¹ Crystal plane dependent dispersion of cobalt metal on metastable

2 aluminas

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10 Abstract

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Metallic Co nanoparticles, widely used and studied as supported heterogeneous catalysts for 12 13 Fischer-Tropsch synthesis (FTS), display catalytic properties that can vary significantly depending on their size and crystal structure. In this work, we used ⁵⁹Co Internal Field NMR (⁵⁹Co IF NMR) 14 complemented by high-resolution transmission electron microscopy (HRTEM) to demonstrate the 15 influence of strong metal-support interaction on two noticeably different metastable alumina 16 phases - γ -Al₂O₃ and χ -Al₂O₃. According to ⁵⁹Co IF NMR and HRTEM, the metallic particles 17 supported on γ -Al₂O₃ were larger and displayed a significantly higher content of *hcp* Co phase, 18 19 which are known to be more active and selective to C₅₊ in FTS. The ¹H NMR chemical shifts of hydroxyl groups anchored to the (110) and (111) spinel crystal planes were calculated by DFT. It 20 revealed that the hydroxyl coverage of γ -Al₂O₃ facilitates the dispersion of Co precursor over the 21 surface of the support, ultimately leading to the formation of smaller metal Co nanoparticles on γ -22 23 Al₂O₃, than on χ -Al₂O₃.

- 25 **Keywords:** heterogeneous catalysis, ⁵⁹Co NMR, HRTEM, Internal Field NMR, DFT, metal
- 26 support interaction, Fischer-Tropsch synthesis

27 **1 Introduction**

28 The catalytic properties of nanoparticles (NPs) strongly depend on their size, crystal structure and morphology – factors that have a complex interconnection with each other but that 29 are, to some extent, controllable by adjusting the synthesis conditions. An important case study is 30 metallic cobalt supported on oxides whose catalytic behavior depends strongly on the metal-31 support interaction in ways that are not yet fully understood.[1] In Fischer-Tropsch synthesis (FTS) 32 for example, the choice of the support as well as possible decorations with various noble metal 33 promoters are used to control the morphology and crystal structure of cobalt NPs in order to obtain 34 the desired catalytic properties. The influence of these two properties of Co NPs on activity and 35 selectivity towards C_{5+} hydrocarbons has been extensively studied in the recent decades resulting 36 in some generally agreed-upon requirements. First, vast experimental data [2–7] indicate that, 37 between the two most common structures met in metallic cobalt, the hexagonal close-packed (*hcp*) 38 39 crystal structure is more active and selective towards C_{5+} than the face-centered cubic (*fcc*) one. Second, experimental data and theoretical calculations show that below a certain size (between 4 40 and 10 nm according to different sources) Co NPs become much less active in FTS and their 41 selectivity shifts to CH₄ [8–13]. However, in many instances, how the desired cobalt size and 42 structure are tailored by the support remains an open question. 43

During the preparation step, how the support directs the speciation of Co(II) ions and complexes from the precursor has been efficiently investigated by spectroscopic means (noticeably UV-visible spectroscopy[14] and EXAFS[15]), rationalized through coordination chemistry[16] and modeled by DFT.[17] Nevertheless, the interaction with the support of the larger Co nanoparticles obtained after reduction is paradoxically more difficult to characterize or model.[18]

Co nanoparticles can be characterized by a variety of physical methods: X-Ray diffraction 49 50 (XRD), electron microscopy techniques (transmission, scanning, or scanning transmission), magnetometry, magnetic resonance techniques (electronic ferromagnetic resonance - FMR, ⁵⁹Co 51 52 Internal Field nuclear magnetic resonance – IF NMR). All of these techniques have their own experimental limitations and provide different kinds of structural information. In this work, we 53 rely on a combination of ⁵⁹Co Internal Field NMR (⁵⁹Co IF NMR) and high-resolution 54 transmission electron microscopy (HRTEM), a combination that proved effective in some of our 55 previous works.[19–22] HRTEM is an indispensable technique providing unique information on 56 the morphology of the particles close to the single-atom scale. However, the intrinsic limitation of 57 this technique is its local character, making it necessary to extrapolate the data obtained from a 58 limited set of micrographs. ⁵⁹Co IF NMR, on the contrary, is a bulk technique that was shown to 59 provide information on the crystal and magnetic structures of Co nanoparticles [19,20,23-26] as 60

well as on their size distribution,[21,27] even though it is limited by the intrinsically low intensityof the NMR signal and the difficulty of spectral analysis.

We focus here on the role of two low-temperature metastable alumina phases, γ -Al₂O₃ and 63 χ -Al₂O₃, in directing the dispersion and structure of Co nanoparticles. γ -Al₂O₃ is a well-studied 64 and widely employed support in Co FTS catalysts. It has a high specific surface area and 65 demonstrates good stability under usual reaction conditions when stabilized by metal oxides. χ -66 Al₂O₃ is the lowest temperature form of alumina in the gibbsite alumina series; the use of this 67 68 metastable phase as a catalyst support has been investigated to a much lesser extent, even though it also demonstrates a relatively high specific surface area and thermal stability. Pansanga et al. 69 have demonstrated that the use of a mixed γ -Al₂O₃ + γ -Al₂O₃ support leads to increased Co 70 dispersion at high Co loadings, but this effect could be mostly due to the morphology of the 71 particles and not necessarily to their surfaces structures [28]. The crystal structure as well as 72 crystallite stacking of χ -Al₂O₃ still remain an open question with several equally feasible viewpoint 73 (see for instance a recent mini-review by Prins [29]). Nevertheless, regardless of the preferred 74 theory on the structure of bulk γ -Al₂O₃ and χ -Al₂O₃, an important difference between these 75 alumina phases lies in the different crystal faces that dominate the surface of the particles.[30,31] 76 As a result, γ -Al₂O₃ differs from γ -Al₂O₃ by the type and density of defect surface sites [32] and 77 by having a higher surface density of weak Lewis acid sites.[33,34] These differences have been 78 suggested to explain different metal sintering behavior.[35] Regarding specifically Co, the 79 presence of γ -phase in γ -Al₂O₃ resulted in higher dispersion of Co as well as higher CO 80 hydrogenation activities of the Co/Al₂O₃ catalysts compared to pure Co/y-Al₂O₃ samples. In 81 samples of high Co loadings, the spherical-shape-like morphology of the χ -phase Al₂O₃ provides 82 a better stability of the Co particles.[36] 83

This brief summary of the literature concerning Co nanoparticles on γ -Al₂O₃ and χ -Al₂O₃ reveals the importance of the nanoparticle-support interactions[8,17] that result from an interplay between the support surface structure and its morphology. Here, we show that HRTEM and ⁵⁹Co IF NMR reveal significant structural differences between the Co NPs supported on γ -Al₂O₃ and χ -Al₂O₃. Finally, building on indirect DFT calculations, we link these differences to the differences in initial distribution of Co precursors on the catalyst surface.

- 90 2 Experimental
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2.1 Synthesis of Co/y-Al₂O₃ and Co/y-Al₂O₃ supported samples

Low-temperature metastable alumina phases were used as the supports for the studied samples. γ -Al₂O₃ was obtained by calcination of pseudoboehmite (Pural SB1, Condea Chemie, Hamburg, Germany) in air at 923 K for 6 h (1.5 K/min heating rate). The same procedure was used to obtain χ -Al₂O₃ from gibbsite (Sigma-Aldrich, Merck, Darmstadt, Germany). XRD and ²⁷Al solid-state NMR techniques (see Supplementary Materials) were used to confirm the purity of the obtained powders as in our previous works [37,38]. The resulting BET (Brunauer-Emmett-Teller) specific surface areas of γ -Al₂O₃ and χ -Al₂O₃ were roughly 175 m²/g and 150 m²/g respectively.

100 In order to determine the moisture capacity of the obtained supports, they were dried at a 101 temperature of 383 K overnight. Then, droplets of distilled water were added to 1 g of alumina 102 under continuous stirring until the sample appeared uniformly wet. The resulting values of 103 moisture capacity were 0.91 ml/g for γ -Al₂O₃ and 0.50 ml/g for χ -Al₂O₃.

104 Co/Al₂O₃ supported samples with different metallic Co content were obtained using 105 incipient wetness impregnation with an aqueous solution of Co(NO₃)₂·6H₂O (98%, 106 Sigma-Aldrich). The higher moisture capacity of y-Al₂O₃ allowed introducing up to 10 wt.% of Co into the sample with a single impregnation, while χ -Al₂O₃ was able to take only up to 5 wt.% Co 107 108 in a single impregnation step. Thus, to obtain comparable samples with similar impregnation protocols (meaning having the same Co content obtained by following identical impregnation 109 110 protocols), 5 wt.% was finally chosen as the target Co content that could be obtained for both supports in a single impregnation step. The samples were impregnated with the Co nitrate solution, 111 112 then dried at 383 K for 4 hours and calcined in an Ar flow at 623 K for 4 hours. Finally, the samples 113 were reduced in a H₂ stream (50 ml/min) at 673 K for 4 hours (3 K/min ramping rate), purged with 114 Ar for 20 min and sealed in airtight glass ampoules to avoid oxidation. The resulting samples were 115 denoted as 5% Co/(γ -Al₂O₃ or γ -Al₂O₃).

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2.2 Characterization techniques

117 The morphology of the Co nanoparticles was controlled using high-resolution transmission 118 electron microscopy (HRTEM) experiments conducted on a JEM-2010 electron microscope (Jeol, 119 Japan) with acceleration voltage of 200 kV and 0.194 nm spatial resolution. High-angle annular 120 dark field scanning transmission electron microscopy (HAADF-STEM) and elemental mapping 121 images were recorded with 200 kV acceleration voltage using a JEM-2200FS electron microscope 122 (Jeol, Japan) equipped with a Cs corrector. Particle sizes were analyzed using FIJI software.

⁵⁹Co internal field NMR (⁵⁹Co IF NMR) spectra were recorded at 30 K on an AVANCE III 123 500 Fourier NMR spectrometer (Bruker, USA) using a laboratory-made solid-state probe designed 124 for low-temperature (liquid helium cooling) IF NMR experiments. The probe was operated outside 125 126 the magnet since IF NMR does not require an external static field. A solid-echo pulse sequence θ -127 τ - θ was used, with a θ pulse length of 1 µs and an interpulse delay τ of 8 µs. Due to the intrinsically short T₁ nuclear spin relaxation time in ferromagnetic materials, a 33 ms delay between the echo 128 sequences was used. In order to cover the entire frequency range, the spectra were recorded 129 stepwise with 500 kHz carrier frequency steps. A custom automatic tuning and matching device 130 131 was used to retain a constant quality factor over the entire recording range. In order to determine 132 the intensity of the spectrum at a chosen frequency, the echo signal recorded at this frequency was 133 Fourier-transformed with adequate phase and baseline corrections such that a purely absorption spectrum was obtained. Then, the obtained signal was integrated over a roughly 400 kHz frequency 134 135 range centered at the carrier frequency, after which the value of the integral was assigned as the 136 intensity of the spectrum at said frequency. Radiofrequency irradiation power was varied at each 137 frequency step to be able to account for the differences of enhancement factors between the bulk of the magnetic domains and the domain walls according to the method originally described by 138 139 Panissod et al. [39]

¹H NMR spectra of the alumina supports were recorded at room temperature using a pulsed 140 141 NMR spectrometer Bruker Avance 400 with a constant magnetic field of 9.4 T (400.13 MHz ¹H Larmor frequency). Prior to the ¹H NMR experiments, the samples were dehydrated for 4 hours at 142 143 450 °C and sealed inside glass ampoules to avoid rehydration from air. The samples were 144 transferred into standard 4 mm ZrO₂ rotors under argon atmosphere right before the experiment. The spectra were recorded under magic angle spinning condition (MAS, 10 kHz rotation 145 146 frequency) using a single $\pi/2$ pulse (5 µs pulse length). 1024 scans were accumulated with a 5 second delay. Tetramethylsilane was used as an external reference with a chemical shift of 0 ppm. 147

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2.3 Theoretical calculation details

149 To characterize the hydroxyl coverage of the alumina surfaces, we have conducted DFT 150 calculations of ¹H NMR chemical shifts of hydroxyl groups on the two most prominent crystal 151 planes dominating the surfaces of γ -Al₂O₃ (the (110) plane) and χ -Al₂O₃ (the (111) plane) 152 according to the cubic spinel model of the metastable alumina building blocks.

153 All calculations were performed using the CASTEP program.[40] The interactions between 154 the core ions and the valence electrons were described using the projector augmented wave (PAW) 155 pseudopotentials.[41] The geometry calculations were performed at Γ point with the exchangecorrelation functional PW91.[42] A plane wave basis set cut-off energy of 450 eV with the selfconsistent field threshold of 10⁻⁶ eV was used. The geometry calculations used the BFGS
(Broyden–Fletcher–Goldfarb–Shanno) algorithm.[43]

To mimic a flat alumina surface, a layer of alumina was created from the spinel structure with the lattice parameter *a* of 8.08534 Å. A model bulk crystal cell was cleaved along the (110) and the (111) crystallographic planes. The (110) cell dimensions were 22.8688 × 16.1706 Å, while the (111) cell was 19.8048 × 11.4344 Å. The slab thickness was varied from cell to cell, from 3.5 to 8 Å, with vacuum spans of more than 10 Å to prevent periodic interaction. No additional restrictions were imposed during the structural relaxation. Extra hydroxyl groups were added to the surface Al sites in order to avoid dangling bonds and maintain electroneutrality.

The ¹H NMR parameters were computed by the GIPAW method [44] with cutoff energy of
600 eV and PBE (Perdew-Burke-Ernzerhof) functional.[45] The ultrasoft pseudopotentials are the
ones supplied with CASTEP.[46].

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170 **3 Results**

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172 **3.1 High-resolution transmission electron microscopy and EDS**

Figure 1 (a, b) displays typical HRTEM images of the sample 5% Co/γ -Al₂O₃ at different 173 magnifications. According to the micrographs, the γ -Al₂O₃ support consists of large (1 μ m) 174 agglomerates of randomly oriented alumina nanocrystallites that are slightly elongated along the 175 [100] axis. Cobalt nanoparticles (shown with white arrows in Fig. 1b) are poorly distinguished on 176 177 the micrographs due to their relatively small size (5.5 nm mean diameter according to HRTEM image analysis). Several Bragg diffraction spots, corresponding to metallic Co crystallites, are 178 clearly visible on the Fourier transform of Fig. 1b (Fig. 1c) along with the annular diffractions 179 from the randomly oriented crystallites of γ -Al₂O₃. Interplanar distances in *fcc* and *hcp* Co are 180 181 quite close, which makes the distinction between these crystal structures almost impossible here despite the presence of clearly defined diffraction spots. 182



Fig. 1. (a) and (b): HRTEM images of the 5% Co/ γ -Al₂O₃ sample at different magnifications. Metallic Co nanoparticles are visible as dense dark areas (additionally highlighted with white arrows on b). (c): spatial Fourier transform of b), Diffraction spots shown with arrows 1 and 2 correspond to cobalt nanoparticles while the annular diffractions from γ -Al₂O₃ are shown with arrow 3.

A better visualization of distribution of Co nanoparticles on the surface of the support can be obtained from the energy-dispersive mapping images recorded using HAADF-STEM technique (Fig. 2). In the corresponding EDS maps, areas where the Co K_{a1} line (6.930 keV) emerges from the background noise are figured in red, while those where the Al K_{a1/2} line (1.486 keV) emerges are in blue. Accordingly, Co appeared evenly distributed on the surface of the support at the spatial resolution of the HAADF-STEM image (about 1 nm) and no Co particles can be evidenced by this method.



Fig. 2. HAADF-STEM image of the 5% Co/γ -Al₂O₃ sample (**a**) and the elemental mapping of the area in the red box obtained with EDS (**b**). Al signals are shown in blue, Co signals are shown in red.

201 A significantly different picture can be seen on the HRTEM micrographs of the 5% 202 Co/γ -Al₂O₃ sample (Fig. 3). In this case, the γ -Al₂O₃ support consists in large 0.5 µm platelets (a 203 clear example can be seen in the HRTEM image at low magnification, Fig.S1 in Supplementary 204 Materials). The platelets are built from nanocrystalline domains (about 10x10 nm²) oriented in the 205 same direction and separated by 3-5 nm-sized pores. On this support, dark areas reveal Co nanocrystallites with sizes of about 5-10 nm. Moreover, EDS images (Fig. 4b) demonstrate that 206 207 Co was not evenly distributed on the surface of the support. Higher Co densities areas sized up to 100 nm are visible and coincide with the brighter areas in the HAADF-STEM images of Figure 208

4a. Combining these observations leads to the conclusion that Co forms assemblies of ~100 nm 209 210 consisting of ~10 Co nanocrystallites with sizes of about 5-10 nm. In addition, the spatial Fourier transform image of the micrograph containing the cobalt nanoparticles (Fig. 3d) clearly 211 demonstrates a near-overlap of the diffraction spots corresponding to the interplanar distances of 212 213 the support and of the metallic Co. In this FFT image the diffraction spot of Co metal (d = 0.191) nm, P6₃/mmc space group, PDF №050727) is very close to the brighter diffraction spot that 214 corresponds to χ -Al₂O₃ (d = 0.212 nm). Together with a moiré pattern visible in the supported Co 215 nanoparticles (Fig. 3c), this signs an orientation coincidence of the Co nanocrystallite with the 216 217 alumina crystal domains forming the support surface. This means that both the orientation of growth and the interplanar distance value coincide suggesting an epitaxial relation between the Co 218 219 particles and the γ -Al₂O₃ surface.

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Fig. 3. (a) and (b): HRTEM images of the 5% Co/ χ -Al₂O₃ sample at different magnifications. Metallic Co nanoparticles are visible as dense dark areas. (c): Magnification of the area inside the red box of b). (d): Spatial Fourier transform of b). The diffraction spots corresponding to the Co metal and χ -Al₂O₃ are denoted with white arrows. Such a close arrangement of diffraction spots in distance and angle suggests epitaxy between the Co nanoparticle and the surface of the support.

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Thus, HRTEM and HAADF-STEM techniques demonstrate that, at 5% Co loading, the χ -Al₂O₃ surface appeared much more ordered than the one of γ -Al₂O₃ and that the Co nanoparticles were oriented in epitaxial relation with the χ -Al₂O₃ surface. At the same time, elemental mapping images show that cobalt was more homogeneously dispersed over the surface of γ -Al₂O₃ in the

- form of small (5 nm and less) nanocrystallites, while larger Co nanocrystallites (5-10 nm) tended to associate on the surface of χ -Al₂O₃.
- Electron microscopy techniques revealed the morphology and structure of the samples, but
- due to their strictly local character, these methods are not optimal for studying the crystal structure
- of Co nanoparticles in the entire volume of the sample. In contrast, ⁵⁹Co internal field NMR (⁵⁹Co
- 237 IF NMR) is a technique able to discern between different types of crystal structure of metallic Co
- in the bulk something that is more likely to relate to the overall efficiency of the catalyst.



Fig. 4. HAADF-STEM image of the 5% Co/χ -Al₂O₃ sample (a) and the elemental mapping of the 240 area in the red box obtained with EDS (b), Al signals are shown in blue, Co signals are shown in 241 242 red. White circles in (b) are used to locate the large Co NP assemblies identified as brighter areas in (a). Individual points on the EDS elemental maps do not indicate the presence of Co with 243 244 certainty, because their appearance may be connected with the background noise in the EDX 245 spectra. However, since noise is expected to be randomly distributed on the elemental maps, the areas that contain larger densities of points (shown with the white circles in Fig. 4b) can be 246 associated with Co particles and do indeed coincide with the brighter areas of the HAADF-STEM 247 248 image (Fig. 4a).

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3.2 ⁵⁹Co internal field nuclear magnetic resonance

252 ⁵⁹Co IF NMR spectra reflect both the crystal and the magnetic structures of Co nanoparticles, 253 which affect the hyperfine magnetic field at the location of the nucleus. It can be observed only in ferromagnetic particles. In superparamagnetic ones, the internal field fluctuates rapidly at the 254 255 timescale of the NMR experiments and no signal is generated. Consequently, we have demonstrated earlier that the size of Co nanoparticles is an equally important factor affecting the 256 257 ⁵⁹Co IF NMR spectra, when particles below the nominal critical superparamagnetic size are present in the sample and invisible in the IF NMR spectra. The critical size below which particles 258 259 isolated from each other are superparamagnetic (and thus are undetectable in IF NMR) at room temperature was shown to be equal to roughly 7.5 nm in diameter for isolated particles.[21] 260 261 However, in non-isolated particles, magnetic ordering of the particles due to interparticle magnetic interactions (dipolar and exchange) affects the critical size for superparamagnetic/ferromagnetic 262 263 transition in a way that is difficult to predict quantitatively. In practice, we can consider the particles to be isolated when the energy of dipolar interaction between the particles is much smaller 264 265 compared to magnetic anisotropy energy[47], which is the case for cobalt particles about 5 nm in diameter and separated by at least the same distance. From these considerations, according to the 266 electron microscopy data, a significant fraction of Co nanoparticles in the 5% Co/y-Al₂O₃ and 5% 267 Co/γ -Al₂O₃ samples could be superparamagnetic and undetectable by ⁵⁹Co IF NMR at room 268 temperature. Therefore, we conducted the ⁵⁹Co IF NMR experiments at 30 K where the critical 269 particle size for superparamagnetic transition decreases to 3.5 nm. It can then be safely assumed 270 271 that almost all Co particles in the studied samples are in a ferromagnetic state and contribute to the ⁵⁹Co IF NMR spectra. 272

As stated in the experimental section and according to a now well-established 273 274 procedure, [21] the spectra were recorded at different radiofrequency powers in order to discern 275 between the multi domain and single domain ferromagnetic particles. The distribution of optimal 276 radiofrequency power (power at which the spectral intensity at the chosen frequency is maximum) for all spectra was practically uniform meaning that we have not detected any multi domain Co 277 278 particles. This is in complete agreement with the observations made using HRTEM since multiple magnetic domains are not expected to occur in particles smaller than 70 nm.[48] Therefore, all of 279 the obtained spectra were analyzed from the standpoint of single domain particles. 280





Fig. 5. ⁵⁹Co IF NMR spectra of the 5% Co/ γ -Al₂O₃ (bottom) and 5% Co/ χ -Al₂O₃ (top) samples. T = 30 K. Spectra are normalized to the same maximal intensity. Echo measurement points are shown with symbols, while the lines show the result spectral decomposition into two Pseudo-Voigt profiles for *fcc* Co (green) and *hcp* Co (blue). The sum of these two profiles is shown in red.

⁵⁹Co IF NMR spectra of the studied samples recorded at 30 K are shown in Fig. 5. The 288 289 spectrum of the sample supported on γ -Al₂O₃ (bottom) displays a dominant line centered at 222 290 MHz that corresponds to single domain particles with fcc structure [26]. The relatively weak wide 291 shoulder stretched from 224 MHz to 235 MHz indicates the minor occurrence of particles with *hcp* structure [26]. The *hcp* crystal structure is the stable form of bulk Co at, and below, room 292 293 temperature (the *hcp*–*fcc* transition is known to happen at roughly 700 K). However, according to many experimental observations, the decrease of the size of Co nanoparticles leads to the 294 stabilization of the *fcc* crystal phase to the extent that this phase becomes dominant for relatively 295 296 small nanoparticles, as observed here.[49,50] Thus, the obtained spectrum further corroborates the 297 electron microscopy observations that Co was present as highly dispersed small nanoparticles over 298 the surface of the support in the case of γ -Al₂O₃. Tsakoumis et al. have shown using HRTEM that even at a 20 wt.% Co loading, Co nanoparticles supported on the surface of γ -Al₂O₃ have an average size of 5.2 nm with no particles larger than 14 nm in diameter.[8] This is consistent with the observed prevalence of the *fcc* Co signal in the ⁵⁹Co IF NMR spectrum of the sample supported on γ -Al₂O₃ that is reported here.

In the spectrum of the 5% Co/γ -Al₂O₃ sample (Fig 5, top), one can observe a similar line 303 shape consisting of two broad signals corresponding to single domain *fcc* and *hcp* phases of Co, 304 305 with the *fcc* line undergoing a 1 MHz frequency shift compared to the 5% Co/γ -Al₂O₃ sample. The quantitative analysis of the *fcc* and *hcp* fractions in the samples can be made by decomposition of 306 307 the experimental spectra into two broad peaks. The signal from the *fcc* and *hcp* packed Co can be represented as two Pseudo-Voigt profiles centered around 220 MHz and 227 MHz respectively. 308 309 with the line for *hcp* Co being much broader than the line of *fcc* Co due to stronger magnetic anisotropy in the former case [51]. The end results of such decomposition (shown as colored lines 310 311 in Fig. 5), namely the relative intensities of the *fcc* and *hcp* signals, reflect the relative contents of these crystal phases in the samples, which were 24% of hcp Co for γ -Al₂O₃ and 39% hcp Co for γ -312 Al₂O₃. Those values constitute a lower estimate. Indeed, very small fcc Co particles being 313 superparametic might not contribute to the IF NMR spectra. 314

3.3 Experimental and predicted ¹H chemical shifts

The initial stage in the formation of Co nanoparticles on the surface of the support is the anchoring of Co(II), from the precursor ions, on the available vacant sites. Using EXAFS and *ab initio* calculations of the adsorption energy of Co on different surfaces of α -Al₂O₃, Chizallet et al. [52] have recently demonstrated that the hydroxyl coverage of the surface and the location of the surface vacancies greatly influence the interaction energy of Co(II) with the alumina surface.

Determining *ab initio* the hydroxyls on the alumina surface susceptible to interaction with different amounts of Co(II) is obviously very informative but requires lengthy computations involving large sets of models with different types of alumina surfaces together with the necessary water to coordinate and stabilize the dangling groups. We tried instead a semi empirical approach based on correlating of the experimentally observed and theoretically predicted ¹H NMR shifts.

327 To that aim, the first step is to choose a reliable structural model of of γ -Al₂O₃ and γ -Al₂O₃ surfaces. While modelling the surface of the stable trigonal α -alumina is relatively straightforward 328 329 due to its completely refined crystal structure, the structures of metastable alumina remain disputed. In particular, the low temperature γ -Al₂O₃ metastable phase has attracted the highest 330 331 attention due to its relevance as a heterogeneous catalyst and catalyst support. γ -Al₂O₃ is generally 332 considered to have a cubic spinel structure [29,53], even though the stoichiometry of Al₂O₃ does not directly correspond to a spinel AB₂O₄. Such structural disparity led to different models 333 describing the distribution of Al ions and vacancies in the spinel cationic sublattice [29]. Despite 334 the differences of these models, the majority of researchers agree that the bulk structure of γ -Al₂O₃ 335 particles belongs to the cubic spinel type. On the other hand, the surfaces structure and bonding 336 scheme of these particles remain debated and a brief discussion of existing models is necessary 337 338 before proceeding further.

The most widely used models of metastable alumina surfaces were proposed by Knözinger 339 340 and Ratnasamy [54]. It consists of bulk spinel structure cleavages along (100), (110) and (111) 341 crystal planes. The reactivity of such surfaces in hydrated conditions then mainly depends on the 342 hydroxyl coverage obtained by addition of protons and hydroxyls to compensate for the charge 343 and coordination symmetry of surface Al and O sites [55,56]. Further improvements upon this 344 model include reconsiderations of the bulk alumina structure to non-spinel models [57], as well as accounting for the finite size effect [58,59] and for stacking faults of individual alumina crystallites 345 346 [30].

The first implication of the finite size of an alumina crystallite is the presence of edges between its surfaces that leads to the occurrence of unique hydroxyl sites that are not hydrogen-

bonded to other hydroxyl groups and remain highly reactive to chlorination as was shown by ¹H 349 NMR and *ab initio* calculations by Batista et al. [58]. The second important effect of the finite 350 particle size is connected to the synthetic routes used to obtain the metastable aluminas. Most 351 frequently, aluminum oxides are obtained through dehydration of corresponding hydrates as was 352 experimentally demonstrated by Stumpf et al [60]. In this case, the restructuring of individual 353 particles is governed by topotactic transformations during which the structural features of the 354 initial particles are conserved. Due to the layered nature of the alumina hydrates, the topotactic 355 transitions result in differences between alumina surfaces that formally belong to the same crystal 356 357 plane family (e. g. (110) cubic spinel plane) depending on basal/lateral position in the initial particle as was demonstrated using DFT calculations in the recent paper by Pigeon et al. [59]. 358

At the same time, metastable aluminas are intrinsically nanostructured materials, thus the 359 360 description of their structures requires to account for the defectiveness and stacking of the individual crystallites that also play an important role on the formation of the particle surfaces. 361 The approach developed by Tsybulya et al. accounts for the connectivity of individual spinel 362 crystallites through planar defects lying in the (100), (110) and (111) crystal planes of cubic spinel 363 [30]. This technique allowed modeling the structures of low-temperature aluminas as well as 364 365 demonstrating the effect of abundant shearing planar defects that allow the existence of a cubic spinel material with a non-spinel stoichiometry. Later, this approach was also used by 366 Pakharukova et al. to create 3D nanostructured models of y-Al₂O₃ particles and simulate the XRD 367 patterns, which coincide with experimental XRD patterns and allow to explain the diffuse nature 368 369 of several peaks [61].

Both the topotactic transition and crystallite stacking approaches do not contradict each other, but provide a complementary description of both the bulk and the surface of metastable alumina nanoparticles. Importantly, both of these approaches coincide in their prediction of the crystal planes that form the surface of γ -Al₂O₃ nanoparticles, namely (100) and (110) crystal planes with the minor presence of the (111) plane.

375 The much less investigated structure of the metastable χ -Al₂O₃ obtained through dehydration of aluminum trihydroxide gibbsite remains an open question without any commonly 376 377 accepted viewpoint. The source of this controversy lies in the presence of a diffuse scattering peak at $2\theta = 43^{\circ}$ in its XRD pattern that does not belong to the cubic spinel structure, even though the 378 rest of the XRD pattern corresponds to the said structure. Additionally, the ²⁷Al NMR spectra 379 reveal an Al^[6]:Al^[4] equal to roughly 3:1, that also does not correspond to the spinel structure, for 380 which this ratio is expected to be 2:1 (as observed in 27 Al NMR spectra of γ -Al₂O₃) [62]. However, 381 recently, Yatsenko et al. [63] have shown using the "crystallite stacking" approach that the non-382

spinel diffuse scattering peak of χ -Al₂O₃ may appear due to planar defects of the anion sublattice 383 of cubic spinel structure (the O^{2-} sublattice in ratioAB₂O₄ spinel follows a regular *fcc* pattern, while 384 such planar defects change its local ordering to *hcp*). This observation allows one to consider the 385 particles of χ -Al₂O₃ as having the cubic spinel structure when trying to model its surface in the 386 387 same way as it was done for γ -Al₂O₃. With this consideration, the surface of χ -Al₂O₃ is mostly represented by the (111) spinel crystal plane as was shown in [30]. Moreover, if we apply the same 388 logic of topotactic transitions to the well-refined structure of gibbsite just as it was done for 389 390 boehmite in the case of γ -Al₂O₃, the result for the most exposed crystal plane will be the same. 391 Indeed, the hexagonally symmetric particles of gibbsite have a layered structure with the layers of aluminum octahedral separated by hydrogen-bonded hydroxyl layers in the direction perpendicular 392 393 to the [001] axis. Thus, the (001) crystal plane that has a hexagonal symmetry is the most prevalent 394 for the gibbsite particles [64,65]. According to Mitsui et al. [66], gibbsite particles retain their 395 morphology during the dehydration into γ -Al₂O₃ including the hexagonal symmetry of the (001) 396 basal planes. Therefore, the retention of the particle morphology and the surface prevalence of the hexagonally symmetric planes (001) in the case of gibbsite and (111) in the case of χ -Al₂O₃ allow 397 us to assume that the (001) basal planes of gibbsite undergo a transition into the (111) planes of 398 399 the dehydrated alumina.

400 In summary, the surface of metastable aluminas can be accurately described in terms of 401 spinel crystal planes, mainly (100) and (110) with the minor presence of the (111) for γ -Al₂O₃ 402 nanoparticles, and (111) for χ -Al₂O₃.

403 Accordingly, the γ - and χ -Al₂O₃ surfaces (Fig. 6) were modeled by cleaving the crystal 404 structure along the directions of the (110) of (111) crystal planes. We also note that such a 405 representation of the particle surface as infinite slab cannot possibly account for the edges formed 406 between the crystallite surfaces or for the subtle features of the crystal planes formed during the 407 topotactic transition. Nevertheless, such approach can still be feasible and useful for the prediction 408 of the general behavior of the alumina surface towards the hydrated Co ions in the precursor solution. By using the similar infinite slab model, Larmier et al. [17] have demonstrated the so-409 called structural recognition of Co^{2+} ions on the (100) and (110) surfaces of a cubic spinel model 410 of γ -Al₂O₃. They have shown that the most energetically favorable mode of adsorption of Co²⁺ 411 ions is the one during which octahedral complexes including one or two bonds between Co²⁺ and 412 413 lattice oxygen are formed.



Fig. 6. Models of the (111) (top) and (110) (bottom) crystal planes of the spinel structure of crystallites forming metastable alumina phases. Al atoms are shown in silver, O atoms are shown in red, H atoms are shown in black. Green circles and lines denote the positions of the Al atoms that are missing from the next layer. Such sites can form vacancies available to Co²⁺ ions.

419

The hydroxyl groups were added to the spinel slabs to compensate for the charge and any 420 dangling bonds that were formed during the cleavage (more details can be found in the 421 Experimental section). After the relaxation of the structures without any additional constraints, we 422 423 calculated the ¹H chemical shifts of all the hydroxyl groups now present on these model surfaces. The resulting sets of calculated chemical shifts ascribed to the (110) and (111) surfaces, as well as 424 their comparison with the experimental ¹H NMR spectra, can be found in Fig. 7 (calculated spectra 425 are shown with colored lines, while the experimental data are shown in black). Both the calculated 426 sets of chemical shifts and the experimental ¹H 10 kHz MAS NMR spectra displayed a significant 427 428 difference in the spectral intensity in the 5-10 ppm region. Namely, the intensity in this region was 429 zero for the (111) plane model, which agreed well with the low relative intensity observed in the same region of the experimental spectrum of χ -Al₂O₃. Furthermore, edges between the spinel 430 surfaces are present in both samples, resulting in additional terminal hydroxyl groups that give rise 431

432 to a distinct line at 0 ppm in the experimental spectra (blue line in Fig. 7a, b) as was predicted by 433 Batista et al. [58]. These hydroxyl groups were obviously missing from the perfect plane models and thus, had to be added to the decomposition of the experimental spectra manually. The 434 experimental spectra were decomposed into the theoretical spectra for (111) and (110) planes as 435 436 well as the line corresponding to surface edges until the best match was achieved. The resulting relative intensities of the theoretical spectra of crystal planes excluding the surface edges were: 437 438 50% (111) plane and 50% (110) plane for γ -Al₂O₃; 30% (111) plane and 70% (110) plane for γ -439 Al₂O₃. Thus, the ¹H NMR data demonstrate that the surface of γ -Al₂O₃ is mostly represented by 440 the (110) crystal plane, while an equal mixture of (111) and (110) spinel crystal planes makes up the surface of γ -Al₂O₃. The difference between the compositions of these surfaces can play an 441 442 important role during their interaction with the Co impregnation solution since hydroxyl coverages of the spinel crystal planes differ significantly: the hydration of the (111) spinel plane gives rise 443 444 exclusively to bridging (μ_2 - and μ_3 -OH) groups, while the (110) spinel plane contains a mixture of 445 terminal (μ_1 -OH) and bridging (μ_2 -OH) groups.



Fig. 7. Decomposition of the experimental 10 kHz MAS 1 H NMR spectra of dehydrated χ -Al₂O₃ 447 (a, black line) and γ -Al₂O₃ (b, black line) into the theoretically calculated spectra for (110) and 448 (111) spinel planes (red and green lines, respectively) and the separate line corresponding to 449 450 hydroxyl groups supported on the edges between the crystallite surfaces according to ref. [58] (blue line). The relative contributions of the (111) and (110) planes to the spectra excluding the 451 452 signal at 0 ppm, were: 50% (111) plane and 50% (110) plane in the case of χ -Al₂O₃; 30% (111) 453 plane and 70% (110) plane in the case of γ -Al₂O₃. Note, that the given values reflect the surface 454 densities of the OH groups and correspond directly to the surface areas represented by these planes 455 in the samples.

457 **4 Discussion**

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Larmier et al. have shown, using DFT calculations, that epitaxial interaction of aqueous 459 cobalt precursor with the surface of γ -Al₂O₃ (modelled as (100) and (110) infinite cleaved slabs) 460 is energetically favorable during the impregnation and drying stages of FTS catalyst synthesis.[17] 461 This interaction was linked to the formation of the mixed cobalt-aluminum oxide layer at the 462 interface between the support surface and Co nanoparticle through structural recognition. Simply 463 put, Co^{2+} ions from the impregnation solution tend to occupy the vacancies created by removal of 464 the octahedral Al^{3+} sites, which leads to creation of stable octahedral Co^{2+} complexes and 465 dispersion of Co over the surface of the support. This, in turn, may lead to the deactivation of small 466 467 (less than 5.3 nm in diameter) Co nanoparticles during the onset of FTS or a complete inactivity of the sample in the extreme case of very small (less than 3 wt.%) Co loading [8]. 468

The epitaxial relation between the reduced Co nanoparticles and the surface of χ -Al₂O₃ that we observed by HRTEM in the reduced sample was necessarily a direct consequence of a strong particle-support interaction at some stage of the synthesis. However, the effects of this interaction were strikingly different in the cases of γ - and χ -Al₂O₃. The key differences between these supports lie firstly in the crystal planes dominating their surface and secondly in the morphology of the particles.

As apparent from our semi empirical modelling of alumina surfaces, γ - and χ -Al₂O₃ differ 475 476 by the nature of crystal planes at their surface thus leading to completely different types of hydroxyl coverage. The hydroxyl coverage of (111) plane (and correspondingly γ -Al₂O₃, Fig. 6 477 478 top) consists exclusively of bridging hydroxyl groups located under the potential vacancies (missing Al^{3+} sites, shown with green circles in Fig. 6, top), thus preventing them from stabilizing 479 480 any adsorbed ion. For the (110) plane (dominating the surface of γ -Al₂O₃, Fig. 6 bottom) we 481 observe a picture strongly resembling the C- μ_1 - μ_2 model presented by Chizallet et al. [52], with the main similarity being the mixed terminal-bridging hydroxyl coverage. This, in turn, is 482 connected with the presence a plane below the level of terminal hydroxyl where vacancies for 483 octahedral complexes can form and provide direct anchoring to the lattice O²⁻ (Fig. 6, bottom). 484 According to DFT calculations made by Chizallet et al., these vacancies provide a large gain in 485 the absorption energy during the onset of Co(OH)₂ formation. Such increased strength of 486 interaction between the metallic Co precursor and the surface of the support would inevitably lead 487 to strong dispersion of Co^{2+} ions over the surface of γ -All₂O₃ that would in turn result in a large 488 amount of hard-to-reduce Co species and a decrease of the metallic Co particle size. A prominent 489 signal at 0 ppm in the ¹H NMR spectrum of χ -Al₂O₃ is also worth noting because according to 490

491 work by Batista [58] this chemical shift range corresponds to the hydroxyl groups supported on 492 the edge between the crystallite surfaces of the particle. Thus, even though the relative content of 493 these hydroxyl groups is high, they do not facilitate the anchoring of the Co^{2+} ions due to their 494 location. Indeed, the edges contain undercoordinated sites and cannot provide a suitable vacancy 495 for an octahedral Co^{2+} complex.

496 Thus, the modeling of the hydroxyl coverage helps rationalize the observations made using HRTEM and ⁵⁹Co IF NMR; namely, the smaller particle size and the prevalence of *fcc* metallic 497 Co in the sample supported on γ -Al₂O₃ after calcination and reduction, when compared to the 498 499 sample supported on γ -Al₂O₃. The same rationalization may also be made for the difference in moisture capacities that we observed during the sample preparation while the specific surface areas 500 501 of the powders were very close. The role of surface hydroxyl coverage during surface wetting has 502 been studied for many oxide materials including Al₂O₃ [67,68]. Dangling hydroxyl groups 503 available for the formation of H-bonds with the water molecules were shown to facilitate the formation of a liquid water layer on the surface of all of the studied oxides. Thus, the higher 504 505 moisture capacity of γ -Al₂O₃ can also be ascribed to the presence of terminal hydroxyl groups on (110) plane representing the majority of its surface. 506

Furthermore, the remarkable coincidence of the structural parameters of the metallic Co 507 508 particles and the surface of χ -Al₂O₃ cannot be ignored here. The hexagonally symmetric pattern of its surface may be directly rationalized through topotactic transformations of gibbsite particles as 509 510 was mentioned above. This direct observation of the prominent (111) crystal plane in HRTEM images of γ -Al₂O₃ serves as a further proof for the spinel-based models of its crystal structure and 511 512 may shed light on what can be called structural recognition in this case. In the view of the (111) surface model presented in the preceding section, it can be inferred that the missing Al atoms 513 (possible vacant sites for Co²⁺ anchoring) also follow a hexagonal pattern. Moreover, the 514 morphology of γ -Al₂O₃ particles that includes relatively large and oriented crystallites would favor 515 516 the formation of larger and well-ordered metal particles. However, the distance between any two closest "vacancies" (approximately 4.5 Å) is much larger than the Co-Co distance in the metallic 517 cobalt (approximately 2.5 Å). Consequently, we cannot say that this simple vacancy model 518 determines the way in which the Co nanoparticles are formed after reduction. Nevertheless, it is 519 reasonable to assume that the hexagonal symmetry of the surface of χ -Al₂O₃ promotes the *hcp* 520 phase formation who appeared favored from the experimental NMR observations. 521



Fig. 8. View on the (111) plane from the above. Tetrahedral Al sites are depicted with red,
octahedral Al sites are depicted with blue. Green dots represent the possible vacancies.

525

526 **5 Conclusions**

527

Rational design of supported cobalt catalysts requires an efficient way to predict the catalytic 528 529 properties of Co nanoparticles based on their structural and morphological properties. Such predictions are made possible by the extensive studies on the influence of Co NP sizes and 530 crystalline structures on their activity and selectivity. In this work, the application of a non-local 531 technique such as ⁵⁹Co Internal Field NMR spectroscopy in combination with local electron 532 533 microscopic techniques proved to be very efficient. It provided an efficient way to investigate the 534 structure and size (partially through the dependence on the stability of different crystal structures 535 on the size of the nanoparticles) of the cobalt nanoparticles on the surface of the sample.

536 In particular, we have demonstrated that χ -Al₂O₃ makes more favorable the *hcp* structure for supported Co metal nanoparticles, which is related to the presence of larger particles when 537 compared to the ones supported on y-Al₂O₃, as revealed by 30 K ⁵⁹Co NMR experiments. 538 539 Correspondingly, the elemental mapping performed during the STEM experiments revealed the presence of 5-10 nm metallic Co particles assembled into patches on the surface of χ -Al₂O₃ in 540 contrast to highly dispersed Co on the surface of y-Al₂O₃. Such a difference between these 541 metastable alumina phases could be attributed to the alumina particle morphologies observed in 542 543 HRTEM micrographs. y-Al₂O₃ formed aggregates of small randomly oriented nanocrystallites, 544 while the γ -Al₂O₃ sample consisted of larger micron-sized plates oriented in the same crystalline 545 direction that gave rise to a hexagonal pattern of diffraction spots in the Fourier transform analysis. Such particle morphologies, together with the slightly smaller specific surface area of χ -Al₂O₃, 546

would naturally lead to larger Co particles reduced on this support. Additionally, in agreement with the works by Chizallet et al.[52] and Larmier et al. [17], the hydroxyl coverage of Al_2O_3 plays an important role in the formation of Co particles by creating vacant sites that can accommodate an octahedral Co²⁺ complex anchored directly to the O²⁻ sublattice.

According to the approach developed by Tsybulya, different metastable aluminas consist of 551 similar spinel blocks but stacked in different ways, the crystal planes most prominent on the 552 553 surface of their particles also differ. This allowed us to create simple models of γ -Al₂O₃ and y-Al₂O₃ surfaces, and in particular of their hydroxyl coverages. As we confirmed using ¹H MAS 554 solid-state NMR and *ab initio* DFT calculations of ¹H chemical shifts, the (111) crystal plane 555 representing the surface of γ -Al₂O₃ contained exclusively bridging hydroxyl groups, while the 556 557 (110) crystal plane prominent in γ -Al₂O₃ contained a mixture of bridging and terminal hydroxyls. According to the literature, the presence of both types of hydroxyl groups facilitates both the 558 559 wetting of the surface and the dispersion of Co cations over the surface of the support. This, in turn, would facilitate the dispersion of metallic Co apparent in 5% Co/y-Al₂O₃ sample. 560

This is in complete agreement with our experimental observations by HRTEM and IF NMR of larger hcp Co particles on χ -Al₂O₃. The structure and particle morphology of χ -Al₂O₃ led to the formation of larger Co nanoparticles with higher *hcp* Co content as compared to γ -Al₂O₃. The hcp Co structure is further stabilized by the hexagonal symmetry of the (111) spinel crystal plane. Thus, the type of the surface of the alumina support can influence the size and crystal structure of the supported Co nanoparticles, which provides further possibility for deposition of Co nanoparticles with the desired catalytic properties.

568 569

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