¹H NMR metabolic profiling for the differentiation of fish species

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10 ABSTRACT

The determination of fish species is an important task for food control, especially in the case of 11 very similar and processed fish or fish products. Typically, this analysis is carried out by DNA-12 based methods. However, especially for small sample series the effort for sample preparation 13 14 and the required analysis time are not satisfactory. We show that by means of a simple extraction and analysis of the ¹H NMR spectra of these extracts a fast discrimination of fish 15 16 species is possible. For this purpose, 69 samples consisting of five sample groups iridescent shark, European plaice, rock sole, witch flounder and common sole were analysed. The large 17 differences in the ¹H spectra could be further highlighted with a principal component and led 18 19 already to a graphical differentiation of most sample groups. The performed classification of the samples using a subspace discriminant algorithm showed a recognition rate of 100% for all 20 samples, regardless of additives like citric acid or lysine. These results show that ¹H NMR 21 spectroscopy is a robust, simple and rapid method for the recognition of the fish species studied. 22

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Keywords: Fish differentiation, ¹H NMR spectroscopy, common sole, metabolomics,
arsenobetaine

27 INTRODUCTION

Changing environmental conditions and growing demand for fish are having a major impact on 28 the food chain. In the context of climate change fishing grounds and catch quantities are 29 changing fundamentally.^{1,2} In addition, the global demand for fish is constantly increasing due 30 to the increasing wealth and growing of the global population.^{3,4} Continued overfishing leads 31 32 to a reduction in catches of many fish species and to the commercial or unintended marketing of new fish species.^{5,6} In addition to fundamental ecological problems, however, this also 33 increases the potential for adulteration and food fraud. In the case of seafood in particular, up 34 to 40% of sold products are considered to be falsely declared.⁷ Common sole (Solea solea) is 35 considered to be very tasty and expensive fish. Their distribution and fishing area extends across 36 the North Sea, the Atlantic and the Mediterranean. Common soles are mainly caught as bycatch 37 when targeting other fish, such as plaice (*Pleuronectes platessa*).⁸ Only rarely succeeds the 38 breeding of sole in breeding facilities.⁹ Due to the regulated catch limits, the described 39 popularity as an edible fish and the low catch quantities, the common sole achieves very high 40 prices. Thus, high profits can be made by falsely declaring another, less expensive species of 41 42 fish as common sole. In particular, processed sole is difficult to distinguish from other flatfishes 43 such as European plaice or witch flounder (*Glyptocephalus cynoglossus*). Due to the very similar appearance, however, fillets of iridescent sharks or cod have also been declared as 44 sole.^{10,11} The detection of the different fish species is normally done by PCR.^{12,13} Furthermore, 45 there are also NGS or proteome based methods.^{14–16} NMR spectroscopy has the advantage of a 46 fast and simple measurement methodology which, in contrast to other spectroscopic methods, 47 offers molecular resolution. Typical applications in food analysis are the detection of 48 contaminants, the authentication of the geographical origin or the identification of admixtures 49 of other foods.^{17–19} High recognition rates have already been described for the differentiation 50 of meat species.^{20,21} We demonstrate the benefit of ¹H NMR spectroscopy for fish species 51 52 discrimination using five different sample groups and prove the robustness of this method in the presence of typical additives such as citrate, even when their signals stay are included in thedata analysis.

55 2 MATERIALS AND METHODS

56 2.1 Reagents and chemicals

57 Deuteriumoxide (99.9%) was purchased from Eurisotop (Saint-Aubin Cedex, France) and 58 Chloroform-*d* (99.8%) was purchased from Deutero (Castellaun, Germany). Sodium azide 59 (99.5%), potassium phosphate monobasic anhydrous (>99%), potassium phosphate dibasic 60 anhydrous (>98%) from VWR (VWR International GmbH, Darmstadt, Germany).

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62 2.2 Samples

In total 69 fish samples were analysed. Of these, 13 samples were declared as European plaice 63 (Pleuronectes platessa). 11 samples were grouped into the rock sole group, with the declaration 64 of the samples differing between Lepidopsetta bilineata (4 samples) and Lepidopsetta 65 66 polyxystra (7 samples). Another 20 samples were declared as iridescent shark (Pangasianodon hypophthalmus). All these samples were not further analysed for authenticity, as the potential 67 for adulteration was considered low. The other samples consisted of 11 witch flounders 68 (Glyptocephalus cynoglossus) and 14 samples of common sole (Solea solea). The authenticity 69 70 of these samples was verified by DNA sequencing. All samples were purchased from different retailers. The samples were very heterogeneous in terms of the form of supply (fresh/frozen) 71 and in the presence of additives. A detailed listing of the samples is given in Table S1. For all 72 samples, the skin and intestines were removed if present and the samples were stored at -20°C 73 until analysis. 74

76 2.3 Sample Preparation NMR

Samples for statistical analysis were prepared by adding 1000 μ L phosphate buffer (200mM, pH 7.0) to 100 mg sample in a 2.0 ml sample tube (Eppendorf, Germany). The samples were vortexed for 30 seconds and centrifuged for 10 minutes at 18.000 g. 600 μ L of the supernatant were taken and transferred to a NMR tube (Deutero, Castellaun, Germany). For acquisition of 2D spectra the procedure were done accordingly, but using 1 g sample.

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83 2.4 NMR acquisition

All spectra were acquired on a Bruker Ascend 400 MHz spectrometer (Bruker Biospin, 84 85 Rheinstetten, Germany) operating at 400.13 MHz equipped with an Avance III console. The *noesygppr1d* pulse sequence was used for acquisition of water suppressed ¹H NMR spectra 86 applying the digitization mode baseopt. The spectra were recorded at 301.8 K, with 16 scans, 87 88 65536 complex data points, D1 of 4s, and a spectral width of 8417.5 Hz. The receiver gain (RG) was set to 16 and the transmitter frequency offset was set to 1880.6 Hz. The pulssequence 89 hsccedetgpsisp2.3 was used for the acquisition of HSQC spectra. The spectra were recorded at 90 300 K, 32 Scans, 2048 (F2) and 256 (F1) data points, D1 of 1.5 s and a spectral width of 5197.5 91 (F2) and 16611.3 (F1) Hz. The RG was set to 207 and the transmitter frequency offset was set 92 to 1880.6 (F2) and 7546.0 (F1) Hz. For acquisition of HMBC spectra the pulssequence 93 hmbcetgpl3nd with NS=32, 4096 (F2) and 512 (F1) data points, D1 of 1.5 s and a spectral width 94 of 6009.6 (F2) and 22123.9 (F1) Hz and a RG of 207 was used. JRES spectra were acquired 95 using the pulssequence *jresprprqf* with NS=4, 8192 (F2) and 40 (F1) data points, D1 of 2s and 96 a spectral width of 6684.5 (F2) and 12820.6 (F1) Hz and a RG of 16.3. TOCSY spectra were 97 acquired using the pulssequence *mlevphpr.2* with NS=16, 2048 (F2) and 256 (F1) data points, 98 D1 of 2 s and a spectral width of 4000 Hz (F2+F1) and a RG of 207. 99

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102 2.5 NMR data processing and analysis

103 For data processing the FIDs were Fourier transformed with a line broadening factor of 0.3 Hz,

baseline corrected and phased with Topspin 3.6.2 (Bruker Biospin, Rheinstetten, Germany).

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106 2.6 Statistical analysis of NMR spectra

For multivariate analysis the integrals/buckets were defined using analysis Amix 3.9.15 (Bruker
Biospin, Rheinstetten, Germany). The spectra were divided in 168 buckets with a variable
width. The region of the water signal from 4.9 to 4.5 was exluded. The final bucket table was
exported as csv file. For calculation of the principal component analysis and the following
multivariate analysis the software Matlab 2021b (Mathworks) was used. T-test and Pearson
correlation were done by using the respective function of Excel 2016 (Microsoft Corporation,
Redmond, USA).

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115 RESULTS AND DISCUSSION

The differentiation of fish species is a widely considered topic and is particularly relevant for 116 processed flatfish due to high species similarities. DNA-based approaches are mostly used for 117 analysis. For smaller sample series a faster method with less sample processing would be 118 119 desirable. We therefore extracted samples from five fish groups European plaice, rock sole, iridescent shark, witch flounder and common sole and analysed the extracts using ¹H NMR 120 spectroscopy. It was very surprising for us that the metabolite spectra were already markedly 121 different at first glance. Even though no unambiguous metabolites could be identified for a 122 single fish species, very clear differences in concentration can be seen even. In general, the 123 NMR spectra are dominated by ubiquitously occurring amino acids and a variety of osmolytes. 124 For identification of the metabolites, database searches were made and the results were 125 subsequently checked for plausibility using HSQC, HMBC, TOCSY and JRES spectra. It 126

should be mentioned, however, that in contrast to the measurements for the statistical analysis, 127 128 the concentration of the samples was increased by a factor of 10. So some signals can be seen more clearly than in the measurements for fish species differentiation. The identified 129 metabolites including the remarkable values of the normalized concentration are shown in 130 table 1. The differences in the region from 3.0-3.5 ppm are particularly remarkable as figure 1 131 shows. The evaluations of the 2D spectra indicate that these are almost exclusively signals from 132 133 trimethylamine derivatives. The comparison of the spectra shows that the witch flounders contain the highest concentrations of trimethylamine-N-oxide (TMAO) and betaine. The 134 samples of the rock soles show the second highest TMAO concentration, but almost no betaine. 135 136 The common soles and European plaices have significant lower concentrations of these osmolytes while creatine concentration is relatively constant across all fish species. The 137 qualitative analysis of the spectra shows additional great diversity of osmolytes. The samples 138 of rock sole exhibit the widest diversity followed by the European plaice. Trimethylamine 139 derivatives thus seem to differ strongly between the different sample groups. 140

In the next step, Bonferroni corrected t-tests were performed for all sample groups to identify significant buckets. The overview of the significant buckets is shown in table S2. It should be noted that the normalized intensities were used here because many samples had an addition of water. This leads to the fact that for the rock soles almost all buckets had a significantly lower concentration relative to the total integral since the spectra are characterized by a very high TMAO and betaine concentration. With these t-test an additional evaluation of the previously identified buckets could be performed.

148 *Identification of Arsenobetaine*

Another interesting metabolite we identified is arsenobetaine. Arsenobetaine (AsB) is reported as major species of arsenic in marine animals.²² So far the role of AsB it is not finally known. For example, a role as a metabolic end product is assumed, which serves to make inorganic arsenic compounds harmless.²³ On the other hand, an active role as an osmolyte has

also been described.²⁴ As expected, we could not find any signals of AsB in the freshwater fish 153 154 samples of iridescent sharks. The absolute intensities show that the rock soles have the highest concentrations, followed by the common sole. However, one of the common sole samples had 155 156 by far the highest concentration of AsB. These results support the hypothesis that AsB also plays a role as an osmolyte. For a more in-depth analysis, a Pearson correlation with the signal 157 of AsB at 3.367 ppm was performed using the absolute signal intensities. This signal was 158 159 chosen because the more intense singlet at 1.964 ppm is overlaid by lysine signals in some samples. The correlation of the two AsB signals showed a correlation coefficient of 0.79, which 160 is caused by the mentioned signal overlap. Correlation coefficients above 0.6 are obtained for 161 162 many signals in the 3.5-3.0 ppm range, where we were able to locate many trimethylamine derivatives. The highest coefficients of 0.71-0.74 are obtained for the signals of betaine, TMAO 163 and choline. Thus, AsB seems to play a role as an osmolyte, although other factors must 164 165 presumably be taken into account in its formation as shown by the high individual finding in a common sole. 166

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168 Multivariate Data Analysis

With regard to a time-efficient analysis, an automated and easy to use evaluation is desirable, 169 170 which is why we also performed a multivariate data analysis. Challenging here is the addition of additives in some of the fish samples, which provides further variance and thus complicates 171 multivariate analysis. However, due to the wide differences in the samples, discrimination is 172 173 possible even without excluding the citric acid signals. The principal component analysis shows very clearly the differences between the sample groups. Here, all sample groups cluster together 174 and can already be almost completely separated graphically (Figure 2). The largest variance 175 describes principal component (PC) 1, which is also responsible for the greatest proportion in 176 the clustering of the sample groups. Determining metabolites for PC1 are TMAO, betaine as 177 well as an unknown trimethylamine derivate causing a singlet at 3.302 ppm. PC 2 is mainly 178

influenced by betaine. PC 3 shows additional clustering of samples of rock sole. Samples 179 180 decelared as L. bilineata are seperated from the samples declared as L. polyxystra. Dominant metabolites in PC 3 are creatine as well as lactate. Principal component 4 (not shown) shows 181 the increased variance due to the additions of citric acid in eight of the iridescent shark samples. 182 It is noteworthy that in the PCA of the samples, the common sole and European plaice lay very 183 close together, although the two fish species belong to different families. In contrast, rock sole 184 and witch flounder, which also belong to family Pleuronectidae, are well separated from these 185 two sample groups. When looking at the preferred habitat, it can be seen that the common sole 186 and European plaice not only inhabit the similar habitats, but also populate similar depths, 187 188 namely about 10-50m. Rock soles prefer to live at depths of 0-183m and witch flounders even at depths of 45-366m.²⁵ This matches very well with the graphical clustering, as well as with 189 the trimethylamine derivative dominated region of the NMR spectra from figure 1. 190

191 Since PCA is not sufficient for unambiguous discrimination of all fish species, an additional algorithm-based classification was performed. For the classification, a training set was created 192 193 using 11 European plaice samples, 9 samples of rock soles, 17 iridescent shark samples (with and without added citric acid) and 9 witch flounders and 9 common sole samples. Classification 194 was performed using a subspace linear discriminant algorithm and five-fold cross-validation. 195 The training set was recognized with an accuracy of 100% for each sample group. In the next 196 step, the remaining test set samples, consisting of 2 European plaice samples, 2 rock soles, 3 197 iridescent shark, 2 witch flounders and 5 common sole samples (including 2 fresh fish and 2 198 frozen samples without additives and one frozen sample with additives) were assigned by the 199 200 model created. Here, despite the heterogeneous test set, a recognition rate of 100% was also shown for all samples. These results show that the selected algorithm reliably recognizes the 201 different fish species, regardless of the common additives and the type of the supply. 202

204 CONCLUSION

205 The results of this study show that even with a small sample quantity, a robust classification of the five fish groups investigated can be performed in a short measurement time. For the analysis 206 of fish samples, the described method can provide a major time advantage, as the same 207 measurement can be used to investigate various parameters such as the presence of additives 208 and the authenticity of the sample as well. The metabolites relevant for the graphical 209 differentiation of the sample groups were mainly osmolytes, so further differentiation of other 210 211 marine fish species seems promising. The high accuracies achieved clearly demonstrate the potential of ¹H NMR spectroscopy as a screening method or in addition to classical methods 212 for the authentication of fish species. 213

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215 TABLES

Table 1: List of identified metabolites in the analysed fish extracts. Only distinguishable signalsare listed.

Metabolite	Signals [ppm]	Significant for	Comments
Acetate	1.9253, s	P. hypophthalmus (high) G. cynoglossus (low)	
Adenine	8.217, s 8.195, s	P. platessa (high) P. hypophthalmus (low)	
Alanine	1.489, d (7.3 Hz)	P. hypophthalmus (low)	
Arsenobetaine	3.367, s 1.964, s	Lepidopsetta (high) P. hypophthalmus (low)	
Betaine	3.901, s 3.266, s	G. cynoglossus (high)	Very high concentration in G. cynoglossus
Creatine	3.937, s 3.044, s	P. hypophthalmus (high) G. cynoglossus (low)	
Cystathionine	2.247- 2.131, m 2.766- 2.705, m 3.158, dd (4.6, 15.0 Hz) 3.093, dd (7.1, 15.0 Hz)	G. cynoglossus (low)	Not visible in all spectra

Dimethylamine	Dimethylamine 2.725, s		Not visible in all spectra	
Ethanol	1.190, t (7.1 Hz)	-	Not visible in all spectra	
Formiate	8.448, s	-	Not visible in all spectra	
Fumerate	6.513, s	-	Not visible in all spectra	
Glucose	5.246, d (3.7 Hz) 4.657, d (7.8 Hz)	G. cynoglossus (low)		
Glycerol	3.667, dd (4.3, 12.0 Hz) 3.571, dd, (6.5, 12.0 Hz)	G. cynoglossus (low)		
Glycerophosphocholine	3.234, s 3.700-3.624, m	Lepidopsetta (high) P. hypophthalmus (low)		
Glycine	3.572, s	P. platessa (high) P. hypophthalmus (low)		
Histidine	7.136, d (1.0 Hz) 7.989, d (1.0 Hz)	P. platessa (high)		
Inosine	8.350, s 8.239, s 6,101, d (5.7 Hz) 4.448, dd (4.0, 5.3 Hz) 4.350-4.316, m	P. hypophthalmus (high) G. cynoglossus (low)		
Inosinemonophosphate	8.563, s 8.235, s 6.149, d (5.7 Hz) 4.525, dd (3.7, 5.3 Hz) 4.399- 4.365, m 4.071- 4.026, m	P. platessa (low) P. hypophthalmus (high)		
Isoleucine	1.001, d (6.4 Hz)	Lepidopsetta (high) G. cynoglossus (low)		
Lactate	4.124, q (6.8 Hz) 1.337, d (6.9Hz)	P. hypophthalmus (high) Lepidopsetta (low)		
Leucine	1.775-1.628, m 0.959, d (4.4Hz) 0.943, d (4.4Hz)	G. cynoglossus (low)		
Lysine	3.061- 3.011, m 1.960- 1.869, m 1.785- 1.694, m 1.573- 1.400, m	P. hypophthalmus (high) G. cynoglossus (low)		
Methionine	2.145, s	G. cynoglossus (low)		
Phenylalanine	7.466- 7.304, m	G. cynoglossus (low)	Not visible in all spectra	

Taurine	3.433, t (6.6 Hz) 3.272, t (6.6 Hz)	Lepidopsetta (high) P. hypophthalmus (low)	
Trimethylamine	2.890, s	-	
Threonine	4.301- 4.247, m 3.602, d (4.9 Hz)	S. solea (high) G. cynoglossus (low)	
Tyrosine	7.199, d (8.4 Hz) 6.904, d (8.4 Hz)	P. hypophthalmus (high) G. cynoglossus (low)	
Valine	1.034, d (7.0 Hz) 0.982, d (7.1 Hz)	P. hypophthalmus (high) G. cynoglossus (low)	

219 FIGURES





predicted class

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225 FIGURE CAPTIONS

Figure 1: Excerpts from NMR spectra of the polar extracts of the five analysed sample groups.

Figure 2: PCA Plot of all analysed samples. From right to left is a clustering of the samples that

correlates with the respective depth of the habitat. Iridescent Shark as a freshwater fish is on

the far left, while common sole and European plaice inhabit depths from 10 to about 60m. Rock

- soles and witch flounders live at much greater depths and are clearly delineated from these.
- Figure 3: Confusion matrix of the combined trainings and test set results.

232 DECLARATION OF INTEREST

233 The authors declare that there is no conflict of interest.

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