	1	Evaluation of a tetramine-appended MOF for post
<ul> <li>temperature swing adsorption</li> <li>Yogashree Bharath, and Arvind Rajendran*</li> <li>Department of Chemical and Materials Engineering, University</li> </ul>	2	combustion $CO_2$ capture from natural gas
<ul> <li>Yogashree Bharath, and Arvind Rajendran*</li> <li>Department of Chemical and Materials Engineering, University</li> </ul>	3	combined cycle flue gas by steam-assisted
6 Department of Chemical and Materials Engineering, University	4	temperature swing adsorption
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A novel tetraamine-appended metal-organic framework (MOF), exhibiting 11 double-stepped isotherm was explored in a 3-step steam-assisted temperature 12 swing adsorption process (SA-TSA) for  $CO_2$  removal from dry flue gas emitted 13 from natural gas-fired power plants (NGCC). The reported material exhibited 14 properties highly suited for CO<sub>2</sub> capture from dilute sources. Extensive numer-15 16 ical simulations were performed to comprehend the impact of isotherm shape, heat transfer coefficient, feed temperature and heat capacity of solid on adsorp-17 tion and desorption dynamics in a fixed bed. A multi-objective optimization 18 was performed to identify operating conditions that achieve low steam con-19 sumption and high productivity while maintaining high purity ( $\geq 95\%$ ) and 20 high recovery ( $\geq 90\%$ ). It was found that high purity and high recovery are 21 obtained only when the process is isothermal. Thermal fronts propagating 22 through the column impact the process performance. We show that the pro-23 cess cannot achieve recovery targets, i.e.,  $\geq 90\%$ , unless heat is removed from 24 the system rapidly. The lowest achievable specific steam consumption is  $\approx 45$ 25  $kg_{steam} kg_{CO_2}^{-1}$  and highest achievable productivity is  $\approx 0.1 \text{ mol}_{co_2} m_{adsorbent}^{-3} s^{-1}$ 26 in an isothermal scenario. 27

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**Keywords:** CO<sub>2</sub> capture, tetramine-appended MOF, heat management, step-shaped isotherm.

# 30 1 Introduction

Anthropogenic greenhouse gases (GHGs), e.g.  $CO_2$ , result in climate change<sup>1</sup>. Multi-31 ple strategies are being pursued to mitigate GHG emissions<sup>1</sup>. These include replacing 32  $CO_2$  intensive fuels, e.g., coal and oil, with less intensive ones, such as natural gas, 33 in tandem with carbon capture and storage (CCS) solutions<sup>2</sup>. Natural gas combined 34 cycle (NGCC) is a mature technology for power generation from natural gas. In 35 this technology, natural gas is directly combusted in the presence of air to gener-36 ate electricity. The flue gas from a typical NGCC plant is released at atmospheric 37 pressure, at  $\approx 60$  - 110 °C and composed of  $\approx 4-8 \mod \% CO_2$ ,  $\approx 8 \mod \% H_2O$ ,  $\approx 12$ 38 mol%  $O_2$ , traces of Ar with the balance being  $N_2^3$ . Concentrating  $CO_2$  from NGCC 39 flue gas is challenging owing to the dilute amounts of  $CO_2$  present in the gas. Ab-40 sorption using liquid amines is energy-intensive<sup>4</sup>. Additionally, equipment corrosion 41 and amine degradation in the presence of gases such as  $O_2$  is a major concern<sup>4</sup>. 42 Adsorption processes that employ solid sorbents for selective removal of  $CO_2$  are a 43 promising alternative<sup>2</sup>. Adsorption processes alternate between two key steps: the 44 adsorption step, where the  $CO_2$  is concentrated in the solid phase, and the regenera-45 tion step, where it is stripped off the solid. The regeneration is performed either by 46 lowering the pressure, i.e., pressure swing adsorption (PSA) or increasing the tem-47 perature, i.e., temperature swing adsorption (TSA)<sup>5,6</sup>. Recent works have indicated 48 that standalone- pressure/vacuum swing adsorption (PVSA) is unsuitable for dilute 49 flue gas streams due to high energy consumption, high costs compared to liquid 50 absorption technology and very low vacuum pressures<sup>7,8</sup>. For dilute CO<sub>2</sub> streams, 51 to purify the heavy component, TSA processes seem favourable. A TSA process is 52 operated as a fixed bed, moving bed or fluidized  $bed^{9,10}$ . In the fixed bed mode, 53 the sorbent remains stationary while the gas is routed through the bed to effect the 54 separation. In moving bed mode, the adsorbent and gas stream is contacted in a 55 continuous counter-current operation<sup>11</sup>. In a fluidized bed, the solid adsorbent is flu-56 idized by the gas stream<sup>11</sup>. Fixed bed mode of operation results in low productivity 57 owing to long cycle times resulting from the large thermal mass of the solid. Moving 58

<sup>59</sup> and fluidized beds are promising alternatives as they cater to increased heat and <sup>60</sup> mass transfer rates in a TSA system<sup>9,10</sup>. However, challenges related to the phys-<sup>61</sup> ical movement of solids, such as attrition and breakage, have posed challenges for <sup>62</sup> scaling-up these processes. Recent results are showing promising advances towards <sup>63</sup> commercialization <sup>12</sup>.

Metal-organic frameworks (MOFs) are gaining popularity as potential  $CO_2$  cap-64 ture sorbents owing to their high surface area and adjustable pore chemistry  $^{13,14}$ . 65 Recently, Calgary framework-20 (CALF-20), a physisorbent MOF, has been manu-66 factured at the tonne-scale and deployed for  $CO_2$  capture from a cement plant using 67 a fixed-bed process<sup>15,16</sup>. The impregnation of amine functional groups on MOFs 68 has been explored in several studies to obtain high capacities at low partial pres-69 sures<sup>13</sup>. McDonald *et al.* reported diamine-appended MOFs that can behave as 70 phase change materials with unusual single-step shaped isotherm<sup>17</sup>. The coopera-71 tive insertion mechanism of  $CO_2$  into the MOF (with different metal centres like 72 Mg, Mn, Fe etc.) resulted in high working capacities, and that too at low partial 73 pressures of  $CO_2^{13,17}$ . Recently, Kim *et al.* reported a novel tetramine appended 74 MOF with an unusual double-step shaped isotherm<sup>18</sup>. The MOF exhibited multi-75 ple coordination of the metals and tetraamines, resulting in cyclic stability. These 76 materials have high heat of adsorption ( $\approx 99 \text{ kJ mol}^{-1}$ ) and high CO<sub>2</sub> capacity at 77 low  $CO_2$  partial pressures, high working capacity for a small swing in temperature, 78 high thermal and oxidative stability, and high  $CO_2$  selectivity over  $N_2$ . Kim *et al.* 79 also reported that these materials show enhanced  $CO_2$  capacity in the presence of 80 water<sup>18</sup>. These materials demonstrated high oxygen, thermal, and amine stability 81 due to the multiple metal coordination  $^{18}$ . 82

Amine-appended MOFs have been explored in the literature for process applications. Hefti *et al.* investigated the use of a diamine-appended MOF in a 4-step TSA cycle to separate  $CO_2$  from a dry flue gas containing 12 mol%  $CO_2^{19}$ . Detailed and shortcut models were used to simulate the TSA processes. It was shown that the diamine-appended MOFs in the 4-step TSA cycle required 22% less energy

and yielded 98% more productivity compared to the performance of a zeolite 13X 88 employed in a 6-step TSA cycle<sup>19</sup>. The 4-step TSA cycle consisted of an external 89 heating and cooling step. It was hypothesized that small temperature swings would 90 suffice since the material showed a sharp change in the solid loading for a very small 91 change in the temperature. However, it was also reported that temperature fronts 92 in the column could reduce the solid phase  $CO_2$  capacity, and operation near the in-93 flection point should be avoided. Hence, the reduction in  $CO_2$  capacity was avoided 94 by selecting an appropriate range of temperature swings depending on the step's 95 position and temperature. The effect of adsorption and regeneration temperatures 96 on process performance was studied. It was found that the highest productivity was 97 obtained for a significant difference between the two temperatures<sup>19</sup>. 98

Pai *et al.* studied a 4-step vacuum swing adsorption (VSA) cycle for separation of CO<sub>2</sub> from a dry flue gas containing 15 mol% CO<sub>2</sub> using five different diamineappended MOFs which differed in the metal center<sup>20</sup>. It was shown that the thermal fronts generated due to ad/desorption limit the accessible working capacity of the material. Although high CO<sub>2</sub> purities could be achieved, the ability to fully exploit the significant difference in the capacities for small changes in temperatures was not possible.

In another work by Fujiki *et al.*, equilibrium and kinetic parameters of a flexible 106 MOF, ELM-11, that showed sigmoidal-shaped isotherms were studied<sup>21</sup>. The au-107 thors elucidated the relationship between the isotherm shape and the gate-opening 108 property of the MOF. The breakthrough analysis was carried out experimentally and 109 numerically modelled. It was found that the linear driving force model described the 110 kinetics reasonably. Furthermore, it was found that the difference between the par-111 tial pressure of  $CO_2$  and gate opening pressure affected mass transfer and slippage 112 of  $CO_2$ . Very low mass transfer rates could significantly impact process designs<sup>21</sup>. 113 In another work, the same authors explored the performance of ELM-11 in a 4-step 114 VPSA process to separate CO2 from a 20% flue gas stream<sup>22</sup>. The work explores the 115 effect of the sigmoidal isotherm and hysteresis on process performance. It was found 116

that process purity and productivity were higher than that of a zeolite 13X-based VPSA process. This was attributed to the high  $CO_2/N_2$  selectivity of the MO. The study found that it was difficult to achieve high  $CO_2$  recovery owing to  $CO_2$  slippage when the  $CO_2$  concentration is lower than the gate pressure.

Studies by Hughes *et al.* investigated the effect of temperature fronts in the 121 column by simulating isothermal and adiabatic scenarios for  $\rm CO_2$  capture using a 122 diamine-appended MOG<sup>23</sup>. It was found that a high spike in the temperature re-123 duced the  $CO_2$  loading. The work also indicated the need for heat removal associ-124 ated with employing diamine-appended MOFs in a TSA process. The effect of bed 125 temperatures, cooling times, and residence time of the flue gas in the column was 126 investigated. It was found that cooling the bed helped improve bed utilization and 127  $CO_2$  loading of the bed. Effective heat management reduced the cost of the TSA 128 process. 129

TSA processes suffer from low productivity as there is a need to tackle the solid's 130 thermal capacity. An effective regeneration mechanism capable of providing rapid 131 heat exchange remains challenging. Various heating schemes have been explored in 132 the literature. Clausse et al. explored the use of jacketed heating wire for regenera-133 tion<sup>24</sup>. Ntiamoah et al. reported TSA cycles employing indirect heating along with 134 hot product gas purge for regeneration $^{25}$ . However, indirect heating techniques re-135 sult in lower heat transfer rates and, hence, lower productivities than direct heating 136 methods. Multiple studies have reported the benefits of a steam-assisted regener-137 ation<sup>10,18,26</sup>. In this configuration, a condensable vapour, typically steam, is used 138 as a stripping agent. The steam comes into direct contact with the sorbent and 139 simultaneously increases the temperature and reduces the  $CO_2$  partial pressure of 140  $CO_2$ . 141

The current work focuses on evaluating the performance of Mg<sub>2</sub>(dobpdc)(tetramine) (abbreviated as Mg<sub>2</sub>MOF) in a three-step steam assisted-TSA (SA-TSA) process. The adsorption equilibrium reported in the literature is described using an empirical function. Parametric studies that aim to understand the impact of the isotherm

shape on the process performance are reported. The SA-TSA cycle is optimized to 146 maximize  $CO_2$  purity and recovery. A rigorous optimization is then reported to max-147 imize productivity and minimize the steam purge requirements. The ultimate goal 148 of this work is to examine if the expected advantages of the isotherm shape translate 149 into favourable process performance. We mainly explore an SA-TSA process as the 150 MOF has been tested for steam stability, and commercial processes, e.g., from Svante 151 Inc. that use steam regeneration, have already been scaled up for large-scale capture 152 applications<sup>16</sup>. 153

# 154 2 Modeling

## 155 2.1 Adsorption Equilibrium

 $Mg_2MOF$ , shows a unique double-stepped isotherm as seen in Fig. 1(a). The shape 156 of the isotherm was attributed to the cooperative mechanism of  $CO_2$  adsorption<sup>18</sup>. 157 From the isotherm, the  $CO_2$  capacity at a partial pressure of 0.04 bar is 1.3 mol kg<sup>-1</sup> 158 at 120 °C and as high as 3.4 mol kg<sup>-1</sup> at 90 °C. A high working capacity of > 1.2 159 mol kg<sup>-1</sup> was also observed for a small swing in temperature from 100 to 120 °C. It 160 can be seen that  $Mg_2MOF$  exhibited a high  $CO_2$  heat of adsorption of 99 kJ mol<sup>-1</sup> 161 at low loadings. Figure 1(b) represents the variation of the heat of adsorption of 162  $CO_2$  with solid loading. The presence of a step in Fig. 1(b) is reflective of the heat 163 of adsorption corresponding to the two different steps of the isotherm, i.e., from 0 to 164  $\approx 1.7 \text{ mol kg}^{-1}$  and from  $\approx 1.7$  to  $\approx 3.4 \text{ mol kg}^{-1}$ . A type I isotherm model cannot be 165 used to describe the unique isotherm shape. A weighted Langmuir isotherm model 166 has been used in the literature to describe single-stepped isotherms<sup>19,21</sup>. In this work, 167 we describe the  $CO_2$  loading using an empirical model using a functional form that 168 is similar to a recent work by Bingel and Walton $^{27}$ . 169

$$q_{\rm CO_2}^* = \arctan\frac{P}{b} + \frac{1.127}{1 + exp^{-s_1(P-m_1)}} + \frac{0.989}{1 + exp^{-1.283(P-m_2)}}$$
(1)

Here  $q_{\text{CO}_2}^*$  is the equilibrium loading in mol kg<sup>-1</sup>, P is the pressure of CO<sub>2</sub> in bar, b,  $s_1, m_1$  and  $m_2$  are fitting parameters that are described as a function of temperature T in K.

$$m_1 = 1.33 \times 10^{-13} \exp^{(0.0841T)} \tag{2}$$

$$m_2 = 1.24 \times 10^{-9} \exp^{(0.065T)}$$
 (3)

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$$s_1 = 1.74 \times 10^{11} \exp^{(-0.065T)} \tag{4}$$

$$b = 3.36 \times 10^{-10} \exp^{(0.0676T)} \tag{5}$$

Figure 1(a) shows the fitted isotherm model. It is worth emphasizing that while 176 the isotherm model used here has no specific physical basis, it offers mathematical 177 flexibility to capture the two steps in the isotherm and the temperature effect. We 178 note that the experimental data is available between 90 - 120 ° C. However, flue 179 gas temperatures are typically lower than these values, so extrapolation is required. 180 Such an extrapolation at lower temperatures is shown in Fig. 1(a). The  $CO_2$  heat of 181 adsorption ( $\Delta H$ ), in kJ mol<sup>-1</sup>) was fitted to the experimental equilibrium loading 182  $(q_{\rm CO_2}^*)$  using the following equation: 183

$$\Delta H = 99 - \frac{24}{1 + \exp^{-50(q_{\rm CO_2}^* - 1.6)}} \tag{6}$$

The comparison of the experimental  $\Delta H$  data with the fitted model is shown in Fig. 1(b).

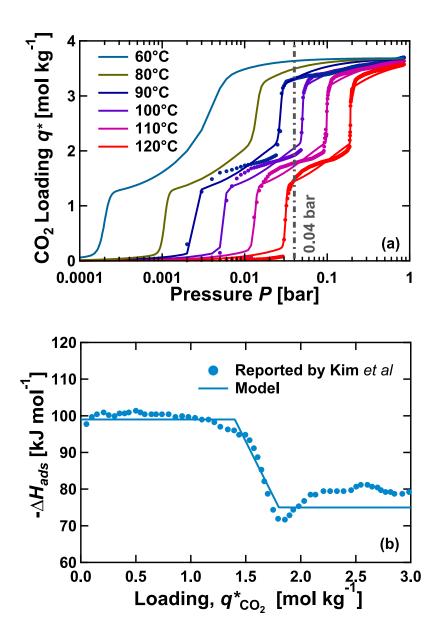
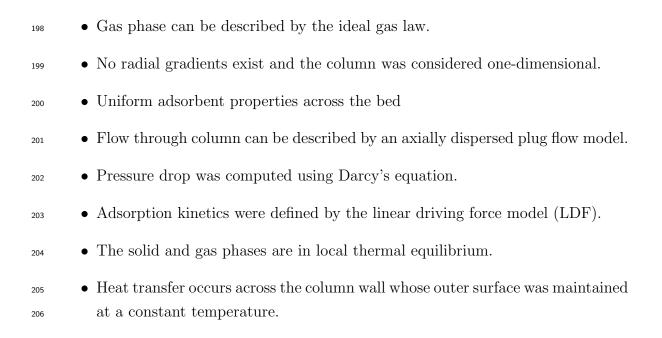


Figure 1: Adsorption equilibrium of  $CO_2$  on  $Mg_2MOF$ . (a)  $CO_2$  isotherms, (b) variation of the heat of adsorption of  $CO_2$  with solid phase loading. Symbols represent experimental data reported by Kim *et al.*<sup>18</sup>, and lines represent the fits.

The study by Kim *et al.* reported almost negligible  $N_2$  adsorption on the MOF, 186 and hence we assume  $N_2$  adsorption is negligible<sup>18</sup>. Kim *et al.* also report the break-187 through curves and isobars of CO<sub>2</sub> adsorption on Mg<sub>2</sub>MOF under humid conditions. 188 The pure water isotherms on the MOF showed a single step. It was found that the 189  $CO_2$  uptake remained stable in the presence of water. Although pure water adsorp-190 tion isotherms are provided, sufficient information on competitive  $CO_2$  isotherm data 191 under humid conditions is unavailable. Hence this work assumes no adsorption of 192 water or steam and treats them as inerts. The flue gas stream exiting the NGCC 193 plant was also assumed to be free of SOx and NOx and  $O_2$ . 194

### <sup>195</sup> 2.2 Modeling the TSA process

<sup>196</sup> In this work, a non-isothermal and non-isobaric model was developed based on the <sup>197</sup> following assumptions<sup>28</sup>:



Based on the above assumptions, the following transport equations can be written 5,28,29. The component mass balance is given by:

$$\frac{\partial y_{i}}{\partial t} = \frac{T}{P} D_{L} \frac{\partial}{\partial z} \left( \frac{P}{T} \frac{\partial y_{i}}{\partial z} \right) - \frac{T}{P} \frac{\partial}{\partial z} \left( \frac{P y_{i}}{T} v \right) - \frac{RT}{P} \frac{1 - \epsilon}{\epsilon} \frac{\partial q_{i}}{\partial t} - \frac{y_{i}}{P} \frac{\partial P}{\partial t} + \frac{y_{i}}{T} \frac{\partial T}{\partial t}$$
(7)

where y is the gas phase composition and, q is the solid loading of component i, vis the fluid phase interstitial velocity,  $\epsilon$  is bed voidage,  $D_{\rm L}$  is the axial dispersion, Pis the pressure, T is the temperature and R is the universal gas constant. The total mass balance is given by:

$$\frac{1}{P}\frac{\partial P}{\partial t} = -\frac{T}{P}\frac{\partial}{\partial z}\left(\frac{P}{T}v\right) - \frac{RT}{P}\frac{1-\epsilon}{\epsilon}\frac{\partial q_{\rm CO_2}}{\partial t} + \frac{1}{T}\frac{\partial T}{\partial t}$$
(8)

It should be noted that the second term in the RHS of Eq. 8 contains only the contribution from  $CO_2$  as other terms are considered to be inert.

The mass transfer between the solid and fluid phases was was described by the LDF model:

$$\frac{\partial q_{\rm CO_2}}{\partial t} = k(q_{\rm CO_2}^* - q_{\rm CO_2}) \tag{9}$$

<sup>217</sup> where, k is the LDF coefficient.

The column energy balances is given by:

$$\left[ \left(\frac{1-\epsilon}{\epsilon}\right) \left(\rho_{\rm s}C_{\rm p,s} + C_{\rm p,a}q_{\rm CO_2}\right) \right] \frac{\partial T}{\partial t} = \frac{K_{\rm z}}{\epsilon} \frac{\partial^2 T}{\partial z^2} - \frac{C_{\rm p,g}}{R} \frac{\partial}{\partial z} \left(vP\right) - \frac{C_{\rm p,g}}{R} \frac{\partial P}{\partial T} - \left(\frac{1-\epsilon}{\epsilon}\right) C_{\rm p,a}T \frac{\partial q_{\rm CO_2}}{\partial t} + \left(\frac{1-\epsilon}{\epsilon}\right) \left[ \left(-\Delta H_{\rm CO_2}\right) \frac{\partial q_{\rm i}}{\partial t} \right] - \frac{2h_{\rm in}}{\epsilon r_{\rm in}} \left(T - T_{\rm w}\right) \quad (10)$$

where  $\rho_{\rm s}$ , and  $C_{\rm p,s}$ , are the density and heat capacity of the solid, respectively,  $C_{\rm p,a}$ and  $C_{\rm p,g}$  are the heat capacity of the adsorbed phase and fluid phase, respectively,  $K_{\rm z}$  is the effective gas thermal conductivity,  $h_{\rm in}$  is the inside heat transfer coefficient,  $r_{\rm in}$  is the inner radius of the column, and  $T_{\rm w}$  is the wall temperature. The wall energy balance is given by

$$\rho_{\rm w}C_{\rm p,w}\frac{\partial T_{\rm w}}{\partial t} = K_{\rm w}\frac{\partial^2 T_{\rm w}}{\partial z^2} + \frac{2r_{\rm in}h_{\rm in}}{r_{\rm out}^2 - r_{\rm in}^2}(T - T_{\rm w}) - \frac{2r_{\rm out}h_{\rm out}}{r_{\rm out}^2 - r_{\rm in}^2}(T_{\rm w} - T_{\rm a})$$
(11)

where,  $\rho_{\rm w}$ , and  $C_{\rm p,w}$ , are the density and heat capacity of the wall, respectively,  $K_{\rm w}$ is the thermal conductivity of wall,  $h_{\rm out}$  is the outside heat transfer coefficient,  $r_{\rm out}$ is the outer radius of the column, and  $T_{\rm a}$  is the ambient temperature.

<sup>226</sup> Finally, the pressure drop in the system was given by Darcy's equation:

$$-\frac{\partial P}{\partial z} = \frac{150\mu(1-\epsilon)^2 v}{4\epsilon^2 r_{\rm p}^2} \tag{12}$$

where  $r_{\rm p}$  is the radius of the solid particle and  $\mu$  is the fluid viscosity. Darcy's law reasonably estimates the pressure drop for the column sizes considered in this work.

A finite volume (FV) technique, specifically, the van-Leer total variation diminishing (TVD) scheme. was used to reduce the partial differential equations (PDEs) to ordinary differential equations (ODEs). The resulting coupled ODEs were then solved using the *ode23s* solver in MATLAB until cyclic steady state was attained<sup>28</sup>.

The MOF used in this work was assumed to be binderless, i.e., the equilibrium 233 loading on the crystal is the same as that of the particle<sup>20</sup>. The specific heat capac-234 ity of the MOF was assumed to be in the range of the specific heat capacity of the 235 diamine-appended MOFs reported by Hefti *et al.*<sup>19</sup> and Hughes *et al.*<sup>23</sup>. No kinetic 236 information is available and hence, the LDF coefficient k used for the process simula-237 tions was a fixed numerical value. The particle voidage and bed voidage values were 238 fitted by comparing the experimental breakthrough reported by Kim et al., with the 239 curve obtained from the process simulation. Table 1 lists the parameters used in this 240 work. 241

### 242 2.3 Process Configuration: Cycle Design

In this work, a 3-step SA-TSA process is considered. The process schematic is shownin Fig. 2.

<sup>245</sup> The constituent steps of this cycle included an adsorption step, a counter-current

Parameter	Value	Source
Column Parameters		
Column length, $L$ [m]	1	Standard value
Inner column radius, $r_{\rm in}$ [m]	0.14	Assumed
Outer column radius, $r_{\rm out}$ [m]	0.162	Assumed
Column void fraction, $\epsilon$ [-]	0.362	Fitted using bed density
		and particle voidage
Thermal conductivity of column wall, $K_{\rm w}  [{\rm W}  {\rm m}^{-1}  {\rm K}^{-1}]$	16	Standard value for steel
Specific heat capacity of column wall, $C_{\rm p,w}$ [J kg <sup>-1</sup> K <sup>-1</sup> ]	502	Standard value for steel
Column wall density, $\rho_{\rm w}  [{\rm kg  m^{-3}}]$	7800	Standard value for steel
Particle Properties		
Particle voidage, $\epsilon_{\rm p}$ [-]	0.58	Fitted to experimental
		breakthrough curve
Particle radius, $r_{\rm p}$ [m]	$0.5  imes 10^{-3}$	Literature <sup>18</sup>
Tortuosity, $\tau$ [-]	3	Assumed
Crystal density, $\rho_c  [\text{kg m}^{-3}]$	1000	Literature <sup>18</sup>
Particle density, $\rho_{\rm s}  [{\rm kg  m^{-3}}]$	420	Calculated from particle voidage
		and crystal density
Bulk density, $\rho_{\rm b}  [\rm kg  m^{-3}]$	267	Calculated from bed voidage
		and particle density
LDF coefficient for $CO_2$ , $k$ [s <sup>-1</sup> ]	0.005	Fitted to experimental
		breakthrough curve
Specific heat capacity of gas phase, $C_{\rm p,g}~[{\rm Jkg^{-1}K^{-1}}]$	1010.6	Standard for $CO_2$
Specific heat capacity of adsorbed phase, $C_{p,a}$ [J kg <sup>-1</sup> K <sup>-1</sup> ]	1010.6	Standard for $CO_2$
Specific heat capacity of adsorbent phase, $C_{\rm p,s}$ [J kg <sup>-1</sup> K <sup>-1</sup> ]	1400	Assumed
Fluid viscosity, $\mu$ [kg m <sup>-1</sup> s <sup>-1</sup> ]	$1.81  imes 10^{-5}$	Standard for $CO_2$
Molecular diffusivity, $D_{\rm m}  [{\rm m}^2  {\rm s}^{-1}]$	$1.26\times 10^{-5}$	Calculated from
		Chapman–Enskog
Effective gas thermal conductivity, $K_z$ [W m <sup>-1</sup> K <sup>-1</sup> ]	0.4	Assumed
Operational Parameters		
Inside heat transfer coefficient, $h_{\rm in}  [{\rm W}  {\rm m}^{-2}  {\rm K}^{-1}]$	Variable	-
Outside heat transfer coefficient, $h_{\text{out}}$ [W m <sup>-2</sup> K <sup>-1</sup> ]	20000	Assumed
Universal gas constant, R [m <sup>3</sup> Pa mol <sup>-1</sup> K <sup>-1</sup> ]	8.314	Standard value
Pressure, $P_{\rm H}$ [bar]	1	Assumed
Purge temperature, $T_{pur}$ [°C]	120	Assumed
Specific heat of steam, $C_{\rm p,steam}$ [kJ kg <sup>-1</sup> ]	2378	NIST Database

 Table 1:
 Process simulation parameters

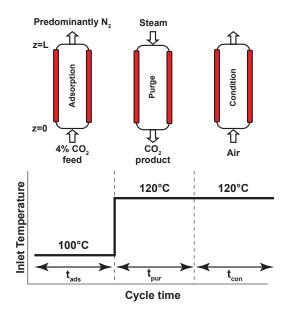


Figure 2: Process design configuration for 3-step SA-TSA.

<sup>246</sup> steam purge and a conditioning step. These are described below.

- Adsorption: In this step, the dry flue gas containing 4 mol% CO<sub>2</sub> and 96 mol% N<sub>2</sub> is introduced at the inlet, i.e., z = 0, at 1 bar and 100 °C. The light product N<sub>2</sub> is removed at column exit, i.e., z = L.
- Purge: In this step, superheated steam is introduced at z = L, i.e., in a countercurrent direction, at 1 bar and at 120 °C. Product CO<sub>2</sub> is collected along with steam, at z = 0. We assume that steam does not condense within the column. The swing between feed temperature at 100 °C and purge temperature at 120 °C aids in desorbing the CO<sub>2</sub>.
- Conditioning: In this step, hot air (modeled as  $N_2$ ) is fed at z = 0, and at 1 bar and 120 °C. This step is used to remove any water that may potentially condense when coming into contact with the flue gas stream.

 $_{258}$  Note that the cycle chosen here is similar to the one employed for CO<sub>2</sub> capture from

cement flue gas<sup>16</sup>. The key performance indicators such as CO<sub>2</sub> purity (Pu), recovery (Re), steam ratio (SR) (a proxy for energy consumption) and productivity (Pr) are defined as follows:

Purity, 
$$Pu \, [\%] = \frac{\text{Total moles of CO}_2 \text{ in the product}}{\text{Total moles of CO}_2 \text{ and N}_2 \text{ in the product}} \times 100\%$$
 (13)

Recovery, 
$$Re \, [\%] = \frac{\text{Total moles of CO}_2 \text{ in the product}}{\text{Total moles of CO}_2 \text{ fed}} \times 100\%$$
 (14)

Productivity, 
$$Pr [mol_{CO_2} m_{adsorbent}^{-3} s^{-1}] = \frac{\text{Total moles of CO}_2 \text{ in the product}}{(\text{Total volume of adsorbent})(\text{Cycle time})}$$
  
SteamRatio,  $SR [kg_{steam} kg_{CO_2}^{-1}] = \frac{\text{Total mass of steam supplied to purge step}}{\text{Total mass of CO}_2 \text{ recovered}}$ 
(16)

Purity in Eq. 13 is defined on a dry basis as water is assumed to be knocked 264 out before product compression and cooling for transportation. The cycle time in 265 the denominator of Eq. 15 represents the sum of the duration of the constituent 266 steps. The mass of steam supplied to the purge step, as seen in Eq. 16, depends on 267 the time of the purge step, the velocity of steam in the purge step, and the steam 268 temperature. In this work, the steam temperature is kept at 120 °C to ensure no 269 condensation within the column. The density of steam at 1 bar and 120 °C is used 270 to compute the mass flowrate from the given volumetric flow rate. 271

### 272 2.4 Cycle Optimization

Cycle optimization was performed to identify operating conditions that result in the best possible process performance. The decision variables include adsorption time  $(t_{ads})$ , purge time  $(t_{pur})$ , conditioning time  $(t_{con})$ , and the feed velocity in each of the constituent steps, namely,  $(v_{ads}, v_{pur} \text{ and } v_{con})$ . The ranges for the decision variables are provided in Table 2. The optimizations were carried out in two steps: The first involved an unconstrained optimization of maximizing purity and recovery. The

second step was constrained optimization to minimize the steam ratio and maximize 279 productivity. A constraint of 95% purity and 90% recovery was imposed based on 280 the U.S. Department of Energy (US-DOE) requirements. The optimization problems 281 were solved using a non-dominated sorting algorithm (NSGA-II) technique<sup>30</sup>. The 282 advantage of optimizing the cycle using NSGA-II was its ability to escape the local 283 minima and ease of parallelization. The GA was run on MATLAB for 15 generations 284 with a population size of 96. All computations reported were carried out on a desktop 285 workstation with two 12-core Intel Xeon 2.5 GHz processors and 128 GB RAM and 286 at Canada's Digital Research Alliance supercomputing facilities. 287

288

 Table 2:
 Range of decision variables for process optimization studies

Decision Variable	Range
$t_{\rm ads}$ [s]	300 - 5000
$t_{ m pur}$ [s]	300 - 8000
$t_{\rm con}$ [s]	300 - 2000
$v_{\rm ads} \ [{\rm m \ s^{-1}}]$	0.6 - 1
$v_{\rm pur} \ [{\rm m \ s^{-1}}]$	0.6 - 1
$v_{\rm con} \ [{\rm m \ s^{-1}}]$	0.6 - 1

# 289 3 Results and Discussion

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## <sup>290</sup> 3.1 Model Validation and Breakthrough Analysis

The experimental breakthrough data reported by Kim *et al.*<sup>18</sup> was used to validate the simulations of the fixed bed model. Figure 3 depicts breakthrough curves obtained from the experiments. The experimental conditions are provided in the caption. The model equations described in the previous section were solved under isothermal conditions. The isothermal conditions were reasonable, considering the

small size of the column. One can observe that the breakthrough curve consists of 296 a shock wave-dispersed wave-shock wave transition. In other words, we observe a 297 shock front at very low bed volumes, then a plateau followed by a second shock front. 298 This differs from a simple shock wave transition of a type 1 isotherm (Langmuirian 299  $(type)^{31}$ . The unique breakthrough transition could be attributed to the isotherm 300 shape. From Fig. 3, it can be seen that the breakthrough curve obtained by sim-301 ulation captured the transitions reasonably well. However, a disparity between the 302 experimental data and the simulated model is observed. The original paper did not 303 provide any details about possible dead volumes in the system that can delay the ex-304 perimental breakthrough curves. Nevertheless, the qualitative and near-quantitative 305 match is considered a reasonable validation of the simulation model. The exper-306 imental breakthrough data were used to estimate the kinetic parameters for the 307 adsorption of  $CO_2$  on the Mg<sub>2</sub>MOF. The LDF coefficient  $k_{CO_2}$  was varied within the 308 simulation to match the shape of the breakthrough curve, leading to an estimate of 309  $k_{\rm CO_2} = 0.005 {\rm s}^{-1}.$ 310

The unique shape of the adsorption isotherm and the associated heat of adsorp-311 tion is expected to generate strong thermal fronts that will travel across the column 312 along with the mass fronts. To understand the interplay, we study the effect of 313 the thermal nature of the column. We consider the inside heat transfer coefficient 314  $h_{\rm i}$  to be a convenient parameter that can allow a variety of heat transfer scenar-315 ios. Three cases are considered: an isothermal condition represented by  $h_i = 20000$ 316  $W m^{-2} K^{-1}$ ; an adiabatic condition, using  $h_i = 0 W m^{-2} K^{-1}$  and an intermediate 317 case, called "non-isothermal" with  $h_i = 10 \text{ Wm}^{-2} \text{ K}^{-1}$ . For the adsorption case 318 study, the column is assumed to be filled with an inert gas at 1 bar pressure and 319 100°C. At the time t = 0, a feed gas consisting of 4 mol% CO<sub>2</sub> and the remaining 320 inert gas is introduced at 100 °C. For desorption simulations, the column is assumed 321 to be filled with 4 mol% CO<sub>2</sub> (and rest inert) at 100 °C, and at time t = 0, an inert 322 at 100 °C is introduced to desorb the  $CO_2$ . The composition and temperature are 323 calculated from the equations provided in the previous sections. 324

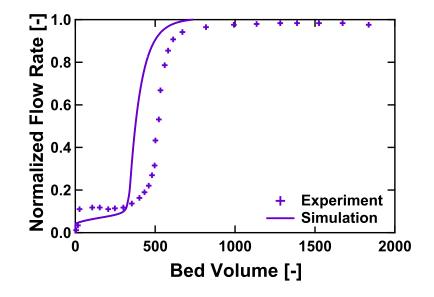


Figure 3: Pure component CO<sub>2</sub> breakthrough curves obtained from experiment (symbols) and simulation (line). The abscissa represents bed volume defined as  $\frac{Qt}{V}$ , where Q is the inlet volumetric flow rate, t is the time and V is the column volume. The ordinate represents normalized flow rate which is the ratio of flow rates of CO<sub>2</sub> at outlet to inlet of the column or  $\frac{y_{CO_2,out}Q_{out}}{y_{CO_2,in}Q_{in}}$ . The analysis was performed isothermally at a feed temperature of 100 °C, and flow rate of inlet gas,  $Q_{in} = 30$  sccm as reported by Kim *et al.*<sup>18</sup>

Figure 4 shows the breakthrough curves for the three different thermal condi-325 tions. Figures 4(a) and 4(b) depict the composition and temperature breakthrough 326 curves during adsorption, respectively. The transitions could be explained by the 327 local equilibrium theory  $^{31-33}$ . According to the theory, moving from the initial state 328  $(CO_2 \text{ partial pressure}=0)$  to the feed state  $(CO_2 \text{ partial pressure}=0.04 \text{ bar})$  results in 329 three transitions. The adsorption starts with an anti-Langmuirian portion and pro-330 gresses to an inflection point, after which it displays Langmuirian-type behaviour. 331 The wave propagation between these three regions gives rise to the corresponding, 332 complex shock-wave-shock transition. The desorption breakthrough under isother-333 mal conditions (Fig. 4(c)), can also be similarly explained<sup>33</sup>. 334

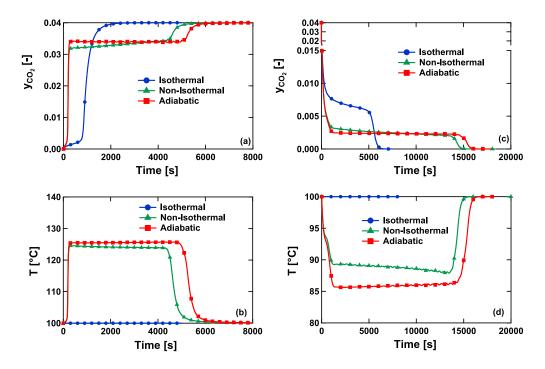


Figure 4: Effect of heat transfer rates on  $CO_2$  breakthrough. (a)  $CO_2$  breakthrough during adsorption, (b) Temperature breakthrough during adsorption, (c)  $CO_2$  breakthrough during desorption, (d) Temperature breakthrough during desorption. Operating conditions: feed temperature = 100 °C, Total pressure = 1 bar, Inlet gas velocity = 1 m s<sup>-1</sup>. Other column characteristics are given in Table 1.

Under adiabatic and non-isothermal modes, the adsorption and desorption break-335 through curves are markedly different from the isothermal case. From Fig. 4(a) it 336 can be seen that  $CO_2$  breaks through much earlier than the isothermal case. The 337 second discontinuity under the isothermal case occurs at 1000 s compared to the 338 non-isothermal case, where it happens at 4500 s, while for the adiabatic case, it is 339 at 5500 s. The difference between the breakthrough curves can be rationalized by 340 observing the thermal fronts that are generated (c.f. Fig. 4(b)). Under isothermal 341 conditions, the temperature in the column remains constant, i.e., the heat transfer 342 rate is instantaneous. However, in the adiabatic case, the heat is not dissipated to 343 the surroundings. The heat released due to adsorption, thus gets trapped within the 344

column until the temperature front exits the column. This can be seen in Fig. 4(b) as a sharp surge in the temperature from 100 °C to 125 °C. The surge in temperature results in a sharp reduction in CO<sub>2</sub> loading as seen from Fig. 1(a). Due to a reduction in the bed's capacity, the velocity of the concentration wave fronts increases, thereby resulting in an early breakthrough of CO<sub>2</sub> at 3.5 mol% CO<sub>2</sub>. The capacity is restored as the thermal wave passes through the column, and the final breakthrough occurs.

From Fig. 4(c), it can be observed that it takes the shortest time to completely re-351 generate the bed in an isothermal case compared to the adiabatic and non-isothermal 352 modes of operation. It takes approximately 5000 s and 20000 s to completely clean 353 the bed in isothermal and adiabatic modes of operation, respectively. During desorp-354 tion, as seen in Fig. 4(d), there is a drop in temperature to 85 °C. This is attributed 355 to the high heat of adsorption that cools the bed. This drop in temperature results 356 in an increase in  $CO_2$  loading from the isotherm as in Fig. 1(a). The concentra-357 tion wave moves slower, thus resulting in a long time to clean the bed. Under the 358 non-isothermal scenario, the heat transfer rate is higher than that of the adiabatic 359 scenario but lower than the isothermal case; thus, the discontinuity is observed at a 360 time in between the two other cases. Since flue gas from NGCC plants consists of 4 -361 8 mol% of  $\text{CO}_2{}^3$ , breakthrough curves were analyzed for feed conditions consisting of 362 5 mol% and 8 mol% CO<sub>2</sub> under isothermal, non-isothermal and adiabatic modes of 363 operation. It is worth noting that the feed conditions at 5 mol% rest on the second 364 inflection point of the isotherm, and the 8 mol% rests on the second step. The results 365 of these studies are provided in the supplementary information. Figures S1(a) and 366 S1(b) indicate the adsorption and desorption breakthrough curves for 5 mol%  $CO_2$ 367 and Fig. S1(c) and S1(d) indicate the adsorption and desorption breakthrough curve 368 for 8 mol% CO<sub>2</sub>. Although the change in feed composition is small from 4 mol% to 369 5 mol%, the breakthrough transitions in the adiabatic and non-isothermal modes of 370 operation (as seen in Fig. S1(b)) during desorption is different for 5 mol% as com-371 pared to that of 4 mol%. This is because the 5 mol% feed lies on the second inflection 372 region of the isotherm. However, the desorption times for the adiabatic modes (and 373 non-isothermal) of operation in Fig. 4(c) and Fig. S1(b) are similar. From Figs. S1(c)374

and (d), it can be observed that the breakthrough transitions change according to the location of the feed step, in this case, the second step of the isotherm. Thus, it can be concluded that the position of the feed composition on the isotherm and heat transfer coefficients impact the breakthrough transitions.

#### <sup>379</sup> **3.2** Effect of feed temperature on breakthrough

From the previous section, it is clear that temperature fronts play an important role 380 in the adsorption and desorption dynamics in the column. The flue gas temperatures 381 from NGCC plants are typically in the range of 60 - 110  $^{\circ}C^{3}$ . Hence, exploring the 382 effect of the feed temperature on the column dynamics is pertinent. The impact 383 of feed temperature on the  $CO_2$  adsorption breakthrough and  $CO_2$  regeneration is 384 described in Fig. 5. This study was conducted for isothermal and adiabatic modes of 385 operation. In each of these cases, the column is assumed to be filled with an inert at 386 the temperature of interest, and 4 mol% of CO<sub>2</sub> enters at the same temperature for 387 the adsorption study. For desorption runs, the column is originally saturated with 388  $4 \text{ mol}\% \text{ CO}_2$  at the temperature of interest and purged with an inert at the same 389 temperature. 390

From Fig. 5, it is evident that the change in feed temperature influences the 391 shape and elution time of the breakthrough curve. As feed temperature increases, 392 one would expect the  $CO_2$  capacity to decrease, decreasing adsorptive breakthrough 393 time. In the isothermal mode of operation, as seen in Fig. 5(a), it can be observed 394 that the breakthrough time is the lowest for a feed temperature of 100 °C. The 395 breakthrough time for a feed temperature of 60 °C and 80 °C is comparable. For a 396 feed temperature of 60 °C and 80 °C, the position of the feed rests on the second 397 step of the isotherm, as seen in Fig. 1(a). At this point, the  $CO_2$  capacities are 398 similar. This implies that the concentration fronts move with approximately the 399 same velocity. For a feed temperature of 100 °C, the feed is located on the first 400 step of the isotherm, as seen in Fig. 1(a), indicating lower capacity than that at 60 401

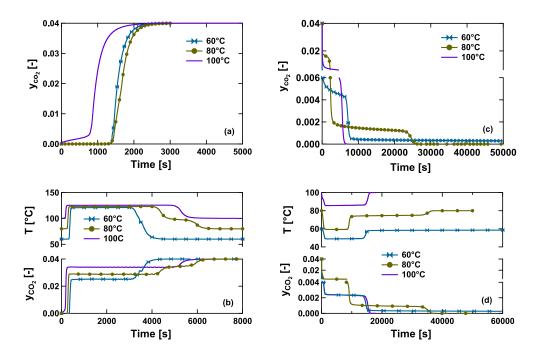


Figure 5: Adsorption and desorption breakthrough curves at different feed temperatures and different modes of operation. Sub-figures (a) and (b) show the adsorption breakthrough curve with varying feed temperatures under an isothermal and adiabatic mode of operation, respectively. Sub-figures (c) and (d) show the corresponding results during desorption. Sub-figures (b) and (d) consist of two panels each, the bottom indicating composition curves at the exit of the column and the top indicating corresponding temperature history. These studies were performed at a  $CO_2$  feed composition of 4 mol%, 1 bar pressure, velocity of inlet gas = 1 m s<sup>-1</sup>, and rest of the column characteristics are given in Table 1.

<sup>402</sup> °C and 80 °C. This results in faster-moving concentration fronts and, thus, shorter <sup>403</sup> breakthrough time. During desorption, as shown Fig. 5(c), it can be seen that the <sup>404</sup> time required to completely regenerate the bed decreases with an increase in feed <sup>405</sup> temperature. It takes the longest time to purge the column with a feed temperature <sup>406</sup> of 60 °C, followed by 80 °C and 100 °C, respectively. Although the feed rests on the <sup>407</sup> second step for a feed temperature of 60 °C and 80 °C, the wave velocities of the concentration fronts during desorption are different. At a feed temperature of 60 °C,
the concentration wavefront moves the slowest resulting in longer times to purge the
bed than at 80 °C. For a feed temperature of 100 °C, the concentration wavefronts
move faster, resulting in reduced time to regenerate the bed.

In the adiabatic scenario, as shown in Fig. 5(b), it can be seen that the adsorption 412 breakthrough time reduces with an increase in feed temperature. This is because the 413 thermal fronts break through fastest at a feed temperature of 60 °C, followed by 80 414  $^{\circ}$ C and 100  $^{\circ}$ C, respectively. This implies that the CO<sub>2</sub> capacity is highest for a feed 415 temperature of 60 °C and lowest for that at 100 °C. Thus, the amount of  $CO_2$  that 416 escapes the column due to the propagation of thermal wavefronts is the lowest for 60 417 °C and highest for that at 100 °C. This implies that the time required to completely 418 clean the bed is the longest at 60 °C. This effect can be seen in Fig. 5(d). It can also 419 be seen that the low capacity for a feed temperature of 100 °C results in the least 420 time to regenerate the bed. 421

From the above plots and analysis, it can be summarized that feed temperature significantly impacts column dynamics. Adjusting the feed temperature could help alter the bed's capacity and thus inherently alter the time required to regenerate the bed. By altering the time required to clean the bed completely, one can select appropriate purge times in a process cycle that may help maximize the process performance.

# 428 3.3 Effect of adsorbent heat capacity $(C_{p,s})$ on breakthrough

The original paper from Kim *et al.* does not provide any information about the heat capacity of the MOF. Hence, in this work, the heat capacity is assumed to be around 1400 J kg<sup>-1</sup> K<sup>-1</sup> similar to that of a diamine appended MOF as reported by Hefti *et al.*<sup>19</sup>. Since the previous simulations demonstrate the impact of thermal fronts, it is necessary to understand the impact of the solid heat capacity,  $C_{p,s}$  on

the wave propagation. The wave velocity of the temperature front is a function of 434 heat capacity of the solid<sup>5,6,31,32</sup>. Recently Moosavi *et al.* used atomistic simulations 435 to estimate the range of heat capacities that MOFs exhibit<sup>34</sup>. It was shown that 436 MOFs indicate a wide range of specific heat capacities from 400 - 1300  $\rm J\,kg^{-1}\,K^{-1}$ 437 for different crystal densities  $^{34}.$  Since the assumed value of  $C_{\rm p,s}$  of 1400  $\rm J~kg^{-1}~K^{-1}$ 438 is close to the upper band of the values reported by Moosavi et al., the adsorption 439 breakthrough curves and desorption profiles are investigated for lower heat capacity 440 values. 441

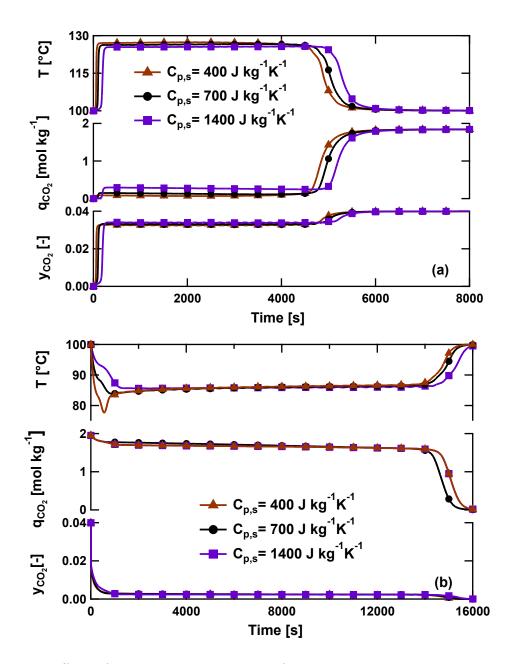


Figure 6: Effect of varying heat capacity of adsorbent on column dynamics. (a) adsorption breakthrough curves, (b) desorption curves. These studies were performed at a CO<sub>2</sub> composition of 4 mol%, velocity of inlet gas = 1 m s<sup>-1</sup>, 1 bar pressure, feed temperature of 100 °C and other column properties are given in Table 1.

A case study was performed by using  $C_{p,s}$  values of 400 and 700 J kg<sup>-1</sup> K<sup>-1</sup> 442 and the results are shown in Fig. 6. Two important observations can be made from 443 Fig. 6(a) and Fig. 6(b). Firstly,  $C_{p,s}$  affects the velocity of the thermal fronts. From 444 the figures, it is clear that the thermal front associated with a lower  $C_{p,s}$  value travels 445 faster than that with a higher  $C_{p,s}$  value. Secondly, there is almost no change in the 446 adsorption and desorption dynamics for a large change in  $C_{p,s}$  value. Let us consider 447 the effect of  $C_{p,s}$  on the adsorption dynamics as seen in Fig. 6(a). For a change 448 in  $C_{\rm p,s}$  from 1400 to 400 J kg<sup>-1</sup> K<sup>-1</sup>, i.e., a 3.5× reduction, one would approximately 449 expect a  $\Delta T$  ratio of 3.5. However, from Fig. 6(a), it can be seen that for a change in 450  $C_{\rm p,s}$  from 1400 to 400 J kg<sup>-1</sup> K<sup>-1</sup>, a  $\Delta T$  of 5.5 °C and 7 °C is observed respectively, 451 i.e, a  $\Delta T$  ratio of 1.27 is observed. This ratio is lower than the expected value. 452 Such an observation can be understood by realizing that the change is temperature 453 is also related to the change in solid phase loading. In typical Langmuirian systems, 454 depending on the heat of adsorption of  $CO_2$ , there is a moderate change in loading q, 455 with temperature T. In the current case, a small variation in temperature, especially 456 around inflection points, has a substantial effect on the loading, as seen in the middle 457 panel of Fig. 6(a). This reduces the effective heat that is released. Thus, varying 458 the  $C_{p,s}$  by a factor of 10 does not impact the performance. Similarly, the effect 459 of  $C_{p,s}$  and temperature on loading can be explained during desorption as seen in 460 Fig. 6(b). From this study, it can be summarized that while altering  $C_{p,s}$  impacts the 461 movement of temperature fronts, the impact on the breakthrough dynamics seems 462 minimal, at least in the region we have studied. Since changes in  $C_{p,s}$  values do not 463 alter the adsorption/desorption dynamics significantly, variation of  $C_{p,s}$  will not be 464 investigated further in this work. 465

Other material properties, such as the density of the adsorbent, are expected to alter adsorption and desorption dynamics in the column. The effect of solid density,  $\rho_{\rm s}$  on the column dynamics, under isothermal and adiabatic modes of operation, is presented in Fig. S2. The  $\rho_{\rm s}$  of Mg<sub>2</sub>MOF is reported as 420 kg m<sup>-3 18</sup>. Additionally, density values of  $\rho_{\rm s} = 210$  and 840 kg m<sup>-3</sup> are considered for this study. During adsorption under both modes of operation, as shown in Fig. S2(a) and Fig. S2(c),

it can be seen that a higher  $\rho_s$ , results in delayed CO<sub>2</sub> breakthroughs. This is 472 because, at higher  $\rho_s$  values, more amount of adsorbent can be packed into the 473 column, thereby increasing the  $CO_2$  capacity and hence delayed breakthrough times. 474 Similarly, during desorption, as shown in Fig. S2(b) and Fig. S2(d), higher  $\rho_s$  values 475 results in longer times to completely clean the bed. This is also attributed to the 476 increased  $CO_2$  capacity, affecting the time required to regenerate the bed. These 477 studies indicate that material properties of the  $Mg_2MOF$  are pivotal to evaluate the 478 material's breakthrough dynamics and its process performance. 479

#### 480 3.4 Process Simulation: Parametric studies

In the previous section, we have seen the impact of key operating conditions and 481 material properties on breakthrough transitions. We now turn our attention toward 482 understanding process dynamics. The goal here is to study the impact of the op-483 erating conditions on the performance indicators, namely purity and recovery. The 484 process simulation was carried out for  $4 \mod \% CO_2$  feed composition and restricted 485 to isothermal and adiabatic modes of operation, as these are more illustrative. The 486 operating conditions are shown in the caption of Fig. 7. Fixed adsorption and des-487 orption times were used to simplify the comparison. The cycle shown in Fig. 2 was 488 simulated until the system reached a cyclic steady state. The isothermal mode of op-489 eration results in a Re = 83%, and Pu = 97%, while the corresponding values for the 490 adiabatic mode of operation are Re = 21% and Pu = 90%, respectively. Although 491 there is only a small difference in the purity values, there is a notable difference in 492 the recovery. This difference can be explained using the profiles shown Figs. 7(a)493 and 7(b). While interpreting the profiles, it is important to note that the gas inlet 494 for the adsorption and conditioning steps is at z/L = 0, while for the purge step, it 495 is at z/L = 1. Under the isothermal case, no active temperature front propagates 496 through the column. Hence, more than 80% of the bed is loaded with  $CO_2$  as seen 497 in the adsorption loading profile of Fig. 7(a). The corresponding gas phase profile 498 also indicates that most of the column was saturated with  $CO_2$ . From the loading 499

<sup>500</sup> profiles at the end of the purge step, as shown in Fig. 7(a), it is clear that more <sup>501</sup> than 80% of the bed is regenerated. This can also be visualized in the gas phase <sup>502</sup> profiles, which indicate the low composition of  $CO_2$  in the column. Also, there is less <sup>503</sup> significant adsorption/desorption in the conditioning step. Since the bed's capacity <sup>504</sup> is preserved with most of the  $CO_2$  being recovered, a high recovery is obtained from <sup>505</sup> the isothermal mode of operation.

Under the adiabatic mode of operation, as illustrated in Fig. 7(b), the retention 506 of heat within the column and the high heat of adsorption establishes a thermal front 507 across the column. The rise in temperature from 100 °C to 130 °C reduces the  $CO_2$ 508 capacity. The bed's capacity drops as soon as the temperature surge is encountered, 509 thus resulting in a small portion of the bed being utilized in the adsorption step. 510 Since the bed capacity is lowered, much of the  $CO_2$  fed exits the column along with 511 the  $N_2$ . The gas phase profiles of the adsorption step in Fig. 7(b) indicate that  $CO_2$ 512 is lost from the column outlet during the adsorption step. The driving force for 513 desorption in the adiabatic case is a combination of concentration and temperature 514 swings. From the loading profiles of the purge step of Fig. 7(b), the lower recovery 515 of  $CO_2$  is attributed to the loss of  $CO_2$  in the adsorption step (due to the reduced 516 capacity of the bed). It must be noted high purities are achievable for the conditions 517 studied, irrespective of the mode of operation. Due to the high selectivity of  $CO_2$ 518 over  $N_2$ , less amount of  $N_2$  is collected in the product, and hence the product purity is 519 high. From the sample cycle simulation, it is worth mentioning that the active heat 520 fronts reduce  $CO_2$  capacity, decreasing the bed utilized, thus resulting in reduced 521 recovery. 522

The same sample cycle simulation was repeated for three different feed temperatures to understand the effect of changing feed temperature on process dynamics. Table 3 summarises the key performance indicators achieved with different feed temperatures. It shows that high purities are achievable for a specific process condition irrespective of feed temperature. However, the impact of feed temperature on recovery is different for the isothermal case compared to the adiabatic case. In the

- $_{\rm 529}$   $\,$  isothermal operation, the recovery increases with feed temperature and then drops.
- $_{\tt 530}$   $\,$  On the contrary, the recovery consistently drops with increasing temperature for the
- <sup>531</sup> adiabatic case.

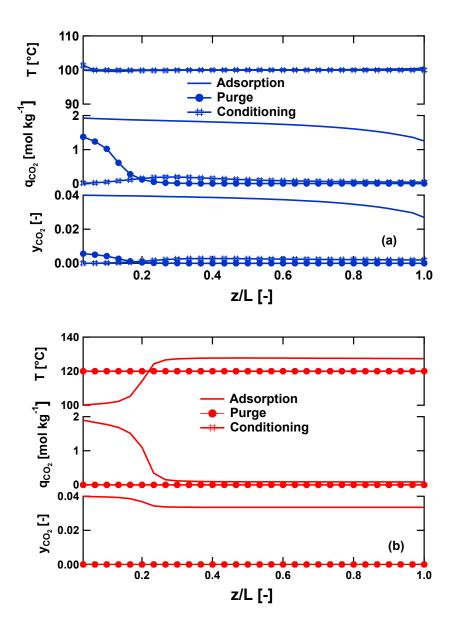


Figure 7: Results of three-step s-TSA process. Gas phase composition, solid phase loading and temperature profiles for (a) isothermal mode of operation, and (b) adiabatic mode of operation. The profiles are shown at the end of each step after the process has reached CSS. The simulation was performed for  $t_{ads} = 1000$  s,  $t_{pur} =$ 5000 s,  $t_{con} = 1000$  s,  $v_{ads} = 1 \text{ m s}^{-1}$ ,  $v_{pur} = 1 \text{ m s}^{-1}$ ,  $v_{con} = 1 \text{ m s}^{-1}$  with adsorption proceeding at 100 °C and steam purge and conditioning 100 °C for isothermal mode and 120 °C for adiabatic mode. 30

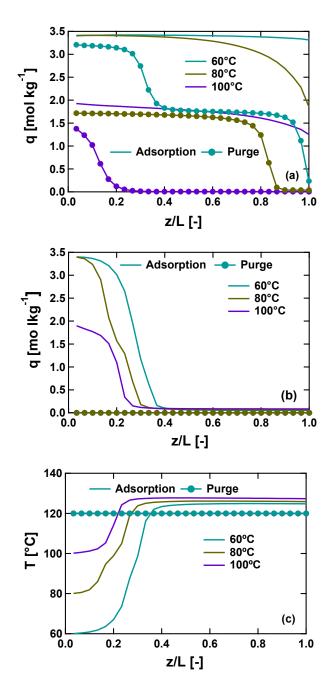


Figure 8: Solid phase loading and temperature profiles for isothermal and adiabatic modes of operation with varying feed temperature. (a) CO<sub>2</sub> loading profile under the isothermal scenario, (b) and (c) CO<sub>2</sub> loading and temperature profiles under the adiabatic scenario. The profiles are shown at the end of each step after the process has reached CSS. In all figures, solid lines represent profiles in the adsorption step, and circular markers indicate the profiles in the purge step. These profiles correspond to  $t_{ads} = 1000$  s,  $t_{pur} = 5000$  s,  $t_{con} = 1000$  s,  $v_{ads} = 1$  m s<sup>-1</sup>,  $v_{pur} = 1$  m s<sup>-1</sup>,  $v_{con} =$ 1 m s<sup>-1</sup>. Performance indicators for these conditions are provided in Table 3.

Mode	$T_{\rm feed}$	Pu	Re	SR	Pr
	$[^{\circ}C]$	[%]	[%]	$[\rm kg_{steam} \ kg_{\rm CO_2}^{-1}]$	$[\rm{mol}_{\rm{CO}_2}\rm{m}_{\rm{adsorbent}}^{-3}\rm{s}^{-1}]$
Isothermal	60	99	62	83	0.078
	80	99	91	56	0.1
	100	97	83	58	0.094
Adiabatic	60	95	42	100	0.055
	80	94	33	136	0.04
	100	90	22	224	0.024

Table 3: Process performance indicators for varying feed temperature  $(T_{\text{feed}})$  and modes of operation. The process conditions are  $t_{\text{ads}} = 1000$  s,  $t_{\text{pur}} = 5000$  s,  $t_{\text{con}} = 1000$  s,  $v_{\text{ads}} = 1 \text{ m s}^{-1}$ ,  $v_{\text{pur}} = 1 \text{ m s}^{-1}$ ,  $v_{\text{con}} = 1 \text{ m s}^{-1}$ .

In the isothermal mode of operation, as in Fig. 8(a), the front nearly breaks 533 through the column in the adsorption step for all feed temperatures. It must be 534 noted that the  $CO_2$  loading corresponds to the second step in the isotherm in the 535 case of 60 °C and 80 °C, while it corresponds to the first step in the case of 100 °C 536 as explained previously. In the purge step, the bed is not fully regenerated for the 537 duration chosen. Considering the progression of the front in the adsorption step, it 538 can be seen that the front has broken through in the case of 60 °C leading to recovery 539 loss. This effect is mitigated in the case of 80 °C; hence, the recovery is improved. 540 For the case of 100 °C, the feed is located on the first step of the isotherm, and 541 this causes a loss of  $CO_2$  in the adsorption step and recovery decreases. From this 542 study, it can be seen that altering feed temperature impacts process dynamics and 543 performance indicators. 544

In the adiabatic scenario, an increasing feed temperature results in a lower recovery. These trends can be understood by considering the loading, and the corresponding temperature profiles shown in Fig. 8(b) and Fig. 8(c), respectively. From Fig. 8(b), it is clear that most amount of CO<sub>2</sub> is trapped at a feed temperature of 60 <sup>549</sup> °C followed by 80 °C and 100 °C. The purge step ensures that all the trapped  $CO_2$ <sup>550</sup> is removed from the column. The corresponding temperature profiles are shown in <sup>551</sup> Fig. 8c). The surge in temperature due to high heat of adsorption of  $CO_2$  and the <sup>552</sup> movement of these heat fronts influence the  $CO_2$  capacity of the bed. Therefore, it <sup>553</sup> can be inferred that higher  $CO_2$  capacity results in higher bed utilization, and thus, <sup>554</sup> more  $CO_2$  is extracted at the end of regeneration. Hence the  $CO_2$  recovery decreases <sup>555</sup> as temperature increases.

Thus, from these parametric studies, it can be concluded that heat fronts hamper the bed's capacity, thus reducing  $CO_2$  recovery. High purities can be accomplished in all cases owing to the practically infinite  $CO_2/N_2$  selectivity, but achieving high recovery seems challenging, particularly under the adiabatic mode of operations. To explore if the process performance can be improved, we proceed to thorough process optimization.

### 562 3.5 Process Optimization

A process optimization strategy was employed to explore a wider set of conditions. As mentioned earlier, the optimization was carried out in two steps. In the first study, purity and recovery were maximized simultaneously, i.e., the objective functions were:

$$\min J_1 = \frac{1}{Pu_{\rm CO_2}} \tag{17}$$

566

$$\min J_2 = \frac{1}{Re_{\rm CO_2}} \tag{18}$$

In the second study, the minimization of the steam ratio and the maximization of productivity, subject to purity and recovery constraints, was considered. The objective functions here were formulated as follows:

$$\min J_3 = SR + \lambda_1 \max[0, Pu_{\text{target}} - Pu_{\text{CO}_2}]^2 + \lambda_2 \max[0, Re_{\text{target}} - Re_{\text{CO}_2}]^2 \quad (19)$$

$$\max J_4 = \frac{1}{Pr} + \lambda_1 max [0, Pu_{\text{target}} - Pu_{\text{CO}_2}]^2 + \lambda_2 max [0, Re_{\text{target}} - Re_{\text{CO}_2}]^2 \quad (20)$$

where  $\lambda_1$  and  $\lambda_2$  are penalty factors,  $Pu_{\text{target}}$  and  $Re_{\text{target}}$  are the targets specified that need to be achieved. In our case  $Pu_{\text{target}} \ge 95$  and  $Re_{\text{target}} \ge 90$ .

570

For the optimization problem, six operating parameters  $t_{ads}$ ,  $t_{pur}$ ,  $t_{ads}$ ,  $v_{ads}$ ,  $v_{pur}$ and  $v_{con}$  were considered as decision variables. The range of the decision variables is provided in Table 2 and the results are shown in Fig. 9. The Pareto curves represent the best possible trade-off of purity-recovery in Fig. 9(a) and steam ratioproductivity in Fig. 9(b). It must be noted that each point on the Pareto curve is a unique combination of decision variables that yield the best possible trade-off between the opposing objectives.

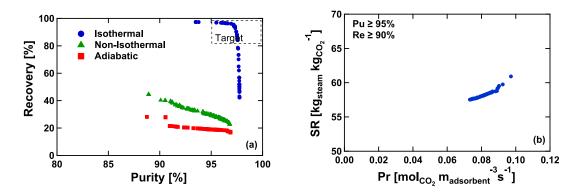


Figure 9: Pareto curves from process optimization for a feed temperature of 100 °C. (a) Pareto curve obtained from multi-objective optimization to simultaneously maximize CO<sub>2</sub> purity and recovery for the three modes of operation and (b) Pareto curve obtained from the minimization of steam ratio and maximization of productivity for isothermal mode of operation under the constraints Pu  $\geq 95\%$  and Re  $\geq 90\%$ .

From Fig. 9(a), it can be seen that running the cycles isothermally yields a high

 $CO_2$  purity ( $\geq 95\%$ ) and high recovery ( $\geq 90\%$ ). Although a high  $CO_2$  purity of 581 >95% was obtained under non-isothermal and adiabatic modes of operation, the 582 recovery values were very low. A maximum recovery of 40% and 25% was obtained 583 in non-isothermal and adiabatic modes of operation, respectively, with high pu-584 rity  $\geq 95\%$ . Higher recoveries may be achieved for a different decision variable space. 585 The low recoveries in non-isothermal and adiabatic cases were related to active tem-586 perature fronts and heat propagation in the system. High recoveries in the isothermal 587 case were due to the absence of thermal effects. 588

The steam consumption - productivity optimization results are shown in Fig. 9(b). 589 Since the non-isothermal and adiabatic cases did not meet the purity-recovery con-590 straints, their steam ratio-productivity Pareto curves were not computed. Under 591 the isothermal mode of operation, it can be seen that maximum productivity of 0.1 592  $mol_{CO_2} m_{adsorbent}^{-3} s^{-1}$  and lowest possible steam ratio of  $\approx 56 \ kg_{steam} \ kg_{CO_2}^{-1}$  is ob-593 tained. In this work, a high steam ratio and low productivity are attributed to the 594 long purge times required by the system. High steam ratios are also attributed to 595 the cycle design. Although the isothermal mode of operation is the most beneficial 596 method to run the 3-step SA-TSA process cycle with a  $Mg_2MOF$ , it appears it might 597 still be a disadvantage owing to the long purge times, high steam ratios and low pro-598 ductivities. It is to be noted that the steam consumption, in this case, should be read 599 while noting that the product  $CO_2$  is obtained as a mixture of  $CO_2$  and superheated 600 steam. Potentially this steam can be condensed, its heat recovered, and the  $CO_2$ 601 concentrated. Hence a direct comparison with other capture techniques should be 602 made with caution. 603

The decision variables are mapped to the corresponding performance indices at optimum conditions in Fig. S3 of the supporting information. From Fig. S3(a), it can be inferred that long adsorption times are needed for high purities for all modes of operation. Long adsorption time ensures eluting most of the  $CO_2$  that may be present in the void spaces, thereby improving purity (as seen from the recovery plots). However, long adsorption times reduce  $CO_2$  recovery as there is increased

loss of  $CO_2$  in the adsorption step due to increased operating time. Hence, it can 610 be seen that lower adsorption times aid in achieving higher recoveries. It must be 611 noted that the optimizer moves towards larger purge times and shorter adsorption 612 times in order to maximize purity and recovery for all scenarios. From Fig. S3(b), 613 higher productivities are obtained for shorter adsorption times. Shorter adsorption 614 times result in shorter cycle times and, thereby, higher productivities. Figure S3(c)615 indicates that longer purge times result in higher steam ratios. It must be noted that 616 the optimizer moves towards low purge time and low adsorption time for maximum 617 productivity and minimum steam ratios. The scales of these graphs are constructed 618 as per the decision variable range. There is a trade-off between low purge times 619 required for high productivity while maintaining high recovery. 620

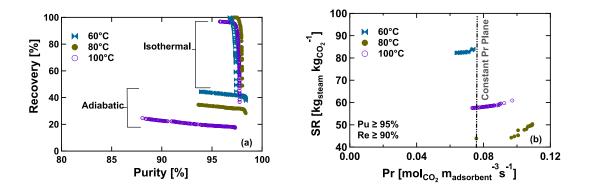


Figure 10: Results of process optimization for varying feed temperatures under isothermal and adiabatic modes of operations. Pareto curves obtained from (a) simultaneous maximization of purity-recovery optimization for varying feed temperatures under adiabatic and isothermal modes of operation, and (b) Pareto curves obtained from steam ratio-productivity optimization for varying feed temperatures under isothermal mode of operation.

<sup>621</sup> A cycle optimization was carried out to understand the effect of feed tempera-<sup>622</sup> tures and the results are demonstrated in Fig. 10. From Fig. 10(a), it is observed <sup>623</sup> that, under the adiabatic operation, the optimized  $CO_2$  recoveries at a feed temper-

ature of 60 °C are 40 - 50%, 30 - 40% for 80 °C and 15 - 25% for 100 °C. It is clear 624 that, a lower feed temperature improves the process performance in an adiabatic 625 scenario. Although high purities are achievable, high recoveries cannot be achieved 626 for the flue gas temperatures of 60 °C - 110 °C in an adiabatic mode of operation. 627 This means that, although the optimizer searches thousands of operating conditions, 628 none satisfy the purity and recovery constraints for any feed temperatures for the 629 3-step SA-TSA process. This is attributed to the difference in  $CO_2$  capacity for 630 different feed temperatures. The results from cycle optimization confirm the re-631 sults discussed in section 4.2 and 4.4. From Fig. 10(a) it can be observed that the 632 optimized paretos under isothermal mode of operation is unaffected by feed temper-633 ature. This implies that, in addition to high purities, high recoveries are achievable 634 for the considered temperature range of NGCC flue gas in an isothermal scenario 635 for the 3-step SA-TSA process. The high recoveries are due to the preserved  $CO_2$ 636 capacity, as the column has no moving heat fronts. The corresponding optimized 637 steam ratios and productivity that satisfy a purity constraint of  $\geq 95\%$  and a recov-638 ery constraint of  $\geq 90\%$  for different feed temperatures is seen in Fig. 10(b). From 639 Fig. 10(b) the highest steam ratio of 80 - 90 kg<sub>steam</sub> kg<sub>CO<sub>2</sub></sub><sup>-1</sup> and lowest productivity 640 of 0.06 - 0.08  $\text{mol}_{\text{CO}_2} \text{m}_{\text{adsorbent}}^{-3} \text{s}^{-1}$  is seen for a feed temperature of 60 °C. This is 641 followed by a stream ratio of 50 - 60 kg<sub>steam</sub> kg<sub>CO2</sub><sup>-1</sup> and productivity of 0.07 - 0.1 642  $mol_{CO_2} m_{adsorbent}^{-3} s^{-1}$  for 100 °C. The lowest steam ratio and highest productivity of 643 40 - 50 kg<sub>steam</sub> kg<sub>CO<sub>2</sub></sub><sup>-1</sup> and 0.1 - 0.12 mol<sub>CO<sub>2</sub></sub> m<sub>adsorbent</sub><sup>-3</sup> s<sup>-1</sup> for a feed temperature of 644 80 °C. It appears that the trend in the steam ratio-productivity for different feed 645 temperatures is not monotonic. In order to understand the observations, a plane 646 of constant productivity, of  $0.075 \text{ mol}_{\text{CO}_2} \text{ m}_{\text{adsorbent}}^{-3} \text{ s}^{-1}$  is considered, and the corre-647 sponding operating conditions are compared in Table 4. It can be seen that purity 648 and recovery values across different feed temperatures are comparable. The variation 649 of steam ratios across different feed temperatures is attributed to adsorption times 650 and purge times. Additionally, the steam ratio is a function of steam temperature, 651 recovery,  $t_{ads}$ ,  $t_{pur}$ ,  $v_{ads}$  and  $v_{pur}$ . Since the  $v_{ads}$  and  $v_{pur}$  values are comparable for 652 a particular feed temperature, this implies that, a low  $t_{ads}$  and high  $t_{pur}$  will result 653 in high steam-ratios. Hence, the steam ratio for 60 °C is the highest and the lowest 654

for 80 °C. Although, high recoveries are still achievable in an isothermal mode of operation run with different feed temperatures, the best possible steam consumption is as high as 40 - 50 kg<sub>steam</sub> kg<sup>-1</sup><sub>CO<sub>2</sub></sub>. Despite non-active temperature fronts that preserve the capacity of the bed thereby increasing CO<sub>2</sub> recovery, the long purge times that are reflective of the isotherm shape result in increased steam ratios and reduced productivity. That is, despite the material exhibiting ideal adsorbent qualities, the material's performance when subjected to this 3-step SA-TSA process is poor.

662

Table 4: Process conditions and performance indicators for varying feed temperature under isothermal mode of operation corresponding to Fig. 10(b). All points correspond to a constant productivity of 0.075 mol<sub>CO2</sub>  $m_{adsorbent}^{-3}$  s<sup>-1</sup>.

$T_{\rm feed}$	$t_{\rm ads}$	$t_{\rm pur}$	$t_{\rm con}$	$v_{\rm ads}$	$v_{\rm pur}$	$v_{\rm con}$	Pu	Re	SR
$[^{\circ}C]$	$[\mathbf{s}]$	$[\mathbf{s}]$	$[\mathbf{s}]$	$[\mathrm{ms^{-1}}]$	$[{\rm m~s^{-1}}]$	$[\mathrm{m\ s^{-1}}]$	[%]	[%]	$[{\rm kg_{steam}} ~{\rm kg_{CO_2}}^{-1}]$
60	823	6868	532	0.92	0.98	0.93	96	91	83
80	1262	6089	1171	0.69	0.63	0.62	97	91	43
100	884	5280	666	0.81	0.74	0.64	96	90	56

#### 663 4 Conclusion

 $Mg_2MOF$  that showed an interesting double-stepped  $CO_2$  isotherm was evaluated 664 as a potential adsorbent for TSA based  $CO_2$  capture from dry NGCC flue gas. A 665 3-step TSA process with a steam purge was studied. The isotherm was described 666 using an empirical equation. The isotherm parameters and the fixed bed model was 667 used to perform simulations under isothermal, adiabatic and non-isothermal modes 668 of operation, varying feed temperature, and varying heat capacity. Following this, 669 cyclic process simulations were run. Lastly cycle optimization was carried out to 670 identify potential set of operating conditions for maximum purity, recovery, produc-671 tivity and minimum steam ratio. From these investigations, it can be concluded 672

that thermal front propagation and high heat of adsorption had a major impact on 673 process dynamics. In the adiabatic mode of operation, the temperature fronts lead 674 to substantial reduction of CO<sub>2</sub> capacity thereby rendering a poor process perfor-675 mance where US-DOE targets of purity and recovery were not met. However, in 676 the isothermal case, where there are no active heat fronts, the capacity of the bed is 677 preserved and hence the process performance satisfies US-DOE targets. Even with 678 the isothermal mode, the cycle optimization resulted in high steam ratio and low 679 productivity. This is attributed to the very long purge times required to regenerate 680 the bed. 681

It is worth pointing out that Svante Inc. reports a steam ratio 1 - 2 kg<sub>steam</sub> kg<sub>CO<sub>2</sub></sub><sup>-1</sup> 682 for a TSA separating  $CO_2$  from a 16% feed mixture using structured CALF-20<sup>16</sup>. 683 The productivity reported is around 10.6  $\text{TPD}_{\text{CO}_2}$  m<sup>-3</sup><sub>bed</sub>. Our work indicates a low 684 Pr of 0.38 TPD<sub>CO2</sub>  $m_{bed}^{-3}$  despite a high working capacity of  $\approx 2 \mod kg^{-1}$  reported 685 for the material. The disparity in values is owed to the process cycle times. Svante 686 Inc. reports a cycle time of 52 s owing to the rapid TSA process design unlike 687 our traditional TSA process design with a high cycle time of 6000 s. Despite high 688 recoveries and purities that can be obtained in an isothermal scenario for the 3-step 689 SA-TSA, there is scope for process design improvement by including appropriate 690 contactors. 691

The study emphasizes the importance of evaluating novel  $CO_2$  capture materials 692 under realistic process conditions, as simplified estimates based on isotherms alone 693 does not reveal the inherent complexities; an approach that is gaining attention, 694 particularly in the  $CO_2$  capture community  $^{35-37}$ . In fact, the unusual isotherm shape 695 which at the outset seems to have advantages results in the propagation of thermal 696 fronts that leads to reduced bed capacity resulting in unusually low recoveries. For 697 such systems, the study reveals that heat management will be critical in ensuring 698 that the bed capacity is maintained. As scale-up studies are considered for such 699 systems, the complexities of designing contactors that will allow for such schemes 700 need to be explored  $^{38}$ . 701

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#### 708 Declaration of Interests

<sup>709</sup> The authors confirm that there is no conflict of interest to declare. One of the <sup>710</sup> funders, TOTALEnergies, has active CO<sub>2</sub> capture and storage projects.

### 711 Supporting Information

Supporting information related to this article can be found online at \*\*\*. The study
of the effect of heat transfer for various feed composition, effect of density and the
spread of decision variable space for process optimization.

# 715 Nomenclature

## 716 Roman symbols

717

b	temperature dependent fitting parameter $[bar^{-1}]$
C	concentration $[mol m^{-3}]$
$C_p$	heat capacity $[J \text{ kg}^{-1} \text{ K}^{-1}]$
$D_m$	molecular diffusivity $[m^2 s^{-1}]$
$D_L$	axial dispersion $[m^2 s^{-1}]$
h	heat transfer coefficient [W m <sup><math>-2</math></sup> K <sup><math>-1</math></sup> ]
J	objective function [-]
k	LDF coefficient $[s^{-1}]$
$K_z$	effective gas thermal conductivity [W m <sup><math>-1</math></sup> K <sup><math>-1</math></sup> ]
L	length of column [m]
$m_1$	temperature dependent fitting parameter [bar]
$m_2$	temperature dependent fitting parameter [bar]
$\Delta H$	heat of adsorption $[kJ \text{ mol}^{-1}]$
P	pressure [bar]
Pr	productivity $[mol_{co_2} m_{adsorbent}^{-3} s^{-1}]$
Pu	purity [%]
q	solid phase loading $[mol kg^{-1}]$
$q^*$	equilibrium solid phase loading $[mol kg^{-1}]$
Q	volumetric flow $[m^3 s^{-1}]$
r	radius [m]
R	universal gas constant [Pa $m^3 mol^{-1} K^{-1}$ ]
Re	recovery [%]
$s_1$	temperature dependent fitting parameter $[bar^{-1}]$
SR	steam ratio $[kg_{steam} kg_{CO_2}^{-1}]$
t	time [s]

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T	temperature [K]
v	velocity $[m s^{-1}]$
V	volume $[m^3]$
y	mole fraction [-]
z	axial coordinate [m]

### 718 Greek symbols

$\epsilon$	voidage [-]
$\mu$	fluid viscosity [kg m <sup>-1</sup> s <sup>-1</sup> ]
ρ	density $[\text{kg m}^{-3}]$

## 719 Abbreviations, subscripts and superscripts

ads	adsorption
feed	feed
pur	purge
con	conditioning
in	inside
out	outside
i	index of species
W	wall
р	particle
S	solid
a	ambient
t	time

# 720 Acronyms

42

GA	genetic algorithm
NGCC	natural gas combined cycle
NSGA	non-dominated sorting genetic algorithm
PSA	pressure swing adsorption
TSA	temperature swing adsorption
SA-TSA	steam assisted temperature swing adsorption
CCS	carbon capture and storage
CSS	cyclic steady state
MOF	metal organic framework
$\mathrm{Mg}_{2}\mathrm{MOF}$	$Mg_2(dobpdc)(tetramine)$
ODE	ordinary differential equation
PDE	partial differential equation
SSL	single-site Langmuir model

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