP).
- SMILES strings, experimental measurements and TDDFT calculations for  $E_{\text{abs}}$  and  $E_{\text{fl}}$ , values of  $\omega_{\text{OT}}$  and  $\omega_{\text{ML}}$ , and static and optical dielectric constants for all 64 radicals in



the external test set (XLSX).

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# TOC Graphic

