

1 **A single-crystal copper (111) current collector for anode-free lithium batteries**

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17

18 **Abstract**

19 Anode-free lithium (Li) batteries that function via direct Li plating/stripping from cathodes have  
20 led to a surge of interest in metallic lithium as an ideal negative electrode. Despite its great promise,  
21 its practical use has been hampered by dendritic growth of Li metal, which results in low  
22 coulombic efficiencies and cell shorting. In addition, such dendritic growth of Li makes a larger  
23 unoccupied space in the battery, which causes dramatic reduction in volumetric energy density.  
24 We report that Li plating is a collective motion of Li adatoms influenced by the crystallographic  
25 orientation of the Cu substrate, and that Li adatoms can be redistributed by interacting with  
26 individual Cu grains via surface migration. By comparing centimeter-sized single-crystal Cu(111)  
27 and Cu(410) foils, we found that Cu(111) foil inhibits dendritic growth of metallic Li and that, per  
28 our modeling, this is likely due to the near-zero migration barrier of Li adatoms. We suggest that  
29 understanding, and ‘controlling’ the Li adatom surface migration ‘behavior’ opens a new avenue  
30 for achieving high-performance anode-free Li batteries.

31

32 **Text**

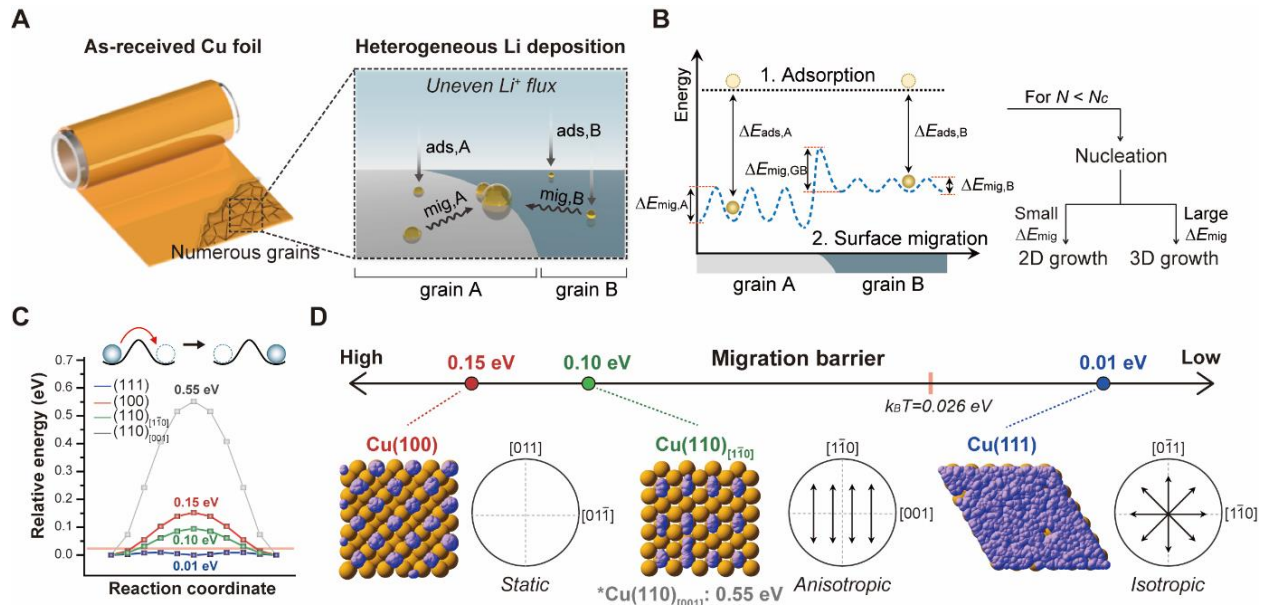
33 Ideally, *anode-free* lithium metal batteries (LMBs) should be at the forefront of high-energy  
34 density batteries through completely removing the metallic lithium (Li) anode in the initial states  
35 (1). In contrast to conventional battery systems, anode-free LMBs operate through direct Li  
36 plating/stripping on the Cu current collector without any other anode materials needed, such that  
37 the battery can, in principle, achieve the theoretical maximum energy density. Despite the excellent  
38 potential of LMBs as high-energy batteries, dendritic growth of metallic Li causes problems (2–  
39 5), such as low Coulombic efficiencies, rapid capacity fading, and internal short circuits, that have  
40 impeded their commercialization. Although the ideal configuration of anode-free LMBs has been  
41 discussed (6–8), the reported LMBs so far employed either a Li thin-film or a solid-state electrolyte,  
42 which are still in the early stages of development. One strategy includes designing compositions  
43 or additives in liquid electrolytes to inhibit the dendritic growth of Li. However, such modifications  
44 of liquid electrolytes can result in degradation of LMBs (9).

45 One approach to try to suppress the dendritic growth of Li in LMBs involves creating a  
46 homogeneous  $\text{Li}^+$  flux condition throughout the metal current collector (10–13). During charging,  
47 since Li ions ( $\text{Li}^+$ ) present in the liquid electrolyte are reduced to  $\text{Li}^0$  and subsequently adsorbed  
48 on the substrate, achieving a uniform Li ion flux across the entire substrate is beneficial for the  
49 homogeneous and planar Li plating (1, 14, 15). In practice, however, it is reportedly almost  
50 impossible to achieve this condition due to diffusion-limited mass transport in the electrolyte (16).  
51 For example, when the formation of metallic Li is initiated by the charge transfer  $\text{Li}^+ + \text{e}^- \rightarrow \text{Li}^0$   
52 on the Cu current collector, the local distribution of Li ions within the liquid electrolyte deviates  
53 from its equilibrium, causing a concentration gradient of Li ions. As a result, heterogeneous  $\text{Li}^+$   
54 flux is accelerated by the local diffusion-limited reaction at the point where the concentration of

55  $\text{Li}^+$  goes to zero, resulting in a local steep concentration gradient of Li ions in the electrolyte. As a  
56 result, dendritic Li growth is triggered, which is commonly recognized in the Sand's equation (17,  
57 18). Studies of attempts to relieve this local steep concentration gradient of Li ions in the  
58 electrolyte have included highly concentrated electrolytes (19, 20), modified electrodes with  
59 lithiophilic property (21, 22), and metallic alloying with heteroatoms (e.g., Si, Sn, Al, Mg, Ag, etc.)  
60 (23–25). These studies focused on Li adsorption but not on the surface migration of Li atoms that  
61 can take place after Li adsorption. A recent computational study suggested the possibility that the  
62 local electrodeposition of metals, described by the conventional Sand's equation, can be  
63 suppressed when the self-migration of metal atoms on anode surfaces is activated (26). However,  
64 this concept has not been proved experimentally and planar growth of Li remains challenging due  
65 to, especially, a lack of understanding of Li migration on typical Cu current collectors.

66 We studied and report here the adsorption and migration of Li during Li plating using centimeter-  
67 sized single crystal Cu foil as a current collector for anode-free batteries. We observed  
68 homogeneous & 2D growth of Li on single crystal Cu(111) foils with the complete absence of  
69 dendrites, but we found dendritic growth of Li on single crystal Cu(410) and polycrystalline Cu  
70 foils. We found through our theoretical modeling that the planar growth observed on Cu(111) foils  
71 is mainly due to a *near-zero migration barrier* for the migration of Li adatoms on the (111) surface.  
72 To the best of our knowledge, this is the first study that free surface migration of Li adatoms on  
73 single crystal substrate promotes lateral growth of Li. Given that the single crystal Cu(111) foils  
74 can be mass produced, our study suggests high-energy-density anode-free Li batteries are  
75 achievable could be commercialized reasonably soon.

76 Polycrystalline Cu foils, which have been widely used as metal current collectors for anode-free



**Fig. 1. Li plating phenomenon on a Cu substrate and computational modeling.** (A) Schematics of Li plating behaviors on as-received Cu foil composed of numerous grains and grain boundaries (GBs), and (B) the associated energy landscape. Nucleation of Li metal results from the adsorption and migration of Li atoms until the number of Li atoms on the surface,  $N$ , exceeds the critical value,  $N_c$ . After their adsorption, the surface migration of Li adatoms can significantly influence the growth mode (2D vs. 3D) of Li metal. Different interactions of Li atoms/adatoms for each grain result in heterogeneous Li plating behaviors. (C) Reaction energy profiles for the different Cu facets when the Li adatom diffuses to a neighboring site. (D) Different Cu plane orientations and the motion of Li adatoms depending on the Li migration barriers. On Cu(111) the Li migration barrier is less than the thermal energy at room temperature ( $k_B T = 0.026$  eV), and the Li metal atoms migrate along the Cu surface rather than forming Li dendrites. All the trajectories of Li on each facet, computed by the *ab-initio* molecular dynamics (AIMD) simulation for 10 ps with a timestep of 2 fs at 500 K, are collected in single snapshots (Cu: light-brown and Li: purple).

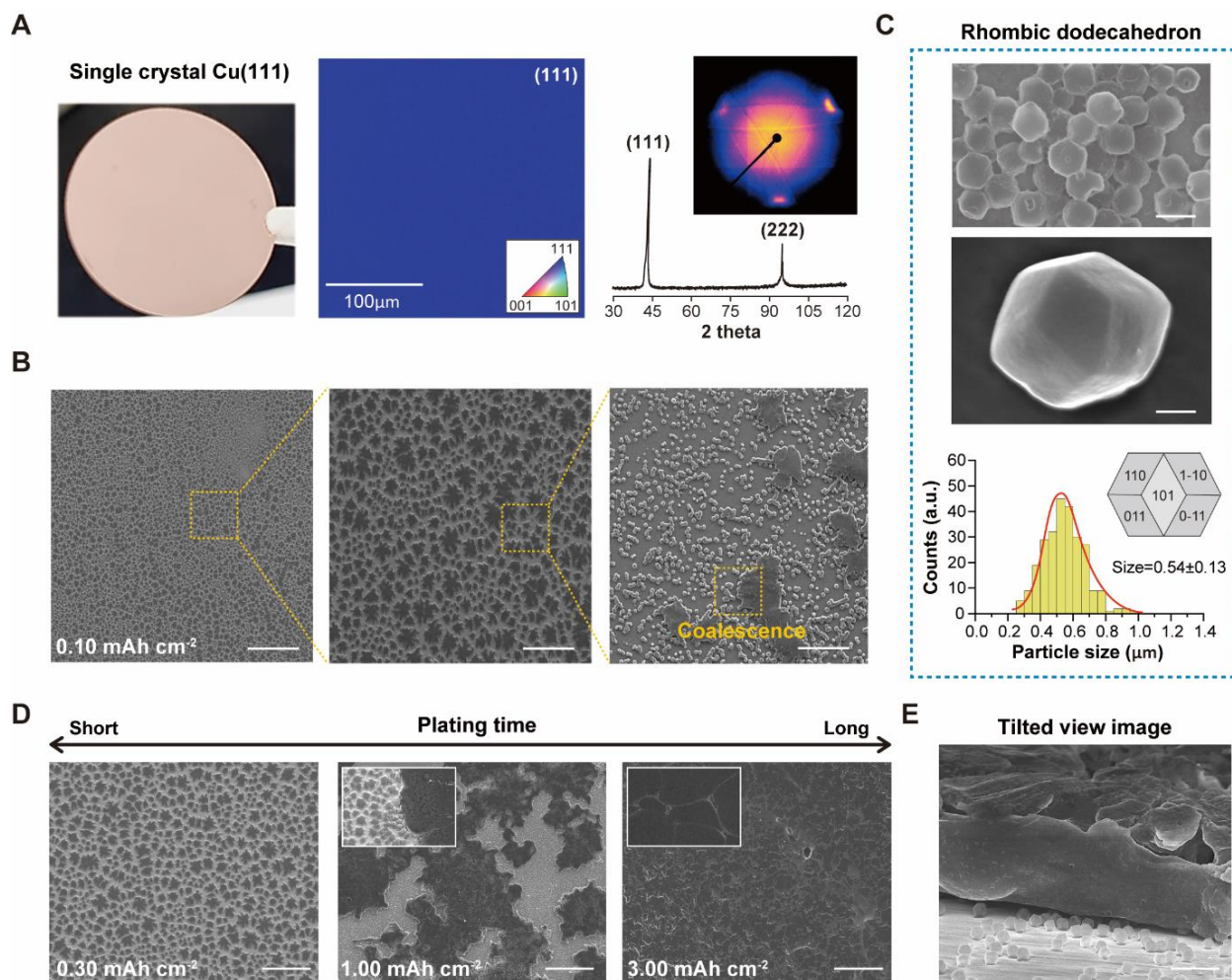
77 batteries, consist of numerous grains with different crystallographic orientations (Fig. 1A). Since  
 78 different grains and their boundaries have different energies depending on their atomic  
 79 arrangements (27, 28), we found different Li dynamics during the charging process. These Li  
 80 dynamics including adsorption and surface migration, are determined by the interactions between  
 81 Li atoms and individual grains (and their boundaries), as shown in their energy landscape (Fig.  
 82 1B). For example, suppose that grain A has significantly higher affinity for Li atoms/adatoms than  
 83 grain B. Grain A strongly interacts with Li atoms such that Li atoms are adsorbed readily onto its  
 84 surface (29). These adsorbed Li atoms diffuse on the surface of Cu foils and gather together to  
 85 form Li clusters. As the size of the Li cluster exceeds the critical value, it eventually leads to  
 86 nucleation of Li metal on the Cu foil (30). The nuclei on Grain A can grow in 3D since the Li

87 adatom surface migration (on the copper surface of grain A) is smaller. Grain B with lower Li  
88 affinity can induce planar Li plating on the Cu foil, by continuous redistribution of Li adatoms via  
89 active surface migration. Such grain-dependent Li dynamics is, we have found, the major reason  
90 why Li plating occurs heterogeneously on polycrystalline Cu foils.

91 Indeed, we thought that single crystal Cu(111) foil might possibly inhibit dendrite growth, and  
92 our initial experiments with anode-free cell configurations showed that lithium deposited and grew  
93 in a 2D manner on the Cu(111) foil, but dendrites grew (as also expected from a large body of  
94 literature on this topic) on polycrystalline Cu foils. This discovery motivated modeling of both the  
95 Li atom adsorption energy on different crystal facets of Cu as well as the barriers for surface  
96 migration on those facets. We discuss our modeling results next, and then return to a detailed  
97 discussion of experimental results.

98 To investigate how Li dynamics depends on the type of Cu facets, we selected three low-index  
99 Cu facets (Cu(100), Cu(110) and Cu(111)), and calculated their Li adsorption energies ( $E_{\text{ads}}$ ) and  
100 migration activation energies ( $E_{\text{mig}}$ ) through first-principles calculations. Please note that all  
101 calculations in this study were performed with no electrolyte present. As both the surface of Cu  
102 (and also Li atoms) cannot form chemical bonds with the electrolyte, we suggest that the electrolyte  
103 does not affect the results of our modeling. The calculated adsorption energies of Li atoms on Cu  
104 facets, which are examined through the Perdew-Burke-Ernzerhof (PBE) functional (31), are -2.23  
105 eV for Cu(100), -2.36 eV for Cu(110), and -2.39 eV for Cu(111), that is, the Cu(111) facet has the  
106 lowest adsorption energy for Li atoms than other facets although its adsorption energy is close to  
107 that of the Cu(110) facet (**fig. S1**). On the other hand, the *surface migration* behaviors of Li  
108 adatoms on these facets, which were computed through the climbing image nudged elastic band  
109 (CI-NEB) calculation (32) and ab initio molecular dynamics (AIMD) simulation, show an entirely

110 different trend (**Fig. 1C and 1D and fig. S2**). Considering surface symmetry of each facet, the  
111 migration pathways for Cu(100) and Cu(111) are isotropic whereas that of Cu(110) is anisotropic  
112 toward [1-10] and [001] directions. NEB calculations of migration barriers of Li adatoms for each  
113 facet yield: 0.55 eV for Cu(110) to the [001] direction, 0.15 eV for Cu(100), 0.10 eV for Cu(110)  
114 to the [1-10] direction, and 0.01 eV for Cu(111); the migration barrier of Li adatoms diffusing on  
115 the Cu(111) facet is thus much lower than for other facets and even lower than the thermal vibration  
116 energy at room temperature ( $k_B T$ : 0.026 eV, where  $k_B$  is the Boltzmann's constant and T is the  
117 temperature). The Cu(111) facet has a negligible migration barrier for Li adatoms. AIMD  
118 simulation (**Fig. 1D**) shows that Li adatoms on Cu(111) move isotropically and their trajectories  
119 uniformly cover the surface of Cu(111), enabling planar Li growth. On the other hand, Li adatoms  
120 on the Cu(100) facet do not migrate and were found to be adsorbed and isolated onto equivalent  
121 sites nearby octahedral holes on its surface. When additional Li atoms are adsorbed onto Cu(100),  
122 we suggest these results show it likely to result in vertical growth rather than lateral growth of Li,  
123 because such Li atoms can be adsorbed onto the surface of pre-existing Li coated regions, without  
124 redistribution of Li adatoms on this facet's surface (33). We find that for the Cu(110) facet, Li  
125 adatoms move slowly toward the [1-10] direction due to its direction-dependent migration barrier.  
126 This anisotropic motion of Li adatoms should also yield, eventually, vertical growth. These  
127 calculations suggest that Li dynamics can be controlled if a 'single crystal substrate' with one  
128 crystallographic facet over the whole region is used, and that single crystal Cu(111) is particularly  
129 promising due to its near-zero migration barrier of Li adatoms. The migration barrier of Li into the  
130 bulk Cu is 0.68 eV (34), which is significantly higher than the migration barrier of Li at the surface.  
131 Considering that the probability of Li migration is inversely proportional to the exponent of the  
132 activation barrier of Li, Li plating behavior is mainly governed by the surface migration of Li, as



**Fig. 2. Morphology of lithium deposited on single crystal Cu(111) foils.** (A) Characterization of single crystal Cu(111) foils: digital photography of a 1.4 cm diameter foil (left), an EBSD inverse pole figure (IPF) map of the foil (middle), XRD 2 $\theta$  scan and WAXS results (right). The single crystal foils have (111) surface orientation, as indicated by the uniform blue color in the IPF map, the {111} peaks in the XRD spectrum, and the triangle-shaped spots in WAXS. (B) SEM images of electrodeposited Li on a Cu(111) foil at an areal capacity of 0.10 mAh cm<sup>-2</sup>. The scale bars are 200, 50, and 10  $\mu$ m from left to right. (C) SEM images of rhombic dodecahedral Li particles (scale bars are 1  $\mu$ m and 300 nm for top and bottom images, respectively) on Cu(111) foils along with their size distributions (mean = 54  $\pm$  13 nm). (D) Top-view SEM images of electrodeposited Li on Cu(111) foils with capacities of 0.30, 1.00, and 3.00 mAh cm<sup>-2</sup>. The scale bars are all 100  $\mu$ m. (E) 70° tilted view SEM image of electrodeposited Li on Cu(111) foil at an areal capacity of 3.00 mAh cm<sup>-2</sup>. The scale bar is 5  $\mu$ m. All Li deposition experiments on Cu foils were conducted at a current density of 0.10 mA cm<sup>-2</sup> in an electrolyte containing 1.3 M LiPF<sub>6</sub> in EC/DEC + 10% FEC.

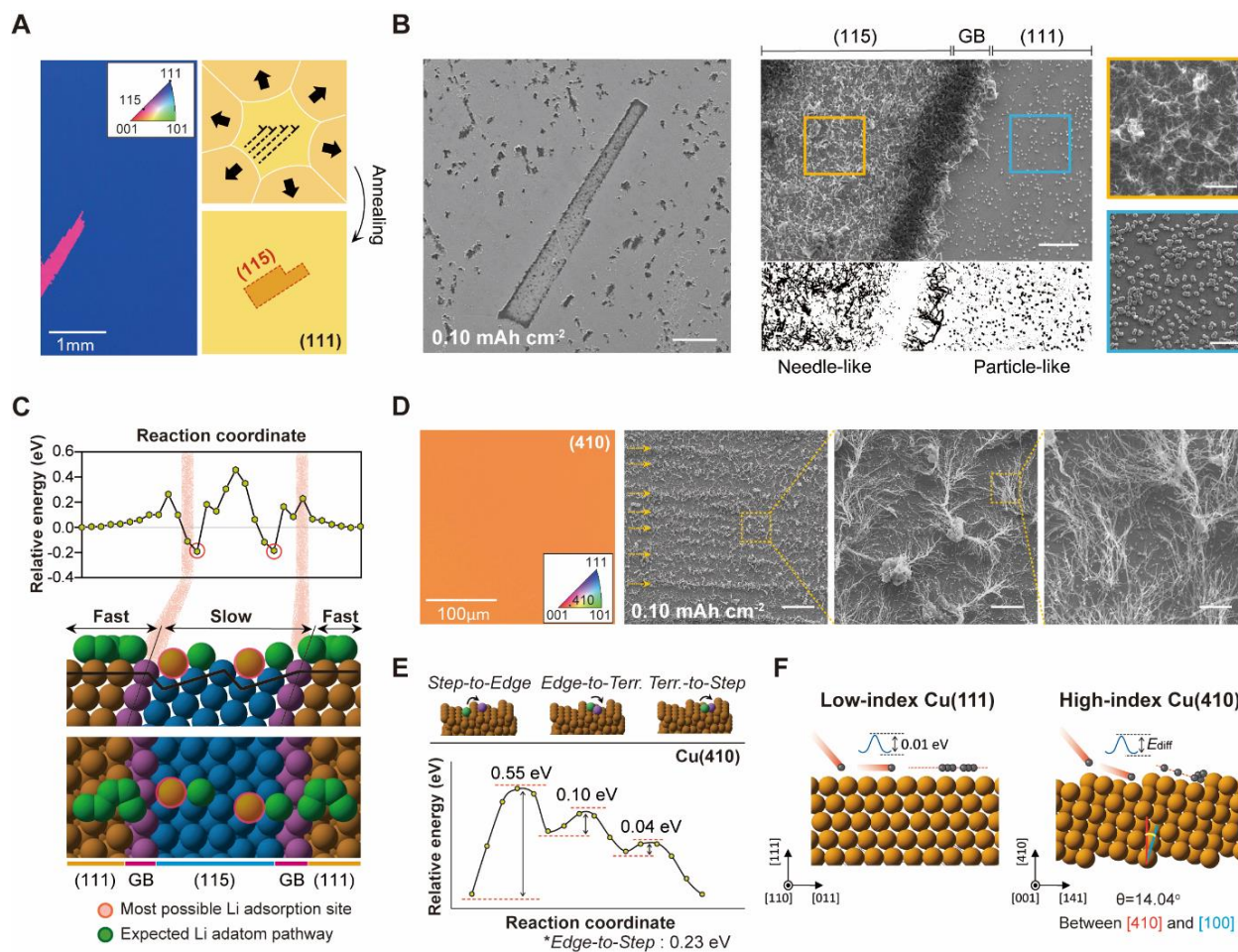
133 we describe here.

134 To experimentally test Li dynamics on single crystal Cu(111) substrate, we prepared large-area  
 135 single crystal Cu(111) foils by the contact-free annealing (CFA) process, from commercially  
 136 available polycrystalline Cu foils (35). Electron backscatter diffraction (EBSD), X-ray diffraction



137 (XRD) and wide-angle X-ray scattering (WAXS) results show our single crystal foils have (111)  
138 surface orientation over a large area (**Fig. 2A**). Using these Cu(111) foils, we assembled coin cells  
139 with Li metal and commercially available liquid electrolytes (1.3M LiPF<sub>6</sub> in ethylene carbonate  
140 (EC)/diethylene carbonate (DEC) 3:7+ 10% fluoroethylene carbonate (FEC)) and investigated Li  
141 plating behaviors on the surfaces of these foils. After Li plating with a capacity density of 0.10  
142 mAh cm<sup>-2</sup>, numerous 2D Li islands were observed on Cu(111) foils (**Fig. 2B**). We found that these  
143 2D islands were formed through coalescence of Li particles with an average size of 54 ± 13 nm,  
144 and most of these Li particles have a rhombic dodecahedron shape (**Fig. 2C and fig. S3**). It is  
145 commonly known that rhombic dodecahedral particles with Body-Centered Cubic (BCC) structure  
146 are formed by the surface energy minimization of the particles because all facets of the particle  
147 have only {110} planes with the lowest surface energy (36–39). Unlike free particles, the  
148 equilibrium shape of the particles on the substrate is influenced by the substrate (40). However, as  
149 shown in our simulation results, since the migration barrier of Li adatoms on Cu(111) surface is  
150 almost zero, Li adatoms should behave like free-particles on the Cu(111) surface. From this point  
151 of view, it seems that such rhombic dodecahedral particles on Cu(111) foils were formed by the  
152 surface energy of the particles as the main driving force. Upon further Li plating, Li islands  
153 consisting of rhombic dodecahedral particles continue to grow laterally and merge with each other  
154 to form larger Li islands (**Fig. 2D and fig. S4**). Both small rhombic dodecahedral Li particles and  
155 large Li islands seem to be only *partially* bound to the Cu(111) surface (**Fig. 2E**), suggesting the  
156 adhesion between Li deposits and Cu(111) foils is not strong. Under standard coin cell operation,  
157 2D planar growth of Li on the surface of Cu(111) foil was found to always occur, and 3D vertical  
158 growth was thus not observed.

159 To systematically evaluate Li plating behaviors depending on crystallographic planes of Cu, we



160 used 'special' Cu(111) foil that contains some grains with a (115) surface orientation ((115)  
 161 grains). During contact free annealing, (115) grains (having a  $\Sigma 3$  twin relationship with respect

162 to the (111) plane) are sometimes present in Cu(111) foils by the formation of annealing twins  
163 (**Fig. 3A and fig. S5**) (41). These (115) grains are easily distinguished by scanning electron  
164 microscopy (SEM) and optical microscopy (OM), because they have a rectangular shape, length  
165 of several mm, and are well aligned with each other along the 3-fold symmetry of the parent (111)  
166 plane. The presence of such (115) grains allowed us to Li plating behaviors on them as well as  
167 on the (111) regions. After deposition of a small quantity of Li ( $0.1 \text{ mAh cm}^{-2}$ ) on this Cu(111)  
168 foil, Li was found to be primarily deposited at the boundary between the (115) and (111) grains  
169 (**Fig. 3B**). The amount of Li deposited at this grain boundary was much higher than inside grains,  
170 and the (115) grains had more deposited Li (per area) than the (111) grain. We found different  
171 morphologies of the Li deposited (plated) on each grain: particle-like Li (rhombic dodecahedrons  
172 as described above) deposits on the (111) grain but needle-like Li deposits on the (115) grain.  
173 This was true for another commonly used electrolyte (the electrolyte of 2M lithium  
174 bis(fluorosulfonyl)imide (LiFSI) in dimethyl ether (DME) + 2%  $\text{LiNO}_3$ , see **fig. S7**).

175 We performed density functional theory (DFT) calculation for Li migration on a modeled Cu  
176 substrate composed of (111) and (115) surfaces, and their boundary (**Fig. 3C and Supplementary**  
177 **movie S1**). We calculated the relative energies of Li adatoms at various positions on the substrate  
178 with reference to the Li adatom on the (111) surface far away from the boundary. On the (111)  
179 surface, the relative energies of Li adatoms according to their positions remain almost constant,  
180 indicating that Li adatoms can move freely on the (111) surface, due to the near-zero migration  
181 barrier for Li adatoms on the (111) surface, as shown in **Fig. 1C**. However, the relative energy of  
182 Li adatoms on the (111) surface begins to change as it approaches grain boundaries (GBs) and  
183 drops significantly when the Li adatom is located on the boundary. This implies that Li adatoms  
184 move freely on the (111) surface but eventually become stuck on the boundary (marked red circles

185 in **Fig. 3C**). These simulation results well correlate with the experimental observation that the Li  
186 deposits are concentrated at the GBs rather than inside the grains. In addition, the (115) surface  
187 exhibits a large variation of relative energy depending on the binding sites. Unlike the low index  
188 (111) surface, composed of a single large terrace, the (115) surface consists of multiple terraces  
189 and steps; the migration of Li adatoms is limited within the step sites since Li atoms/adatoms are  
190 preferentially adsorbed to the ‘step’ sites, and their migration out of the ‘step’ is restricted (**fig.**  
191 **S8**). This might be the main reason for the observed 3D growth on the (115) grain (**Fig. 3B**).

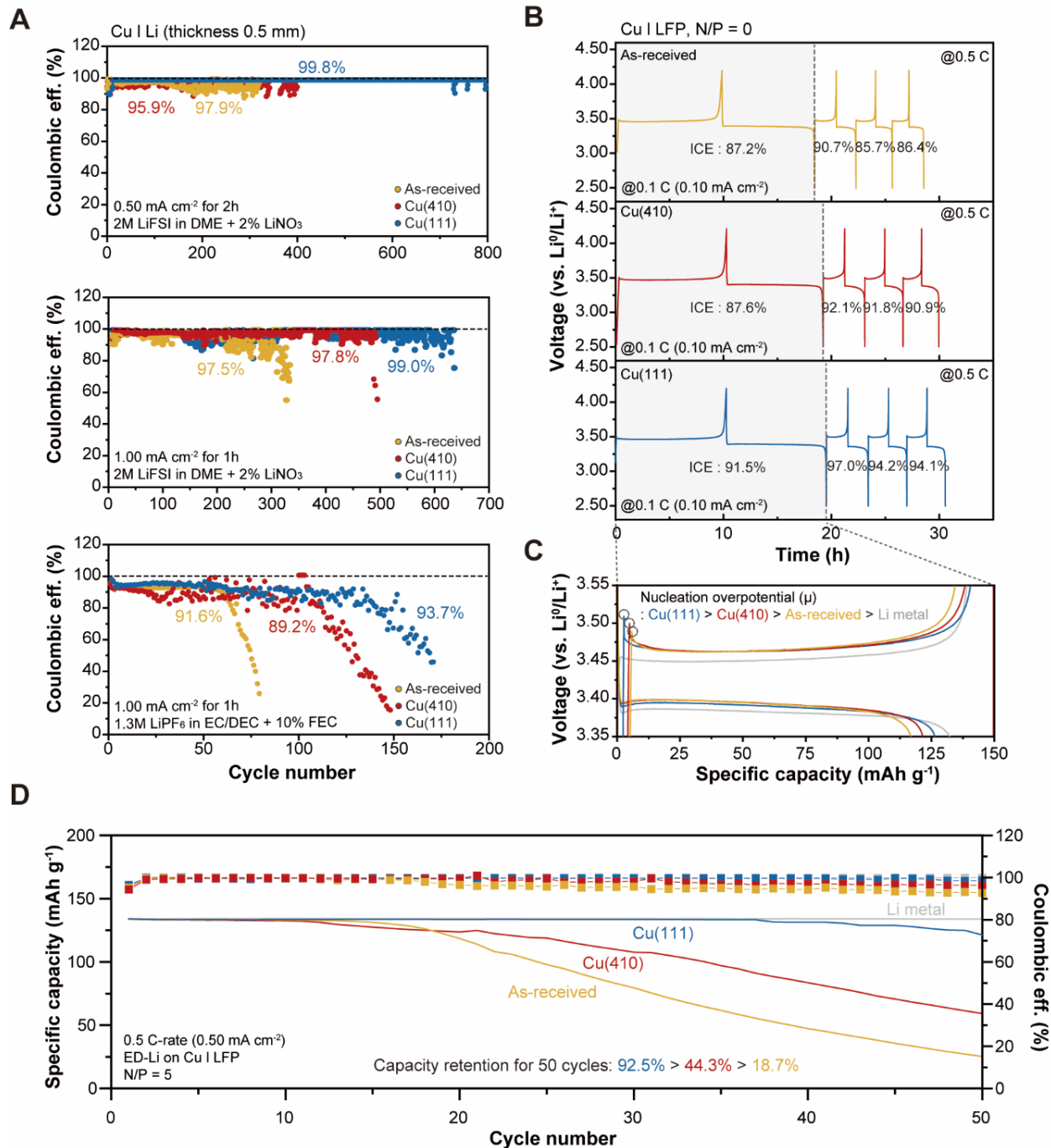
192 Single crystal Cu(410) foils were prepared from polycrystalline foils with the initial (100) texture  
193 by using the CFA process (35). We plated Li on these Cu(410) foils under the same conditions as  
194 for Cu(111) foils. Cu(410) foil shows needle-type growth consisting of numerous Li ‘whiskers’  
195 that are connected by Li ‘chunks’ (**Fig. 3D** and **fig. S9A**); these Li deposits were mainly distributed  
196 in lines that are parallel to each other (marked by yellow arrows). Our EBSD results show that the  
197 crystallographic direction of these lines is [100] (**fig. S9B**). The observed Li deposits can be  
198 ascribed to the atomic structure of the (410) surface. The Cu(410) surface has stepped surface  
199 structure with (100) terraces and the crystallographic direction of these steps is [001]; the  
200 adsorption energy of Li atoms at the ‘step’ site is the larger than other possible adsorption sites on  
201 the terraces (**fig. S10**). Li atoms are likely to preferentially adsorb onto step sites in Cu(410)  
202 surfaces (this preferential adsorption of Li atoms onto step sites also occurs on the Cu(115) surface,  
203 see **fig. S8**). As shown in **Fig. 3E** the activation barrier for Li migration on the Cu(410) surface is  
204 significantly lower for the migration toward the steps than out of the steps, thus Li adatoms can be  
205 trapped mainly along the steps. This might be the reason why 3D Li was mainly deposited in rows  
206 along the [001] direction in our experiments. The Cu(410) foil contains some (223) grains that  
207 have a similar shape and size to the (115) grains in the Cu(111) foil (because they are also annealing

208 twins formed from the parent (410) grain). We found that the Li plating on the Cu(410) foil with  
209 (223) grains has plated Li ‘crowded’ on the (410) region but ‘avoiding’ the (223) grains (**fig. S11**).  
210 Since the (223) surface is close to the (111) surface, 3D plating of Li happen preferentially on (410)  
211 surface when we used Cu(410) foils with (223) grains.

212 From our observations and modeling, the crystallographic orientation of substrates is found to  
213 be a critical parameter influencing Li plating behavior in terms of amount of Li deposited and  
214 morphology (2D vs 3D) of the Li deposits. For example, Li adatoms on Cu(410) surfaces are non-  
215 uniformly adsorbed and their migration is limited (to mainly within the step regions), eventually  
216 leading to substantial vertical growth of Li deposits. Li adatoms on the Cu(111) surface are  
217 uniformly adsorbed and diffuse freely, allowing them to form 2D Li deposits uniformly distributed  
218 over the entire surface (**Fig. 3F**).

219 From our results and analysis, polycrystalline Cu foils having many different grains with various  
220 surface orientations inevitably induce severe dendritic Li growth along with non-homogenous Li  
221 plating, as has been reported about Li plating on polycrystalline Cu substrates (42, 43). In general,  
222 as-received Cu foils have  $\mu\text{m}$ -scale surface roughness owing to rolling marks which are formed  
223 during their production. Such high roughness results in 3D agglomerates of Li aligned along the  
224 rolling marks (**fig. S12**). When rolling marks are removed through annealing or electropolishing,  
225 3D agglomerates of Li were still observed on polycrystalline foils (**fig. S13 and S14**). These 3D  
226 Li deposits, significantly different from the 2D Li deposits on Cu(111) foils, were *always* observed  
227 on polycrystalline Cu foils, regardless of Li plating conditions (**fig. S15 to S18**). The presence of  
228 grains with high-index planes and GBs in polycrystalline foils, from our work here, seems to play  
229 a central role in such dendritic Li growth.

230 We assembled Cu|Li cells using ether-based, and carbonate-based, electrolytes and measured



**Fig. 4. Electrochemical performances of anodeless cells fabricated with as-received Cu, Cu(111), and Cu(410) foils. (A)** CEs of Cu|Li cells: cycled at 0.50 mA cm<sup>-2</sup> for 2h (top) and 1.00 mA cm<sup>-2</sup> for 1h (middle) in ether-based electrolyte, and cycled at 1.00 mA cm<sup>-2</sup> for 1h in carbonate-based electrolyte (bottom). CE<sub>avg.</sub> were calculated for the initial 50 cycles. **(B)** Voltage profiles during cycles for Cu|LFP cells. The initial cycle was operated at C/10-rate (0.10 mA cm<sup>-2</sup>) and subsequent cycles were operated at C/2-rate (0.50 mA cm<sup>-2</sup>). **(C)** Voltage hysteresis for Cu|LFP cells. The nucleation overpotentials (μ) for the Li plating is represented as black circles. **(D)** Cycle performances of ED-Li on Cu|LFP cells at the C/2-rate. In **(C)** and **(D)**, the gray lines (labeled as Li metal) correspond to Li|LFP cells fabricated by a thick Li metal foil as a counter electrode. All full-cell tests were conducted within the voltage range of 4.25–2.50 V in an electrolyte containing 1.3M LiPF<sub>6</sub> in EC/DEC + 10% FEC.

231 their electrochemical performances (Fig. 4A and fig. S19 to S22). In the ether-based electrolyte,

232 the cells using Cu(111) foils as substrates showed almost constant coulombic efficiencies (CE),  
233 which is defined as the ratio of discharge capacity to charge capacity. They showed  $CE_{avg.}$  of 99.8 %  
234 (up to 800 cycles) and 99.0 % (up to 500 cycles) when each cycle was operated at a current density  
235 of  $0.50 \text{ mA cm}^{-2}$  for 2 h and  $1.00 \text{ mA cm}^{-2}$  for 1 h, respectively. The cells with as-received Cu and  
236 Cu(410) foils showed much lower CE with large fluctuation. For the first 50 cycles, the cell with  
237 as-received Cu foil showed CE of 97.9 % when each cycle was operated at  $0.50 \text{ mA cm}^{-2}$  for 2 h  
238 and 97.5 % when each cycle was operated at  $1.00 \text{ mA cm}^{-2}$  for 1 h, and the cell with Cu(410) foil  
239 showed CE of 95.9 % and 97.8%, respectively, at these same operating conditions. After 50 cycles,  
240 the cells with as-received Cu and Cu(410) foils showed rapid CE decay. Such lower CE and sudden  
241 decay of CE might be mainly attributed to dendritic growth of Li occurring on both as-received  
242 Cu and Cu(410) foils. Since the dendritic Li on as-received Cu and Cu(410) foils has a much larger  
243 surface area than the planar Li on the Cu(111) foil, side-reactions with electrolyte are aggravated,  
244 resulting in the poor CE and cyclability (3, 44). Likewise, the cells with carbonate-based  
245 electrolytes, which generally have lower CE than the ether-based electrolyte systems due to their  
246 higher reactivity to Li (15), showed similar results for CE: the cells with Cu(111) foils have  
247 superior performances than those with as-received Cu and Cu(410) foils.

248 To further test the feasibility of our single crystal foils for anode-free systems, we assembled  
249 Cu|LiFePO<sub>4</sub> (LFP) cells using carbonate-based electrolyte and measured their electrochemical  
250 performances (**Fig. 4B and C**). Different from ‘nearly infinite’ Li supply from a Li metal counter  
251 electrode (0.5 mm) in Li|LFP cells, the Li supplied in Cu|LFP cells is from the LFP cathode. The  
252 cyclic performances of Cu|LFP cells are *entirely* determined by electrochemical reversibility of Li  
253 deposited on the Cu substrate (44, 45), revealing the effect of Cu substrate more clearly. The initial  
254 CEs (ICEs; CE during 1<sup>st</sup> cycle) of the cell with Cu(111) foil was 91.5 % compared to cells with

255 as-received Cu (87.2 %) and Cu(410) (87.6 %) foils. Such trend in which the cell using Cu(111)  
256 foil exhibited highest CE, was also observed in subsequent cycles. **Figure 4C and fig. S23A** show  
257 enlarged voltage-capacity profiles of each cell at the 1<sup>st</sup> cycle that more clearly show the voltage  
258 corresponding to the highest peak value at the beginning of the charging. These voltages are known  
259 as *relative nucleation overpotentials*, which represent whether Li can be easily plated at the initial  
260 stage (42). The overpotentials of the full-cells decreased as Cu(111) > Cu(410) > as-received Cu  
261 foils. The highest overpotential appearing in the cell with Cu(111) foil suggests that nucleation of  
262 Li plating on the Cu(111) surface is more difficult than other surfaces. This can be understood  
263 based on adsorption/migration behaviors of Li atoms on low-index versus high-index Cu surfaces.  
264 High-index surfaces have *terraces with low-index surfaces and steps*. As shown in our simulation  
265 results for high-index surfaces (for example, Cu(115) and Cu(410) surfaces), the adsorption energy  
266 at step regions is much lower than the terrace regions, therefore, the adsorption of Li atoms mainly  
267 occurs at the steps in the high index-facets; and the surface migration of these adsorbed adatoms  
268 is limited within the steps. Considering that nucleation occurs when atoms adsorbed to the surface  
269 bond or bind to each other to generate a cluster of n atoms with n above a critical value, the limited  
270 Li adsorption/migration at step regions in high-index facets favors nuclei forming. Similarly, the  
271 adsorption/migration of Li atoms in as-received Cu foils occurs first at GBs and step regions of  
272 grains with high-index surfaces. On Cu(111) surfaces Li adatoms are adsorbed uniformly and  
273 readily diffuse on this surface and nucleation is less likely. The near-zero migration barrier for  
274 surface migration of Li atoms on Cu(111) might also favor Li-Cu adhesion over Li-Li cohesion in  
275 the initial nucleation stage. For these reasons the Cu(111) foils has the highest nucleation  
276 overpotential compared to the other Cu foils. Our observation of full coverage of Li on the Cu(111)  
277 foils but not on the as-received and Cu(410) foils is further evidence of such substrate-dependent



278 nucleation behaviors.

279 How flat and smooth are our Cu(111) foils? We have found by AFM that they have remarkably  
280 large terrace regions and that the steps are typically single-atom steps(35, 46). We suggest there  
281 are possibilities for lowering the relative nucleation overpotential of our Cu(111) foils, such as: (i)  
282 altering the step density, (ii) ‘decorating’ the steps and/or terraces with heteroatoms (adsorbed  
283 and/or substitutional in the surface); that favor nucleating Li clusters.

284 We compared cycling performance of Cu|LFP cells with N/P ratio of 0 (**fig. S23B and C**), which  
285 is close to the ideal condition for anode-free systems (here, N/P ratio indicates the ratio of the  
286 capacity of the negative electrode to that of the positive electrode; that is, N/P=0 indicates that the  
287 battery operates with only Li source in positive electrode). The cells with Cu(111) foils show better  
288 CE and cyclability over the cells with as-received and Cu(410) foils. However, all these cells are  
289 degraded significantly after some number of cycles and this could be due to severe side reactions  
290 of Li with carbonate-based electrolytes (15). We pre-electrodeposited Li ((ED-Li) on Cu foils and  
291 assembled ED-Li on Cu|LFP cells with N/P ratio of 5 (**Fig. 4D**); here, even if side reactions  
292 between Li and electrolytes occurs, the cell lifetimes can be extended until the available ED-Li  
293 on the Cu substrates are entirely consumed, unless cell shorting due to dendritic growth of Li  
294 happens (47). The cell with Cu(111) foil showed capacity retention of 92.45 % at 50 cycles, but  
295 the cells with as-received Cu and Cu(410) foils show poor cycling with capacity retention of 44.28 %  
296 and 18.67 %, respectively, for 50 cycles. These results show that the dissipation of ED-Li on  
297 Cu(111) foils is much slower than on as-received Cu and Cu(410) foils. Such differences can be  
298 attributed to the different Li morphologies of Li plated on these Cu foils during cycles. Compared  
299 to 3D Li grown on as-received Cu foils and on Cu(410) foils, 2D Li with a smaller surface area on  
300 Cu(111) foils minimizes the formation of ‘dead’ Li (that is, electrically inactive Li) and side

301 reaction with electrolytes, yielding much better cell cyclability. (We suggest that ‘conventional  
302 wisdom’ in the battery community that large nucleation overpotentials result in poor cell  
303 cyclability (48–50) be revisited, based on our report here.)

304 In conclusion, we discovered uniform 2D plating and the complete absence of dendritic growth  
305 on Cu(111) foils in anode-free Li battery cells, and our concurrent studies of as-received  
306 polycrystalline Cu foils (with, and without, rolling marks) and Cu(410) foils, show that Li deposits  
307 preferentially at grain boundaries and steps (and generally, on high index facets vs low index  
308 facets). Our modeling shows that surface migration on Cu(111) occurs almost barrier free, and this  
309 induces lateral growth of Li, instead of vertical dendritic growth. We suggest that our work  
310 described here provides a new opportunity(ies) for both high-energy lithium batteries including  
311 anode-free, and solid-state batteries.

312

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