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A Study on the Efficient Separation of Oily Water Using Mullite Whiskers Membrane through Combined Filtration and Electrofiltration

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10 Abstract

Oily wastewaters pose significant environmental challenges, requiring effective treatment 11 methods for sustainable development. This study investigates the potential of combining 12 filtration, electrofiltration, and backwashing using a ceramic membrane for the treatment of 13 14 oily water. A secondary mullite membrane is synthesized, demonstrating favorable characteristics such as high permeate flux (534 LMH), biaxial flexural strength (75.21 MPa), 15 and cost-effectiveness. Experimental investigations were performed for operational 16 17 parameters, resulting in the selection of a pressure of 2 bar and a cross-flow velocity of 0.727 m/s to achieve desirable permeate flux and oil removal rates. The critical electric field intensity 18 19 (E_{crit}) is determined experimentally and theoretically, ranging from 50 to 55 V, guiding the selection of optimal voltages for electrofiltration. Electrokinetic phenomena, including 20 21 electrophoresis, electroosmosis, and bubble formation, are harnessed to mitigate fouling. The influence of feed solution conductivity is examined, revealing that higher salt concentrations 22 increase fouling and diminish electric field effectiveness. Energy consumption analysis 23 24 indicates significant potential for energy savings, with a decrease from 3.88 kWh/m³ in the novoltage condition to 2.71 kWh/m³ at 65 V for salt-free solutions. However, at higher salt 25 concentrations, increased fouling and reduced electric field effectiveness result in higher 26 energy consumption. The findings underscore the suitability of low-cost ceramic membranes 27 for oily water treatment, emphasizing the importance of optimizing operating parameters for 28 enhanced performance and energy efficiency. 29

30 Keywords

- 31 Mullite whiskers, Ceramic membrane, Oily water emulsion, Electrofiltration, Membrane
- 32 fouling, Energy consumption

33 1. Introduction

34 Oily wastewaters pose significant environmental challenges, affecting both terrestrial and

- 35 aquatic ecosystems. The discharge of these wastewater streams from various industries, such
- 36 as oil refineries, petrochemical plants, and offshore drilling operations, not only degrades water
- 37 quality but also threatens biodiversity and human health. As the demand for oil continues to
- 38 grow, the proper treatment and management of oily wastewaters have become increasingly

- 39 important for sustainable development and the well-being of future generations (Al-Kaabi et al.,
- 40 2021; Coca et al., 2011; Salahi et al., 2010).
- 41 Various treatment methods have been employed to address the challenges associated with oily
- 42 water treatment, including physical, chemical, and biological processes (Ahmed et al., 2021;

43Zhao et al., 2021). However, these methods often have limitations, such as high operating costs,

- 44 complex operations, and the generation of secondary pollutants (Eom et al., 2014). In recent
- 45 years, membrane-based separation techniques have gained significant attention due to their
- 46 high efficiency, simplicity, and potential for integration into existing treatment systems 47 (Derived the efficiency of the 2022) (as the control of the 2022)
- 47 (Davoodbeygi et al., 2023; Goh et al., 2022).
- Among membrane materials, ceramic membranes have shown great promise for oily water treatment due to their unique properties. Ceramic membranes are known for their exceptional thermal and chemical stability, mechanical strength, and resistance to fouling (Dong et al., 2022; Gu et al., 2022; Li et al., 2020). These characteristics make them well-suited for harsh operating conditions and the removal of oil droplets, suspended solids, and other contaminants from wastewater streams. However, the high cost of ceramic membrane production has
- 54 hindered their widespread adoption in industrial applications (Hubadillah et al., 2017).
- In addressing the cost issue, researchers have explored strategies such as utilizing low-cost raw 55 materials, innovative fabrication techniques, and optimizing manufacturing processes to reduce 56 production costs while preserving the performance and durability of ceramic membranes. 57 These approaches enhance the economic feasibility of ceramic membranes for oily water 58 treatment, increasing their practical implementation (Guo et al., 2016; Naseri and Omidkhah, 59 2023; Zhu et al., 2016; Zou et al., 2019). Notably, mullite whiskers membranes hold promise 60 for reducing production costs while maintaining or even enhancing properties like biaxial 61 flexural strength and porosity. With their cost-effective production and favorable 62 characteristics, mullite whiskers membranes offer an opportunity to enhance the economic 63 viability of ceramic membranes for industrial applications in oily water treatment (Abdullayev 64 et al., 2022; Fu et al., 2019; Naseri and Omidkhah, 2023). 65
- Another critical challenge associated with membrane technology, which directly impacts cost 66 and consumed energy, is fouling. The occurrence and accumulation of fouling on membrane 67 surfaces pose significant obstacles to efficient filtration and necessitate the implementation of 68 effective fouling mitigation strategies. Fouling can significantly affect the performance and 69 lifespan of membranes (Akhondi et al., 2014; Nthunya et al., 2022). In the context of oily water 70 treatment, fouling is primarily attributed to the deposition of oil droplets, organic matter, and 71 other contaminants on the membrane surface, leading to flux decline and reduced separation 72 efficiency (Campo et al., 2017; Ullah et al., 2021). To combat fouling and enhance membrane 73 74 performance, electrofiltration has emerged as a promising technique (Chiu and Garcia Garcia,
- 75 2011; Khosravanipour Mostafazadeh et al., 2016).
- 76 Electrofiltration harnesses the application of an electric field across the membrane to improve
- separation efficiency and mitigate fouling. This technique capitalizes on various electrokinetic
- 78 phenomena, such as electrophoresis, electroosmosis, and bubble formation, to enhance particle
- removal and minimize membrane fouling (Khosravanipour Mostafazadeh et al., 2016; Shen
- and Badireddy, 2021). By positioning the membrane between two electrodes, electrofiltration
 facilitates the migration of charged particles towards the oppositely charged electrode,

preventing their accumulation on the membrane surface (Chiu and Garcia Garcia, 2011;
Huotari et al., 1999b; Shen and Badireddy, 2021).

In the configuration where the membrane is placed between two electrodes, so far, organic 84 membranes such as polyvinylidene difluoride (PVDF) (Du et al., 2013; Du et al., 2009; Hu et 85 86 al., 2019; Huang et al., 2015; Oussedik et al., 2000; Sun et al., 2017; Yang et al., 2003; Zhang 87 et al., 2017), polyethersulfone (PES) (Sarkar and De, 2011; Venkataganesh et al., 2012; Weng et al., 2006), polysulfone (PS) (Sarkar et al., 2008; Song et al., 2010), polyacrylonitrile (PAN) 88 (Lee et al., 2008), polypropylene (PP) (Chen et al., 2007), and nylon (Sarkar, 2015) have been 89 used. Furthermore, some studies have focused on the use of inorganic membranes, particularly 90 ceramic membranes, with more details provided. Chiu et al. (Chiu and Garcia Garcia, 2011) 91 utilized a commercial star-shaped ceramic membrane for the electrofiltration of activated 92 sludge suspension in wastewater treatment. They obtained a critical electric field intensity 93 (E_{crit}) ranging from 30 to 45 V/cm and achieved a maximum separation percentage of 94 approximately 90%. Agana et al. (Agana et al., 2012) employed electro-ultrafiltration for 95 treating wastewater containing 5% volume of CED dye used in automobile paint shop baths. 96 They observed that different voltage differentials generally improved filtration under a working 97 pressure of 100 kPa, with the highest impact seen at 60 V. Chiu et al. also used a star-shaped 98 industrial membrane for whey suspension treatment in another study (Chiu, 2013). They 99 reported E_{crit} ranging from 12.5 to 15 V/cm. The effect of direct current electric field on flux 100 in crossflow filtration using a tubular membrane was investigated by Kyllönen et al. (Kyllönen, 101 2005). They concluded that even low-velocity flows can be utilized for electrofiltration. 102

The aim of this study is to design a practical membrane process in the field of combined 103 filtration, electrofiltration, and backwashing for the treatment of crude oil/water emulsion, 104 building upon our previous work optimizing the synthesis conditions of secondary mullite 105 106 membrane, which has exhibited excellent characteristics in terms of permeance, biaxial flexural strength, and cost-effectiveness (Naseri and Omidkhah, 2023). The ultimate goal is to develop 107 a process that achieves the best efficiency in terms of permeate flux, removal rate, and energy 108 consumption, using an affordable and optimized ceramic membrane. To achieve this, the 109 impact of operational parameters, including pressure, cross-flow velocity, backwashing, and 110 electric field strength, on membrane performance has been investigated. Furthermore, the 111 influence of feed solution conductivity on the electrofiltration process has been examined. 112

113 2. Experimental procedures

114 **2.1.** Materials and synthesis methods

115 In our previous work (Naseri and Omidkhah, 2023), we focused on optimizing the fabrication 116 conditions of a secondary mullite ceramic membrane. Briefly, here we refer to the optimized membrane fabrication conditions mentioned in that work. As shown in Fig. 1, kaolin and 117 bauxite were used as the main materials, along with AIF₃.3H₂O and MoO₃ as additives in 118 stoichiometric proportions of mullite (3Al₂O₃.2SiO₂). To homogenize the powder, a planetary 119 120 mill was used at a speed of 250 rpm for 4 hours. Afterwards, the obtained slurry was placed in an oven at 80°C for 3 hours and left to be dry at room temperature for one day. Then, 1% 121 by weight of a 5% PVA solution was added to the slurry and thoroughly mixed. Subsequently, 122 the final powder was passed through stainless steel sieves to obtain a fine powder with 123 suitable and uniform particle size for pressing. The final powder was then pressed into disks 124 125 using a uniaxially press device at a pressure of 350 bar and within a stainless-steel mold. The disks were heat-treated in alumina crucibles inside a furnace up to a temperature of 1300°C

127 and finally cooled naturally. The resulting fabricated membranes were utilized for

128 electrofiltration testing.



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Fig. 1. Schematic of materials and methods used for secondary mullite ceramic membrane
 synthesis

132 **2.2. Membrane characterization**

133 Various tests were conducted to evaluate the nature and structure of the fabricated membranes,134 including:

- XRD (X-Ray Diffraction): Performed using an X'Pert MP device (Philips, Netherlands)
 in the angular range of 4 to 90 degrees.
- SEM (Scanning Electron Microscopy): Conducted using a TESCAN VEGA device
 (Czech Republic).
- Apparent Porosity Analysis: Carried out using the ASTM-C20 standard method.
- Mercury Intrusion Porosimetry: Performed using a device from Thermo Finnigan (Germany).
- Dimensional Measurement: Conducted using a digital caliper (Mitutoyo, Japan) with
 an accuracy of 0.01 mm.
- Biaxial Flexural Strength: Performed following the ISO 6872 standard method.

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146 **2.3. Filtration experiments**

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2.3.1 East solution n

2.3.1. Feed solution preparation and characterization

Crude oil was used to synthesis an oil emulsion, and its specifications are provided in Table 1. A mixture of crude oil and distilled water was subjected to ultrasonic bath treatment at a temperature of 50°C for 1 hour to ensure complete mixing. Fresh feed was used for each test. Subsequently, the obtained emulsion was diluted with distilled water using a laboratory homogenizer at a speed of 2000 rpm to achieve a concentration of 500 mg/L. Sodium sulfate (Na₂SO₄) was used to investigate the effect of salt presence in the oily water emulsion. Salt 154 concentrations of 0, 1, and 10 mM were examined to assess the impact of salt on the filtration155 process.

The oily water emulsion was analyzed using Dynamic Light Scattering (DLS) with a Zetasizer Nano ZEN 3600 instrument from Malvern, England, to determine the droplet size distribution and zeta potential. Additionally, the dispersed particle images in water were examined using an optical microscope. The electrical conductivity of the oil emulsion was measured using a GLP32 Crison conductivity meter, Spain, as the presence of salt is one of the influential parameters in the electrofiltration process.

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Table 1. materials used for synthesis of crude oil in water emulsion

No.	Material name	Chemical formula	Model	Source
1	Crude oil	$C_{144}H_{141}N_3S_2O_3\\$	-	Siahmakan, Iran
2	Sodium sulfate	Na ₂ SO ₄	> 99%	Dr.Mojallali Industrial Chemical Complex Company, Iran

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2.3.2. Filtration procedure

An oily water emulsion was prepared at varying input pressures (1, 2, and 3 bar) to study the performance of the secondary mullite ceramic membrane in separating oily water emulsions. Fresh membranes were used for each test conducted. The permeate flux (F) in L/m^2 .h (LMH)

167 was calculated using the following formula:

$$F = \frac{V}{A \times t} \tag{1}$$

168 Where V is the volume of permeated water (L), A is the active area of the membrane sample (m^2) , and t is the time (h).

The amount of oil present in the permeate was measured using a UV-visible spectrophotometer 170 (OPTIZEN 3220UV, South Korea). The synthesized oily water emulsion exhibited the highest 171 absorption intensity at a wavelength of 220 nm. Based on the UV-visible absorption 172 wavelength spectrum obtained for the desired effluent, the maximum absorption was observed 173 at a wavelength of 220 nm. No change in the wavelength at which maximum absorption 174 occurred was observed with varying oil concentrations in the effluent. Therefore, this 175 wavelength was chosen to determine the oil concentration in the permeate. The correlation 176 coefficient (\mathbb{R}^2) of the calibration curve for the effluent was determined to be 0.9985, indicating 177 the high accuracy of the absorption method for determining oil concentrations in the permeate 178 flow. The concentration of the unknown sample was determined using the following 179 relationship. The removal percentage is defined by concentration in the feed (C_f) and the 180 concentration of the same sample in the permeate (C_n) as follows: 181

$$R = \left(1 - \left(\frac{C_p}{C_f}\right)\right) \times 100 \tag{2}$$

Separation performance tests of the membranes were also conducted to examine the effect of cross-flow velocity at a constant pressure for specific volumetric flow rates of 20 L/h and 40 L/h. Additionally, the temperature was maintained at 25°C throughout the test duration using a constant water bath chamber. During the first 20 minutes of the test, the weight of the permeate was recorded every minute, and thereafter, every 5 minutes. Each test was conducted for 60 minutes. To maintain the stability of the feed concentration, the permeate samples werereturned to the feed container every 10 minutes.

189 **2.3.3.** Model analysis for membrane fouling

Modeling the permeate flux reduction provides a better understanding of membrane fouling mechanisms. One of the most successful models used to interpret fouling mechanisms under constant pressure is the Hermia model. According to the Hermia model, which is described in more detail in the available reference (Hermia, 1982), membrane fouling can be classified into four types: complete blocking, intermediate blocking, cake filtration, and standard blocking. The analysis of membrane fouling was performed using the Hermia model for the secondary mullite ceramic membrane.

197 **2.3.4. Backwash**

Backwashing with distilled water was employed to investigate membrane fouling. In this
regard, the impact of backwashing on restoring the membrane to its initial state was examined.
Each backwashing cycle lasted for 15 minutes, followed by a 60-minute assessment of the
membrane performance in oily water emulsion filtration.

202 **2.3.5.** Electrofiltration procedure

Fig. 2 illustrates the complete electrofiltration system used, which allows for adjusting flow rate, pressure, DC voltage, and membrane backwashing.



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Fig. 2. The schematic diagram and image of the membrane system used for conducting performance
 tests of the membranes in the electrofiltration and backwashing processes.

In the critical electric field, the variation of permeate flux remains nearly constant with increasing electric voltage. Operating at higher electric field intensities is not economically viable. To determine the critical electric current, salt-free feed was employed. Initially, the permeate flux without electric field was measured under constant pressure, flow rate, and temperature conditions. Then, a series of voltage steps (5 V) was applied to reach E_{crit} . The permeate flux refers to its steady-state value after 60 minutes of system operation. The following empirical formula was used to calculate E_{crit} (Geng and Chen, 2016):

$$E_{crit} = 0.278 \times \frac{J}{\mu_p} \tag{3}$$

where J (LMH) is the pure water flux under similar operating conditions to the oil and water emulsion filtration test, and μ_p is the electrophoretic mobility of oil particles (in μ m/s)/(V/cm).

To determine μ_p , an empirical method was employed. In this regard, the movement of oil particles in the oily water emulsion under an electric field intensity of 1 V/cm was examined using an optical microscope, and the displacement of these particles was recorded at specific time intervals. Subsequently, the electrophoretic mobility was calculated using the following formula (Huotari et al., 1999a):

$$\mu_p = \frac{V}{E} \tag{4}$$

223 where V (μ m/s) is the velocity and E (V/cm) is the electric field intensity.

It should be noted that after each experiment, the system was washed with a 0.1% (w/v) NaOH solution and a 0.1% (v/v) nitric acid solution at a temperature of 40°C for 1 hour. Then, the system was rinsed with distilled water for 1 hour. Additionally, to ensure the reproducibility of the experiments, the permeate flux of distilled water was measured after each washing (Chiu and Garcia Garcia, 2011). Using the aforementioned washing method, the changes in permeate

flux of the washed membranes were found to be very negligible (less than 1%).

In this study, a process consisting of 9 consecutive stages was designed to investigate the 230 combined effect of filtration, electrofiltration, and backwashing in order to achieve higher 231 separation performance and lower fouling for the synthesized membranes. For each test under 232 different conditions, the system operated without electric field for 15 minutes initially. Then, 233 the system was subjected to electric field for 15 minutes. The field was then interrupted for 234 another 15 minutes, and finally, in the fourth step, the electric field was applied again for 15 235 minutes. The membrane was backwashed using the provided backwashing method, and the 236 previous four stages were repeated. For electrophoresis examination, salt-free feed, which 237 represents the lowest level of electrical conductivity, was utilized. Feeds with two different 238 electrical conductivities were employed to investigate the effect of presence of salt on 239 membrane performance in the electrofiltration process. Pressure, flow rate, and feed 240 temperature were kept constant throughout all experiments. Additionally, for each new test 241 under different conditions, a fresh membrane was used. 242

243 **2.4.** Energy consumption

The total energy consumption per unit volume of permeate flow at critical flux (E_{tot}) can be calculated in kWh/m³ using the following equation (Chiu and Garcia Garcia, 2011):

$$E_{tot} = \frac{P_p + P_e}{V_{perm}} = \frac{P_L Q}{J_{crit}S} + \frac{VI}{J_{crit}S}$$
(5)

Where P_p , P_e , V_{perm} , P_L , Q, V, I, J_{crit} , and S represent the hydraulic power loss (W), electrical power (W), volume of permeate flow (m³), pressure difference (Pa), flow rate (m³/s), applied voltage (V), electric current (A), critical flux through the membrane (m/s), and membrane surface area (m²), respectively (Chiu and Garcia Garcia, 2011).

It should be noted that the reported results for all experiments are the average of three repetitions of that experiment.

252 **3. Results and discussion**

3.1. Membrane characterization

The XRD analysis, pore size distribution, Photograph, and SEM images of the synthesized 254 membrane are presented in Fig. 3. The RIR analysis of the XRD pattern indicates that this 255 membrane has a secondary phase content of 95.4%. The SEM images reveal a highly 256 interconnected needle-like structure with good resistance against shrinkage. The pore size 257 distribution of the membrane, shown in Fig. 3(b), exhibits a single peak and narrow 258 distribution. With an average pore size of 775 nm, the membrane forms a network of needle-259 like crystallites and exhibits low membrane shrinkage. The radial shrinkage obtained for this 260 membrane is 2.23%. Furthermore, the apparent porosity and biaxial flexural strength of the 261 membrane are 50.37% and 75.21 MPa, respectively. 262

263





Fig. 3. a) XRD analysis, b) Pore size distribution, and c) Photograph and SEM images of the
 synthesized secondary mullite ceramic membrane.

267 **3.2. Feed solution characterization**

Analysis was performed on the prepared feed samples using the method described in Section2.3.1, one hour after sample preparations.

The particle size distribution of oil particles in the emulsion is shown in the Fig. 4. The average oil particle size is $1.3 \mu m$, which is larger than the average pore size of the synthesized membranes. This indicates that the synthesized membranes have the ability to reject oil particles. Additionally, optical microscopy images of dispersed oil particles examined using an optical microscope are presented in the same figure.



Fig. 4. a) Optical microscopy images, and b) Particle size distribution of the synthesized oily water emulsion

The zeta potentials of oil particles in deionized water, 1 mM, and 10 mM Na₂SO₄ solutions 278 were -46.8, -41.2, and -26.1 mV, respectively. These values indicate that in electrofiltration, 279 when the membrane is positioned between two electrodes, the anode should be placed on the 280 inlet flow side. Furthermore, the absolute reduction in the zeta potential of oil particles in water 281 increases with the addition of Na₂SO₄ due to enhanced adsorption on the particle surfaces. This 282 means that by adding the salt, the concentration of electrolyte ions in the solution increases. As 283 a result, a higher electric charge is formed on the particles surface. The positive charges of the 284 salt and the negative charges from the particles interact in the solution and reach an average 285 equilibrium on the particles surface. This enhanced adsorption on the particles surface leads to 286 a reduction in the zeta potential of the solution. The reason is that particles with higher electric 287 charge have a larger electric double-layer thickness. In other words, the distance between 288 positive and negative charges on the particle surface decreases, resulting in a decrease in the 289 zeta potential of the solution (Elzo et al., 1998). Additionally, the experimental value of 290 electrophoretic mobility was obtained as 3.96 (µm/s)/(V/cm). Furthermore, using an electrical 291 conductivity meter, the electrical conductivity of the feed increased from 3.73 µS/cm to 241.00 292 µS/cm and 1922.00 µS/cm as the concentration of Na₂SO₄ increased from 0 mM to 1 mM and 293 294 10 mM, respectively.

3.3. Filtration experiments
3.3.1. Effect of pressure

To explore the isolated impact of pressure on separation performance, the flow velocity was deliberately set to zero. As shown in Fig. 5(a), higher pressure resulted in higher initial permeate flux in all membranes due to stronger driving force. However, with increasing pressure, the nonlinearity of changes in permeate flux over time also increased, which can be

attributed to increased crude oil droplet compression on the membrane surface. For example, 301 302 although the initial permeate flux was higher at 3 bar compared to 2 bar, they tended to converge over time. Fig. 5(b) demonstrates a partial increase in oil rejection percentage with 303 increasing pressure. Increasing pressure led to the formation of a thicker cake layer, which 304 created a greater barrier for oil droplets to pass through membrane pores. Within the tested 305 pressure range, oil rejection ranged between 94.7% and 95.7% for a 500 ppm solution. The 306 final permeate flux at 2 bar was 534 L/m²·h (LMH), which is a significant value compared to 307 the permeate flux of a secondary mullite membrane synthesized by Rashad et al. (Rashad et al., 308 2021) under similar conditions, which was 336 LMH. 309







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Fig. 5. a) Separation of oily water emulsion in a dead-end configuration under pressures of 1, 2, and 3 bar. b) Final oil rejection percentages (%) at different pressures.

Considering that increasing pressure leads to an increase in pump power consumption, 313 selecting very high pressures would not be economically viable. The optimal pressure should 314 be chosen in a way that both membrane flux and oil rejection factors are acceptable. Since high 315 pressure differentials result in the accumulation of a gel layer on the membrane surface and a 316 decrease in the permeate flux over time, applying excessively high pressure as the driving force 317 incurs high costs and reduces the lifespan of membrane performance. Therefore, based on the 318 conducted experiments, a pressure of 2 bar was selected as the preferred pressure for further 319 investigations. 320

321 **3.3.2.** Effect of cross-flow velocity

To investigate the effect of crossflow velocity on the performance of a ceramic membrane separation at a constant pressure of 2 bars and a constant temperature of 25°C, the crossflow velocity was varied. The performance of the secondary membrane was examined at flow velocities equivalent to volumetric flow rates of 20 and 40 L/h, corresponding to average velocities of 0.727 and 1.454 m/s, respectively.

Fig. 6 illustrates the variations in permeate flux over time and the final oil rejection percentage at different crossflow velocities. The crossflow velocity of zero, or microfiltration under deadend condition, has the lowest transmembrane flux and the highest membrane fouling. With increasing velocity, a decrease in fouling can be observed by the decreasing slope of the permeate flux curve over time. The decrease in oil rejection percentage with increasing crossflow velocity is attributed to turbulent flows that prevent the formation of a cake layer,

which acts as a barrier for impurity passage, due to the removal of materials that can deposit 333 334 on the membrane surface. Additionally, due to increased mass transfer, the possibility of oil particle passage increases, resulting in reduced membrane rejection and separation percentage. 335



336



The selected crossflow velocity in this stage is used in the subsequent stages as well. In the 339 electrofiltration process, due to the accumulation of pollutant particles (in this case, crude oil 340 particles) on the anode electrode, there is no need for high flow velocity to minimize cake 341 formation. In fact, increasing the velocity in electrofiltration process is detrimental and causes 342 the pollutants to flow towards the membrane (Huotari, 2000). Taking into account the 343 aforementioned factors, as well as the permeate flux, separation percentage, and energy 344 consumption, the selected crossflow velocity for further tests was 0.727 m/s. 345

346 3.3.3. Model analysis for membrane fouling

347 Fig.7 illustrates the results of the model analysis for membrane fouling for oily water emulsion at a pressure of 2 bar and a flow velocity of 0.727 m/s. 348

For the treatment of oily water emulsion, the values of R^2 for complete blocking, intermediate 349 blocking, cake filtration, and standard blocking models are 0.89, 0.94, 0.97, and 0.92, 350 respectively. A higher value of R^2 indicates a better fit of the model. Therefore, the cake 351 filtration and intermediate fouling models exhibit the best agreement with the permeate flux 352 reduction data. In these mechanisms, particles accumulate on the membrane surface and form 353

354 a cake layer. These types of fouling are reversible.







3.3.4. Effect of backwash

Fouling exists in all pressured membrane systems. Fouling reduces the permeate flux and 358 shortens the useful life of membranes. Therefore, membrane cleaning is an essential and 359 inseparable part of most practical membrane processes and should be performed regularly. 360 Fouling can be generally categorized into two types: reversible fouling and irreversible fouling. 361 Permeate flux can easily be recovered after cleaning the membrane under reversible fouling 362 conditions, while irreversible fouling leads to irreversible loss of permeate flux. In this study, 363 backwashing with distilled water was used to investigate both reversible and irreversible 364 fouling. The tested membrane, subjected to a pressure of 2 bar and a flow velocity of 0.727 m/s 365 during a 60-minute test, underwent a 15-minute backwashing with distilled water and then was 366 subjected to another 60-minute test for oily water filtration under the same initial conditions. 367 The graphs in Fig. 8 depict the membrane performance before and after the backwashing. As 368 evident, the backwashing partially restored the membrane performance to its initial state but 369 still had some deviation. Additionally, the performance trend of the membrane before and after 370 backwashing is almost the same, with the difference being that the range of permeate flux 371 variations after backwashing is smaller than the range before it. 372



Fig. 8. Membrane performance in oily water filtration at a pressure of 2 bar and a flow velocity of 0.727 m/s, before and after a 15-minute backwashing.

As shown in Fig. 8, the initial permeate flux was recovered to approximately 76% of the initial flux after a 15-minute backwashing. However, this incomplete recovery of permeate flux with backwashing after one operating cycle necessitates the use of other methods to reduce fouling and increase membrane lifespan.

380 3.4. Electrofiltration

381 3.4.1. Ecrit

At a pressure of 2 bar and a flow velocity of 0.727 m/s, the synthesized membrane was subjected to different electric field intensities to determine its E_{crit} experimentally. The feed used in these experiments was an oily water emulsion without electrolytes. The graph illustrating the changes in permeate flux as a function of voltage when the membrane is placed between two electrodes is shown in Fig. 9.



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Fig. 9: Variation of permeate flux with changing voltage for oily water emulsion. E_{crit} is indicated by a red ellipse on the graph.

As the voltage increases, the permeate flux also increases. Since the oil particles in the feed 390 have a negative charge, increasing the electric field intensity results in a higher electrostatic 391 392 force acting on the particles. When this force overcomes the other forces acting on the particles that contribute to fouling, it causes them to move away from the membrane surface. As a result, 393 fouling caused by these particles is reduced, and the flux increases. Additionally, according to 394 the smoluchowski equation, the electroosmotic velocity also increases with the electric field 395 intensity. Therefore, increasing the electric field intensity leads to an enhancement of both 396 397 electrophoresis and electroosmosis phenomena. However, at a certain electric field intensity (E_{crit}), the slope of the flux variation decreases. E_{crit} arises due to the limitation in the number 398 of particles that the electric field can displace. According to Fig. 9, the critical electric field 399 intensity in the experimental conditions falls within the range of 50 to 55 V. When the electric 400 field intensity exceeds the critical range, further increases, although resulting in a linear 401 402 increase in permeate flux, have a minimal effect due to the low slope of the flux variations. Therefore, operating the system at electric field intensities higher than E_{crit} is not economical. 403

E_{crit} can also be theoretically calculated using Equation (3). The flux of distilled water at a 404 pressure of 2 bar and a velocity of 0.727 m/s increases to 83.1975 LMH with increasing mass 405 transfer velocity. Thus, considering the electrophoretic mobility of oil particles at 3.96 406 $(\mu m/s)/(V/cm)$, E_{crit} will be at 55.5 V. The obtained value for E_{crit} from both experimental and 407 theoretical approaches is close to each other and confirms one another. Hence, to investigate 408 the effect of electric field on the performance of the synthesized membrane, voltages of 15, 25, 409 45, and 65 V were utilized. Based on the measured potential, indicating the negative charge of 410 411 the particle, and to enhance the membrane performance, the anode was placed on the feed side, while the cathode was placed on the permeate side. 412

413 **3.4.2.** Effect of electrical field strength

Fig. 10(a) illustrates the variations in normalized permeate flux over time at different voltages 414 for the electrolyte-free feed. According to the figure, the relative permeate flux of the 415 membrane in all experiments decreases during the initial 15 minutes, which corresponds to the 416 filtration process. This reduction is due to the rapid accumulation of oil particles on the 417 membrane surface and the increasing fouling. In the second 15 minutes, when electrofiltration 418 is employed, the relative permeate flux increases with the applied voltage, leading to an 419 enhancement of the electrophoresis phenomenon and the removal of oil particles from the 420 surface. At the minute 30, for voltages of 15, 25, 45, and 65 V, the J/J₀ ratio is 76%, 84%, 94%, 421 and 128%, respectively. Due to the flow velocity in the feed direction and the generation of 422 turbulence, the flow mixes in the feed direction. This phenomenon causes the previously 423 separated particles to come close to each other again. In the relative permeate flux graph at 15 424 V, a slight decrease is observed, which is attributed to this phenomenon. As the voltage 425 increases and the electrophoresis effect becomes dominant, the impact of this decrease 426 diminishes, which is confirmed by the graphs. At 65 V, which is higher than the critical voltage, 427 the maximum electrophoresis effect is present. The permeate flux is slightly higher than the 428 initial flux, which occurs due to electroosmosis in the presence of oil particles. In the absence 429

430 of cake layer and concentration polarization, the electroosmosis phenomenon occurs.431 Furthermore, the current at this voltage was 1.61 mA.

- 432 In the third 15-minute interval, where only filtration is present again, the membrane permeate
- 433 flux decreases after discontinuing the application of voltage but is still higher than the state
- 434 without electrical field. This indicates that applying voltage to the membrane is beneficial for
- 435 improving the permeate flux. When E<E_{crit}, oil particles are not completely removed during
- 436 the electrofiltration process. Therefore, a weak cake layer exists on the membrane surface,
- 437 which protects the membrane from severe fouling. At the beginning of the third 15-minute
- 438 interval, for 65 V and when $E > E_{crit}$, the membrane permeate flux decreases significantly due
- to the absence of deposition and accumulation of contaminants, reaching its initial value.
- 440 In the fourth 15-minute interval, with the reapplication of the electric field, the membrane
- 441 permeate flux increases in all voltages. However, the relative fluxes are lower than the values
- 442 in the second 15-minute interval. This is because electrophoresis has difficulty reducing the
- 443 accumulation of oil particles inside the membrane pores during the electrofiltration process.
- 444 Therefore, over time, the permeate flux of the membrane decreases.





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Fig. 10. a) Normalized permeate flux over time, b) Removal rate over time for the synthesized membrane, before and after backwashing at salt concentration of 0 mM, at different voltages

The effect of applying an electric field on the fouling removal rate is shown in Fig. 10(b). 449 Generally, increasing the fouling layer thickness leads to a decrease in permeate flux but 450 451 improves fouling mitigation. Despite the smaller average pore size of membrane compared to oil droplets, larger pores may allow the passage of some small oil droplets, initially reducing 452 oil removal efficiency. Over time, the clogging of membrane pores by oil particles during 453 filtration leads to pore size reduction and improved fouling mitigation. This trend can be 454 observed in the removal rate graph for the zero-voltage condition. The fouling removal rate at 455 the minute 60 without electric field is 94.7%. Applying an electric field and particle detachment 456 from the membrane surface showed improved fouling mitigation. At minute 30, fouling 457 removal rate percentages of 96.7%, 97%, 97.3%, and 97.8% were achieved for voltages of 15, 458 459 25, 45, and 65 V, respectively. Upon turning off the electric field, due to the increased cake layer thickness and the clean membrane surface, a decrease in fouling removal rate followed 460 461 by an increase was observed in the third 15-minute interval. In the case of 65 V, due to the complete cleanliness of the membrane surface, the fouling removal rate variations follow a 462 463 similar trend to the first 15-minute interval. By reapplying the electric filed in the fourth stage, fouling removal rate increased, but not as much as in the second 15-minute interval. 464

Due to the reduction in pore size caused by the presence of oil particles inside the pores and the ineffectiveness of backwashing on them, the fouling removal rate percentage is slightly higher (95% at minute 60) for the zero electric field condition. However, for the case of applying voltage, there is no significant difference observed. The application of force on oil particles by the electric field and their detachment from the membrane surface reduce their presence on the membrane and result in a consistent fouling mitigation percentage after backwashing.

472 **3.4.3.** Effect of feed solution electrical conductivity

The wastewaters discharged from crude oil desalination units contain significant amounts of 473 salt. There are different opinions regarding the effect of salt on membrane permeance and 474 fouling percentage. Salt concentration can play a determining role in membrane performance. 475 As the salt concentration increases, the thickness of the electrical double layer decreases, 476 resulting in stronger electrostatic repulsion and a tendency for oil particles to aggregate and 477 foul the membrane. Additionally, increasing salt concentration leads to an increase in the 478 viscosity of the wastewater. On the other hand, the formation of salt crystals due to salt 479 concentration polarization on the membrane surface causes membrane pores to be blocked, 480 resulting in an increase in fouling percentage. However, the presence of ions on the membrane 481 surface can cause the detachment of charged particles from the membrane surface and reduce 482 fouling. Furthermore, by creating an ion potential difference across the membrane, it can 483 increase permeance due to the electroosmosis phenomenon. 484

Based on the performance graphs for the zero electrical field in Fig. 11(a) and Fig. 11(c), the explained different effects in the previous paragraph can be observed in the presence of salt. At a concentration of 1 mM, the membrane performance remains unaffected, but at a higher

488 concentration of 10 mM, a decrease in membrane performance was observed due to increased

concentration polarization and a reduction in the thickness of the electrical double layer of oil particles. It is also worth mentioning that the feed flow velocity has a significant impact on this decrease in performance, and severe fouling was not occurred. The permeate flux ratio at minute 60 in a concentration of 10 mM has a reduction of 5% compared to lower concentrations. After backwashing at a concentration of 10 mM, a lower recovery rate compared to lower concentrations was also observed. The recovery rates were 74% and 70% for 1 mM and 10 mM, respectively.

The effect of changing salt concentration on the electrofiltration process is also evident in the 496 graphs of Fig. 11(a) and Fig. 11(c). At 1 mM, an improvement in membrane performance was 497 observed with increasing voltage. At minute 30, for the voltages of 15, 25, 45, and 65 V, J/J₀ 498 499 ratios were 74%, 89%, 96%, and 150%, respectively. The increase in electroosmosis due to the presence of salt may be the reason for the increase in these ratios. Additionally, due to the 500 501 presence of salt, an increase in voltage results in an increase in electrical current, which causes reactions at the anode surface and bubble formation. The reduction in relative flux during the 502 503 second stage at different voltages indicates a decrease in the influence of the electric field due to bubble formation at the anode surface and in the feed stream, as well as a decrease in zeta 504 potential. This reduction is more pronounced at higher voltages. The highest relative flux ratio 505 at 65 V is 158%, and after that, it reaches 150% at minute 30. The flow velocity, in addition to 506 particle displacement, also causes bubble displacement and their approach to the membrane 507 508 surface. As a result, the application of an electric field does not significantly improve the filtration. In 10 mM salt concentration, due to the increase in electrical current with increasing 509 salt concentration (from 103.6 mA at 1 mM to 830 mA at 10 mM), more bubbles are formed 510 on the anode surface, leading to a decrease in the electrophoresis phenomenon. Additionally, 511 in this concentration, zeta potential has also decreased further. At minute 30, for voltages of 512 15, 25, 45, and 65 V, J/J₀ ratios were 67%, 71%, 76%, and 77% respectively, showing a 513 decrease compared to1 mM. Therefore, the membrane flux is determined by the effects of 514 515 bubbles and electroosmosis, resulting in irregular variations in membrane flux between 1 mM and 10 mM. 516

The membrane performance after backwashing at different salt concentrations was also 517 examined. Generally, the recovery improved with increasing voltage. After backwashing, in 518 the zero-voltage state, a recovery of 74%, and at 65 V, a recovery of 99% were observed. The 519 flux recovery values for these conditions at 10 mM are lower due to the presence of salt and 520 increased irreversible fouling. These values are 70% and 83% for voltages of zero and 65 V, 521 522 respectively. Fig. 11(b) and Fig. 11(d) illustrate the changes in removal rate percentage with time and voltage 523 for salt concentrations of 1 and 10 mM. With an increase in salt concentration, due to increased 524 fouling, an improvement in fouling percentage was achieved, from 94.8% at 1 mM to 95% at 525 10 mM. Additionally, removal rate percentages at voltages of 15, 25, 45, and 65 V at minute 526 30, for 1 mM, are 96.2%, 97.3%, 97.5%, and 98.1%, respectively, and for 10 mM, they are 527 95.6%, 95.8%, 96.1%, and 96.4%, respectively. The presence of bubbles and the reduction in 528

529 electrophoretic effects are the reasons for the decrease in fouling percentage at 10 mM. By 530 interrupting the voltage at 1 mM, the oil particle removal rate initially decreases and then

slightly increases in the graphs for 15, 25, 45, and 65 V. The reason is that in lower electrolyte 531 concentrations, due to the lesser effect of bubbles formed on the anode and feed side, 532 533 contaminants can be easily expelled from the membrane surface, and after voltage interruption, contaminants gradually reattach to the membrane surface. In contrast, at 10 mM, after current 534 interruption, the fouling rate increases with increasing voltage, which confirms the formation 535 of bubbles due to increased electric current, reduction in electrophoretic effects, and increased 536 fouling. As evident, the membrane performance in terms of permeate flux and fouling 537 percentage is better when voltage is applied at 1 mM compared to 10 mM. Generally, the 538 fouling percentages after backwashing at 10 mM are higher than at 1 mM. This higher value 539 indicates a higher irreversible fouling at higher salt concentrations, even in the presence of 540 541 applied voltage.



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Fig. 11. a) Normalized permeate flux over time at a 1 mM salt concentration, b) Removal rate over time at a 1 mM salt concentration, c) Normalized permeate flux over time at a 10 mM salt concentration, d) Removal rate over time at a 10 mM salt concentration, before and after backwashing, at different voltages

547 **3.5. Energy consumption**

Fig. 12 shows the energy consumption graphs in terms of applied voltages for solutions with 548 different electrical conductivities in the electrofiltration process. At low conductivity, the 549 energy consumption in the applied voltage range in this study decreases. In the salt-free 550 condition, the energy consumption has decreased from 3.88 kWh/m³ in the no-voltage 551 condition to 2.71 kWh/m³ at 65 V. However, as the conductivity increases, there is a minimum 552 value for energy consumption where the electric field intensity is optimal. In the graph for 1 553 mM, there is no significant increase in energy consumption up to 25 V voltage, but after that, 554 there is a noticeable increase. As observed, with further increase in the electrical conductivity 555 of the solution, the energy consumption increases significantly, and it will not be economical 556 to use it. 557

The post backwashing graphs in Fig. 12 for different concentrations indicate that the specific energy consumption trend with respect to voltage in these graphs is similar to the pre backwashing state. However, these graphs for 0 mM and 1 mM concentrations are closer to their pre backwashing graphs compared to the 10 mM concentration. This once again confirms

that at higher salt concentrations, there is greater fouling and less effect of the electric field.

Additionally, since the pre and post backwashing graphs are closer to each other at lower salt

564 concentrations, the membrane process design with these concentrations encounters less error

and provides results with lower uncertainty.







570 4. Conclusions

In conclusion, this study investigated the potential of ceramic membrane-based electrofiltration 571 572 for the treatment of oily water. The synthesized secondary mullite membrane exhibited favorable characteristics, including high permeate flux (534 LMH) and biaxial flexural strength 573 (75.21 MPa), while maintaining cost-effectiveness. Optimization of operational parameters, 574 such as pressure (2 bar) and cross-flow velocity (0.727 m/s), resulted in desirable permeate 575 flux and oil removal rates. Using only filtration, backwashing with distilled water resulted in a 576 76% recovery of membrane initial performance. The critical electric field intensity (E_{crit}) range 577 of 50 to 55 V was determined, guiding the selection of optimal voltages for electrofiltration 578 and mitigating fouling through various electrokinetic phenomena. Higher salt concentrations 579 were found to increase fouling and reduce electric field effectiveness. Energy consumption 580 analysis revealed reduced energy requirements at low electrical conductivities, reaching 2.71 581 kWh/m³ at 65 V for the salt-free condition. However, higher electrical conductivity led to 582 increased energy consumption, limiting practical viability. Overall, careful control of 583 operational parameters, particularly voltage, enabled significant improvements in permeate 584 flux, fouling removal rates, and energy efficiency. These findings contribute to the 585 advancement of the designed process for combined filtration, electrofiltration, and 586 backwashing, offering a promising solution for effective and sustainable treatment of oily water 587 using the synthesized mullite whiskers membrane. 588

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