

24 1. Introduction

25 Silver nanoparticle (AgNP) is one of the emerging and fastest growing materials in the
26 field of nanotechnology¹⁻³ and Biomedicine⁴⁻⁸ that benefited from the advancements in the
27 studies of nanoparticles⁹⁻¹⁴ and applications such as in catalysis¹⁵⁻²⁸. The rapid growth of Ag-
28 based nanomaterials is attributed to silver's antimicrobial properties²⁹⁻³⁵, good optical,
29 conductive, and other outstanding properties³⁶⁻³⁹. AgNPs have becoming a part of common
30 daily exposures in humans because of its use in topical wound dressings, clothing, cosmetics,
31 water filters, laundry detergent, electronics, and many other applications³⁶⁻³⁹.

32 In 2008, the International Center for Technology Assessment (CTA) and a coalition of
33 consumer, health, and environmental groups filed a legal action for the Environmental Protection
34 Agency (EPA) to stop the sale of over two hundred (200) nanosilver products⁴⁰. This event
35 resulted in more curiosity and controversy about the toxicity of AgNPs. Several toxicity studies
36 have since become available by examining the effects of various factors such as nanoparticle size,
37 stabilizer, dosage, cell type, and biochemical assay^{2, 36, 41-57}. Studies have shown that toxicity of
38 AgNPs is dependent on the size, shape, and stabilizer^{39, 58-60}. El Badawy, et. al. attributed the
39 toxicity to the surface charge of AgNPs that is dependent on the stabilizer used (positive is more
40 toxic)³⁶. Lankoff, et. al. suggested that size and agglomeration of AgNP can contribute to
41 biological impact⁴². Other studies attributed the toxicity to the Ag cations that possibly leak out
42 of AgNPs^{45, 61-65}. In spite of the rapid growth and increasing use of a variety of AgNPs, toxicity
43 assessments of AgNPs remain to be further investigated.

44 The exposure of AgNPs can be through inhalation, ingestion, or topical application³⁸. The
45 study by Garza-Ocanas, et. al. has shown that, upon long term exposure to AgNPs, the most
46 affected organ is the liver⁴⁵. As such, we chose to study the toxicity of AgNPs to HepG2 cells.

47 Our work aims to understand the toxicity of 10nm citrate-coated AgNPs to HepG2 cells,
48 particularly the toxicity mechanism and how these AgNPs affect cell viability, cell morphology,
49 oxidative stress, and protein expression. Furthermore, this study intends to locate AgNPs in or
50 outside the cell and to determine the effect of AgNPs to the protein expression when the cells are
51 exposed to a high dose of these citrate-coated AgNPs.

52 **2. Materials and methods**

53 *2.1 Materials*

54 Citrate-coated silver nanoparticles (AgNPs) with a diameter of 10nm were purchased from
55 Nanocomposix (United States). Hepatocellular carcinoma (HepG2) cells were purchased from
56 ATCC (United States). All other reagents were reagent grade and were purchased from Fisher
57 Scientific (Saint Louis, Mo, USA) or were used as supplied from assay kit manufacturers.

58 *2.2 Cell culture*

59 The HepG2 cells were cultured in complete growth media containing 10% fetal bovine
60 serum, 1% non-essential amino acids, 1% of 100mM sodium pyruvate, 1% Penicillin-
61 Streptomycin 10/10 (Atlanta Biologicals, Atlanta, GA, USA), 2.5% of 1M HEPES buffer
62 solution, and 84.5% minimum essential media. Cells were grown to approximately 85%
63 confluence and subcultured to a ratio of 1:4 every three days. Cells were incubated at 37°C in a
64 5% CO₂ humidified incubator. Proliferation of HepG2 cell lines was performed in the presence
65 of AgNPs (0-10.0 ppm) and cell viability was assessed as described below.

66 *2.3 Cell viability test*

67 Approximately 4×10^4 cells were seeded onto a 48-well plate and grown to approximately
68 60% confluence. Then different doses of citrate stabilized, i.e. citrate-coated, AgNPs for 24h

69 were applied to the wells. The doses of citrate stabilized AgNPs were 0.3, 0.5, 0.75, 1.0, 1.5, 3.0,
70 and 5.0 ppm.

71 Stabilizer control HepG2 cells dosed with 0.1 μ M citrate for 24 hours and no treatment
72 control HepG2 cells were also prepared. The cytotoxic effect with the increasing dose of citrate
73 stabilized AgNPs was then assessed.

74 After 24 hours of treatment, HepG2 cells were washed with MEM (minimum essential
75 medium), trypsinized, and incubated at 37°C in 5% CO₂ humidified incubator and resuspended
76 in complete growing media. The cells were then dyed with trypan blue and counted using
77 BioRad TC10 automated cell counter to determine the cell viability in the two control groups and
78 the AgNP exposed cells. Total cell count, total viable cell count, and cell viability percentage
79 were recorded.

80 *2.4 Oxidative stress measurement*

81 The H2DCFDA (2',7'-dichlorodihydrofluorescein diacetate) purchased from Invitrogen
82 (United States) was used for measuring oxidative stress level in HepG2 cells. Approximately
83 2×10^4 cells were seeded onto a 96-well plate and grown to ~70% confluence. Then treatment
84 with a citrate stabilizer control, a no treatment control, and with different doses (0.3 ppm to 5.0
85 ppm) of citrate stabilized AgNPs were conducted. The HepG2 cells were incubated at 37°C in 5%
86 CO₂ incubator upon treatment.

87 After 24 hours of treatment, the cells were further incubated with 200 μ L of 100 mM
88 H2DCFDA (2',7'-dichlorodihydro fluorescein diacetate) dispersed in HBSS (Hank's Balanced
89 Salt Solution) for 30 min. The cells were then washed with PBS (Phosphate Buffer Saline) and
90 then transferred to complete growth media. The fluorescence intensity for each well was
91 obtained using an excitation at 485 nm and emission at 528 nm.

92 All fluorescence intensity measurements were normalized to the total protein present in
93 each well. After the fluorescence measurements, the cells were suspended in PBS and lysed by
94 sonication. The total amount of proteins in each well was then determined using BCA
95 (bicinchoninic acid) protein assay reagent.

96 *2.5 Protein extraction and proteomic analysis*

97 Approximately 4×10^6 cells were seeded in a 135 mm dish and grown to approximately 70%
98 confluence and then dosed with 5.0 ppm citrate stabilized silver nanoparticles for 24h. The
99 undosed cells were used as control. After 24 hours of treatment, the cells were washed with ice
100 cold PBS and gently scraped from the dish. The cells were then centrifuged at 450 xg for 5 min
101 at 4°C. Cell pellets were chemically lysed using Qproteome mammalian protein preparation kit
102 from Qiagen. The total protein in each sample was determined and normalized using BCA
103 protein assay reagent. The unfractionated protein samples were then sent to MSBioworks, LLC
104 for proteomic analysis.

105 During the proteomic analysis, these samples were mixed with LDS (lithium dodecyl
106 sulfate) buffer (sample loading buffer) and then loaded onto a 4-12% SDS-PAGE gel. Each gel
107 band was digested with trypsin and profiled using Protein-works Protein Profiling without
108 further fractionation. The gel digests were analyzed using nano LC/MS/MS with Waters
109 NanoAcquity HPLC system interfaced to a ThermoFisher Orbitrap Velos Pro using MSBioworks
110 protocols. Briefly, peptides were loaded on a trapping column and eluted from a 75 μ m
111 analytical column at 350 nL/min with both columns packed with Jupiter Proteo Resin
112 (Phenomenex) employing a 1h gradient. The mass spectrometer was operated in data-dependent
113 mode, with MS performed in the Orbitrap at 60,000 FWHM resolution and MS/MS performed in
114 the LTQ. The fifteen most abundant ions were selected for MS/MS. The Mascot database

115 containing peptide mass fingerprints from Matrix Science Ltd. London was used in identifying
116 proteins characterized using MS/MS.

117 Spectral counting, a non-labeling relative protein quantification technique, was utilized in
118 this study. In spectral counting, the relative amount of protein in a sample is correlated with the
119 number of peptide spectra associated with that specific protein.

120 *2.6 Transmission Electron Microscope (TEM)*

121 TEM was used to investigate the morphological differences between the cells treated for 24
122 hours with 5.0 ppm AgNPs and the untreated cells. The cells were fixed using 4%
123 glutaraldehyde in 0.1 M PBS overnight and then post-fixed with 1% OsO₄ in 0.1M PBS for 1
124 hour. Cell pellets were dehydrated by suspending into 25%, 50%, 75%, 100% ethanol and 100%
125 propylene oxide consecutively for 15 min in each solution, 1:1, 2:1, and 0:1 EMBed 812 and
126 propylene oxide, for 2 hours and overnights, respectively. After dehydration, cell pellets were
127 embedded in beam capsules and dried with in the oven at 60°C for 4 hours, followed by
128 sectioning. Ultrathin sections (50-100 nm) were collected and images were obtained using a
129 Hitachi-7500 Transmission Electron Microscope (Hitachi, Ltd. Tokyo, Japan).

130

131 *2.7 Statistical analysis*

132 All the experiments were carried out independently at least 3 times. All numerical data
133 was presented as mean \pm standard deviation. Whenever appropriate, the statistical significance
134 was determined by the Student's t-test at $p < 0.05$.

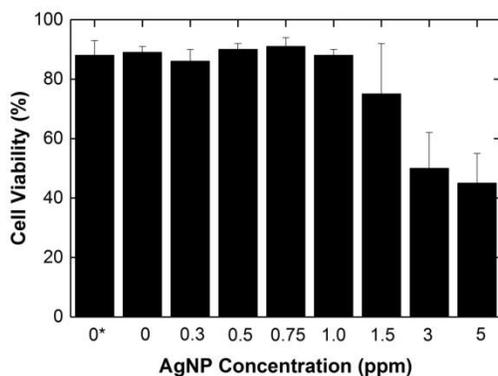
135 **3. Results**

136 To study the potential toxicity mechanisms of AgNPs, HepG2 cells were exposed to
137 various doses of citrate stabilized AgNPs of 10nm. We examined the impact of these AgNPs as

138 a function of dose on the expression of different parameters of the HepG2 cell responses. The
139 results of these studies are reported below.

140 3.1 Effects of AgNPs on HepG2 cell viability and proliferation

141 The effects of increasing concentrations of AgNPs on the viability of HepG2 cells were
142 determined using a tryphan blue exclusion test. The exposure of the HepG2 cells to 10nm citrate
143 stabilized AgNPs resulted in observable cell death at doses > 1ppm after 24 hours of treatment.
144 The lethal dose (LD₅₀), in which only fifty percent (50%) of cells are viable, was observed at 3.0
145 ppm (Fig. 1).



146
147 **Fig.1** Cell viability of HepG2 cells after 24h exposure to 10nm citrate-coated AgNPs. 0* represents the
148 citrate stabilizer control.

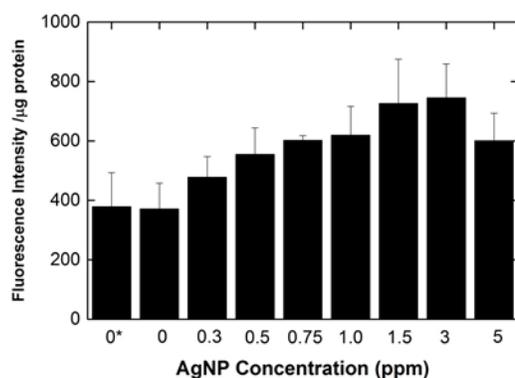
149
150 Several investigators have reported cell viability studies on 24h AgNP treated HepG2 cells
151 using different nanoparticle sizes, stabilizers, manufacturers and assays. LD₅₀ findings for the
152 same cells treated with 10nm AgNPs stabilized with water (Biocera Company, South Korea) is
153 2.5-3.0 ppm using MTT [3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide] and
154 XTT [2,3-bis-(2-methoxy-4-nitro-5-sulfophenyl)-2H-tetrazolium-5-carboxanilide] assay⁶⁶.
155 LD₅₀ measured by Kawata, et al. was 2.5 ppm for 7-10nm AgNPs stabilized with
156 polyethylenimine (Kyoto Nanochemical Co., Ltd., Japan) using neutral red uptake assay⁶¹. IC₅₀

157 by Lin, et al. was > 30 ppm for 5nm AgNPs stabilized with water and SMA [poly(styrene-co-
158 maleic anhydride)-grafting poly(oxyalkylene)] (Gold Nanotech Industries) using MTT assay
159 ³⁹. LD₅₀ by Eom, et al. was >20 ppm for 2nm AgNP stabilized by PVP (polyvinylpyrrolidone)
160 using MTT assay ⁶⁷. Our LD₅₀ result of 3 ppm for 24h treatment with 10nm citrate-coated
161 AgNPs is in agreement with the results from these other investigators that used 10nm AgNPs
162 with different treatment time, stabilizers, and assays.

163 *3.2 Effects of AgNPs on oxidative stress in HepG2 cells*

164 Oxidative stress in Hep2G cells treated with different doses of AgNPs was determined
165 using H2DCFDA assay for reactive oxygen species (ROS). Exposure of HepG2 cells to
166 increasing doses of 10nm citrate stabilized AgNPs for 24h resulted in increasing ROS levels in
167 the cells (Fig. 2). Even at a low dose of 0.3 ppm, an increase in ROS level compared to the two
168 controls (citrate and 0ppm) is noticeable. The citrate stabilizer control was not significantly
169 different from the no treatment control. A maximum ROS level was reached at 3.0 ppm then
170 declined at 5.0 ppm, where a major portion of the population of cells were already dead. Pairing
171 this information with the cell viability response curve (Fig.1), where a noticeable decrease in cell
172 viability was observed at 1.5 ppm, indicates that HepG2 cells were able to withstand some higher
173 levels of ROS for short periods of time before cell death.

174



175

176 **Fig. 2** Fluorescence intensity measured using H₂DCFDA for the oxidative stress level of HepG2 cells after
 177 24h treatment using 10nm citrate stabilized AgNPs . 0* represents the citrate control experiment.

178

179 Other investigators have studied the oxidative stress caused by AgNPs on cells and
 180 apoptosis induction. Nowrouzji et al. found increasing NO levels, lipid peroxidation, and lower
 181 glutathione levels as cells were increasingly dosed with AgNPs⁶⁶. Kim, et al. concluded that
 182 toxicity of AgNPs to HepG2 cells is mainly due to oxidative stress⁶⁸. Hwang et al. found an
 183 increase of hydroxyl radicals that induce apoptotic cell death in the yeast *Candida albicans*⁶⁹.
 184 Mukherjee et al. observed AgNP induced elevated levels of oxidative stress and depletion of
 185 glutathione in human dermal and cervical cancer cell lines⁷⁰. Though different methods, cells,
 186 and AgNPs were used in the measurement of oxidative stress levels, all these studies consistently
 187 report that generation of ROS in the cell results from the exposure to AgNPs.

188

189 *3.3 Effects of AgNPs on protein expression*

190 To understand which proteins in HepG2 cells are potentially affected by exposure to silver
 191 nanoparticles, we performed protein extraction, identification, and quantification in order to find
 192 a clear trend. In the proteomic analysis, the protein samples were quantified and equal
 193 concentrations of protein were loaded onto a SDS gel and run to allow proteins to migrate a few
 194 centimeters. The proteins were then visualized with coomassie blue and the entire mobility

195 region excised and digested with trypsin and then prepared for LC mass spectrometry proteomic
196 analysis. Data obtained from the mass spectromic analysis was searched using a local copy of
197 Mascot with Enzyme trypsin, against the SwissProt human data base, including the
198 Carbamidomethyl (C) fixed modification, and variable modification of Oxidation (M),
199 Acetyl(Protein N-term), Pyro-Glu(N-term Q), and Deamidation (NQ) databases.

200 Using LC tandem mass spectrum and spectral counting approach we were able to identify a
201 total of 1218 proteins from both the treated and control HepG2 cells. The number of proteins
202 identified from the control was 1026; slightly more than the treated which was 987. In both
203 samples the false discovery rate (FDR) was 0.1%. The proteins affected by the treatment
204 included: membrane proteins, cytoplasmic, cytoskeletal, and some nuclear proteins. Among the
205 proteins observed, mitochondrial proteins such as 60 kDa heat shock protein were affected
206 significantly. Other affected proteins were fatty acid synthase, the tubulin beta chain, the histone
207 family proteins, spectrin family proteins, and other numerous proteins. Interestingly, some of the
208 proteins that showed large spectral count differences are involved in calcium dependent
209 movement of the cytoskeletal membrane, cell motility, protein structure control, ATPase cycle,
210 glycolysis, play role in RNA transcription and ribosome assembly, are involved in RNA
211 metabolism both in the nucleus and mitochondria, and others.

212 Culture of the control and treated cells began with equal numbers of cells and upon harvest
213 the number of cells prepared for proteomic analyses were comparable. To minimize the
214 difference in cell numbers, we used a proportional amount of protein extraction kits.
215 Furthermore, equal amounts of protein extract samples were loaded onto the SDS gel. These
216 steps were taken to minimize or control the differences caused by sample handling and
217 preparation and maintain the original expression differences. As a whole, the tubulin family

218 proteins were observed to be down expressed in the treated cells compared to the control cells
 219 (Table 1). Tubulin family proteins are cytoskeletal proteins in the cytoplasm and are major
 220 constituents of microtubules. As key components of the cytoskeleton, these long, filamentous,
 221 tube-shaped polymer proteins are essential in all eukaryotic cells and are crucial in the
 222 development and maintenance of cell shape, the transport of vesicles, mitochondria, and other
 223 components throughout cells. They also play a critical role in cell signaling and in cell division
 224 or mitosis. They are composed of alpha and beta tubulin dimers and their functionality is
 225 determined by the expression and post translational modification of tubulin proteins ⁷¹.

226 **Table 1** Tubulin proteins' expression with and without the presence of AgNPs

| Protein Accession Number | M.Wt (kDa) | Spectral Count | | Δ |
|--------------------------|---------------|----------------|---------|----------|
| | | 0 ppm | 5.0 ppm | |
| sp Q9BQE3 TBA1C_HUMAN | 50 | 60 | 39 | -21 |
| sp P07437 TBB5_HUMAN | 50 | 77 | 53 | -24 |
| sp Q13885 TBB2A_HUMAN | 50 | 59 | 0 | -59 |
| sp P68371 TBB2C_HUMAN | 50 | 73 | 50 | -23 |
| sp Q13509 TBB3_HUMAN | 50 | 40 | 32 | -8 |

227
 228 The ribosomal protein family (40 S ribosomal proteins) was also down expressed after the
 229 treatment of AgNPs. These proteins are Proteins of the ribosome, large ribonucleo-protein
 230 particles where the translation of messenger RNA (mRNA) into protein occurs. They are both
 231 free in the cytoplasm and attached to the membranes of eukaryotic and prokaryotic cells. A total
 232 of 26 proteins were identified and quantified in this family and all were found to be under

233 expressed in the treated sample compared to the control. Depending on their post translational
234 modification they have different functionality and importance.

235 In contrast to the tubulin and ribosomal proteins, four heat shock proteins were found to be
236 overly expressed in the treated sample relative to the control (Table 2).

237 **Table 2** Most affected heat shock proteins' expression in the presence of AgNPs

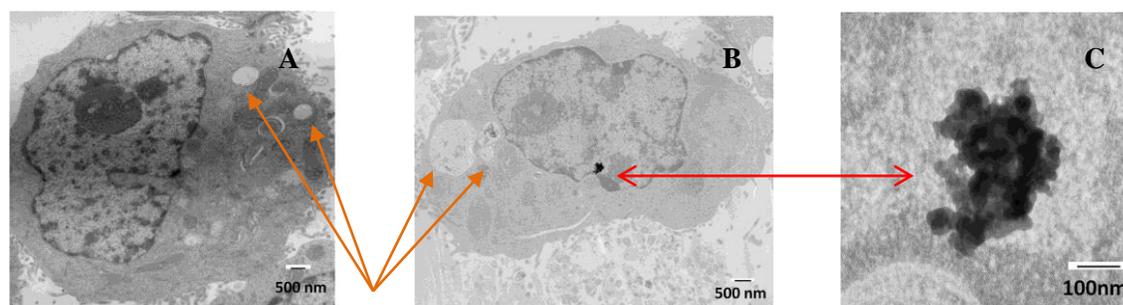
| Protein Accession Number | M.Wt (kDa) | Spectral count | | Δ |
|--------------------------|------------|----------------|---------|----------|
| | | 0 ppm | 5.0 ppm | |
| sp P08107 HSP71_HUMAN | 70 | 28 | 51 | +23 |
| sp P11142 HSP7C_HUMAN | 71 | 30 | 41 | +11 |
| sp P07900 HS90A_HUMAN | 85 | 62 | 79 | +17 |
| sp P08238 HS90B_HUMAN | 83 | 90 | 98 | +8 |

238

239 3.4 Agglomeration of AgNPs in HepG2 cells

240 In order to understand the toxicity mechanisms of AgNPs to the HepG2 cells, we used the
241 images obtained by Transmission Electron Microscope (TEM) to locate the AgNPs in HepG2
242 cells. Three representative TEM images are shown in Fig. 3, which correspond to a control (Fig.
243 3A) and a 5.0 ppm dosed HepG2 cell (Figs. 3B and 3C).

244



245

Fig. 3 TEM images of control (A) and 5.0 ppm AgNP dosed HepG2 cell (B & C).

246 Agglomerated citrate stabilized AgNPs were found to penetrate the treated HepG2 cells.
247 Several recent studies have also shown that AgNPs with the size of 10 nm can penetrate inside of
248 the cells⁷²⁻⁷³. Furthermore, Fig. 3 B and C show an agglomeration of AgNPs near or inside the
249 nucleus with a size of about 300 nm. The treated cells were observed to shrink in size, a
250 diameter of ~7 nm in comparison to that of 10-13 nm of the control cells. Moreover, an
251 expansion of the vacuole containing a large amount of lysosomes which are responsible for
252 intracellular processing of waste was also noticeable in the treated cells. This change in cell
253 morphology in the treated cells is an indication that the dose used (5 ppm) is toxic to the cells.

254 **4. Discussion and conclusion**

255 The present study showed the exposure of HepG2 cells to 10nm citrate-coated AgNPs is
256 toxic at a dose of above 1ppm based on the ROS measurements. Furthermore, the LD₅₀ was
257 observed to be 3 ppm when these AgNPs were exposed to HepG2 cells for 24 hours. The widely
258 accepted mechanism of AgNP toxicity is the release of Ag cations that dissolve from the
259 passivated AgNPs. This toxicity pathway is likely as the results in the study by Gliga, et al.⁷⁴
260 demonstrated that 10nm AgNPs release more Ag cations than the AgNPs of larger sizes.
261 However, this pathway alone cannot explain the toxicity of 10nm AgNPs coated with citrate and
262 PVP, which release Ag cations by different rates. While the mode of toxicity is independent of
263 coating materials, the citrate-coated AgNPs release more Ag cations than the PVP-coated do in a
264 given time period. Furthermore, the release of Ag cations by 10nm AgNPs coated with PVP in
265 HepG2 cells is about 10% of maximum⁷⁵. This suggests that other pathways are also
266 responsible to the toxicity of AgNPs. As ligands affect properties and functions of
267 nanoparticles⁷⁶⁻⁷⁸, further studies of AgNP with different coating will be interesting.

268 The TEM images in this work illustrated the aggregation of AgNPs inside the HepG2 cells.
269 This leads us to hypothesize that osmotic pressure generated by the aggregation of AgNPs inside
270 the HepG2 cells may induce toxicity. The change in osmotic potential resulted in the loss of
271 water inside the HepG2 cells that leads to a smaller size of HepG2 cells. Upon a closer
272 examination of the TEM images of HepG2 cells, we indeed found smaller sizes of the treated
273 HepG2 cells and thus confirmed this hypothesis. A previous study by Cheng, et al. demonstrated
274 that an aggregation of PVP-coated AgNPs under sunlight can reduce toxicity⁴⁹, which further
275 supports our hypothesis. As such, we expect the reduction of the toxicity of AgNPs under the
276 proposed toxicity mechanism can be achieved by preventing the aggregation of small
277 nanoparticles or utilizing the nanoparticles in a size range that is not likely to aggregate.

278 This work also showed that the exposure to 10nm citrate-coated AgNPs resulted in
279 oxidative stress even at a lower dose of 0.3 ppm. Normal protein expression of HepG2 cells
280 were also affected by the exposure of these AgNPs. Expression of heat shock protein, fatty acid
281 synthase, and histone and spectrin proteins were affected upon exposure of HepG2 cells to 5 ppm
282 of 10nm citrate-coated AgNPs. A similar observation was reported in other studies where
283 AgNPs can induce dose dependent oxidative stress and up regulation of heat shock proteins upon
284 exposure to AgNPs^{2, 79}.

285 In conclusion, our results show that the toxic dosage of 10nm citrate-coated AgNPs to
286 HepG2 cells after 24h exposure starts at >1ppm and LD₅₀ is 3ppm. Evidence of the toxicity of
287 AgNPs to HepG2 cells was supported by the oxidative stress levels, cell morphology changes,
288 and protein expression changes affected in HepG2 cells. Oxidative stress levels in the cells were
289 observed to increase in a dose-dependent way to AgNPs and further that high doses led to cell
290 death. AgNPs were able to penetrate the cells and aggregate. Treated HepG2 cells were smaller

291 and exhibited larger and more numerous vacuoles containing increased amounts of lysosomes
292 shown in the TEM images. Important proteins affected upon the exposure of AgNPs to HepG2
293 cells were a family of heat shock proteins, tubulin proteins, histones, and spectrins. Osmotic
294 pressure generated by the aggregation of AgNPs inside HepG2 cells is proposed to be an
295 important toxicity mechanism of 10nm citrate-coated AgNPs.

296

297 **Conflicts of interest statement**

298 The authors declare that there are no conflicts of interest.

299

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303

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