

Synthesis and Self-assembly of Limonene Oxide - Lactide Block Copolymers

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The reaction of limonene oxide with a zirconium complex led to polymers with a molar mass up to 4.0 kDa, the largest limonene oxide homopolymer reported so far. Diblock, triblock, and tetrablock copolymers of limonene oxide and L-lactide were also prepared using a redox switchable catalyst based on the same zirconium complex; the obtained copolymers are the first-ever reported limonene oxide-lactide block copolymers. The solid-state self-assembly properties of the copolymers were characterized by small angle X-ray scattering and rheology measurements, giving a self-assembly domain radius of 11, 25, and 35 nm for the diblock, triblock, and tetrablock copolymers, respectively.

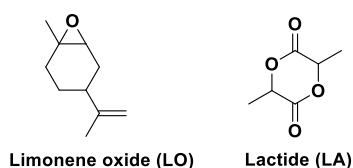
Introduction

Limonene oxide (LO, Figure 1a)¹⁻² is a biorenewable material that can be used to synthesize green polymers, which can then be naturally depolymerized and minimize the current “white pollution”.³⁻⁴ LO can be easily prepared from limonene, an abundant terpene extracted from citrus that has already gained wide application as a solvent and insecticide.⁵ LO is largely used as a monomer to be copolymerized with CO₂ to form poly(limonene carbonate) (PLC, Figure 1b),⁶⁻¹³ or anhydrides to form polyesters.¹⁴⁻¹⁸ However, few reports exist on the homopolymerization of LO. In 1985, poly(limonene oxide) (PLO) was prepared for the first time by a radiation-induced cationic polymerization,¹⁹ with a molar mass averaging 2.0 kDa. Another study on the photoinitiated cationic polymerization of LO also reported homopolymers with molar masses lower than 1.7 kDa and high dispersity.²⁰ Recently, metal-catalyzed ring opening polymerization was used for PLO synthesis, giving a molar mass of 1.3 kDa but good control over dispersity.²¹

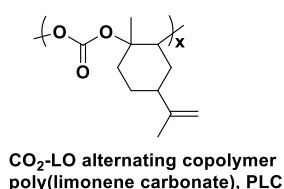
The limited number of reports available and the low molar mass of the PLO suggest that the homopolymerization of LO is difficult, also indicating that the preparation of LO based block copolymers other than alternating copolymers might not be straightforward. In order to determine if LO based block copolymers have value and potential applications,²² preparation methods are needed.

We decided to use redox switchable copolymerization for the synthesis of LO block copolymers with L-lactide (LA, Figure 1c), another biorenewable monomer. Redox switchable catalysis is a novel method for synthesizing block copolymers; a metal catalyst can have orthogonal activity toward different monomers depending on its oxidation state.²³⁻²⁸ Upon adding an external oxidant or reductant, different monomers can be selectively polymerized and added to the polymer chain, therefore, a block copolymer can be prepared.

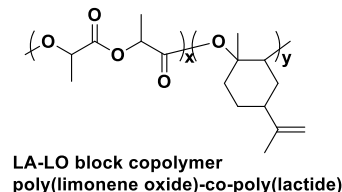
(a) Monomers used in this study



(b) Previously reported



(c) This work



(d) Zirconium complexes used in this study

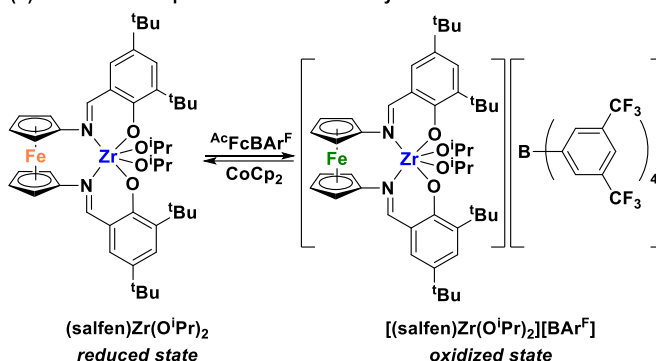


Figure 1 (a) Monomers used in this study: LO is (+)-limonene oxide, mixture of *cis* and *trans*, LA is L-lactide; (b) previously reported poly(limonene carbonate); (c) an example of a LO copolymer reported in this work; (d) redox switching of (salfen)Zr(OⁱPr)₂.

Our group has studied ferrocene-based metal complexes that can perform redox switchable ring opening polymerization.²⁹⁻⁴³ The ferrocene unit in the ligand backbone is the redox center, while the other metal is the catalytic center (Figure 1d). For example, (salfen)Zr(OⁱPr)₂ (salfen = N,N'-bis(2,4-di-*tert*-butylphenoxy)-1,1'-ferrocenediimine) can polymerize lactones in the reduced state and epoxides in its oxidized state, and such redox switches can be repeated multiple times.³² The compound was previously used to prepare LA and cyclohexene oxide copolymers, so we reasoned it could be a good candidate for LO polymerization. Herein, we report the use of (salfen)Zr(OⁱPr)₂ to prepare large LO homopolymers, and the

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first LO block copolymers with LA. The self-assembly properties of the copolymers were probed by rheology measurements and small angle X-ray scattering (SAXS).

Table 1 LO homopolymerization studies.^a

Entry	Monomer equiv.	Time (h)	Temp. (°C)	Solvent volume (mL)	Mn SEC (kDa) ^b	Mn calcd. (kDa) ^c	\bar{D}
1	100	1	25	0.6	3.0	7.5	1.13
2	100	2	25	0.6	3.0	7.5	1.14
3	100	4	25	0.6	3.4	7.5	1.08
4	100	6	25	0.6	2.9	7.5	1.13
5	75	2	25	0.6	3.6	5.6	1.05
6	200	2	25	0.6	3.4	15.1	1.11
7	100	2	0	0.6	4.0	7.5	1.15
8	100	6	0	0.6	3.9	7.5	1.11
9	100	2	40	0.6	3.4	7.5	1.06
10	100	2	50	0.6	2.3	7.5	1.08
11	100	2	25	0.3	3.0	7.5	1.12
12	100	2	25	1.2	3.8	7.5	1.05

^a All polymerization reactions were carried out with 4 μ mol precatalyst, C₆D₆ was used as a solvent and hexamethylbenzene as an internal standard. All reactions achieved 100% conversion. ^b Molar masses were derived from SEC measurements. ^c The theoretical molar mass was calculated based on two initiating groups in the precatalyst.

Results and Discussions

LO Homopolymerization

[(salfen)Zr(OⁱPr)₂][BAR^F] (BAR^F = tetrakis(3,5-bis(trifluoromethyl)-phenyl)borate), the precatalyst in the oxidized state, was used for the LO homopolymerization. The reactions reached 100% conversion within 1 h (Figure S1), but the molar mass obtained from the size exclusion chromatography (SEC) was less than the theoretical value. Therefore, we tried to optimize the reaction conditions by modifying the reaction time, temperature, monomer to precatalyst ratio, and concentration (Table 1). The molar mass of the homopolymers did not vary too much among the 12 entries in Table 1, falling in the range of 2.9 to 4.0 kDa. The reaction time did not have a significant impact on the molar mass of the homopolymers (Table 1, entry 1-4), nor did the amount of monomer (Table 1, entry 5-6). The solvent volume, or the general concentration, did not show a great impact on the molar mass (Table 1, entry 11-12) either. The only factor

that impacted the molar mass, though slightly, was the temperature. A temperature as low as 0 °C gave a higher molar mass (Table 1, entry 7-8), while an elevated temperature of 50 °C gave a lower molar mass (Table 1, entry 10) than the unoptimized reaction, respectively. We postulated that the LO homopolymerization is affected by some back-biting side reaction (Scheme S1), and the polymer chain gets “locked” at certain lengths. Thermodynamics may favor the back-biting over polymer propagation over a certain chain length, which is about 3-4 kDa in our case. The low temperature can slow down the back-biting, leading to a higher degree of polymerization, thus a higher molar mass.

A mixture of *cis*- and *trans*(+)-limonene oxide was used during the studies. The reaction was monitored by ¹H NMR spectroscopy, and the spectra showed that both isomers reacted (Figure S2). The *cis* isomer reacted faster than the *trans* isomer. At the end of the polymerization, the *cis* isomer was almost fully consumed while 23% of the *trans* isomer was left unreacted.

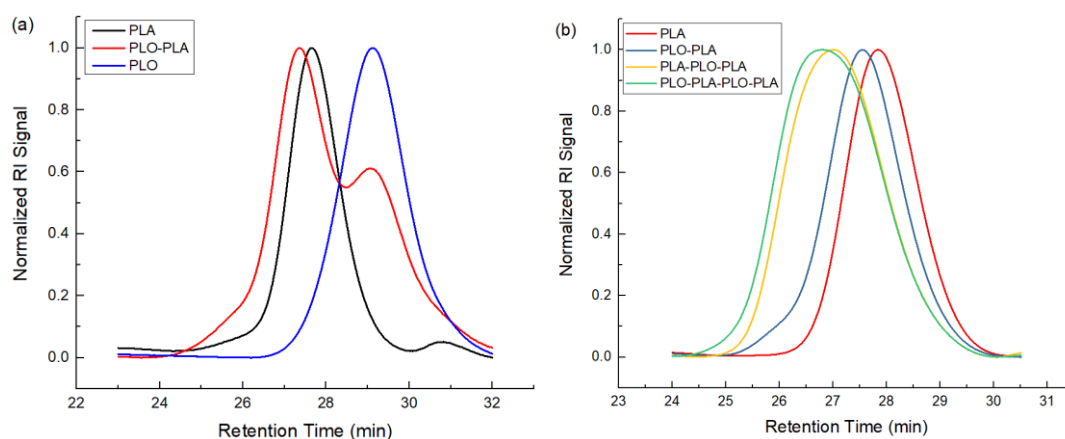


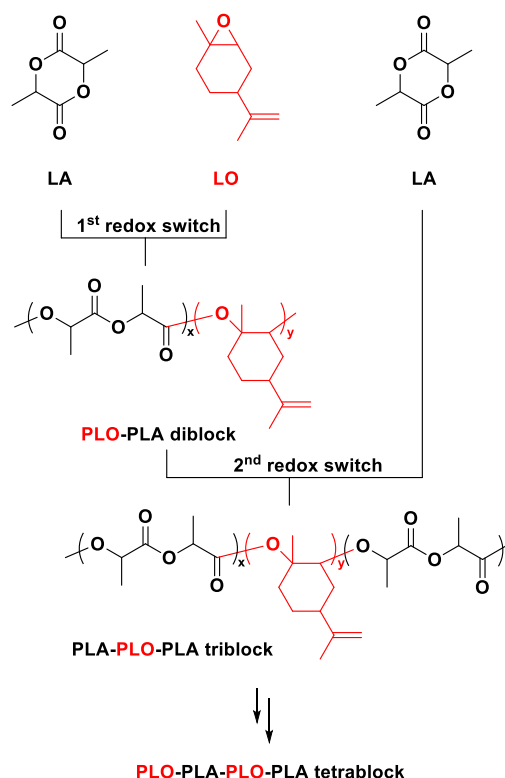
Figure 2 (a) SEC trace of an unsuccessful attempt of LO-LA copolymerization. The PLO-PLA trace was bimodal, with the right peak assigned as a PLO peak, and the left peak attributed to the new copolymer. (b) SEC traces of real-time monitoring of a tetrablock copolymer preparation.

The conversion of each isomer at different time points within 24 hours could be calculated from the integration of the NMR spectra (Table S1). Such reactivity was different than what was reported by Coates and coworkers⁷ and Mosquera and coworkers.²¹ The former reported in 2004 that during LO-CO₂ copolymerization only the *trans* isomer reacted, while the latter reported in 2020 that in their LO homopolymerization system only the *cis* isomer reacted. The PLO obtained from our reaction was regio-irregular, consistent with what Mosquera and coworkers reported. The regiochemistry of the polymer was confirmed by ¹H, ¹³C, HSQC, and HMBC NMR spectroscopy (Figures S3-S6).

Copolymerization of LO and LA

The preparation of a LO-LA copolymer (Figure 1c), a fully bio-renewable and biodegradable block copolymer, was studied using the redox switchable polymerization method. The precatalyst, (salphen)Zr(OⁱPr)₂, can polymerize L-LA in its reduced state, and LO in the oxidized state, following the addition of an external oxidant, giving a PLO-PLA copolymer. The LO block polymerization time was first set at 5 h, since the (salphen)Zr(OⁱPr)₂ catalytic system was reported to have a slower reaction rate of epoxide copolymerization compared to epoxide homopolymerization.³² However, simply following the sequence “LA polymerization, catalyst oxidation, LO polymerization” gave a polymer mixture (Table S2, entry 1), with a bimodal SEC trace (Figure 2a). After comparing the SEC trace of the product to those of PLA and PLO homopolymers, we realized that a new PLO-PLA copolymer was made, but the PLO homopolymer was also generated. Such a PLO byproduct would also affect the further copolymerization to triblock and tetrablock copolymers. We reasoned that the LA polymerization was fine as the first block of the copolymerization. During the preparation of the second block, the LO block, the copolymerization was likely going well in the beginning, and then back-biting occurred, leading to the formation of extra PLO homopolymer byproduct. Therefore, the copolymerization conditions needed to be optimized, with the idea of stopping the LO polymerization before the back-biting point was reached.

First, the LO monomer feeding was reduced from 100 to 75 and then to 50 (Table S2, entry 1-3), with the idea that the back-biting would not occur before the monomer was fully consumed. The traces of the three copolymerization products were all bimodal, but the PLO homopolymer peak at around 29.3 min experienced a decrease in height (Figure S20). The trace for the copolymerization reaction with 50 equivalents of LO showed no legible shoulder, though the trace has a tail at the end. Finally, the LO polymerization time was optimized from 5 h to 2 h, then to 1 h (Table S2, entries 3-5). The SEC traces showed that a fine distribution was achieved in the 1 h LO polymerization product (Figure S21), meaning the PLO-PLA diblock copolymer could be made without any PLO homopolymer byproduct. DOSY studies supported the claim that the 1 h LO polymerization product was a copolymer, while the 2 h LO polymerization product was still a mixture of different polymers (Figures S10, S11). With the optimized conditions, a PLA-PLO-PLA triblock and a PLO-PLA-PLO-PLA tetrablock copolymer were prepared (Table 2, Scheme 1, Figures 2b, S12-S17).



Scheme 1 Copolymerization of LA and LO through the redox switchable polymerization method.

Thermal Properties of the Polymers

Differential scanning calorimetry (DSC) analysis was performed on the PLA homopolymer and the LO copolymers (Figure S22). The PLA block melting point was measured to be 158, 153, 160, and 159 °C for the PLA homopolymer, the diblock, triblock, and tetrablock copolymers, respectively (Table S3). A crystallization temperature of 118 °C was measured for the PLA homopolymer, and 99 and 104 °C for the triblock and tetrablock copolymers, respectively. The melting and crystallization temperatures were in accordance with previously reported values.^{21, 39} A short and broad peak at 72 °C on the diblock copolymer DSC curve was observed as the glass transition temperature for the PLO block, similar to that reported by Mosquera and coworkers,²¹ as the glass transition temperature (T_g) for a polyether block is usually a short and broad peak.^{39, 44-45}

Rheology measurements

To investigate the self-assembly properties of the prepared block copolymers, a rheology study was conducted to test the phase separation or microphase domain in the solid state.⁴⁶⁻⁴⁸ The diblock copolymer displayed a shorter rubbery plateau prior to the melting temperature, T_m (Figure S23a). Further, at 160 °C the material had a low storage and loss modulus that were similar to each other, suggesting a weakly structured material or one close to the boundary of rheological liquid and rheological solid. On the other hand, both triblock and tetrablock copolymers exhibited an extended rubbery plateau over the frequencies of 0.1-100 rad/s (Figure S23b and Figure 3). This extended rubbery plateau was observed even above the

melting temperature of the material. The presence of the rubbery plateau suggests that the materials are phase separated into domains and display similar behavior as thermoplastic elastomers.⁴⁹⁻⁵¹ Even though these materials displayed a substantial decrease in G' and G'' as a result of losing

structural rigidity, they continued to display rubbery plateaus even at temperatures significantly greater than their T_m . This indicates that a microphase separated domain structure is retained even above the T_m of the hard block, an evidence of self-assembly in the solid state.

Table 2 Real-time monitoring of the tetrablock copolymer preparation.^a

Block	Monomer of this block	Mn of the entire polymer so far (kDa) ^b	\bar{D}	Mn of this block (kDa) ^c	Polymer formula ^d
1 st block	LA	3.3	1.02	3.3	LA ₂₃
2 nd block	LO	5.5	1.09	2.2	LO ₁₅ -LA ₂₃
3 rd block	LA	6.8	1.08	1.3	LA ₉ -LO ₁₅ -LA ₂₃
4 th block	LO	7.9	1.12	1.1	LO ₈ -LA ₉ -LO ₁₅ -LA ₂₃

^a All polymerization reactions were carried out with 4 μmol precatalysts, C_6D_6 was used as the solvent and hexamethylbenzene as an internal standard. LA block polymerization: 100 equiv LA, heating for 20 h in 100 °C; LO block polymerization: 50 equiv LO, 1 h at room temperature. Data points were taken at the end of the preparation of each block. ^b Molar masses were obtained from SEC measurements. ^c Molar masses were calculated from the total Mn of the current data point minus the total Mn of the last data point. ^d Formula for each block was calculated by the Mn of this block divided by the molar mass of the corresponding monomer.

Overall, the data suggests that the triblock and tetrablock copolymers contain mechanically percolated phase separated structures, which were not observed in the diblock copolymer. This is likely because the triblock and tetrablock structures can form microphase separated domains, where individual polymer chains straddle multiple domains and thereby create an extended, mechanically percolated structure.⁵²⁻⁵³ The diblock copolymer, even if microphase separated, does not have an effective mechanical percolation and hence the mechanical properties above the melting temperature approximate a rheological liquid. Since the existence of the copolymer self-assembly is supported by the rheology test, SAXS measurements were performed to investigate further the size of the self-assembly domain (Figure 4).

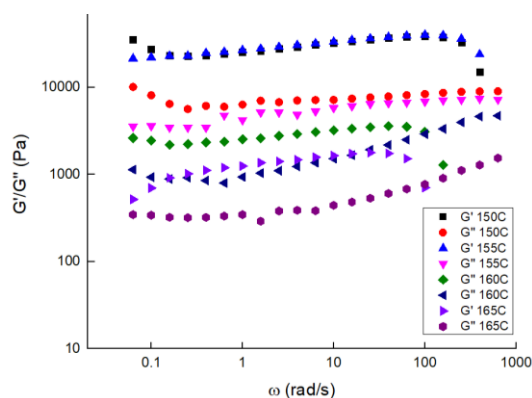


Figure 3 Storage (G') and loss (G'') moduli of the PLO-PLA tetrablock copolymer at different temperatures.

Small angle X-ray scattering (SAXS) measurements

The Debye model was used for the analysis of SAXS data. Unlike the familiar nanostructures that have well defined shapes, low molecular weight block copolymers are considered to have no specific shape and the scattering originates from shape independent two-phase regions of the polymer of different electron densities.⁵⁴⁻⁵⁶ Even if the two phases have irregular

shapes, the correlation length between the two domains can be obtained from the analysis.⁵⁷⁻⁵⁸

Figure 4 depicts the SAXS data and the best fits obtained using the Debye model (see the ESI for details). The correlation length (ξ), or self-assembly domain radius, obtained from the fits are given in the plot. The diblock, triblock, and tetrablock copolymers have a domain radius of 11, 25, and 35 nm, respectively. The domain radius value increased with the number of blocks, consistent with the fact that a larger polymer should have a larger self-assembly domain.^{52, 59} The experimental data also showed an additional small peak around $q = 0.008 \text{ \AA}^{-1}$, corresponding to a length scale of 80 nm. The fractal parameter h from the fit for all the samples was around 0.35. Presumably due to their low molecular weight, the copolymers studied do not show classical morphologies of high order peaks.

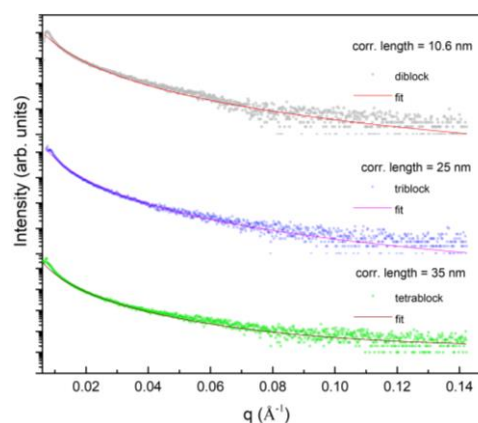


Figure 4 The log intensity vs. scattering vector (q) plots of the PLO-PLA diblock, triblock, and tetrablock copolymers.

Conclusions

PLO homopolymers, with a molar mass up to 4.0 kDa, the largest reported so far, were prepared using a zirconium

complex. Furthermore, multiblock copolymers of LO and LA were prepared through redox switchable catalysis. Upon the optimization of polymerization conditions, a series of LO-LA diblock, triblock, and tetrablock copolymers was prepared for the first time. The self-assembly properties of the copolymers were investigated in the solid state. Rheology tests were consistent with phase separation in the triblock and tetrablock copolymers, suggesting the existence of a self-assembly domain. SAXS experiments were then performed to give a quantified measurement of the self-assembly domain. Using the Debye model, the correlation length, or the self-assembly domain radius were fitted to be 11, 25, and 35 nm for the diblock, triblock and tetrablock copolymers, respectively. This study marks a breakthrough in LO chemistry and indicates potential applications in nanoparticle and drug delivery based on the LO copolymer self-assembly properties.

Conflicts of interest

There are no conflicts to declare.

Acknowledgements

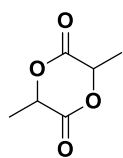
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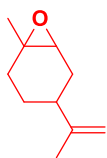
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