# Degenerate and Non-Degenerate Two-Photon Absorption of Coumarin Dyes

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#### Abstract

Two-photon absorption (2PA) spectroscopy is a robust bioimaging tool that depends on the determined cross-sections ( $\sigma^{2PA}$ ). The absorption of both photons occurs simultaneously with equivalent (degenerate) or different (non-degenerate) photon energies, D-2PA and ND-2PA, respectively. The former has been investigated experimentally and computationally for many systems, while the latter remains relatively unexplored computationally and limited experimentally. In this study, response theory using time-dependent density functional theory (TD-DFT) and the 2-state model (2SM) have been utilized to investigate  $\sigma^{\text{D-2PA}}$  and  $\sigma^{\text{ND-2PA}}$  for the excitation to the lowest energy singlet state  $(S_1)$  of coumarin, coumarin 6, coumarin 120, coumarin 307, and coumarin 343. Solvents involved were methanol (MeOH), chloroform (ClForm), and dimethylsulfoxide (DMSO), where the latter leads to the largest  $\sigma^{2PA}$ . Values of  $\sigma^{2\text{PA}}$  are largest for coumarin 6 and lowest for coumarin, which illustrates the effect of substituents. The 2SM clarifies how the largest cross-sections correspond to molecules with the largest transition dipole moments,  $\mu_{01}$ . In general,  $\sigma^{D-2SM}$  computations agree with  $\sigma^{\text{D-2PA}}$ . Moreover,  $\sigma^{\text{ND-2SM}}$  are in qualitative agreement with  $\sigma^{\text{ND-2PA}}$  with comparable enhancement relative to  $\sigma^{D-2PA}$ . Overall,  $\sigma^{ND-2PA}$  are larger than  $\sigma^{D-2PA}$  where the increase is in the range of 22% to 49%. This work aids in future investigations into various fluorophores to understand their photophysical properties for ND-2PA.

#### Introduction

Coumarin is an aromatic organic compound that is a common fluorescent dye, and it is the parent compound to many derivatives with tuned optical and electronic properties. <sup>1–3</sup> Coumarin, along with its derivatives, are applicable to cell staining, <sup>4,5</sup> useful as fluorescence probes, <sup>6–8</sup> and can act as efficient sensitizers for solar cells. <sup>9–11</sup> However, as fluorescent dyes, their one-photon absorption (1PA) properties are well understood, but there remains questions to be answered for multi-photon absorption in the coumarins.

Photon absorption (PA) is the excitation of a molecule from one electronic state to a higher energy one. In 1931, two-photon absorption (2PA) was first established by Maria Göppert-Mayer, <sup>12</sup> where the absorption of a pair of photons occurs simultaneously with both photons having similar or different energies. After 30 years and with the invention of lasers, the first experimental findings for 2PA were reported for CaF<sub>2</sub>:Eu<sup>2+</sup>. <sup>13</sup> Compared to one photon absorption (1PA), 2PA is a non-linear process where the absorbed energy is quadratically proportional to the incident light intensity. This quadratic dependence leads to deeper sample penetration and better focus, which are critical for clinical imaging. <sup>14</sup> Moreover, 2PA involves excitation with longer wavelengths, which is an attractive feature for biological imaging, as typical one-photon excitations in the UV-vis region then have 2PA wavelengths in the desired optical window for *in vitro* or *in vivo* imaging. These properties permit important technological applications such as high-resolution microscopy, <sup>15,16</sup> non-destructive imaging, <sup>17,18</sup> and spectroscopy. <sup>19,20</sup>

2PA can be degenerate (D-2PA) or non-degenerate (ND-2PA), where the excitation of a fluorophore occurs within the near-infrared region. For D-2PA, both absorbed photons have the same frequency, *i.e.*, same energy (Figure 1). On the other hand, for ND-2PA, the same excitation energy needed for D-2PA can be obtained where the two photons have different frequencies, *i.e.*, different energies. While D-2PA has been investigated for various

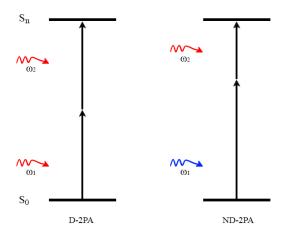


Figure 1: Degenerate ( $\omega_1 = \omega_2$ ) and non-degenerate ( $\omega_1 \neq \omega_2$ ) two-photon absorption. For ND-2PA,  $\omega_1$  and  $\omega_2$  are the larger and smaller photon frequencies, respectively.

systems, <sup>21–28</sup> ND-2PA remains a somewhat unexplored area. In 1999, the two beams pump-probe measurement approach of the ND-2PA spectra was reported, <sup>29,30</sup> which is based on the use of a strong pump IR beam and a weak probe white light beam.

Attention has been growing recently for determining ND-2PA spectra, the corresponding cross-sections ( $\sigma^{2PA}$ ), and the enhancement relative to D-2PA.  $^{31-33}$  Xue et al.  $^{31}$  used chloroform as a solvent combined with nanosecond pulses to investigate the D-2PA and ND-2PA spectra of coumarin 6 and coumarin 343; the structures of these coumarins, along with all others considered in the present work are given in Figure 2. On the other hand, Xu et al.  $^{32}$  used femtosecond pulses and dimethylsulfoxide (DMSO) as a solvent for coumarin 4 and coumarin 120, but the measured  $\sigma^{2PA}$  did not exceed 6 GM (1 GM =  $10^{-50}$  cm<sup>4</sup> s molecule<sup>-1</sup> photon<sup>-1</sup>). These small 2PA cross-sections for coumarins are in agreement with the work of Sadegh et al.,  $^{33}$  which also used femtosecond pulses and DMSO as a solvent to determine the normalized  $\sigma^{2PA}$  for coumarin 343. In general, the measured  $\sigma^{2PA}$  of the coumarin dyes is quantitatively low, but there still remains some discrepancies in the reported values as the results of Xue et al. exceed 45 GM for coumarin 6 and coumarin 343. Differences in measured values for the same coumarins are attributed to the pulse duration, light sources, solvents used, and sample concentration.  $^{21,22,31-33}$ 

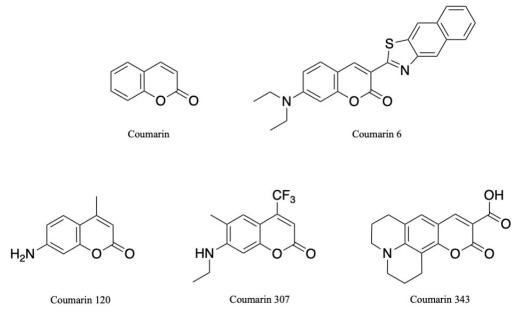


Figure 2: Coumarin fluorophores investigated in this study.

Overall, these studies commonly found that the ND-2PA spectra have similar shapes but different intensities than the corresponding D-2PA spectra. Moreover, the ND-2PA cross-sections,  $\sigma^{\text{ND-2PA}}$ , have enhanced (larger) values compared to the corresponding D-2PA cross-sections,  $\sigma^{\text{D-2PA}}$ , where, for example, Sadegh et al. found for coumarin 343 a significant increase of about 40% and up to 75% for fluorescent proteins. The improvements measured in these studies were confirmed theoretically utilizing the essential-state approximation of the sum-over-states (SOS) model. The wide-range of applications of 2PA has resulted in a quest for chromophores with specific desired photophysical properties. Therefore, understanding the underlying properties governing 2PA in dyes, fluorescent proteins, and small molecules, remains crucial to advance the knowledge of biological imaging as well as for the design of novel imaging agents and advanced dye-sensitizers. In exploring this area, computational chemistry plays a vital role where conclusions drawn provide useful guidelines for the design of efficient dyes.  $^{39-42}$ 

Thus far, the theoretical exploration of ND-2PA has been limited to the SOS model. However, to our knowledge, quadratic response theory has not been applied to understand measured ND-2PA cross-sections, and these computations will aid in understanding the experimental results. The computational results will also shed more light on the effects of molecular substituents and solvents used on the ND-2PA process. The research utilizes density functional theory (DFT) and time-dependent DFT (TD-DFT) to study the photoexcitation of five common fluorescent coumarin dyes (Figure 2). The previous experimental reported findings will be compared to the 2-state essential SOS model computed herein along with the quadratic response results. Moreover, this work illustrates D-2SM and ND-2SM comparison based on high-level of computations, CAM-B3LYP/aug-cc-pVDZ, relative to computationally predicted cross-sections using response theory, which has not been reported previously. It should be noted that the changes made to difference between  $\Delta E$  and  $\omega_1$ , which leads to  $\omega_2$ , are maintained to not exceed about 0.90 eV, which is adapted from experimental regimes for the reported coumarins.  $^{31,33}$ 

# Computational Methods

The geometries of the five coumarins were optimized in methanol (MeOH), chloroform (ClForm), and dimethylsulfoxide (DMSO). The solvent selection was based on the most commonly used solvents in the available experimental studies. <sup>31–33,43–45</sup> The optimization was performed using Gaussian 16<sup>46</sup> utilizing Becke's three-parameter exchange hybrid with the Lee-Yang-Parr (LYP) correlation functional in conjunction with the 6-31+G(d,p) basis set. <sup>47,48</sup> Dispersion interactions were accounted for using D3 correction with Becke-Johnson (BJ) damping. <sup>49–52</sup> The computations included the conductor polarizable continuum model (CPCM) where the solvents MeOH, ClForm, and DMSO were used. <sup>53–55</sup> The characterization of the optimized geometry was supported by analysing the computed vibrational frequencies, where the minima structures were determined with no imaginary frequencies.

To study the excitation from the ground state,  $S_0$ , to the first singlet excited state,  $S_1$ ,

TD-DFT,<sup>56</sup> employing quadratic response theory, was used where calculations were performed using Dalton.<sup>57</sup> The long-range corrected B3LYP adopting the Coulomb attenuating method (CAM-B3LYP)<sup>58</sup> has been used with Dunning's correlation consistent, polarized valence, double zeta basis sets, aug-cc-pVDZ.<sup>59,60</sup> The selection of the level of theory was based on a number of benchmarks where CAM-B3LYP was recommended for  $\sigma^{2PA}$  calculations.<sup>27,61-63</sup> Moreover, to use the 2-state model (2SM) approximation for qualitative analysis relative to the quadratic response results, the permanent and transition dipole moments were computed using Gaussian 16 at CAM-B3LYP/aug-cc-pVDZ. Furthermore, all TD-DFT and 2SM calculations were performed using MeOH, ClForm, and DMSO solvents.

In quadratic response theory, the two-photon transition matrix elements,  $S_{\alpha\beta}$  where  $\alpha, \beta = x, y, z$ , and difference between permanent dipole moments between  $S_0$  and  $S_1$  are needed to compute  $\sigma^{2PA}$ . The two-photon transition matrix,  $S_{\alpha\beta}$ , is expressed as

$$S_{\alpha\beta} = \sum_{n} \left[ \frac{\langle 0|\mu_{\alpha}|n\rangle \langle n|\mu_{\beta}|f\rangle}{\omega_{n} - \omega_{1}} + \frac{\langle 0|\mu_{\beta}|n\rangle \langle n|\mu_{\alpha}|f\rangle}{\omega_{n} - \omega_{2}} \right],\tag{1}$$

where  $\mu_{\alpha,\beta}$  corresponds to the Cartesian dipole moment component,  $\omega_n$  refers to the excitation energy from the initial state  $|0\rangle$  to state  $|n\rangle$ , where f is the final state, which is S<sub>1</sub> herein, and the photon energies are  $\omega_1$  and  $\omega_2$  ( $\omega_1 = \omega_2 = \frac{\omega_n}{2}$  for D-2PA). The 2PA transition moment,  $\delta^{2\text{PA}}$ , is defined as

$$\delta^{2\text{PA}} = \sum_{\alpha\beta} [S_{\alpha\alpha} S_{\beta\beta}^* + 2S_{\alpha\beta} S_{\alpha\beta}^*]. \tag{2}$$

The cross-section for degenerate 2PA ( $\sigma^{\text{D-2PA}}$ ) can be computed as

$$\sigma^{\text{D-2PA}} = \frac{N\pi^2 a_0^5 \alpha \omega^2}{15c\Gamma} \delta^{2PA} \tag{3}$$

where N is set to 4 in single beam, D-2PA, and set to 8 in double beam, ND-2PA,  $^{64}$   $a_0$  is

Bohr radius,  $\alpha$  is the fine-structure constant, c is the speed of light, and  $\Gamma$  is the lifetime broadening (set at 0.1 eV in the present work). However, for  $\sigma^{\text{ND-2PA}}$ , the term  $\omega^2$  in Equation 3 is  $= \omega_1 \omega_2$ , where  $\omega_1$  is the energy of the first photon and  $\omega_2$  is the energy of the second photon.

The 2SM is a truncated approximation of the SOS expression (Equation 1) that depends on some physical parameters: excitation energy ( $\Delta$ E), photon frequency ( $\omega$ ), transition dipole moment ( $\mu_{01}$ ) for the excitation from S<sub>0</sub> to S<sub>1</sub>, and permanent dipole moments of S<sub>0</sub> and S<sub>1</sub> ( $\mu_{00}$  and  $\mu_{11}$ , respectively). The model provides insight into which physical parameters contribute to the computed  $\sigma^{2\text{PA}}$ . In this work, two states are included, the ground state (S<sub>0</sub>) and the first excited state (S<sub>1</sub>), where in this approximation the cross-sections predicted by the model,  $\sigma^{2\text{SM}}$ , are proportional to the square of the difference between the permanent dipole moments from S<sub>0</sub> to S<sub>1</sub>,  $||\Delta\mu||^2 = (\langle 1|\mu|1\rangle - \langle 0|\mu|0\rangle)^2$ , as well as the transition dipole moment,  $||\mu_{01}||^2 = \langle 0|\mu|1\rangle^2$ . Both cases of degenerate and non-degenerate 2SM cross-sections were computed, corresponding to  $\sigma^{\text{D-2SM}}$  and  $\sigma^{\text{ND-2SM}}$ , respectively. The  $\sigma^{\text{D-2SM}}$  was calculated according to Equation 3, while relative change of  $\sigma^{\text{ND-2SM}}$  to  $\sigma^{\text{D-2SM}}$  (enhancement = Enh.) was computed based on the work of Sadegh et al., <sup>33</sup>

Enh. = 
$$\frac{(\omega_1 + \omega_2)^2}{4\omega_1\omega_2} \tag{4}$$

where  $\omega_1$  and  $\omega_2$  correspond to the first and second photon energies, respectively.

# Results and discussion

The selection of the coumarin dyes is based on the available experimental studies that measure  $\sigma^{\text{ND-2PA}}$  or the ND-2PA spectrum relative to the corresponding D-2PA spectrum. Overall, reporting absolute  $\sigma^{\text{2PA}}$  is a challenging process and some quantitative discrepancies

are expected when comparing different experimental values or in comparing computationally determined values to experimental measurements. For example, the measured  $\sigma^{\text{D-2PA}}$  of coumarin 343 is 48 GM in ClForm (as estimated from the reported experimental spectrum), <sup>31</sup> however, the normalized cross-sections illustrate how far lower it is in DMSO through the reported Enh. results. <sup>33</sup> On the other hand, qualitatively, for a given coumarin, the enhancement of the ND-2PA spectrum (cross-sections), relative to D-2PA is independent of solvent. <sup>31–33</sup> The computed cross-sections of the selected dyes are compared to the available experimental results, quantitatively and qualitatively. By understanding the trends in D-2PA and ND-2AP cross-sections for substituted coumarins, insights into the expected cross-sections enhancements can be obtained and how it can be improved for a variety of interesting fluorophores.

The selected coumarin dyes, see Figure 2, are rigid in structure and the available conformers will have negligible effects on the cross-sections. The main investigation of this work is focused on the computations of ND-2PA cross-sections and their change relative to the corresponding D-2PA ones. As for the solvation effects, the geometry optimization in different solvents shows that there are no observed significant differences between the structures whether in bond lengths or angles, where the determined difference of the former does not exceed 0.005 Å, while for the latter it is 0.001°; it is 3.27° in coumarin 343. This observed angle difference is in the ClForm solvent, which is a result of the rotation of the carboxylic group. However, the solvent selection is maintained in 2PA calculations as the cross-sections are influenced by solvent. The coordinates of the optimized geometries are provided in a separate text file.

#### Degenerate Two-Photon Absorption

D-2PA computations using quadratic response theory provide  $\Delta E$  ( $\omega_1 + \omega_2$ ) as well as  $\sigma^{2PA}$ . The computed  $\sigma^{D-2PA}$  in the selected solvents were compared to find the solvent that leads to the largest values, see Figure 3. The difference in the computed D-2PA cross-sections in the studied solvents for the coumarins does not exceed 9.0%, which is observed in coumarin 343.

The resulting  $\sigma^{\text{D-2PA}}$  of the substituted coumarins are much larger than the parent coumarin (Figure 3). For coumarin,  $\sigma^{\text{D-2PA}} = 2.02$  GM in DMSO is somewhat larger than the values obtained in MeOH, 1.69 GM, and ClForm, 1.64 GM. On the other hand, coumarin 6 has significant  $\sigma^{\text{D-2PA}}$  values of 73.40 GM (DMSO), 63.80 GM (MeOH), and 64.80 GM (ClForm). These large values could be attributed to the electron-donating, N(Et)<sub>2</sub>, moiety that is substituted at position 7, which aids in the free electronic movement along the  $\pi$  conjugation that is improved by the presence of the aromatic rings on position 2.<sup>65</sup> As for coumarin 120, in DMSO,  $\sigma^{\text{D-2PA}} = 23.70$  GM, whereas  $\sigma^{\text{D-2PA}}$  in MeOH and ClForm are 19.80 and 20.70 GM, respectively. The obtained values are in agreement with the experimental value  $\sigma^{\text{D-2PA}} = 19.30$  GM measured at 754.50 nm (1.64 eV), where ethanol was used as a solvent.<sup>45</sup> Both coumarin 307 and coumarin 343  $\sigma^{\text{D-2PA}}$  values are close in DMSO and MeOH, with differences that do not exceed 3.30 GM. Their cross-sections are in the range

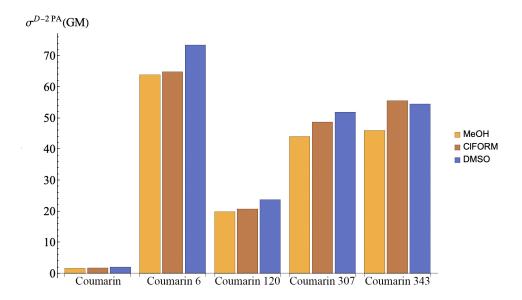


Figure 3: D-2PA cross-sections of the coumarin dyes at CAM-B3LYP/aug-cc-pVDZ in different solvents.

of 44 to 55 GM, larger than coumarin 120 and coumarin, but lower than coumarin 6, which is the one with the highest computed cross-sections. The computed value of  $\sigma^{\text{D-2PA}} = 44.00$  GM in MeOH for coumarin 307 is greater than the previously reported value of 16.70 GM at B3LYP/6-31G(d) as well as the experimentally measured value,  $19 \pm 5.5$  GM.  $^{66,67}$  The difference between the computed values is because of differences in the geometry and level of theory, which affects the computed dipole moments, and thus, cross-sections.  $^{64}$  In terms of method selection, CAM-B3LYP has been generally recommended for computing 2PA properties for different chemical systems where it was found to produce somewhat closer results to coupled cluster singles and doubles (CC2) and experimental findings.  $^{61-63}$  Nonetheless, as previously mentioned,  $\sigma^{2\text{PA}}$  discrepancies between computational and experimental results are anticipated.

To understand the reasons for the higher cross-sections in DMSO, the transition dipole moments ( $\mu_{01}$ ) for the excitation from S<sub>0</sub> to S<sub>1</sub> have been computed for the used solvents, see Table S1. The results show that MeOH and ClForm give comparable  $\mu_{01}$  values with the former leading to a somewhat higher magnitude, which reflects on the cross-sections as they are also comparable, as can be seen in the above-mentioned reported data. The highest values are obtained using the DMSO solvent, and thus, it produces the highest computed cross-sections using 2SM and response theory. Therefore, the analysis in the upcoming discussion is based on selecting DMSO as a solvent.

The degenerate 2PA cross-sections predicted using the 2SM,  $\sigma^{\text{D-2SM}}$ , illustrate the difference between one fluorophore with high  $\sigma^{\text{D-2SM}}$  from another with low values, see Table 1. The increase in  $\sigma^{\text{D-2SM}}$  values for the dyes is accompanied with larger  $\mu_{01}$ . Thus, the increase of  $\sigma^{\text{D-2SM}}$  and  $\sigma^{\text{D-2PA}}$  can be analysed or predicted primarily with the magnitude of  $\mu_{01}$ . For example, the magnitude,  $\mu_{01} = 2.21$  a.u., for coumarin is lower than the other dyes, while for coumarin 6 it is the highest,  $\mu_{01} = 4.67$  a.u. This reflects on the evaluated  $\sigma^{\text{D-2SM}}$  values where it is lowest for coumarin, 3 GM, and highest for coumarin 6, 71 GM. This is

Table 1: The physical parameters needed for the 2SM for the excitation from  $S_0$  to  $S_1$  in DMSO at the CAM-B3LYP/aug-cc-pVDZ level of theory. Also reported are the cross-sections from the 2SM ( $\sigma^{D-2SM}$ ) and response theory ( $\sigma^{D-2PA}$ ).

Dye	$\Delta \mathrm{E}$	$  \Delta\mu  $	$  \mu_{01}  $	$\sigma^{ ext{D-2SM}}$	$\sigma^{ ext{D-2PA}}$
Coumarin	4.33	0.92	2.21	3.25	2.02
Coumarin 6	3.05	1.95	4.67	70.62	73.40
Coumarin 120	3.95	2.06	2.86	28.28	23.70
Coumarin 307	3.46	2.86	3.07	65.70	51.20
Coumarin 343	3.23	2.22	3.98	65.76	54.50

 $<sup>\</sup>mu$  in a.u.,  $\sigma$  in GM, and  $\Delta$ E in eV.

in agreement with response theory calculations, i.e.,  $\sigma^{\text{D-2PA}}$ , which is also true for the other dyes. However, slight discrepancies are observed in coumarin 307 and coumarin 343 with differences from response theory of 14.50 and 11.26 GM, respectively. On ther hand, the trend of  $\sigma^{\text{D-2SM}}$  is similar to the one predicted by response theory where  $\sigma^{\text{D-2PA}}$  for coumarin is lowest and for coumarin 6 is highest, comes after it coumarin 343, coumarin 307, and coumarin 120. Therefore, theoretical predictions using 2SM agree with the response theory computations both quantitatively and qualitatively, which suggests that 2SM can be used for ND-2PA to analyse the cross-sections and the trends therein.

## Non-Degenerate Two-Photon Absorption

The approach of doing ND-2PA to compute  $\sigma^{\text{ND-2PA}}$  proceeded with changing both  $\omega_1$  and  $\omega_2$  relative to  $\Delta E$  with a change of 0.1 eV increment. The investigated systems were scanned based on their individual  $\Delta E$ ,  $\omega_1$ , and  $\omega_2$  values. For example, the 2PA scan of coumarin 6 by changing  $\omega$  (Figure S1), where first, a D-2PA calculation is performed to find the excited state energy,  $\Delta E = 3.05$  eV. Dividing the value of  $\Delta E$  by a factor of 2 leads to the photon energies,  $\omega_1$  and  $\omega_2 = 1.53$  eV, with a high  $\sigma^{\text{D-2PA}}$  value of 73.40 GM. For ND-2PA,  $\omega_1$  is

Table 2: Relative increase in cross-sections from D-2PA to ND-2PA (%) in different solvents (CPCM) at the CAM-B3LYP/aug-cc-pVDZ level of theory. Results are for the largest  $\omega_1$  considered for each system.

			Dye		
Solvent	Coumarin	Coumarin 6	Coumarin 120	Coumarin 307	Coumarin 343
MeOH	54.2	20.7	38.8	27.3	20.1
ClForm	43.2	20.5	37.3	24.7	19.2
DMSO	49.5	22.5	41.8	29.6	22.3

changed which is accompanied by a change in  $\omega_2$ , however, a change from 1.53 eV to 1.63 eV does not result in noticeable changes in the computed  $\sigma^{\text{ND-2PA}}$ . Thus, such negligible changes are not included in the reported results. As an initial change of  $\sigma^{\text{ND-2PA}}$  values, there is a difference of 0.73 GM at  $\omega_1 = 1.70$  eV, and it is increasing to 2.15 GM at  $\omega_1 = 1.80$  eV. Moreover, increasing  $\omega_1$  further to 2.20 eV with a difference of 0.85 eV from  $\Delta E$  results in  $\sigma^{\text{ND-2PA}} = 89.93$  GM. In this system, the difference between  $\omega_1$  and  $\Delta E$  was further scanned to 0.85 eV to illustrate that the difference increases slightly more than the previous photon energies scan and then increases to even a larger difference that leads to extreme ND-2PA with significant enhancement and  $\sigma^{\text{ND-2PA}}$  values. The computed  $\sigma^{\text{ND-2PA}}$  values are quantitatively higher with a total increase of 22.5%, relative to D-2PA values (Table 2). Similar to D-2PA, coumarin 6 ND-2PA results are largest compared to the other dyes. The resulting improvement of ND-2PA values to D-2PA is in qualitative agreement with the experimental studies.  $^{31-33,68}$  For a complete overview of  $\sigma^{\text{D-2PA}}$  and  $\sigma^{\text{ND-2PA}}$ , see Figure 4.

Compared to coumarin, coumarin 6 computed cross-sections are larger, however the improvement in coumarin is dramatically higher, 49.5%, where  $\sigma^{\text{D-2PA}} = 2.02 \text{ GM}$  at  $\omega_1 = 2.17$  eV while  $\sigma^{\text{ND-2PA}} = 3.02 \text{ GM}$  at  $\omega_1 = 3.30 \text{ eV}$ . In fact, coumarin results in the highest computed improvement investigated, see Table 2. A somewhat comparable  $\sigma^{\text{ND-2PA}}$  improvement to coumarin is coumarin 120, where the enhancement is 41.8%, where  $\omega_1$  was scanned from

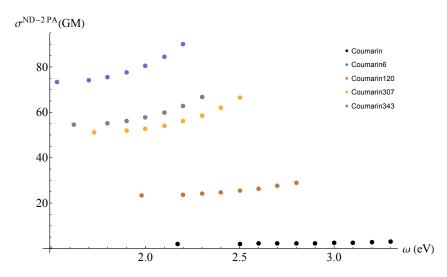


Figure 4:  $\sigma^{\text{ND-2PA}}$  as a function of  $\omega_1$  ( $\omega_2 = \Delta E - \omega_1$ ) for the studied dyes at the CAM-B3LYP/aug-cc-pVDZ in DMSO level of theory.

1.98 eV, obtained from D-2PA, to 3.00 eV with a corresponding  $\sigma^{\text{ND-2PA}}$  value of 33.18 GM. Complete  $\omega$  scans for the coumarin dyes are provided in Tables S2–S10. Furthermore, coumarin 307 and coumarin 343 are quantitatively close in results, for example see Figures 3 and 4. Particularly at  $\omega_1 = 2.5$  eV for coumarin 307, the computed  $\sigma^{\text{ND-2PA}} = 66.36$  GM is similar to the value obtained for coumarin 343,  $\sigma^{\text{ND-2PA}} = 66.63$  GM at  $\omega_1 = 2.3$  eV. Although  $\Delta\mu$  and  $\mu_{01}$  for both dyes are different (Table 1), the cross-sections are similar when the difference between  $\omega_1$  and  $\Delta E$  is lowest. In spite of that, the enhancement computed in coumarin 307 is larger, 29.6%, than coumarin 343 enhancement of 22.3%. It is worth noting that the experimentally measured  $\sigma^{\text{ND-2PA}} = 49.00$  GM where  $\omega_1 = 1.90$  eV for coumarin 343 in DMSO is comparable to the computed value of 56.23 GM (Table S6).<sup>31</sup>

The 2SM provides enhancement results (Equation 4), which leads to a quantitative measurement of the relative enhancement of the computed cross-sections. The available 2SM results,  $^{31-33}$   $\sigma^{\text{ND-2SM}}$ , have been somewhat limited to low-level computational methods and restricted comparison to experimental studies for the estimated enhancement. For example, ND-2SM applied to substituted fluorene molecules utilized semi-empirical methods to compute the ground and excited state dipole moments, where the model predicts an en-

hancement difference that does not exceed 20% from experimental results.  $^{68}$  Moreover, the implementation of the model for xanthene, oxazine, coumarin 6, and coumarin 343 dyes to compute 2PA spectra using B3LYP/6-311+G(d) resulted in cross-sections exceeding 250 GM in the calculated spectra, for all species.  $^{31}$  For coumarin 120,  $^{32}$  the ND-2PA sum-over-states model with 10 excited states at B3LYP/6-311++G(3df,3pd) shows comparable excitation energies to experiment and reasonable cross-sections agreement within about 50% of experimental measurements. The work of Sadegh et al.  $^{33}$  showed that for different flourophores the experimentally determined enhancement was higher than the 2SM prediction by no more than 0.53 (Equation 4).

The analysis of  $\sigma^{\text{ND-2SM}}$  relative to  $\sigma^{\text{D-2SM}}$  depicts the enhancement of ND-2PA cross-sections. For simplicity, the dye with the highest computed cross-sections, coumarin 6, was

Table 3: ND-2PA cross-sections of the 2-state model ( $\sigma_{\omega}^{\text{ND-2SM}}$ ), ratio of non-degenerate to degenerate photon energies (Enh.) and ND-2PA cross-sections ( $\sigma_{\omega}^{\text{ND-2PA}}$ ) computed using quadratic response of **coumarin 6** at the CAM-B3LYP/aug-cc-pVDZ level of theory in DMSO.

	Co	Coumarin 6			
$\omega_1 \; (\mathrm{eV})$	$\sigma_{\omega}^{ ext{ND-2SM}}$	$\sigma_{\omega}^{ ext{ND-2PA}}$	Enh.		
$1.53^{\dagger}$	$70.62^{\dagger}$	$73.40^{\dagger}$	1.00		
1.7	71.33	74.13	1.01		
1.8	72.74	75.55	1.03		
1.9	74.86	77.53	1.06		
2.0	78.39	80.52	1.11		
2.1	82.63	84.54	1.17		
2.2	87.57	89.93	1.24		
Relative change (%) <sup>‡</sup>	24.0	22.5	24.0		

<sup>&</sup>lt;sup>†</sup>D-2PA values.

<sup>&</sup>lt;sup>‡</sup>Final  $\sigma^{\text{ND}}$  values relative to  $\sigma^{\text{D}}$ .

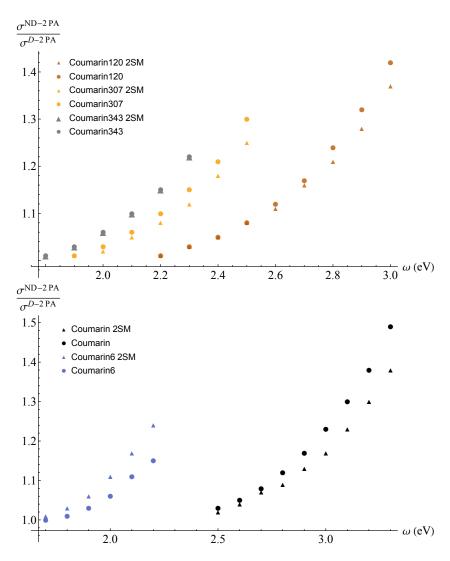


Figure 5: Enhancement results from both response theory and 2SM, as a function of  $\omega_1$  ( $\omega_2 = \Delta E - \omega_1$ ) for the five coumarin dyes, calculated at the CAM-B3LYP/aug-cc-pVDZ level of theory in DMSO.

selected as an example, see Table 3. The predicted  $\sigma^{\text{ND-2SM}}$  are relatively in agreement with  $\sigma^{\text{ND-2PA}}$ . Moreover, the qualitative improvement, 24.0%, is in agreement with the response theory result, within a difference that does not exceed 6.7%. For a full overview of the model comparison to the computed results for the studied dyes, see Figure 5. Interestingly, for coumarin 6, the 2SM predicts that the enhancement is higher than the computed values using response theory, see bottom plot of Figure 5. However, the opposite behavior is observed

for the other coumarin dyes. On the other hand, results of the model are equivalent to the computed response theory results for coumarin 343. For tabulated results of the different dyes, see Tables S7- S10.

In general, ND-2SM is in quantitative as well as qualitative agreement with ND-2PA results. Thus, the considered excitation from  $S_0$  to  $S_1$  for the coumarins is good enough to illustrate the cross-sections and excitation energies. The differences observed are within considerable consistencies to previously reported results that were compared to experimental observations. On a quantitative level, the most observed ND-2SM underestimation is observed in the relative change for coumarin (Table S7), where the difference in the computed relative change between ND-2SM and ND-2PA is 11.3%. Thus, the 2SM approximation suggests that higher-lying excited states might be involved in the cross-sections computations and are necessary to provide better agreement with 2PA results. For the rest of the dyes, it is in agreement with a maximum deviation of 4.6%, which is found in the computed relative change of coumarin 307 (Table S9).

#### Conclusions

In this study, the computations of ND-2PA cross-sections for five coumarin dyes were reported using response theory and the 2SM approximation utilizing TD-DFT for the transition from  $S_0$  to  $S_1$ . Both D-2PA and ND-2PA cross-sections were computed in MeOH, ClForm, and DMSO, where the largest cross-sections were found using DMSO. This increase is (primarily) based on  $\mu_{01}$  values that were found to be highest in DMSO, relative to the other solvents. To analyse the computed cross-sections using 2SM for D-2PA and ND-2PA, both  $\Delta\mu$  and  $\mu_{01}$  were computed and analysed. The change of  $\omega_1$  and  $\omega_2$  was based on the difference between  $\omega_1$  and the excitation energy of the system, which did not exceed 0.90 eV. Moreover, the work involved comparing the results with D-2PA quantitatively, qualitatively,

as well as the available experimental results.

Quantitatively, the lowest cross-sections are obtained for the parent coumarin dye. However, the substituents were found to increase the computed cross-sections significantly, where the highest values, for D-2PA and ND-2PA, were observed for coumarin 6, then in a descending order, coumarin 343, coumarin 307, and coumarin 120. Moreover, the cross-section analysis using 2SM is in agreement with 2PA results obtained using response theory.

Qualitatively, the cross-sections obtained from ND-2PA are higher than the ones from D-2PA, which is in agreement with the available experimental studies, and this observation is due to enhancement effects. The overall improvement was highest for coumarin (49.5%), then coumarin 120 (41.8%), and coumarin 307 (29.6%), whereas the lowest enhancement obtained was about 22% for coumarin 343 and coumarin 6. The analysis of ND-2SM shows that the enhancement effects increase as  $\omega_1$  increases. Interestingly, the 2SM enhancement results are higher than response theory values by 2%, only for coumarin 6, whereas it is lower for the rest of the dyes by at least 6%, and consistent for coumarin 343 by 1.5%.

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