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Ozone Processing in the Dairy Sector: A review of applications, quality impact and implementation challenges.

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27 **Abstract**

28 The impact on the natural characteristics of dairy products during thermal processing warrants the
29 investigation of non-thermal techniques. Ozone has not only arisen as an inactivation treatment for
30 milk and its products with minimal effects on the quality parameters. But has also been proven
31 efficient for reducing biofilms, antibiotics, and aflatoxins, and used for equipment sterilization, air
32 disinfection, sanitization, and de-sludge treatments. This review discusses the updates on the effect
33 of ozone processing on the microbiological, physiochemical, nutri-functional, and sensory quality
34 of milk and milk products. Other applications of ozone in the dairy processing sector (storage
35 rooms disinfection, wastewater treatment, benefits in CIP, toxin reduction), current industrial
36 scenario, and regulatory and safety requirements in the facility dealing with ozone have also been
37 discussed alongside the research gaps and challenges.

38 **Keywords:** non-thermal processing, ozonation, milk, dairy processing, milk safety

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58 1. Introduction

59 Dairy products are a potential source of nutrients and have numerous benefits to human health
60 (Vashisht, 2021). Abundance of nutrients makes them susceptible to microbial growth, thus
61 categorizing them as a perishable commodity. The microbes in dairy products can range from
62 pathogenic vegetative bacteria to spoilage-causing bacterial spores (Bandla et al., 2012).
63 Approximately 12% of animal outbreaks and 4% of worldwide foodborne outbreaks are because
64 of the consumption of milk and milk products (Grace et al., 2020). This necessitates stringent
65 processing requirements to ensure their safety (Delorme et al., 2020). Thermal processing
66 techniques, including pasteurization, sterilization, and Ultra High Treatment (UHT), are majorly
67 used for these products (Munir et al., 2019; Vashisht et al., 2021). Literature has reported their
68 effectiveness against foodborne bacteria, bacterial spores, yeast, mold, and viruses. However,
69 exposure to high temperatures leads to the loss of heat-labile components and alters the natural
70 characteristics of dairy products (Cappozzo et al., 2015). Denaturation of proteins, loss of ascorbic
71 acid content, undesirable changes in fat globules, and deprivation of typical sensorial
72 characteristics are some of the major alterations due to the thermal processing of milk reported in
73 the literature (Braun-Fahrlander and Von Mutius, 2011; Vashisht et al., 2022). These disadvantages
74 have pushed the researchers to explore non-thermal technologies (Vashisht, 2021; Mohammadi et
75 al., 2017; del Carmen Razola-Díaz et al., 2023). Among them, there has been considerable interest
76 in ozone treatment, and it is seen as an affordable and sustainable alternative (Khanashyam et al.,
77 2022; Varga and Szigeti, 2016).

78 Being a powerful oxidizing agent, ozone permeates the cell walls of the bacteria and inactivates
79 them by damaging cell constituents (Afonso et al., 2022; Islam et al., 2022). Previous literature
80 has proved the ozone's potential to increase the shelf life of dairy commodities as an independent
81 treatment and with other processing techniques (hurdle technology) (Brodowska et al., 2018;
82 Genecya et al., 2020; Sheelamary and Muthukumar, 2011). In addition, some studies have reported
83 minimal effect of this processing technique on the natural parameters of these products
84 (Khanashyam et al., 2022; Sert and Mercan, 2021a). However, optimizing factors, such as ozone
85 concentration and treatment time, is necessary for each product. Other than the inactivation
86 treatment, additional applications of ozone treatment include de-sludge treatment, wastewater
87 processing, food contact surface and air disinfection, biofilm elimination, and reduction of toxins

88 and antibiotics in milk (Khoori et al., 2020; Masotti et al., 2019; Sert and Mercan, 2021b; Suresh
89 et al., 2021).

90 Many regulatory bodies in different regions of the world have shown a tremendous interest in
91 the ozone processing of food products (O'Donnell et al., 2012). Regulations demonstrates that milk
92 processing sector can potentially use ozonation in sanitation, cold storage, and direct applications
93 on products (Alexopoulos et al., 2017; Sert and Mercan, 2021a). Despite that, significant
94 industrialization efforts have yet to be made for its usage in dairy manufacturing plants and farms.
95 More information regarding the ozone processing of milk and milk products is crucial for industrial
96 expansion.

97 This review provides a comprehensive examination of the latest developments in the influence
98 of ozone processing on the microbiological, physicochemical, nutri-functional, and sensory
99 characteristics of milk and its derivatives. Additionally, the review delves into various ozone
100 applications within the dairy processing sector, such as disinfection of storage rooms, wastewater
101 treatment, advantages in Clean-in-Place (CIP) systems, and toxin reduction. The current industrial
102 landscape, regulatory and safety requirements for facilities utilizing ozone, as well as existing
103 research gaps and challenges, are thoroughly explored.

104 For the compilation of this study, a comprehensive review of 70 articles was undertaken,
105 drawing from diverse internet sources such as Google Scholar, Science Direct, and PubMed using
106 the following keywords (ozone processing, milk products, milk, ozone treatment). Each article
107 was rigorously analyzed regarding the reported findings and critical insights. Subsequently, these
108 studies were systematically organized, leading to a judicious conclusion.

109 **2. Fundamentals of ozone processing**

110 Ozone production is a simple process that originates due to a reaction between free oxygen
111 radicals and oxygen molecules (Varga and Szigeti, 2016). The low stability of ozone molecules
112 leads to their dissociation into reactive oxygen species (ROS), including O_3^- , O^- , O_2^- and HO_2^-
113 (Kim et al., 1999). The generated ROS species efficiently undergo free radical chain reactions with
114 numerous organic molecules, including alkanes, alkenes, amines, carbon-hydrogen bonds, and
115 sulfhydryl groups (Khanashyam et al., 2022). As per Zhang et al. (2011), ROS species initially
116 alter the permeability of cell membranes and walls of bacteria, followed by the leaching of
117 nutrients and components of bacteria, which ultimately leads to its inactivation (**Fig. 1**).

118 Corona discharge and photochemical methodology (UV irradiation) are the two common
119 techniques that are commercially used for ozone production (Alexopoulos et al., 2017; Prabha et
120 al., 2015). The schematic diagram of the lab scale set up for the ozone processing is depicted
121 in **Fig. 2**. The corona processor consists of two electrodes (ceramic material, 6-7 eV) known as
122 high tension and low tension parted by dielectric medium (**Fig. 3a**). The air is passed through the
123 gap between these electrodes where oxygen molecules get segregated and form ozone molecules
124 due to collision. In the food industries, high-frequency corona discharge generators (>1000 Hz)
125 are considered suitable for high-capacity operation.

126 In UV generators, ozone is generated through the photodissociation of oxygen molecules
127 (Marino et al., 2018) (**Fig. 3b**). A low-pressure mercury lamp with peak emission at 185 nm is
128 used in these generators [34]. In these systems, the air is allowed to go through a radiation field
129 generated by the lamp in its ambiance. Photo-dissociation of oxygen (O₂) leads to the generation
130 of free oxygen (O) radicals. This unstable radical promptly forms a bond with O₂ and generates
131 ozone (O₃) molecules.

132 Both aqueous and gaseous forms of ozone have been widely explored for different applications
133 in the dairy industry and farms (Islam et al., 2022; Marino et al., 2018). Careful choice according
134 to the needs and processing plant structure is crucial. Foodborne pathogens are more sensitive
135 toward the aqueous form rather than gaseous. Therefore, obtaining significant inactivation through
136 the gaseous phase requires prolonged treatment time and higher ozone concentration (Marino et
137 al., 2018; Pascual et al., 2007). However, gaseous phase molecules are known for extended half-
138 life and more diffusivity than aqueous phase ones (Giménez et al., 2021). This makes them suitable
139 for removing the biofilms from the dead ends in the processing facilities (Baggio et al., 2020).

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141 **3. Impact of ozone treatment on the microbiological quality of dairy products**

142 Milk is a primary base of all dairy products, and its abundant nutrients and high water activity
143 make it susceptible to microbial growth (Vashisht, 2021). Hence, it is crucial to subject milk to a
144 safety treatment before manufacturing its products. As per Brodowska et al. (2018), ozone can be
145 mixed directly in raw milk to prevent and cease microbial growth and subsequently improve its
146 shelf life. Literature studies have demonstrated the potential of ozone processing against numerous
147 dairy-borne pathogens and spoilage microorganisms inoculated in milk and milk products (
148 Cavalcante et al., 2013b; Genecya et al., 2020; Wang et al., 2021). However, only a few studies

149 have demonstrated a 5-log reduction of targeted microorganisms (Sheelamary and Muthukumar,
150 2011). Recent works that delve into the microbial inactivation potential of ozone in milk and milk
151 products are summarized in **Table 1**.

152 In one of the large-scale industrial applications, ozone was used in combination with bubbling
153 technology at a concentration of 1.5 mg/L for 15 min. The processing led to a 1 log reduction of
154 bacterial as well as fungi count in raw milk. Also, in a study conducted by Sheelamary and
155 Muthukumar (2011), ozone treatment (0.2 g/L concentration for 15 min) of raw milk accomplished
156 an absolute inactivation of *Listeria monocytogenes*. Genecya et al. (2020) carried out ozone
157 processing of skim and whole milk for 10.8 min at concentrations of 0.36 L/min and 5.01 L/min,
158 respectively. It resulted in 1.70 and 2.16 log-reduction, respectively. Cavalcante et al. (2013b) used
159 ozone gas at 1.5 mg/L concentration in raw milk for 5, 10 and 15 min. The effect of ozone on
160 different microbes, including *Enterobacteriaceae*, total mesophilic aerobic (TMA), psychotropics,
161 yeast and molds, and *Staphylococcus* in the milk samples before and immediately after treatment
162 were evaluated. Ten min of processing led to the 0.58, 0.52, and 0.25 log inactivation
163 of *Enterobacteriaceae*, *Staphylococcus*, and yeast and mold, respectively; however, in case of 15
164 min treatment, the *Enterobacteriaceae*, psychotropics, *Staphylococcus*, and yeast and molds
165 reduction were 0.60, 0.96, 0.13, 1.02, and 0.48, respectively. Sert and Mercan (2021b) investigated
166 the ozones' potential to inactivate coliforms inoculated in milk and whey concentrates. The
167 treatment was given for 0, 5, 15, 30, and 60 min. It was observed that 60 min of treatment could
168 reduce the coliforms by 0.87 log and 0.75 log for the milk and whey concentrates, respectively.
169 Similarly, treatment of raw milk with 28 mg/L for 5 min reduced 1 log of *Pseudomonas*
170 *aeruginosa* prior to powder (skim and whole) production (Munhõs et al., 2019). Torlak and Sert
171 (2013) discovered that the application of gaseous ozone at a concentration of 2.8 mg/L for
172 durations ranging from 0.5 to 2 h successfully deactivated 1.4 and 3 log *Cronobacter*
173 *sakazakii* from whole and skim milk powder, respectively. Overall, ozone has proved to be an
174 efficient processing technique for reducing microbial load in milk and milk products. However,
175 additional studies are needed in this domain to demonstrate the 5-log inactivation of targeted
176 microbes, thereby establishing its potential equivalence to thermal pasteurization.

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178 **4. Effect of ozone on the quality parameters of dairy products**

179 While ozone treatment is a proven method of reducing a dairy product's microbial load, it may
180 negatively affect its chemical composition if given in an unquantifiable manner. Hence, it is crucial
181 to consider the effect on the product's chemical and microbiological quality before determining the
182 exposure time or ozone concentration for the treatment of any dairy product (Akbas and Ozdemir,
183 2008; Perna et al., 2022). The impact of ozonation on the natural characteristics of numerous milk
184 and milk products has been summarized in **Table 2**.

185 Any effects on the properties of fluid milk will affect the properties of dairy products produced
186 from it. As per the literature, ozone treatment significantly affects the fat, protein, and color content
187 of milk (Uzun et al., 2012; Mahanta et al., 2022). The strong oxidation potential of ozone is known
188 to affect milk protein and fat. According to Uzun et al. (2012), it impact the peptide backbone of
189 amino acids, causing breakage of bonds and alteration of the side chain structure causing
190 alterations in functional properties like foaming and emulsifying ability (Uzun et al., 2012). Direct
191 contact of ROS species generated during the process initiates milk protein oxidation, increasing
192 surface hydrophobicity and decreasing free sulfhydryl (-SH) groups. The loss of -SH groups
193 generates disulfide cross-links that can result in protein aggregation, forming larger protein
194 particles (Habib et al., 2022; Nikmaram and Keener, 2022). In addition, an increase in aldehydes
195 may be attributed to the auto-oxidation or spontaneous breakdown of some unsaturated fatty acids
196 in milk, such as oleic and linoleic acids. These two are unsaturated fatty acids with double bonds
197 found in milk (Nikmaram and Keener, 2022).

198 Additionally, treatment of 10 min at a concentration of 80 mg/min has been shown to influence
199 the breakdown of β -carotene in milk. The carotenoid component declined by almost 50%, resulting
200 in elevated lightness and a decline in yellowness (Mohammadi et al., 2017). Carotenoids are
201 associated with the fat component of the product, and ozone oxidation is thought to occur in the
202 double-bond structure of these molecules, thereby impacting the product's color (Perna et al.,
203 2022). The currently available studies suggest that optimizing ozone concentrations and treatment
204 time are essential in its application on fluid milk.

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206 **4.1. Fat rich dairy products (cream milk, cream, and butter)**

207 Fat-rich dairy products are more prone to lipid peroxidation when subjected to ozone
208 processing. Cream milk is a fat-rich dairy product known for its creaminess and mouth feel. Perna
209 et al. (2022) observed the increased lipid peroxidation and deterioration of color attributes of cream

210 milk by increasing the ozone exposure time from 0 to 60 min (300 mg/h concentration). The main
211 reason for color degradation in this product was the degradation of carotenoids from ozone
212 treatment. The impact of ozonation on the cream was observed by Sert et al. (2020), where the
213 increased size of fat particles was observed, where partial coalescence and cluster formation of
214 milk fat could be the primary reason for it.

215 Butter is another popular dairy product which has approximately 80% milk fat and its
216 spreadability plays a crucial role in deciding the consumer acceptability (Mahanta et al., 2022).
217 Sert and Mercan (2020) conducted an interesting study where butter was churned using ozonated
218 water (ozone concentrations: 0.15, 0.20, 0.25, 0.30, and 0.35 mg/L). This treatment resulted in
219 elevated lightness and redness and the declined yellowness of the product. The results were more
220 pronounced at the higher concentrations. Because ozone significantly impacted the fat's oxidative
221 potential, that declined the oxidative stability of butter. The impact was worse when churning was
222 conducted in the presence of higher concentrated ozonated water, which led to fat agglomeration
223 and decreased spreadability. Therefore, selecting optimal ozone concentration and treatment time
224 is crucial to reducing the detrimental effects of ozone on fat-rich products.

225 **4.2. Cheese and yogurt**

226 Cheese and yogurt are popular dairy products known for their typical sensory characteristics
227 and health benefits (A EL DAHSHAN et al., 2013; Azhar and ALmosowy, 2020; Chandan et al.,
228 2017). Earlier studies have reported no significant impact of ozone processing on the natural
229 attributes of these products. Alexopoulos et al. (2017) investigated the effect of ozonation (2.5-3
230 ppm) on feta cheese and yogurt quality. No significant impact on the overall sensory quality was
231 observed for the yogurt after 60 sec of exposure. The brine solution (ozonated for 60 min) applied
232 to the feta cheese also had no significant impact on the overall acceptability. Segat et al. (2014)
233 analyzed the impact of ozonation on the peroxidation of mozzarella cheese. Processing for 2 h at
234 a concentration of 30 mg/min had no significant impact on the peroxide value. Botondi et al. (2023)
235 also reported no significant effects on the chemical and sensory characteristics of Russian and
236 Swiss-type cheese stored in the refrigeration ambiance disinfected by ozone. The above studies
237 indicate ozone as an efficient treatment that does not affect the native functional and
238 physicochemical properties of cheese and yogurt.

239 **4.3. Milk powders and concentrates**

240 The current global milk powder market has reached a market value of 20 billion, mainly
241 attributed to its health benefits (Steinitz, 2023). The ozone process has been reported to have a
242 negative effect on the sensorial attributes of the dried milk powders; however, amelioration in the
243 physical properties like wettability, flowability, and cohesiveness was observed. Spray-dried skim
244 milk powders given an ozone pretreatment at higher levels showed considerably poorer sensory
245 scores than those produced at lower ozone levels. Furthermore, it was determined that whole milk
246 powders were more susceptible to ozone damage than skim milk powders (Khanashyam et al.,
247 2022). This suggests that milk fat and ozone interaction may be responsible for forming
248 undesirable flavor components.

249 Another study suggested that the ozone treatment could improve the wettability of milk powder
250 (Sert and Mercan, 2021a). The experiment results were based on gaseous ozone treatment at 3.5
251 g/h for 60-120 min. Similar trends were observed for dispersibility when treated with ozone at
252 similar concentrations for 30-60 min. Additionally, the foaming capability of the ozone-treated
253 skim milk powder was in the range of 41% to 48.5%, which was also significantly higher than the
254 control samples. This might be due to the modifications of protein structure and oxidative radicals
255 that enhanced foaming ability due to increased surface-active properties. Powder flow speed
256 dependency and compaction coefficients of ozonated samples were analyzed after treatment with
257 gaseous ozone at a 3.5 g/h rate for 60-120 min. The compaction coefficient decreased as flow
258 speed increased, i.e., the free-flowing ability of the ozonated samples increased at a higher flow
259 rate. Milk powders with free-flowing properties have several advantages in the dairy sector, aiding
260 in easy transport and less energy use. When the ozone treatment time was extended relative to
261 untreated samples, the cohesiveness coefficients increased.

262 Whey protein isolates exposed to ozone in an aqueous medium at a concentration of 4.5 ppm
263 had alterations in the amino acid side chains and protein structure, where enhanced flexibility or
264 rigidity of the protein chain was observed. This considerably improved whey protein isolate's
265 foaming properties. Changes in protein structure affect other functional qualities such as
266 hydrophobicity, -SH concentration, and solubility, which occurred after ozone treatment for 30-
267 480 min. The α -helix structure of the protein molecules enlarged, whereas free-SH content
268 declined, resulting in increased surface hydrophobicity of the proteins (Uzun et al., 2012). Textural
269 properties of whey protein and milk protein isolates were further studied, where ozone treatment

270 of 3.5 g/L reduced the firmness and consistency of the products made from them. This was most
271 likely due to protein molecule aggregation caused by the destabilization of amino acid side chains.
272 However, ozone treatment at 3.5 g/h elevated the volume mean diameter of milk concentrate while
273 it decreased in case of whey concentrate. Sert and Mercan (2021b) also obtained similar results
274 with 3.5 g/h of gaseous ozone treatment for 30 min, possibly due to the formation of whey-casein
275 clusters with ozone treatment. Overall, ozone processing had a negative impact on the sensory
276 attributes while the physical attributes of the milk powders enhanced.

277 **5. Additional applications of ozone in the dairy sector**

278 In addition to the microbial inactivation treatment, ozone has proved its effectiveness against
279 the aflatoxins (AF) and antibiotics content in milk and its products (Sert and Mercan, 2021b).
280 Studies have also observed its applications (as individual and hurdle techniques) for air purification
281 or disinfection, biofilm inactivation, sterilization of dairy equipment, and sanitation of dairy farms
282 and processing areas (Masotti et al., 2019; Suresh et al., 2021; Heacox, 2014).

283 Khoori et al. (2020) investigated the combined impact of ozonation, ultraviolet (UV)
284 treatment, and pulsed electric field (PEF) against the total AFs and AF M₁ levels in the acidophilus
285 milk. The maximum reduction of AF M₁ (96.1%) and total AF content (98.81%) was observed at
286 the optimized conditions: pulse duration – 13.15 μ sec, ozone concentration – 9.99 mg/min, and
287 UV intensity – 4990 mJ/cm². In another study by Mohammadi et al. (2017), milk samples were
288 exposed to gaseous ozone at an 80 mg/min rate within containers for varying durations: 0, 0.5, 1-
289 , 2-, 5-, and 10-min. Results revealed that 5 min of treatment could reduce AF M₁ by 50%.

290 Suresh et al. (2021) investigated the combined application of ozone, UV irradiation, and H₂O₂
291 for treating wastewater in the dairy industry. Significant reductions were observed at the
292 combination of Ozone/H₂O₂, Ozone/UV, UV/H₂O₂, and Ozone/UV/H₂O₂ conducted at pH 7 and
293 50 mL/h of flow rate. Processes achieved COD reductions of 32.5%, 35.2%, 25%, and 83%, as
294 well as lactose reductions of 40.6%, 43.6%, 38.2%, and 80%, respectively., Maximum reduction
295 of COD (88%) and lactose (93.4%) was observed with the UV/H₂O₂/Ozone process under the
296 conditions of pH 5, 50 mL/h circulation, and 180 min of treatment time. Packyam et al. (2015)
297 used a combination of ultrasonication and ozonation to ameliorate the sludge breakdown in dairy
298 effluent. The combined process with a specific energy of 76.4 kJ/kg total solids and ozone dosage
299 of 0.0011 mg/mg of soluble solids resulted in improvements in COD solubilization and soluble

300 solids reduction compared to pre-ozonation alone. Furthermore, it enhanced the potential for
301 anaerobic biodegradation of the dairy waste-activated sludge.

302 Masotti et al. (2019) applied ozone treatment (1.5 g/h, 40 L/min, 3 h) for air disinfection in the
303 cheese packaging facility, where no bacterial isolates were observed on plates throughout the five
304 weeks of the experiments. The periodic ozonation of processing areas in dairy factories (with
305 similar or lower concentration), effectively prevented the mold growth with no impact on the
306 quality parameters of cheese. Botondi et al. (2023) also stated the high effectiveness of ozone in
307 deactivating mold in the ambiance of cheese aging chamber.

308 A patented method by Heacox (2014) used ozone at low concentrations (ranging from 0.04 to
309 1.2 ppm) to decontaminate dairy instruments and facilities. This innovative approach deviates from
310 the traditional practice in the dairy industry, which typically involves hot water and chemicals
311 usage for cleaning. It reduces the need for chemicals and almost eliminates the hot water costs
312 associated with cleaning in the dairy industry. Ozone can also be mixed directly in water to be
313 used for cleaning. It reduces the CIP cost, along with improved cleaning and removal of milk
314 residues. The same water may be used for more repetitive cleaning cycles, reducing the water
315 requirements, and improving cleaning results. Additionally, no chemical residues are left as in
316 conventional methods. Chlorine residues can potentially stay in the pipes, which later mix into the
317 products and generate hurdles in product manufacturing, affecting sensory acceptability and being
318 hazardous to consumers' health (Varga and Szigeti, 2016).

319 Botondi et al. (2021) and Wang et al. (2021) reported the effectiveness of ozone as a sanitizer
320 in dairy farms as well as plants attributed to its oxidation-reduction potential and ecofriendly and
321 cost-effective nature. This has a potential application in dairy farms for cleaning pipelines and
322 food contact surfaces. Biofilms or bacterial fouling has always been a major concern for the dairy
323 industry (Panebianco et al., 2022). It can occur at any point, from raw milk collection to wastewater
324 treatment in the plant. However, Marino et al. (2018) proved that the usage of aqueous and gaseous
325 ozone can be effective in getting rid of biofilms. This study suggested that ozonation can be used
326 as a sanitizer for daily cleaning practices. However, gaseous ozone was more suitable for usage in
327 confined spaces as it is more reactive and can harm the operators if used in open spaces. Similarly,
328 Panebianco et al. (2022) studied ozonation (50 ppm concentration) for 6 h on biofilms formed by
329 twenty-one strains of *Pseudomonas* spp and isolated from dairy. Based on the impact of ozone on
330 eradication and inhibition treatment, it was suggested that ozone treatment could be used as an

331 effective method to increase the existing sanitation procedures. However, its use was inadequate
332 to contrast established biofilms of *Pseudomonas* spp. Overall, ozone is an effective processing
333 technique for the treatment of antibiotics, toxins, disinfection of storage rooms, equipment, and
334 processing lines. However, for dairy waste it is technically effective but economically it is eighteen
335 times more expensive than coagulation processing conducted using FeSO₄ (excluding sludge
336 disposal and labor costs (Varga and Szigeti, 2016).

337 **6. Regulations, industrial status, and safety concerns for ozone processing**

338 Electric Power Research Institute's specialists' approval during the 1997 meeting about the
339 Generally Regarded as Safe (GRAS) classification of ozone as a potential disinfectant for foods
340 under the conditions of appropriate amount of usage and compliance with good manufacturing
341 practices leads to the significant interest of food manufacturers in this technology (EPRI, 1997).
342 At present, ozone usage in food facilities has received legal approval in regions including North
343 America, Australia, New Zealand, Japan, and multiple European nations (O'Donnell et al., 2012;
344 Varga and Szigeti, 2016). In June 2001, the FDA classified it as a food additive, issued a ruling,
345 and approved the ozonation application, in both gaseous and aqueous phases, against the foodborne
346 microbes within the processing facility (Food and Drug Administration, 2001; Khanashyam et al.,
347 2022). Ozone was first used at a Swedish milk plant as a pretreatment of fluid milk prior to thermal
348 pasteurization. The product preserved lipid and protein contents for a longer period, resulting in
349 an elongated shelf-life of milk and no sign of oxidation occurring during storage (Varga and
350 Szigeti, 2016). However, after that, no significant industrial efforts have been made to apply ozone
351 as a safety treatment for milk or milk products. Under standard working conditions, ozone
352 exposure should not be more than 0.1 ppm by volume (0.2 mg/m³ NTP) for 8 h every day, or 40 h
353 per week. Another limitation includes 0.3 ppm by volume (0.6 mg/m³ NTP) for 15 min, and not
354 more than 4 times every day with at least 1 h intervals between small time exposure (Brodowska
355 et al., 2018). The specific residual and recommended limits for ozone can vary depending on the
356 country, product, and regulatory authority. Generally, ozone residual limits are set to ensure that
357 ozone-treated food products do not contain harmful or excessive levels of residual ozone that could
358 impact the quality and safety of the product. It has a lot of potential for its application in the food
359 industry as a powerful sanitizer. There are no safety issues with its residual limits in this application
360 as it breaks down into simple oxygen (Kim et al., 1999).

361 A lower ozone concentration is also safe for personnel, food products, and machinery.
362 However, a higher concentration is linked to adverse effects on the health of personnel, the
363 acceptability and quality of dairy and food products, and the lifespan and performance of the
364 processing and machinery. Ozone poses health risks to humans when they encounter it in higher
365 concentrations and for a longer duration, where 0.1 mg/L exposure can cause headaches, mild
366 irritations in the eyes, throat, and nose, and severe illness. However, when concentration reaches
367 up to 95 mg/L, the consequences become irreversibly hazardous, with the worst-case scenario
368 being fatal (Brodowska et al., 2018; Cullen and Norton, 2012; Pirani, 2010). Effective systems for
369 the detection and subsequent catalytic or thermal degradation of ozone are needed to ensure the
370 safety of workers in the facility. This is of particular concern when gaseous ozone is employed. In
371 such scenarios, the installation of a continuous ozone analyzer is essential. This analyzer should
372 be designed to activate a comprehensive alarm system, comprising both auditory and visual
373 warning signals, as soon as the ozone concentration surpasses 0.1 ppm (equivalent to 0.2 mg/m³)
374 in the room's atmosphere (Cullen and Norton, 2012; Langlais et al., 2019). While using oxygen as
375 a feed gas to produce ozone, workers need to be aware that many organic compounds might
376 become highly flammable in the presence of oxygen. Furthermore, certain organic materials
377 decompose when exposed to oxygen. Therefore, some pertinent safety measures should be
378 considered if oxygen is used to produce ozone, typically used in most food processing industries,
379 to prevent accidental fires produced by stray sparks or flames caused by oxygen leaks (Rice, 2012).

380 Apart from humans, ozone may also interact with surfaces of equipment and processing
381 machinery. Therefore, it is of utmost importance to use only materials compatible with ozone for
382 the fabrication. Most materials are resistant to ozone at concentrations of 1-3 ppm, but this can
383 cause a corrosive effect on the equipment at high concentrations. Food-grade plastics like Teflon,
384 Kynay, PVC, and Halar exhibit acceptable behavior and corrosion resistance during exposure
385 (Brodowska et al., 2018). However, ozone pulsed into the water at the concentration of 0.4-0.5
386 ppm, at 21-23°C for 20 min regularly for over seven days caused noticeable weight reduction in
387 aluminum, copper, stainless steel, and carbon steel material (Varga and Szigeti, 2016).

388

389 **7. Current challenges and gaps**

390 Despite regulatory approvals and numerous potential applications of ozone processing in the
391 dairy sector, product manufacturers have explored it at a limited level. Most of the literature on

392 microbial inactivation has failed to demonstrate a significant (5-log) reduction of targeted
393 microorganisms in milk and milk products. Bacterial spores and unwanted enzymes are another
394 significant problem of the dairy sector. If they remain active, they can quickly spoil dairy products
395 (Charles, 2021, 2022; Julakanti et al., 2023; Pendyala et al., 2022; Rathnakumar et al., 2023). The
396 potential of ozone in the inactivation of dairy spores or enzymes has yet to be explored.

397 ROS species generated during the processing have significant effects on the oxidative stability
398 of milk fat. Also, the variation in the fat content can have a detrimental effect on the efficacy of
399 ozone treatment. Investigating how the ozone treatment inactivation efficiency varies with the
400 amount of fat can be another potential area of research. Prolonged exposure to ozone or ozone-
401 treated water has been reported to negatively impact dairy products' nutritional, functional, and
402 sensory parameters. This is attributed to its strong oxidation potential. There is a need for a better
403 understanding of ozone effects on these parameters, and processing optimization is needed to
404 obtain significant microbial inactivation with minimal effect on the quality parameters.

405 Though ozone is a potential processing alternative for dairy processors, there is hesitation to
406 use this process in the facilities. This is attributed to the complexity of the present equipment and
407 the need for high funds for their setup. Limited capacity makes it unfeasible for larger capacity
408 dairies. Additionally, there is a need for extra safety precautions for the employees dealing with
409 ozone. Therefore, more focus is needed to improve the current processing equipment and its
410 safety.

411 **8. Conclusion**

412 Ozone processing emerged as a potential alternative to thermal treatment to ensure the
413 safety of dairy products. Recent literature demonstrated the bacterial inactivation potential in milk
414 and its products through ozone processing. However, a limited number of authors have shown the
415 five-log reduction. Further, process optimization is needed to avoid negative impacts on the quality
416 parameters of treated dairy products; common ones include lipid and protein oxidation and color
417 alternations. Ozone also has applications in aflatoxin and antibiotic reduction, biofilm prevention,
418 disinfection of equipment, sludge treatment, and air disinfection in dairy farms and industry.
419 Despite regulatory approvals in different countries and various ozone applications in the dairy
420 sector, its usage in the industry is insignificant. The major reasons are higher setup costs, the
421 sophistication of equipment, and the need for continuous treatment with commercially relevant
422 flow rates. Further, higher ozone concentrations can be a hazard to workers; hence, facilities need

423 extra caution. Future studies need to test ozone's inactivation potential against indicator
424 microorganisms of pasteurization, spores, and enzymes. Evaluation of environmental impact of
425 ozone processing as compared to thermal processing, development of continuous and affordable
426 reactors and strict safety plans for ozone working environments are other potential areas to focus.

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633 **Table 1:** Ozone-based microbial inactivation on microbial strains present in dairy products.

Milk and milk product	Treatment conditions	Targeted microbe	Results	Reference
Pecorino cheese	C: 200 ppb; T: 8 h, for 150 days	Mites and molds	<ul style="list-style-type: none"> Inhibitory effect on mites up to 25th days of storage. Mold inhibition up to 75th day of storage. 	(Grasso et al., 2023)
Soft Cheese	C: 2 and 6 mg/m ³ (gaseous ozone), during ripening.	<i>M. plumbeus</i>	<ul style="list-style-type: none"> 2-log reduction 	(Tabla and Roa, 2022)
Cream (Used for butter making)	C: 300 mg/h; T: 5, 15, 30 and 60 min	<i>Staphylococci</i> , yeast and mold and <i>Salmonella</i>	<ul style="list-style-type: none"> 2-log reduction of <i>Staphylococci</i> after 60 min. Yeast, mold, and <i>Salmonella</i> were eliminated after 15 min. 	(Sert et al., 2020)
Whey Concentrate (used for whey powder manufacturing)	C: NA T: 30, 60, 90 and 120 min	<i>Staphylococci</i>	<ul style="list-style-type: none"> <i>Staphylococci</i> population reached to non-detectible level after 30 min of treatments. 	(Sert and Mercan, 2022)
Minas Frescal Cheese	Ozonated water, C: 2 mg/L, T: 1-2 min	<i>Enterobacteriaceae</i> , <i>Staphylococcus sp.</i> and total thermotolerant, total	<ul style="list-style-type: none"> <i>Enterobacteriaceae</i>, <i>Staphylococcus sp.</i> and total thermotolerant were eliminated. 	(Cavalcante et al., 2013a)

		aerobic mesophiles, lactic acid bacteria, and yeast and molds	<ul style="list-style-type: none"> Aerobic mesophiles, lactic acid bacteria, yeast, and molds were reduced by 1.64, 1.91, and 2.14 log, respectively. 	
Milk (used for yogurt manufacturing)	C:0, 40, 60, and 70 ppm, T: 10 min.	<i>Staphylococcus aureus</i> , total coliforms, and mold counts	<ul style="list-style-type: none"> All the microbes were significantly reduced at a ozone concentration of 70 ppm. 	(El-Dahshan et al.)
Milk	C: 0.5 g/L T:5, 10 and 15 min.	Total plate count	<ul style="list-style-type: none"> Equivalent microbial reduction to LTLT pasteurization treatment (63°C for 30 min) 	(Azhar and ALmosowy, 2020)
Whole milk and skim milk	C: 0.36 L/min (whole) and 5.01 L/ min (skim)	Total plate count	<ul style="list-style-type: none"> 1.7-log (whole milk) and 2.16-log (skim milk) reduction was obtained. 	(Genecya et al., 2020)
Milk and whey concentrate	C: NA T: 60 min	Coliform count	<ul style="list-style-type: none"> 0.87-log (milk concentrate) and 0.75-log reduction (whey concentrate) was obtained. 	(Sert and Mercan, 2021b)

634 **Note:** C refers to the ozone concentration and T refers to the treatment time.

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647 **Table 2.** Change in quality parameters observed due to ozone processing of dairy products.

Product	Treatment conditions	Results	Reference
Fluid Milk	C: 80 mg/min; T: 10 min	<ul style="list-style-type: none"> Enhanced shelf life with intact nutritional attributes. Increased brightness. Reduced yellowness. 	(Mohammadi et al., 2017)
Pecorino cheese	C: 200 ppb; T: NA	<ul style="list-style-type: none"> No effect on the physicochemical attributes. 	(Grasso et al., 2023)
High-moisture Mozzarella cheese	C: 30 mg/min; T: 2 h	<ul style="list-style-type: none"> No elevation in the production of primary and secondary lipid oxidation products. 	(Segat et al., 2014)
Butter churned from ozonated cream	C: 300 mg/h; T: 5-60 min	<ul style="list-style-type: none"> Elevated firmness, consistency, and fat particle size of the cream. Declined average fat particle size of butter. Decreased yellowness of butter with increased exposure time. Increased spreadability of butter with exposure time. Declined oxidative stability of butter with treatment time. 	(Sert et al., 2020)
Soft Cheese (Torta del Casar PDO)	C: 2 and 6 mg/m ³ (ozonated air)	<ul style="list-style-type: none"> Improved rind's look and color. No impact on other quality attributes. 	(Tabla and Roa, 2022)
Butter	C: 0.15-0.35 mg/L (water used for churning)	<ul style="list-style-type: none"> Increased lightness and redness. Decreased yellowness. Increased firmness with ozone concentration. Decreased mean fat particle size and oxidative stability with increased ozone concentration. 	(Sert and Mercan, 2020)

Whey powder	C: NA T: 30, 60, 90 and 120 min (whey concentrate treatment)	<ul style="list-style-type: none"> Declined in the cohesiveness of concentrated whey. Improved the foaming capacity. Decreased foaming stability and bulk density. Increased mean particle size. Decreased caking ability and improved flow stability of whey powder. 	(Sert and Mercan, 2022)
Whey Protein Isolate		<ul style="list-style-type: none"> Increased surface hydrophobicity. Improved foaming capacity and foam stability. 	(Segat et al., 2014)
Cream Milk	C: 300 mg/h; T: 0-60 min.	<ul style="list-style-type: none"> Increased lipid oxidation with the increase in exposure time. 	(Perna et al., 2022)
Skim Milk Powder	C: 3.5 g/h	<ul style="list-style-type: none"> No alternation in the particle size of the powder. Enhanced dispersibility and foaming capacity. Decreased wettability time. Decreased Cohesion index with increased exposure. Decreased caking, cohesion and compaction. Improved flow stability. 	(Sert and Mercan, 2021a)
Milk concentrate	C: 3.5 g/h	<ul style="list-style-type: none"> Increased mean diameter. Declined consistency and firmness. Declined cohesivity. Increased lightness and decreased yellowness. 	(Sert and Mercan, 2021a)
Whey concentrate	C: 3.5 g/h	<ul style="list-style-type: none"> Decreased mean diameter. Declined consistency and firmness. Increased lightness and decreased yellowness. 	(Sert and Mercan, 2021a)

648 **Note:** C refers to the ozone concentration and T refers to the treatment time.

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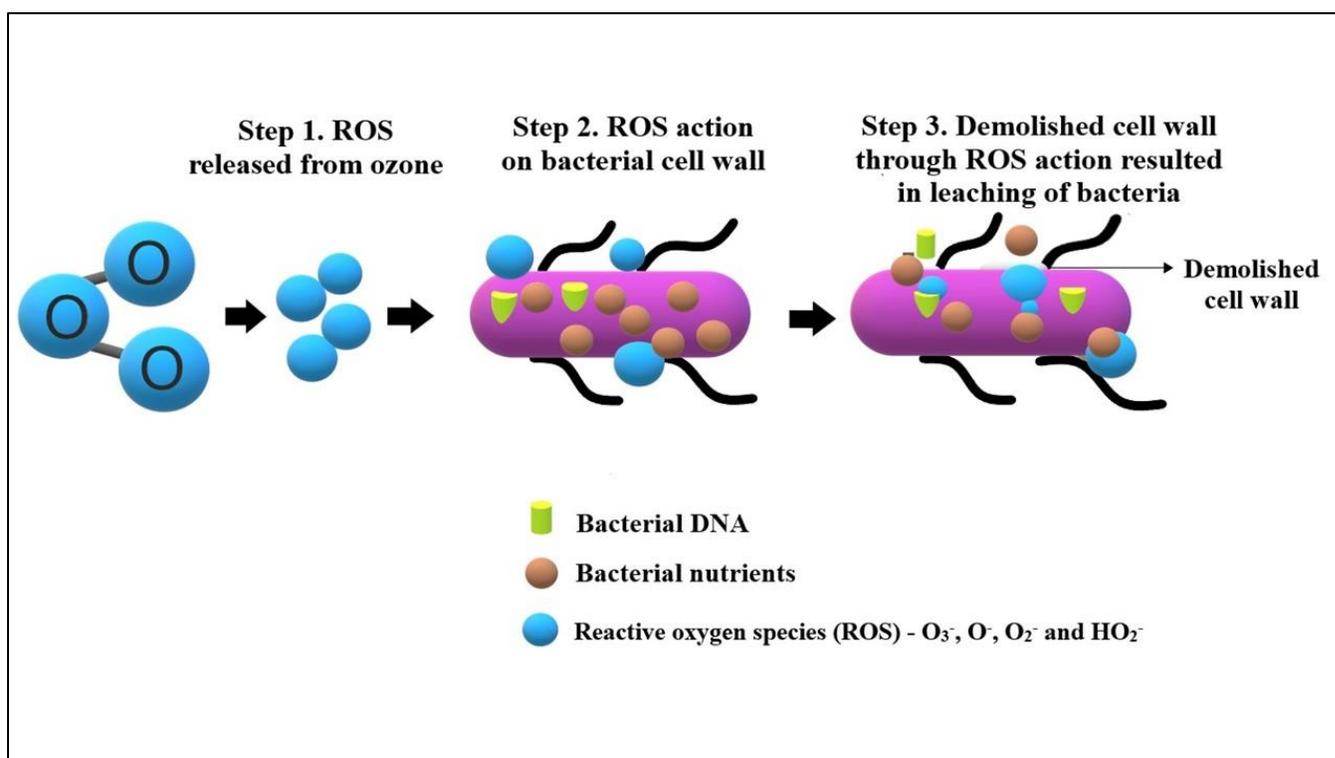
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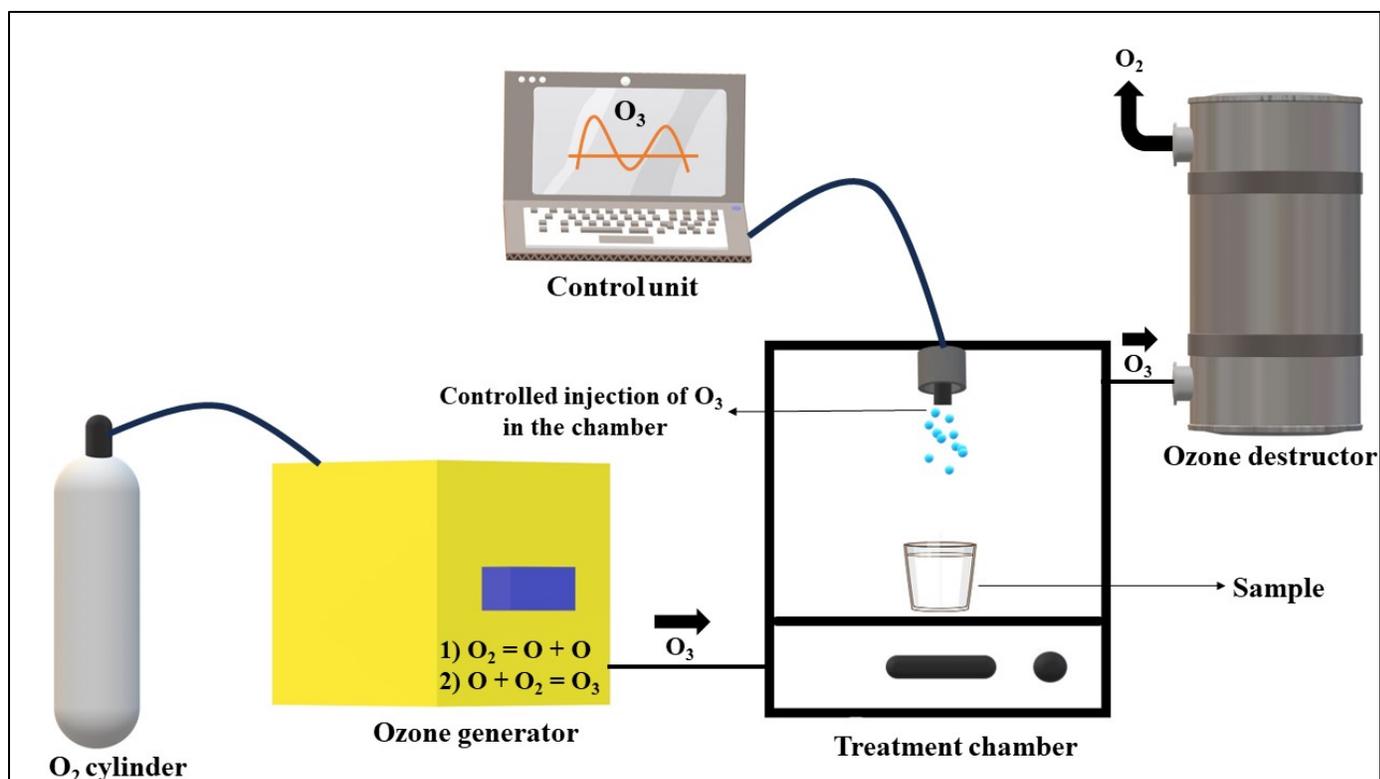
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658 **Fig. 1.** Microbial inactivation through oxidative stress generated by the free radical of ozone.

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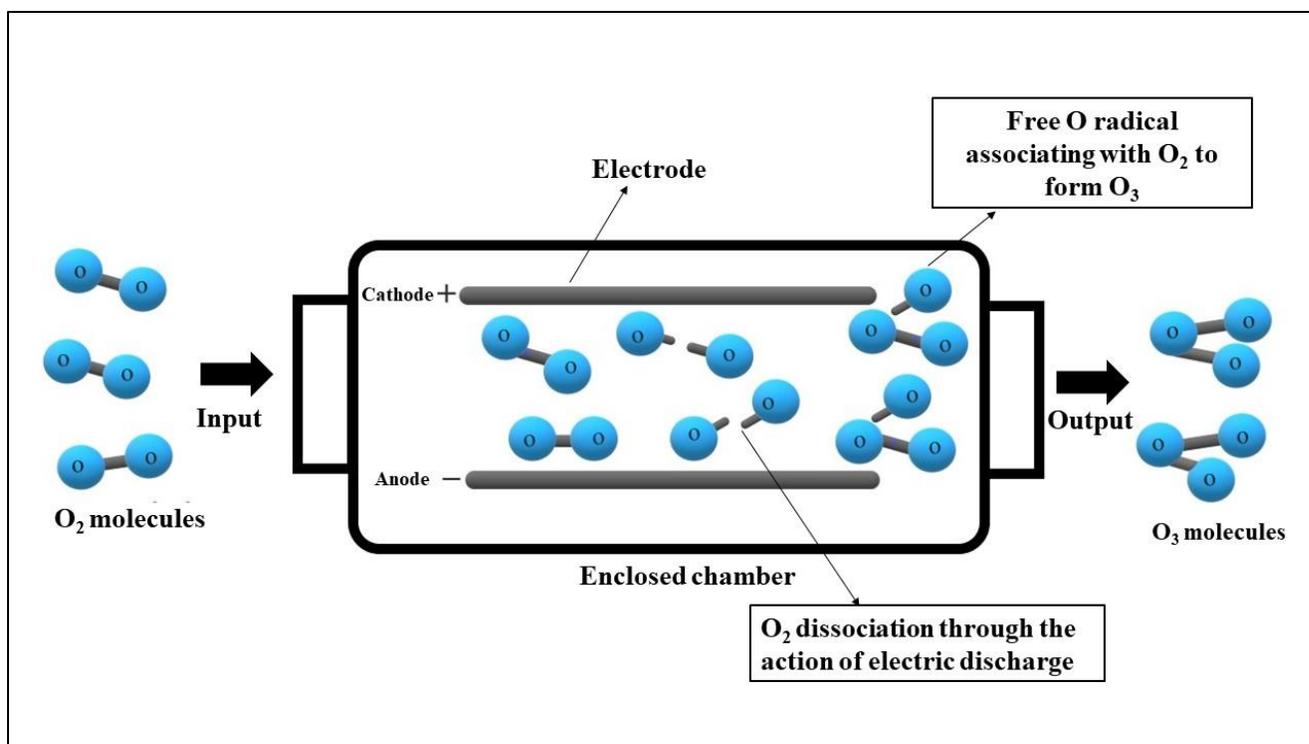


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663 **Fig. 2.** Schematic diagram of lab scale set up for ozone processing of dairy products.

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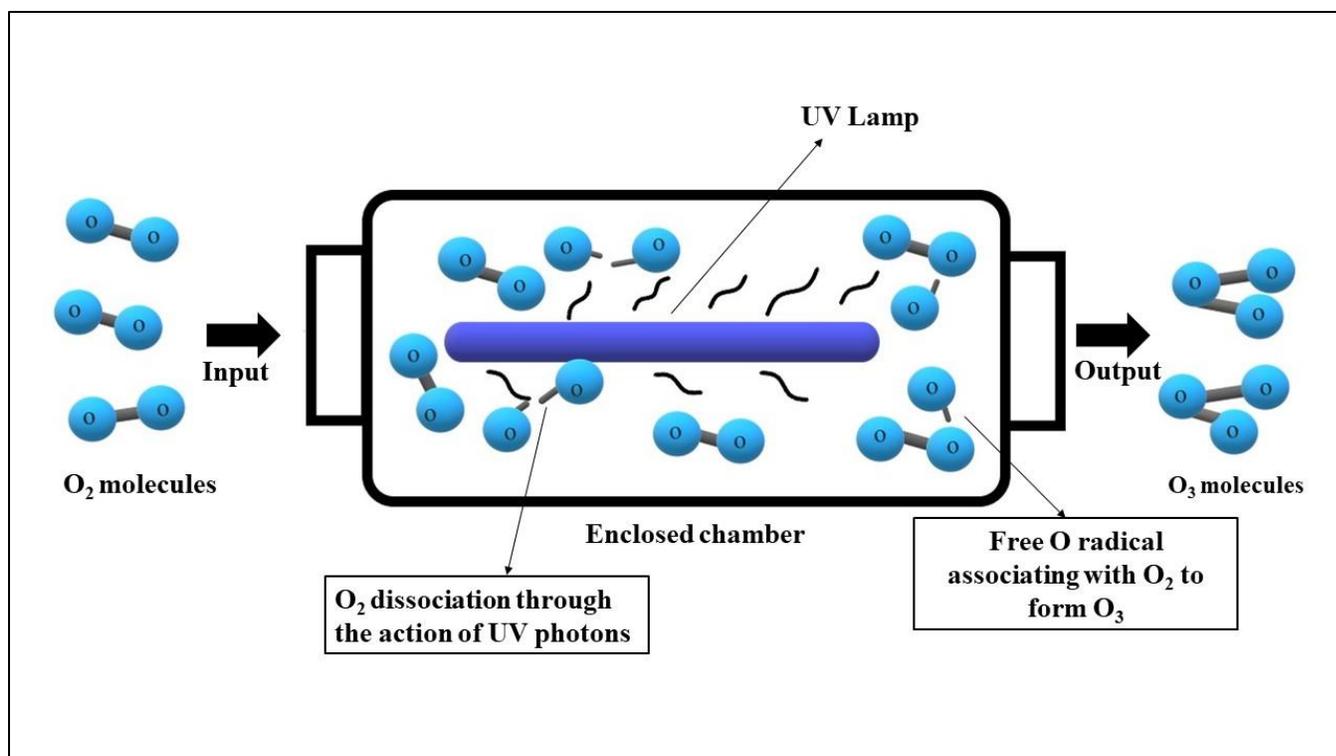
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667 **Fig. 3a.** Ozone generation by passing oxygen through the corona discharge processor.

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670 **Fig. 3b.** Ozone generation by passing oxygen through the UV generator.