Robust Mechanism Discovery with Atom Conserving Chemical Reaction Neural Networks

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Abstract

Chemical reaction neural networks (CRNNs) established as the state-of-the-art tool for autonomous mechanism discovery. While they encode some fundamental physical laws, mass- and atom conservation are still violated. We enforce atom conservation by adding a dedicated neural network layer which can be interpreted as constraining the model to physically realizable stoichiometries. Using the standard test cases of the original CRNN paper, we show that the resulting atom conserving chemical reaction neural networks improve training stability and speed, offer robustness against noisy and missing data, and require less data overall. As a result, we anticipate increased model reliability and greater utilization of the potential of real-world data sets. We also discuss the potential of the new atom balance layer for other applications in combustion modeling and beyond, such as mechanism reduction and kinetic surrogate models for reactive flow simulations.

Keywords: Atom conservation, Chemical reaction neural networks, Kinetic model, Mechanism discovery, Physics enhanced machine learning

1. Introduction

Machine learning has emerged as an important tool in combustion chemistry discovery, reduction and acceleration [1]. The performance of neural networks for these applications is significantly improved by implementing a priori physical knowledge in the model's structure. Frequently studied examples in the combustion context are implementing the overall mass or species balances [2, 3]. In this work we focus on implementing the atom balance in neural networks. Previously, this has either been done explicitly through a soft constraint in the loss function [4, 5], or a post-processing step [6], or indirectly by embedding the stoichiometric matrix into the model's structure [7-10]. However, in case of mechanism discovery and reduction, the stoichiometric matrix is generally unknown and subject to optimization. We propose a dedicated element balance layer for neural networks models of chemical kinetics that enforces atom conservation as a hard constraint without requiring the stoichiometric matrix. We implement this layer into the chemical reaction neural network (CRNN) recently developed by JI and DENG [11], and demonstrate that enforcing the atom conservation greatly increases the model's ability to identify reaction mechanisms from low quality data.

The CRNN is a digital twin of the classic chemical reaction network that encodes the Arrhenius equation (Eq. 1) and the mass-action law (Eq. 2) in a neural network

$$k_j = A_0 \cdot T^\beta \cdot \exp\left(\frac{-E_A}{R \cdot T}\right) \tag{1}$$

$$r_j = k_j \cdot \prod_i a_i^{\nu_{i,j}} \tag{2}$$

with the rate constant k_j of reaction j, the preexponential A_0 , the temperature T, the temperature exponent β , the activation energy E_A , the universal gas constant R, the reaction rate r_j , the activity a_i of species i and the reaction orders $\nu_{i,j}$.

BARWEY and RAMAN used such a digital twin to accelerate chemical source term evaluations of large combustion mechanisms to facilitate high-fidelity simulations of turbulent flames [12]. The main advantage of the CRNN, however, is the ability to autonomously discover and reduce mechanisms using readily available integral reactor measurements with the neural ordinary differential equation (neural ODE) technique [13]. JI and DENG used this approach to obtain reaction mechanisms from several chemical and biochemical engineering systems [11]. It has further been applied to biomass pyrolysis [3], decomposition of energetic materials [14–16], hydrogen as well as methane combustion [17], and *HyChem* models [18].

The current CRNN implementation infringes the fundamental law of atom conservation. We enforce this law through our atom balance layer, building on the original CRNN implementation. The resulting atom conserving chemical reaction neural networks (AC-CRNN) increase training stability and speed, provide robustness against noisy and missing data, and reduce the overall amount of data required. This is an important step to learn from imperfect data as they are typically obtained from experiments.

For simplicity, we will consider the standard example systems from JI and DENG [11]. We showcase the superior AC-CRNN performance under the influence of three realistic imperfect data scenarios: 1. limited data availability, 2. noisy data and 3. systematic measurement errors.

2. Atom Conserving Chemical Reaction Neural Networks

Conventional CRNNs find the stoichiometric coefficients of a reaction mechanism by freely optimizing the weights of their output layer. However, not all combinations of stoichiometric coefficients satisfy the fundamental law of atom conservation. A physically realizable set of stoichiometric coefficients ν_i fulfills the equation

$$0 = \sum_{i} N_{k,i} \cdot \nu_i \tag{3}$$

with the molecular matrix N that contains the number of atoms of type k per species i [19]. In other words: All physically realizable vectors ν are in the null space of N. A basis B of this null space is conveniently computed by the MATLAB function null or the Julia function LinearAlgebra.nullspace which are based on singular value decomposition. Now all valid sets of stoichiometric coefficients can be expressed as a weighted sum of the basis vectors

$$\nu = \mathbf{B} \cdot w. \tag{4}$$

A neural network that learns the weights w and multiplies them with the basis **B** to obtain stoichiometric coefficients will always fulfill atom conservation. We implement Eq. 4 by adding a dedicated element balance layer to the CRNN (Fig 1) and call the resulting architecture *atom conserving chemical reaction neural networks* (AC-CRNN). The matrix **B** has $N_{\text{key}} = N_{\text{species}} - \text{rank}(\mathbf{N})$ columns, which is fewer than the total number of species present in the reaction system N_{species} [20]. Therefore, the element balance layer does not only enforce atom conservation but also reduces the number of trainable parameters. In our AC-CRNN, the stoichiometric coefficients are further used to derive the reaction orders of the reactants using the ReLu function

$$\operatorname{ReLu}(x) = \max(x, 0) \tag{5}$$

To further increase the interpretability of the AC-CRNN, we propose to apply the concept of key species by converting the null space basis matrix **B** into reduced column echelon form. This means that the first N_{key} rows contain a identity matrix. The matrix conversion can be conveniently achieved using



Fig. 1: Schematic representation of an AC-CRNN reaction node. It encodes the law of mass action, the Arrhenius law and in contrast to the original CRNN also the atom conservation. Latter is achieved by multiplication of the key species coefficients with the conservation matrix \mathbf{B}^* . Multiple such reaction nodes are combined in a single hidden layer to build up a chemical reaction neural network. Trainable parameters are highlighted in red.

the rref function in MATLAB or the Julia library *RowEchelon.jl*. We call this basis the atom conservation matrix \mathbf{B}^* . For test case 1,

$$\mathbf{B}^{*} = \begin{vmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ -1/3 & -2/3 & -1/3 & -1/3 \end{vmatrix}$$
(6)

Here, the first N_{key} weights w are directly mapped to the first N_{key} stoichiometric coefficients. We call those species whose coefficients are identical to the learned weights w the key species. Which species are treated as key species can be chosen by the user through the order of the species in the vector v. Always the first N_{key} species are treated as key species. The coefficients of the other species are a weighted sum of the key species coefficients.

Altogether, implementing the element balance layer adds a minimum amount of additional code to the original CRNN. The matrix B^* is computed in a fully automated preprocessing step. The code for this step is supplied in the appendix. Due to the reduced number of trainable parameters and the regularization provided by the additional physical constraints, AC-CRNNs generally train faster than the corresponding CRNN. All results presented in this work are obtained using the basis B^* . It has been found, that the AC-CRNN that uses B^* shows better performance than the one using the unconverted basis **B**. Further, the performance depends on the choice of the key species.

3. Methods

In practice, species concentrations at certain positions of the reactor are measured instead of source terms. Therefore, the CRNN is trained in the context of a neural ODE [13], i.e. wrapped with an ODE solver. The resulting CRNN concentration profiles $c^{\text{CRNN}}(t)$ are compared to the provided concentration data $c^{\text{data}}(t)$. We use the Julia language implementation of CRNN available at https://gi thub.com/DENG-MIT/CRNN. It uses the differential programming package *DifferentialEquations.jl* [21] to enable backpropagation of gradients through the ODE solver. The mean absolute error MAE loss (Eq. 7) of the normalized concentrations (Eq. 8) is used and minimized using the ADAM optimizer [22] to adjust the CRNN parameters.

$$loss = MAE\left(c_{norm}^{CRNN}(t), c_{norm}^{data}(t)\right)$$
(7)

$$c_{\text{norm}} = \frac{c(t)}{\text{range}(c^{\text{data}}(t))}$$
(8)

In test case 1 the initial CRNN parameters are randomly drawn from a standard normal distribution and divided by 1000. The ADAM algorithm is used for 15 000 epochs with a learning rate of 0.001, an exponential decay for the first (0.9) and second (0.999) momentum estimate and a weight decay of 10^{-8} . In test case 2 the initial CRNN parameters are randomly drawn from a normal distribution and divided by 10, for ln A_0 and activation energies 0.8 is added and absolute values are used for activation energies. The ADAM algorithm is used for 10 000 epochs with a learning rate of 0.005, an exponential decay for the first (0.9) and second (0.999) momentum estimate and a weight decay of 10^{-6} .

4. Results and Discussion

We demonstrate, that embedding the atom balance into neural networks facilitates mechanism discovery. Test case 1 is a demonstration system considering mass conservation to reduce the required amount of training data. Test case 2 is a realistic example of biodiesel production kinetics where embedding the atom balance increases the model's robustness against noise and offsets in the training data. Finally, we discuss further applications in surrogate modeling and mechanism reduction.

4.1. Test Case 1 - Trimerization

Test case 1 is a representative example for mechanism discovery introduced by SEARSON *et al.* [23] and describes the trimerization of a generic molecule A. This could for example be the formation of benzene from ethyne or the homotrimerization of proteins, such as porins [24] or hemagglutinin [25]. The reaction system consists of five species called A, A_2 , A^* , A^{**} , and A_3 that are involved in four reactions:



Fig. 2: Predicted concentration profiles obtained by fitting standard CRNN and atom conserving CRNN (AC-CRNN) to 20 synthetic integral reactor experiments with 10 noisy concentration measurements each are compared with the reference model (groud truth). The CRNN overestimates the consumption of species B and looses about 2% of the total mass. The AC-CRNN is biased towards a physically plausible solution by the embedded element balance and therefore hardly distinguishable from the exact solution.

$$\begin{array}{c} 2 A \xrightarrow{k_1} A_2 \\ A \xrightarrow{k_2} A^* \\ A^* \xrightarrow{k_3} A^{**} \\ A_2 + A^{**} \xrightarrow{k_4} A_3 \end{array}$$

The rate constants $k_1 - k_4$ are not temperature dependent and are assumed to have the values 0.3, 0.1, 0.2, and 0.13 respectively. Initial concentrations of species A and A₂ are randomly chosen with uniform distribution between 0.2 and 1.2, the other species are not present in the initial mixture. Experimental concentration measurements are emulated by integrating this initial value problem with the Tsitouras 5/4 Runge-Kutta method [21] up to a reaction time of 40 s, sampling data at equidistant time intervals and adding 5% gaussian noise.

JI and DENG showed that CRNNs are able to recover the mechanism from 20 of those simulated isothermal experiments with 100 data points each [11]. We test the CRNN performance for even fewer data (10 points per experiment), increasing the problem difficulty significantly. To tackle this problem, we introduce atom conserving CRNN (AC-CRNN) that embed the atom conservation matrix \mathbf{B}^* into the CRNN (Fig. 1). The conservation matrix is obtained

Table 1: The molecular matrix shows the composition of the species of the trimerization case.

	A
А	1
A_2	2
A*	1
A**	1
A_3	3

as the reduced column echelon form of the null space basis of the molecular matrix (Tab. 1) using the MAT-LAB functions null and rref.

In this example, the rank of the molecular matrix is one, so there will be one dependent species and four key species. Without loss of generality, we choose species A_3 as the dependent species. The resulting atom conservation matrix (Eq. 6) is used to calculate the stoichiometric coefficient of A_3 as a weighted sum of the coefficients of the other four species. This guarantees atom conservation and reduces the number of trainable parameters.

Figure 2 shows that the original CRNN models tends to overestimate the formation of the intermediate species. Resulting inconsistencies in the stoichiometric matrix of the model lead to a violation of atom conservation. The AC-CRNN, however, is



Fig. 3: Fraction of successful mechanism discovery attempts $p_{success}$ of atom conserving AC-CRNN compared to standard CRNN in various scenarios of realistic data flaws. Due to the additional physical bias (here, atom conservation), AC-CRNN are much more robust against left: reduced data availability middle: systematic measurement errors and right: lower data quality.

forced to obey atom conservation and therefore much more likely to identify the correct mechanism. For example, AC-CRNN solutions that overestimate the formation of intermediate species automatically underestimate other species and are therefore penalized with a higher loss.

To further characterize the performance of the networks, we discuss the success probability p_{success} of the training, see Saerson et al. [23]. It is defined as the fraction of successful mechanism discovery attempts from different initial model states. Here, we consider a mechanism discovery attempt successful, if every estimated stoichiometric coefficient differs by less than 0.1 from the ground truth. The success probability of the original CRNN drops from 25% using 100 data points to 4% using only 10 data points per experiment (Fig. 3). Enforcing atom conservation increases the success probability to 44% and 12% respectively.

4.2. Test Case 2 - Biodiesel Production

Test case 2 considers biodiesel production, as studied by BURNHAM *et al.* [26]. DARNOKO and CHERYAN [27] described the transesterification of palm oil derived palmitin glycerides (TG, DG, and MG) with methanol MeOH to smaller methyl esters RCO₂Me by three consecutive reactions:

$$\begin{array}{l} \mathrm{TG} + \mathrm{MeOH} \xrightarrow{\mathbf{k}_1} \mathrm{DG} + \mathrm{RCO}_2 \mathrm{Me} \\ \mathrm{DG} + \mathrm{MeOH} \xrightarrow{\mathbf{k}_2} \mathrm{MG} + \mathrm{RCO}_2 \mathrm{Me} \\ \mathrm{MG} + \mathrm{MeOH} \xrightarrow{\mathbf{k}_3} \mathrm{GL} + \mathrm{RCO}_2 \mathrm{Me} \end{array}$$

The temperature dependence of the rate constants is described by the arrhenius equation with the preexponentials A_0 (18.60, 19.13, and 7.93), the activation energies E_A (14.54, 14.42, and 6.47) kcal/mol and a temperature exponent of 0 for all three reactions. The Tsitouras 5/4 Runge-Kutta method with automatic switching to an order 2/3 L-Stable Rosenbrock method [21] is used to integrate the potentially stiff initial value problem.

A CRNN is used to identify reaction orders, stoichiometric coefficients, activation energies and the preexponential factors. For this, 20 experiments with random initial concentrations between 0.2 and 2.2 arbitrary units at temperatures randomly chosen between 323 K to 343 K are provided. Each experiment consists of 50 noisy (5% gaussian noise) concentration measurements taken after a time step of 1 seconds each. Collecting such an amount of precise measurements is experimentally very challenging, so after we showed with test case 1 that AC-CRNN provide accurate results even with small amounts of data, we now test the robustness against systematic measurement errors in the provided training data in form of a sensor offset that overestimates the concentrations of species TG by 0.2.

Table 2: The molecular matrix shows the elemental composition of the species of the biodiesel production case.

	C	Н	0
TG	51	98	6
MeOH	1	4	1
DG	35	68	5
MG	19	38	4
GL	3	8	3
RCO ₂ Me	17	34	2

The system contains six species and can be balanced in terms of the three elements carbon, hydrogen and oxygen (Tab. 2). Without loss of generality we choose methanol, the di- and the triglyceride as key species. The stoichiometric coefficients of the other three species are inferred from the key species coefficients using the atom conservation matrix

$$B^* = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \\ -3 & 1 & -2 \\ 2 & -1 & 1 \\ 0 & -1 & 0 \end{bmatrix}$$
(9)

where the rows correspond to all species (TG, MeOH, DG, MG, GL, and RCO₂Me) and the columns correspond to the key species (TG, MeOH, and DG). Bal-



Fig. 4: Biodiesel production concentration profiles obtained from mechanism discovery by standard CRNN and atom conserving CRNN (AC-CRNN) using synthetic measurements from 20 integral reactor experiments. To mimic realistic concentration measurements the data given for model training ("Experiment") are perturbed by 5% gaussian noise. The triglyceride data are additionally shifted by an offset of 0.2. As the CRNN adapts to the artificially high concentrations in the reactant (TG), too much of the glyceride products (DG and MG) is formed, resulting in a significant deviation from the element balance. The AC-CRNN is constrained by the embedded element balance and therefore not affected by the sensor offset.

ancing in terms of molecular groups such as glycerol, acid rest, and methyl rest would lead to the same conservation matrix.

Figure 4 shows a typical CRNN prediction in case of a sensor offset. As the model adapts to the erroneously high concentrations of the reactant TG, an excessive amount of the other glycerides DG and MG is formed. This leads to an error in the atom balance by more than 20%. The AC-CRNN has 37.5% fewer parameters to optimize and trains correspondingly faster. Further, it is stable towards the sensor offset (Fig. 4) and shows a perfectly closed atom balance. It successfully recovers the correct mechanism in 70% of the runs, whereas the original CRNN is not successful in any out of 60 runs. Similarly, increasing the amount of gaussian noise applied to the concentration measurements from 5% to 40%, the success probability of the standard CRNN drops to 0%, while the AC-CRNN is remains successful in 60% of the runs (Fig. 3). Here, mechanism discovery is considered successful, if every estimated stoichiometric coefficient differs by less than 0.2 from the ground truth.

4.3. Applications of the atom balance layer beyond CRNNs

Because the proposed atom balance layer can be combined with any feed forward neural network that predicts kinetics, it should find widespread use in combustion and beyond, for example in surrogate modeling and mechanism reduction.

Aside from CRNN, our element balance layer should be useful in conjunction with other network structures that discover reaction mechanisms and thus lack an a priori stoichiometric matrix. One example is the PolyODEnet by WU *et al.* [28].

The proposed key species approach can be applied intuitively to small reaction systems without explicitly using the matrix \mathbf{B}^* . This is a common way to achieve atom conservation in surrogate models of catalytic systems [29–33]. Our element balance layer formalizes this approach, allowing its application to more complex systems.

Finally, our approach is easily extended to also implement the charge balance relevant for redox- and electrochemical reactions.

5. Conclusion

Chemical reaction neural networks (CRNN) have established as the most advanced tool for autonomous mechanism discovery and are used in many fields, such as (bio-) chemical engineering, pyrolysis, and combustion. While they encode the law of mass action as well as the Arrhenius law, mass- and atom conservation are still violated.

We enforce the fundamental law of atom conservation by adding a dedicated neural network layer which can be interpreted as constraining stoichiometric coefficients to physically realizable combinations. The resulting atom conserving chemical reaction neural networks (AC-CRNN) improve training stability and speed, offer robustness against noisy and missing data, and require less data overall. As a result, we anticipate increased model reliability and greater utilization of the potential of real-world data sets. Our proposed element conservation layer is compatible with any feed forward neural network that predicts kinetics and should therefore be useful also for surrogate modeling and mechanism reduction.

Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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Appendix

The generation of the atom conservation matrix \mathbf{B}^* from the molecular matrix \mathbf{N} is demonstrated using the following MATLAB code using the example of test case 1:

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