

1  
2  
3  
4 Technical note  
5

6 **psm\_utils: A high level Python API for parsing**  
7 **and handling peptide-spectrum-matches and**  
8 **proteomics search results**  
9

10  
11  
12  
13 Ralf Gabriels<sup>1,2</sup>, Arthur Declercq<sup>1,2</sup>, Robbin Bouwmeester<sup>1,2</sup>, Sven Degroeve<sup>1,2</sup>, and  
14 Lennart Martens<sup>1,2,§</sup>

15  
16  
17  
18  
19  
20  
21 <sup>1</sup> VIB-UGent Center for Medical Biotechnology, VIB, Belgium

22 <sup>2</sup> Department of Biomolecular Medicine, Ghent University, Belgium

23 <sup>§</sup> To whom correspondence should be addressed:

24 Tel: +32 9 264 93 58

25 Email: [lennart.martens@vib-ugent.be](mailto:lennart.martens@vib-ugent.be)

26 Address: Technologiepark 75, 9052 Ghent, Belgium

27 ORCID IDs:

28 Ralf Gabriels: <https://orcid.org/0000-0002-1679-1711/>

29 Arthur Declercq: <https://orcid.org/0000-0002-9376-1399/>

30 Robbin Bouwmeester: <https://orcid.org/0000-0001-6807-7029/>

31 Sven Degroeve: <https://orcid.org/0000-0001-8349-3370/>

32 Lennart Martens: <https://orcid.org/0000-0003-4277-658X/>

## 33 Abstract

34 A plethora of proteomics search engine output file formats are in circulation. This lack of  
35 standardized output files greatly complicates generic downstream processing of peptide-  
36 spectrum matches (PSMs) and PSM files. While standards exist to solve this problem,  
37 these are far from universally supported by search engines. Moreover, software libraries  
38 are available to read a selection of PSM file formats, but a light-weight package to parse  
39 PSM files into a unified data structure has been missing. Here, we present psm\_utils, a  
40 Python package to read and write various PSM file formats and to handle peptidofoms,  
41 PSMs, and PSM lists in a unified and user-friendly Python-, command line-, and web-  
42 interface. psm\_utils was developed with pragmatism and maintainability in mind,  
43 adhering to community standards and relying on existing packages where possible. The  
44 Python API and command line interface greatly facilitate handling various PSM file  
45 formats. Moreover, a user-friendly web application was built using psm\_utils that allows  
46 anyone to interconvert PSM files and retrieve basic PSM statistics. psm\_utils is freely  
47 available under the permissive Apache2 license at  
48 [https://github.com/compomics/psm\\_utils](https://github.com/compomics/psm_utils).

## 49 Keywords

50 Proteomics; bioinformatics; data analysis; peptide identification; peptide-spectrum  
51 matches

## 52 Introduction

53 Peptide identification from MS/MS spectra is a key step in bottom-up mass spectrometry-  
54 based proteomics. Since the mid-1990's, a plethora of specialized software, called  
55 proteomics search engines, have been developed to automate peptide identification.  
56 These search engines generally take two inputs: a spectrum file originating from the mass  
57 spectrometer, potentially preprocessed, and a FASTA protein sequence file. Then the  
58 output in its simplest form is a list of identified peptides with peptide-spectrum match  
59 (PSM) information.<sup>1</sup> This PSM list is then usually passed on to other bioinformatics tools  
60 for further downstream analysis step(s), such as identification rescoring, protein  
61 inference, or protein quantification.

62 For optimal reproducibility and maintainability, each of these steps should be performed  
63 by a dedicated interchangeable software module, which requires standardization of the  
64 file formats that link each workflow step.<sup>2,3</sup> Such a comprehensive standardization effort  
65 is being undertaken by the HUPO Proteomics Standards Initiative, most notably with the  
66 development of the mzML and mzIdentML formats.<sup>4,5</sup> However, most search engines only  
67 support their own output file format that typically does not adhere to community  
68 standards. Consequentially, building a module for downstream use of PSM files from  
69 various search engines can be cumbersome at best, and infeasible at worst. This hurdle  
70 often results in the *ad hoc* writing of hard-to-maintain parsing scripts that are only  
71 intended for single use. While a few open-source Python libraries exist to read and/or  
72 write various PSM file formats, such as Pyteomics, psims, and pyOpenMS, a Python library  
73 that parses PSM files into a unified high-level data structure for consistent and easy  
74 handling is missing.<sup>6-8</sup>

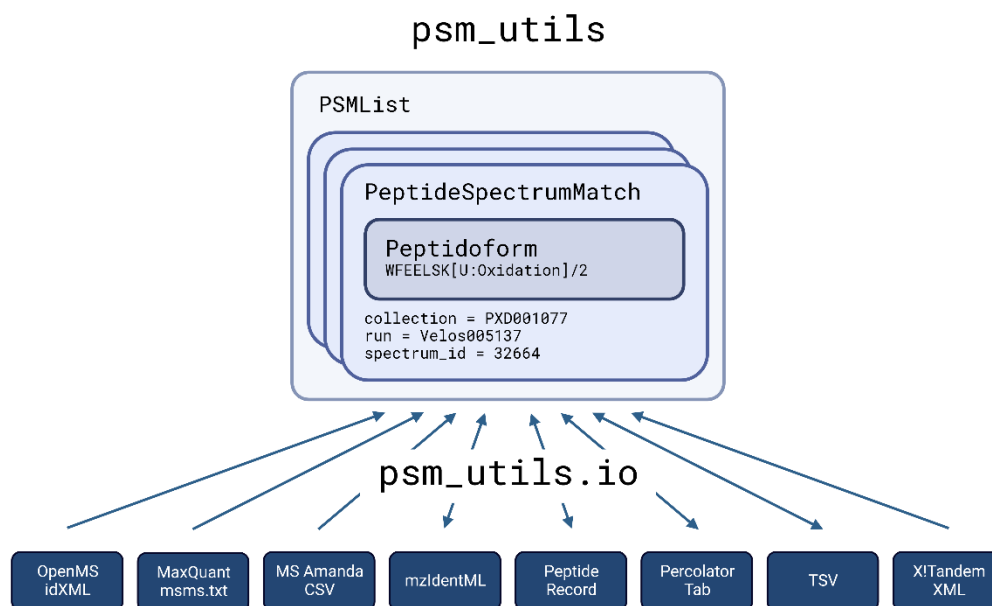
75 Here we therefore present `psm_utils` ([https://github.com/compomics/psm\\_utils](https://github.com/compomics/psm_utils)), an easy-  
76 to-use Python library which reads and writes various PSM file formats, but which also  
77 handles PSMs in a unified data model and API. We also used our `psm_utils` library to  
78 develop both a command line interface (CLI) and a web interface to easily interconvert  
79 PSM files and to retrieve basic PSM statistics, for scripting and end-users, respectively.

## 80 Python library

81 `psm_utils` was developed with pragmatism and maintainability in mind. Instead of  
82 reinventing the wheel, `psm_utils` relies on the existing Pyteomics and psims Python  
83 packages where possible. Furthermore, `psm_utils` follows HUPO-PSI community  
84 standards where applicable, and is built to be open and dynamic, allowing easy updates  
85 and extensions. A permissively licensed open-source software, `psm_utils` welcomes  
86 contributions from the community.

87 The project is split into the main `psm_utils` package and the `psm_utils.io` subpackage.  
88 The former provides the main API for peptidofoms, PSMs, and PSM lists; the latter  
89 provides modules for reading and writing various PSM file formats (Figure 1). More  
90 specifically, in the main `psm_utils` package, PSM information is represented by three  
91 distinct classes. (1) The `Peptidofom` class accepts a combination of peptide sequence,

92 residue modifications, and optionally charge state, represented in the HUPO-PSI  
 93 ProForma 2.0 notation.<sup>9</sup> Through the `proforma` and `mass` modules of Pyteomics, this  
 94 permits a direct implementation of useful methods, such as the calculation of theoretical  
 95 mass or fragmentation spectrum, while considering any resolvable residue modification.  
 96 (2) The `PeptideSpectrumMatch` class connects a `Peptideform` instance to a spectrum  
 97 defined by a collection, run, and spectrum identifier – analogous to the keys of HUPO-PSI  
 98 Universal Spectrum Identifier (USI)<sup>10</sup> – and holds all relevant match information and PSM  
 99 metadata. (3) The `PSMList` class represents a collection of PSMs as a simple Python list  
 100 with additional functionality. Any PSM file can therefore be parsed into a `PSMList` with  
 101 `PeptideSpectrumMatch` instances, each in turn holding a `Peptideform` instance alongside  
 102 relevant PSM information. Both the `PeptideSpectrumMatch` and `PSMList` classes are  
 103 based on the Pydantic data class model for efficient data validation and type coercion  
 104 (<https://github.com/pydantic/pydantic>). For effortless use of `psm_utils` in data analysis or  
 105 machine learning contexts, `PSMList` instances can be transformed into the commonly  
 106 used tabular Pandas `DataFrame` object. The fully documented Python API and a quickstart  
 107 guide can be found on <https://psm-utils.readthedocs.io/>.



108  
 109 *Figure 1. Overview of the `psm_utils` structure. Various file formats can be read to, or written from, a `PSMList` object,*  
 110 *which holds multiple `PeptideSpectrumMatch` objects, which in turn each hold a `Peptideform` objects along with the*  
 111 *relevant metadata.*

112 The `psm_utils.io` subpackage contains a separate module for each of the (currently)  
 113 eight supported PSM file formats (Table 1). Every module implements a reader and/or  
 114 writer class to convert the specific PSM file format to, or from, the unified `PSMList` object.  
 115 Importantly, these readers and writers also interconvert the various proprietary

116 peptidoform notations from or to ProForma 2.0, which can otherwise be a cumbersome  
117 process to perform *ad hoc*. Consistency between various readers and writers is achieved  
118 by inheriting from an abstract base class, providing a blueprint for future  
119 implementations of new PSM file formats. Generic `read_file` and `write_file` functions  
120 could therefore be implemented, where the file type can be specified or set to be inferred  
121 from the filename. Similarly, a high-level `convert` function was implemented for quick  
122 interconversion between PSM file formats. Due to this blueprint and the hierarchical  
123 architecture of `psm_utils`, support for more file types can easily be added in the future  
124 without requiring changes to the central API.

125 *Table 1. Supported file formats for reading and writing peptide-spectrum match lists*

File format	Read support	Write support
OpenMS idXML	✓	✗
MaxQuant msms.txt	✓	✗
MS Amanda CSV	✓	✗
mzIdentML	✓	✓
PeptideRecord	✓	✓
Percolator Tab	✓	✓
TSV	✓	✓
X!Tandem XML	✓	✗

126

## 127 Command line interface

128 The `psm_utils.io.convert` function is also accessible through a CLI. This facilitates the  
129 implementation of `psm_utils` within proteomics data analysis pipelines where two  
130 sequential steps would otherwise be incompatible due to different PSM file types being  
131 used. While currently only file conversion is implemented, the use of subcommands  
132 allows for more functionality to be added to the `psm_utils` CLI in the future.

## 133 Web application

134 The `psm_utils` functionality can also be accessed through a Streamlit (<https://streamlit.io>)  
135 web application (Figure 2). This allows any researcher to interconvert between any  
136 supported file formats, regardless of programming skills. Next to the interconversion of  
137 PSM file formats, PSM files can be uploaded to retrieve basic PSM statistics, such as the  
138 total number of identified spectra at a preset false discovery rate (FDR) threshold.  
139 Moreover, several diagnostic target-decoy plots are automatically generated, allowing  
140 users to easily assess the quality of the FDR estimation.

Home

PSM file statistics

PSM file conversion

psm\_utils online

release no releases license Apache-2.0

follow @CompOmics 1.3k

psm\_utils is a Python package with utilities for parsing and handling peptide-spectrum matches (PSMs) and proteomics search engine results. It is mainly developed to be used in Python packages developed at CompOmics, such as [MS<sup>2</sup>PIP](#), [DeepLC](#), and [MS<sup>2</sup>Rescore](#), but can be useful to anyone dealing with PSMs and PSM files.

This web server is built on top of [psm\\_utils](#) and allows you to easily get **PSM statistics** for any supported PSM file type, and to **convert search engine results** from one PSM file format into another.

## FDR-filtered statistics

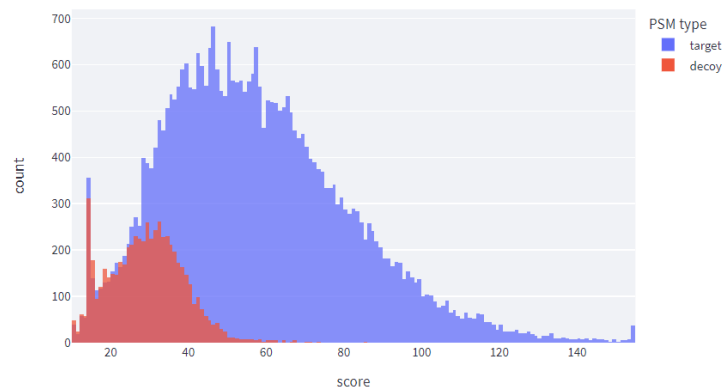
Number of identifications filtered at the FDR threshold as selected in the input form.

Spectra	PSMs	Peptides	Peptidoforms
20034	20338	27243	16565

## Target-decoy diagnostic plots

### Score histogram

The score histogram shows the score distribution for both target and decoy PSMs.



141

142 *Figure 2. Screenshot of the psm\_utils online web application. Users can upload a PSM file for interconversion*  
 143 *between supported file types, or retrieve PSM-related statistics and diagnostic target-decoy plots.*

## 144 Conclusion

145 We here presented psm\_utils, a Python package that greatly simplifies downstream usage  
 146 of peptide identifications, regardless of PSM file format. The Python package is  
 147 convenient to use in any data analysis tool that handles PSMs, and the command line  
 148 interface can easily be embedded in automated workflows. The web application brings  
 149 the ability to interconvert or quickly inspect any supported PSM file type to any researcher  
 150 in the field. psm\_utils is set up as an open and dynamic project and we welcome everyone  
 151 in the computational proteomics community to make use of, and contribute to, the  
 152 project.

## 153 Availability

154 The psm\_utils Python package is available on PyPI (<https://pypi.org/project/psm-utils>) and  
 155 Bioconda (<https://anaconda.org/bioconda/psm-utils>). The source code is available on  
 156 GitHub ([https://github.com/compomics/psm\\_utils](https://github.com/compomics/psm_utils)) under the permissive Apache2  
 157 license. The psm\_utils online web application is available on Streamlit  
 158 (<https://compomics-psm-utils-onlinehome-4j4frp.streamlitapp.com>).

## 159 Acknowledgment

160 R.G. and A.D. acknowledge funding from the Research Foundation Flanders (FWO)  
161 [1SE3722; 12B7123N]. R.B. acknowledges funding from the Vlaams Agentschap Innoveren  
162 en Ondernemen [HBC.2020.2205]. S.D. and L.M. acknowledge funding from the European  
163 Union's Horizon 2020 Programme (H2020-INFRAIA-2018-1) [823839]; L. M. acknowledges  
164 funding from the Research Foundation Flanders (FWO) [G028821N] and from Ghent  
165 University Concerted Research Action [BOF21/GOA/033].

## 166 References

- 167 (1) Verheggen, K.; Raeder, H.; Berven, F. S.; Martens, L.; Barsnes, H.; Vaudel, M.  
168 Anatomy and Evolution of Database Search Engines-a Central Component of  
169 Mass Spectrometry Based Proteomic Workflows. *Mass Spectrom Rev* 2017.  
170 <https://doi.org/10.1002/mas.21543>.
- 171 (2) Röst, H. L.; Sachsenberg, T.; Aiche, S.; Bielow, C.; Weisser, H.; Aicheler, F.;  
172 Andreotti, S.; Ehrlich, H. C.; Gutenbrunner, P.; Kenar, E.; Liang, X.; Nahnsen, S.;  
173 Nilse, L.; Pfeuffer, J.; Rosenberger, G.; Rurik, M.; Schmitt, U.; Veit, J.; Walzer, M.;  
174 Wojnar, D.; Wolski, W. E.; Schilling, O.; Choudhary, J. S.; Malmström, L.; Aebersold,  
175 R.; Reinert, K.; Kohlbacher, O. OpenMS: A Flexible Open-Source Software Platform  
176 for Mass Spectrometry Data Analysis. *Nat Methods* 2016, 13 (9).  
177 <https://doi.org/10.1038/nmeth.3959>.
- 178 (3) Perez-Riverol, Y.; Moreno, P. Scalable Data Analysis in Proteomics and  
179 Metabolomics Using BioContainers and Workflows Engines. *Proteomics* 2020, 20  
180 (9). <https://doi.org/10.1002/pmic.201900147>.
- 181 (4) Martens, L.; Chambers, M.; Sturm, M.; Kessner, D.; Levander, F.; Shofstahl, J.; Tang,  
182 W. H.; Römpp, A.; Neumann, S.; Pizarro, A. D.; Montecchi-Palazzi, L.; Tasman, N.;  
183 Coleman, M.; Reisinger, F.; Souda, P.; Hermjakob, H.; Binz, P. A.; Deutsch, E. W.  
184 MzML - A Community Standard for Mass Spectrometry Data. *Molecular and  
185 Cellular Proteomics* 2011, 10 (1). <https://doi.org/10.1074/mcp.R110.000133>.
- 186 (5) Vizcaíno, J. A.; Mayer, G.; Perkins, S.; Barsnes, H.; Vaudel, M.; Perez-Riverol, Y.;  
187 Ternent, T.; Uszkoreit, J.; Eisenacher, M.; Fischer, L.; Rappsilber, J.; Netza, E.;  
188 Walzer, M.; Kohlbacher, O.; Leitner, A.; Chalkley, R. J.; Ghali, F.; Martínez-  
189 Bartolome, S.; Deutsch, E. W.; Jones, A. R. The MzIdentML Data Standard Version  
190 1.2, Supporting Advances in Proteome Informatics. *Molecular and Cellular  
191 Proteomics* 2017, 16 (7). <https://doi.org/10.1074/mcp.M117.068429>.
- 192 (6) Levitsky, L. I.; Klein, J. A.; Ivanov, M. v.; Gorshkov, M. v. Pyteomics 4.0: Five Years of  
193 Development of a Python Proteomics Framework. *J Proteome Res* 2019, 18 (2),  
194 709–714. <https://doi.org/10.1021/acs.jproteome.8b00717>.
- 195 (7) Klein, J.; Zaia, J. Psims - A Declarative Writer for MzML and MzIdentML for Python.  
196 *Molecular and Cellular Proteomics* 2019, 18 (3).  
197 <https://doi.org/10.1074/mcp.RP118.001070>.

- 198 (8) Röst, H. L.; Schmitt, U.; Aebersold, R.; Malmström, L. PyOpenMS: A Python-Based  
199 Interface to the OpenMS Mass-Spectrometry Algorithm Library. *Proteomics* 2014,  
200 14 (1). <https://doi.org/10.1002/pmic.201300246>.
- 201 (9) LeDuc, R. D.; Deutsch, E. W.; Binz, P.-A.; Fellers, R. T.; Cesnik, A. J.; Klein, J. A.; van  
202 den Bossche, T.; Gabriels, R.; Yalavarthi, A.; Perez-Riverol, Y.; Carver, J.;  
203 Bittremieux, W.; Kawano, S.; Pullman, B.; Bandeira, N.; Kelleher, N. L.; Thomas, P.  
204 M.; Vizcaíno, J. A. Proteomics Standards Initiatives ProForma 2.0 Unifying the  
205 Encoding of Proteoforms and Peptidoforms. *J Proteome Res* 2021, 21, 1189–1195.  
206 <https://doi.org/https://doi.org/10.1021/acs.jproteome.1c00771>.
- 207 (10) Deutsch, E. W.; Perez-Riverol, Y.; Carver, J.; Kawano, S.; Mendoza, L.; van den  
208 Bossche, T.; Gabriels, R.; Binz, P.-A.; Pullman, B.; Sun, Z.; Shofstahl, J.; Bittremieux,  
209 W.; Mak, T. D.; Klein, J.; Zhu, Y.; Lam, H.; Vizcaíno, J. A.; Bandeira, N. Universal  
210 Spectrum Identifier for Mass Spectra. *Nat Methods* 2021, 18 (7).  
211 <https://doi.org/10.1038/s41592-021-01184-6>.
- 212