SONOCRYSTALLIZATION INDUCED THERMALLY ACTIVATED DELAYED FLUORESCENCE VIA DISTORTION OF MOLECULAR GEOMETRY

Antonio Maggiore*\textsuperscript{a,b}, Yangyang Qu\textsuperscript{c}, Piotr Pander\textsuperscript{d}, Fernando B. Dias\textsuperscript{e}, Gilles Clavier\textsuperscript{f}, Regis Guillot\textsuperscript{f}, Pierre Audebert\textsuperscript{a} and Fabien Miomandre\textsuperscript{a}

E-mail: antonio.maggiore@nanotec.cnr.it

In this work we present three donor-acceptor thermally activated delayed fluorescence (TADF) molecules comprising a 2,3,5,6-tetrafluorobenzonitrile acceptor with various electron donor units: phenoxazine (Phx-BzN), phenothiazine (Pht-BzN), and carbazole (Cz-BzN). These molecules have been studied using steady-state and time-resolved photophysical techniques in solution, film and in crystal state. While Cz-BzN displays TADF in solution and PMMA films, Phx-BzN and Pht-BzN are non-emissive in solution and somewhat emissive in polymer films. More interestingly, while Pht-BzN remains virtually non-emissive in all studied solvents, it exhibits strong photoluminescence and TADF in crystal state, attributed to Crystallization Induced Emission (CIE). We demonstrate through computational studies that the CIE properties arise due to intermolecular interactions in the crystal structure that result in locking the ground state molecular geometry and blocking relaxation in the excited state. As a result, the oscillator strength in the crystal form is enhanced leading to a highly luminescent behaviour, while in solution it equals nearly zero due to the molecule adopting a perfectly orthogonal D-A orientation in the excited state.

\textsuperscript{a} Université Paris-Saclay, ENS Paris-Saclay, CNRS, PPSM, 91190, Gif-sur-Yvette, France.
\textsuperscript{b} CNR-NANOTEC – Institute of Nanotechnology, c/o Campus Ecoteckne, Via Monteroni, 73100 Lecce, Italy
\textsuperscript{c} Faculty of Chemistry, Silesian University of Technology, Strzody 9, 44-100 Gliwice, Poland
\textsuperscript{d} Centre for Organic and Nanohybrid Electronics, Silesian University of Technology, Konarskiego 22B, 44-100 Gliwice, Poland
\textsuperscript{e} Department of Physics, University of Durham, South Road, Durham, DH1 3LE, United Kingdom
\textsuperscript{f} Université Paris-Saclay, CNRS, Institut de chimie moléculaire et des matériaux d’Orsay, 91405, Orsay, France.
INTRODUCTION

Efficient luminescent organic materials that present thermally activated delayed fluorescence (TADF) have recently attracted enormous attention due to effectively harvesting triplet excited states without using heavy metals – a property desired for application in organic light-emitting diodes (OLEDs). The most common strategy to achieve TADF in organic molecules is by using Donor-Acceptor (D-A) systems presenting a near orthogonal orientation between the D and A units. This allows to separate HOMO and LUMO and thus to obtain a negligible energy gap between singlet and triplet excited states ($\Delta E_{ST}$).\textsuperscript{1-5} Nevertheless, these emitters are bound by a trade-off between the singlet radiative rate and HOMO-LUMO overlap: the latter must be suitably small to achieve $\Delta E_{ST} \approx 0$ but large enough to retain high photoluminescence quantum yield (PLQY). For this reason, various strategies have been proposed to achieve the best compromise between these two factors.\textsuperscript{2,4-6} These organic, $\pi$-conjugated materials exhibit luminescent properties that can be tuned by a subtle change in their structure (e.g. through donor and acceptor strength or bulky groups introducing steric hindrance) or by changing the surrounding environment or matrix (e.g. polarity and rigidity of the medium) where the molecule is dispersed. This feature allows controlling the emission nature by tuning the energy of the electronic states involved in the TADF process and thus also tuning the triplet excited state harvesting mechanism.

Most fluorescent dyes require low concentration in solution or in solid matrix conditions to suppress aggregation caused quenching (ACQ). ACQ is a common phenomenon which seriously affects luminescence in solution at high concentrations, and in powder or neat film form, leading to low PLQY or complete emission quenching in these conditions. ACQ is caused by formation of aggregated species such as dimers, excimers and H-aggregates via strong intermolecular $\pi-\pi$ interactions – it occurs especially for flat and highly conjugated molecules.\textsuperscript{7-8} In contrast with ACQ, aggregation induced emission (AIE) leads to a significant increase of PLQY in solid forms of the emitter, such as in neat film or crystal\textsuperscript{9-11}. AIE materials display negligible PLQY in solution due to vibrational quenching of their electronic excited states. Therefore, AIE relies on restricting intramolecular roto-vibrational motions in solid state by means of physical stacking that prevents strong $\pi-\pi$ interactions and efficiently blocks exciton quenching channels, mode generally favoured in molecules with a propeller-like structure.\textsuperscript{12-13} Crystallization induced emission (CIE) is a similar phenomenon to AIE, but occurring in crystals.

Organic crystals display long-range ordering, high chemical purity, optical emission enhancement, wave-guiding, and high stability\textsuperscript{14-18} which renders them attractive for applications in solid-state optoelectronic devices, such as field-effect and light-emitting transistors, photovoltaics, and OLEDs. AIE/CIE molecules may be used for visualization of chemical and biological processes in the nanoscale.\textsuperscript{19-22} A large number of AIE molecules have also been used as smart materials responsive to fluorescence, chemo- and colorimetric sensing,\textsuperscript{23-24} as sensors or probes for selective detection of specific biological tissues\textsuperscript{25-27} such as cancer cells\textsuperscript{28} and also for singlet oxygen generation in photodynamic therapy.\textsuperscript{29} Most recent advances and developments in the area of AIE
materials originate from important advantages presented by these systems, e.g. non-invasiveness, simplicity, high spatio-temporal resolution and sensitivity.\textsuperscript{30}

Emitters that simultaneously display TADF and AIE are known as aggregation-induced delayed fluorescence (AIDF) emitters. Interestingly, the usual design feature of D-A TADF luminophores: the D and A units twisted in respect to each other, helps preventing the strong $\pi-\pi$ interactions that would lead to ACQ.\textsuperscript{31} TADF emitters are thus promising for use in highly-emissive neat films such as host-free OLEDs.\textsuperscript{32-33} The first AIDF donor-acceptor compound was reported by Wang and others in 2014.\textsuperscript{34} The authors demonstrated that weak intermolecular non-covalent interactions in the solid state, such as C-H::$\pi$, are fundamental to suppress intramolecular rotations that lead to excited state quenching, thus turning emission on. AIDF-active luminophores have also been studied as emitters in non-doped OLEDs.\textsuperscript{35-43}

In this work, we present a photophysical study of three different D-A TADF emitters (Fig. 1) with a 2,3,5,6-tetrafluorobenzonitrile acceptor unit (we will call it fluorobenzonitrile or BzN from now on) substituted in the para position to the -CN group with various donors: carbazole (Cz-BzN), phenoxazine (Phx-BzN), and phenothiazine (Pht-BzN). The nature of the donor and acceptor moieties has a profound impact on their TADF properties.\textsuperscript{2, 44} In this work we demonstrate a completely new mechanism that allows activating both luminescence and TADF through a tailored molecular arrangement in crystal lattice. We believe this to be a significant advancement over the currently known examples of TADF AIE/CIE materials.\textsuperscript{45} Furthermore, this work serves as a proof-of-concept for a new simple one-step method for producing luminescent nanocrystals through use of sonication.

Great efforts have been undertaken in the last decades to develop nanotechnology-based materials for use in different areas of research, such as: chemistry,\textsuperscript{46} physics,\textsuperscript{47} engineering,\textsuperscript{48} biology and medical sciences.\textsuperscript{49-50} Our study demonstrates a new versatile and powerful strategy for developing fluorescent organic nanoparticles and nanocrystals and contributes to a further advancement in developing functional nanomaterials. In particular, our self-assembly material Pht-BzN could find application in high-performance optoelectronic devices, biological sensing and imaging, discrimination and bioimaging.

![Figure 1: Structures of molecules studied in this work.](image-url)
RESULTS AND DISCUSSION

SYNTHESIS

The synthesis of Cz-BzN and Phx-BzN follows the methodology reported previously for the Phx-BzN derivative.\textsuperscript{51} Synthetic details are presented in the ESI.

XRD ANALYSIS

The geometrical structures of the three molecules were obtained with X-ray scattering analysis (Fig.S1-S3 and S4 \textit{top}). The structural properties play a crucial role in the understanding of the photophysical properties of the materials reported in this work. A summary of key angles identified in the single crystal structures is shown in the ESI, Table S2. Notably, two molecules are present in the crystal unit cell of Phx-BzN, each of them with a slightly different configuration (we will use terms \textit{molecule 1} and \textit{molecule 2} to distinguish them). It can be noted that the D-A dihedral angle increases from 50.70° in Cz-BzN, to 83.31° in Phx-BzN, and further to 89.22° (\textit{molecule 1}) and 86.11° (\textit{molecule 2}) in Phx-BzN (Table S2). As in Cz-BzN the D-A angle is relatively small we can expect a degree of conjugation between carbazole and the BzN. Contrariwise, Phx-BzN and Phx-BzN with stronger donors show large D-A dihedral angles indicating near-orthogonality between donor and acceptor moieties. The molecular crystal packing shown in Fig S4 \textit{bottom} and in Figs S5-S8 reveals the main molecular interactions that play a key role in the formation of the crystal structure. In Cz-BzN single crystal the main intermolecular interactions are: C-H⋯π (3.238 Å- 3.480 Å), C-N⋯π (3.516 Å) C-N⋯H (2.552 Å) and C-F⋯H (2.553 Å), while in Phx-BzN crystal the main intermolecular interactions are: C-H⋯π (2.847 Å), C-F⋯π (3.166Å), C-F⋯H (2.634Å, 2.499Å) and O⋯H (2.563Å) (Figure S7). Finally, in Phx-BzN crystal the main intermolecular interactions are: C-F⋯π (3.072Å), C-F⋯H (3.025Å), C-H⋯π (3.004Å) and C-N⋯H (2.656Å) (Figure S8). From these analyses, we conclude that no aggregation occurs as no π-π stacking is observed, thus luminescence properties in crystal are solely of intramolecular origin.

DFT CALCULATIONS

The molecules were studied using density functional theory (DFT) and time-dependent density functional theory (TD-DFT) to help interpreting the subsequent experimental photophysical data. Details on theoretical calculations can be found in section 3.3 of the ESI. Simulated ground state (S\textsubscript{0}) structures (Fig. 2 bottom) match relatively well the experimental XRD structures (Fig. S11, Tables 1 and S2) indicating the level of theory used is adequate to represent the real systems. The calculated geometries of the BzN acceptor fragments are planar in all cases. In the case of Cz-BzN and Phx-BzN the carbazole and phenoxazine donors are close to planarity but not perfectly flat (Table 1). However, in Phx-BzN the phenothiazine N and S atoms adopt an off-planar configuration leading to deformation of the planar donor geometry (angle D = 31.6° in Table 1). As a result, the donor and the acceptor in Phx-BzN are quasi-axial (see D-A angle in Table 1) as
previously shown in similar compounds. The HOMO is localized mostly on the donors, while the LUMO is mostly located on the acceptor BzN unit. The localization of HOMO on the donor is more pronounced for molecules with stronger donors: Phx-BzN and Pht-BzN than in Cz-BzN (Fig. 3). In Cz-BzN the HOMO is localized on the donor moiety but with a small contribution from the benzonitrile unit, while this effect is negligible in Phx-BzN and Pht-BzN. A similar general trend applies to the LUMO with a partial contribution of donor to the orbital evident for Cz-BzN, but also for Phx-BzN (Fig. 3). The results confirm that a partial D-A conjugation exists for Cz-BzN.

TD-DFT calculations reveal that the energetically lowest singlet transition ($S_1\rightarrow S_0$) corresponds to an ICT state for the ground state ($S_0$), first singlet excited ($S_1$) and first triplet ($T_1$) geometries (Table S7). The oscillator strength of this transition decreases in the series for all three geometries. In particular, for the ground state geometry we observe: Cz-BzN (0.2155) > Phx-BzN (0.052) > Pht-BzN (0.0006) (Table S7). The second lowest singlet state ($S_2$) corresponds to a $\pi-\pi^*$ localized transition centred at the donor (locally excited state, $^1$LE, Table S7). Generally speaking, higher energy transitions have a $\pi-\pi^*$ $^1$LE character and are centred on either of the D or A moieties.

The $S_1$ geometries are shown in Fig. 2 top, while key angles relevant to the computed structures are shown in Table 1. We observe a rather large reorganization through twisting of the benzonitrile unit relative to the donor (Fig. S10), and thus a large Stokes shift between absorption and emission can be expected. Donors fully planarize in the $S_1$ geometry, which is more evident for Pht-BzN, while the D-A become fully or near fully orthogonal. The corresponding MOs become more localized on the donor and acceptor units (Fig. S13) indicating a more pronounced CT character in the $S_1$ state, compared with the $S_0$ geometry (Fig. 3). In Pht-BzN and Phx-BzN the donor and acceptor are orthogonal at the $S_1$ geometry and as the MOs are fully localized on each respective moiety, this results in a pure CT nature of the $S_1$ state leading to the $S_1\rightarrow S_0$ transition having zero oscillator strength (Table S7).

We observe a slight difference in the $T_1$ geometry of Cz-BzN but for Phx-BzN and Pht-BzN the $T_1$ geometry is very similar to that in the $S_1$ state (Fig. S10). This has an impact on the energy levels of the excited singlet and triplet states (Fig. S19): for Phx-BzN and Pht-BzN the $T_1$ and $S_1$ levels remain close at both $T_1$ and $S_1$ geometries while for Cz-BzN we observe an increased $\Delta E_{ST}$ value.

At all three considered geometries the $T_1$ displays a $^3$CT nature, while the $T_2$ is of local (donor or acceptor) $^3$LE character (Figure S19, Table S7). Notably, the $^3$LE is close in energy to the $^3$CT in the $S_0$ geometry for all three molecules, while these states are around 1 eV away from each other in the $T_1$ geometry. At $S_1$ geometries, the $^3$LE and $^3$CT states in Cz-BzN are close in energy, while in Phx-BzN and Pht-BzN they are, again, ~1 eV away from each other. Given that the most efficient RISC occurs with a closely located triplet mediator state of different orbital geometry from the $S_1$, we can conclude that more favourable conditions for TADF are present in the $S_0$ geometry rather than in the excited state.54
In conclusion, \textbf{Phx-BzN} and \textbf{Pht-BzN} are expected to be non-emissive as the pure CT character of their $S_1$ states leads to a symmetry-forbidden transition. For \textbf{Cz-BzN} the oscillator strength is above zero, hence the molecule is predicted to remain luminescent. However, we can expect a different behaviour if the molecules are locked in the $S_0$ configuration. A smaller D-A angle in this case increases the HOMO-LUMO overlap and decreases the CT character. As a result, \textbf{Cz-BzN} is expected to have a large $\Delta E_{ST} = 0.49$ eV, thus TADF is not expected at the $S_0$ geometry. However, \textbf{Phx-BzN} and \textbf{Pht-BzN} display small deviation from a full orthogonality at the $S_0$ geometry, hence the oscillator strength remains above zero in both cases, while $\Delta E_{ST}$ is small, $< 0.15$ eV, thus TADF may be present.

\textbf{Figure 2:} Optimized structures of, from left to right: \textbf{Cz-BzN}, \textbf{Phx-BzN} and \textbf{Pht-BzN}. (Bottom) Ground state ($S_0$); (Top) Lowest singlet excited state ($S_1$).
Figure 3: HOMO and LUMO iso surfaces at the $S_0$ geometry.

Table 1: Calculated key angles for the ground ($S_0$) and excited state structures.

<table>
<thead>
<tr>
<th></th>
<th>$S_0$</th>
<th></th>
<th>$S_1$</th>
<th></th>
<th>$T_1$</th>
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<tr>
<td></td>
<td>D ($^\circ$)</td>
<td>D-A ($^\circ$)</td>
<td>D-A ($^\circ$)</td>
<td>D ($^\circ$)</td>
<td>D-A ($^\circ$)</td>
<td>D-A ($^\circ$)</td>
</tr>
<tr>
<td>Cz-BzN</td>
<td>0.4 59.4 59.9 0.0</td>
<td>71.2 90.0 1.6 39.7</td>
<td>57.1</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Phx-BzN</td>
<td>1.2 73.5 73.6 0.0</td>
<td>73.3 90.0 5.6 53.4</td>
<td>69.6</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Pht-BzN</td>
<td>31.6 85.6 89.8 0.1</td>
<td>75.4 90.0 10.3 57.5</td>
<td>69.0</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

a) D angle refers to the calculated angle between the mean planes of the two phenyl rings of the Donor; b) D-A angle refers to the calculated angle between the mean planes of the Donor and the Acceptor.
PHOTOPHYSICAL STUDY

Photophysical properties of the three presented molecules were studied in diluted solution ($10^{-6}$ - $10^{-5}$M) in three solvents with increasing polarity: cyclohexane (CH), toluene (TOL), and dichloromethane (DCM). To study the intrinsic properties of molecules avoiding intermolecular interactions, the compounds were dispersed in a rigid polymer matrix (PMMA) at very low concentration of only 0.05% wt. Photophysical properties of bulk compounds were studied in crystalline powders. The photophysical properties of Phx-BzN in solution and PMMA have been reported previously and are not discussed in detail in this work.51

Photophysical properties in solution

The UV-Vis absorption spectra of Cz-BzN and Pht-BzN are shown in Fig. 4. One may note a weak band extending out until 400 nm in Cz-BzN and 450-500 nm in Pht-BzN. Using TD-DFT this band can be assigned to a CT HOMO→LUMO transition between donor and acceptor. In Pht-BzN this transition appears much less intense relatively to the Cz-BzN. This low-energy band exhibits a bathochromic shift with increased solvent polarity, as usually for CT transitions. On the other hand, the absorption bands at higher energy do not shift with polarity and can be assigned to local absorption of carbazole55-56 in Cz-BzN (bands at $\lambda_{\text{max}} = 325$-$330$ nm and $\lambda_{\text{max}} = 286$ nm) and phenothiazine57 in Pht-BzN (band at $\lambda_{\text{max}} = 290$ and the shoulder at 315 nm).

![Normalized absorption spectra of (a) Cz-BzN and (b) Pht-BzN in cyclohexane, toluene, dichloromethane, and PMMA (0.05 wt.%). c = $10^{-5}$ M in solution.](image)

Figure 4: Normalized absorption spectra of a) Cz-BzN and b) Pht-BzN in cyclohexane, toluene, dichloromethane, and PMMA (0.05 wt.%). c = $10^{-5}$ M in solution.

Steady-state photoluminescence spectra of Cz-BzN and Pht-BzN in solution are shown in Fig. 5. All presented molecules are characterized by the formation of an intramolecular charge-transfer state, but may display luminescence from both: LE and CT states.58-59 In solution, only Cz-BzN displays intense photoluminescence, while Pht-BzN remains non-emissive in all solvents except...
in cyclohexane (Fig. 5a) where only a very weak emission of near negligible intensity (PLQY=0.2%) is observed. The emission intensity also remains invariant of the presence of oxygen, indicating no significant contribution from TADF. The more luminescent Cz-BzN shows a clear positive solvatochromism (Fig. 5b). Such behaviour confirms the CT character of the emissive excited state. Among the three solvents used in the study, Cz-BzN displays the highest PLQY in toluene and cyclohexane (Table 2). The PLQY remains lower in DCM due to the strongly stabilised CT and thus stronger effect of non-radiative processes.

The luminescence decay lifetimes of Cz-BzN in degassed solutions are shown in Table 2, while the respective decay traces are shown in Figs 5c and d. We observe two monoexponential decay regimes in all three solvents used in the study. The short decay component in the nanosecond regime can be assigned to prompt fluorescence, while the longer decay component in the microsecond regime can be attributed to TADF.

Figure 5: a) Photoluminescence spectra of Pht-BzN in cyclohexane in aerated and degassed solution. b) Normalized photoluminescence spectra of Cz-BzN in solvents with increasing polarity: cyclohexane, toluene, DCM, and in PMMA, $\lambda_{ex} = 330$ nm. c) Decay curves of Cz-BzN in cyclohexane, toluene and DCM in the nanosecond timescale. d) Decay curves of Cz-BzN in cyclohexane, toluene and DCM in the microsecond timescale.
Table 2: Summary of photophysical properties in solution.

<table>
<thead>
<tr>
<th>Molecule</th>
<th>Solvent</th>
<th>λ&lt;sub&gt;PL&lt;/sub&gt; (nm)</th>
<th>FWHM (nm)</th>
<th>PLQY&lt;sub&gt;air&lt;/sub&gt;/PLQY&lt;sub&gt;(deoxyg)&lt;/sub&gt; (λ&lt;sub&gt;ex&lt;/sub&gt;=330nm)</th>
<th>τ&lt;sub&gt;PF&lt;/sub&gt; (ns)&lt;sup&gt;(deoxyg)&lt;/sup&gt;</th>
<th>τ&lt;sub&gt;DF&lt;/sub&gt; (μs)&lt;sup&gt;(deoxyg)&lt;/sup&gt;</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cz-BzN</td>
<td>Cyclohexane</td>
<td>457</td>
<td>115</td>
<td>0.13/0.16</td>
<td>9.0</td>
<td>26.8</td>
</tr>
<tr>
<td></td>
<td>Toluene</td>
<td>485</td>
<td>134</td>
<td>0.14/0.18</td>
<td>11.6</td>
<td>35.2</td>
</tr>
<tr>
<td></td>
<td>DCM</td>
<td>511</td>
<td>149</td>
<td>0.06/0.07</td>
<td>4.8</td>
<td>43.6</td>
</tr>
<tr>
<td>Pht-BzN</td>
<td>Cyclohexane</td>
<td>602</td>
<td>141</td>
<td>0.002/0.002</td>
<td>-</td>
<td>-</td>
</tr>
</tbody>
</table>

<sup>(deoxyg)</sup> Deoxygenated. Experimental error for PLQY is estimated at ±20%.

Steady-state photoluminescence properties in solid vs solution

Photoluminescence spectra in PMMA and in crystalline powder display a broad featureless shape (Fig.6) and are likely originated from a CT state, as in solution. The emission spectra in PMMA appear blue shifted in respect to cyclohexane for all three compounds (Tables 2 and S8, see ref. J. Phys. Chem. B 2022, 126, 14, 2740–2753 for Phx-BzN). This blue shift is due to a rigidochromic effect: limiting rotational/vibrational motions of molecules and suppressing geometrical relaxation leads to the excited state configuration being more similar to that of the ground state. Concomitant with the rigidochromic effect is a decrease in the spectrum FWHM and an increase in the PLQY. A similar rigidochromic effect is at play in crystal powders (Tables 2, 3, S8, and S10, see ref. J. Phys. Chem. B 2022, 126, 14, 2740–2753 for Phx-BzN). However, the CT energy does not follow a clear trend, which might be due to solid state solvation affecting the molecular dipole. Considering the solution and solid-state photophysics one may conclude that Cz-BzN (Table 3) displays crystallization-induced enhanced emission (CIEE, a phenomenon similar to CIE), while Phx-BzN (Table S10) and Pht-BzN (Table 3) display CIE.

Figure 6: Normalized steady-state photoluminescence spectra in PMMA films (0.05 wt.%) and in crystalline powders for (a) Cz-BzN; (b) Phx-BzN; (c) Pht-BzN. λ<sub>ex</sub>= 330 nm.

By comparing the photoluminescence of the molecules dispersed in PMMA with that in the crystalline powders (Fig.6) we notice that for Cz-BzN (Fig.6a) the emission spectrum is narrower in the crystal form, but the position of the maximum is the same. This is consistent with suppression
of molecular vibrations in Cz-BzN crystals. The bathochromic PL shift observed for Phx-BzN crystals (Fig.6b) is related to the increased stabilisation of the CT state by the ground state dipoles of neighbouring molecules. For example, orientation of the molecular dipoles in Phx-BzN crystal may lead to a significant stabilisation of the excited CT state. We believe the effect is not due to aggregation as packing in the Phx-BzN crystals (Fig. S7) does not reveal any π-π stacking. The Phx-BzN crystalline powder shows a significant hypsochromic shift in respect to PMMA films (Fig.6c), and a large increase of the PLQY to 39% (from only 0.2 % in cyclohexane, Table 3), in agreement with our assignment of the CIE properties. We note that Phx-BzN is only modestly luminescent in PMMA, PLQY = 1.7 % (Table 3), thus we believe the high PLQY in crystal is due to the highly rigid environment.

**AIE properties and sonication-induced crystallization**

To probe the AIE properties of Phx-BzN and Phx-BzN we precipitate them from dilute THF solutions by gradually adding water, which yields amorphous colloidal aggregates (see details in the ESI†, section 1.2). We observe that THF/water mixtures with water fraction f_w < 90% display a very low PL intensity, while upon further rise of water content PL increases significantly, indicative of aggregate formation (Figs 7 and S22). The dispersion obtained from Phx-BzN in THF/water mixture displays an emission profile similar to the crystalline powder (Figs 6b and S22), but the same is not true for the Phx-BzN where we observe a pronounced red shift (λ_max = 575 nm) in respect to the crystal PL and a significantly lower PLQY = 1% (Table 3), rather similar to that in amorphous neat film (Fig S21). Based on these results we believe that the obtained dispersions are amorphous. Since Cz-BzN presents high solution PLQY we did not probe its AIE properties.

To induce crystallization of the amorphous Phx-BzN dispersion, the suspension was sonicated for 10 minutes (see details in ESI†, section 1.2). After sonication the dispersion of Phx-BzN (Fig. 7 right) shows a significantly stronger and blue shifted luminescence, PLQY = 22% and λ_max = 500nm. Its PL spectrum closely matches that of the crystal powder, thus we attribute crystalline character to this sonicated dispersion. The dimensions of the obtained nanocrystals were determined using the DLS technique (see details in ESI†, section 1.3), which resulted in particle sizes distributed mostly around 250 nm and partially around 650 nm (Fig. S35).

**Amorphous vs crystalline films**

To gain further insight into the properties of Phx-BzN in amorphous and crystal states we first studied the molecule in neat film. A pristine film obtained by fast evaporation of a concentrated DCM solution of Phx-BzN presents the same emission spectrum as the initial (amorphous) colloidal dispersion described above. However, the film spontaneously crystallizes within an hour
at RT (Fig. S21a), resulting in significant blue shift and increase in PL intensity of the newly formed phase (Fig. S21b). We conclude that the seasoned film should be treated as crystalline as its PL spectrum agrees with that in crystals. We observe an increase of PLQY from 0.5% in amorphous film to 8% in crystalline film. The lower PLQY in the Pht-BzN crystalline film compared to crystalline powder strongly suggests the presence of quenchers in the former. These could be occluded solvent or oxygen from air that are less likely to affect luminescence in larger crystals.

We observe an increase of PLQY from 0.5% in amorphous film to 8% in crystalline film. The lower PLQY in the Pht-BzN crystalline film compared to crystalline powder strongly suggests the presence of quenchers in the former. These could be occluded solvent or oxygen from air that are less likely to affect luminescence in larger crystals.

**Figure 7**: Left: PL spectra of Pht-BzN in THF/water mixtures with varying water fraction (fw = 90, 95 and 99%), λex= 370 nm. Right: PL spectrum of Pht-BzN in THF/water after sonication, λex= 370 nm.

**Time-resolved photoluminescence study in solid state**

Time-resolved photoluminescence studies were performed to gain more insight into the nature of the PL behavior of Cz-BzN and Pht-BzN in solid phase. We focus on these two luminophores in the rest of the main article text. Results relevant to Phx-BzN can be found in the ESI†, section 3.4.3

**Time-resolved photophysical study of Cz-BzN and Pht-BzN in PMMA films**

Time-resolved PL spectra of Cz-BzN and Pht-BzN in PMMA are shown in Figs 8a, 8c, S23, and S30. The PL traces are characterized by a short decay component in the 2-10 ns timescale which we assign to prompt-fluorescence (PF). PF is followed by a slow PL decay in the microsecond regime that is attributed to TADF. We note that at RT, the PF appears blue shifted in respect to DF in both cases. This behaviour is very common among donor-acceptor systems and can be attributed to a slow geometry relaxation in the excited state or to the occurrence of various metastable excited state geometries in the solid phase.67,68 The decay of Cz-BzN (Fig. 8b) can be fitted with a monoexponential expression in the PF regime (τ = 8.4 ns), while the TADF emission presents a tri-exponential decay with an average decay lifetime of 2.7 ms. The decay trace of Pht-BzN at RT (Fig. 8d) is fitted with biexponential expressions in both PF and DF regimes, giving the average lifetime τav = 4.1 ns for PF and τav = 1.4 µs for TADF. The relationship between delayed
fluorescence intensity and laser excitation dose is linear for both compounds (Figs S24 and S34), a behaviour consistent with TADF. The ratio of delayed to prompt fluorescence (DF/PF) at RT (Table 3) is larger in Pht-BzN than in Cz-BzN.

Time-resolved PL spectra of Cz-BzN and Pht-BzN recorded at low temperature (80K) are broad and similar to those recorded at RT. At this temperature Cz-BzN does not display delayed fluorescence, while the Pht-BzN clearly shows a relatively intense delayed component at a similar energy as TADF at RT (2.58 eV vs. 2.61 eV respectively). The occurrence of TADF in Pht-BzN at 80K can be explained by a near zero $\Delta E_{ST}$ in this compound. Cz-BzN with a significantly larger $\Delta E_{ST}$ does not display TADF at this temperature but phosphorescence can be observed instead. Phosphorescence of Cz-BzN recorded at time delay of 70ms is broad and featureless, with the onset red shifted in respect to the phosphorescence of pure carbazole (Fig. 8a). This behaviour of the phosphorescence spectrum is highly suggestive of a delocalized nature of the $T_1$ state due to partial D-A conjugation. In Pht-BzN, at 80K, between 50µs and 100ms delay, the emission progressively blue shifts showing a subtle vibronic structure (Fig. S30) which resembles that of phenothiazine phosphorescence. Such behaviour indicates that the long-lived emission may be related to the $^3$LE state localised on the donor.
Figure 8: a) Time-resolved emission spectra of Cz-BzN in PMMA (0.05wt%) at room temperature and 80 K. Phosphorescence spectrum of carbazole (Cz) at 80 K is indicated with a black line. b) Photoluminescence decay of Cz-BzN in PMMA (0.05 wt%) at RT and 80 K. c) Time-resolved emission spectra of Pht-BzN in PMMA (0.05wt%) at room temperature and 80K. Phosphorescence spectrum of phenothiazine (Pht) at 80 K is indicated with a black line. d) Photoluminescence decay of Pht-BzN in PMMA (0.05wt%) at RT and 80K. Excitation wavelength: $\lambda_{ex} = 355$ nm.

**Time-resolved photophysical study of bulk Cz-BzN and Pht-BzN crystals**

The time-resolved behaviour of Cz-BzN and Pht-BzN crystals was studied at various temperatures. Here we present the results for Pht-BzN crystal at 295K (Fig. 9a) and 80K (Fig. 9b), while the time-resolved spectra for Pht-BzN crystal recorded at intermediate temperatures are presented in the ESI, Fig S32. The results for Cz-BzN crystalline powder are reported in Fig. S25a (RT) and Fig. S25b (80K). We note that Cz-BzN does not show any DF at RT (Fig. S25a). This is in line with the hypothesis that the molecules in crystal retain their $S_0$ geometry after excitation in crystal form. In such case, the molecules in crystal cannot relax their geometry in the excited state as they do in PMMA and in solution, where such relaxation leads to a reduction in $\Delta E_{ST}$ (see section DFT calculations above). A more detailed discussion of this aspect can be found in the ESI†, section 3.4.2. In contrast to Cz-BzN, Pht-BzN crystals show strong TADF at RT and the time-resolved PL spectra at 295 K (Fig. 9a) are invariant with time delay. We can observe that the phosphorescence of phenothiazine is at significantly lower energy than the long-lived PL of Pht-BzN crystals at 80 K (Fig. 9c). Hence, it appears that the energy of the lowest triplet state (which we believe is, thus, $^3$CT) is higher in energy than the $^3$LE of free phenothiazine (Scheme 1). This behaviour indicates an apparent violation of the rule of thumb for the role of local triplet states in the TADF mechanism.²⁴ We believe it is likely that the $^3$LE state of phenothiazine moiety in the crystal [denoted as $^3$LE (Pht)$_{crystal}$, Scheme 1] might be of higher energy than in free phenothiazine [$^3$LE (Pht), Scheme 1] due to the molecule geometry being frozen at its $S_0$ geometry. Thus, not only the frozen ground state geometry offers oscillator strength $f > 0$ accounting for high PLQY, but also enables fine tuning of the excited state energy leading to minimal $\Delta E_{ST}$. The condition $\Delta E_{ST}$=0 is thus achieved by increasing the $^3$LE energy of the donor upon freezing its configuration. Such behaviour demonstrates a significant novelty over previous accounts of AIE or similar phenomena. Based on these considerations and considering that the emission spectra of Pht-BzN crystals at 80 K remain completely invariant of time delay from 1 μs to 1 ms (Fig. 9c) it is likely that the observed long-lived emission can be assigned to TADF rather than $^3$CT phosphorescence.
Scheme 1: Proposed energy diagram showing the electronic energy levels involved in the TADF mechanism for Pht-BzN in the crystalline form. We also show where phenothiazine triplet energy is expected [3LE (Pht)] and where we believe it lays in the Pht-BzN crystal [3LE (Pht)_{crystal}].

Considering the increase of total PL intensity of Pht-BzN crystals at lower temperatures (Fig. 9d) we estimate that the PLQY increases to ~90% at 80 K from 39% at RT. Pht-BzN displays prominent TADF properties in crystal (Fig. 9d) as the DF/PF = 5 at RT is an order of magnitude larger than in PMMA (Table 3). The DF/PF ratio increases further at 80K to 7.3 (Table 3), which is due to the suppression of non-radiative processes affecting mostly the triplet state. An increase rather than decrease of the DF/PF at 80 K is a further indication that the TADF mechanism is not significantly suppressed at this temperature, reaffirming that ΔE_{ST}≈0.

Oxygen is known to quench long-lived PL in solution and in solid state. The decay profiles of Pht-BzN crystal in vacuum and in air are however almost identical (Fig. S31). This important finding suggests that Pht-BzN crystals do not allow oxygen to permeate and diffuse as they are dense and display tight molecular packing. This in turn prevents quenching of long-lived excited states. Such effect is highly desirable, as it provides excellent TADF and PL characteristics of crystals without the requirement to remove oxygen or use encapsulation.
Figure 9: Photoluminescence of Pht-BzN crystals: a) Time-resolved emission spectra at RT; b) time-resolved emission spectra at 80 K; c) Time-resolved emission spectra at room temperature and 80 K in comparison with the phosphorescence spectrum of phenothiazine. d) Decay transients at various temperatures indicated in the figure legend. λex = 355 nm.

Time-resolved photophysical study of Pht-BzN nanocrystals in suspension

Time-resolved photophysical properties of the Pht-BzN nanocrystals obtained by sonication (Fig. 10) are overall very similar to those recorded for the crystalline powder. Also in this case, the time-resolved spectra are invariant with delay time. The TADF characteristics of the dispersion are also similar to the crystalline powder, but DF/PF=3.46 and PLQY = 21% are slightly smaller (Table 3). Inferior TADF properties and PLQY of nanocrystals can be attributed to a larger surface area to volume ratio in relation to larger crystals, resulting in a stronger influence of quenchers on their PL. On the other hand, nanocrystals may contain occluded contaminants due to the way they are formed, including residue of the amorphous phase or the water/THF mixture.
Comparison of photoluminescence properties between Cz-BzN, Phx-BzN, and Pht-BzN

In this section we summarize the divergent photoluminescent characteristics of the three D-A luminophores studied in this work. Cz-BzN shows moderate CIEE properties but no TADF in crystal. This is because the molecule in the crystal form is forced to maintain a small D-A angle similar to that of the $S_0$ configuration. However, in solution, or when dispersed in a polymer matrix the molecule undergoes reorganization in the excited state (at least to some extent), leading to a decrease in $\Delta E_{ST}$ and activation of TADF. Pht-BzN and Phx-BzN present a different behavior in respect to Cz-BzN. In solution, where the Pht-BzN molecule is free to relax its excited state geometry, only a negligible emission can be observed. Here, the D-A units become perfectly orthogonal, leading to a pure CT transition which is symmetry forbidden, thus oscillator strength $f = 0$ (Table S7). However, in the crystal form the emission is activated because the tight packing prevents geometry relaxation to occur and the molecules remain locked in the $S_0$ geometry. At the $S_0$ geometry the D and A are near, but not perfectly orthogonal, allowing the CT transition to be luminescent with $f = 0.0006$ (Table S7). Pht-BzN displays low luminescent properties in PMMA, yet visibly improved in respect to solutions. The more rigid environment of the PMMA polymer host prevents some non-radiative decay, but the Pht-BzN molecule is still able to rearrange in the excited state, thus the oscillator strength $f \approx 0$. Phx-BzN behaviour is somewhat similar to that of Pht-BzN, but less pronounced, hence in this work we focused on the latter example.

*Table 3: Photophysical properties of Cz-BzN and Pht-BzN*

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<th>Molecule</th>
<th>Form</th>
<th>Temp. (K)</th>
<th>$\lambda_{PL}$ (nm)</th>
<th>$\Phi_{PL}/\Phi_{PL, \text{deox}}$</th>
<th>$\tau_{PF}$ (ns)</th>
<th>$\tau_{DF}$ (μs)</th>
<th>$\tau_{\text{phos.}}$ (ms)</th>
<th>$\Delta E_{ST}$ (eV)</th>
<th>DF/PF $^d$</th>
<th>$k_{\text{ISC}}$ (s$^{-1}$)</th>
<th>$k_{RISC}$ (s$^{-1}$)</th>
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<td>Cz-BzN</td>
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$^a$ Determined in solution.
$^b$ Determined in PMMA.
$^c$ Calculated from the emission spectra.
$^d$ Ratios of the intensities of the fast and delayed fluorescence components.
$^e$ Theoretical values calculated using the Franck-Condon principle.

Figure 10: Dispersion of Pht-BzN nanocrystals in water/THF mixture (after sonication): (left) Time-resolved emission spectra at room temperature (RT); (right) photoluminescence decay trace at room temperature. $\lambda_{ex} = 355$ nm.
Pht-BzN

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(ox) oxygen-free conditions, (a) PLQY estimated by comparing the PL intensity at RT with that at 80K, (b) average decay lifetime from biexponential fit. (Determination of the average decay time is described in ESI §1.4) (c) Calculated from the onset of the delayed fluorescence and phosphorescence spectra. (d) Delayed fluorescence to prompt fluorescence ratio derived as a ratio of integrated delayed fluorescence (DF) and prompt fluorescence (PF) intensity from the fitted decay curves. (e) The rate constant of ISC and RISC (determined by using the method reported in ESI §1.4, valid only for DF/PF ≥ 4). The experimental error for Φpl is ±20% of the value.

**CONCLUSION**

A series of three D-A compounds featuring a BzN electron acceptor decorated with carbazole (Cz-BzN), phenothiazine (Pht-BzN) or phenoxazine (Phx-BzN) donors have been investigated. In this work we have focused on Cz-BzN and Pht-BzN as the most prominent examples to demonstrate the effects of excited state geometry relaxation on photophysical properties in solution, film, and crystal. In particular, we have shown that the D-A dihedral angle increases towards full orthogonality in the excited state, while it remains smaller in the ground state. This finding has strong implications on the photoluminescent properties of crystals. Pht-BzN molecules, unable to relax their geometry in crystal, remain strongly emissive, but in solution or even to some extent in polymer they are allowed to relax their excited state geometry and become nearly non-emissive. We thus demonstrate that Pht-BzN shows crystallization-induced emission with an evident activation of TADF in crystal. We demonstrate, for the first time, that activation of TADF emission relies on the property of the crystal lattice to block the molecule relaxation and retain the near-orthogonal D-A configuration from S0. Fixing the molecule to its ground state geometry results in the oscillator strength remaining above zero, while still allowing for a very small ΔE_ST. Furthermore, we observe in the Pht-BzN crystal that the triplet energy of phenothiazine is higher than expected for the free donor moiety, hence allowing to realize ΔE_ST ~ 0 despite a blue shifted PL.

In this work we introduce the use of ultrasounds as a tool to obtain highly emissive TADF nanocrystals from amorphous nanoparticles dispersed in water. Thanks to the ultrasonic vibrations, the molecules can reorganize into a crystalline form which is thermodynamically more favored than the metastable amorphous phase. We therefore consider this result as a new strategy to develop luminescent organic nanocrystalline materials. These TADF nanocrystals could be used in high performance optoelectronic devices, advanced functional materials in biological sensing and imaging, AIE ‘turn-on’ probes, multifunctional AIE-active nano-systems, and self-assembly
materials. To the best of our knowledge, this is the first time this phenomenon is demonstrated and we suggest to name it sonocrystallization-induced TADF (SCI-TADF).

In light of these considerations, we believe that the reported AIE and CIE properties of the presented molecules show a potential to be applied in various research areas, especially in the emergent area of bio-nanotechnology and cell bioimaging. We believe therefore that further development of both the use of sonication for obtaining luminescent nanocrystals and the control of photophysical properties by using crystals and nanocrystals to switch on and off TADF, will enable the development of future efficient emissive materials and could play an important role in the field of optoelectronic devices, bioimaging, and sensing.

ACKNOWLEDGEMENTS

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