Pathway-dependent quantitative self-assembly of a metastable Pd_6L_4 square-based pyramid promoted by template and assist anions

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Abstract

Precise control of molecular self-assembly process is one of ultimate goals in molecular self-assembly because this enables us to make a rational design of self-assembly pathway to selectively obtain even metastable assemblies, which is impossible under thermodynamic control. Toward this goal, modulation of the energy landscape of molecular self-assembly is demanded. Here, we report that a metastable Pd_6L_4 square-based pyramid (SP) was almost quantitatively assembled by a pathway-dependent process with the aid of template and assist anions, which properly modulate the energy landscape, while usual heating a mixture of building blocks without modulation of the energy landscape gave a mixture of Pd_6L_4 SP and uncharacterized species in equilibrium. These results indicate that synergy of template and assist effects is powerful approach for pathway selection in metal-organic assembly resulting in a metastable product selectively.

Introduction

Taking advantage of geometrically prescribed, relatively strong coordination bonds and high designability, metal-organic assembly has made great progress creating various well-defined discrete and infinite assembled structures (metal-organic cages1-19 and metalorganic frameworks²⁰⁻³²) in the past few decades. Molecular selfassembly under thermodynamic control enables us to obtain thermodynamically most stable assembly efficiently thanks to error correction arising from the reversibility of interactions between the building blocks.^{33,34} However, creation of metastable, complicated assemblies is still challenging in artificial molecular self-assembly. According to the laws of thermodynamics, metastable species cannot be produced as a major product under thermodynamic control, so kinetic approach is the only way to solve this problem.³⁵⁻⁷¹ But our understanding of energy landscapes of molecular self-assembly and their precise modulations is not sufficient for us to rationally design the assembly pathway through which a desired metastable assembly is selectively produced.

M₆L₄ square-based pyramid (SP) consisting of tritopic ligand 1 and [Pd(en)]²⁺ (en: ethylenediamine) is a bowl-shaped structure assembled in D₂O originally reported by Fujita and coworkers.⁷² In the course of our mechanistic study of the self-assembly process of the M₆L₄ SP, it was found that the self-assembly of tritopic ligand 1 and cis-protected [PdPy*2]2+ complex (Pd: Pd(TMEDA), Py*: 3chloropyridine) in organic solvent at relatively low concentration gave a mixture of the $[Pd_21_2]^{4+}$ open structure, the $[Pd_61_4]^{12+}$ SP, and uncharacterized species under thermodynamic control.73 The $[Pd_{6}1_{4}]^{12+}$ SP is a dimerized structure of $[Pd_{2}1_{2}]^{4+}$ connected by two Pd^{2+} complexes (Fig. 1). The reason why the $[Pd_61_4]^{12+}$ SP is not thermodynamically stable is mainly due to weak coordination bonds made between the two $[Pd_21_2]^{4+}$ open structures (indicated as red broken circles in Fig. 1). Increasing the concentration of the substrates without competitive leaving ligand (Py*) shifts the equilibrium towards the [Pd₆1₄]¹²⁺ SP but uncharacterized species remain even at almost saturation concentration (Figs. 1, left and S1).73 Thus, the [Pd₆1₄]¹²⁺ SP cannot be produced as a sole product under thermodynamic control.

Here we present almost quantitative assembly of the $[Pd_61_4]^{12+}$ SP

by pathway-dependent process under kinetic control promoted by synergy of the template anion (BF₄⁻) and the assist anion (ReO₄⁻) even at low concentration. These anions properly modulate the energy landscape of the self-assembly and enable to produce the metastable [$Pd_{e}1_{4}$]¹²⁺ SP as a major product.



Figure 1. Pathway-dependent quantitative self-assembly of the metastable $[Pd_614]^{12+}$ square-based pyramid (SP) through the $[Pd_212]^{4+}$ open structure with the aid of template and assist anions (BF₄⁻ and ReO₄⁻, respectively) under kinetic control (right). Usual thermodynamic approach by heating a mixture of building blocks (Pd^{2+} and **1** in a 3:2 ratio) led to a mixture of the $[Pd_212]^{4+}$ open structure, the $[Pd_614]^{12+}$ SP, and uncharacterized species in equilibrium (left). Red broken circles shown in (BF₄⁻)₂⊂[Pd_614]¹²⁺ indicate weak Pd(II)–N coordination bonds, which affect the thermodynamic stability of the (BF₄⁻)₂⊂[Pd_614]¹²⁺ SP.

Results and discussion

Thermodynamic properties of Pd₂L₂ open structure

It was recently found that a template anion is essential for the formation of the $[Pd_21_2]^{4+}$ open structure to reduce electrostatic repulsion between the two Pd(II) ions.³⁴ PF₆⁻ is too large to be encapsulated, so $[Pd_21_2]^{4+}$ cannot be formed with PF₆⁻ as the counter anion. Accordingly, we investigated the thermodynamic template effect^{75–78} of anions on the self-assembly of $[Pd_21_2]^{4+}$. Self-assembly of $(X^-) \subset [Pd_21_2]^{4+}$ was carried out by mixing Pd^{2+} and 1 in a 1:1 ratio in the presence of anions smaller than PF₆⁻ (X⁻: NO₃⁻, BF₄⁻, CIO₄⁻, or ReO₄⁻) under thermodynamic control (343 K) (Figs. 2a and S2). The yield of $(X^-) \subset [Pd_21_2]^{4+}$ depended on the anion. NO₃⁻ led to the product in the highest yield (Fig. 2a), indicating that NO₃⁻ is the best template anion under thermodynamic control.

The ¹H NMR spectra of $(X^{-}) \subset [\mathbf{Pd}_2\mathbf{1}_2]^{4^+}$ are almost the same except the chemical shift of H^a protons, which point towards the cavity of $[\mathbf{Pd}_2\mathbf{1}_2]^{4^+}$, suggesting hydrogen bonds between anions (X^{-}) and H^a (Figs. 2b and S2). Lower down field shift of H^a proton of $(NO_3^{-}) \subset [\mathbf{Pd}_2\mathbf{1}_2]^{4^+}$ than those of the other $(X^{-}) \subset [\mathbf{Pd}_2\mathbf{1}_2]^{4^+}$ open structures suggests strong hydrogen bonds between H^a and NO_3^{-} .



Figure 2. Thermodynamic properties of $(X^-) \subset [Pd_21_2]^{4+}$ affected by the encapsulated anion (X^-) . (a) The yields of $(X^-) \subset [Pd_21_2]^{4+}$ under thermodynamic control, which were determined by ¹H NMR based on the internal standard. (b) The chemical shift values of H^a protons for $(X^-) \subset [Pd_21_2]^{4+}$. (c) Relative affinity of X⁻ in the cavity of $[Pd_21_2]^{4+}$ determined by competition experiments against BF₄⁻. *K*_(X/BF4) is defined as $([(X^-) \subset [Pd_21_2]^{4+}][BF_4^-])/([(BF_4^-) \subset [Pd_21_2]^{4+}][X^-])$. (d) The crystal structure of $(NO_3^-) \subset [Pd_21_2]^{4+}$. Color labels, C: blue, N: red, O: green, Pd: yellow, H:

white.

Colorless single crystals were obtained by slow diffusion of Et₂O into a solution of $(NO_3^-) \subset [Pd_21_2](PF_6)_3$ in CD₃NO₂/CDCl₃/CD₃OD prepared from 1 and $[PdPy^*_2](PF_6)_2$ with NO₃⁻. The crystal structure of $(NO_3^-) \subset [Pd_21_2](PF_6)_3$ (Fig. 2d and Table S1) showed that a NO₃⁻ anion was placed between the two positively charged Pd(II) ions, whose distance is 7.671 Å. Oxygen atoms of the bound NO₃⁻ and neighboring hydrogens (H^a in 1 and methyl groups of TMEDA) are closer than the sum of their van der Waals radii, indicating hydrogen bonds.

The relative affinity of the anions in the cavity of $[Pd_21_2]^{4+}$ was evaluated by competition experiments (Figs. 2c and S3). NO₃⁻ is about 100 times more strongly bound to the cavity than BF₄⁻ and ClO₄⁻, while the binding of ReO₄⁻ is much lower than that of BF₄⁻. This binding preference reflects the size of the anions (NO₃⁻ < BF₄⁻ ≈ ClO₄⁻ < ReO₄⁻) and does not consistent with the electrostatic surface potential of the anions (Fig. S4), so the size-complementarity is the dominant factor of the binding. The smallest NO₃⁻ is suitable to strongly bind in the cavity. The affinity of ReO₄⁻ is negligibly small, though ReO₄⁻ can work as a template of [*Pd*_21_2]⁴⁺ for its self-assembly.

Solvent also plays a key role to affect the energy landscape. Mixing of $[Pd(CH_3CN)_2]^{2+}$ and **1** in a 1:1 ratio in the presence of coordinative solvent, CD₃CN/CDCl₃/CD₃OD (7:3:2, v/v/v), at 298 K gave $(BF_{4^-}) \subset [Pd_21_2]^{4+}$ in 76% yield and heating at 343 K decreased the yield of $(BF_{4^-}) \subset [Pd_21_2]^{4+}$ (20%) with free **1** (53%) (Fig. S5). The self-assembly of the $(BF_{4^-})_2 \subset [Pd_61_4]^{12+}$ SP from $[Pd(CH_3CN)_2]^{2+}$ and **1** in a 3:2 ratio was also carried out in CD₃CN/CDCl₃/CD₃OD (7:3:2, v/v/v) at 343 K resulting in no formation of the $(BF_{4^-})_2 \subset [Pd_61_4]^{12+}$ SP (Fig. S6). These results indicate that $(BF_{4^-}) \subset [Pd_21_2]^{4+}$ and the $(BF_{4^-})_2 \subset [Pd_61_4]^{12+}$ SP are not thermodynamically most stable in coordinative solvent.

Kinetic effect of anions on the formation of Pd_2L_2 open structure

Template anions are expected not only to affect the thermodynamic stability of the assembly but also to affect the formation rate of the assembly (kinetic template effect79-88). The self-assembly of $(X^{-}) \subset [Pd_21_2]^{4+}$ was monitored at 298 K by ¹H NMR spectroscopy (Figs. 3a and S7–S10). It was found that the formation of $(X^{-}) \subset [Pd_21_2]^{4+}$ was very fast with NO_3^- , which is consistent with the strongest binding of NO₃⁻ in $[Pd_21_2]^{4+}$. The self-assembly of $(\text{ReO}_4^-) \subset [Pd_21_2]^{4+}$ is much faster than $(\mathsf{BF}_4^-) \subset [\mathbf{Pd}_2\mathbf{1}_2]^{4+}$ and $(\mathsf{CIO}_4^-) \subset [\mathbf{Pd}_2\mathbf{1}_2]^{4+}$ and comparable to $(NO_3^-) \subset [Pd_21_2]^{4+}$, though the binding of ReO_4^- is much weaker than that of the other anions. This result suggests that fast formation of $(\text{ReO}_4^-) \subset [\textbf{Pd}_2 \mathbf{1}_2]^{4+}$ is caused due to acceleration of ligand exchanges by the participation of ReO₄⁻ in the ligand exchange process. The ligand exchanges on a Pd(II) ion center take place by associative mechanism⁸⁹⁻⁹² through a five-coordinate trigonal-bipyramidal transition state (Fig. 3b) and coordinative solvents and counter anions have potential to promote the ligand exchange process (assist effect).93-95

To truly evaluate the assist effect of the anions excluding the contribution of kinetic template effect, the self-assembly of the $[Pd_62_4]^{12+}$ truncated tetrahedron (TT) from tritopic ligand 2 (2,4,6-tri(4-pyridyl)-1,3,5-triazine) was conducted under the same condition (Figs. S11-S13). The ¹H NMR spectrum of the $[Pd_62_4]^{12+}$ TT was not affected by the anions (Figs. S11 and S12), indicating no interaction between the anion and the $[Pd_62_4]^{12+}$ TT. Thus, NO₃⁻ and ReO₄⁻ do not play as a template in the self-assembly of the $[Pd_62_4]^{12+}$ TT. The self-assembly of the $[Pd_62_4]^{12+}$ TT was largely accelerated by NO₃⁻ and ReO₄⁻ compared with BF₄⁻ and the rate of the formation with NO₃⁻ is slightly

faster than with ReO₄⁻ (Fig. S13). Thus, NO₃⁻ plays as an excellent template (kinetic and thermodynamic template effects) and promotes ligand exchanges (assist effect), while ReO₄⁻ shows only good assist effect with very weak template effect for the self-assembly of [*Pd*₂1₂]⁴⁺.



Figure 3. (a) Time-course of the self-assembly of $(X^{-}) \subset [\mathbf{Pd}_2\mathbf{1}_2]^{4^+}$ at 298 K monitored by ¹H NMR spectroscopy ([**1**]₀:[\mathbf{Pd}]_0:[X^{-}]_0 = 2:2:1). Inset indicates the logarithm plot. (b) Ligand exchange mechanism on a Pd(II) center by associative process. L^B indicates the entering ligand, which are the pyridyl groups in **1** and coordinative anions (NO₃⁻ and ReO₄⁻) in the present case.

Kinetic assembly of Pd₆L₄ SP from Pd₂L₂ open structure

Encouraged by the good assist effect of NO3⁻ and ReO4⁻ and template effect of the anions in Fig. 2a, we were interested in modulation of the energy landscape by template and assist anions to obtain the metastable [Pd₆14]¹²⁺ SP selectively. The possibility of pathwaydependent assembly of the $(X^{-})_2 \subset [Pd_61_4]^{12+}$ SP was explored under various conditions (Fig. 4). It was found that the (BF₄-)₂⊂[Pd₆1₄]¹²⁺ SP was quantitatively produced from $(BF_4^-) \subset [Pd_21_2]^{4+}$ and Pd^{2+} with ReO₄⁻ at 298 K in 1 h (Fig. 4b). The (BF₄⁻)₂⊂[*Pd*₆1₄]¹²⁺ SP thus obtained is kinetically stable enough not to be decomposed for at least 3 h at 343 K (Fig. S14). Observation of total 12 aromatic signals with the same integral values arising from desymmetrized tritopic ligand 1 (Fig. 4b) is consistent with the symmetry of the $[Pd_61_4]^{12+}$ SP. The ¹H NMR signals of the $(BF_4^{-})_2 \subset [Pd_6\mathbf{1}_4]^{12+}$ SP were assigned by (H,H)-COSY and (H,H)-NOESY spectroscopy (Figs. S15 and S16). The $(BF_4^{-})_2 \subset [Pd_61_4]^{12+}$ SP was further characterized by ¹H DOSY spectroscopy (Fig. S17) and ESI-TOF spectrometry (Fig. S18). The ¹H NMR spectrum of the $(BF_4^-)_2 \subset [\textbf{Pd}_6\textbf{1}_4]^{12+}$ SP in the presence of $\text{ReO}_4{}^-$ is the same as that without $\text{ReO}_4{}^-$ (Fig. S19), indicating that ReO₄⁻ does not interact with the $(BF_4^-)_2 \subset [Pd_61_4]^{12+}$ SP at all. Long time heating of a solution of the (BF₄⁻)₂⊂[*Pd*₆1₄]¹²⁺ SP at 343 K (9 days)

gave a mixture of the $(BF_4^-)_2 \subset [Pd_61_4]^{12+}$ SP (30%) and uncharacterized species (70%), so the quantitatively formed $(BF_4^-)_2 \subset [Pd_61_4]^{12+}$ SP is a metastable state (Fig. 1, right).

In contrast, the yield of the $(BF_4^-)_2 \subset [Pd_61_4]^{12+}$ SP was quite low (61%) without ReO₄⁻ (Fig. S1), indicating that the assist effect of ReO₄⁻ dramatically promotes the formation of the metastable $(BF_4^-)_2 \subset [Pd_61_4]^{12+}$ SP. Interestingly, though NO₃⁻ has a greater assist ability, such a quantitative formation of the $[Pd_61_4]^{12+}$ SP was not realized. The reaction of $(NO_3^-) \subset [Pd_21_2]^{4+}$, Pd^{2+} , and free NO₃⁻ at 298 K led to a mixture of the $(NO_3^-)_2 \subset [Pd_61_4]^{12+}$ SP (67%) and uncharacterized species (33%) (Fig. 4e). Heating this reaction mixture did not improve the yield of the $(NO_3^-)_2 \subset [Pd_61_4]^{12+}$ SP.

The $(NO_3^-)_2 \subset [Pd_61_4]^{12+}$ SP was obtained by exchange of BF₄⁻ in the $(BF_4^-)_2 \subset [Pd_61_4]^{12+}$ SP with NO_3^- (Fig. 4a). Several minor signals appeared after the formation of the $(NO_3^-)_2 \subset [Pd_61_4]^{12+}$ SP and the NMR spectrum finally became similar to that obtained from a mixture of $(NO_3^-) \subset [Pd_21_2]^{4+}$ and Pd^{2+} (Figs. 4e and S20). These results indicate that the assist effect of NO_3^- is strong enough to rearrange the coordination bonds in the $(NO_3^-)_2 \subset [Pd_61_4]^{12+}$ SP resulting in equilibration of the system (Fig. 4).



Figure 4. Pathway-dependent assembly of the [Pd₆1₄]¹²⁺ SP from (500 ¹H NMR spectra (BF₄⁻)⊂[*Pd*₂1₂]⁴⁺. Partial MHz CD₃NO₂/CDCI₃/CD₃OD (7:3:2, v/v/v), 298 K, aromatic region) of (a) the $(NO_{3}^{-})_{2} {\subset} [\textit{Pd}_{6}\textbf{1}_{4}]^{12*}$ SP obtained immediately after the addition of NO_{3}^{-} in the $(BF_{4})_{2} \subset [Pd_{6}1_{4}]^{12+}$ SP, (b) the $(BF_{4})_{2} \subset [Pd_{6}1_{4}]^{12+}$ SP assembled from $(BF_{4}^{-}) \subset [Pd_{2}1_{2}]^{4+}$ and Pd^{2+} in the presence of ReO_{4}^{-} , (c) $(BF_{4}^{-}) \subset [Pd_{2}1_{2}]^{4+}$, (d) $(NO_3^-) \subset [Pd_21_2]^{4+}$, and (e) the reaction mixture of $(NO_3^-) \subset [Pd_21_2]^{4+}$ and Pd²⁺ in the presence of free NO₃⁻ measured after convergence at 298 K. Signals colored in red and blue indicate $(X^{-}) \subset [Pd_2 1_2]^{4+}$ and the $(X^{-})_{2} \subset [Pd_{6}1_{4}]^{12+}$ SP, respectively. Uncharacterized species were not observed by ¹H NMR spectroscopy. The yields were determined based on the internal standard. The assignment of the signals is shown in the Supporting Information.

Pathway-dependent quantitative self-assembly of Pd₆L₄ SP

Finally, the direct formation of the $(BF_4^-)_2 \subset [Pd_61_4]^{12+}$ SP was conducted from 1 and $[Pd(CH_3CN)_2](BF_4)_2$ with ReO_4^- (Fig. 1, right). Surprisingly, the $(BF_4^-)_2 \subset [Pd_61_4]^{12+}$ SP was obtained almost quantitatively (90%) in 3 h at 343 K in one step (Fig. 5b). The self-

assembly with only either BF₄⁻ or ReO₄⁻ gave the (X⁻)₂⊂[Pd_61_4]¹²⁺ SP in 61% and 0% yield, respectively (Fig. S21). These results indicate that synergy of the template and assist anions modulate the energy landscape to make a proper self-assembly pathway to the metastable (BF₄⁻)₂⊂[Pd_61_4]¹²⁺ SP.

Then, the pathway selection mechanism was preliminarily investigated. As the $(BF_4^-)_2 \subset [Pd_61_4]^{12+}$ SP was quantitatively produced from $(BF_4^-) \subset [Pd_21_2]^{4+}$ and Pd^{2+} in the presence of ReO_4⁻ in 1 h (Fig. 4b), it is obvious that ReO_4⁻ significantly accelerates the dimerization of $(BF_4^-) \subset [Pd_21_2]^{4+}$. In contrast, the formation of $(BF_4^-) \subset [Pd_21_2]^{4+}$ was not accelerated by ReO_4⁻ (Fig. 5c). These results suggest that ReO_4⁻ mainly contributes to the dimerization of $(BF_4^-) \subset [Pd_21_2]^{4+}$ with Pd^{2+} to form the $(BF_4^-)_2 \subset [Pd_61_4]^{12+}$ SP.

Based on these findings, the following scenario is proposed. First, BF_4^- promotes the formation of $(BF_4^-) \subset [Pd_21_2]^{4+}$ by kinetic template effect but other intermediates are also produced. ReO4- largely accelerates the dimerization of $(BF_4^-) \subset [Pd_21_2]^{4+}$ with Pd^{2+} to form the metastable $(BF_4)_2 \subset [Pd_61_4]^{12+}$ SP, which causes decrease in the concentration of $(BF_4^-) \subset [Pd_21_2]^{4+}$. As $(BF_4^-) \subset [Pd_21_2]^{4+}$ and other species are interconvertible by reversible reactions (Fig. 5a), low concentration of $(BF_4) \subset [Pd_21_2]^{4+}$ would make a local equilibrium between $(\mathsf{BF}_4{}^{\scriptscriptstyle -}){\subset}[\textit{Pd}_21_2]^{4{\scriptscriptstyle +}}$ and other intermediates shift toward the formation of $(BF_4^-) \subset [Pd_21_2]^{4+}$. $(BF_4^-) \subset [Pd_21_2]^{4+}$ thus produced quickly reacts with **Pd**²⁺ to form the (BF4⁻)₂⊂[**Pd**614]¹²⁺ SP by the assist effect of ReO₄⁻. Relatively high kinetic stability of the (BF₄⁻)₂⊂[Pd₆1₄]¹²⁺ SP prevents the $(BF_4^-)_2 \subset [Pd_61_4]^{12+}$ SP from reaching thermodynamic equilibrium. If this mechanism is valid, ReO4⁻ does not equally assist the ligand exchanges in all the elementary reactions concerning in the self-assembly. In other words, ReO4⁻ seems to selectively modulate the dimerization step.



Figure 5. Direct self-assembly of the metastable $(BF_4^-)_2 \subset [Pd_61_4]^{12+}$ SP by synergy of template and kinetic effects. (a) A proposed mechanism of pathway selection in the self-assembly of the $(BF_4^-)_2 \subset [Pd_61_4]^{12+}$ SP. (b) A partial ¹H NMR (500 MHz, CD₃NO₂/CDCl₃/CD₃OD (7:3:2, v/v/v), 298 K, aromatic region) of the $(BF_4^-)_2 \subset [Pd_61_4]^{12+}$ SP. Signals colored in blue are assigned to the $(BF_4^-)_2 \subset [Pd_61_4]^{12+}$ SP. The assignment of the signals was conducted based on (H,H)-COSY and (H,H)-NOESY spectroscopy (Figs. S15 and S16). (c) Self-assembly of $(BF_4^-) \subset [Pd_21_2]^{4+}$ with or without ReO₄⁻.

The yield of $(BF_4^-){\subset}[\textit{Pd}_21_2]^{4+}$ was determined by 1H NMR spectroscopy based on the internal standard.

Conclusion

In conclusion, a metastable assembly, the $(BF_4^-)_2 \subset [Pd_61_4]^{12+}$ SP, was almost quantitatively produced by pathway-dependent process under kinetic control. The pathway selection was realized by modulation of the energy landscape, which is affected by a combination of the template anion (BF₄⁻), the assist anion (ReO₄⁻), and solvent. Even though NO3⁻ plays as both template and assistant for ligand exchange, the $[Pd_61_4]^{12+}$ SP was not produced quantitatively with NO₃⁻ because its strong assist effect led the system to thermodynamic equilibrium. The preferential production of the metastable state was achieved by synergy of the template and assist effects with appropriate ability in almost non-coordinative solvent. The assist effect of ReO4- mainly contributes to the dimerization step of $(BF_4) \subset [Pd_21_2]^{4+}$. Such a selective acceleration of a certain step(s) is the key to the pathway selection but its mechanism is unclear. More detailed investigation of the modulation of the energy landscape by quantitative analysis of self-assembly pathway96,97 will reveal the origin of the synergistic effect on the pathway selection in molecular self-assembly. Further research along this line is currently underway.

Author contributions

S.Hi. conceived the project. T.A. carried out all experiments. S.Ho. conducted refinement of the crystal structure. S.Hi. prepared the manuscript and all authors discussed the results and commented on the manuscript.

Conflicts of interest

There are no conflicts to declare.

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