Localized-Normal Mode DVR for Terminal Alkyne CC Stretch Vibrations

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Abstract

The terminal alkyne C≡C stretch has a large Raman scattering cross section in the "silent" region for biomolecules. This has led to many Raman tag and probe studies using molecules with this moiety. Computational investigation of these systems is vital to aid in the interpretation of the results. In this work, we develop a localized normal mode discrete variable representation (DVR) method for computing terminal alkyne vibrational frequencies and transition isotropic polarizabilities which can easily and accurately be applied to any terminal alkyne molecule. The errors of localization to the terminal alkyne moiety, anharmonic normal mode isolation, and discretization of the Born-Oppenheimer potential energy surface are quantified and found to oppose each other. This results in a method with low error compared to other anharmonic vibrational methods like VPT2 and experiment. Several density functionals are tested using the method, and TPSS-D3 is found to perform surprisingly well. Additionally, diffuse functions are found to be important for the accuracy of computed frequencies. Finally, the computation of vibrational properties like transition isotropic polarizabilities and the universality of the normal mode atomic displacements across molecules are demonstrated.

Introduction

Infrared and Raman spectroscopy are invaluable tools for investigating the structure and dynamics of chemically complex systems such as biomolecules, liquids, solutions, molecular clusters, the atmosphere, and others.^{1–10} When possible, effective vibrational probes can be used to investigate specific aspects of these systems.¹ For instance, OH, OD, and cyanide moieties are effective probes of the hydrogen bonding potential of an environment and carbonyls and nitriles are effective probes of the electric fields of an environment.^{11–22} An optimal vibrational probe is non-perturbative to the system and an investigation of the probe directly investigates the system property of interest. Recent work on the solvation of CO₂ in ionic liquids exemplifies this; here, the CO₂ was the probe of its own solvation dynamics.^{23–25}

The triple bond CC stretch of alkynes has long been used as a Raman tag in biomolecular and surface enhanced Raman spectroscopy studies.^{26–30} This vibration appears in the biomolecular "Raman window," a portion of the spectrum where biomolecular systems rarely produce Raman scattering.^{9,31–33} In the prior tagging studies, the alkyne vibration was used mainly to show the presence of a material it was attached to. However, recent work has turned to exploring the terminal alkyne CC stretch as a possible vibrational probe.^{34–40} In a probe study, details of the lineshape, frequency, and intensity of the absorption are used to infer the alkyne probe's molecular environment.

We follow recent work at Haverford College and elsewhere showing that the terminal alkyne CC stretch vibrational mode is (1) an effective probe of biomolecular structure and dynamics and (2) independently sensitive to both its solvent and substituent.^{34,36,37} A third question remains unanswered: *what, specifically, is the alkyne probe reporting on?* There are several possibilities given the available experimental data. The work of Epstein et al. and Dong et al. implies that the terminal alkyne detects the hydrophilicity or hydrogen bonding potential of the environment.^{37,40} However, Romei et al. complicate this picture; they find that the terminal alkyne vibration is sensitive to a number of solvents with different properties.³⁶ They correlate this sensitivity with empirical scales of the propensity of the solvent to donate electrons or polarize. Even so, it is possible that the factors which cause a probe's frequency to shift *between solvents* are distinct from those that cause the frequency to shift *between configurations* in a single solvent, complicating the question of reporting. There are also possible substituent effects; some experiments have shown that electronic conjugation of the alkyne triple bond or adding sulfur or silicon atoms can shift the scatting frequency, change the scattering intensity, or modify the population lifetime.^{34,36,40-45}

In this paper, we take our first steps towards a full quantum chemistry and molecular dynamics investigation of what the alkyne vibration is specifically reporting on. Here, we develop a new method for calculating the frequency of a terminal alkyne which is compatible with snapshots extracted from molecular dynamics simulations. Our goals are to:

- 1. Demonstrate that an isolated and localized discrete variable representation method is sufficient to compute the terminal alkyne CC-stretch normal mode vibrational frequency with good accuracy.
- 2. Select one or more density functional theory methods which show good accuracy and speed for further examination in the presence of solvent in future work.

3. Propose a universal set of terminal alkyne CC stretch normal mode atom displacements, and show that the computed vibrational properties have the expected features.

Our final method *localizes, isolates,* and *discretizes* the terminal alkyne CC-stretch vibrational normal mode. We quantify the errors associated with each of these assumptions; discretization is fairly benign, but localization and isolation errors are larger. Fortunately, these larger errors each shift the alkyne frequency in the opposite direction and nearly cancel out. We also attempt to lay out a particularly clear theoretical description of our employed discrete variable method in Section II.

Here, alkynes are dealt with in the gas phase, providing a solid foundation for future work in the solution phase. This also allows us to examine the effect of substituent on the alkyne frequency and other vibrational properties. We examine eight terminal alkyne molecules (Figure 2). Six are particularly small, having four or less atoms heavier than hydrogen. These molecules can be examined using highly accurate electronic (CCSD(T) and MP2) and vibrational (TOSH and VPT2) structure methods. These molecules will be used in the first section of our results to quantify errors associated with localization, isolation, and discretization. The remaining molecules are used to quantify substituent effects and explore the accuracy of a set of model chemistries.

II. Theory

An anharmonically isolated normal mode can be treated as quantum particle in a single dimension with the Hamiltonian

$$\hat{H} = \frac{\hat{p}_Q^2}{2\mu_Q} + \hat{V}(Q) \tag{1}$$

where $\hat{V}(Q)$ is the potential energy operator as a function of the normal mode coordinate Q and \hat{p}_Q is the momentum operator conjugate to that coordinate.⁴⁶ The normal mode coordinate can be written as a sum over atomic displacements away from the optimized geometry

$$Q = \sum_{j=1}^{3N} \frac{\partial Q}{\partial c_j} (c_j - c_{j,0})$$
⁽²⁾

where *N* is the number of atoms (so 3*N* is the number of cartesian coordinates), c_j is the *j* th atomic cartesian coordinate, and $c_{j,0}$ is the *j* th optimized atomic cartesian coordinate.⁴⁶ If all the $c_j = c_{j,0}$, the molecular geometry is optimized, the energy is at a minimum, and Q = 0. A normal mode vibration changes the molecular geometry according to the derivatives $\frac{\partial Q}{\partial c_j}$. The inverses of these derivatives, $\frac{\partial c_j}{\partial Q}$, are printed out by most quantum chemistry programs following a harmonic frequency calculation and are often called "normal mode atomic displacements."⁴⁶ The reduced mass for a normal mode is given by

$$\mu_Q = \frac{\sum_{j=1}^{3N} \left(\sqrt{m_j} \frac{\partial c_j}{\partial Q}\right)^2}{\sum_{j=1}^{3N} \left(\frac{\partial c_j}{\partial Q}\right)^2}$$
(3)

where m_j is the mass of the atom with cartesian coordinate c_j and the other symbols retain their meaning.⁴⁷ In the harmonic oscillator approximation, the potential energy of the vibrational Hamiltonian is expanded in a Taylor series about the minimum energy position. By definition, the normal mode coordinate has a value of zero at the minimum energy position. This gives

$$\widehat{H} = \frac{\widehat{p}_Q^2}{2\mu_Q} + \sum_{n=0}^{\infty} \left(\frac{\partial^n V(Q)}{\partial Q^n}\right)_{Q=0} \frac{\widehat{Q}^n}{n!}$$
(4)

The n = 0 term (the energy of the optimized geometry) can be arbitrarily set to zero. If $Q \approx 0$, then the first order term is nearly zero because the first derivative at a stationary point is zero. The higher order terms will also be small since (1) $Q \approx 0$ and is being raised to increasing exponents and (2) the dividing factorial terms increase as the order of the expansion increases. The most slowly shrinking term of the potential as $Q \rightarrow 0$ is the second order term, so we get

$$\widehat{H} = \frac{\widehat{p}_Q^2}{2\mu_Q} + \frac{1}{2} \left(\frac{\partial^2 V(Q)}{\partial Q^2} \right)_{Q=0} \widehat{Q}^2$$
(5)

which is the harmonic oscillator Hamiltonian for which the solutions are well known.^{46,48} The most popular techniques for including anharmonicity are based on including the third and fourth order terms in the Hamiltonian, but still require the first derivative of the energy to be nearly zero,

$$\hat{H} = \frac{\hat{p}_{Q}^{2}}{2\mu_{Q}} + \frac{1}{2} \left(\frac{\partial^{2} V(Q)}{\partial Q^{2}} \right)_{Q=0} \hat{Q}^{2} + \frac{1}{6} \left(\frac{\partial^{3} V(Q)}{\partial Q^{3}} \right)_{Q=0} \hat{Q}^{3} + \frac{1}{24} \left(\frac{\partial^{4} V(Q)}{\partial Q^{4}} \right)_{Q=0} \hat{Q}^{4}$$
(6)

The vibrational configuration interaction (VCI), second-order vibrational perturbation theory (VPT2), vibrational self-consistent field (VSCF), and transition optimized shifted Hermite (TOSH) methods all start with this fourth order expansion.^{49–52} While all of these methods are very effective, they require the molecular geometry of interest to be well optimized using the same method and basis set as is used in the frequency calculation. These methods can be extended to include the effects of anharmonic coupling between different normal modes fairly easily, by including the appropriate third and fourth order mixed partial derivatives.⁴⁹ However, we wish to eventually compute vibrational frequencies of one specific normal mode for snapshots from molecular dynamics simulations. The molecules sampled will not necessarily even have geometries that are optimized according to the dynamical force field! Thus, we require a method for calculating the vibrational Hamiltonian which does not assume the first derivative of the potential energy is zero.

The discrete variable representation (DVR) method can also be used to compute vibrational states.⁵³ In this method, we again start with the normal mode vibrational Hamiltonian,

$$\hat{H} = \frac{\hat{p}_Q^2}{2\mu_Q} + \hat{V}(Q) \tag{7}$$

We then *discretize* the normal mode - we select a grid of *P* normal mode coordinate points $Q_1, Q_2, Q_3 \dots Q_P$ equally spaced by ΔQ to investigate. These normal mode coordinate values each represent a particular molecular geometry (Figure 1).



Figure 1. The CC stretch normal mode coordinate for the propyne molecule at MP2/aug-cc-pVTZ. See Eq. 2 for the definition of the normal mode coordinate, Q. Per that definition, Q = 0.0 Å corresponds to the optimized structure of the molecule. Other values of Q correspond to different molecular structures. For example, the $C \equiv \bigotimes C \frac{\partial r_{cc}}{\partial Q} \frac{\partial r_{c \equiv C}}{\partial Q}$ is positive. Because of this, the $C \equiv \bigotimes C$ bond length is larger than optimum when Q is positive and is smaller than optimum when Q is negative.

Under the Born-Oppenheimer approximation, the potential energy at a particular grid point is the energy of nuclear repulsion plus the ground state electronic energy for that atomic configuration,

$$V(Q_i) = \sum_{j=1}^{N} \sum_{k>j}^{N} \frac{Z_j Z_k}{R_{jk}(Q_i)} + \left\langle \psi(Q_i) \big| \hat{h}(Q_i) \big| \psi(Q_i) \right\rangle$$
(8)

where *N* is the number of atoms in the molecule, Z_j is the charge of nucleus j, $R_{jk}(Q_i)$ is the distance between atoms j and k at normal mode grid point i, $|\psi(Q_i)\rangle$ is the ground state electronic wavefunction at normal mode grid point i, and $\hat{h}(Q_i)$ is the electronic Hamiltonian at normal mode grid point i.⁴⁸ This expression defines a Born-Oppenheimer potential energy surface (PES). Once the PES at the grid points has been collected, the vibrational Schrödinger equation can be solved. Because our PES information has been collected specifically at the grid points, we expand our vibrational eigenstates in a basis of grid point position eigenfunctions, $|Q_i\rangle$.⁵³ This basis has the following properties:

$$\langle Q_i | Q_j \rangle = \delta_{ij} \tag{9}$$

$$\langle Q_i | \hat{Q} | Q_j \rangle = Q_i \delta_{ij} \tag{10}$$

$$\langle Q_i | \hat{V}(Q) | Q_j \rangle = V(Q_i) \delta_{ij} \tag{11}$$

where Q_i is the value of the normal mode coordinate at grid point *i*, and δ_{ij} is the Kronecker delta function. In this basis, the normal mode position operator and functions of that operator are diagonal. There are several ways to define such a basis, but this property is central to the DVR method.^{53–56} Given such a basis, it can be shown that (in atomic units)

$$\left(Q_{i}\left|\frac{\hat{p}_{Q}^{2}}{2\mu_{Q}}\right|Q_{j}\right) = \frac{(-1)^{i-j}}{2\mu_{Q}\Delta Q^{2}} \begin{cases} \frac{\pi^{2}}{3}, & i=j\\ \frac{2}{(i-j)^{2}}, & i\neq j \end{cases}$$
(12)

Interestingly, this result does not depend on the details of the grid point basis, but is the result so long as the $|Q_i\rangle$ are evenly spaced grid point position operator eigenfunctions.^{53,54,56} This result is only exact in an infinite basis of grid points and grid point position eigenfunctions (i.e. when $P \rightarrow \infty$ and $\Delta Q \rightarrow 0$) with infinite extent (i.e. $Q_1 \rightarrow -\infty$ and $Q_P \rightarrow \infty$).^{53,55,56} However, in most cases, the kinetic energy converges so long as the grid spacing ΔQ is less than about 10 pm, the number of grid points *P* is greater than about 10, and the extent is large enough that $\hat{V}(Q_1)$ and $\hat{V}(Q_P)$ are each at least 10 × the energy spacing from the harmonic oscillator approximation.^{54,55,57-62} With this, we can construct the vibrational Hamiltonian matrix as

$$\widehat{H}_{ii'} = \langle Q_i | \widehat{H} | Q_j \rangle = \left(\frac{(-1)^{i-j}}{2\mu_Q \Delta Q^2} \begin{cases} \frac{\pi^2}{3}, & i = j \\ \frac{2}{(i-j)^2}, & i \neq j \end{cases} \right) + V(Q_i) \delta_{ij}$$
(13)

Note that because the $|Q_i\rangle$ are not vibrational eigenfunctions, the vibrational Hamiltonian matrix should generally not be diagonal in their basis. Upon diagonalization of the Hamiltonian, we obtain the vibrational eigenvalues, E_a , and eigenstates, $|\Psi_a\rangle$. The vibrational eigenstates will be weighted sums of the original grid point basis functions

$$|\Psi_a\rangle = \sum_{i=1}^{P} e_{ia} |Q_i\rangle \tag{14}$$

where e_{ia} is the weighted contribution of $|Q_i\rangle$ to eigenstate $|\Psi_a\rangle$. The absolute square of each e_{ia} is the probability of the molecule having normal mode coordinate value Q_i if it is in eigenstate Ψ_a , i.e. $p_a(Q_i) = |e_{ia}|^2 = e_{ia}^* e_{ia}$. These eigenstates are orthonormal

$$\langle \Psi_a | \Psi_b \rangle = \sum_{i=1}^{P} \sum_{j=1}^{P} e_{ia}^* e_{jb} \langle Q_i | Q_j \rangle = \sum_{i=1}^{P} e_{ia}^* e_{ib} = \delta_{ab}$$
(15)

and we can use them to calculate normal mode position expectation values

$$\langle Q \rangle_{a} = \langle \Psi_{a} | \hat{Q} | \Psi_{a} \rangle = \sum_{i=1}^{P} \sum_{j=1}^{P} e_{ia}^{*} e_{ja} \langle Q_{i} | \hat{Q} | Q_{j} \rangle = \sum_{i=1}^{P} |e_{ia}|^{2} Q_{i} = \sum_{i=1}^{P} p_{a}(Q_{i}) Q_{i}$$
(16)

The vibrational eigenstates can be used to calculate useful vibrational properties such as the transition dipole moment and the transition isotropic polarizability. The transition dipole moment $\vec{\mu}_{ab}$ is related to the probability of absorption in infrared spectroscopy experiments and can be computed as

$$\vec{\mu}_{ab} = \langle \Psi_a | \vec{\mu}(Q) | \Psi_b \rangle = \sum_{i=1}^{P} \sum_{j=1}^{P} e_{ia}^* e_{jb} \langle Q_i | \vec{\mu}(Q) | Q_j \rangle = \sum_{i=1}^{P} e_{ia}^* e_{ib} \vec{\mu}(Q_i)$$
(17)

where $\vec{\mu}(Q)$ is the three-dimensional dipole moment surface along the normal mode; the $\vec{\mu}(Q_i)$ are the molecular dipole moments at each of our *P* grid points.^{25,63,64} The transition isotropic polarizability α_{ab} is related to the probability of absorption in Raman spectroscopy experiments and can be computed as

$$\alpha_{ab} = \langle \Psi_a | \hat{\alpha}(Q) | \Psi_b \rangle = \sum_{i=1}^P \sum_{j=1}^P e_{ia}^* e_{jb} \langle Q_i | \hat{\alpha}(Q) | Q_j \rangle = \sum_{i=1}^P e_{ia}^* e_{ib} \alpha(Q_i)$$
(18)

where $\alpha(Q)$ is the trace of the polarizability tensor surface along the normal mode,

$$\hat{\alpha}(Q) = \frac{\hat{\alpha}_{xx}(Q) + \hat{\alpha}_{yy}(Q) + \hat{\alpha}_{zz}(Q)}{3}$$
(19)

and can be collected at each of our *P* grid points.^{63,65} Diagonalization of the vibrational Hamiltonian also produces a series of eigenvalues which we interpret as energy levels. The frequency of light absorbed in a transition from vibrational state *a* to state *b* is

$$\omega_{ab} = \frac{E_b - E_a}{h} \tag{20}$$

where E_a is the energy of state $|\Psi_a\rangle$ and *h* is Plank's constant.

III. Computational Methods:

I. Electronic Structure Methods and Basis Sets.

The quantum chemistry methods employed in this study include Hartree-Fock (HF)⁶⁶, the post-Hartree-Fock methods MP2⁶⁷ and CCSD(T)⁶⁸, and density functional theory (DFT) methods spanning several rungs of "Jacob's ladder": B3LYP-D3^{69–72}, ω B97M-V⁷³, TPSS-D3^{72,74}, and

PBEh- $3c^{75}$. The comparison of HF to other methods allows us to understand the effect of exact exchange and the other method's approximate electron correlation on the frequency calculation. We use CCSD(T) as a "gold standard" electronic structure method, and MP2 as a sort of "silver standard" - not as accurate as CCSD(T) yet still reasonably applicable to some of the larger molecules examined in this study, and more accurate than DFT. We select TPSS-D3, B3LYP-D3, and ω B97M-V as representative, widely used, and economical meta-GGA, global hybrid GGA, and range-separated hybrid meta-GGA functionals, respectively, with recommended def2-mSVP modified basis set) is included because of its impressive cost to accuracy ratio. These choices were influenced by recommendations from the quantum chemistry community, as were our basis set choices.^{76–78} This search will allow us to find an economical method to use for our future condensed phase frequency calculations while uncovering some of the quantum effects on the frequency.

We also tested the effect of the basis set on the frequency by examining three families of basis sets: Pople, Dunning, and Ahlrichs.^{79–81} For each family, basis sets of the same zeta quality and with the same presence of diffuse functions were tested. The four combinations were: (1) double zeta with no diffuse functions [6-31G**, cc-pVDZ, and def2-SVP], (2) double zeta with diffuse functions on all atoms [6-31++G**, aug-cc-pVDZ, and def2-SVPD], (3) triple zeta with no diffuse functions [6-311G**, cc-pVTZ, and def2-TZVP], and (4) triple zeta with diffuse functions on all atoms [6-311++G**, aug-cc-pVTZ, and def2-TZVPD]. Because we will eventually calculate Raman intensities which are strongly dependent on polarization effects, we always include polarization functions on all atoms in our basis sets. For CCSD(T) and MP2, each of the largest triple zeta basis sets [6-311++G**, aug-cc-pVTZ, and def2-TZVPD] were used in order to obtain values with high accuracy for comparison to other methods.

II. Molecular Analysis

All geometry optimizations, harmonic frequency calculations, single point energy calculations, and polarizability calculations were performed using the Q-Chem 5.4 software package.⁷⁶ Single point energy calculations were typically performed using an self-consistent field (SCF) convergence criterion of 10^{-9} atomic units and a threshold for the neglect of two electron integrals of 10^{-14} atomic units. The relaxed constraint algorithm was used during early SCF iterations, and the Pulay DIIS algorithm was used during later iterations.^{82,83} For DFT calculations a quadrature grid with 99 radial points and 590 angular points was used, but a lower resolution SG-0 grid was used for early SCF cycles.⁸⁴ We attempted to optimize all molecular geometries to a maximum gradient of 3 x 10^{-6} atomic units; if this was not possible, the restriction was loosened to 30×10^{-6} atomic units. Optimization was confirmed by the absence of imaginary harmonic frequencies. Dipole moments were computed analytically based on the ground state electronic wavefunction and static polarizability tensors were computed using the finite field approach. Both computations were completed using the appropriate Q-Chem implementation.⁷⁶

We investigated four classes of alkyne containing molecules. These included small alkynes with carbon-based R groups, small alkynes with non-carbon substituents, large alkynes, and synthetic amino acids. These choices were inspired by the work of Romei et al. and allow us to investigate a diverse array of alkyne containing molecular structures.³⁶



Figure 2. Terminal alkyne molecules examined in this study. These optimized geometries were obtained using MP2/aug-cc-pVTZ. The three letter codes in parentheses are used throughout this work to refer to the molecules they appear underneath.

III. Localized Vibrational Frequency Calculations.

While the molecules we're investigating vary by R group, the atoms of the terminal alkyne moiety (-C=C-H, hereafter CCH) are common between them. These atoms have much larger displacements in the CC triple bond normal mode motion than the other atoms in each molecule (see Figure 1 and section IV.B.3. on localization error). Physically, this means the non-CCH atoms barely move during the vibration. One common approximation which can simplify a normal mode substantially is *localization*.^{85–88} In our localization approximation, we remove the motion of all non-CCH atoms in the molecule during the vibration so that only the CCH atoms move.

We do this in two separate but similar ways. In one method, we use the partial hessian approximation.^{89,90} Here, the masses of non-CCH atoms are assumed to be so large that their mass-weighted hessian entries can be neglected. This results in different values of the normal mode displacements than when the full mass-weighted hessian is diagonalized. These displacements can be used to compute the reduced masses, scan the potential energy surface, and perform any other tasks that their full hessian counterparts are used for in our DVR method. The second way we can localize the normal mode is by simply setting the non-CCH atom displacements to zero, and using the full hessian CCH displacements as in the partial hessian case. Both of these methods result in similar DVR localized normal mode frequencies, reduced masses, and CCH displacements (Table S1). We preferred the partial hessian-based method of localization if it was available in Q-Chem. Of the methods we explored, it is only unavailable for CCSD(T).

IV. Results and Discussion

Section 1. Performance of the Isolated Normal Mode DVR Method

Subsection A. Overall Performance

We performed anharmonic frequency calculations using the established VPT2 method implemented in the Q-Chem package with the "gold standard" CCSD(T) electronic structure method and triple zeta basis sets with diffuse functions to obtain benchmark anharmonic CC stretch frequencies. Experimental values for all molecules are taken from NIST sources.^{91–97} Nyquist reports 2185 cm⁻¹ for the CC stretch frequency for POL.⁹⁸ However, this disagrees with several other sources for the vapor and condensed phase frequency of this molecule, including the pictured spectrum in the Nyquist reference itself.^{36,91,98–101} Because of the disagreement with all other sources and our otherwise highly accurate CCSD(T)/triple zeta/VPT2 calculations, we believe this is a misreport and that sources stating the frequency is 2124 cm⁻¹ are correct. We show in Table 1 that the calculated frequencies using VPT2 are within 10 cm⁻¹ of the experimental frequency for most of our small molecules. We were not able to complete a VPT2 calculation for BTY/CCSD(T)/aug-cc-pVTZ because of the large memory and time requirements of the calculation. We observe a small basis set dependence on the calculated frequencies, and find that the Dunning and Alrichs family basis sets are most consistent with the reported experimental gas-phase frequency.

VPT2 is known to fail catastrophically in cases where two or more vibrational transitions have nearly the same energy, so it is important to check if such transitions exist before using the method.^{49,51} We have checked this using the transition-optimized shifted Hermite (TOSH) method. TOSH is an approximation to VPT2 and does not share the concern of catastrophic failure.⁴⁹ Because VPT2 and TOSH calculations are automatically performed simultaneously in Q-Chem, we have values from both methods for every calculation we performed. The frequencies we obtain from the TOSH method are always similar to those we obtain from VPT2, with an RMSD of 3.6 cm⁻¹ (see Figure S1). This is strong evidence that the VPT2 method is not suffering from catastrophic failure for the CC stretch. In the absence of these failures, the VPT2 calculations have the best cost to accuracy ratio of the anharmonic vibrational frequency methods packaged with Q-Chem.⁷⁶ As such, we use VPT2 anharmonic frequency calculations as a benchmark against which to test our localized DVR anharmonic frequency calculations.

We tested the performance of our localized normal mode DVR method against VPT2. CCSD(T) was used for both calculations; using such an accurate electronic structure method allows us to isolate inaccuracies due to the vibrational method alone. The DVR method nearly matches the performance of the VPT2 method for the small molecules where the atom directly bonded to the alkyne group is a carbon. However, the DVR frequencies for EOL and EAM differ substantially from experiment and VPT2. The calculated DVR frequencies are compared to experiment and VPT2 frequencies in Table 1.

Table 1: Difference of calculated CC stretch frequencies from reported experimental gas phase frequencies for our set of six small terminal alkyne molecules. All frequencies are reported in cm⁻¹ as

 $\Delta \omega = \omega_{calc} - \omega_{exp}. \ \text{RMSD} = \sqrt{\frac{1}{n} \sum_{i=1}^{n} (\Delta \omega_i)^2}, \text{ where } i \text{ iterates over each unique molecule. The RMSD is}$

given for only molecules where the R group begins with a carbon ($RMSD_{R=C}$) and for all molecules ($RMSD_{all}$). Experimental values are taken from NIST sources.^{91–97} The individual frequencies are given in

the SI.						
Expt	aug-cc-pVTZ	def2-TZVPD	6-311++G(d,p)			

							-			
		VPT2	DVR		VPT2	DVR	_	VPT2	DVR	
PPY	2124	+2	+4		+13	+6		+2	-6	
PAL	2125	-19	-17		-8	-16		-31	-28	
BTY	2116		+8		+16	+8		-6	-2	
POL	2124	-3	+1		-1	+1		-11	-8	
EAM	2155	-2	-29		-4	-17		-1	-37	
EOL	2198	-11	-39		-19	-28		-20	-43	
$RMSD_{R=C}$		11	10		11	9		17	15	
		10	21		12	15		16	26	

Subsection B. Sources of Error

Our localized normal mode DVR method makes three major assumptions about the terminal alkyne CC vibration: (1) that the error of discretizing the potential energy surface is negligible, (2) that the CC stretch normal mode is not anharmonically coupled to other normal modes in the molecule, and (3) that the atoms in the R group do not move during the vibration. All of these are formally incorrect. In the following, we investigate each of these assumptions to determine the amount of error they introduce to our method.

1. Is the error from discretizing the potential energy surface negligible?

The true potential energy surface for the normal mode is continuous $(P \to \infty \text{ and } \Delta Q \to 0)$ and has an infinite domain $(Q_1 \to -\infty \text{ and } Q_P \to \infty)$. However, our DVR method discretizes and limits this surface, and these approximations introduce some error. To determine this error, we produced a DVR potential energy surface with a very fine grid $(P = 100 \text{ and } \Delta Q = 0.02 \text{ Å})$ and a very large domain $(Q_1 = -1.0 \text{ Å} \text{ and } Q_{100} = 1.0 \text{ Å})$ at the MP2/aug-cc-pVTZ level of theory for propyne (Figure 3). The ω_{01} DVR frequency obtained using this PES was 2114.53 cm⁻¹. To ensure that this value was converged with respect to the number of grid points and the domain, PES grids with reduced resolution and domain were produced and used to compute the ω_{01} DVR frequency. No change greater than 0.2 cm⁻¹ was observed until the grid spacing, ΔQ , was increased to more than 0.04 Å. Also, the 100 grid point PES DVR results reproduce the behavior expected of a slightly anharmonic oscillator (Figure 3); the energy level spacing decreases slowly as the energy eigenvalue increases, and the high energy wavefunctions are highly oscillatory. Thus, we believe it is reasonable to treat this 100 grid point PES as nearly continuous and nearly unlimited.

We compared this nearly continuous and nearly unlimited surface to our preferred surface, containing P = 20 grid points between $Q_1 = -0.3$ Å and $Q_{20} = 0.5$ Å ($\Delta Q = 0.04$ Å). The ω_{01} frequency obtained using the near-continuous PES (2114.53 cm⁻¹) is consistent with the calculated ω_{01} frequency using the 20 grid point PES (2114.72 cm⁻¹). This happens because the low energy eigenvalues for both PESs are very similar (Figure 3). The high energy eigenstates obtained from the 20 grid point PES are not similar to those from the near continuous surface, indicating increasing error with increasing energy. The 20 grid point PES would be inadequate to compute, say, the ω_{09} frequency. However, we are most interested in the ω_{01} frequency and the

error from discretization for this value is 0.19 cm⁻¹. We find this error is acceptable in exchange for computing one-fifth as many PES grid points.



Figure 3. Discretized PES for propyne (MP2/aug-cc-pVTZ) with 100-point (left) and 20--point (right) grids. The top panels demonstrate that the two grids produce essentially identical vibrational wavefunctions and energy levels for the ground and first excited vibrational states. The bottom panel shows that the vibrational states, which are drawn at their respective energy levels, diverge between the two grids at igh energies. The vibrational states of the nearly continuous 100--point grid show the behavior one would expect of a slightly anharmonic oscillator.

2. Is the CC stretch normal mode only weakly anharmonically coupled to other normal modes in the molecule?

Molecular normal modes are completely orthogonal motions of a molecule under the harmonic oscillator approximation (this is, in fact, the definition of a normal mode). However, normal modes generally mix with other normal modes once anharmonicity is considered. Our DVR method

implicitly assumes that the CC stretch normal mode is *anharmonically isolated*. To determine the error due to this assumption, which we term e_{iso} , we performed anharmonic VPT2 frequency calculations for the isolated CC stretch normal mode. In this calculation, 3^{rd} and 4^{th} derivatives of the energy are only computed with respect to the CC stretch normal mode; no other normal mode information is included in the calculation. In effect, this anharmonically isolates the CC stretch vibration in a similar way to our DVR method. e_{iso} is approximated as the difference between the isolated VPT2 value and the VPT2 frequency with normal mode coupling using CCSD(T) and triple zeta basis sets (i.e. $\omega_{iso} - \omega_{coup}$). The error due to normal mode isolation is reported in Table 2, and the average e_{iso} is +40 cm⁻¹.

	, ,		1
	aug-cc-pVTZ	def2-TZVPD	6-311++G(d,p)
PPY	+37	+40	+30
PAL	+27	+29	+29
BTY		+38	+37
POL	+33	+45	+34
EAM	+42	+43	+35
EOL	+44	+51	+51

Table 2: e_{iso} is calculated as the difference between isolated and ordinary VPT2 frequency calculations using CCSD(T) and a series of triple zeta basis sets. CC stretch normal mode isolation results in a higher calculated frequency by an average of +40 cm⁻¹. The individual frequencies are given in the SI.

The total anharmonicity of the CC stretch normal mode can be approximated as the difference between harmonic and VPT2 frequencies (i.e. $\omega_{harm} - \omega_{coup}$) using the same CCSD(T) electronic structure theory. A strong correlation between e_{iso} and the total anharmonicity indicates that a significant portion of the variance in the total anharmonicity is due to normal mode coupling, rather than *isolated* normal mode anharmonicity (harmonic frequency calculations do not capture either effect). We find a moderately strong correlation (R=0.80, p < 2 x 10⁻³) between e_{iso} and the overall anharmonicity (Figure 4). A linear regression treating the approximate total anharmonicity as the independent variable has a slope of 0.84, quite close to 1. This, and the small y-intercept value of 3.3 cm⁻¹, implies that a large portion of the overall magnitude of the anharmonicity (not just its variation) is due to normal mode coupling – if the slope were exactly 1, we could essentially say $e_{iso} = \omega_{harm} - \omega_{coup}$. This follows from the fact that the isolated CC stretch normal mode vibration itself is fairly harmonic (see Figure 3). Figure 4 also shows that few of the molecules strongly tend to reliably have higher or lower anharmonicity across all basis sets, leading to the relatively constant e_{iso} seen in Table 2.



Figure 4. Correlation between approximate total anharmonicity (horizontal axis) and isolation error (vertical axis). The best fit line is in black (R = 0.80, $p < 2 \times 10^{-3}$).

3. Do the R group atoms move only a small amount during the vibration?

Our localization method makes the approximation that only the CCH atoms move during the vibration. This cannot be exactly true – a pure vibration does not change the center of mass of the molecule, but a CCH-only vibration would. However, the degree of R group motion required to fulfil this requirement decreases as the R group mass increases. What effect does removing this R group displacement have on the normal mode motion and frequency?

To quantify the effect on the motion we analyzed the atomic normal mode displacements reported by harmonic frequency calculations for all small probes using CCSD(T) with the same series of basis sets. We found that on average the magnitude of the R group atom displacement is 0.071 Å while the average **=C**-R, **=C**-H, and -H atom displacements were 0.559 Å, 0.385 Å, and 0.719 Å, respectively. The much larger CCH displacements show that these atoms are the most important in the vibrational mode.

We also quantified the effect on the calculated frequencies. We performed both harmonic and DVR frequency analyses that capture the error due to localizing the vibration to the CCH atoms. Specifically, we compared full harmonic frequencies to localized harmonic frequencies, and separately we compared full normal mode DVR frequencies to localized normal mode DVR frequencies. The localized harmonic frequencies were computed using the numerical second derivative of the energy as a function of the localized normal mode coordinate near Q = 0. All of these frequency calculations were performed using the CCSD(T) method with the same series of basis sets as above.

group is not carbon based. The individual nequencies are given in the St.							
	aug-cc-pVTZ		def2-TZVPD		6-311-	6-311++G(d,p)	
	harm	anharm	harm	anharm	harm	anharm	
PPY	-30	-37	-31	-36	-36	-39	
PAL	-26	-26	-22	-26	-21	-28	
BTY	-32	-33	-30	-33	-33	-35	
POL	-28	-31	-16	-31	-25	-33	
EAM	-70	-70	-66	-69	-68	-72	
EOL	-67	-73	-68	-72	-68	-74	

Table 3: The error due to localization is determined using harmonic and anharmonic approaches, CCSD(T) electronic structure theory, and a series of triple zeta basis sets. Localization decreases the calculated frequency by about 43 cm⁻¹. The effect of localization is highest for molecules where the R aroun is not carbon based. The individual frequencies are given in the SI

The error due to localization, e_{loc} , was estimated as the difference between the isolated normal mode frequency and the full normal mode frequency (i.e. $\omega_{loc} - \omega_{full}$) for each vibrational structure method. The individual e_{loc} values are reported in Table 3. The average harmonic e_{loc} is -41 cm⁻¹ and the average anharmonic e_{loc} is -45 cm⁻¹. The overall average is -43 cm⁻¹. We find that the magnitude of e_{loc} is strongly correlated to the sum of the mass-weighted displacements of the R group atoms in the full normal mode (Figure 5). In particular, the molecules with noncarbon-based R groups, EAM and EOL, have particularly large R group displacements and thus large localization errors. When they're removed from the estimate of e_{loc} , it shrinks to -30 cm⁻¹ for terminal alkynes with carbon-based R groups. That this correlation exists, is strong, and is negative, shows that molecules which more fully satisfy the partial hessian assumption of zero R group motion will have less localization error, as might be expected.



Figure 5: Correlation between the sum of the magnitude of mass-weighted displacements for all R group atoms (horizontal axis) and localization error (vertical axis). The best fit line is in black (R = -0.94, $p < 1x10^{-8}$).

4. Fortuitous error cancellation:

In our localized normal mode DVR method, the error due to discretization is negligible. The errors due to isolation, $e_{iso} \approx +40 \text{ cm}^{-1}$, and localization, $e_{loc} \approx -43 \text{ cm}^{-1}$, are more substantial (though not individually enormous). Fortunately, they are nearly equal in magnitude and opposite in sign. This cancellation occurs not only on average across molecules and basis sets but also for most individual combinations of molecule and basis set as depicted for the def2-TZVPD basis set in Figure 6. This error cancellation is consistent across alkynes with carbon-based R groups. As shown in Figure 6, the co-cancellation of these errors explains the high accuracy of our isolated normal mode DVR method. Because of the consistency of this error cancellation, the modest magnitudes of the errors, and the resulting accuracy, we believe it is appropriate to use this method for future alkyne frequency analyses. However, anyone using this method or a similar one should check that the error cancellation remains in their context, perhaps using the comparisons we have employed above. This method would need to be more carefully adjusted for use with alkynes having non-carbon-based R groups since, in their case, the error does not cancel out.



Figure 6. Decomposition and quantification of localized normal mode DVR frequency calculation errors. The performance of DVR and VPT2 calculations with respect to experimental frequencies are included for reference. All calculations use the def2-TZVPD basis set.

Section 2. Density Functional Theory Calculations

CCSD(T) is a highly accurate electronic structure method. However, it is not accessible for use with our larger probes. In the future, we would prefer to use DFT methods because of their high speed and reasonable accuracy. We use the MP2 electronic structure method as a 'silver standard' to evaluate the performance of various cheaper DFT methods. Lowering the level of theory to MP2 changes the frequency by about by an average of 4 cm⁻¹ and an RMSD of 22 cm⁻¹ for our small probes (values in SI).

We also calculated the localized normal mode DVR frequencies using four density functionals: PBEc-3h, TPSS-D3, B3LYP-D3, and ω B97M-V. These calculations were performed using Pople, Dunning, and Alrichs style basis sets fitting the descriptions "double zeta," "double zeta with diffuse functions," "triple zeta," and "triple zeta with diffuse functions." An exception was PBEc-3h which was only used with the def2-mSVP basis set.

We used these values to compute scaling factors following Irikura et al.¹⁰² Scaling factors are commonly used to correct for the inaccuracies in harmonic frequency calculations, which can broadly be sorted into two types. The first type of error is at the level of the vibrational calculation, especially the neglect of anharmonic effects. This is fundamental to the harmonic method. Our calculations do not have this same error but, as discussed above, our approximations introduce their own vibrational calculation errors. The second type is at the electronic structure level, where inadequacies in the description of electrons can introduce error. Because of this, scaling factors are sensitive both to the electronic structure method and the basis set. A scaling factor, c, can be computed using the formula,

$$c = \frac{\sum_{i} x_{i} z_{i}}{\sum_{i} x_{i}^{2}}$$
(21)

where the sum is over different molecules in the "training set" for the scaling factor, x_i are their computed frequencies, and z_i are their experimental frequencies. The uncertainty in the scaling factor is given by

$$u(c) = \left(\frac{\sum_{i} x_i^2 \left(\frac{z_i}{x_i} - c\right)^2}{\sum_{i} x_i^2}\right)^{\frac{1}{2}}$$
(22)

where *c* is the previously computed scaling factor.¹⁰² MP2 scaling factors and their uncertainties were computed for the set of small molecules for which experimental values are available. The average MP2 scaling factor across basis sets was 1.004 ± 0.003 . These scaling factors were used to predict experimental values for the larger probes where we did not have experimental data. The predicted experimental values (i.e. scaled MP2 values) are given in Table 4.

Table 4. Predicted experimental gas phase frequencies (cm⁻¹) from on localized normal mode DVR using MP2 and scaling factors obtained from the smaller probes.

	aug-cc-pVTZ	def2-TZVPD	6-311++G(d,p)	Average
PAC	2131	2132	2138	2134
EBA	2099	2094	-	2097

HPG	2115	2117	2114	2115
PEP	2100	2100	2098	2099

The averages of these predicted experimental values were used along with authentic experimental values for the small probes to compute scaling factors and uncertainties for all the density functional methods (Figure 7). The results are nearly identical to those obtained if only the small probes with true experimental frequencies are used. If EAM and EOL are removed from the training set, the uncertainties become smaller but the magnitudes of the scaling factors remain nearly the same.



Figure 7. Estimated scaling factors for different combinations of basis set and electronic structure method. Frequencies were calculated using the localized normal mode DVR method developed in this work. For PBEc-3h, the def2-mSVP basis set was used. This basis set is a slightly modified version of the def2-SVP basis set, which was used for the other density functionals.

A vibrational frequency method with no vibrational or electronic sources of error would have a scaling factor of 1.0. By this metric, MP2 performs very well, and so serves as an effective benchmark. HF performs relatively poorly with an average scaling factor of 0.900 ± 0.003 , showing that electron correlation is an important factor in these calculations. Contrary to our prior

expectations, TPSS-D3 is the cheapest *and* most accurate DFT method for calculating the terminal alkyne CC stretch frequencies. The best scaling factor was 0.989 ± 0.006 for TPSS-D3/6-311++G(d,p). ω B97M-V has surprisingly poor performance, though even its lowest scaling factor of 0.943 ± 0.007 for ω B97M-V/6-31G(d,p) is fairly reasonable. PBEc-3h/def2-mSVP has the worst performance of the density functionals at a scaling factor of 0.931 ± 0.007 . All methods and all basis set families show a marked improvement in the frequency predictions when going from a double zeta basis set to a double zeta basis set with added diffuse functions. For the Pople and Dunning basis set families, performance is worsened whenever diffuse functions are removed, while the double/triple zeta distinction is less important. The Alrichs basis sets are more "stable" in a sense – changing the basis set features has the least effect on performance for this family. The Pople basis sets are the most erratic, so a small change in basis set character can have a large effect on performance. Based on these results, an ideal model chemistry would include a functional like TPSS-D3 and a basis set of at least double zeta quality with diffuse functions included.

One reason that TPSS-D3 may perform better than the other functionals is because it was derived from fundamental density functional theory principles, with very few fitted parameters.⁷⁴ B3LYP-D3 and ω B97M-V include several adjustable parameters which were fit based on experimental data on several (or many) smaller molecules.^{69,73} The small molecule training sets did not include many alkynes or other triple bonded molecules, which may harm their ability to characterize triple bonds compared to a less empirically influenced method. Presumably, an empirical DFT method based on many triple bonded molecules would perform even better than TPSS-D3. The importance of diffuse functions in the basis set likely also comes down to the presence of the triple bond. In a triple bonded molecule, there is substantial electron density placed unusually far from the nuclei. A basis set without diffuse functions might have some difficulty fully describing this. Describing the triple bond well is particularly important when computing these CC bond frequencies which directly depend on estimating the bond strength. A deeper understanding of these trends would likely require very careful electronic structure analysis, which is outside the scope of this work.

Section 3. Properties of the Vibration

1. Transition Isotropic Polarizabilities and Transition Dipole Moments

Using this vibrational method, we can compute a number of useful vibrational properties. Here, we focus on the calculation of the transition dipole moment and the transition isotropic polarizability. To compute these, we first obtain the dipole moment and isotropic polarizability surfaces, shown in the left panels of Figure 8. These surfaces were calculated for all our molecules at the grid point structures used in our localized normal mode DVR calculations at TPSS-D3/6-311++G(d,p). The dipole moment is reported as the overlap (dot product) between the full dipole moment vector and the unit vector pointing from the carbon bonded to the R group to the carbon bonded to the terminal hydrogen. The isotropic polarizability is reported as the trace of the full polarizability tensor. In general, the projection of the dipole moment decreases slowly as the normal mode coordinate increases (note the y-axis). For the molecules where the R group does not begin with carbon, the decrease is more rapid; for PAL the dipole moment projection

actually increases with the normal mode coordinate. The polarizability increases along the normal mode for all molecules; this is expected, since molecular bond lengths are generally increasing as the normal mode coordinate increases. The magnitude of this increase is generally fairly large, especially for the molecules containing aromatic rings.



Figure 8. Isotropic polarizability (top left) and dipole moment (bottom left) surfaces as a function of the CC stretch normal mode coordinate, Q. The transition isotropic polarizability (top right) and transition dipole moment (bottom right) are computed using these surfaces and vibrational wavefunctions from our localized normal mode DVR method. All properties are calculated for each probe molecule using TPSS-D3/6-311++G(d,p).

The dipole moment and polarizability surfaces, along with our DVR vibrational wavefunctions, enable us to compute transition dipole moments and transition polarizabilities for the $0 \rightarrow 1$ transition. The square of the transition dipole moment is proportional to the probability of absorption in infrared spectroscopy experiments, and the square of the transition polarizability is directly related to the probability of scattering in Raman spectroscopy experiments.⁶³ These are shown in the right part of Figure 8. As expected, the transition dipole moments are relatively small. For comparison, the square of the transition dipole moment of the highly IR active CO₂ asymmetric stretch $0 \rightarrow 1$ transition is 0.106 D^2 ; the largest in our set of alkynes is about one-third this value at 0.0280 D^2 .^{103,104} The largest transition dipoles occur for the probes where the triple bond is attached to an atom which is not carbon. Most of the values are much smaller. The transition polarizabilities, however, are fairly large. Again, using CO₂ as a comparator, the Raman active symmetric stretch $0 \rightarrow 1$ transition couples with the $0 \rightarrow 2$ overtone of the bending vibration which

results in two strong peaks. The squared transition polarizability of the first is $2.52 \times 10^{-3} A^{6}$ and of the second is $3.73 \times 10^{-3} A^{6}$. In our group of alkynes, the smallest squared transition polarizability is $12.6 \times 10^{-3} A^{6}$ and the largest is $104 \times 10^{-3} A^{6}$. The transition polarizabilities are especially large for the alkyne molecules conjugated to aromatic rings, for whom stronger Raman absorption has already been observed in experiments.^{29,36}

2. Universal Displacements

The localized CCH atom displacements for the terminal alkyne CC normal mode are remarkably similar between the molecules observed in this study. To take advantage of this, we performed localized normal mode DVR on all molecules, with all basis sets and DFT functionals described in this work. These calculations were performed twice and then compared. In the first iteration, displacements were obtained individually, so each molecule, DFT method, and basis set combination had its own specific atomic normal mode displacements. In the second iteration, the localized displacements for the CCH atoms of propyne at MP2/aug-cc-pVTZ were used for all molecules, DFT method, and basis sets. In Figure 9, the strong correlation between these two methods of displacing the CCH atoms is shown. It is clear that the atomic displacements of the CCH atoms in the CC stretch are fairly *universal* – one could switch the specific values between any pair of molecule, DFT method, and basis set combinations. In future work, we will use such universal CC displacements to simplify the calculation of terminal alkyne vibrational frequencies from molecular dynamics snapshots.



Figure 9. Comparison of DVR frequencies computed using localized displacements individual to each molecule and electronic structure method (ω_{DVR}) and DVR frequencies computed using the localized displacements from propyne at MP2/aug-cc-pVTZ, our so called "universal displacements" ($\omega_{DVR,ud}$). The solid black line is a linear fit to the data with the equation $\omega_{DVR,ud} = 1.001\omega_{DVR} - 2.834$ cm⁻¹. The dotted blue line is y = x for reference. The correlation coefficient for the fit is R = 1.000.

v. Conclusion

Understanding the terminal alkyne CC stretch vibration is important for a number of biological and materials questions. In this work, we develop and test an extension to the DVR method specific to this important molecular probe. Our resulting localized normal mode DVR method benefits from error cancellation between modest localization and isolation errors. It can be easily implemented using DFT methods and surprisingly TPSS-D3 is a particularly accurate functional when used with it. Finally, we are able to compute important vibrational properties such as the transition isotropic polarizability which are vital for eventually computing Raman spectra in realistic simulations.

vi. Supplementary Material

Included in the main PDF of our supplementary material are a graph of the comparison between our VPT2 and TOSH frequencies and a table comparing partial and full Hessian localization methods. XYZ files of the investigated molecules optimized with CCSD(T) or MP2 and triple zeta basis sets are also included, because of the large computational cost of obtaining these structures. Finally, JSON data files and instructions for interacting with them in python are included. These JSON files contain all frequencies and localized normal mode CCH displacements computed in this work.

vii. Acknowledgments

Computational resources were provided in part by the MERCURY consortium (https://mercuryconsortium.org/) under NSF grants CHE-1229354, CHE-1662030, and CHE-2018427. We thank Haverford College for startup funding and the NSF for support under grant number CHE-2213339. We also thank Profs Casey Londergan, Steve Corcelli, Sean Garrett-Roe, and Jeffery Woodford for helpful comments on and discussion about this manuscript.

viii. Author Declarations

The authors have no conflicts to disclose. **Kristina Streu**: formal analysis, investigation, methodology, software, supervision, validation, visualization, original draft preparation, review and editing. **Sara Hunsberger**: formal analysis, methodology, investigation, software, review and editing. **Jeanette Patel**: investigation, software, visualization, review and editing. **Xiang Wan**: formal analysis, methodology. **Clyde A. Daly Jr.**: conceptualization, formal analysis, funding acquisition, investigation, methodology, administration, resources, software, supervision, validation, visualization, original draft preparation, review and editing.

IX. Data Availability Statement

The vibrational frequencies and localized normal mode CCH displacements calculated in this work are available as supporting materials, as are CCSD(T) and MP2 structures of the investigated molecules. The general methods and code used to compete the calculations are or soon will be available on github at https://github.com/Daly-Lab-at-Haverford/code_examples. Other data supporting the findings of this study are available upon reasonable request.

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