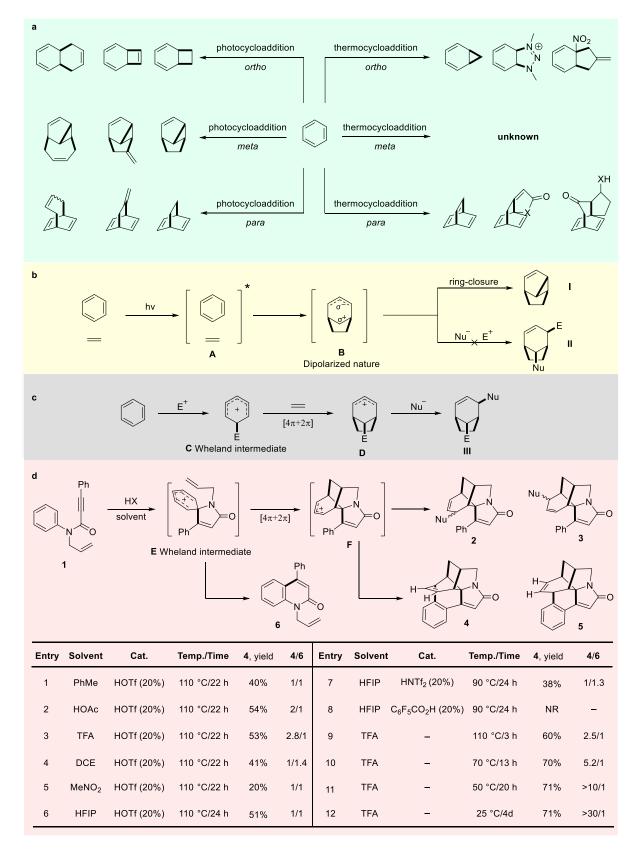
1	Complexity from simplicity: intramolecular meta-thermocycloadditions of
2	benzene rings via Wheland intermediates
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10	
11	Abstract
12	Dearomative cycloadditions are powerful synthetic transformations utilizing aromatic
13	compounds for cycloaddition reactions <sup>1-4</sup> . Diverse complex three-dimensional architectures
14	could be built upon the flat land of aromatic compounds. In addition, such transformations are
15	atom- and step-economical and, thus, have been widely applied to the preparations of complex
16	biologically relevant compounds <sup>5-7</sup> . For the ubiquitous and most studied yet challenging
17	benzene ring systems <sup>8</sup> , ortho- and para-cycloadditions are feasible both photochemically and
18	thermally, while the meta-cycloadditions are still limited to the photochemical processes from
19	the 1960s <sup>9,10</sup> . Herein, we have realized the <i>meta</i> -thermocycloadditions of benzene rings in an
20	intramolecular fashion. The reaction was proposed to proceed via $[4\pi+2\pi]$ cycloadditions of
21	Wheland intermediates from the electrophilic dearomatization of benzene rings. A broad
22	spectrum of readily available C(sp <sup>2</sup> )-rich aniline-tethered enynes were transformed into
23	C(sp <sup>3</sup> )-rich 3D complex polycyclic architectures simply by stirring in TFA. Moreover, the



1 reaction could be performed in gram-scales and the products could be diversely elaborated.

3 Fig. 1 | Research background and the discovery of meta-thermocycloadditions of

2

**benzene rings. a**, Dearomative cycloadditions of benzene rings. **b**, Mechanism of the *meta*-photocycloadditions of benzene rings. **c**, General scheme of the proposed thermal counterpart to the *meta*-photocycloadditions of benzene rings. **d**, Proof of concept and reaction discovery and optimizations. The yields of **4** and the ratios of **4**/6 were determined by crude <sup>1</sup>H NMR using dibutyl phthalate as internal standard.

Dearomative cycloadditions are a class of transformations that convert  $C(sp^2)$ -rich planar 6 7 aromatics into  $C(sp^3)$ -rich 3D complex polycyclic structures<sup>1-4</sup>. They have been extensively applied to the synthesis of biologically relevant compounds not only because of the 8 complexity generated from simplicity but also the atom- and step-economy<sup>5-7</sup>. Moreover, the 9 10 aromatics are readily available and easily editable and are much more stable than the regular cycloaddition partners, such as alkenes and dienes, towards acidic, basic, and redox 11 12 conditions. Therefore, the aromatics could be treated as "sleeping" cycloaddition partners to carry through multi-step synthesis for late-stage cycloadditions. However, the dearomative 13 cycloadditions are considerably challenging due to the disruption of aromaticity, especially 14 for the benzene ring systems<sup>8</sup>. Indeed, the pioneering discoveries required highly energetic 15 ultraviolet light irradiation<sup>9,10</sup>. Nevertheless, ever since the pioneering discoveries in 1960s, 16 quite a few new systems have been developed utilizing aromatics for cycloadditions<sup>11-33</sup>. In 17 particular, significant advancement has been achieved recently using visible light catalysis<sup>27</sup>. 18

From the topological point view, the cycloadditions of benzene rings could be classified into three types: *ortho-*, *meta-*, and *para-*cycloadditions (Fig. 1a). While the *ortho-* and *para-*ones have been realized both photochemically and thermally, leading to diversified structural types, the *meta-*cycloadditions are still limited to the photochemical processes from

the 1960s. Moreover, the products from the meta-cycloadditions are restricted to the 1 tricyclic-type I with few exceptions in sluggish efficiency<sup>34,35</sup>. In this context, we envisioned 2 3 that the *meta*-thermocycloadditions of benzene rings might be feasible via the proposed  $[4\pi+2\pi]$  cycloadditions of Wheland intermediate C with alkenes (Fig. 1c) based on the 4 5 following two facts: 1) benzene rings could be dearomatized by various electrophiles to 6 generate Wheland intermediates and 2) pentadienyl cations could facilely react with alkenes in a  $[4\pi+2\pi]$  cycloaddition fashion<sup>36</sup>. After the proposed cycloaddition of the Wheland 7 intermediate C, subsequent nucleophilic interception of the resulting allyl cation D would 8 9 afford the bicyclo[3.2.1]octane-type product III, a structural type complementary to the meta-photocycloadduct I. Specifically, although it is now commonly accepted that the 10 meta-photocycloaddition reactions progressed from an arene-alkene exciplex A to a 11 dipolarized intermediate **B** and finally to the cyclopropane ring-closing product  $I^{37}$ , attempts 12 to intercept the bicyclo[3.2.1]octenyl intermediate **B** with nucleophiles and electrophiles all 13 failed to furnish the bicyclo[3.2.1]octane-type product II (Fig. 1b)<sup>38-42</sup>. 14

# 15 Reaction discovery and optimizations

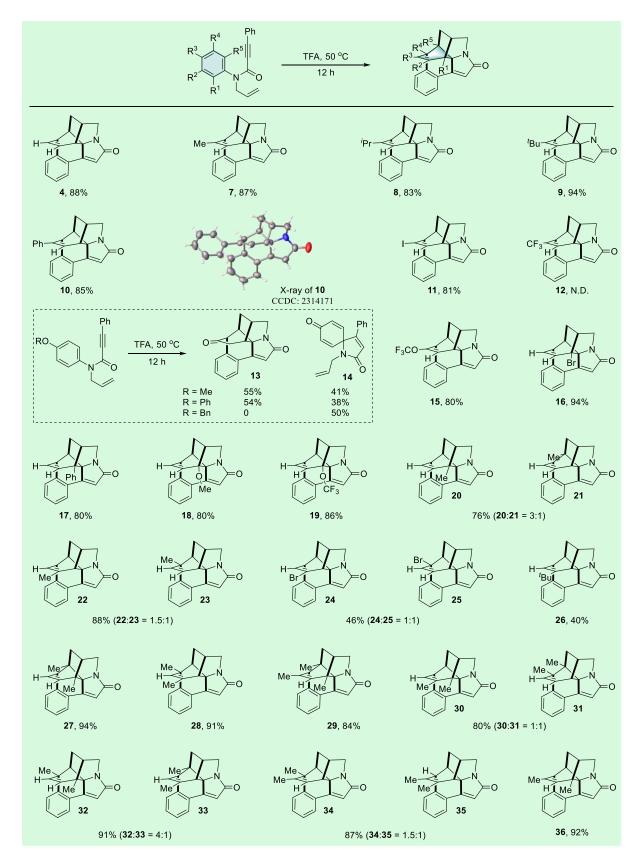
However, one imminent challenge posed for the above proposal is the great rearomatization tendency of the Wheland intermediates C. In order to intercept them with alkenes prior to rearomatization, we employed the aniline-tethered enyne 1 as the model substrate to implement the proposed *meta*-thermocycloaddition reaction intramolecularly (Fig. 1d). In this scenario, Brønsted acid was envisioned to first promote the *ipso*-cyclization of aniline's benzene ring to the acetylenic bond to furnish the spirocyclic Wheland intermediate  $E^{43}$ . Then, the proposed intramolecular [ $4\pi+2\pi$ ] cycloaddition between the pentadienyl cation

entity and the olefin entity in E would afford the cationic species F, which might be trapped 1 2 by the solvent, leading to several possible isomeric products 2 and 3. Interestingly, when 3 triflic acid (HOTf) was utilized as the Brønsted acid catalyst and toluene, acetic acid, or 4 trifluoroacetic acid (TFA) as the solvent (Fig. 1d, entries 1-3), none of the initially proposed 5 solvent trapping products was detected. Instead, an even more complex polycyclic compound 6 4 was identified, originating from an unexpected trapping of the allyl cation entity of **F** via an 7 intramolecular Friedel-Crafts reaction. The other regioisomer 5 was not detected. Meanwhile, substantial amount of rearomatized side product 6 was formed in these conditions. Further 8 9 screening of the solvent and acid did not improve the chemoselectivity (entries 4-8). Surprisingly, without HOTf, the *meta*-thermocycloaddition of 1 still worked simply stirring in 10 TFA (entry 9). Moreover, the chemoselectivity between 4 and 6 could be improved by 11 12 lowering the reaction temperature and excellent selectivity in 71% yield was achieved at room temperature albeit in prolonged reaction time (entries 10-12). 13

#### 14 Substrate scope study

15 With the reactivity disclosed and conditions optimized, we then systematically investigated the substrate scope. The aniline-tethered envne substrates could be easily prepared in two 16 17 steps from anilines, arylpropiolic acids, and allyl (pseudo)halides via amide formation and N-alkylation reactions. At the outset, substrates derived from various anilines were examined 18 (Fig. 2). Substituents ranging from electron donating to weakly electron withdrawing groups 19 such as Me, <sup>*i*</sup>Pr, <sup>*i*</sup>Bu, Ph, and I were allowed at the *para*-positions of the aniline rings (7-11), 20 while the strongly electron withdrawing CF<sub>3</sub> group caused no product **12** but a messy reaction. 21 When the aniline ring was substituted with 4-methoxy group, a cyclohexanone-type product 22

13 was isolated in 55% yield presumably from the hydrolysis of the original vinyl ether 1 product. Meanwhile, significant amount of spirocyclic cyclohexadienone 14 was isolated, 2 3 shedding light on the proposed Wheland intermediate E. The 4-phenoxy substrate worked similarly with the 4-methoxy one. Interestingly, when the aniline ring was substituted with 4 5 4-benzyloxy group, no desired product but 14 was obtained presumably due to the rapid 6 debenzylation of the corresponding Wheland intermediate. To the opposite, the 7 4-trifluoromethoxy substrate led to the meta-cycloadduct 15 but no 14 and the vinyl ether functionality remained intact. Furthermore, for non-symmetrical substrates derived from 8 ortho-Ph, Br, OMe, or OCF<sub>3</sub> substituted anilines, excellent regioselectivities were observed 9 for the  $[4\pi+2\pi]$  cycloaddition step (16-19). Among all the tested substrates, one exception 10 was the ortho-Me one, giving 20 and 21 in 3:1 regioselectivity. For the meta-Me or Br 11 12 substituted substrates, poor regioselectivities were observed (22-25) while the *meta-<sup>t</sup>*Bu one led to one single regioisomer 26 albeit in only 40% yield. The aniline ring could be doubly or 13 triply substituted, leading to densely substituted polycyclic products 27-36. For the 14 15 symmetrical substrates derived from 2,6-dimethylaniline, 3,5-dimethylaniline, and 2,4,6-trimethylaniline, good to excellent yields were obtained despite the steric hindrance 16 (27-29). For the non-symmetrical substrates derived from 2,3-dimethylaniline, 17 2,5-dimethylaniline, and 3,4-dimethylaniline, poor to moderate regioselectivities were 18 observed (30-35). Interestingly, for the 2,4-dimethylaniline-derived non-symmetrical 19 substrate, the reaction was regiospecific with excellent yield (36). The structure of 10 was 20 confirmed by X-ray crystallography (CCDC 2314171), and the structures of all other 21 cycloadducts were assigned by analogy and NMR analysis. 22





2 Fig. 2 | Scope study of the N-aryl part of the aniline-tethered enyne substrate.

3 Efforts were then turned to explore the phenylacetylene part of the enyne substrate (Fig.

3a). In general, the phenyl ring could be substituted with either electron donating or withdrawing groups at either the *ortho-* or *para-*positions, affording the cycloadducts **37-43** in moderate to good yields. A methyl group at the phenyl ring's *meta-*position caused 1:1 mixture of two regioisomeric products **44** and **45**. Heterocycles such as thienyl group could facilely participate in the reaction (**46-48**). Again, when 3-thienyl group was involved, two regioisomers **47** and **48** were generated in 1:1 ratio.

7 Ultimately, the olefin part of the enyne substrate was varied (Fig. 3b). It turned out the olefin was not limited to terminal ones. Internal olefins in trans-configuration facilely took 8 9 part in the *meta*-cycloadditions (49-52). In addition to the primary alkyl groups (49,50), bulky groups such as <sup>*i*</sup>Pr and Cy on the olefin were tolerated (51,52) while extremely bulky <sup>*t*</sup>Bu 10 group (56), phenyl group (57), or electron withdrawing group (58) all caused no desired 11 12 products. Substrate 59 in *cis*-configuration led to neither the desired product nor the isomeric product 49 but messy mixtures. Moreover, the allylic position could be substituted and the 13 reaction proceeded smoothly affording 53 in good diastereoselectivity (10:1). Much to our 14 15 delight, substrate with a homoallyl group worked equally efficiently, affording the polycyclic product 54 with a unique piperidine ring. Again, internal trans-olefin was allowed in the 16 homoallyl system (55) while the *cis*-counterpart 60 was still unsuccessful. Finally, substrate 17 with the olefin further away from nitrogen (61) led to no desired product. Again, the structure 18 of 50 was confirmed by X-ray crystallography (CCDC 2329115), and the other structures 19 were assigned by analogy and NMR analysis. 20

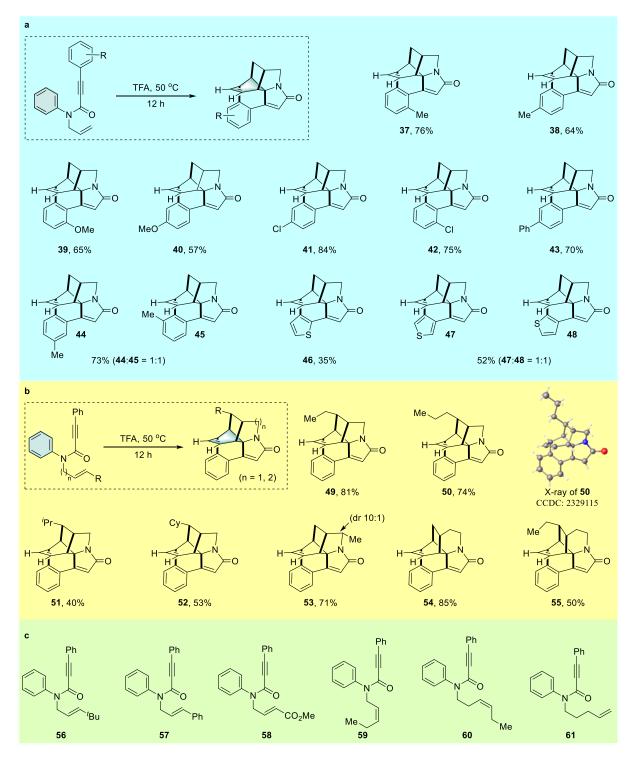




Fig. 3 | Scope study of the enyne part of the aniline-tethered enyne substrate. a,
Variations of the alkyne part of the enyne substrate. b, Variations of the alkene part of the
enyne substrate. c, Unsuccessful substrates.

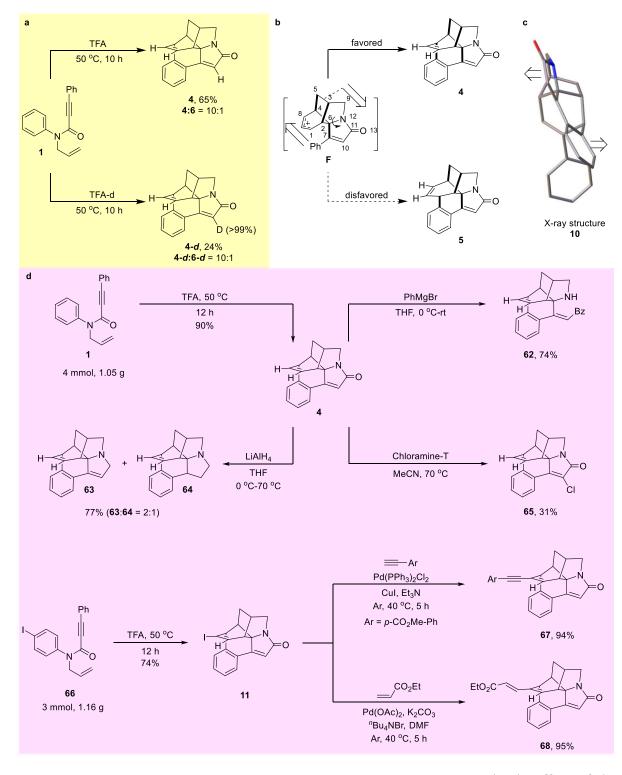


Fig. 4 | Mechanistic considerations and synthetic applications. a, Kinetic effect of the
deuterated TFA solvent. b, Rationale of the regioselective ring-closure step. c, Topological
analysis of the X-ray structure of 10. d, Gram-scale reactions and the downstream
diversifications of the *meta*-thermocycloadducts.

1

# **1** Mechanistic considerations and synthetic applications

To get more insight into the reaction mechanism, we conducted the reaction in deuterated 2 3 TFA (Fig. 4a). It turned out the reaction was significantly slowed down. Only 24% yield was 4 obtained after 10 hours, while the regular conditions enabled 65% yield. Interestingly, the 5 chemoselectivities between the meta-cycloadduct and the rearomatized side product for both reactions were identical (10:1). Moreover, the  $\alpha$ -positions of the  $\alpha,\beta$ -unsaturated lactams of 6 7 4-d and 6-d were completely deuterated. Thus, it was unambiguous that the alkyne protonation step was one of the slowest steps even if not the rate-determining one. The 8 9 regioselective formation of 4 over 5 could be explained by the conformational analysis as depicted in Fig. 4b. Without the C3-C9 bond, intermediate F should be symmetrical and the 10 lactam ring should be in the plane of symmetry, with C9-N12 bond being perpendicular to the 11 12 C3-C5 bond. Once C3 was bonded to C9, the molecular symmetry was broken and the lactam ring was tilted, directing C9 outside of the plane and the phenyl ring inside. Thus, the phenyl 13 ring will be proximal to C8 and distal to C1, in accordance with the observed regioselective 14 15 formation of 4 over 5. The above rationale based on conformational analysis could be supported by the X-ray structure of 10, where the lactam ring was tilted and obliquely fused 16 to the bicyclo[3.2.1]octane core (Fig. 4c). 17

To highlight the synthetic potential of the above *meta*-thermocycloaddition reaction, gram-scale reactions were performed, affording **4** and **11** in 90% and 74% yield respectively (Fig. 4d). Beyond the scalability, the synthetic potential could be further demonstrated by the divergent downstream elaborations of the products. For instance, the lactam ring of **4** could be facilely cleaved by phenylmagnesium bromide, affording compound **62** in 74% yield with simplified ring system. Alternatively, the lactam unit could be reduced to amine by LiAlH4 with partial reduction of the adjacent double bond (63,64). Interestingly, treatment of 4 with chloramine-T led to no aziridination product but the chlorination one (65) in a serviceable yield. Finally, the vinyl iodide unit of 11 served as an excellent handle for Heck and Sonogashira reactions to give the cross-coupled products 67 and 68 in near quantitative yields.

#### 7 Conclusion

In summary, we have realized the *meta*-thermocycloadditions of benzene rings in an 8 intramolecular fashion. A broad spectrum of readily available C(sp<sup>2</sup>)-rich aniline-tethered 9 envnes were transformed into C(sp<sup>3</sup>)-rich 3D complex polycyclic architectures simply by 10 stirring in TFA. The reaction was proposed to proceed via  $[4\pi+2\pi]$  cycloadditions of Wheland 11 12 intermediates. Moreover, the reaction could be performed in gram-scales and the products could be diversely elaborated. We believe this methodology will not only set stage for 13 developing new *meta*-thermocycloadditions of benzene rings but also open up new chemical 14 15 space to biologically relevant molecules.

## 16 Methods

17 N-allyl-N,3-diphenylpropiolamide (0.2 mmol, 52.3 mg, 1.0 equiv.) was added to a dried vial. 18 The reaction was stirred at 50 °C after adding trifluoroacetic acid (2 mL). After complete 19 consumption of starting material observed by TLC (12 h), the reaction mixture was washed 20 with saturated aqueous solution of NaHCO<sub>3</sub> then extracted with CH<sub>2</sub>Cl<sub>2</sub>. The organic phase 21 was concentrated and the residue was purified by column chromatography on silica gel 22 (petroleum ether/ethyl acetate = 3/1) to afford the corresponding product 4 (46.0 mg, 88%

1 vield)	
1 yield)	•

## 2 Data availability

3 The data supporting the findings of this study are available within this article and its4 Supplementary Information.

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10	Author contributions
11	Y.W. designed and conceived the project. S.L., T.X., and Y.L. carried out the reactions. S.L.
12	and Y.W. wrote the manuscript with input from all authors. All authors analyzed the data and
13	discussed the results. S.L. and T.X. contributed equally to this work.
14	
15	Competing interests

16 The authors declare no competing interests.