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1           **Improved Prediction of Reaction Kinetics for Amine**  
2           **Absorbent-based Carbon Capture using Reactive Site-based**  
3           **Transition State Conformer Search Method**

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9           **Abstract**

10           There is no doubt that carbon emissions are one of the greatest challenges facing  
11           humanity today. Carbon capture, utilization, and storage is an effective way to achieve  
12           carbon neutrality. However, the commonly used commercial absorbents for post-  
13           combustion captures still have some limitations such as low chemical absorption rate  
14           constants. In this paper, a universal reaction kinetic model is developed for amine-based  
15           carbon capture based on the transition state theory, density functional theory, and hybrid  
16           solvation model. The developed reaction kinetic model is applicable to a wide range of  
17           amine-solvent solutions involving primary/secondary/tertiary amines and  
18           aqueous/nonaqueous solvents. The key contribution of this work is developing a  
19           reactive site-based transition state conformer search method, which has greatly  
20           improved the prediction accuracy of the reaction kinetic model from  $R^2=0.819$  to  
21            $R^2=0.943$  based on a dataset of 21 various amine-solvent solutions. The results highlight  
22           the critical impacts of the transition state conformational isomers on amine-based CO<sub>2</sub>

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23 chemical absorption rate constants.

24 **Keywords:** Carbon capture; amine absorbent; reaction kinetics; transition state;

25 conformational isomer.

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## 26 **1 Introduction**

27       The increasing development of human society, economy and industry has resulted  
28 in a growing demand for fossil fuels in various production activities. As a result of  
29 burning fossil fuels, a significant amount of greenhouse gases are produced, causing a  
30 series of environmental problems, including global warming and extreme weather  
31 conditions. According to the sixth assessment report of the Intergovernmental Panel on  
32 Climate Change (IPCC), the average annual global greenhouse gas emissions from  
33 2010 to 2019 were at the highest level in human history, but the growth rate has slowed  
34 down. However, the goal of limiting global warming to around 2°C still requires a peak  
35 in global greenhouse gas emissions by 2025 at the latest, as well as a quarter decrease  
36 in emissions by 2030[1]. As the main gas causing the greenhouse effect, the absorption  
37 and disposal of carbon dioxide (CO<sub>2</sub>) has always been an issue of widespread concern.  
38 Carbon Capture, Utilization and Storage (CCUS) is widely deemed as a key technology  
39 to reduce CO<sub>2</sub> emissions from large industrial facilities. According to the IPCC report,  
40 modern conventional power plants equipped with CCUS technology can reduce CO<sub>2</sub>  
41 emissions to the atmosphere by 80-90%[2]. There is no doubt that CCUS has the  
42 potential to reduce CO<sub>2</sub> emissions in the short term, but the high cost and energy  
43 requirements of current CO<sub>2</sub> capture methods make it a challenging technological  
44 option. Generally, the carbon capture technology can be divided into the pre-  
45 combustion capture, oxy-fuel combustion capture, and post-combustion capture[3]. In  
46 general, the post-combustion capture is considered the most feasible method of  
47 capturing CO<sub>2</sub> as it has a mature technology and is easy to integrate with other industrial

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48 processes. There are a number of common methods for post-combustion capture,  
49 including the membrane separation[4, 5], physical adsorption[6, 7], and chemical  
50 absorption[8, 9]. Although the membrane separation method is currently considered to  
51 be the most potential method for CO<sub>2</sub> capture, it is not widely used in industry due to  
52 its late development. The limitation of physical adsorptions is that the amount of  
53 absorption is usually not large enough. Chemical absorption first appeared in the 1930s  
54 and is widely used in industrial CO<sub>2</sub> capture owe to its advantages of large absorption  
55 capacity and fast absorption rate. One of the most important factors in chemical  
56 absorptions is the choice of CO<sub>2</sub> absorption medium[10].

57       There are a number of commonly used absorbents, including the aqueous  
58 ammonia[11], potassium carbonate[12], ionic liquid[13], organic amine[14], and so on.  
59 Aqueous ammonia absorbents have large absorption capacities and low energy  
60 consumptions for regeneration, but their reaction rate is slow and they are highly  
61 volatile, making them prone to cause secondary pollution[15]. Potassium carbonates  
62 are low in cost and highly stable, but their absorption rates are slow and often require  
63 the addition of expensive catalysts[16]. Ionic liquids have low vapor pressure and sound  
64 thermal stability, however, they have several disadvantages, including the complicated  
65 and costly synthesis steps, high viscosity and a large mass transfer resistance that  
66 reduces the heat transfer coefficient[17]. Compared with other absorbents, organic  
67 amines have the advantages of large absorption capacity, rapid absorption rate, and low  
68 operating costs. Organic amine compounds have been widely studied in CO<sub>2</sub> capture.  
69 The representative absorbents are monoethanolamine (MEA)[18], diethanolamine

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70 (DEA)[19], and methyldiethanolamine (MDEA)[20]. However, these amines still have  
71 certain limitations. For example, MEA and DEA are primary and secondary amines  
72 with limited CO<sub>2</sub> loadings, respectively. In addition, a high level of energy is required  
73 for amine regeneration due to the high stability of the carbamate produced by chemical  
74 absorptions. Although the tertiary amine MDEA has a large CO<sub>2</sub> loading and a low  
75 regeneration energy, its chemical absorption rate is slow[21]. In order to address the  
76 shortcomings of current organic amines and explore amines with good performance in  
77 CO<sub>2</sub> loading, regeneration energy, and chemical absorption rate, numerous researchers  
78 have studied the influence of organic amine structures on their performances through  
79 experiments and modeling methods. For example, Liu et al.[22] investigate the steric  
80 hindrance effect on the reaction rate constants of chemical reactions between CO<sub>2</sub> and  
81 amines that include MEA and its four different substituent products. Singh et al.[23]  
82 study the effects of chain length, side chain, number of functional groups (amine groups)  
83 and other factors on CO<sub>2</sub> absorption rates.

84         Although a number of experiments have been conducted in order to investigate the  
85 absorption properties of organic amines with different structures extensively in the last  
86 few decades, yet a significant number of potential high-performance molecules remain  
87 undiscovered. Compared with the experimental approach, the first principle-based  
88 molecular simulation methods (e.g., Density Functional Theory (DFT), Hartree-Fock,  
89 etc.) provide a relatively cost-effective means for screening or designing molecules  
90 with desired properties. DFT has been widely used to study the reaction kinetics of CO<sub>2</sub>  
91 absorption by organic amines[24]. For example, Silva et al.[25] employ the DFT

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92 method to study the chemical reactions between CO<sub>2</sub> and amine systems, and analyze  
93 the important factors affecting the overall reactivity. Xie et al.[26] use the DFT method  
94 to calculate activation energies for the reactions between a series of substituted  
95 monoethanolamines and CO<sub>2</sub>, and investigate the effect of substituents on reaction  
96 kinetics. On the basis of the experimental work of Chowhury[27], Rozanska et al.[28]  
97 study the absorption properties of 24 types of tertiary amine aqueous solutions through  
98 the DFT method and the molecular dynamics simulation, establishing a quantitatively  
99 accurate model for predictions of absorption rate constants. Nevertheless, their model  
100 has not considered primary/secondary amines and other non-aqueous solvents, which  
101 hinders the application of their model to explore more potential amines. Although the  
102 DFT method has been widely used by scholars to study the reactions between organic  
103 amines and CO<sub>2</sub>, there is still no universal reaction kinetic mechanism model that is  
104 highly accurate and widely applicable. One of the largest challenges is that the  
105 conformational effect of transition states and reactants has a significant effect on  
106 reaction kinetics, but few efficient and automatic conformer search methods are  
107 available for transition states.

108 To this end, an efficient and automatic reactive site-based conformer search  
109 method for transition states is developed in this work. It is used to develop a universal  
110 reaction kinetic mechanism model based on the transition state theory and the DFT  
111 method for accurate predictions of CO<sub>2</sub> chemical absorption rate constants in various  
112 amine-solvent solutions involving primary, secondary, tertiary amines and water,  
113 methanol, ethanol solvents. By utilizing the transition state conformer search method,

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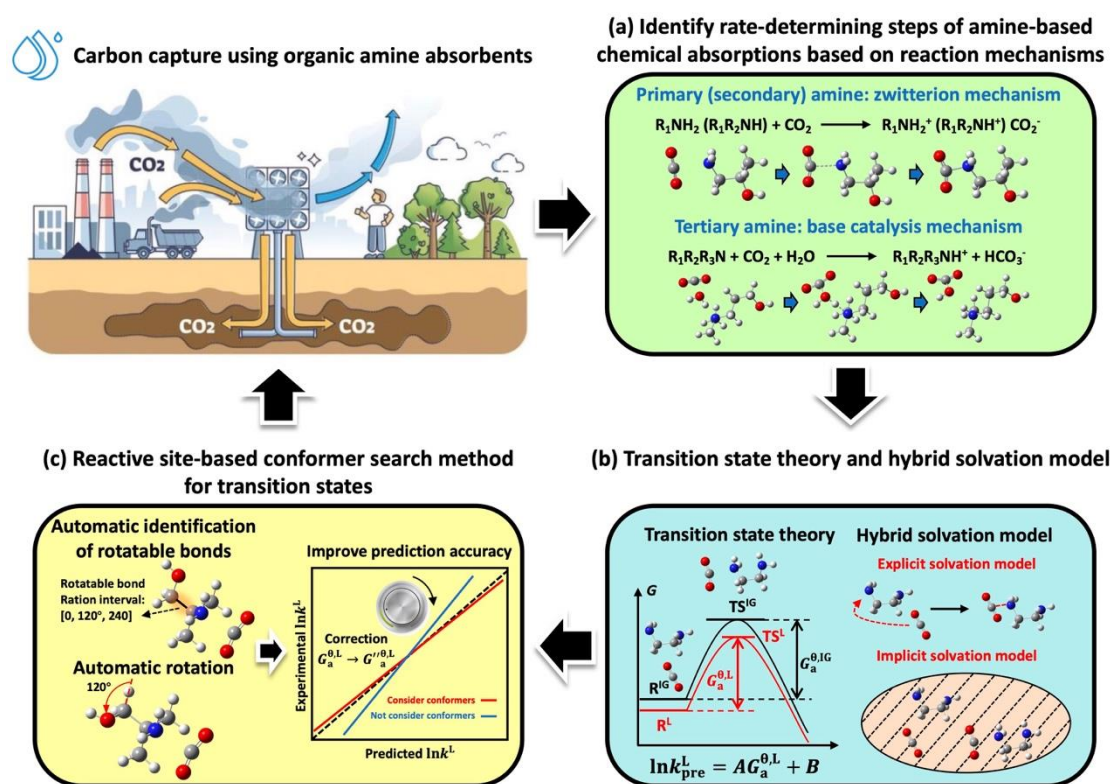
114 the prediction accuracy of the reaction kinetic model is significantly enhanced. This  
115 paper is organized as follows. In the second section, the developments of the reaction  
116 kinetic model and the conformer search method for transition states are introduced. In  
117 the third section, the prediction results of the reaction kinetic model with/without the  
118 conformer search method are presented and discussed. Also, the influence mechanism  
119 of the transition state conformers on reaction kinetics of amine-based CO<sub>2</sub> chemical  
120 absorptions are studied by the analysis methods of weak interactions and reactive site  
121 charges.

## 122 **2 Reaction kinetic model for amine-based carbon capture considering** 123 **transition state conformers**

124 In this section, a universal reaction kinetic model is developed for amine-based  
125 carbon capture, where a reactive site-based transition state conformer search method is  
126 proposed to enhance the model prediction accuracy. An overview of the development  
127 procedure of the reaction kinetic model and the relationship between the kinetic model  
128 and the transition state conformer search method is presented in **Fig. 1**.

129 In the first step (**Fig. 1(a)**), the rate-determining reaction steps of amine-based CO<sub>2</sub>  
130 chemical absorptions are first identified based on the reaction mechanisms. Then, in the  
131 second step (**Fig. 1(b)**), a reaction kinetic model is formulated by correlating chemical  
132 absorption rate constants (i.e., reaction rate constant in amine-solvent solutions  $k^L$ ) with  
133 activation Gibbs free energies at liquid state based on the transition state theory and the  
134 hybrid solvation model. The model parameters are fitted with the DFT calculated  
135 activation energies and the experimental  $k^L$  through the least square method. With the

136 model parameters,  $k^L$  is able to be successfully predicted once the information of amine-  
 137 solvent solutions is input to the reaction kinetic model. In the third step (**Fig. 1(c)**), a  
 138 reactive site-based conformer search method for transition states is developed to further  
 139 improve the prediction accuracy of the reaction kinetic model. The developed reaction  
 140 kinetic model in this work involves all chemical absorption mechanisms for primary,  
 141 secondary, and tertiary amines, as well as includes both aqueous and non-aqueous  
 142 solvents. In the following subsections, the reaction kinetic mechanisms for amine  
 143 absorptions, the transition state theory and hybrid solvation model used in the reaction  
 144 kinetic model, as well as the conformer search method for transition states are presented  
 145 in detail.  
 146



147  
 148 **Fig. 1** An overview of the development procedure of the universal reaction kinetic  
 149 model for amine-based carbon capture and the relationship between the kinetic model



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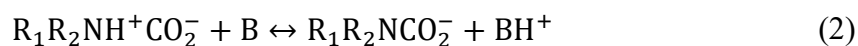
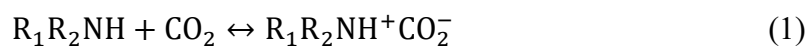
150 and the reactive site-based transition state conformer search method.

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152 **2.1 Identify rate-determining steps of amine-based chemical absorptions based on**  
153 **reaction mechanisms**

154 Amine refers to the product in which one or more hydrogen atoms in the ammonia  
155 molecule are replaced by hydrocarbon groups. According to the number of hydrogen  
156 atoms replaced in the amine molecule, amines can be divided into primary, secondary,  
157 and tertiary amines. The reaction mechanisms of amine-based CO<sub>2</sub> absorptions can be  
158 divided into three categories according to literatures.

159 The first category is the zwitterionic mechanism for primary and secondary  
160 amines[29], which includes two steps: the first step is the formation of an intermediate  
161 zwitterion (**Eq. (1)**), and the second step is the deprotonation of the zwitterion by  
162 reacting with a base (**Eq. (2)**). In these steps, R<sub>1</sub>R<sub>2</sub>NH represents a primary amine or  
163 a secondary amine if R<sub>2</sub> is a hydrogen atom or R<sub>1</sub> and R<sub>2</sub> are neither hydrogen atoms,  
164 respectively. The symbol B represents an amine, water, hydroxide or other substances  
165 that is used as a base.



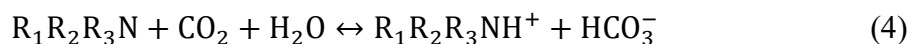
166 The second category is the trimolecular reaction mechanism for primary and  
167 secondary amines[30]. In this mechanism, the reaction between an amine and CO<sub>2</sub>  
168 forms an unstable complex rather than a zwitterion, and the complex is then regenerated  
169 into a carbamate through the direct reaction among an amine, CO<sub>2</sub>, and a base molecule

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170 (Eq. (3)).



171 The third category is the base catalysis mechanism for tertiary amines[31],  
172 believing that the tertiary amine does not react directly with CO<sub>2</sub> like the primary and  
173 secondary amines as there is no H atom on the N atom in the tertiary amine. The  
174 mechanism holds the opinion that tertiary amines will form hydrogen bonds with water  
175 to increase the reactivity of CO<sub>2</sub> and water (Eq. (4)).



176 According to literatures[32, 33], researchers have compared the reaction energy  
177 barriers of two mechanisms (Eq. (1) and Eq. (3)) for primary and secondary amines  
178 through the DFT methods. The results show that the reaction energy barriers of the  
179 zwitterion mechanism (Eq. (1)) are lower than those of the trimolecular reaction  
180 mechanism (Eq. (3)), indicating that the zwitterionic mechanism is more suitable to  
181 describe the CO<sub>2</sub> chemical absorptions in primary and secondary amines. Besides, the  
182 literatures find that the rate of the second reaction step in the zwitterion mechanism is  
183 generally faster than that of the first step. Thus, the first reaction step in the zwitterionic  
184 mechanism is usually the rate-determining step. Therefore, this work employs the first  
185 step of the zwitterion mechanism (Eq. (1)) as the rate-determining reaction step to study  
186 the CO<sub>2</sub> chemical absorptions in primary and secondary amines. As for tertiary amines,  
187 the rate-determining reaction step (Eq. (1)) in the base catalysis mechanism is  
188 considered.

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## 189 2.2 Transition state theory and hybrid solvation model

190 Based on the analyses of the amine-based chemical absorption mechanisms, the  
191 next step is to develop a universal reaction kinetic model for predictions of CO<sub>2</sub>  
192 chemical absorption rate constants. The kinetic model can be primarily formulated by  
193 the transition state theory, as shown in **Eqs. (5-6)**.

$$k^{\theta,L} = \kappa \frac{k_B T}{h} \prod_i (c_i^{\theta,L})^{\nu_i} \exp\left(-\frac{G_a^{\theta,L}}{RT}\right), i = \text{TS, R} \quad (5)$$

$$G_a^{\theta,L} = \sum_i \nu_i G_i^{\theta,L} = \sum_i \nu_i \left(G_i^{\theta,IG} + \Delta G_i^{\theta,p \rightarrow c} + \Delta G_i^{\theta,solv}\right), i = \text{TS, R} \quad (6)$$

194 where  $k^{\theta,L}$  is the standard reaction rate constant in liquid phase,  $\kappa$  is the  
195 dimensionless transmission coefficient (assume to be a constant in this work),  $k_B$  is  
196 the Boltzmann constant,  $T$  is the reaction temperature,  $h$  is the Planck constant,  $c_i^{\theta,L}$   
197 is the liquid phase standard state concentration of compound  $i$  ( $c_i^{\theta,L} = 1$  mol/L),  $\nu_i$  is  
198 the stoichiometric coefficient of compound  $i$ ,  $G_a^{\theta,L}$  is the standard activation Gibbs free  
199 energy in liquid phase,  $R$  is the universal gas constant, TS represents the transition  
200 state, R represents the reactants.  $G_i^{\theta,IG}$  denotes the standard Gibbs free energies of  
201 compound  $i$  in gas phase, which is predicted by the DFT method.  $\Delta G_i^{\theta,p \rightarrow c}$  is the Gibbs  
202 free energy change of compound  $i$  from a pressure-based standard state at 1 atm to a  
203 concentration-based standard state at 1 mol/L for an ideal gas ( $\Delta G_i^{\theta,p \rightarrow c} = 7.91$  kJ/mol  
204 at 298.15 K and 1 atm).  $\Delta G_i^{\theta,solv}$  is the standard solvation free energy of compound  $i$ .

205 Then, two adjustable parameters ( $C_1$  and  $C_2$ ) are added to **Eq. (5)** to correct the  
206 reaction kinetic model by minimizing the prediction errors between the predicted  $k^L$   
207 ( $k_{\text{pre}}^L$ ) and the experimental  $k^L$  ( $k_{\text{exp}}^L$ ), as shown in **Eq. (7)**. Once the temperature and

208 pressure are determined, **Eq. (7)** can be converted to **Eq. (8)**.

$$k_{\text{pre}}^{\text{L}} = C_1 \kappa \frac{k_{\text{B}} T}{h} \prod_i (c_i^{\theta, \text{L}})^{\nu_i} \exp\left(-\frac{C_2 G_{\text{a}}^{\theta, \text{L}}}{RT}\right), i = \text{TS, R} \quad (7)$$

$$\begin{aligned} \ln k_{\text{pre}}^{\text{L}} &= A G_{\text{a}}^{\theta, \text{L}} + B = A \sum_i \nu_i G_i^{\theta, \text{L}} + B \\ &= A \sum_i \nu_i \left( G_i^{\theta, \text{IG}} + \Delta G_i^{\theta, \text{p} \rightarrow \text{c}} + \Delta G_i^{\theta, \text{solv}} \right) + B, i = \text{TS, R} \end{aligned} \quad (8)$$

209 where the new adjustable parameter  $A$  equals to  $-C_2/RT$ , and another new parameter  
210  $B$  equals to  $\ln(C_1 \kappa k_{\text{B}} T \prod_i (c_i^{\theta, \text{L}})^{\nu_i} / h)$ . These two parameters are fitted with the  $k_{\text{exp}}^{\text{L}}$   
211 and the DFT calculated  $G_{\text{a}}^{\theta, \text{L}}$  through the least square method. Therefore,  $G_i^{\theta, \text{IG}}$  and  
212  $\Delta G_i^{\theta, \text{solv}}$  are two key physical quantities that need to be calculated by the DFT method.

213 Considering that amine-solvent solutions play the roles of reactants and reaction  
214 solvents in chemical absorption systems, it is necessary to employ the hybrid solvation  
215 model to describe transition states in solvent environments. The hybrid solvation model  
216 is composed of the explicit and implicit solvation models. The explicit solvation model  
217 is carried out to determine the three-dimensional spatial relationship among amine,  
218 solvent and  $\text{CO}_2$  by searching the transition states of rate-determining reactions. The  
219 implicit solvation model is used to simulate solvent environments during the transition  
220 state search process. The tasks of the transition state search and the frequency analysis  
221 for transition states are performed to obtain the thermal correction to standard Gibbs  
222 free energy  $G_{\text{TS}}^{\theta, \text{corr}}$  through the DFT calculations with the method of B3LYP  
223 functional, the basis set of 6-31G(d), the dispersion correction based on the Becke-  
224 Johnson (BJ) damping function, and the implicit solvation model (Solvation Model  
225 based on Density (SMD)[34]) in Gaussian 09 software[35]. Afterwards, the task of

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226 single point energy calculation is performed for the transition state to obtain total  
227 electronic energy  $E_{\text{TS}}$  with the method of M062x functional, the basis set of def2tzvp,  
228 the dispersion correction based on the zero damping function.  $G_{\text{TS}}^{\theta,\text{IG}}$  is then calculated  
229 through  $G_{\text{TS}}^{\theta,\text{IG}} = G_{\text{TS}}^{\theta,\text{corr}} + E_{\text{TS}}$ . Next, the SMD model is carried out to obtain  $\Delta G_{\text{TS}}^{\theta,\text{solv}}$ ,  
230 where the method of M052x functional and the basis set of 6-31G(d) are adopted in this  
231 step. Similar DFT tasks are performed for the reactants of amine, solvent, and  $\text{CO}_2$   
232 using the same DFT level.

### 233 **2.3 Reactive site-based conformer search method for transition states**

234 A molecule may have different conformational isomers with distinct Gibbs free  
235 energies due to the hydrogen-bonding effect, conjugation effect, and so on. Since a large  
236 number of N-H and O-H bonds in amine-solvent- $\text{CO}_2$  systems will form intermolecular  
237 hydrogen bonds and affect the reaction energy barriers[36], it is necessary to consider  
238 the influences of conformational effects of reactants and transition states on  $G_{\text{a}}^{\theta,\text{L}}$ .

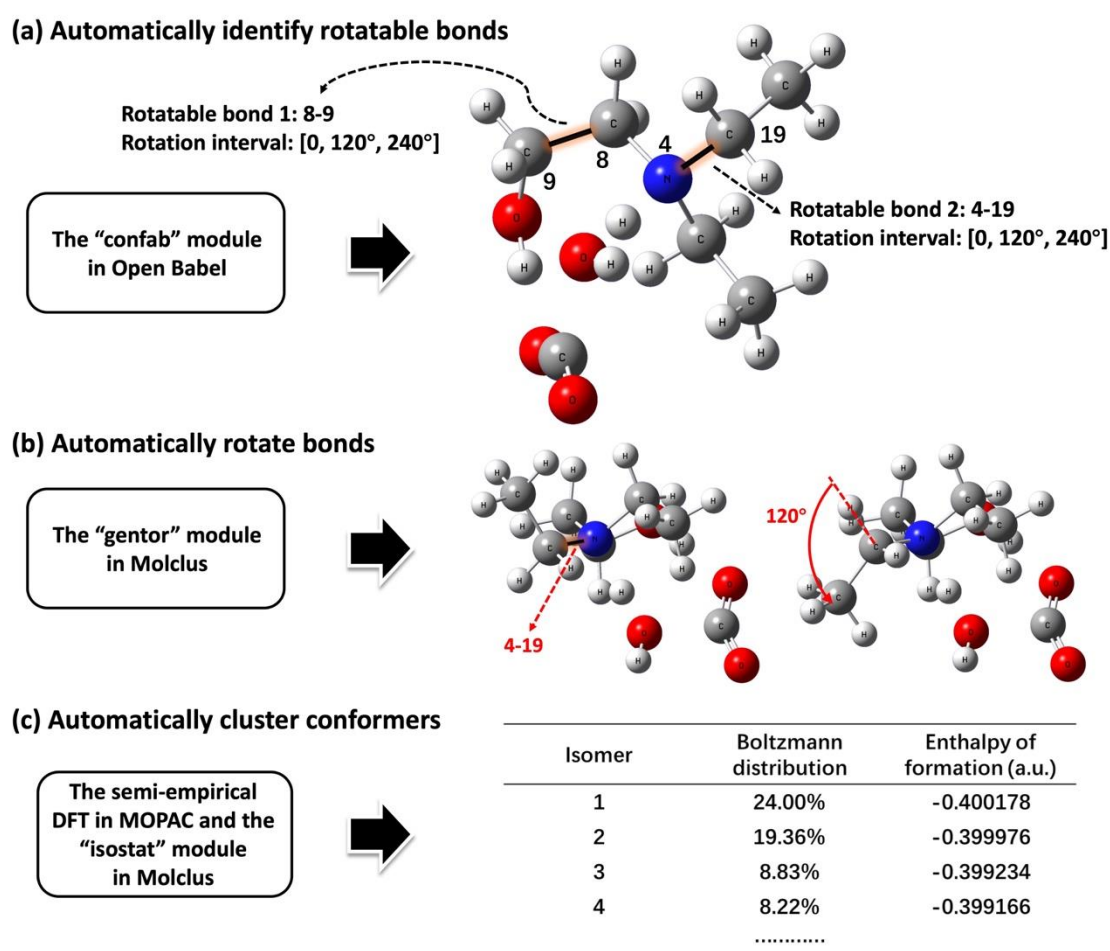
239 The automatic conformer search method for reactants is available in known  
240 software, including Open Babel[37], Molclus[38], and so on. However, to our best  
241 knowledge, the known conformer search methods are hard to be directly applied to  
242 transition states as they are either generally worked to identify the minimum points  
243 rather than the saddle points along the potential energy surface[37] or need to set  
244 rotatable bonds manually[38]. Therefore, it is desirable to develop an efficient and  
245 automatic conformer search method for transition states to find the most stable  
246 transition state with the lowest energy among its conformers for each rate-determining  
247 reaction. To this end, an efficient and automatic reactive site-based conformer search

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248 method for transition states is developed in this work. Its working principle is to freeze  
249 the reactive sites of transition states first, and then rotate the side chains that link to the  
250 reactive sites to find potential conformational isomers for transition states.

251 More specifically, the transition state conformer search method is carried out  
252 through three steps, as shown in **Fig. 2**. In the first step (**Fig. 2(a)**), the “confab” module  
253 in the Open Babel[37] software is employed to automatically identify the rotatable  
254 bonds and the rotation intervals for transition states. In this work, two modifications are  
255 made to the obtained rotatable bonds to avoid the Cartesian coordinate variances of the  
256 reactive sites. First, if two atoms linked by a certain rotatable bond both belong to the  
257 reactive sites, this rotatable bond is deleted. Second, the atomic numbers of the atoms  
258 close to the reactive sites need to be written on the left side of the rotatable bond string.  
259 For example, in **Fig. 2(a)**, the rotatable bond string “9-8” is given by the Open Babel  
260 software and it needs to be modified to “8-9”. This is because the side chain attached  
261 to the atom on the right side of the rotatable bond string is set to be rotated in the next  
262 step. In the second step (**Fig. 2(b)**), the “gentor” module in the Molclus software[38] is  
263 employed to automatically generate a large number of “pseudo” conformational  
264 isomers through rotating the rotatable bonds according to the rotation intervals  
265 ergodically. In the third step (**Fig. 2(c)**), the semi-empirical DFT method (e.g., PM7) in  
266 the MOPAC software[39] is used to optimize the generated “pseudo” conformational  
267 isomers. During this process, the reactive sites are frozen. The optimized results are  
268 then processed with the “isostat” module in the Molclus software[38] to cluster similar  
269 conformational isomers of transition states. The obtained conformational isomers are

270 finally ranked according to their standard enthalpies of formation and the Boltzmann  
 271 distribution at a certain temperature. Note that the reliability of ranking conformers  
 272 depends on the energy calculation methods to some extent. It is suggested to select a  
 273 certain number of top ranked conformers rather than only the top ranked one for further  
 274 rigorous evaluations if the energy calculation methods are not rigorous.  
 275



276

277 **Fig. 2** The schematic diagram of the reactive site-based conformer search method for  
 278 transition states.

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280 After using the known conformer search method for reactants and the developed

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281 reactive site-based conformer search method for transition states, a few top ranked  
282 conformers of reactants and transition states are respectively selected for further  
283 rigorous DFT calculations in this work. The corrected activation Gibbs free energies,  
284  $G'_a{}^{\theta,L}$  and  $G''_a{}^{\theta,L}$ , are calculated based on only the reactant conformer with the lowest  
285  $G_R{}^{\theta,L}$ , as well as based on both the reactant and transition state conformers with the  
286 lowest  $G_R{}^{\theta,L}$  and  $G_{TS}{}^{\theta,L}$ , respectively. Finally, the reaction kinetic model considering the  
287 conformational effect is developed by fitting the model parameters ( $A$  and  $B$  in **Eq. (8)**)  
288 with  $G'_a{}^{\theta,L}$  ( $G''_a{}^{\theta,L}$ ) and  $k_{\text{exp}}^L$ . However, our conformer search approach has two  
289 shortcomings. One is that it fails to search the conformers of alicyclic rings. The other  
290 one is that it cannot handle on the molecule with too many rotatable bonds, as there will  
291 be a combinatorial explosion in sampling space. These shortcomings will be addressed  
292 by integrating our method with the simulated annealing approach based on molecular  
293 dynamics in the future work.

## 294 **3 Results and discussions**

### 295 **3.1 Performance of reaction kinetic model for amine-based carbon capture**

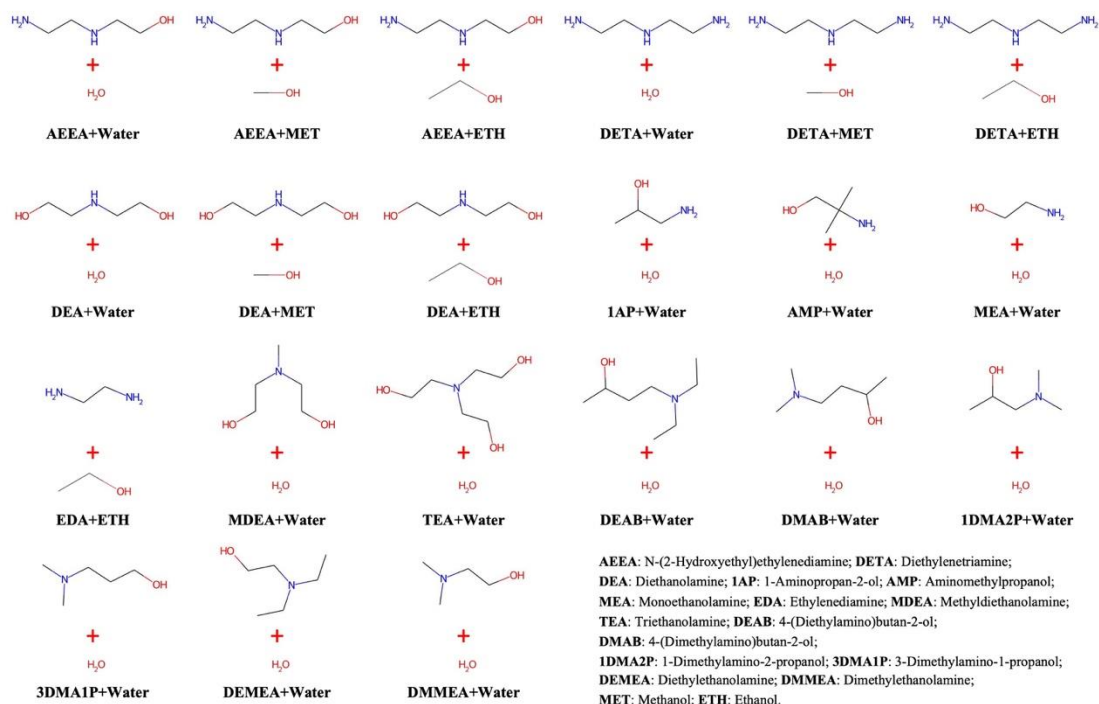
296 In this work, a total number of 21 different amine-solvent solutions with  
297 corresponding  $k_{\text{exp}}^L$  are used to develop a universal reaction kinetic model for  
298 predictions of amine-based CO<sub>2</sub> absorption rate constants, as shown in **Fig. 3**. Ten top  
299 ranked reactants and transition states obtained by the known conformer search method  
300 for reactants and the developed reactive site-based conformer search method for  
301 transition states are selected for each reaction system. The  $G_a{}^{\theta,L}$ ,  $G'_a{}^{\theta,L}$ , and  $G''_a{}^{\theta,L}$  of  
302 each reaction system are calculated through the DFT method without the consideration



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303 of conformational effects, with the consideration of conformational effects for only  
304 reactants, and with the consideration of conformational effects for both reactants and  
305 transition states, respectively. The obtained  $G_a^{\theta,L}/G_a^{\prime\theta,L}/G_a^{\prime\prime\theta,L}$  are linearly fitted with  
306  $k_{\text{exp}}^L$  through **Eq. (8)**. Note that the reaction temperatures for all reaction systems are  
307 298.15 K. **Fig. 4** shows the regression results of reaction kinetic models. **Tab. 1** gives  
308 the specific values of  $G_a^{\theta,L}$ ,  $G_a^{\prime\theta,L}$ ,  $G_a^{\prime\prime\theta,L}$  and  $\ln k_{\text{exp}}^L$  for each reaction system. As  
309 shown in **Fig. 4**, the data points of primary/secondary amine-based reaction systems are  
310 basically distributed on the left side, while the data points of tertiary amine-based  
311 reaction systems are distributed on the right side. If the conformational effect is not  
312 considered for reactants and transition states (**Fig. 4(a)**), the distribution of each data  
313 point is relatively scattered, and the determination coefficient ( $R^2$ ) of the model  
314 regression results is 0.764. If the conformer search methods are used for only reactants  
315 and both reactants and transition states, the  $R^2$  of the reaction kinetic model has  
316 significantly improved from 0.764 to 0.819 and 0.764 to 0.943, respectively, indicating  
317 the necessity of considering the conformational isomer issue in developing reaction  
318 kinetic models and demonstrating the feasibility and effectiveness of our transition state  
319 conformer search method in further enhancing the prediction accuracy of the reaction  
320 kinetic model. The results are consistent with the fact that the closer the transition states  
321 and reactants are to their reality states (i.e., the lowest energy state), the more accurate  
322 the reaction kinetic model behaves.

323

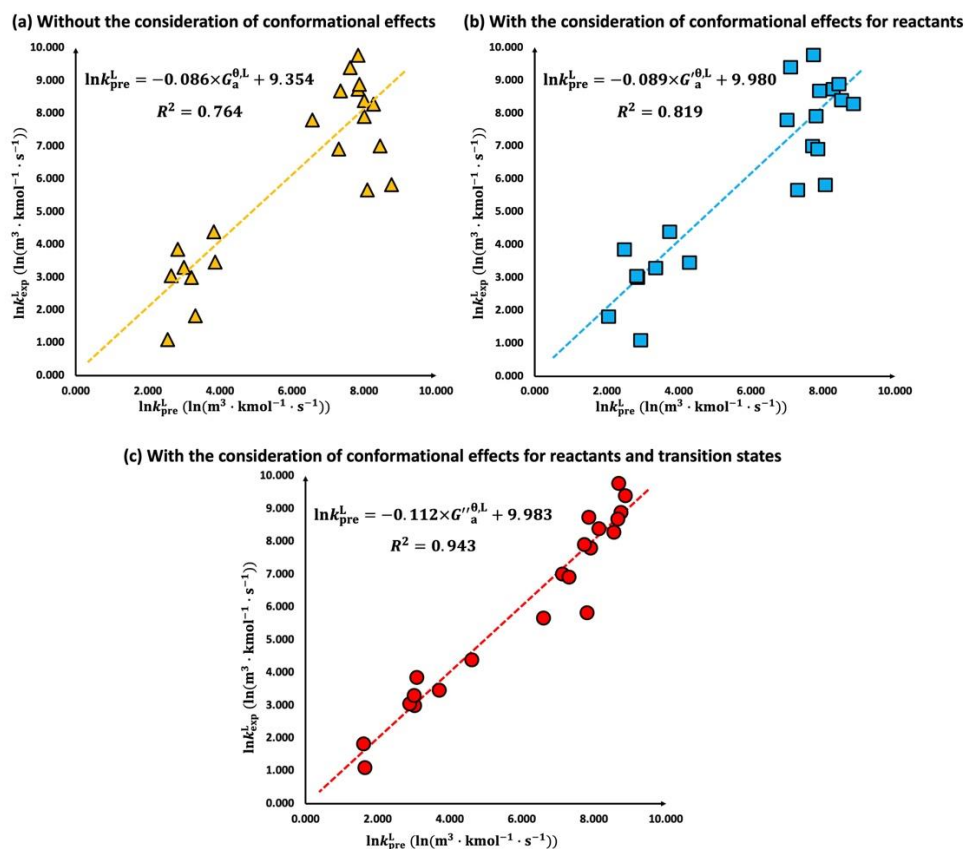


324

325

Fig. 3 The amine-solvent solutions studied in this work.

326



327

328

Fig. 4 The regression results of the reaction kinetic models.

330 **Tab. 1** The values of DFT calculated activation Gibbs free energies and experimental  
 331 reaction rate constants for each amine-solvent solution.

<b>Amine-solvent</b>	$G_a^{\theta,L}$	$G'^{\theta,L}$	$G''^{\theta,L}$	$\ln k_{\text{exp}}^L$
<b>solution</b>	<b>(kJ/mol)<sup>a</sup></b>	<b>(kJ/mol)<sup>b</sup></b>	<b>(kJ/mol)<sup>c</sup></b>	<b>(ln(m<sup>3</sup>·kmol<sup>-1</sup>·s<sup>-1</sup>))</b>
AEEA-H <sub>2</sub> O	20.318	32.412	9.992	9.401[40]
AEEA-MET	32.419	33.430	18.517	7.800[41]
AEEA-ETH	15.746	24.362	20.124	7.908[41]
DETA-H <sub>2</sub> O	17.775	25.216	11.540	9.770[42]
DETA-MET	17.656	19.037	19.037	8.734[41]
DETA-ETH	17.236	17.236	11.083	8.892[41]
DEA-H <sub>2</sub> O	10.620	25.512	25.512	7.003[43]
DEA-MET	6.931	21.526	19.427	5.823[19]
DEA-ETH	14.787	30.219	30.219	5.670[43]
1AP-H <sub>2</sub> O	15.745	16.473	16.473	8.396[44]
AMP-H <sub>2</sub> O	23.889	23.889	23.889	6.914[45]
MEA-H <sub>2</sub> O	23.342	23.344	11.766	8.690[46]
EDA-ETH	12.791	12.791	12.791	8.284[41]
MDEA-H <sub>2</sub> O	70.235	89.135	74.804	1.819[47]
TEA-H <sub>2</sub> O	79.193	79.193	74.500	1.099[48]
DEAB-H <sub>2</sub> O	75.834	84.177	61.600	3.855[49]
DMAB-H <sub>2</sub> O	71.544	80.075	62.203	2.993[49]

---

1DMA2P-H <sub>2</sub> O	77.972	80.423	63.319	3.044[48]
3DMA1P-H <sub>2</sub> O	63.885	63.886	56.086	3.466[48]
DEMEA-H <sub>2</sub> O	64.223	70.097	47.950	4.394[48]
DMMEA-H <sub>2</sub> O	73.948	74.481	62.300	3.296[50]

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332

### 333 **3.2 Influence mechanism of transition state conformational isomers on activation**

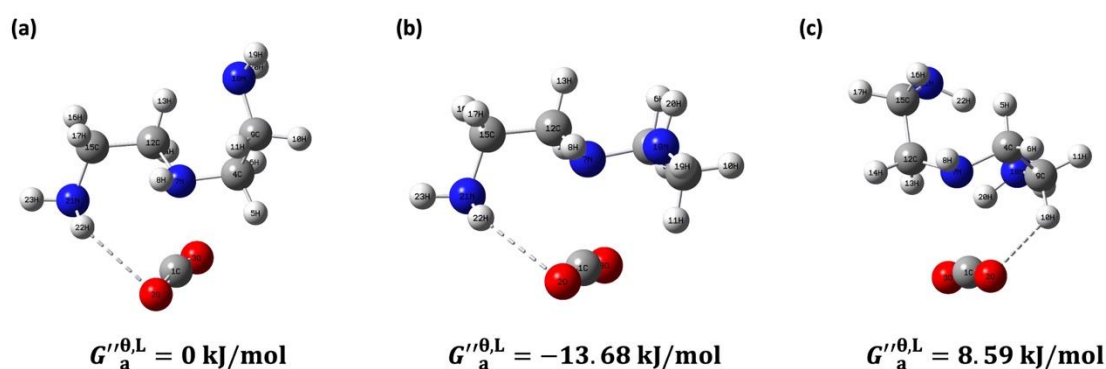
#### 334 **Gibbs free energies**

335 In this subsection, two analysis methods involving weak interactions and reactive  
336 site charges are carried out for the transition state conformers to provide insights into  
337 the influence mechanism of transition state conformers on activation Gibbs free  
338 energies. For the convenience of illustrating the energy differences between conformers  
339 in a reaction system, a baseline energy is set for one conformer at 0 kJ/mol, and the  
340 relative energies of the other two conformers are then calculated based on the baseline  
341 value. Here, the Independent Gradient Model based on Hirshfeld partition (IGMH)[51]  
342 method is utilized to make intuitive descriptions of the weak interactions. Besides, the  
343 Atomic Dipole moment Corrected Hirshfeld (ADCH)[52] method is used to determine  
344 the atomic charges of reactive sites in conformers, which is calculated using the  
345 Multiwfn[53] software and visualized by the VMD[54] software.

346 DETA-H<sub>2</sub>O (secondary amine), a widely used amine-solvent solution, is first taken  
347 as an example, along with its three typical conformers of transition states (**Fig. 5**). The  
348 IGMH results for the transition state conformers in the DETA-H<sub>2</sub>O system is shown in  
349 **Fig. 6**. The ADCH charge differences between the reactive sites of the C atom in CO<sub>2</sub>

350 and the N atom in amine (1C-7N), as well as the relative activation Gibbs free energies  
351 of the transition state conformers in the DETA-H<sub>2</sub>O system, are given in **Tab. 2**. From  
352 **Figs. 5** and **6**, it is seen that the O atom in CO<sub>2</sub> has a weak interaction (hydrogen-  
353 bonding interaction) with the H atom in amine among three conformers. This hydrogen-  
354 bonding interaction is beneficial to the attractions between 1C-7N reactive sites, the  
355 interaction of which is also identified in **Fig. 6**. From **Tab. 2** it is found that the ADCH  
356 charge difference of 1C-7N in the conformer (**Fig. 5(b)**) is larger than those of the other  
357 two conformers (**Figs. 5(a)** and **5(c)**), indicating that the reactants of CO<sub>2</sub> and amine  
358 are easier to react with each other through the minimum energy path that involves the  
359 transition state conformer (**Fig. 5(b)**). This result is also consistent with the qualitative  
360 ranking of relative activation Gibbs free energies, which confirms the validity of the  
361 calculated results regarding activation Gibbs free energies to some extent. The ADCH  
362 charge differences among reactive sites could provide an explanation for the variations  
363 in energy caused by conformational changes.

364

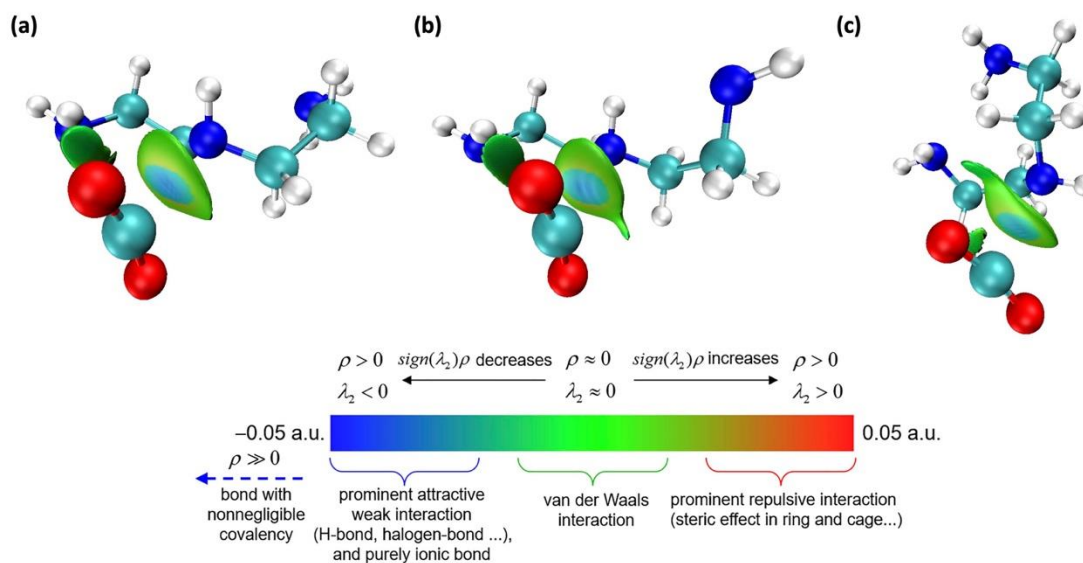


365

366

**Fig. 5** Three typical conformers of transition states in the DETA-H<sub>2</sub>O system.

367



368

369 **Fig. 6** The IGMH results for the transition state conformers in the DETA-H<sub>2</sub>O system.

370

371 **Tab. 2** The ADCH charge differences between the reactive sites of the C atom in CO<sub>2</sub>

372 and the N atom in amine (1C-7N), as well as the relative activation Gibbs free

373 energies of the transition state conformers in the DETA-H<sub>2</sub>O system.

Conformer	ADCH charge difference of 1C-7N (a.u.)	$G_a^{\prime\prime\theta,L}$ (kJ/mol)
(a)	0.4110	0
(b)	0.5437	-13.68
(c)	0.3896	8.59

374

375 MDEA-H<sub>2</sub>O (tertiary amine), another commonly used amine-solvent solution, is

376 also taken as an example, along with its three typical conformers of transition states

377 (**Fig. 7**). The IGMH results for the transition state conformers in the MDEA-H<sub>2</sub>O

378 system is shown in **Fig. 8**. Note that there are two pairs of reactive sites in the MDEA-

379 H<sub>2</sub>O system as it follows the base catalysis mechanism of tertiary amines. Therefore,

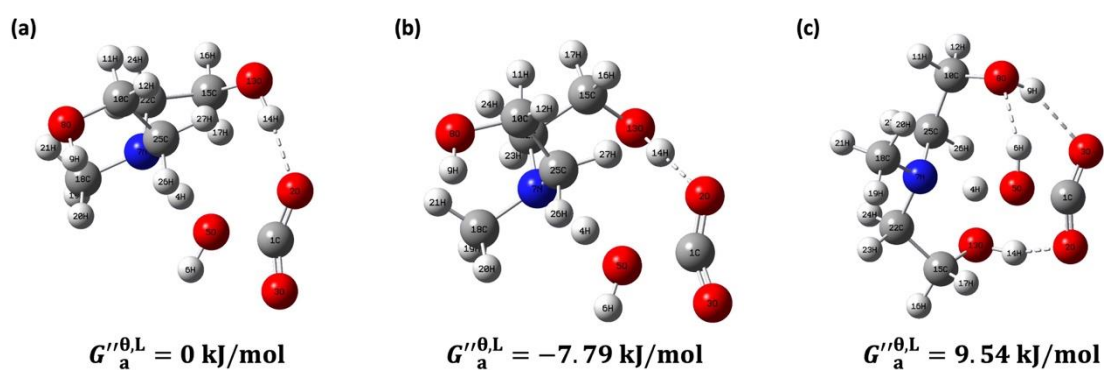
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380 the ADCH charge differences between the C atom in CO<sub>2</sub> and the O atom in water (1C-  
381 5O), those between the N atom in amine and the H atom in water (7N-4H), as well as  
382 the summation of the 1C-5O and 7N-4H charge differences are considered in this  
383 example. The ADCH charge differences, as well as the relative activation Gibbs free  
384 energies of the transition state conformers in the MDEA-H<sub>2</sub>O system, are given in **Tab.**  
385 **3**. As shown in **Fig. 7**, the O atom in CO<sub>2</sub> tends to form a hydrogen-bonding interaction  
386 with the H atom on the hydroxyl group in amine. Compared with the conformers (**Fig.**  
387 **7(a)** and **7(b)**) that only have one hydrogen bond, there are two more hydrogen bonds  
388 in the conformer (**Fig. 7(c)**), including one interaction between the O atom in hydroxyl  
389 group and the H atom in water (8O-5H), and another interaction between the H atom in  
390 hydroxyl group and the O atom in CO<sub>2</sub> (14H-2O). **Fig. 8** also identifies the interactions  
391 observed in **Fig. 7** and the critical weak interactions among two pairs of reactive sites  
392 (1C-5O and 7N-4H). Although the number of hydrogen bonds in the conformer (**Fig.**  
393 **7(c)**) is more than that in the conformers (**Fig. 7(a)** and **7(b)**) and the hydrogen-bonding  
394 interaction (8O-5H) is able to accelerate the reaction process by improving the 7N-4H  
395 attractions, the overall reaction process is still limited by the hydrogen-bonding  
396 interaction (14H-2O) according to the base catalysis mechanism of tertiary amines  
397 because the 14H-2O interaction cooperates with the hydrogen-bonding interaction (9H-  
398 3O) to hinder the attack of CO<sub>2</sub> to water. The above weak interaction analysis is able to  
399 explain why the activation Gibbs free energy of the conformer (**Fig. 7(c)**) is larger than  
400 those of the other two conformers (**Fig. 7(a)** and **7(b)**). From **Tab. 3** it is found that the  
401 summation of the ADCH charge differences of 7N-4H and 1C-5O in the conformer (**Fig.**

402 **7(b)**) is larger than those of the other two conformers (**Figs. 7(a)** and **7(c)**), indicating  
403 that the reactants of CO<sub>2</sub> and amine are easier to react with each other through the  
404 minimum energy path that involves the transition state conformer (**Fig. 7(b)**). This  
405 result is also consistent with the qualitative ranking of relative activation Gibbs free  
406 energies, which confirms the validity of the calculated results regarding activation  
407 Gibbs free energies.

408 The analysis results of the DETA-H<sub>2</sub>O and MDEA-H<sub>2</sub>O systems highlight the  
409 necessity of considering the impacts of the transition state conformer variations on  
410 reactivities. The calculations of weak interactions and reactive site charges also  
411 demonstrate the rationality of ranking for transition state conformers based upon  
412 activation Gibbs free energies predicted by the conformer search method and DFT  
413 calculations.

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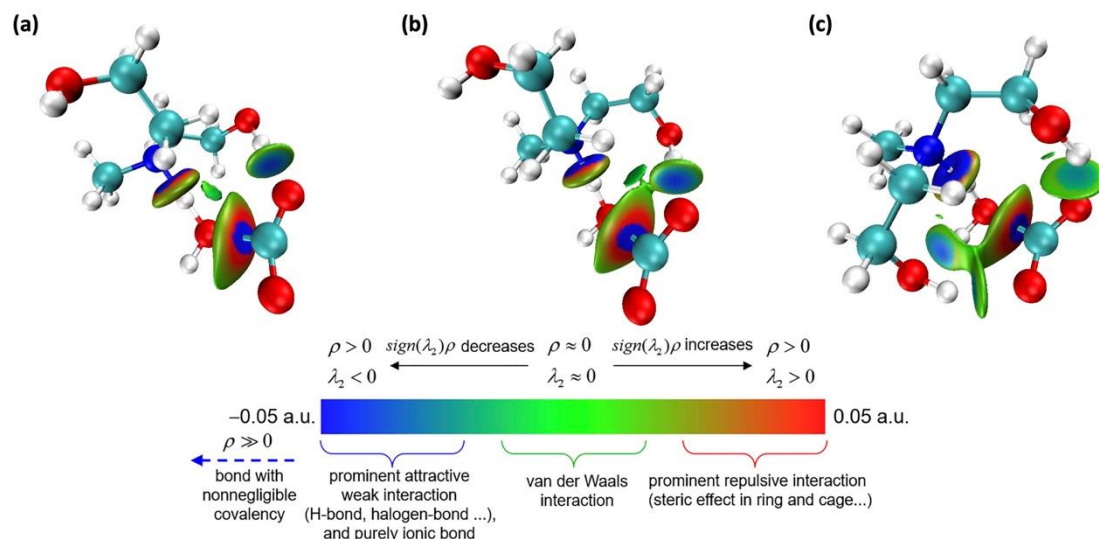


415

416 **Fig. 7** Three typical conformers of transition states in the MDEA-H<sub>2</sub>O system.

417





418

419 **Fig. 8** The IGMH results for the transition state conformers in the MDEA-H<sub>2</sub>O

420 system.

421

422 **Tab. 3** The ADCH charge differences between the reactive sites of the C atom in CO<sub>2</sub>

423 and the O atom in water (1C-5O), and those of the N atom in amine and the H atom in

424 water (7N-4H), as well as the relative activation Gibbs free energies of the transition

425 state conformers in the MDEA-H<sub>2</sub>O system.

Conformer	ADCH charge difference of 7N-4H (a.u.)	ADCH charge difference of 1C-5O (a.u.)	Total ADCH charge difference (a.u.)	$G_a^{\prime\prime\theta,L}$ (kJ/mol)
(a)	0.1591	0.7662	0.9253	0
(b)	0.1640	0.7712	0.9352	-7.79
(c)	0.1711	0.7535	0.9246	9.54

426

427 **3.3 Heuristic rules involving amine substituents**

---

428 The variation of amine substituents often has a great impact on amine-based CO<sub>2</sub>  
429 absorption rate constants. For example, Jorgensen et al.[55] report that using the  
430 electron-donating amine substituents (e.g., NH<sub>2</sub>CH<sub>3</sub>, etc.) or increasing the number of  
431 substituents on amines is able to improve the interaction between CO<sub>2</sub> and amine. Xiao  
432 et al.[56] study ten commercial tertiary amines and find that the ethyl group and the  
433 side carbon chain are able to promote the activity of tertiary amine, and the increase of  
434 hydroxyl group is able to reduce the reaction rate. The study by Muchan et al.[57] shows  
435 that the increase in the number of amine groups is able to increase the absorption rate  
436 of CO<sub>2</sub>. This work also finds that the  $k_{\text{exp}}^{\text{L}}$  of three structurally similar amines (DETA,  
437 AEEA, and DEA) increase with the decrease of their numbers of hydroxyl groups and  
438 the increase of their numbers of amine groups, as shown in **Tab. 4**.

439 Here, some heuristic rules involving amine substituents are summarized as follows.  
440 These rules can be served as the structural constraints for the optimization-based  
441 mathematical programming model, which is able to design amines-based CO<sub>2</sub>  
442 absorbents in a high-throughput manner (e.g., DETA, AEEA, and DEA).

443 (1) The amine-based CO<sub>2</sub> absorption rates increase if the hydroxyl group in organic  
444 amines is replaced by the amine group. The amine group is an electron-donating group,  
445 which is able to enhance the electric charge density of the N atom in reactive sites of  
446 amines.

447 (2) The amine-based CO<sub>2</sub> absorption rates increase if the hydroxyl group is far  
448 away from the N atom in reactive sites of amines. Hydroxyl group is an electron-  
449 accepting group, which is capable of decreasing the electric charge density of the N

450 atom in reactive sites of amines (e.g., 3DMA1P and 1DMA2P).

451

452 **Tab. 4** The relationship between the numbers of functional groups and the values of  
453 experimental reaction rate constants.

Amine-solvent system	Hydroxyl group	Amine group	$\ln k_{\text{exp}}^L$ ( $\ln(\text{m}^3 \cdot \text{kmol}^{-1} \cdot \text{s}^{-1})$ )
DETA-H <sub>2</sub> O	0	2	9.770
AEEA-H <sub>2</sub> O	1	1	9.401
DEA-H <sub>2</sub> O	2	0	7.003

454

## 455 **4 Conclusion**

456 Reaction kinetics is a key evaluation criterion for amine-based carbon capture  
457 process. A universal reaction kinetic mechanism model is developed in this paper for  
458 predictions of reaction rate constants for CO<sub>2</sub> chemical absorptions based on the  
459 transition state theory, the DFT method, and the hybrid solvation model. The developed  
460 reaction kinetic model covers a wide range of 21 reaction systems involving  
461 primary/secondary/tertiary amines and aqueous/nonaqueous solvents. The key  
462 contribution of this work is proposing a reactive site-based conformational isomer  
463 search method for transition states. The proposed transition state conformer search  
464 method has significantly enhanced the prediction accuracy of the universal reaction  
465 kinetic model from  $R^2=0.819$  to  $R^2=0.943$ , demonstrating its successful application in  
466 modeling the reaction kinetics of amine-based CO<sub>2</sub> chemical absorptions. Compared

---

467 with the semi-empirical and empirical modeling methods, our mechanism model only  
468 needs two adjustable parameters regressed by the experimental data, and has a strong  
469 interpretability for CO<sub>2</sub> absorption process. Two analysis methods of IGMH and ADCH  
470 involving weak interactions and reactive site charges, respectively, are also carried out  
471 to provide insights into the influence mechanism of transition state conformational  
472 isomers on activation Gibbs free energies. The analysis results verify the rationality of  
473 ranking transition state conformers by activation energies, confirming the effectiveness  
474 of our conformational isomer search method in searching for stable transition state  
475 conformers with high existing probability.

476 Despite the sound performance of our reaction kinetic model for predicting CO<sub>2</sub>  
477 absorption rate constants, other absorption properties, including absorption capacity,  
478 absorption solubility, desorption energy consumption, have not been considered in this  
479 paper. An optimization-based mathematical programming model consisting of the  
480 reaction kinetic model developed in this work, the property constraints of other  
481 absorption properties, and the heuristic rule-based structural constraints will be studied  
482 in our future research.

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487 DUT22LAB608).

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