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2	A "Neat" Synthesis of Substituted 2-Hydroxy-pyrido[1,2-a]pyrimidin-4-ones
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11	Abstract:
12	We report the synthesis of a series of substituted 2-hydroxy-pyrido[1,2-a]pyrimidin-4-ones
13	through solvent-free condensation of of 2-aminopyridines and diethyl malonate. This method is
14	contrasted with three reported general procedures for the preparation of these compounds,
15	revealing the simple, "neat" synthesis conditions are suitable for a number of derivatives.
16	Environmental, safety, and economic factors were considered in exploring this effective and
17	robust synthetic method.
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19	Keywords: Pyridopyrimidinones, Organic Synthesis, Heterocycles,

20 Introduction

21 Nitrogen-containing heterocycles are an indispensable component of many functional organic compounds.^{1–3} Both saturated and unsaturated nitrogen-containing hetereocycles are 22 not only ubiquitous in agrochemicals,⁴ and natural products,⁵ but they are critical moieties in 23 many biologically active compounds for anticancer,⁶ antibacterial,⁷ and antiviral purposes,^{8,9} 24 25 conferring significant pharmacological value.¹⁰ This is reflected in the number of FDA approved 26 small molecule pharmaceuticals that contain N-heterocycles. A 2014 review stated that 59% of FDA approved pharmaceuticals contained at least one *N*-heterocycle,¹¹ with a more recent 2020 27 review revealing a significant jump to 75%.¹² Thus, methods to prepare and functionalize N-28 29 heterocycles remain an important part of organic synthesis research.

Pyrido[1,2-a]pyrimidin-4-ones (PPDs) are a specific class of *N*-heterocycle, with an aromatic bicyclic structure containing nitrogens at the 1 and 5 positions, as well as a carbonyl at the 4 position. They were first synthesized in 1924,¹³ but have only been recognized as an important scaffold more recently.^{14–18} Specific examples of approved active pharmaceutical ingredients based on a PPD pharmacophore include permirolast,¹⁹ an anti-allergen medication, and risdiplam,²⁰ a treatment for spinal muscular atrophy (Figure 1). Additional studies indicate PPDs have potential as compounds for cancer treatment,²¹ and diabetes.²²



41 Herein we evaluate three literature syntheses for substituted 2-hydroxy-PPDs, and report 42 a simple and generally applicable solvent-free synthesis of these compounds using commercially available substituted 2-aminopyridines and diethyl malonate without any further purification. 43 44 While numerous methods have been reported in the literature for a target 2-hydroxy-PPD, there 45 remains few reports detailing procedures applicable to the synthesis of multiple 2-hydroxy-PPD 46 molecules. In addition, the poor solubility and high polarity of these compounds means typical 47 purification methods are often unsuitable. The method reported herein proceeds without the need for additional purification, providing substituted 2-hydroxy-PPDs with potential for further 48 functionalization. 49

51 Materials and Methods

General Considerations: All reactions were performed using standard Schlenk techniques under N₂. All starting materials were purchased from commercial suppliers and used without further purification. All NMR spectra were acquired on either a Bruker AVANCE 300 MHz spectrometer or a Bruker AVANCE Neo 500 MHz spectrometer. All ¹H and ¹³C NMR chemical shifts are calibrated to residual protio-solvents. All NMR spectroscopic data is processed using Bruker TopSpin 4.10. High-resolution mass spectrometry (HRMS) were obtained using a Bruker maXis Impact Quadrupole Time-of-Flight LC/MS System.

59 General procedure for synthesis of substituted 2-hydroxy-PPDs: A round bottom flask is charged with diethyl malonate (5 equiv) and the desired 2-aminopyridine derivative (1 equiv). A 60 61 condenser fitted to an N_2 line was attached to the reaction flask. The reaction mixture was then brought to reflux using an aluminum heat block (T_{block} = 230 °C) and stirred for 3 h. At this point, 62 63 the condenser was replaced with a distillation apparatus, and excess diethyl malonate was 64 distilled from the flask at ambient pressure. The residual solid was suspended in refluxing hexanes for 1 h. The resulting solid was filtered, washed with diethyl ether, and dried in vacuo, giving the 65 66 desired 2-hydroxy-PPD product as a solid. All NMR spectroscopy was conducted in d-DMSO.

Poor solubility of these compounds hindered the acquisition of ¹³C NMR spectra with
acceptable signal-to-noise in several cases. Notably, this is a common issue with 2-hydroxy-PPDs,
with only one known compound reporting ¹³C NMR chemical shifts.²³

2-Hydroxy-4H-pyrido[1,2-*a*]pyrimidin-4-one (2a). 2-Aminopyridine 1a (2.00 g, 21.25
 mmol), was coupled with diethyl malonate (17.0 g, 106.25 mmol) to form 2a as a brown solid.
 Isolated yield: 1.69 g, 49%. ¹H NMR (300MHz, (CD₃)₂SO, 292 K, ppm): δ 12.03 (s, 1H), 8.93 (dd, J

73 = 6.9, 0.7 Hz, 1H), 8.09 (dtd, J = 8.7, 6.9, 1.6 Hz, 1H), 7.41 (dt, J = 8.8, 1.3, 0.8 Hz, 1H), 7.33 (td, J =
74 6.9, 1.3 Hz, 1H), 4.97 (s, 1H).²⁴ HRMS: Cal'd for C₈H₇N₂O₂ [M+H]+: 163.05020; found: 163.04973.

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2-Hydroxy-9-methyl-4H-pyrido[1,2-a]pyrimidin-4-one (2b). 2-Amino-3-methylpyridine

76 **1b** (2.00 g, 18.49 mmol), was coupled with diethyl malonate (14.8 g, 92.46 mmol) to form **2b** as

77 a brown solid. Isolated yield: 1.34 g, 41%. ¹H NMR (500MHz, (CD₃)₂SO, 292 K, ppm): δ 11.48 (s,

1H), 8.80 (d, J = 7.0, 1.6 Hz, 1H), 7.84 (d, J = 6.9 Hz, 1H), 7.17 (t, J = 7.0 Hz, 1H), 5.40 (s, 1H), 2.44
(s, 3H). HRMS: Cal'd for C₉H₉N₂O₂ [M+H]+: 177.06585; found: 177.06539.

2-Hydroxy-8-methyl-4*H*-pyrido[1,2-*a*]pyrimidin-4-one (2c). 2-Amino-4-methylpyridine
1c (2.00 g, 18.49 mmol), was coupled with diethyl malonate (14.8 g, 92.46 mmol) to form 2c as a
brown solid. Isolated yield: 1.50 g, 46%. ¹H NMR (300MHz, (CD₃)₂SO, 292 K, ppm): δ 11.97 (s, 1H),
8.85 – 8.77 (d, 1H), 7.24 – 7.13 (m, 2H), 4.84 (s, 1H), 2.46 (s, 3H).^{25 13}C NMR (126 MHz, (CD₃)₂SO,
292 K, ppm): δ162.9, 155.8, 154.1, 147.3, 128.3, 117.7, 115.0, 80.8, 21.1. HRMS: Cal'd for
C₉H₉N₂O₂ [M+H]+: 177.06585; found: 177.06602.

6-Methyl-2-hydroxy-4H-pyrido[1,2-*a*]pyrimidin-4-one (2d). 2-amino-6-methylpyridine
1d (2.00 g, 18.49 mmol), was coupled with diethyl malonate (14.8 g, 92.46 mmol) to form 2d as
a brown solid. Isolated yield: 1.05 g, 32%. ¹H NMR (300MHz, (CD₃)₂SO, 292 K, ppm): δ 10.59 (s,
1H), 7.88 (d, *J* = 8.2 Hz, 1H), 7.67 (t, *J* = 7.9 Hz, 1H), 6.98 (d, *J* = 7.4 Hz, 1H), 3.60 (s, 1H), 2.41 (s,
3H). HRMS: Cal'd for C₉H₉N₂O₂ [M+H]+: 177.06585; found: 177.06559.

91 6-Chloro-2-hydroxy-4H-pyrido[1,2-*a*]pyrimidin-4-one (2e). 2-Amino-6-chloropyridine 1e
 92 (2.00 g, 15.56 mmol), was coupled with diethyl malonate (12.46 g, 77.78 mmol) to form 2e as a
 93 brown solid. Isolated yield: 1.07 g, 35%. ¹H NMR (300MHz, (CD₃)₂SO, 292 K, ppm): δ 10.98 (s, 1H),

8.04 (d, J = 8.2 Hz, 1H), 7.86 (t, J = 8.0 Hz, 1H), 7.23 (dd, J = 7.8, 0.7 Hz, 1H), 3.63 (s, 1H). HRMS:
Cal'd for C₈H₆ClN₂O₂ [M+H]+: 197.01123; found: 197.01049.

8-Chloro-2-hydroxy-4*H*-pyrido[1,2-*a*]pyrimidin-4-one (2f). 2-amino-4-chloropyridine 1f
(2.00 g, 15.56 mmol), was coupled with diethyl malonate (12.46 g, 77.78 mmol) to form 2f as a
brown solid. Isolated yield: 1.43 g, 47%. ¹H NMR (300MHz, (CD₃)₂SO, 292 K, ppm): δ 12.02 (s, 1H),
8.87 (d, *J* = 7.5 Hz, 1H), 7.49 (d, *J* = 2.2 Hz, 1H), 7.36 (dd, *J* = 7.5, 2.3 Hz, 1H), 5.15 (s, 1H). ¹³C NMR
(126 MHz, (CD₃)₂SO, 292 K, ppm): δ 165.3, 156.5, 149.1, 145.4, 130.0, 118.3, 116.1, 82.3. HRMS:
Cal'd for C₈H₆ClN₂O₂ [M+H]+: 197.01123; found: 197.01035.

102 **8-Bromo-2-hydroxy-4H-pyrido**[**1**,**2**-*a*]**pyrimidin-4-one (2g).** 2-amino-4-bromopyridine **1g** 103 (1.00 g, 5.78 mmol), was coupled with diethyl malonate (4.63 g, 28.90 mmol) to form **2g** as a 104 brown solid. Isolated yield: 0.78 g, 56%. ¹H-NMR (300MHz, (CD₃)₂SO, 292 K, ppm): δ 12.00 (s, 1H), 105 8.77 (d, J = 7.4 Hz, 1H), 7.64 (d, J = 2.1 Hz, 1H), 7.47 (dd, J = 7.4, 2.1 Hz, 1H), 5.15 (s, 1H). HRMS: 106 Cal'd for C₈H₆BrN₂O₂ [M+H]+: 240.96072; found: 240.96112.

6-Fluoro-2-hydroxy-4*H*-pyrido[1,2-*a*]pyrimidin-4-one (2h). 2-Amino-6-fluoropyridine 2h
(1.00 g, 8.92 mmol), was coupled with diethyl malonate (7.14 g, 44.60 mmol) to form 2h as a
brown solid. Isolated yield: 0.42 g, 26%. ¹H-NMR (500MHz, (CD₃)₂SO, 292 K, ppm): δ 10.85 (s, 1H),
8.16 - 7.72 (m, 2H), 7.04 - 6.65 (m, 1H), 3.64 (s, 1H). HRMS: Cal'd for C₈H₆FN₂O₂ [M+H]+:
181.04078; found: 181.04141.

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113 **Results and Discussion**

As part of our efforts to develop new catalytic methods for heterocycle functionalization,
we sought a versatile and robust method to access various 2-hydroxy-PPDs. When synthesizing

the otherwise unsubstituted 2-hydroxy-PPD **2a**, literature reports are consistent that using 2aminopyridine and a malonate derivative to effect two successive amidation reactions is an efficient method.^{21,24–28} However, in our hands we found inconsistent results when we applied these methods to access 2-hydroxy-PPDs beyond the scope explored in the original report. With a goal to establish conditions that could be broadly applied to a library of commercially available 2-aminopyridine building blocks, we began by evaluating three methods (**A-C**) to access five 2hydroxy-PPDs (**2a-c**, **2e**, **2f**; Figure 2).



- 126 Figure 2: Three reported methods (A-C) applied to the synthesis of five substituted 2-hydroxy-
- 127 PPDs; reactions performed at 2.0 g scale (mass of 2-aminopyridine substrate).
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Initially, we explored a reported two-step method for the formation of 2a, similar to 129 Method A shown above (step 2 reaction temperature = 160 °C, and open to atmosphere);²⁴ 130 however, we observed inconsistent results with respect to yield of desired product. A consistent 131 132 issue when performing the second step is little/no cyclization to generate **2a**. To overcome this, 133 we modified the procedure to run under N₂ (Figure S4 in Supplementary Info) and then also at an 134 elevated reaction temperature. We only observe appreciable cyclization when performing the 135 second step ≥200 °C (Figure S5 in Supplementary Info). With these modifications, **Method A** was 136 then applied to convert all five 2-aminopyridines. While this method provided sufficient yield for 137 the unsubstituted (2a) and tolyl derivatives (2b-2c), no halide containing species (2e-2f) was successfully synthesized using this method. Furthermore, the use of high-boiling diphenyl ether 138 139 as solvent (b.p. = 258 °C) complicated isolation once the reaction was complete.

Method B, which uses ethanol as a polar protic solvent at reflux, was then attempted.²⁶ However, we again observed incomplete or no cyclization, with the initial monoamidation product as the major/sole species. While we were able to successfully isolate the unsubstituted (2a) and the 6-chloro product (2e), Method B was not able to furnish the other three 2-hydroxy-PPD products.

Method C, which employs a more elaborate malonate ester substrate bis(2,4,6trichlorophenyl)malonate (BTCM), was the most broadly successful approach.¹⁷ Originally reported by Kappe,²⁹ this procedure enables the difficult second amidation by replacing the ethyl esters with activated 2,4,6-trichlorophenol esters; the higher reactivity of BTCM also enables the cyclization to occur at room temperature. However, BTCM is considerably more expensive and mass inefficient compared to diethyl malonate. Its synthesis uses phosphorus oxychloride (POCl₃) to activate malonic acid. POCl₃ is highly hazardous, with safety incidents involving reaction quenching reported in the literature.³⁰ While **Method C** does provide the best results across the five 2-hydroxy-PPD targets, we sought a more economical and safe method to access these compounds that is consistent with the principles of green chemistry.³¹

Our experience with **Methods A-C** inspired us to explore an alternative pathway for preparation of 2-hydroxy-PPDs. With respect to **Method A**, elevated temperatures (>200 °C) were required to facilitate the second amidation. Rather than use diphenyl ether as a solvent for the second amidation, we simply used a larger excess of diethyl malonate (5 equiv) and directly heated the reaction mixture to high temperature. Notably, the original synthesis of **2a**, reported by Chichibabin, employed a similar approach of using malonate esters as both reactant and solvent.¹³

162 With reactor block temperatures of 230 °C (diethyl malonate b.p. = 199 °C), we 163 standardized the reaction time to 3 h to compare reactivity across the set of 2-aminopyridines 164 (1a-h). This also enabled reaction set-up, execution, and clean-up to be completed within a single 165 day, and avoided the need to run high temperature reactions unattended (i.e. overnight). We do 166 observe improved yield of 2a with a longer reaction time of 18 h (78% versus 49%). Diethyl 167 malonate proves to be an effective solvent as well as reactant, providing synthetically useful yields 168 of 2-hydroxy-PPDs 2a-h on (multi)gram scale (Figure 3). This includes halogenated derivatives 2e-169 **h**, which provide synthetic handles for further functionalization via S_NAr or metal-catalyzed 170 coupling. Notably, we do observe diminished yield in the case of 2d, 2e, and 2h, all of which have 171 a substituent at the 6 position. This is likely due to increased steric hindrance adjacent to the 172 pyridyl nitrogen slowing the rate of cyclization. Importantly, on larger scale the excess diethyl

- 173 malonate distilled at the end of the reaction could potentially be reused in future experiments,
- 174 significantly improving the overall mass efficiency of this process.

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Figure 3: Synthesis of 2a-h from 1a-h using diethyl malonate. All reactions performed at 2.0 g,
 scale relative to (substituted) 2-aminopyridine, isolated yields. ^a18 h reaction time. ^b1.0 g scale.

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Finally, we do observe some limitations of the current method. In an attempt to prepare 7-chloro-2-hydroxy-4*H*-pyrido[1,2-*a*]pyrimidin-4-one (**2i**) from the corresponding 2-amino-5chloropyridine (**1i**), we observe an incomplete reaction and isolation of a mixture of **2i** and the intermediate amide **2i'** in a 1:5 molar ratio (eq. 1). Attempts to separate these materials was unsuccessful.



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187 **Conclusions**

By exploiting the use of diethyl malonate as both solvent and reactant, we have realized the synthesis of substituted 2-hydroxy-PPDs, several of which are new compounds. By evaluating three reported methods and taking inspiration from Chichibabin's original preparation, we have demonstrated that neat reaction conditions are suitable as a generally applicable method. This procedure provides synthetically useful yields in a short turnaround time, and is highly mass efficient. As the importance of nitrogen-containing heterocycles and PPDs in particular continues to grow, robust methods to access key scaffolds will remain critical.

195

196 Acknowledgements

We acknowledge with respect the Lekwungen peoples on whose traditional territory the
University of Victoria (UVic) stands, and the Songhees, Esquimalt and WSÁNEĆ peoples whose
historical relationships with the land continue to this day. We also acknowledge NSERC (Discovery
Grant), CFI and BCKDF (CFI-JELF) for operating and equipment funds.

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