# **Auger-Meitner and X-ray absorption spectra of ethylene cation: Insight from conical intersection dynamics**

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#### **Abstract**

We present a theoretical investigation into the near-edge X-ray absorption fine structure and the Auger-Meitner decay spectra of ethylene and its cation. Herein, we demonstrate that our method, coupled with the nuclear ensemble approach, successfully reproduces the natural bandwidth structure of the experimental resonant Auger-Meitner decay spectra of ethylene, which is not very well reproduced within the Franck-Condon approximation. Furthermore, we have analyzed the Auger-Meitner decay spectra of the ethylene cation in the light of minimum energy conical intersection structures involving the two lowest cationic states  $(D_1 \text{ and } D_0)$ , providing valuable insights into the ultrafast  $D_1/D_0$  relaxation dynamics. Our results suggest that Auger-Meitner electron spectroscopy can help elucidate the mechanism behind the initial 20 fs of the relaxation dynamics.

## **1 Introduction**

Attosecond science is an emerging field that offers the ultimate time resolution necessary for studying and understanding dynamics at conical intersections  $(CIs).<sup>1-3</sup>$  Excited-state dynamics of polyatomic molecules often involve the coupling of vibrational and electronic degrees of freedom due to the breakdown of the Born-Oppenheimer approximation. <sup>4</sup> These processes are facilitated by CIs, which have emerged as the accepted mechanism for understanding the underlying time scales of ultrafast nonradiative processes. Schuurman and Stolow have recently touched upon the importance of including dynamics in this context and understanding CIs as a transition state of the excited state. <sup>5</sup> The advent of attosecond spectroscopy, and thus the toolbox to clock and map out sub-femtosecond dynamics makes such realization possible.

The relaxation dynamics of the ethylene cation  $C_2H_4^+$  has gained significant attention due to its ultrafast nature. In particular, the photodynamics of the cation is known to proceed through conical intersections, but despite it being the simplest possible  $\pi$  radical system, the relaxation mechanism has been discussed for more than a decade.  $6-12$  In one of the most recent studies, Zinchenko et al.<sup>8</sup> identified the electronic relaxation from the first excited state of the ethylene cation  $(D_1)$  to its ground state  $(D_0)$  through a planar conical intersection. The most direct evidence of the electronic relaxation was the observation of a short-lived transient feature assigned to the  $D_1$  state in both the experiment (attosecond transient absorption spectroscopy measurements) and ab initio multiple spawning quantum dynamical simulations. Meanwhile, the particular absorption feature characteristic of the  $D_1$  state overlaps with one of the ground state absorption features, thereby making the deconvolution of the spectra cumbersome. <sup>8</sup>

Auger-Meitner electron spectroscopy maps the electronic relaxation (nonradiative autoionization) mechanism of core vacant states into the kinetic energy of an ejected electron. In both normal and resonant Auger-Meitner electron spectroscopy (AES and RAES, respectively), the core orbital vacancy is refilled upon the relaxation of a valence electron and simultaneous ejection of another valence electron. 13–15 The techniques allow atomic and molecular decay channels to be probed directly, and much effort is currently being invested in the development of the methods both experimentally  $16-18$  and theoretically.  $19-24$ 

The computation of Auger-Meitner decay spectra is very challenging because it requires the inclusion and treatment of an electron in the continuum, which cannot be modeled with the same square-integrable basis functions as the bound states.  $25-27$  We have recently presented a computational protocol for AES and RAES based on the restricted active space perturbation theory to second order (RASPT2) wave function parameterization and the one-center approximation (OCA), termed OCA-RASPT2. <sup>23</sup> The one-center approximation exploits the spatial locality of the Auger-Meitner decay process and essentially amounts to substituting the challenging and expensive exact two-electron bound-continuum integrals with pre-calculated one-center (atomic) two-electron integrals.  $23,28,29$ 

Using pre-calculated integrals makes the OCA-RASPT2 protocol extremely cost-efficient without sacrificing the quality of the results. In particular, we have demonstrated the success of the protocol for a range of small to medium-sized molecules in previous work. 17,23,30 The low-cost efficiency of our implementation opens up the opportunity to perform time-resolved Auger-Meitner electron spectroscopy. Wang et al. have very recently proposed this to follow the O-H bond stretching in the water molecule. <sup>31</sup>

In this work, we revisit the investigation of the electronic relaxation mechanism of the

ethylene cation with Auger-Meitner electron spectroscopy. The purpose of our study is two-fold: First, we bring forward new evidence for the electronic relaxation mechanism of the ethylene cation, which we hope can help settle the ongoing discussion. In particular, Auger-Meitner electron spectroscopy brings forward the opportunity to follow the electronic relaxation through the conical intersection in a site-specific way, and our results clearly show a distinction between the two electronic states, thereby alleviating the problem of overlapping absorption features. <sup>8</sup> Second, we showcase as a proof-of-concept how Auger-Meitner electron spectroscopy can be applied to extract detailed information concerning the entanglement of the electronic and nuclear motion, and hereby hope to inspire and motivate the experimental X-ray community to invest in the development of time-resolved Auger-Meitner electron spectroscopy.

To benchmark the accuracy of our protocol, we have very recently investigated the Auger-Meitner decay of argon following primary  $(1h)$  and shake-up/shake-off satellite  $(2h1p/2h)$ core-ionized states. <sup>32</sup> Here, the parentheses denote the character of the initial states. Notably, the shake-up states of argon share a comparable electronic structure with the core-excited open-shell cations (2*h*1*p*) of the ethylene cation. The lack of vibrational degrees of freedom in an atom ensures direct probing of the electronic resonances, thereby making argon the perfect test system. Thus, given our successful simulation of the AES in shake-up states in argon, we are confident in the accuracy of our Auger-Meitner calculations on the ethylene cation.

The paper is organized as follows. The main components of the OCA-RASPT2 protocol are shortly detailed in Section 2. We then describe the computational approach in Section 3, and our results are presented and discussed in Section 4. Conclusions and outlook are provided in Section 5.

## **2 Theory**

In the Wentzel approximation, the initial-state preparation (core-ionization in AES and coreexcitation in RAES) and subsequent Auger-Meitner decay are considered as decoupled, and the total decay rate is described through the Fermi golden rule,  $33,34$ 

$$
\Gamma_{\rm IF} = \sum_{l,m} \Gamma_{\rm IF;Elm},\tag{1}
$$

with  $\Gamma_{IF;Elm}$  being the partial decay rate parameterized by the Wentzel ansatz,  $35-37$ 

$$
\Gamma_{\text{IF};Elm} = 2\pi \left| \left\langle \Psi_{\text{F};Elm} \right| \hat{H} - E_{\text{I}} \left| \Psi_{\text{I}} \right\rangle \right|^2. \tag{2}
$$

Here,  $\Psi_{\text{F};Elm}$  is the final state wave function,  $\hat{H}$  is the molecular Hamiltonian, and  $E_{\text{I}}$  is the energy of the initial state wave function  $\Psi$ <sub>I</sub>. The electron emission angular distribution has been assumed isotropic, and we sample over all possible angular momenta of the continuum electron.

The final state wave function is, within the single-channel approximation, an antisymmetrized product of the (*N −* 1)-electron wave function (with *N* being the initial number of electrons) and a continuum orbital  $\phi_{Elm}$ , characterized by the kinetic energy *E* and angular momentum quantum numbers  $l$  and  $m$  of the continuum electron<sup>23,30</sup>

$$
\Psi_{\mathcal{F};Elm} = \hat{\mathcal{A}}(\Psi_{\mathcal{F}}^{N-1} \phi_{Elm}), \qquad (3)
$$

where  $\hat{A}$  is an appropriate antisymmetrizer.

The OCA-RASPT2 protocol exploits the state interaction approximation, in which matrix elements between optimized molecular orbitals from separate RASPT2 calculations are evaluated efficiently due to pre-biorthogonalization of the two orbital sets. The separate orbital optimization ensures proper treatment of correlation effects within both the initial and final states.<sup>38</sup> The partial decay rate can, within the state interaction approximation,

be decomposed into a one-electron part  $A_{IF;Elm}$  and a two-electron part  $B_{IF;Elm}$ 

$$
\Gamma_{\text{IF};Elm} = 2\pi \left| A_{\text{IF};Elm} + B_{\text{IF};Elm} \right|^2.
$$
 (4)

In the one-center approximation, <sup>28,29</sup> we neglect the one-electron term and substitute the exact two-electron bound-continuum integral in

$$
B_{\text{IF};Elm} = \langle \hat{a}_{Elm}^{\dagger} \Psi_{\text{F}}^{N-1} | \hat{g} | \Psi_{\text{I}}^{N} \rangle = \sum_{q,r,s} \langle \phi_{Elm} \phi_q | \hat{g} | \phi_r \phi_s \rangle \gamma_{qsr}^{\text{IF}}.
$$
 (5)

with pre-calculated one-center integrals of the corresponding atom *A* for core-hole site *c* taken from Ref. 39,

$$
\langle \phi_{Elm} \phi_c | \hat{g} | \phi_r \phi_s \rangle \simeq I_{Elm,c,r,s}^A \ . \tag{6}
$$

In respect to Eq. (5),  $\hat{a}_{Elm}^{\dagger}$  is the continuum electron creation operator,  $\hat{g}$  is the two-electron Coulomb operator,  $\{\phi_p, \phi_q, ...\}$  denotes the set of biorthogonalized molecular orbitals, and  $\gamma_{qsr}^{\text{IF}}$  is the two-particle Dyson matrix element, <sup>23,30,38</sup>

$$
\gamma_{qsr}^{\rm IF} = \langle \Psi_{\rm F}^{N-1} | \hat{a}_q^{\dagger} \hat{a}_s \hat{a}_r | \Psi_{\rm I}^N \rangle , \qquad (7)
$$

where  $\hat{a}_{q}^{\dagger}$  and  $\hat{a}_{\mu}$  with  $\mu \in \{s, r\}$  are the fermionic creation and annihilation operators, respectively. For more details on the OCA-RASPT2 protocol, please consult Tenorio et al. <sup>23</sup>

## **3 Computational details**

Initial core-ionized states and final decay states have been computed with the restricted active space self-consistent field (RASSCF) approach<sup>40</sup> making use of the aug-cc-pVTZ<sup>41</sup> basis set. The restricted active space approach is based on a threefold division of the correlation orbital space, denoted RAS1, RAS2, and RAS3. <sup>42</sup> The active space was formed with both carbon 1*s* orbitals in the RAS1 subspace, followed by the valence-occupied orbitals  $2a_g$  (C 2*s*),  $2b_{1u}$  (C

2s),  $1b_{2u}$  ( $\sigma_{CH}$ ),  $3a_g$  ( $\sigma_{CC}$ ),  $1b_{3g}$  ( $\sigma_{CH}$ ),  $1b_{3u}$  ( $\pi$ ), and the virtual orbitals  $1b_{2g}$  ( $\pi^*$ ),  $4a_g$  ( $\sigma_{CC}^*$ ),  $2b_{2u}$  ( $\sigma_{\text{CH}}^*$ ),  $2b_{3g}$  ( $\sigma_{\text{CH}}^*$ ) in the RAS2 subspace. Note that our orbital symmetry labels are obtained for the molecular plane oriented along the yz-axis. The active orbitals are shown in the supplementary Figure S1 together with the calculated valence photoelectron spectrum.

Core-excited states were computed by placing the pertinent core orbitals in the RAS1 space and enforcing single electron occupation in it by means of the HEXS projection technique.<sup>43</sup> The RASSCF wave functions have been corrected by the regularized multi-state restricted-active-space perturbation theory of the second-order (RMS-RASPT2) approach. <sup>44</sup> The RMS-RASPT2 approach has been recently introduced by Battaglia *et al.*<sup>44</sup> to fix the intruder-state problem in CASPT2/RASPT2 based on  $\sigma^p$  regularization and achieves stability near a CASSCF intersection.

To ensure consistency, we have not employed any point group symmetry in the calculations presented here. The final states of XAS spectra were obtained by state-averaging over 30 core-excited roots, while for Auger-Meitner spectra, the final states were obtained by state-averaging over 100 cationic states. The OpenMolcas program package <sup>45</sup> was utilized to perform the RASPT2 calculations of XAS and AES.

Additionally, we used the density functional theory restricted open-shell configuration interaction singles ( $DFT/ROCIS$ ) method<sup>46</sup> implemented in the ORCA program package,  $47$ adopting the B3LYP functional, <sup>48</sup> and the def2-TZVP, <sup>49</sup> basis set to calculate the XAS of the ethylene cation. Similarly to the RASSCF/RASPT2 approaches, ground and excited states of  $\rm{C_2H_4^+}$  are calculated as pure doublet states at the DFT/ROCIS level,  $^{46}$  avoiding the problem of spin contamination of, *e.g.*, regular unrestricted TD-DFT calculations. However, we do acknowledge that the reliability of DFT/ROCIS for applications on minimum energy conical intersection (MECI) structures may be limited, as it remains a single reference method.

Here, we simulate XAS and AES line widths using two distinct methodologies. In the first one, we broaden the calculated spectral lines computed with a fixed molecular (experimental) geometry by applying Lorentzian functions, using a system-specific line-width parameter

chosen to match the experimental bandwidth. The molecular experimental geometry of neutral ethylene was obtained from the Nist database.<sup>50</sup> Alternatively, we adopt the nuclear ensemble approach (NEA).<sup>51</sup> Within the NEA framework, the inherent bandwidth of the spectrum is determined through the sum of transitions calculated from an ensemble of nuclear geometries sampled from, for example, a Wigner distribution of harmonic vibrational modes. In this work, we employ Lorentzian functions with a line width of 0.1 eV for the NEA simulations.

## **4 Results and discussion**

#### **4.1 Neutral ethylene**

In Figure 1, we present the XAS, AES and RAES of  $C_2H_4$  and compare with experimental data.<sup>52</sup> The calculated carbon 1*s* excitation and ionization energies (IE's) are compiled in Table 1. Upon comparison with experimental reference values,  $8,52$  our computed excitation energies exhibit an overall redshift of a few tenths of an eV. For example, the calculated excitation energy of the first resonance  $C_{1s}(1b_{1u}) \to \pi^*(1b_{2g})$  is 284.1 eV, while the experimental result is reported as 284.7 eV.<sup>52</sup> To rectify this discrepancy, we have uniformly shifted all computed energies of the spectra plotted in Figure 1 by  $+0.5$  eV. This shift equally applies to the calculated XAS, RAES, and AES spectra.

Table 1:  $C_2H_4$ . Main XAS features from the simulated RASPT2 spectra on the ground-state equilibrium geometry.

Energy	<b>Excited State</b>		Exp. <sup>52</sup>
(eV)	Assignment	Symmetry	(eV)
284.1	$1b_{1u}^{-1}1b_{2a}^1$	$B_{3u}$	284.7
286.4	$1b_{1u}^{-1}4a_{a}^{\dagger}$	$B_{1u}$	287.4
287.2	$1a_q^{-1}2b_{2u}^1$	$B_{2u}$	287.8
288.4	$1b_{1u}^{-1}2b_{3g}^1$	$B_{2u}$	289.3
290.6	$IE(C_{1s}^{-1})$		290.8
$IE = ionization energy$			

Computed AES spectra of ethylene are presented in panels **b)** and **c)** of Figure 1. In panel



Figure 1: XAS, AES, and RAES of  $\mathrm{C_2H_4}$ . All computed spectra have been shifted by  $+0.5$  eV. The spectra computed in the FC regime shown in panels **a)**, **b)**, and **d)** were broadened with Lorentzian functions with fwhm  $= 0.6, 1.6,$  and  $0.8$  eV, respectively. Panels **c**) and **e**) were computed using the nuclear ensemble approach from 200 sampled initial conditions from a Wigner distribution.

**b)**, we adopted the FC approximation by using the ground state geometry and convoluted the AES spectral lines using Lorentzian functions with a full width at half maximum (fwhm) of 1.6 eV. In panel  $c$ ), we employed the nuclear ensemble approach, <sup>51</sup> computed from 200 initial conditions sampled from a Wigner distribution of the harmonic vibrational modes of neutral ethylene. The sticks colored in blue and magenta in panel **b)** correspond to decay channels leading to singlet and triplet final states, respectively. The features identified as *I* through *IV* in panel **b)** are compiled in Table 2. Our assignments align quite well with the experimental data  $53$  and are also consistent with another computational study that employed the CBF/EOM-CCSD approach.<sup>24</sup> Interestingly, a close comparison with the experimental measurement reveals that the AES spectra obtained through the FC and nuclear ensemble approximations exhibit remarkably similar quality. This suggests that, in this case, the computational cost associated with calculating AES spectra using the NEA may not be justified. However, this conclusion naturally depends on the molecular system and resolution of the experimental spectrum.

In panels **d)** and **e)** of Figure 1 are the resonant Auger-Meitner spectra computed for the core-excited state  $C_{1s} \to \pi^*(1b_{2g})$ . The features labeled from *I* to *VII* are assigned in Table 3. For the RAES of ethylene, we have again employed both the FC and nuclear ensemble approximations, using Lorentzian functions with fwhm of 0.8 eV for the FC spectrum and the same initial conditions outlined previously for AES. Notably, an evident improvement in the description of experimental features of the RAES spectrum is observed with the nuclear ensemble approach. Specifically, under the FC approximation, a distinct peak around 272 eV is observed, whereas the experiment shows no clear structure in this region. With the NEA, the peak intensity at 272 eV is considerably reduced. Moreover, the utilization of the NEA yields a significant improvement in the shapes of peaks *V* to *V II* when compared to the experiment. In particular, the double peak description obtained with the FC approximation for features *V I* and *V II* is shaped into a single broad peak with the NEA, in much better agreement with the bandwidths of the experiment.

In summary, the outcomes yielded by the RASPT2 computations, coupled with the onecenter approximation for characterizing Auger-Meitner spectra of neutral ethylene, showcase a remarkable agreement with experimental data. With our computational approach validated on neutral ethylene, we now proceed to detail the XAS and AES spectra of the ethylene cation.

Peak	ΚE (eV)	a.u.	Assignment
	259.3	3.14	
	258.2	2.84	$1b_{3u}^{-1}$
Н	255.5	2.44	$3a_g$
II	254.6	2.21	$1b_{3u}^{-1}$
Н	253.5	1.10	$3a_g^{-1}1b_3^-$
ИI	251.1	2.15	$1b_{2u}^{-1}$
Η	250.6	1.99	$2b_{1u}^{-1}$
ΙV	247.0	1.28	
	242.3	0.96	$2a_g^{-1}$
VI	236.8	0.70	$2a_q^-$

Table 2:  $C_2H_4$ . Main features of AES from the simulated RASPT2 spectra on the GS equilibrium geometry.

 $KE =$ kinetic energy

Table 3:  $\text{C}_2\text{H}_4$ . Main features of RAES from the simulated RASPT2 spectra on the GS equilibrium geometry.

Peak	KE (eV	$(x10^{-4}a.u.$	Assignment
$\overline{I}$	273.6	6.38	
Н	271.4	1.30	
Н	269.5	1.06	$3a_q^-$
ИI	268.3	0.70	$1b_{3u}^{-2}1b_{2g}^1$
IV	266.8	3.24	$1b_{3}^{-}$
IV	266.2	2.90	$1b_{3u}^{-1}1b_{3g}^{-1}$
V	264.2	2.50	$3a_g^-$ $1b_3$
V	262.6	1.90	$1b_{2u}^{-1}1b_{3u}^{-1}1b_{2g}^1$
VI	260.8	0.86	$2a_g^{-1}$
VII	258.0	1.08	$3a_g^{-1}1b_{3u}^{-1}$
VII	257.2	1.25	$2b_{1n}^{-1}1b_{3n}^{-}$
VII	255.6	1.13	$3a_g^{-1}2b_1^-$
VII	253.5	1.07	2a

 $KE =$  kinetic energy

#### **4.2 Ethylene cation**

In Figure 2, we present an overview of XAS and AES of  $C_2H_4^+$ , considering only the ground state of the cation, indicated as  $D_0$  ( $b_{3u}^{-1}$ ). The first step is the creation of a valence-ionized state, which is illustrated in panel **a)**. Panel **b)** shows the core-excited states reached upon excitation of a carbon 1s electron either to the singly occupied  $\pi$  (1 $b_{3u}$ ) orbital or to the  $\pi^*$  (1*b*<sub>2*g*</sub>) virtual orbital. Finally, Auger-Meitner decaying states of core states of  $C_2H_4^+$ are illustrated in panel **c)**. We used yellow and purple background colors to distinguish between the Auger-Meitner decaying states resulting from the core states C  $1s \rightarrow \pi(1b_{3u})$ and C 1*s*  $\rightarrow \pi^*(1b_{2g})$ , respectively. The lower portions of Figure 2, panels **d**) and **e**), report the calculated XAS and AES spectra. The photoelectron spectrum (PES) is given in Figure S1. The photoelectron intensities shown in Figure S1 are estimated by the squared norms of the corresponding Dyson orbitals.<sup>54</sup>

For the sake of simplicity, we will denote the low energy peak, associated with the C  $1s \rightarrow$  $\pi(1b_{3u})$  excitation, as  $P_1$  and the high energy peak, corresponding to the C 1*s*  $\rightarrow \pi^*(1b_{2g})$ excitation, as  $P_2$  (not to be confused with the  $P$  symmetry of an electronic state in atoms). Detailed assignments of the main orbital configurations in the XAS of  $C_2H_4^+$  (based on the FC structure) can be found in Table 4.

Table 4:  $C_2H_4^+$ . Assignment of the main features in the simulated (RASPT2) XAS spectra at the GS equilibrium geometry for two different initial ionized states, namely  $D_0$   $(1b_{3u}^{-1})$  and  $D_1$   $(1b_{3g}^{-1})$ .

Initial State	Final State		
Assignment	Energy (eV)	Assignment	Symmetry
$1b_{3u}^{-1}$	279.9	$1a_q^{-1} (P_1)$	$I_{q}$
$1b_{3u}^{-1}$	286.1	$1b_{1u}^{-1}1b_{3u}^{-1}1b_{2g}^{1}$ $(P_2)$	$A_q$
$1b_{3u}^{-1}$	291.2	$1a_a^{-1}1b_{3u}^{-1}2b_{2u}^1$	$B_{1q}$
$1b_{3g}^{-1}$	277.5	$1b_{1u}^{-1}$ $(P_1)$	$B_{1u}$
$1b_{3g}^-$	285.4	$1b_{1u}^{-1}1b_{3g}^{-1}1b_{2g}^{1}$ $(P_2)$	$A_u$
	289.9	$1a_a^{-1}1b_{3a}^{-1}2b_{2u}^1$	$5_{1u}$

The PES spectrum, see Figure S1, reveals the presence of two cationic states within the energy range of 10 to 13 eV. The ground state of the cation,  $D_0$ , corresponds to the



Figure 2: **a**) Illustration of the photoionization of a  $b_{3u}$  electron of  $C_2H_4$ , followed by **b**) the XAS and **c**) the AES of  $C_2H_4^+$ . In panels **b**) and **c**), the XAS and AES are schematized from the point of view of the ground state of the ethylene cation,  $D_0$ . In panels **d**) and **e**), we show the computed XAS and AES spectra of  $C_2H_4^+$  stemming from both the  $P_1$  and the  $P_2$  core states. The spectra in **d**) and **e**) were broadened with Lorentzian functions with fwhm  $= 0.6$  (XAS) and 1.6 eV (AES).

ionization of an electron in the  $1b_{3u}$  orbital, exhibiting an ionization energy (IE) of around 10.1 eV. Subsequently, the first excited state of the cation, designated as  $D_1$ , emerges with an ionization energy of nearly 12.2 eV, corresponding to the removal of an electron from a  $1b_{3g}$  orbital.

Within panel **d**), we display the calculated XAS spectra of  $C_2H_4^+$  both in the Franck-Condon (FC) regime (i.e., at the ground-state geometry of the neutral species), and utilizing the equilibrium geometry of  $D_0$  as obtained from the work of Joalland et al. <sup>6</sup> It is noteworthy that the spectra simulated under the FC regime exhibit only relatively small deviations from those computed using the equilibrium geometry of  $D_0$ . The calculated  $P_1$  peak associated with the C  $1s \to \pi(1b_{3u})$  excitation, when utilizing the equilibrium geometry of  $D_0$ , displays a blue shift of approximately 1 eV compared to the FC calculation. Conversely, the  $P_2$  peak assigned to the C  $1s \to \pi^*(1b_{2g})$  transition obtained with the equilibrium geometry of  $D_0$ exhibits a red shift of roughly 1 eV relative to the FC calculation. These observations align well with the results reported in Ref. 8.

Lastly, within panel  $e$ ), we present the AES stemming from both the  $P_1$  and the  $P_2$  initial core states. These AES results were obtained for both the FC regime and the equilibrium geometry of  $D_0$ . Notably,  $P_1$  corresponds to the same initial core-ionized state of the AES depicted in panel **b)** of Figure 1; hence, the spectra are identical. Consequently, for *P*1, singlet decay channels (blue sticks) exhibit prevalence over triplet decay channels (magenta sticks). In the case of  $P_2$ , on the other hand, the situation is reversed, with triplet decay channels prevailing over singlet decay channels. Similar scenarios where there is a prevalence of triplet decay channels have been previously observed in the Auger-Meitner decay spectra of open-shell molecules, as shown for instance in Ref. 23 in the case of  $NO<sub>2</sub>$ .

Distinct superpositions of cations can be achieved based on the conditions governing the formation of the initial wave packet, as demonstrated in a recent study by Lucchini et al. <sup>7</sup> In the present investigation, we direct our attention to a specific scenario involving the superposition of the  $D_0$  and  $D_1$  states, which has been exploited in Ref. 8.

In Figure 3, panel **a)**, we show a schematic representation of the core excitations of  $C_2H_4^+$  for both the  $D_0$  and  $D_1$  states. In panel **b**), we provide the calculated XAS and AES spectra for  $D_0$  and  $D_1$  in the Franck-Condon regime. Given that there is an approximate 2 eV separation between the peaks corresponding to the  $1b_{3u}$  and  $1b_{3g}$  ionizations in the PES spectrum, we anticipate the initial core-excitation C  $1s \rightarrow 1b_{3u}$  to be nearly 2 eV higher in energy compared to C  $1s \rightarrow 1b_{3g}$ . This expectation is confirmed by the calculated XAS presented in panel **b)** of Figure 3.

Regarding the core excitation to the  $\pi^*$  (1*b*<sub>2*g*</sub>) orbital, the situation is less clear. The XAS spectra resulting from the RASPT2 calculations in the FC regime displayed in panel **b**) of Figure 3 suggest that the corresponding C  $1s \to \pi^*$  transition of  $D_1$  is approximately

0.5 eV lower in energy than the same transition of  $D_0$ . Additional calculations using the DFT/ROCIS(B3LYP) approach, as presented in Figure S2, panel , reveal consistent trends for  $P_1$ . However, in contrast to the RASPT2 results, the position of C 1*s*  $\rightarrow \pi^*$  in  $D_1$ obtained with DFT/ROCIS(B3LYP) is slightly higher in energy compared to the position of C  $1s \to \pi^*$  in  $D_0$ .



Figure 3: **a**) The cationic surfaces accessed from the neutral ground state  $S_0$ . The surfaces illustrate the competition between torsional and planar  $D_1/D_0$  intersections. A schematic of the relevant core excitations from the  $D_0$  and  $D_1$  cationic states is shown at the bottom of panel **a**). **b**) XAS and AES of  $C_2H_4^+$  of  $D_0$  (1 $b_{3u}$ ) and  $D_1$  (1 $b_{3g}$ ) at the FC structure. The sticks were broadened with Lorentzian functions with fwhm  $= 0.6$  and 1.6 eV, for XAS and AES, respectively.

Table 5 collects the excitation energies of  $P_1$  and  $P_2$  computed using the RASPT2 and DFT/ROCIS(B3LYP) methodologies. Additionally, it includes values obtained from Ref. 8 through calculations carried out at the DFT-MRCI/aug-cc-pVDZ level of theory. Apart from the DFT/ROCIS(B3LYP) offset relative to experimental values, which is rectified by shifting its energy values by 12 eV, a general agreement is observed for the excitation energies

Peak	RASPT2	DFT/ROCIS(B3LYP)	$DFT-MRCIa$	Exp. <sup>a</sup>
		$D_0 @ D_0$		
$P_1$	280.8	268.1(280.1)	280.4	281
P <sub>2</sub>	285.4	274.0(286.0)	285.6	286
		$D_0@FC$		
$P_1$	279.9	267.3(279.3)	279.8	
P <sub>2</sub>	286.1	274.4(286.4)	286.0	
		$D_1@FC$		
$P_{1}$	277.5	265.9(277.9)	277.4	
$P_{2}$	285.4	274.7(286.7)	284.3	

Table 5: XAS of  $C_2H_4^+$ : core excitation energies in eV from RASPT2, DFT/ROCIS, and DFT-MRCI levels of theory computed for the  $D_0$  and  $D_1$  initial cationic states. In parenthesis, we show the DFT/ROCIS excitation energies shifted by  $+12$  eV.

*<sup>a</sup>*Results extracted from Ref. 8. The basis set used with DFT-MRCI was aug-cc-pVDZ.

of *P*<sup>1</sup> and *P*<sup>2</sup> across the electronic states and geometries. The noticeable disparity in our comparative analysis arises in relation to the vertical excitation energy of  $P_2$  in the  $D_1$ state. Based on the results from RASPT2 and DFT-MRCI,<sup>8</sup> it is evident that the excitation energy of  $P_2$  in  $D_1$  falls below the reference value of 286 eV, which is the experimental value associated with  $P_2$  in the  $D_0$  state. However, according to the DFT-MRCI calculations,<sup>8</sup> the excitation energy of  $P_2$  in  $D_1$  is approximately 1 eV lower than the prediction from RASPT2.

The experimental XAS of  $C_2H_4^+$  discussed in Ref. 8 reveals a transient band, with a duration below 10 fs, centered around 284 eV. The authors have proposed that the abrupt shift of more than 1 eV as the electronic character switches from  $D_1$  to  $D_0$  at the Franck-Condon regime is pivotal for comprehending the  $D_1/D_0$  ultrafast relaxation through a planar conical intersection. Based on the results from DFT-MRCI, the transient band around 284 eV can be explained by the wave packet motion of the  $D_1$  state from the Franck-Condon point to the planar MECI. Conversely, this transient band cannot be accounted for by the wave packet motion of the *D*<sup>1</sup> state from the Franck-Condon point to the planar MECI, based on the results obtained from the RASPT2 (or DFT/ROCIS) calculations. As indicated by the RASPT2 findings, the ultrafast relaxation between the  $D_1$  and  $D_0$  states via a planar conical intersection would remain concealed because the energy difference between the  $D_1$  and  $D_0$ states at the Franck-Condon point or at the relaxed geometry of  $D_0$  is relatively small.

Consequently, this proximity in energy levels could obscure the  $D_1/D_0$  ultrafast relaxation process through planar conical intersections.

In Ref. 6, Joalland et al. found competing relaxation pathways for various cationic states of  $C_2H_4^+$  which entail two distinct families of conical intersections. These intersections are associated with minimum energy structures exhibiting planar and twisted geometries. Through the application of the *ab initio* multiple spawning method, the authors inferred from the collection of spawning events that, in the case of an initially populated  $D_1$  state, as much as 90% of the related population transfer occurs via the planar conical intersection mechanism. Conversely, only approximately 10% of the initial distribution undergoes decay through the twisted conical intersection pathways. Moreover, the pathways mediated by torsional motion induce important backspawned population transfer promoted by hindered rotations. Structures of MECIs involved in non-adiabatic transitions calculated using the analytic non-adiabatic coupling method at the CASPT2 level of theory are available from Ref. 6. Using these structures along with their corresponding population transfers as outlined in Ref. 6 (specifically,  $69\%$  for  $a_1$ ,  $21\%$  for  $a_2$ , and  $10\%$  for *b*, where  $a_1$  and  $a_2$  represent planar MECIs and *b* signifies a twisted MECI), we performed computations of XAS and AES for  $C_2H_4^+$  at the geometries of these MECIs. The XAS calculations are presented in Figure 4. Note that in Figure 4 the XAS spectral intensities have been scaled by their corresponding population transfers.

As mentioned earlier, the  $P_2$  peaks (> 285 eV) computed based on the geometries of the planar MECIs  $a_1$  and  $a_2$  exhibit substantial overlap with the energy of the  $P_2$  peaks calculated using the relaxed geometry of  $D_0$  ( $\approx$  286 eV). The  $P_1$  peaks calculated using the same geometries of planar MECIs are observed to have lower energy compared to the energy of  $P_1$  obtained using the relaxed geometry of  $D_0$  ( $\approx$  281 eV), which align with the computational results presented in Ref. 8. Furthermore, we observe a remarkable similarity between the XAS spectra acquired for the  $D_0$  and  $D_1$  electronic states, as presented in the top and bottom panels of Figure 4, respectively. This resemblance is to be anticipated due



Figure 4: XAS spectra of  $C_2H_4^+$  in  $D_0$  (top) and  $D_1$  (bottom) at MECI structures. The XAS spectra are weighted by the corresponding population transfer associated with each MECI, i.e.,  $69\%$  for  $a_1$ ,  $21\%$  for  $a_2$ , and  $10\%$  for *b*. The sticks were broadened with Lorentzian functions with fwhm = 0.6 eV.

to the degeneracy of both electronic states at the MECIs.

An interesting finding emerges from the XAS spectra computed using the twisted MECI *b*. Specifically, we observe that the energies of *P*<sup>1</sup> and *P*<sup>2</sup> obtained using the geometry of the MECI *b* are approximately 282 and 284 eV, respectively. This observation is significant since among all the MECI structures, only the structure of the MECI *b* provides energies that correspond to the transient absorption band observed experimentally around 284 eV. <sup>8</sup> Such observation leads us to suggest that the experimental transient absorption band centered around 284 eV might be indicative of  $D_1/D_0$  relaxation dynamics through a twisted conical intersection. In the supplementary Figure S2 panel **b**), we assessed the XAS of  $C_2H_4^+$  at the

geometries of MECIs utilizing the DFT/ROCIS(B3LYP) approach. The findings obtained from DFT/ROCIS(B3LYP) exhibit slight discrepancies in comparison to the RASPT2 results of Figure 4. Nonetheless, the significant observation that only the structure of the MECI **b** yields intensity below 284 eV remains consistent. Moreover, in Figure 5, we evaluated the XAS of  $C_2H_4^+$  in the  $D_1$  state across ten interpolated points that span the path connecting the FC structure to the twisted MECI *b*, utilizing a geodesic procedure.<sup>55</sup> From Figure 5, one can follow the redshift of peak  $P_2$  coinciding with the variation in the  $\text{CH}_2$  torsion.



 $D_1$  from FC  $\rightarrow$  MECI b

Figure 5: XAS of  $C_2H_4^+$  in  $D_1$  evaluated on ten interpolated points connecting the FC structure to the twisted MECI *b* using a geodesic procedure. <sup>55</sup>

Additionally, as illustrated in panel **B** of Figure 2 in Ref. 8, the temporal evolution of the experimental normalized intensity with pump-probe delay, integrated over the band centered around 284 eV, undergoes rapid decay  $[\tau_1 = (6.8 \pm 0.2)$  fs] followed by a subsequent increase, culminating in a secondary peak around 30 fs, before attenuating again beyond 50 fs. This observation aligns with the conclusions presented by Joalland et al.,  $6$  where pathways facilitated by torsional motion induce backspawned population transfer driven by hindered rotations. Conversely, such backspawned population transfers are not expected for relaxation pathways mediated by planar conical intersections.

The results and discussions presented thus far bring to light some questions regarding the appropriateness of XAS as a standalone technique to definitively unravel the intricate dynamics occurring at the conical intersections of the ethylene cation. Ideally, one would rely on more than one technique to extract as much information as possible from such an intricate mechanism. Such an approach would enable a better understanding of the dynamics at conical intersections. Thereby, we propose using Auger-Meitner electron spectroscopy as a supplemental technique in connection to XAS to maximize the extraction of valuable information and provide a more comprehensive understanding of the process.

The AES spectra showcased in Figure 6 distinctly illustrate differences when computed using the structures of the planar MECIs  $a_1$  and  $a_2$ , as well as the structure of the twisted MECI *b*. Our proposed approach involves conducting AES measurements resonant with the positions of peaks  $P_1$  and  $P_2$  of the twisted MECI *b*, namely around 282 and 284 eV, respectively.

Around 282 eV, the Auger-Meitner spectra obtained from the planar MECI structures *a*<sup>1</sup> and *a*2, along with the minimum energy structure of *D*0, exhibit no intensity above 261 eV. In contrast, the structure of the twisted MECI *b* reveals a pronounced peak observed above 265 eV. This strong peak serves as a distinctive marker that can aid in distinguishing between planar and twisted MECIs, consequently facilitating the tracking of the structural region where relaxation dynamics occur. Alternatively, one could measure the Auger-Meitner spectrum around 284 eV—that is, at the center of the transient absorption band observed experimentally in Ref. 8. In this scenario, the planar structures exhibit a relevant intensity distribution extending up to 266 eV. Conversely, the structure of twisted MECI *b* does not display significant intensity above 261 eV.

The differences observed in the AES spectra, whether computed using planar or twisted



Figure 6: AES spectra of  $C_2H_4^+$  in  $D_0$  (*left*) and  $D_1$  (*right*) at MECI structures. Each AES spectrum was computed in resonance with the  $P_1$  or  $P_2$  peak of the corresponding MECI structure (see in Fig. 4). The sticks were broadened with Lorentzian functions with fwhm = 1.6 eV.

MECI geometries, can serve as valuable indicators for distinguishing the  $D_1/D_0$  relaxation mechanism based on the structural characteristics of the involved conical intersections. Such information adds an additional layer of insight to the XAS measurements of Ref. 8.

# **5 Conclusions**

By combining theoretical calculations and comparison with experimental data, this study provides additional insights into the mechanisms governing the transition from the excited  $D_1$  state to the electronic ground state  $D_0$  of the ethylene cation. Our computational protocol, mainly based on the RASPT2 approach, has been initially successfully validated by calculating XAS and Auger-Meitner decay spectra of neutral ethylene. In this regard, we have demonstrated that the nuclear ensemble approach improves the description of the spectral features in resonant Auger-Meitner spectra. However, these improvements are not as noticeable in the non-resonant Auger-Meitner spectra due to the broader line widths in experimental AES data. Overall, our RASPT2 computational protocol coupled with the one-center approximation for characterizing Auger-Meitner spectra achieved very good agreement with experimental XAS and Auger-Meitner measurements on neutral ethylene.

Additionally, the utilization of distinct computational methods, such as RASPT2 and DFT/ROCIS(B3LYP), has enabled the investigation of electronic states and geometries of  $C_2H_4^+$ , revealing nuanced trends in peak energies and spectral intensities. These trends, notably observed in the XAS and AES spectra, allowed us to identify key structures, such as planar and twisted MECIs, and their possible roles in the relaxation pathways. Crucially, the significance of structures like the twisted MECI *b* and their relation to transient absorption bands observed experimentally in Ref. 8 adds valuable insight into the ultrafast relaxation dynamics of  $C_2H_4^+$ . The potential of AES measurements, resonant with specific peak positions, has been highlighted as a complementary technique to distinguish and track relaxation mechanisms, enhancing the amount of information derived from XAS measurements alone.

In summary, we have showcased the promise of combining theoretical calculations of XAS and Auger-Meitner spectra with experimental data to unravel the dynamics at conical intersections and contribute to a more comprehensive understanding of the relaxation pathways within the ethylene cation. We hope that our findings serve as a catalyst for inspiring new experimental investigations, particularly time-resolved Auger-Meitner electron spectroscopy shedding light on the intricate interplay between electronic states and conical intersections on  $C_2H_4^+$ .

## **Supplementary information**

The supplementary information contains a schematic representation of molecular orbitals in the active space, valence photoelectron spectrum, and DFT/ROCIS(B3LYP) calculated XAS spectra.

## **Acknowledgements**

We gratefully acknowledge invaluable discussion with Dr. Prof. Hans Jakob Wörner (ETH Zürich). This work was carried out with support from the European Union's Horizon 2020 Research and Innovation Programme under the Marie Skłodowska-Curie Individual Fellowship (B.N.C.T., Grant Agreement 101027796) and from the Independent Research Fund Denmark–Natural Sciences, DFF-RP2 Grant No. 7014-00258B (S.C. and B.N.C.T.). J.P. acknowledges support from the Technical University of Denmark and DTU Chemistry within the Alliance Ph.D. Programme, from the Knud Højgaards Fond (Grant No. 23-02-2571), and from William Demant Fonden (Grant No. 23-3137). The European Cooperation in Science and Technology, COST Action CA18222, *AttoChem*, is also acknowledged.

# **Conflict of interest**

The authors declare no conflict of interest.

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