

20 **Introduction**

21 Nitrogen-containing heterocycles are an indispensable component of many functional 22 organic compounds. $1-3$ Both saturated and unsaturated nitrogen-containing hetereocycles are 23 not only ubiquitous in agrochemicals, 4 and natural products, 5 but they are critical moieties in 24 many biologically active compounds for anticancer,⁶ antibacterial,⁷ and antiviral purposes, $8,9$ 25 conferring significant pharmacological value.¹⁰ This is reflected in the number of FDA approved 26 small molecule pharmaceuticals that contain *N*-heterocycles. A 2014 review stated that 59% of 27 FDA approved pharmaceuticals contained at least one *N*-heterocycle,¹¹ with a more recent 2020 review revealing a significant jump to 75%.¹² 28 Thus, methods to prepare and functionalize *N*-29 heterocycles remain an important part of organic synthesis research.

30 Pyrido[1,2-a]pyrimidin-4-ones (PPDs) are a specific class of *N*-heterocycle, with an 31 aromatic bicyclic structure containing nitrogens at the 1 and 5 positions, as well as a carbonyl at 32 the 4 position. They were first synthesized in 1924 ,¹³ but have only been recognized as an 33 important scaffold more recently.¹⁴⁻¹⁸ Specific examples of approved active pharmaceutical 34 ingredients based on a PPD pharmacophore include permirolast, 19 an anti-allergen medication, 35 and risdiplam,²⁰ a treatment for spinal muscular atrophy (Figure 1). Additional studies indicate 36 PPDs have potential as compounds for cancer treatment, 21 and diabetes.²²

need for additional purification, providing substituted 2-hydroxy-PPDs with potential for further

functionalization.

Materials and Methods

 General Considerations: All reactions were performed using standard Schlenk techniques under N2. All starting materials were purchased from commercial suppliers and used without further purification. All NMR spectra were acquired on either a Bruker AVANCE 300 MHz 55 spectrometer or a Bruker AVANCE Neo 500 MHz spectrometer. All ¹H and ¹³C NMR chemical shifts are calibrated to residual protio-solvents. All NMR spectroscopic data is processed using Bruker TopSpin 4.10. High-resolution mass spectrometry (HRMS) were obtained using a Bruker maXis Impact Quadrupole Time-of-Flight LC/MS System.

 General procedure for synthesis of substituted 2-hydroxy-PPDs: A round bottom flask is charged with diethyl malonate (5 equiv) and the desired 2-aminopyridine derivative (1 equiv). A 61 condenser fitted to an N_2 line was attached to the reaction flask. The reaction mixture was then 62 brought to reflux using an aluminum heat block ($T_{block} = 230 °C$) and stirred for 3 h. At this point, the condenser was replaced with a distillation apparatus, and excess diethyl malonate was distilled from the flask at ambient pressure. The residual solid wassuspended in refluxing hexanes for 1 h. The resulting solid was filtered, washed with diethyl ether, and dried *in vacuo*, giving the desired 2-hydroxy-PPD product as a solid. All NMR spectroscopy was conducted in d-DMSO.

67 Poor solubility of these compounds hindered the acquisition of 13 C NMR spectra with acceptable signal-to-noise in several cases. Notably, this is a common issue with 2-hydroxy-PPDs, 69 with only one known compound reporting 13 C NMR chemical shifts.²³

 2-Hydroxy-4*H***-pyrido[1,2-***a***]pyrimidin-4-one (2a).** 2-Aminopyridine **1a** (2.00 g, 21.25 mmol), was coupled with diethyl malonate (17.0 g, 106.25 mmol) to form **2a** as a brown solid. Isolated yield: 1.69 g, 49%. ¹ H NMR (300MHz, (CD3)2SO, 292 K, ppm): δ 12.03 (s, 1H), 8.93 (dd, *J* = 6.9, 0.7 Hz, 1H), 8.09 (dtd, *J* = 8.7, 6.9, 1.6 Hz, 1H), 7.41 (dt, *J* = 8.8, 1.3, 0.8 Hz, 1H), 7.33 (td, *J* = 74 6.9, 1.3 Hz, 1H), 4.97 (s, 1H).²⁴ HRMS: Cal'd for C₈H₇N₂O₂ [M+H]+: 163.05020; found: 163.04973. **2-Hydroxy-9-methyl-4***H***-pyrido[1,2-***a***]pyrimidin-4-one (2b).** 2-Amino-3-methylpyridine **1b** (2.00 g, 18.49 mmol), was coupled with diethyl malonate (14.8 g, 92.46 mmol) to form **2b** as

1H), 8.80 (d, *J* = 7.0, 1.6 Hz, 1H), 7.84 (d, *J* = 6.9 Hz, 1H), 7.17 (t, *J* = 7.0 Hz, 1H), 5.40 (s, 1H), 2.44

a brown solid. Isolated yield: 1.34 g, 41%. ¹ H NMR (500MHz, (CD3)2SO, 292 K, ppm): δ 11.48 (s,

(s, 3H). HRMS: Cal'd for C9H9N2O² [M+H]+: 177.06585; found: 177.06539.

 2-Hydroxy-8-methyl-4*H***-pyrido[1,2-***a***]pyrimidin-4-one (2c).** 2-Amino-4-methylpyridine **1c** (2.00 g, 18.49 mmol), was coupled with diethyl malonate (14.8 g, 92.46 mmol) to form **2c** as a 82 brown solid. Isolated yield: 1.50 g, 46%. ¹H NMR (300MHz, (CD₃)₂SO, 292 K, ppm): δ 11.97 (s, 1H), 83 8.85 – 8.77 (d, 1H), 7.24 – 7.13 (m, 2H), 4.84 (s, 1H), 2.46 (s, 3H).^{25 13}C NMR (126 MHz, (CD₃)₂SO, 292 K, ppm): δ162.9, 155.8, 154.1, 147.3, 128.3, 117.7, 115.0, 80.8, 21.1. HRMS: Cal'd for C9H9N2O² [M+H]+: 177.06585; found: 177.06602.

 6-Methyl-2-hydroxy-4*H***-pyrido[1,2-***a***]pyrimidin-4-one (2d).** 2-amino-6-methylpyridine **1d** (2.00 g, 18.49 mmol), was coupled with diethyl malonate (14.8 g, 92.46 mmol) to form **2d** as 88 a brown solid. Isolated yield: 1.05 g, 32%. ¹H NMR (300MHz, (CD₃)₂SO, 292 K, ppm): δ 10.59 (s, 1H), 7.88 (d, *J* = 8.2 Hz, 1H), 7.67 (t, *J* = 7.9 Hz, 1H), 6.98 (d, *J* = 7.4 Hz, 1H), 3.60 (s, 1H), 2.41 (s, 3H). HRMS: Cal'd for C9H9N2O² [M+H]+: 177.06585; found: 177.06559.

 6-Chloro-2-hydroxy-4*H***-pyrido[1,2-***a***]pyrimidin-4-one (2e).** 2-Amino-6-chloropyridine **1e** (2.00 g, 15.56 mmol), was coupled with diethyl malonate (12.46 g, 77.78 mmol) to form **2e** as a 93 brown solid. Isolated yield: 1.07 g, 35%. ¹H NMR (300MHz, (CD₃)₂SO, 292 K, ppm): δ 10.98 (s, 1H), 8.04 (d, *J* = 8.2 Hz, 1H), 7.86 (t, *J* = 8.0 Hz, 1H), 7.23 (dd, *J* = 7.8, 0.7 Hz, 1H), 3.63 (s, 1H). HRMS: 95 Cal'd for C₈H₆ClN₂O₂ [M+H]+: 197.01123; found: 197.01049.

 8-Chloro-2-hydroxy-4*H***-pyrido[1,2-***a***]pyrimidin-4-one (2f).** 2-amino-4-chloropyridine **1f** (2.00 g, 15.56 mmol), was coupled with diethyl malonate (12.46 g, 77.78 mmol) to form **2f** as a 98 brown solid. Isolated yield: 1.43 g, 47%. ¹H NMR (300MHz, (CD₃)₂SO, 292 K, ppm): δ 12.02 (s, 1H), 8.87 (d, *J* = 7.5 Hz, 1H), 7.49 (d, *J* = 2.2 Hz, 1H), 7.36 (dd, *J* = 7.5, 2.3 Hz, 1H), 5.15 (s, 1H). ¹³ C NMR (126 MHz, (CD3)2SO, 292 K, ppm): δ 165.3, 156.5, 149.1, 145.4, 130.0, 118.3, 116.1, 82.3. HRMS: 101 Cal'd for C_8H_6C/N_2O_2 [M+H]+: 197.01123; found: 197.01035.

 8-Bromo-2-hydroxy-4*H***-pyrido[1,2-***a***]pyrimidin-4-one (2g).** 2-amino-4-bromopyridine **1g** (1.00 g, 5.78 mmol), was coupled with diethyl malonate (4.63 g, 28.90 mmol) to form **2g** as a 104 brown solid. Isolated yield: 0.78 g, 56%. ¹H-NMR (300MHz, (CD₃)₂SO, 292 K, ppm): δ 12.00 (s, 1H), 8.77 (d, *J* = 7.4 Hz, 1H), 7.64 (d, *J* = 2.1 Hz, 1H), 7.47 (dd, *J* = 7.4, 2.1 Hz, 1H), 5.15 (s, 1H). HRMS: 106 Cal'd for $C_8H_6BrN_2O_2$ [M+H]+: 240.96072; found: 240.96112.

 6-Fluoro-2-hydroxy-4*H***-pyrido[1,2-***a***]pyrimidin-4-one (2h).** 2-Amino-6-fluoropyridine **2h** (1.00 g, 8.92 mmol), was coupled with diethyl malonate (7.14 g, 44.60 mmol) to form **2h** as a 109 brown solid. Isolated yield: 0.42 g, 26%. ¹H-NMR (500MHz, $(CD_3)_2$ SO, 292 K, ppm): δ 10.85 (s, 1H), 110 8.16 – 7.72 (m, 2H), 7.04 – 6.65 (m, 1H), 3.64 (s, 1H). HRMS: Cal'd for C₈H₆FN₂O₂ [M+H]+: 181.04078; found: 181.04141.

Results and Discussion

 As part of our efforts to develop new catalytic methods for heterocycle functionalization, we sought a versatile and robust method to access various 2-hydroxy-PPDs. When synthesizing

 the otherwise unsubstituted 2-hydroxy-PPD **2a**, literature reports are consistent that using 2- aminopyridine and a malonate derivative to effect two successive amidation reactions is an 118 efficient method.^{21,24–28} However, in our hands we found inconsistent results when we applied these methods to access 2-hydroxy-PPDs beyond the scope explored in the original report. With a goal to establish conditions that could be broadly applied to a library of commercially available 2-aminopyridine building blocks, we began by evaluating three methods (**A**-**C**) to access five 2- hydroxy-PPDs (**2a**-**c**, **2e**, **2f**; Figure 2).

- 125
126 **Figure 2:** Three reported methods (A-C) applied to the synthesis of five substituted 2-hydroxy-
- 127 PPDs; reactions performed at 2.0 g scale (mass of 2-aminopyridine substrate).
- 128

 Initially, we explored a reported two-step method for the formation of **2a**, similar to **Method A** shown above (step 2 reaction temperature = 160 °C, and open to atmosphere);²⁴ however, we observed inconsistent results with respect to yield of desired product. A consistent issue when performing the second step is little/no cyclization to generate **2a**. To overcome this, 133 we modified the procedure to run under N_2 (Figure S4 in Supplementary Info) and then also at an elevated reaction temperature. We only observe appreciable cyclization when performing the second step ≥200 °C (Figure S5 in Supplementary Info). With these modifications, **Method A** was then applied to convert all five 2-aminopyridines. While this method provided sufficient yield for the unsubstituted (**2a**) and tolyl derivatives (**2b**-**2c**), no halide containing species (**2e**-**2f**) was successfully synthesized using this method. Furthermore, the use of high-boiling diphenyl ether as solvent (b.p. = 258 °C) complicated isolation once the reaction was complete.

Method B, which uses ethanol as a polar protic solvent at reflux, was then attempted.²⁶ However, we again observed incomplete or no cyclization, with the initial monoamidation 142 product as the major/sole species. While we were able to successfully isolate the unsubstituted (**2a**) and the 6-chloro product (**2e**), **Method B** was not able to furnish the other three 2-hydroxy-PPD products.

 Method C, which employs a more elaborate malonate ester substrate bis(2,4,6- 146 trichlorophenyl)malonate (BTCM), was the most broadly successful approach.¹⁷ Originally 147 reported by Kappe,²⁹ this procedure enables the difficult second amidation by replacing the ethyl esters with activated 2,4,6-trichlorophenol esters; the higher reactivity of BTCM also enables the cyclization to occur at room temperature. However, BTCM is considerably more expensive and 150 mass inefficient compared to diethyl malonate. Its synthesis uses phosphorus oxychloride (POCl₃)

151 to activate malonic acid. POCl₃ is highly hazardous, with safety incidents involving reaction 152 quenching reported in the literature.³⁰ While **Method C** does provide the best results across the five 2-hydroxy-PPD targets, we sought a more economical and safe method to access these 154 compounds that is consistent with the principles of green chemistry.³¹

 Our experience with **Methods A**-**C** inspired us to explore an alternative pathway for preparation of 2-hydroxy-PPDs. With respect to **Method A**, elevated temperatures (>200 °C) were required to facilitate the second amidation. Rather than use diphenyl ether as a solvent for the second amidation, we simply used a larger excess of diethyl malonate (5 equiv) and directly heated the reaction mixture to high temperature. Notably, the original synthesis of **2a**, reported by Chichibabin, employed a similar approach of using malonate esters as both reactant and 161 solvent.¹³

 With reactor block temperatures of 230 °C (diethyl malonate b.p. = 199 °C), we standardized the reaction time to 3 h to compare reactivity across the set of 2-aminopyridines (**1a**-**h**). This also enabled reaction set-up, execution, and clean-up to be completed within a single day, and avoided the need to run high temperature reactions unattended (i.e. overnight). We do observe improved yield of **2a** with a longer reaction time of 18 h (78% versus 49%). Diethyl malonate proves to be an effective solvent as well as reactant, providing synthetically useful yields of 2-hydroxy-PPDs **2a**-**h** on (multi)gram scale (Figure 3). This includes halogenated derivatives **2e**-**h**, which provide synthetic handles for further functionalization via S_NAr or metal-catalyzed coupling. Notably, we do observe diminished yield in the case of **2d**, **2e**, and **2h**, all of which have a substituent at the 6 position. This is likely due to increased steric hindrance adjacent to the pyridyl nitrogen slowing the rate of cyclization. Importantly, on larger scale the excess diethyl

- malonate distilled at the end of the reaction could potentially be reused in future experiments,
- significantly improving the overall mass efficiency of this process.

 Figure 3: Synthesis of **2a**-**h** from **1a**-**h** using diethyl malonate. All reactions performed at 2.0 g, 178 scale relative to (substituted) 2-aminopyridine, isolated yields. ^a18 h reaction time. ^b1.0 g scale.

 Finally, we do observe some limitations of the current method. In an attempt to prepare 7-chloro-2-hydroxy-4*H*-pyrido[1,2-*a*]pyrimidin-4-one (**2i**) from the corresponding 2-amino-5- chloropyridine (**1i**), we observe an incomplete reaction and isolation of a mixture of **2i** and the intermediate amide **2i'** in a 1:5 molar ratio (eq. 1). Attempts to separate these materials was unsuccessful.

Conclusions

 By exploiting the use of diethyl malonate as both solvent and reactant, we have realized the synthesis of substituted 2-hydroxy-PPDs, several of which are new compounds. By evaluating three reported methods and taking inspiration from Chichibabin's original preparation, we have demonstrated that neat reaction conditions are suitable as a generally applicable method. This procedure provides synthetically useful yields in a short turnaround time, and is highly mass efficient. As the importance of nitrogen-containing heterocycles and PPDs in particular continues to grow, robust methods to access key scaffolds will remain critical.

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