

Electron Withdrawing *meso*-Substituents Turn-on Magneto-Optical Activity in Porphyrins

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Supporting Information Placeholder

ABSTRACT: A series of square planar metalloporphyrins (M(TPP), TPP is 5,10,15,20-tetraphenylporphyrin and M(TPFPP), TPFPP is 5,10,15,20-tetrapentafluorophenylporphyrin; M is Zn²⁺, Ni²⁺, Pd²⁺, or Pt²⁺) with distinct *meso*-substituents were prepared and their Magneto-Optical Activity (MOA) was characterized by magnetic circular dichroism (MCD) and Magneto-Optical Rotary Dispersion spectroscopy (MORD; also known as Faraday Rotation spectroscopy). MOA is crucial in the development of next generation magneto-optical devices and quantum computing. The data show that the presence of *meso*-pentafluorophenyl substituents results in significant increase in MOA in comparison to the homologous phenyl group. Differences in the MOA of these metalloporphyrins are rationalized using the Gouterman four-orbital model and pave the way for rational design of improved and tailorable magneto-optical materials.

Introduction

Modern coherent optical light sources and photonic technologies are highly dependent on polarization modulators (e.g., Pockels Cells). Polarization modulators or rotators are materials that allow left- and right-handed circularly polarized light to propagate at different speeds or phase velocities. Magneto-Optical Rotary Dispersion (MORD) is the rotation of polarized light as it transmits through a magnetized material, also known as Faraday Rotation (FR) or Magnetic Circular Birefringence (MCB).¹ MORD and Magnetic Circular Dichroism (MCD) are paired responses referred together as Magneto-Optical Activity (MOA).²⁻⁵ Current MOA components are typically fabricated from paramagnetic glasses and modulate polarization within changing magnetic fields.⁶⁻⁸ The creation of molecular materials that exhibit MOA will open new applications and allow for the development of new technologies. Indeed, recent MOA reports highlight magnetic nanoparticles,⁹⁻¹¹ polymer thin films,¹²⁻¹⁶ and other materials that show promise in this research space.¹⁷⁻²¹

MOA is characterized by Faraday \mathcal{A} -, \mathcal{B} -, and \mathcal{C} -terms (equation 1) that are associated with a particular electronic transition from the ground state (G) to an excited state (J).^{1,2,5} Briefly, Faraday \mathcal{A} -terms (Equation 1a) are operative when either a ground or excited electronic state is degenerate and Zeeman split in a magnetic field, where l_z is the angular momentum operator. Faraday \mathcal{B} -terms (Equation 1b) are manifest from magnetic field induced mixing of a third state (J') with either the ground or excited state of an electronic transition, where e is the charge on the electron and x and y are the polarized transition moment dipoles. Faraday \mathcal{C} -terms are the weakest of the three terms and exist for all diamagnetic samples. This is the term responsible for the MOA in recently reported P3HT based polymers.²²⁻²⁵ Zeeman split degenerate ground states yield \mathcal{C} -terms (Equation 1c), which are a consequence of unequal Boltzmann populations in each state at a given temperature. \mathcal{C} -terms are predominantly exhibited by paramagnetic samples. These terms can be derived from MCD spectra using Equations 2 and 3. Equation 2 yields the dipole strength (D_0) of an electronic transition from $G \rightarrow J$ by fitting absorbance spectra in molar extinction units with a normalized gaussian function (f). Equation 3 fits the change in extinction at a particular energy (E) in the absorption spectrum as a function of \mathcal{A} , \mathcal{B} , \mathcal{C} terms, the Gaussian fit to the band (f) and magnetic field (H). In aggregate, these equations allow for evaluation of the rotation of light propagating through a material in a magnetic field. The rotation of light is due to differential speeds of left- and right-hand circularly polarized light as it passes through the medium.

$$A(G \rightarrow J) = \langle J | l_z | J \rangle D_0(G \rightarrow J) \quad 1a$$

$$B(G \rightarrow J) = \frac{\langle J | l_z | J' \rangle \langle G | -ex | J_x \rangle \langle J' | -ey | G \rangle}{3(E(J') - E(J))} \quad 1b$$

$$C(G \rightarrow J) = \langle G | l_z | G \rangle \text{Im}(\langle G | -ex | J \rangle \langle J | -ey | G \rangle) \quad 1c$$

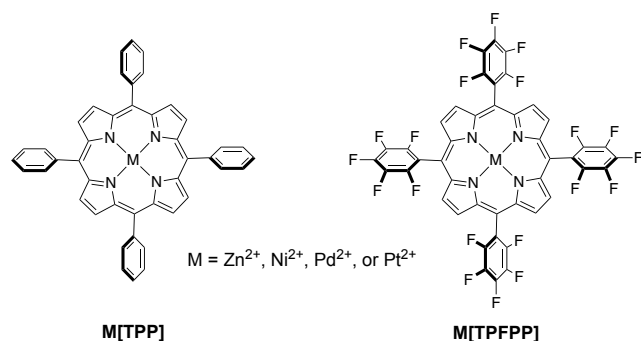
$$\varepsilon/E = 326.6 * D_0(G \rightarrow J) f \quad 2$$

$$\Delta\epsilon/E = 152.5 * H \left[A \left(-\frac{\partial f}{\partial E} \right) + \left(B + \frac{C}{kT} \right) f \right] \quad 3$$

Based on the brief descriptions above, Faraday \mathcal{A} -terms may be the most facile to engineer within materials as they simply require an intense electronic transition with a degenerate excited state, the latter of which is a direct consequence of molecular structure. The electronic structure and MCD spectra of highly symmetric metalloporphyrins have been well studied, but there are few papers describing their MORD activity,²⁶⁻³² or their use as magneto-optical polarization modulators.¹⁵ The Gouterman four-orbital model simply describes the complicated electronic structure of porphyrins primarily through the interactions of two closely-spaced (nearly degenerate) a_{1u} and a_{2u} HOMO orbitals and a degenerate e_g LUMO set.³³⁻³⁶ Due to this degeneracy, we questioned if metalloporphyrins might be good candidates for next generation polarization modulation materials. We hypothesized that e_g (d_{xz} , d_{yz}) metal-porphyrin mixing (and introduction of charge transfer character) with the e_g LUMO would yield conditions favorable for excited state angular momentum transfer (Equation 1a) thereby enhancing MOA through a Faraday \mathcal{A} -term contribution. Herein, we report that simple square planar metalloporphyrins comprising electron withdrawing *meso*- C_6F_5 substituents are enhanced Faraday rotators. We provide an explanation for the observed increase in MOA of these porphyrins that invokes the Gouterman four-orbital model.

Results and Discussion

Chart 1. Molecular structures of the eight metalloporphyrins reported in this study.



Depicted in **Chart 1** are the eight metalloporphyrins featured in this study. The two different porphyrins, 5,10,15,20-tetraphenylporphyrin (TPP, left) and 5,10,15,20-tetrapentafluorophenylporphyrin (TPFPP, right) were chosen to investigate the role of electron withdrawing groups on MOA. This synthetic modification changes electron density on the porphyrin ring (a_{2u} HOMO; e_g LUMO) without changing the symmetry characteristics of the resulting molecular orbitals. The metal ions Zn²⁺, Ni²⁺, Pd²⁺, and Pt²⁺ were chosen to address the role of metal size and extent of π -backbonding, with Zn²⁺ (d^{10}) being unable to participate in this interaction, due to the inaccessibility of Zn³⁺. As will be demonstrated below, a trend is seen across the M(TPFPP) compounds in

which with increasing period of the group 10 metal (Ni²⁺, Pd²⁺, Pt²⁺), enhancements of the MOA of the Q₀ transition are observed. This trend is reversed for the homologous TPP derivatives, indicating that the electron withdrawing effects of the porphyrin *meso*-substituents plays a profound role in modulating the MOA response.

Shown in **Figure 1A** (top) are the absorbance spectra of Zn(TPFPP) (black), Ni(TPFPP) (red), Pd(TPFPP) (blue), and Pt(TPFPP) (green). An inset depicts an expanded spectral region containing the Q-bands. The peaks of each band, and their corresponding MOA terms and dipole strengths are summarized in **Table 1**. The Soret and Q-bands of the four TPFPP complexes shift to the blue with increasing period of the metal. The hypsochromic shift of the Ni²⁺, Pd²⁺, and Pt²⁺ porphyrins relative to the Zn²⁺ analogue is expected and has traditionally been ascribed to a metal d_{xz} , d_{yz} (e_g) bonding interaction with the $e_{g,x}$, $e_{g,y}$ LUMO orbital set.^{33, 34} We note that Ghosh and Conradie have recently suggested that the hypsochromic shift in the Soret is due to lowering of the a_{2u} HOMO (due to stabilization of the N 1s orbital) in their calculations of M(TPP) complexes, where M is Zn²⁺, Pd²⁺, and Pt²⁺.³⁷ In addition, we note that the intensity (dipole strength) of the Soret band for the Ni²⁺, Pd²⁺, and Pt²⁺ is much weaker in comparison to the Zn²⁺ complex, suggesting that these metals affect the orbital contributions to the electronic transition.

The middle panel of **Figure 1A** depicts the measured MCD spectra of the four fluorinated metalloporphyrins. Focusing on the Soret band, one expects the MCD response to shift blue and to be weaker in intensity in accordance with the trends observed in the absorption spectra (top panel). Moreover, Faraday \mathcal{A} -terms appear as first derivative line-shapes. Small asymmetries in the first derivative line-shapes to the blue of the Soret band are due to MCD \mathcal{B} -terms. While the MCD response of the Q₀ band shifts blue (as expected from the absorbance spectra), there is a noticeable increase in the MCD response as a function of the size of the central metal ion.

For the group 10 series of metals, the intensity of the MCD band of Q₀ is largest for Pt²⁺ and smallest for Ni²⁺. The Zn²⁺ complex features the lowest overall MCD intensity of the M(TPFPP) series, presumably due to its inability to engage in π -bonding with the porphyrin (**Table 1**). We will return to this point in our analysis of comparison with the M(TPP) systems (*vide infra*). These effects have been previously documented in porphyrins through their MCD spectra.³⁸⁻⁴¹

The MORD spectra were estimated by calculating the Hilbert transform of the MCD response of each compound. Briefly, the Hilbert transform is an integral transform (**Equation S2**), which relates the real component (MORD) to the imaginary component (MCD) of the complex magnetically perturbed dielectric function. Practically, one computes the overlap of the MCD spectrum with a variable asymptotic function. The results of this

analysis are shown in the bottom panels of **Figure 1**; this approach was first established by Stephens, and is much more straightforward than directly collecting the MORD (Faraday Rotation) spectrum.⁵ Magneto-Optic \mathcal{A} -terms appear as 2nd derivative Gaussian lineshapes in the MORD spectra. The trends in the magnitude of relative MOA observed in the MCD spectra of the four M(TPFPP)

complexes are preserved in the MORD spectra. Chiefly, there is an increase in the MOA of the Q₀ band. The MORD response of the Soret band definitively shows that these peaks shift blue relative to Zn²⁺. Importantly, derived magneto-optic terms from either measurement (MCD or MORD), yield the same values for the dipole strength and Faraday terms (see **Table 1**).

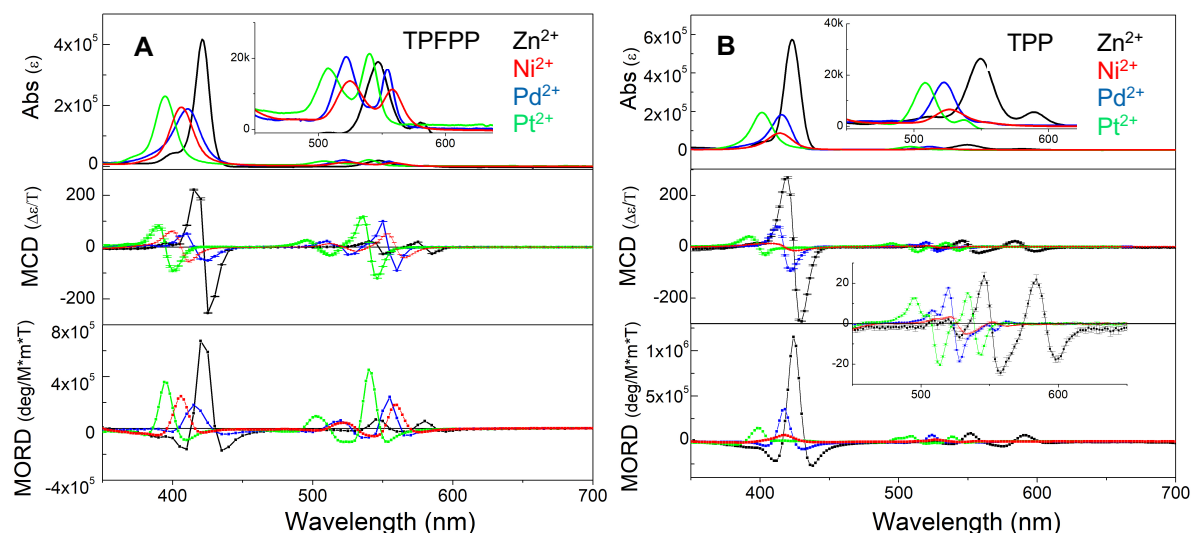


Figure 1. Absorbance (top), MCD (middle), and calculated MORD (bottom) spectra for A) Zn(TPFPP) (black), Ni(TPFPP) (red), Pd(TPFPP) (blue), and Pt(TPFPP) (green); and B) Zn(TPP) (black), Ni(TPP) (red), Pd(TPP) (blue), and Pt(TPP) (green).

Table 1. Absorption maxima, MCD terms, and Figure of Merit (FOM) for [M(TPP)] and M(TPFPP), M = Zn²⁺, Ni²⁺, Pd²⁺, Pt²⁺.

	M(TPP) ^a					M(TPFPP) ^a					
		λ_{\max} (nm)	D ₀	A	\mathcal{A}/D_0	FOM	λ_{\max} (nm)	D ₀	A	\mathcal{A}/D_0	FOM
Zn ²⁺	Q ₀	589	0.53	1.36	2.57	0.12	580	0.52	0.92	1.77	0.30
	Q ₁	550	3.55	1.70	0.48	0.03	547	3.11	1.34	0.43	0.04
	B ₀ ^a	423	56.4	31.6	0.56	0.02	421	33.9	25.0	0.74	0.02
Ni ²⁺	Q ₀	565	0.10	0.07	0.7	0.02	559	1.12	3.30	2.95	0.16
	Q ₁	527	1.26	0.59	0.47	0.03	525	2.39	1.87	0.78	0.03
	B ₀	414	10.1	6.31	0.62	0.01	406	24.2	9.61	0.39	0.01
Pd ²⁺	Q ₀	555	0.21	–	–	0.07	554	1.24	3.60	2.90	0.15
	Q ₁	522	2.32	0.66	0.28	0.04	522	2.87	2.65	0.92	0.03
	B ₀	416	19.5	9.93	0.51	0.02	411	24.6	12.6	0.51	0.01
Pt ²⁺	Q ₀	537	0.36	0.48	1.33	0.21	540	1.62	6.22	3.84	0.21
	Q ₁	508	2.49	0.29	0.12	0.03	508	2.18	3.28	1.50	0.07
	B ₀	401	21.7	3.40	0.16	0.01	394	21.3	14.2	0.67	0.02

^a For Zn(TPP) we use $\epsilon = 538,300 \text{ M}^{-1} \text{ cm}^{-1}$ at 424 nm, which is consistent with literature values and similar to the average value ($560,000 \text{ M}^{-1} \text{ cm}^{-1}$) recently suggested for use by Taniguchi, Lindsey, Bocian, and Holten.⁴² For Zn(TPFPP), we use $\epsilon = 5175 \text{ M}^{-1} \text{ cm}^{-1}$ at 589 nm (Q₀ peak max), which agrees well with Djerassi's original report ($5050 \text{ M}^{-1} \text{ cm}^{-1}$ at 581 nm).⁴³

Figure 1B contains the absorbance (top), MCD (middle), and calculated MORD (bottom) spectra for homologous metalloporphyrins bearing non-fluorinated phenyl substituents (*i.e.*, **Zn(TPP)**, **Ni(TPP)**, **Pd(TPP)**, and **Pt(TPP)**), with relevant parameters shown in **Table 1**. The absorbance and MCD spectra we obtained are in good agreement with literature spectra.^{42, 44-46} Indeed, the absorbance spectra of the M(TPP) systems show an expected blue shift in their Soret maxima as the period of the metal increases, similar to what was observed for the fluorinated porphyrins. We note that for all four M(TPP) complexes, the Q₁ peak is more intense than the Q₀ peak, in contrast to what is observed for the M(TPFPP) homologues, where Q₀ and Q₁ peaks exhibit comparable intensity. Moreover, for **Pd(TPP)** the Q₀ band is diminished to the point that its \mathcal{A} -term contribution cannot be effectively calculated through fitting, as it appears as a shoulder on the red edge of the Q₁ band.

Strikingly, the trends seen for the increasing dipole strength and MOA in the Q₁ or Q₀ bands of the M(TPFPP) derivatives are not preserved for M(TPP). Instead, the MOA for the Q-bands *actually diminish* for **Pd(TPP)** and **Pt(TPP)** and is nearly nonexistent for **Ni(TPP)** as compared to that observed for **Zn(TPP)**. We note that if metal $d\pi$ -backbonding was an important electronic factor for the M(TPP) systems, one would not expect the MOA to be largest for Zn²⁺.

Spectral features of porphyrins and metalloporphyrins are frequently rationalized using the Gouterman Four-Orbital model. The energy and intensity of the Soret band arises from the sum of electronic transition dipoles associated with the two one-electron transitions, $(a_{1u})^2(a_{2u})^1(e_{gx,y})^1$ and $(a_{1u})^1(a_{2u})^2(e_{gx,y})^1$, whereas the Q₀ band arises from their subtraction. The Q₁ band is a vibronic transition. In this series of complexes, the a_{1u} orbital is essentially constant as only the a_{2u} orbital features atomic contributions from the *meso*-carbon atoms (the electronics of which are perturbed by attachment to either C₆H₅ or C₆F₅ groups). Djerassi has argued that the a_{2u} orbital is actually lower in energy than the a_{1u} orbital in **Zn(TPFPP)**, whereas this ordering is reversed in **Zn(TPP)**.^{43, 47} However, recent calculations on **Pd(TPFPP)** show the a_{2u} to be of higher energy than the a_{1u} orbital.⁴⁸ Nevertheless, the precise ordering of these orbitals and their energy difference will be determined by a complicated mix of interactions involving the *meso*-substituent, metal based orbitals and the a_{2u} orbital.

We have found no literature report comprising computational results for all the complexes included in this study. Thus, DFT calculations were performed to gain insight into the electronic structure for each of the eight metalloporphyrins detailed herein. Shown in **Figure 2** are the molecular orbital diagrams for each metalloporphyrin. These data reveal the following trends. (1) In all cases, the a_{2u} orbital is higher in energy than the a_{1u} orbital, and the energy of the a_{1u} is largely invariant for both sets of

complexes (**Figure 2; Table S1**). (2) As expected, the a_{1u} energies are lower for the M(TPFPP) complexes relative to the M(TPP) homologues. (3) The presence of the group 10 metals (Ni, Pd, Pt) appear to stabilize (lower) the energy of both the a_{1u} and a_{2u} orbitals relative to both Zn(TPP) and Zn(TPFPP).

Importantly, we note that the $a_{1u} - a_{2u}$ energy gap (**Table S1**) is distinctly larger for all of the M(TPP) complexes (Zn²⁺: -0.39 eV; Ni²⁺: -0.25 eV; Pd²⁺: -0.30 eV; Pt²⁺: -0.26 eV) as compared to that for the M(TPFPP) systems (Zn²⁺: -0.18 eV; Ni²⁺: -0.02 eV; Pd²⁺: -0.07 eV; Pt²⁺: -0.03 eV). This point is considered in greater detail below. We also observe that the group 10 metal based d_{xz} , and d_{yz} orbitals are close in energy to the a_{1u} and a_{2u} pair. Consistent with literature reports, the LUMO is the porphyrin-localized e_g orbital set for each of the metalloporphyrins we have considered except the Ni²⁺ complexes, which show the $d_{x^2-y^2}$ orbital to be very close in energy to the e_g orbital set. Lastly, the d -orbital contribution (determined from Mulliken analysis) to the e_g orbital set is small across the series ranging from 2-4% (**Table S2**). Moreover, when comparing any M(TPP) derivative to its M(TPFPP) homologue (*e.g.*, Ni(TPP) vs. Ni(TPFPP), etc.), we find the d -orbital contributions are almost identical, suggesting that a change in π -backbonding from the metal may not be responsible for the increase in MOA observed for the M(TPFPP) systems.

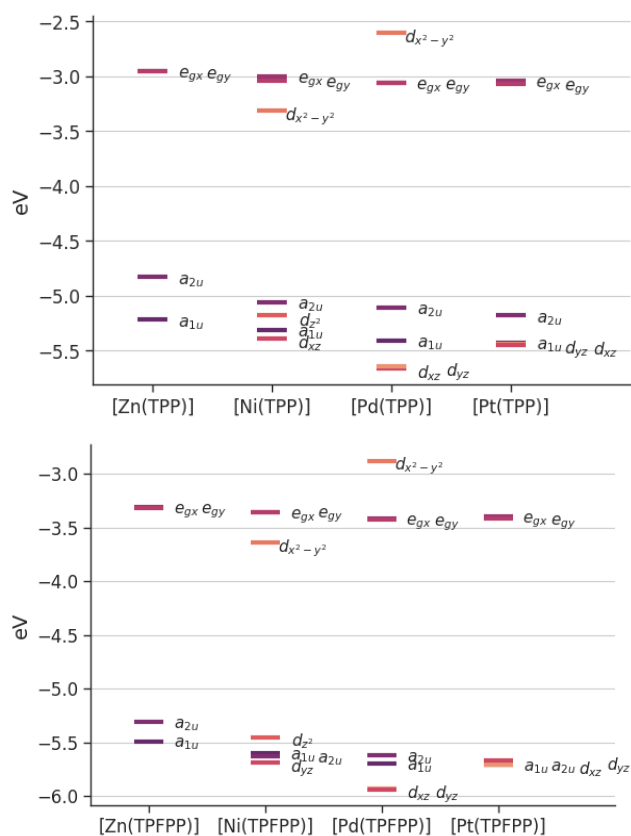


Figure 2. Molecular orbital energy diagrams for M(TPP) and M(TPFPP) complexes, where M = Zn²⁺, Ni²⁺, Pd²⁺, Pt²⁺.

Table 1 summarizes the spectral characteristics observed for the M(TPP) and M(TPFPP) systems. To account for differences in intensity of the electronic transition (ϵ), we compare \mathcal{A}/D_0 values, which allows for a direct comparison of MOA for a specific band within a set of compounds. A more intense electronic transition will necessarily feature greater MOA. For the M(TPP) derivatives, we calculated \mathcal{A}/D values of 0.56 (Zn), 0.62 (Ni), 0.51 (Pd), and 0.16 (Pt) for the Soret (B) transition, which are in good agreement with the previously reported a value of 0.73 for Zn(TPP) by Ceulemans.³⁸ A similar trend is observed for the Q₁ peak as \mathcal{A}/D values of 0.48 (Zn), 0.47 (Ni), 0.26 (Pd), and 0.12 (Pt) were calculated, indicating a loss of magneto-optical activity with increasing period of the metal.

The above results are surprising since one might anticipate an increase in metal-ligand orbital mixing with the inclusion of Ni²⁺, Pd²⁺, or Pt²⁺ in place of Zn²⁺, the latter of which cannot engage in π -backbonding. In contrast to the M(TPP) derivatives, calculated \mathcal{A}/D_0 values for both Q₀ and Q₁ peaks within the M(TPFPP) series show a substantial increase in MOA for the Q₀ and Q₁ peaks from Zn (1.77; 0.43, respectively) to Ni (2.95; 0.78) to Pd (2.89; 0.92) to Pt (3.84; 1.5). Indeed, there is a considerable increase in the MOA of **Pd(TPFPP)** and **Pt(TPFPP)** as compared to their M(TPP) homologues. It is perhaps not surprising that the Soret band shows little MOA activity. While the electronic dipoles for the Soret transition are additive, their magnetic dipoles are subtractive. The inverse relationship holds for Q bands, thus providing a justification as to why the Q-bands exhibit greater MOA than the Soret band.

Which factor or factors explain this dramatic change in MOA between the fluorinated and non-fluorinated porphyrins? Equation 1 shows that the Faraday \mathcal{A} -term is a direct measure of the angular momentum transfer between the $e_{g,x}$ and $e_{g,y}$ orbitals ($\langle J_x | I_z | J_y \rangle$) forming the LUMO set. *In other words, it is a measure of the magnitude of the magnetic dipole formed in the excited state following the electronic transition.* One proposal to explain the increase in MOA for the M(TPFPP) complexes is an increase in metal character in the e_g orbital set. However, as noted previously the amount of d -orbital character for M(TPP) and M(TPFPP) is rather low, suggesting that this is not likely the origin of the increased MOA.

Consideration of the Gouterman four orbital model leads to another potential explanation of the MOA trends. Configuration interaction occurs when the a_{1u} a_{2u} HOMO orbital set ceases to be degenerate, resulting in mixing of the B band with the Q bands. The mixing parameter (ν) scales as the $a_{1u} - a_{2u}$ energy gap by the relation,

$$\tan 2\nu = \frac{(E_{a_{1u}} - E_{a_{2u}})}{2(E_B - E_Q)}$$

where $E_{a_{1u}}$, $E_{a_{2u}}$ are the orbital energies of the a_{1u} and a_{2u} orbitals, respectively, and E_B and E_Q are the observed energy maxima (in cm^{-1}) of the B (Soret) and Q₀ electronic transitions, respectively.³⁸ Thus, ν and mixing is reduced when the a_{1u} , a_{2u} orbital set is degenerate or nearly so. As the Soret transition involves subtraction of magnetic dipoles, integration of this transition within the Q band, where magnetic dipoles are added, would appear to reduce MOA. By this reasoning, porphyrins with large a_{1u} , a_{2u} energy gaps should exhibit reduced MOA relative to porphyrins with smaller HOMO energy gaps, as found in the series we present here. We are currently pursuing this design strategy in order to maximize MOA in porphyrins and other tetrapyrrole platforms.⁴⁹⁻⁵⁸

Recent studies of magneto-optical materials for polarization control have often been characterized by two different figures of merit (FOM).⁵⁹ The Verdet constant has been used for over a century to describe the rotary power of FR (Faraday Rotation; MORD) materials at a specific wavelength. It is evaluated from the relation,

$$\theta = VBL$$

where the degree of rotation (θ) is determined by the Verdet constant (V), the magnetic field (B) and the thickness of the sample (L). It does not account for regions of absorbance and presumes the material under study is completely transparent. It does not account for the intrinsic absorptivity of the sample. This parameter is particularly advantageous when determining MOA for thin films, where accurate and precise values of extinction can very often be difficult to obtain.

A second FOM recently introduced by Swager characterizes FR in regions of absorbance.^{60, 61} This FOM is simply FR (MORD) at a particular wavelength (often a peak absorbance maximum) divided by the applied field and absorbance at that wavelength. This FOM is designed to evaluate a material's ability to rotate polarization at a selected wavelength and is not formulated to convey specific molecular information. Unlike the Verdet constant, it is not dependent on the thickness of a sample and can be readily calculated for molecules in solution.

Using Swager's approach, we have calculated the FOM for these square planar metalloporphyrins at the peak of the MORD spectra (see Table 1). Many of the FOM values reported here are an order of magnitude larger than those reported earlier for related porphyrin and phthalocyanine compounds ($\sim 10^{-2}$).¹⁵ However, the calculated FOM values do not follow the trends observed in MCD and MORD spectra of the metalloporphyrins that we have studied. For example, the highest FOM is associated with the Q₀ band of Zn(TPFPP), which has the lowest MOA out of the four M(TPFPP) systems presented here. One explanation for this is that the FOM only selects a single wavelength and does not account for the entire band line-shape (f) or intensity (dipole strength, D_0) of the transition. While the FOM is ideal for evaluating MOA of a

particular material at a specific wavelength, it does not fully account for the origin of MOA.

Conclusion

Designing small molecules with large MOA for polarization control requires an understanding of the electronic structural elements that yield MOA.⁵⁹ This study illustrates that metalloporphyrins containing strongly electron-withdrawing C₆F₅ groups at the *meso*-positions lead to a significant increase in MOA when compared to their non-fluorinated homologues. The observed MOA in each metalloporphyrin is rationalized through the Gouterman four orbital model. Further work is underway to uncover the precise role of metalloporphyrin *meso*-substituents in affecting the HOMO energy gap and the resulting MOA of such derivatives. These results will compel future studies involving tetrapyrrole materials that can form the basis of next generation magneto-optical polarization modulators.

++ASSOCIATED CONTENT

Supporting Information. Experimental procedures, synthetic details, a complete description of the instrument, DFT calculations, and our analytical procedure for fitting data and extracting Faraday terms (PDF).

The Supporting Information is available free of charge on the ACS Publications website.

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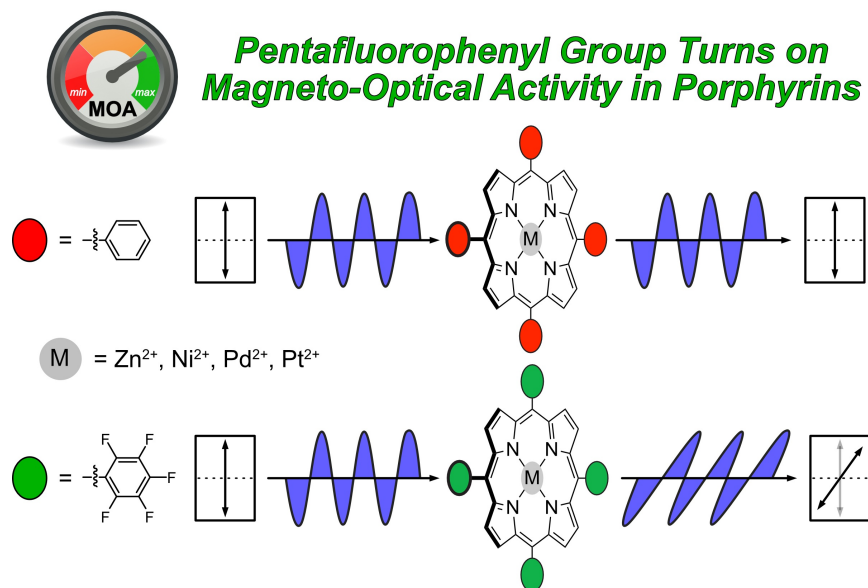
No competing financial interests have been declared.

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