Synthesis of Zirconium(IV) and Hafnium(IV)

isopropoxide and tert-butoxide

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Abstract

Here we review the synthesis literature towards zirconium(IV) and hafnium(IV)

isopropoxide isopropanol complex  $(M(OiPr)_4.iPrOH, M = Zr, Hf)$  and zirconium(IV)

and hafnium(IV) tert-butoxide (M(OtBu)<sub>4</sub>, M = Zr, Hf). We optimized the most

convenient syntheses methods and compare the products with commercial sources.

En route to the metal tert-butoxides, we synthesized the metal diethylamido complex

 $(M(NEt_2)_4, M = Zr, Hf).$ 

Introduction

Chemical precursors need to be pure for several important reasons. The purity of a chemical

precursor directly affects the reproducibility and consistency of chemical reactions. Impu-

rities can lead to variations in reaction outcomes, making it difficult to achieve the desired

results consistently. In many cases, chemical reactions are highly sensitive to the presence

of impurities. Impurities can act as catalysis, inhibitors, or interfere with the desired re-

action pathway. Pure precursors ensure that the reaction proceeds as intended, allowing

for better control over the synthesis procedure. The purity of chemical precursors plays a

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critical role in determining the quality of the final product. Impurities can negatively impact the properties and reliability of the synthesized products, e.g., impurities in semiconductor manufacturing can lead to defective electronic devices. In thin film deposition techniques, like chemical vapor deposition (CVD) and atomic layer deposition (ALD), precursor purity is crucial. Impurities can lead to unwanted reactions, film defects, non-uniformity, and poor adhesion of thin films to substrates. Overall, in research the reliability of experimental results is paramount. Impure precursors can introduce unexpected variables and confounding factors, making it difficult to draw accurate conclusions from experiments.

Group IV halide and alkoxide precursors, derived from elements such as titanium (Ti), zirconium (Zr), and hafnium (Hf), have gained significant attention in recent years as versatile and promising candidates for nanocrystal,  $^{1-23}$  metal organic frameworks (MOFs),  $^{24,25}$  and thin film  $^{26-29}$  synthesis, as well as catalysts.  $^{30-34}$  These halides and alkoxides are inorganic metal-organic compounds with the general formula MX<sub>4</sub> and M(OR)<sub>4</sub>, where M represents the Group IV metal, X and R denotes a halide and organic group respectively.

Nanocrystals are a fascinating class of materials that exhibit unique properties due to their small size, typically ranging fro 1 to 100 nanometers, and high surface-to-volume ratio. These materials find applications in various fields, including electronics, catalysis, photonics, and biomedical research. One powerful method for synthesizing nanocrystals is through the controlled formation and growth of inorganic materials from solution-phase precursors. The use of the halide and alkoxide functional groups, enable precise control over the size, shape, and composition of the resulting nanocrystals. Furthermore, the use of Group IV alkoxides enables the incorporation of dopants into the nanocrystal lattice, leading to the development of functional materials with specific electronic, optical, or catalytic properties. This capability is especially significant in the design of advanced nanocrystal-based devices and technologies.

Note that the successful synthesis of nanocrystals using Group IV precursors requires a thorough understanding of the underlying chemical mechanisms and precise control over reaction parameters.<sup>39</sup> These precursors can undergo controlled hydrolysis reactions, leading to the formation of metal oxide gels with high surface areas. Subsequent thermal treatment under controlled conditions can then convert these gels into well-defined nanocrystals with precise crystalline structures.<sup>3,4,6–13,22</sup> Researchers must carefully optimize the reaction conditions, such as temperature, solvent choice, and reaction time, to achieve reproducible and scalable nanocrystal synthesis. Group IV alkoxide precursors also offer the advantage of being compatible with different synthesis techniques, such as solvothermal, sol-gel, and hydrothermal methods, allowing researchers to choose the most suitable approach for their specific nanocrystal synthesis goals.

$$\operatorname{Zr}(\operatorname{OiPr})_4 \cdot \operatorname{iPrOH} + \operatorname{BnOH} \xrightarrow{220\,^{\circ}\mathrm{C}} \operatorname{ZrO}_2 \operatorname{NCs}$$
 (1)

$$\operatorname{Zr}(\operatorname{OiPr})_4 \cdot \operatorname{iPrOH} + \operatorname{ZrCl}_4 \cdot 2\operatorname{THF} \xrightarrow{\phantom{C}340\,^{\circ}\mathrm{C}\phantom{C}\phantom{C}\phantom{C}} \operatorname{ZrO}_2\operatorname{NCs} \tag{2}$$

$$Hf(OtBu)_4 + HfCl_4 \cdot 2THF \xrightarrow{340 \circ C} HfO_2 NCs$$
 (3)

Although most of these compounds are commercially available, there purity often varies significantly between producers and even between different precursor batches. In general, it is advised to purify these commercially received precursors by means of a recrystallization or vacuum distillation. On the other hand, the synthesis of these Group IV precursors are straightforward, easily to scale-up, and are all (in)directly made from the anhydrous metal chloride which is easy to store in big quantities, also for longer periods. However, the reactivity of these Group IV precursors can present challenges, such as their sensitivity to moisture, which can lead to premature hydrolysis. The synthesis of Group IV precursors requires careful handling, for which anhydrous and inert conditions are employed during the synthesis process to maintain the stability and purity of the products.

Group IV alkoxide precursors have emerged as valuable tools in the realms of catalysis and nanocrystal and thin film synthesis. Therefore, an overview of the synthesis of pure Group IV precursors is an important step in research, paving the way for innovative materials and

technologies in the future. Here, we review on previously reported and update the synthesis of frequently used Group IV metal alkoxide precursors. The synthesis of  $M(OiPr)_4.iPrOH$  complex,  $M(NEt_2)_4$  and  $M(OtBu)_4$  (with M = Zr and Hf).

## Experimental

Materials. All manipulations are performed under rigorously anhydrous conditions via dry nitrogen or argon atmosphere and standard Schlenk and glovebox techniques unless otherwise mentioned. All chemicals are used as received unless otherwise mentioned. Hafnium(IV) chloride (99.9%), and Zirconium(IV) chloride (99.5%) were purchased form Strem Chemicals. Ammonia (2M in isopropanol), lithium diethylamide (95%), and anhydrous tert-butanol (99.5%) were purchased from Sigma-Aldrich. Isopropanol (extra dry over molecular sieves) was purchased from Thermo Scientific. Benzene- $d_6$  (99.5 atom%D) is purchased from Apollo Scientific. To remove residual water, 10% m/v of activated molecular sieves were added and left to stand for 3 days in the glovebox prior to use.

Zr(OiPr)<sub>4</sub>.iPrOH complex synthesis. The protocol is inspired by the reports of Bradley et al. and Seienbaeva et al. <sup>40,41</sup> In a nitrogen filled glovebox, a 500 mL Schlenk flask is loaded with zirconium(IV) chloride (14.0 g, 60 mmol, 1.0 eq.) and toluene (150 mL), and air- and moisture-free transferred to the Schlenk line. The ammonia solution in isopropanol (2 M, 135 mL, 270 mmol, 4.5 eq.) is slowly and carefully dropwise added to the zirconium suspension while cooling with a water bath since the reaction is exothermic. After 1 hour of stirring at room temperature, the formed ammonium chloride is removed by means of Schlenk filtration (500 mL frit) to another 500 mL Schlenk flask. The solvent is removed under reduced pressure, and the product is redissolved in toluene (100 mL) to which ammonia solution in isopropanol (2 M, 30 mL, 60 mmol, 1.0 eq.) is added dropwise. If the previous exchange was incomplete, additional ammonium chloride precipitates. After 1 hour of stirring at room temperature, the additional ammonium chloride is removed by Schlenk filtration (250

mL frit) to a 250 mL Schlenk flask. The solvent is removed under reduced pressure. The resulting product is recrystallized to purity from a concentrated hot mixture (15 to 20 mL) of toluene and isopropanol (3:1 ratio by volume) where the hot solution is allowed to slowly crystallize overnight into colourless large crystals. The following day, the solvent is removed through a Cannula transfer. If needed a second recrystallization can be done under the same conditions. The product is vacuum dried at room temperature (at higher temperatures, the isopropanol adduct is removed under vacuum) to obtain a white crystalline product (15.1 g, 65 %) and is stored in the glovebox.  $^{1}$ H NMR (500 MHz,  $C_{6}D_{6}$ ):  $\delta$  6.0-5.5 (s, 1H),  $\delta$  5.5-4.0 (s, 5H),  $\delta$  2.0-1.0 (s, 30H).  $^{13}$ C NMR (125 MHz,  $C_{6}D_{6}$ ):  $\delta$  69.7, 26.6. SC-XRD confirms the identity of the product as reported by Vaartstra et al. (CSD ID: JETWOU) and hence is not reproduced.  $^{42}$ 

Hf(OiPr)<sub>4</sub>.iPrOH complex synthesis. Hafnium(IV) isopropoxide isopropanol complex is synthesized similar to  $Zr(OiPr)_4.iPrOH$  complex. Hafnium(IV) chloride (19.2 g, 60 mmol, 1.0 eq.) is added instead of zirconium(IV) chloride. After recrystallization a white crystalline solid is obtained (14.4 g, 51 %) and is stored in the glovebox. <sup>1</sup>H NMR (500 MHz,  $C_6D_6$ ):  $\delta$  7.5-7.0 (s, 1H),  $\delta$  5.5-4.0 (s, 5H),  $\delta$  2.0-1.0 (s, 30H). <sup>13</sup>C NMR (125 MHz,  $C_6D_6$ ):  $\delta$  69.7, 26.7. SC-XRD confirms the identity of the product as reported by Veith et al. (CSD ID: NAYDAS) and hence is not reproduced. <sup>43</sup>

Zr(NEt<sub>2</sub>)<sub>4</sub> synthesis. Tetrakis(diethylamido)zirconium(IV) complex is synthesized based on reports of Diamond et al., Kim et al., and Bradley et al. <sup>44–46</sup> In an nitrogen filled glovebox, a 250 mL round bottom flask is loaded with lithium diethylamide (8.70 g, 110 mmol, 4.23 eq.) and toluene (80 mL), to which zirconium(IV) chloride (6.06 g, 26 mmol, 1.00 eq.) is carefully and slowly added while stirring since the reaction is exothermic. After the addition, the flask is sealed with a septum and left stirring overnight at room temperature. The next day, the reaction mixture is filtered to remove the insoluble salts, and transferred to a 100 mL Schlenk flask to evaporate the solvent. Next, the Schlenk flask is transferred air- and moisture-free to the Schlenk line on which a predried vacuum distillation set-up is mounted.

During the distillation, the receiver Strauss flask is cooled with an ice bath which collects around 130 °C at 50 mtorr the colourless and transparent liquid product (6.6 g, 67 %) and is stored in the freezer of the glovebox. <sup>1</sup>H NMR (500 MHz,  $C_6D_6$ ):  $\delta$  3.36 (quad, J = 7.0 Hz, 2H),  $\delta$  1.16 (t, J = 7.0 Hz, 3H). <sup>13</sup>C NMR (125 MHz,  $C_6D_6$ ):  $\delta$  43.6, 16.3.

Hf(NEt<sub>2</sub>)<sub>4</sub> synthesis. Tetrakis(diethylamido)hafnium(IV) complex is synthesized similar to Zr(NEt<sub>2</sub>)<sub>4</sub>. Hafnium(IV) chloride (8.33 g, 26 mmol, 1.00 eq.) is added instead of zirconium(IV) chloride. During the distillation, the receiver Strauss flask is cooled with an ice bath which collects around 145 °C at 50 mtorr the colourless and transparent liquid product (9.3 g, 76 %) and is stored in the freezer of the glovebox. <sup>1</sup>H NMR (500 MHz, C<sub>6</sub>D<sub>6</sub>): δ 3.37 (quad, J = 7.0 Hz, 2H), δ 1.16 (t, J = 7.0 Hz, 3H). <sup>13</sup>C NMR (125 MHz, C<sub>6</sub>D<sub>6</sub>): δ 42.9, 16.3.

**Zr(OtBu)**<sub>4</sub> synthesis. Zirconium(IV) tert-butoxide is synthesized according to the procedure of Thomas et al. <sup>47</sup> In an nitrogen filled glovebox, a 100 mL Schlenk flask is loaded with tetrakis(diethylamido)zirconium(IV) (3.80 g, 3.70 mL, 10 mmol, 1 eq.) and toluene (40 mL), and a 25 mL Schlenk flask is loaded with tert-butanol (5.93 g, 7.65 mL, 80 mmol, 8 eq.) and toluene (10 mL). Both Schlenk flasks are transferred air and moisture free to the Schlenk line, where the zirconium containing flask is cooled with an ice bath. The tert-butanol solution is added dropwise to the zirconium suspension via Cannula transfer. Next, the ice bath is removed and the solution is allowed to heat to room temperature, and is stirred for 1.5 hours. Afterwards, the solvent is removed under reduced pressure prior to vacuum distillation. During the distillation, the receiver Strauss flask is cooled with an ice bath which collects around 45 °C at 50 mtorr the colourless and transparent liquid product (2.7 g, 71 %) and is stored in the freezer of the glovebox. <sup>1</sup>H NMR (500 MHz, C<sub>6</sub>D<sub>6</sub>): δ 1.32 (s, 36H). <sup>13</sup>C NMR (125 MHz, C<sub>6</sub>D<sub>6</sub>): δ 75.3, 33.1.

 $\mathbf{Hf}(\mathbf{O}t\mathbf{Bu})_4$  synthesis. Hafnium(IV) tert-butoxide is synthesized similar to  $\mathbf{Zr}(\mathbf{O}t\mathbf{Bu})_4$ . Tetrakis(diethylamido)hafnium(IV) (4.67 g, 3.74 mL, 10 mmol, 1 eq.) is used instead of tetrakis(diethylamido)zirconium(IV). During the distillation, the receiver Strauss flask is

cooled with an ice bath which collects around 30 °C at 50 mtorr the colourless and transparent liquid product (2.2 g, 46 %) and is stored in the freezer of the glovebox.  $^{1}$ H NMR (500 MHz,  $C_6D_6$ ):  $\delta$  1.33 (s, 36H).  $^{13}$ C NMR (125 MHz,  $C_6D_6$ ):  $\delta$  75.5, 33.2.

NMR Spectroscopy. Nuclear magnetic resonance (NMR) spectra were recorded at 298.15 K on a Bruker UltraShield 500 spectrometer operating at a  $^{1}$ H frequency of 500.13 MHz. Regular  $^{1}$ H and  $^{13}$ C NMR spectra were acquired using the standard pulse sequences with a 30 degree pulse with a recycle delay of 1.5 and 1.0 second from the Bruker library; zg30, zgpg30 respectively. All resonances were corrected prior to integration by subtracting a background from the measured intensity. Chemical shifts ( $\delta$ ) are given in parts per million (ppm), and the residual solvent peak was used as an internal standard (C<sub>6</sub>D<sub>6</sub>:  $\delta$ H = 7.16 ppm,  $\delta$ C = 128.06 ppm). The signal multiplicity is denoted as follows: s (singlet), d (doublet), t (triplet), quad (quadruplet), quin (quintet), and m (multiplet). Coupling constants are reported in Hertz (Hz).

### Results and Discussion

In our laboratory, we are producing oxide nanocrystals and oxo clusters from metal alkoxide precursors. While zirconium and hafnium isopropoxide and tert-butoxide compounds are commercially available, we experienced a high supplier-to-supplier and batch-to-batch variability in terms of color (slightly yellow instead of white solid) or purity (e.g., turbid instead of transparent liquid). In addition, such speciality chemicals are often back-ordered and long delivery times are normal. In order to secure a more reliable supply and to obtain more reproducible nanocrystal syntheses, we sought to synthesize the metal alkoxides in our laboratory from readily available precursors.

Many different synthetic routes have been reported for zirconium and hafnium alkoxides. The electrochemical route involves the dissolution of the metal anode in alcohol. <sup>48</sup> On the other hand, the reaction of the metal chloride with ethanol typically yields an incomplete

substitution. 49

$$2\operatorname{ZrCl}_4 + \operatorname{excess} \operatorname{EtOH} \longrightarrow \operatorname{ZrCl}_3(\operatorname{OEt}) \cdot \operatorname{EtOH} + \operatorname{ZrCl}_2(\operatorname{OEt})_2 \cdot \operatorname{EtOH} + 3\operatorname{HCl}$$
 (4)

The reaction is driven to completion by anhydrous ammonia. 40,50

$$\operatorname{ZrCl}_4 + 4\operatorname{EtOH} + 4\operatorname{NH}_3 \longrightarrow \operatorname{Zr}(\operatorname{OEt})_4 + 4\operatorname{NH}_4\operatorname{Cl}$$
 (5)

The use of sodium ethoxide is not recommended due to the formation of a heterobimetallic complex: NaZr(OEt)5.HOEt. The ammonia route also works well for zirconium isopropoxide, although some hydrolysis occurs due to reaction of HCl with isopropanol, generating water and isopropyl chloride.  $^{40,50}$  The zirconium isopropoxide can be purified by recrystallization from isopropanol, yielding the  $Zr(OiPr)_4 \cdot iPrOH$  complex. The metal alkoxides (ethoxide and isopropoxide) were also synthesized from pyridinium metal hexachloride in benzene.  $^{51,52}$ 

$$(C_5H_6N)_2ZrCl_6 + 4EtOH + 6NH_3 \longrightarrow Zr(OEt)_4 + 6NH_4Cl + 2C_5H_5N$$
(6)

Given the convenience of purifying  $Zr(OiPr)_4$  · iPrOH by crystallization, it is often used as starting point to synthesize other alkoxides by alcohol interchange, also called alcoholysis.  $^{40,50,51,53-55}$ 

$$\operatorname{Zr}(\operatorname{OiPr})_4 \cdot \operatorname{iPrOH} + 4\operatorname{ROH} \longrightarrow \operatorname{Zr}(\operatorname{OR})_4 + 5\operatorname{iPrOH}$$
 (7)

This is particularly successful when the incoming alcohol has a higher boiling point than isopropanol, e.g., amyl alcohols. In case of only slight differences in boiling points of the alcohols, transesterification can be helpful.<sup>56</sup> Taking the example of acetate esters:

$$Zr(OiPr)_4 \cdot iPrOH + 4ROAc \longrightarrow Zr(OR)_4 + 4iPrOAc + iPrOH$$
 (8)

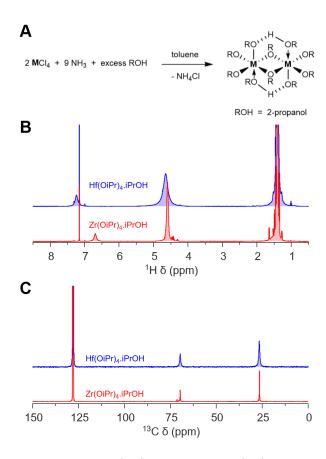
The method has been successful for n-butyl, sec-butyl and tert-butyl acetate, producing the respective zirconium alkoxides in semi-quantitative yields. <sup>56</sup> Since the isopropyl ester is more volatile, it can be fractionated out of the system. Especially for zirconium and hafnium tert-butoxides, the method is superior to alcohol exchange since the latter does not proceed further than metal tri-tert-butoxide mono-ethoxide.

Finally, one can readily generate alkoxides from metal amides, such as dialkylamides. 47

$$Zr(NEt_2) + 4ROH \longrightarrow Zr(OR)_4 + 4HNEt_2$$
 (9)

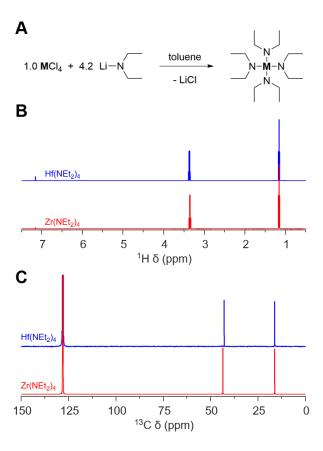
To prepare  $\operatorname{Zr}(\operatorname{OiPr})_4 \cdot \operatorname{iPrOH}$ , we choose the ammonia route from  $\operatorname{ZrCl}_4$  for several reasons. (1)  $\operatorname{ZrCl}_4$  can be bought pure, in high quantities, and is an economical precursor. (2) Ammonia can be added to the mixture in either gas-form or as a 2M solution in isopropanol. (3) The excess of ammonia is easily removed due to its volatility. (4) The ammonium chloride byproduct precipitates from the reaction mixture and is easily removed by filtration.  $^{40,50-52}$   $\operatorname{Zr}(\operatorname{OiPr})_4 \cdot \operatorname{iPrOH}$  is poorly soluble in pure isopropanol and thus requires large volume of solvent for recrystallization. Fortunately, recrystallization from a toluene/isopropanol (3:1) mixture is much more convenient.  $^{41}$ 

We thus synthesized  $Zr(OiPr)_4 \cdot iPrOH$  and  $Hf(OiPr)_4 \cdot iPrOH$  by dispersing the metal chloride in toluene, and slowly adding a 2M ammonia solution in isopropanol. After filtering off the ammonium chloride and a recrystallization, we obtain the pure white crystals. Their NMR spectra as shown in Figure 1. While both compounds are commercially available, their appearance varies from the desired white crystals to yellow-brown powder. It was already earlier advised to recrystallize the commercial precursors.<sup>41</sup>



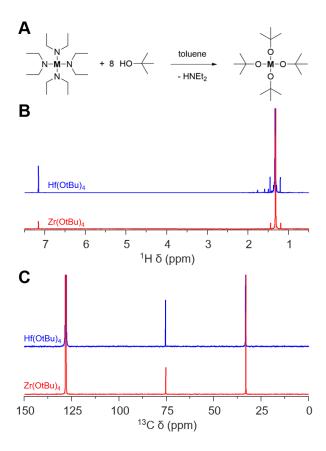
**Figure 1:** The synthesis of zirconium(IV) and hafnium(IV) isopropoxide isopropanol complex. (A) General reaction scheme, (B)  $^{1}$ H NMR, and (C)  $^{13}$ C NMR of zirconium(IV) (lower trace, in red) and hafnium(IV) (upper trace, in blue) isopropoxide isopropanol complex in  $C_6D_6$ .

Tetrakis(diethylamido)-zirconium and -hafnium are synthesized from its metal chloride reacting with lithium diethylamide, see Figure 2.<sup>44</sup> The formation and precipitation of lithium chloride as byproduct, which is easily removed by filtration, is the driving force of the reaction. The compound is conveniently purified by vacuum distillation and the NMR spectra as shown in Figure 2.



**Figure 2:** The synthesis of zirconium(IV) and hafnium(IV) diethylamido complex. (A) General reaction scheme, (B)  $^{1}$ H NMR, and (C)  $^{13}$ C NMR of zirconium(IV) (lower trace, in red) and hafnium(IV) (upper trace, in blue) diethylamido complex in  $C_6D_6$ .

Zirconium and hafnium tert-butoxides are readily synthesized from these diethylamides upon addition of the alcohol. <sup>47</sup> Also these products are vacuum distilled to purity. The NMR spectra are shown in Figure 3. Although zirconium and hafnium tert-butoxide are commercially available, they are not delivered as completely colourless and transparent liquids. In some cases, the solution is yellowish and/or a little turbid. If one choses to buy these products commercially, one is advise to purify it by vacuum distillation before use. We have experience with hafnium oxide nanocrystals synthesis from hafnium tert-butoxide. Apart from the desired nanocrystals, we observed a second population of amorphous hafnia particles with a different morphology, when turbid precursors were used.



**Figure 3:** The synthesis of zirconium(IV) and hafnium(IV) tert-butoxide complex. (A) General reaction scheme, (B)  $^{1}$ H NMR, and (C)  $^{13}$ C NMR of zirconium(IV) (lower trace, in red) and hafnium(IV) (upper trace, in blue) tert-butoxide complex in  $C_6D_6$ .

# Conclusion

We identified the most convenient routes to produce zirconium and hafnium isopropoxide and tert-butoxide. We optimized, modernized and clearly described the synthesis protocols.

## **Associated Content**

#### **Supporting Information**

The supporting Information is available.

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Notes

The Authors declare no competing interest.

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