2       Testing the Efficacy of the 'Corsi-Rosenthal' Box Fan Filter in an Active         3       Classroom Environment         4       Classroom Environment         5       Marina Creed <sup>6</sup> , Sarah Laskowski', Angela Starkweather <sup>8</sup> , Kristina Wagstrom <sup>1</sup> 6       William Gasparrini <sup>1</sup> , Sharmin Akter <sup>2</sup> , Britney Russell <sup>3</sup> , Fayekah Assanah <sup>3</sup> , Doug Brugge <sup>4</sup> , Michelle Cole <sup>3</sup> ,         7       Marina Creed <sup>6</sup> , Sarah Laskowski', Angela Starkweather <sup>8</sup> , Kristina Wagstrom <sup>1</sup> 9 <sup>1</sup> Department of Chemical and Biomolecular Engineering, University of Connecticut         10       191 Auditorium Road, Unit 3227, Storrs, CT 06269-3027         11 <sup>1</sup> Department of Biomedical Engineering, University of Connecticut         12       261 Glenbrook Road, Unit 3037, Storrs, CT 06269-3247         13 <sup>1</sup> Department of Biomedical Engineering, University of Connecticut         14       260 Glenbrook Road, Unit 3247, Storrs, CT 06269-3247         15 <sup>4</sup> Department of Public Health Sciences, University of Connecticut         16       263 Farmington Avenue, Farmington, CT 06030-6325         17       School of Nursing, University of Connecticut         18       231 Glenbrook Road, Unit 4026, Storrs, CT 06269-4026         19 <sup>1</sup> Department of Neurology, University of Connecticut         21       135 Dowling Way, Farmington, CT 06030-2357         21 <th>1</th> <th></th>	1						
3       Classroom Environment         4	2	Testing the Efficacy of the 'Corsi-Rosenthal' Box Fan Filter in an Active					
4         5         6         6         7         Marina Creed <sup>a</sup> , Sarah Laskowski <sup>7</sup> , Angela Starkweather <sup>8</sup> , Kristina Wagstrom <sup>1</sup> 8         9 <sup>1</sup> Department of Chemical and Biomolecular Engineering, University of Connecticut 191 Auditorium Road, Unit 322, Stors, CT 06269-3222         11 <sup>2</sup> Department of Civil and Environmental Engineering, University of Connecticut 261 Glenbrook Road, Unit 3037, Stors, CT 06269-3247         12       260 Glenbrook Road, Unit 3247, Storrs, CT 06269-3247         14       260 Glenbrook Road, Unit 3247, Storrs, CT 06269-3247         15       "Department of Public Health Sciences, University of Connecticut 260 Glenbrook Road, Unit 4026, Storrs, CT 06269-4026         16       263 Farmington Avenue, Farmington, CT 06030-6325         17       "School of Nursing, University of Connecticut 263 Farmington, CT 06030-5357         18       231 Glenbrook Road, Unit 4026, Storrs, CT 06269-4026         19 <sup>6</sup> Department of Neurology, University of Connecticut Health Center         20       135 Dowling Way, Farmington, CT 06030-5357         21 <sup>7</sup> Strategic Communications, The Jackson Laboratory         22       10 Discovery Drive, Farmington, CT 06032         23       "Department of Biobehavioral Nursing Science, University of Florida         122       125 Center Drive, Gainesville, FL 32610	3	Classroom Environment					
5       William Gasparrini <sup>2</sup> , Sharmin Akter <sup>2</sup> , Britney Russell <sup>1</sup> , Fayekah Assanah <sup>3</sup> , Doug Brugge <sup>4</sup> , Michelle Cole <sup>5</sup> ,         7       Marina Creed <sup>6</sup> , Sarah Laskowski <sup>7</sup> , Angela Starkweather <sup>8</sup> , Kristina Wagstrom <sup>1</sup> 9 <sup>1</sup> Department of Chemical and Biomolecular Engineering, University of Connecticut         10       191 Auditorium Road, Unit 3222, Storrs, CT 06269-3222         11 <sup>2</sup> Department of Civil and Environmental Engineering, University of Connecticut         12       261 Glenbrook Road, Unit 3037, Storrs, CT 06269-3037         13 <sup>3</sup> Department of Biomedical Engineering, University of Connecticut         14       260 Glenbrook Road, Unit 3247, Storrs, CT 06269-3037         15 <sup>4</sup> Department of Public Health Sciences, University of Connecticut - Health Center         16       263 Farmington Avenue, Farmington, CT 0630-6325         17 <sup>5</sup> School of Nursing, University of Connecticut - Health Center         18       231 Glenbrook Road, Unit 4026, Storrs, CT 06269-4026         19 <sup>6</sup> Department of Neurology, University of Connecticut - Health Center         20       135 Dowling Way, Farmington, CT 06030-5357         21 <sup>7</sup> Strategic Communications, The Jackson Laboratory         22       10 Discovery Drive, Farmington, CT 06032         23 <sup>8</sup> Department of Biobehavioral Nursing Science, University of Florida         125       125 C	4						
<ul> <li>William Gasparrini<sup>1</sup>, Sharmin Akter<sup>2</sup>, Britney Russell<sup>1</sup>, Fayekah Assanah<sup>3</sup>, Doug Brugge<sup>4</sup>, Michelle Cole<sup>5</sup>, Marina Creed<sup>6</sup>, Sarah Laskowski<sup>7</sup>, Angela Starkweather<sup>8</sup>, Kristina Wagstrom<sup>1</sup></li> <li><sup>1</sup>Department of Chemical and Biomolecular Engineering, University of Connecticut</li> <li>191 Auditorium Road, Unit 3222, Stors, CT 06269-3222</li> <li><sup>2</sup>Department of Civil and Environmental Engineering, University of Connecticut</li> <li>261 Glenbrook Road, Unit 3037, Storrs, CT 06269-3037</li> <li><sup>3</sup>Department of Biomedical Engineering, University of Connecticut</li> <li>260 Glenbrook Road, Unit 3247, Storrs, CT 06269-3037</li> <li><sup>3</sup>Department of Public Health Sciences, University of Connecticut - Health Center</li> <li>263 Farmington Avenue, Farmington, CT 06030-6325</li> <li><sup>4</sup>Department of Neurology, University of Connecticut - Health Center</li> <li>263 Farmington Avenue, Farmington, CT 06030-6325</li> <li><sup>5</sup>School of Nursing, University of Connecticut - Health Center</li> <li>213 Glenbrook Road, Unit 4026, Storrs, CT 05629-4026</li> <li><sup>6</sup>Department of Neurology, University of Connecticut - Health Center</li> <li>213 Dowling Way, Farmington, CT 06030-5357</li> <li><sup>7</sup>Strategic Communications, The Jackson Laboratory</li> <li>213 Objecovery Drive, Farmington, CT 06032</li> <li><sup>8</sup>Department of Biobehavioral Nursing Science, University of Florida</li> <li>1225 Center Drive, Gainesville, FL 32610</li> <li><sup>8</sup>Department of Russell: britney, russell@uconn.edu</li> <li>Britney Russell: britney, russell@uconn.edu</li> <li>Sharmin Akter: sharmin.akter@uconn.edu</li> <li>Britney Russell: britney, russell@uconn.edu</li> <li>Marina Creed: creed@uchc.edu</li> <li>Marina Creed: creed@uchc.edu<!--</th--><th>5</th><th></th></li></ul>	5						
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### 47 Abstract

48 Poor ventilation in classrooms can increase the risk of infectious disease transmission, such as 49 COVID-19, because it allows respiratory aerosol particles that may contain viruses to accumulate. Air 50 purifiers can effectively reduce transmission rates in community spaces, including classrooms, because 51 they increase the air change rate in the room and reduce particle concentrations. In this study, we 52 investigate the effectiveness of Corsi-Rosenthal Boxes (C-R Box) in reducing particle concentrations in 53 active, occupied classroom settings. A C-R Box is a do-it-yourself, cost-effective alternative to commercial 54 air purifiers built from a box fan, four readily available filters, cardboard, and duct tape. We collected 55 measurements of coarse (particles with diameters > 2.5µm) and fine (particles with diameters 0.5µm - 2.5 56  $\mu$ m) particle number concentrations and PM<sub>2.5</sub> (particles with diameter < 2.5 $\mu$ m) mass concentrations. 57 Specifically, we compared measurements in occupied classrooms before and after we turned the C-R 58 Boxes on. In our testing, C-R Boxes reduced fine particle number concentrations by 56-91% and PM<sub>2.5</sub> 59 mass concentrations by over 70% after we turn on the C-R Boxes. We also simulated velocity profiles in 60 the classrooms with running C-R Boxes showing mixing throughout the classroom ensuring that all air can 61 encounter the filter.

# 63 Table of Contents/Abstract Art



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- 65
- 66 Keywords: COVID-19 transmission, indoor air quality, Corsi-Rosenthal Box, school exposures,
- 67 air filtration
- 68
- 69 Synopsis:
- 70 We found that Corsi-Rosenthal Boxes lowered the PM<sub>2.5</sub> mass concentration by >70% and fine
- 71 particle number concentration by 56-91% in an occupied classroom.

### 72 1. Introduction

The COVID-19 pandemic has proven to be a persistent problem that will require a multifaceted approach to solve<sup>1</sup>, particularly in the wake of the appearance of newer, more easily transmitted variants of the virus<sup>2-4</sup>. Indoor air quality is highly linked to COVID-19 mitigation as it is primarily spread through respiratory aerosols (particles) dispersed by infected individuals<sup>5-7</sup>. Improved ventilation and air filtration in public, indoor spaces helps mitigate the spread of COVID-19<sup>1,8</sup>. Unfortunately, many schools are in need of updated ventilation which requires substantial budgets and time<sup>9–11</sup>.

Indoor air purifiers are a reliable method to supplement insufficient ventilation<sup>1,8</sup>. Commercial high efficiency portable air (HEPA) filters have been shown to increase the air change rates and effectively remove potentially-infectious respiratory particles. When budgets allow, these types of air purifiers offer a possible solution to mitigate COVID-19 transmission in classrooms that cannot meet the recommended air changes per hour. Unfortunately, effective commercial air purifiers can be cost-prohibitive for largescale deployment in many school districts.

85 The Corsi-Rosenthal Box (C-R Box) air purifier, pictured in supplemental information (Figure SI.1), 86 is a cost effective, do-it-yourself (DIY) indoor air filter that can provide an increased amount of filtration 87 at a fraction of the cost<sup>12</sup>. This air purifier consists of four 20"x20" MERV-13 (minimum efficiency reporting 88 value - 13) filters, a 20" box fan, a cardboard bottom, a cardboard shroud for the top, and duct tape. The 89 C-R Box can be built with either 1" or 2" thick filters. The thicker filters provide more structural stability 90 for the final C-R Box and potentially longer times between necessary changes. MERV-13 filters are rated 91 to remove at least 50% of particles 0.3-1µm in size, 85% of particles 1.0-3.0µm in size, and 90% of particles 92 3.0-10.0µm in size<sup>13</sup>. In contrast, HEPA filters are rated to remove at least 99.97% of particles that are 93  $0.3\mu m$  in size<sup>14</sup>.

94 The C-R Box has the potential to reduce overall particulate matter (PM) concentration in 95 classrooms, which may lead to decreased infectious disease transmission and improved overall health of

96 children in the classroom. The C-R Box has been shown to work well in laboratory settings and in an empty 97 room<sup>12,15</sup>. Testing of the effectiveness of the C-R Box in an occupied classroom is necessary for full 98 adoption of this potential solution. In this study, we present initial testing of the effectiveness in an 99 occupied university classroom selected to mimic K-12 classroom designs in the Northeastern United 100 States.

101

# 102 2. Materials and Methods

103 2.1 Standard Classroom Set-up

104 We tested C-R Boxes in two university classrooms while students were present to evaluate their 105 efficacy under real-world conditions. The building housing the classrooms was built in 1906, was not built 106 with central HVAC, and much of the building still lacks sufficient mechanical HVAC. We selected this 107 building for testing as the classrooms most closely resembled the classrooms in the K-12 schools in the 108 local districts based on size and ventilation. Classroom A had an approximate area and volume of 51m<sup>2</sup> 109 and 125m<sup>3</sup>, respectively. Classroom B had an approximate area and volume of 96m<sup>2</sup> and 235m<sup>3</sup>, 110 respectively. Classroom A best represents the size of a typical local K-12 classroom. Figure SI.2, in 111 supplemental information, shows the approximate layout of the classrooms during testing.

112

### 113 2.2 Deviations from the Standard Classroom Set-up

Due to the nature of real world testing, not all tests had exactly the same set-up. Details on all five tests are included in the supplemental information (Table SI.1). Only the final three tests (Tests 1-3) are included in the results discussion below because the initial two tests (Initial Tests A and B) deviated substantially from the standard testing set-up. Specifically, Initial Test A only used one C-R Box on medium speed and the windows were open during the class. The noise from the fan on medium speed disrupted the class environment. Based on this test, we lowered the fan speed and placed two boxes in each classroom. The noise level from the C-R Boxes on low speed did not disrupt the class. Initial Test B used 121 two C-R Boxes but the door to the classroom was left open during the class and both C-R Boxes were 122 placed at the back of the classroom. The differences in the testing set-up in Initial Tests A and B from the 123 other tests interfered with many of the results, as such, we took these as a learning experience and have 124 not included them in our final results discussion below. Initial Test A and B results are available for 125 completeness in the supplemental information. Tests 1-3 all used two C-R Boxes spaced at opposite 126 corners of the classroom. In addition, we had the opportunity to upgrade our C-R Boxes to ones with 2" 127 thick filters between Tests 1 and 2. These wider filters potentially allow for better flow and are more 128 structurally sound than those built with 1" filters (which were used for Initial Tests A and B and Test 1).

129

### 130 2.3 Particle Measurement Approaches

131 We measured particle concentrations using three Dylos DC1100 Pro air quality monitors and one 132 TSI DustTrak II Aerosol Monitor 8530EP. The Dylos DC1100 Pro measures the particle number 133 concentration of two sizes of particulate matter (PM): fine particles larger than 0.5µm and coarse particles 134 larger than 2.5µm. We used the TSI DustTrak II to measure particle mass concentrations of PM<sub>2.5</sub> (PM with 135 diameters under 2.5µm) because this particle size range can remain suspended for a significant time and 136 still harbor COVID-19 particles<sup>5</sup>. We zeroed the TSI DustTrak II before each test. We placed the 137 measurement equipment around the perimeter of the classroom and the C-R Boxes in opposite corners 138 (Figure SI.1).

For each test, we began monitoring as the students entered the classroom with the C-R Boxes turned off. About 30 minutes into the class, we turned on the C-R Boxes and ran them until just before the end of the class, typically 30-40 minutes after the C-R Boxes were turned on. We collected all data in one minute increments. We evaluated the data both as a time series and by comparing the average concentration before we turned on the C-R Boxes and the average for the last 5 minutes of class.

#### 145 2.4 Calculating Air Filtration Rate

We used an anemometer (BTMETER BT-100 Handheld Anemometer) to find the velocity of the air flowing from the top of the C-R Boxes on each speed and averaged these values, excluding the direct center of the fan on the top of the C-R Boxes where there is no positive air flow. We multiplied this by the area, again excluding the center, to obtain a volumetric flow for each speed. From the volumetric flow, we calculated the air filtrations per hour using the volume of each room. This is similar to the air changes per hour except it is the number of times the air will encounter a filter each hour.

152

# 153 2.3 Modeling Air Mixing in the Classroom

We used COMSOL Multiphysics 5.6 to understand the impacts of the C-R Boxes on air flow and circulation in Classroom A. We simulated the classroom with two fans placed in opposite corners of the room similar to Figure SI.2 with the fans as the only obstacles in the room. We have included the specific dimensions used for the classroom and C-R boxes in supplemental information (Table SI.2). We set the volumetric flow rate of the fans to 0.3052 m<sup>3</sup>/s. We considered only turbulent flow and used the "algebraic y plus" turbulence model. We assumed that the air in the room was weakly compressible and at standard temperature and pressure.

#### 161 **3. Results and Discussion**

### 162 3.1 Time Series Concentrations in the Classrooms

Figure 1 shows the progression of PM<sub>2.5</sub> mass concentration and fine particle number concentration during the course of the class both before and after we turned the C-R Boxes on. Table 1 shows the size of the room and number of people present for each test. In all three tests, there is a noticeable decrease in both mass and number concentration at all the monitors after we turned the C-R Boxes on. Of the three tests, Test 1 (Figure 1a) shows the least clear trend for fine particle number concentration, but the fine particle number concentration still drops from 1.5-2.5 particles/cm<sup>3</sup> to 0.5-1.5 particles/cm<sup>3</sup> after we turned the C-R Boxes on. In Test 1, PM<sub>2.5</sub> mass concentration displays a more
pronounced trend as it drops from 14-16µg/m<sup>3</sup> to 3µg/m<sup>3</sup>. Test 1 took place in the larger of the two
classrooms and was well below the maximum occupancy of the classroom with only nine people present
during testing.



Figure 1: PM<sub>2.5</sub> mass concentrations (mass of particles with aerodynamic diameters < 2.5μm per m<sup>3</sup>)
 [thicker blue line, left y-axis] and fine particle number concentration (number of particles with diameters

- 177 0.5-2.5μm per cm<sup>3</sup>) [thin black lines, right y-axis] in each classroom measured with a TSI DustTrak II Aerosol
- 178 Monitor 8530EP and three Dylos DC1100 Pro air quality monitors, respectively, from the start of class until
- the end of class for Tests 1-3. The vertical line shows the time the C-R Boxes were turned on.
- 180

181 Of the tests shown in Figure 1, Test 2 (Figure 1b) shows the clearest trend for all measurements. 182 All measurements held fairly constant prior to the time the C-R Boxes were turned on and there were 183 clear, rapid decreases in concentrations after the C-R Boxes are turned on. The fine particle number 184 concentrations measured at all three monitors dropped from 4.0-5.0 particles/cm<sup>3</sup> to 0.25-185 0.75particles/cm<sup>3</sup> by the end of class. The PM<sub>2.5</sub> mass concentrations also dropped from a high of 24-186  $25\mu g/m^3$  to below the detection limit of  $1\mu g/m^3$ . Test 2 was in the smaller classroom and had the highest 187 occupancy (22 people) of the tests. This higher occupancy level led to higher starting levels of both 188 measurements in Test 2 than the other two tests.

Test 3 (Figure 1c) shows a clearer trend for fine particle number concentration than PM<sub>2.5</sub> mass concentration but still shows decreases for all measurements. The fine particle number concentrations measured at all three monitors dropped from 0.8-1.2 particles/cm<sup>3</sup> to 0.2 particles/cm<sup>3</sup> by the end of class. The PM<sub>2.5</sub> mass concentrations also dropped from a high of 4-5µg/m<sup>3</sup> to below the detection limit of 1µg/m<sup>3</sup>. Test 3 was also in the smaller classroom and had moderate occupancy (16 people). The starting concentrations in Test 3 were under 50% of the starting concentrations for the other tests.

As mentioned above, the conditions for the first two tests (Initial A and B) deviated substantially from our ideal testing conditions due to the numbers of C-R Boxes in the room, placement of the C-R Boxes, and status of the windows and doors. As expected, this had substantial impacts on the measurements taken during these tests. We have included the results of these tests in the supplemental information (Figure SI.3).

200 We also measured coarse particle number concentrations at the same three locations as the fine 201 particle number concentrations and found trends that followed those of fine particle number 202 concentrations and PM<sub>2.5</sub> mass concentrations. We show these in the supplemental information (Figure 203 SI.4).

204

205 Table 1: Summary of the results from each test including the size of the room, the number of people present

206 during the test, the total number of air filtrations per hour, the change in PM<sub>2.5</sub> mass concentration, and

207 the change in fine particle number concentration. \*In Tests 2 and 3, the  $PM_{2.5}$  mass concentrations

dropped below the TSI DustTrak II lower detection limit of 0.001 mg/m<sup>3</sup>. The given value is based on this
 lower detection limit.

Test	Room Area (Volume)	Number of people	Air filtrations per hr (AFH)	Change in PM <sub>2.5</sub> mass	Change in Fine PM Number Concentration
1	96m² (235m³)	9	9.4	-79.7%	-55.9%
2	51m² (125m³)	22	17.6	>-96.0%*	-90.6%
3	51m² (125m³)	16	17.6	>-69.4%*	-77.7%

210

### 211 3.2 Average Changes in Concentrations

212 We averaged the concentrations for each test before and after the C-R Boxes were turned on. We 213 took the average for the first 30 minutes of the class as the "before" value and the average during the last 214 five minutes of the class as the "after" value to capture the minimum concentrations we observed. We 215 show the percent changes in Table 1. For two of the tests (Test 2 and 3), the final PM<sub>2.5</sub> concentrations 216 dropped below the detection limit of the DustTrak II. In these cases, we calculated the minimum decreases 217 given in the table on the minimum detection limit of  $1\mu g/m^3$ . The change in PM<sub>2.5</sub> mass concentration for 218 Test 3 is smallest because the starting concentration was relatively low compared to the detection limit 219 of the monitor. We observed decreases in PM<sub>2.5</sub> mass concentration over 69% in all three tests. We 220 observed average decreases in fine particle number concentrations between 56% and 91% depending on 221 the test.

222

# 223 3.3 Air Filtrations per Hour (ACH)

The C-R Boxes, after being adapted with the 2 inch MERV 13 filters, have an average volumetric flow of 0.305m<sup>3</sup>/s, 0.394m<sup>3</sup>/s, and 0.460m<sup>3</sup>/s on low, medium, and high speed, respectively. This yields a range from 650 cubic feet per minute (CFM) on the lowest speed to 975 CFM on the highest speed as contrasted with the manufacturer (Utilitech) supplied maximum rating of 2300 CFM. This lower

volumetric flow is because of the pressure drop created by the filters. We use the volumetric flow to
calculate the air filtrations per hour (AFH) shown in Table 1. The AFH will impact the efficacy of the C-R
Box intervention and must be considered when deciding how many fans to implement in each room.

231

### 232 3.4 Modeled Air Flow

We modeled the air flow in the room originating at the C-R Boxes using COMSOL Multiphysics 5.6. We applied fundamental assumptions, including isothermal flow, no obstructions in the room aside from the C-R Boxes, turbulent flow, and weakly compressible flow. Figure 2 shows the velocity profiles in the room 9.9s after the C-R Boxes are turned on. We have included profiles at additional time intervals in supplemental information (Figure SI.5). While much mixing occurs near the C-R Boxes, mixing does occur throughout the room with the placement of the C-R Boxes as shown in Figure SI.1. Figure SI.1 shows stream lines after only 9.9s, additional mixing would continue to occur after that point.



- 240
- 241 Figure 2: Modeled velocity profiles in the classroom 9.9s after the C-R Boxes are turned on.
- 242 3.5 Conclusions and Recommendations

243 With two C-R Boxes on low speed placed in opposite corners of the room, we saw substantial 244 decreases in PM<sub>2.5</sub> mass concentration and fine and coarse particle number concentration after we turned 245 the C-R Boxes on. It is clear the C-R Boxes are capable of significantly reducing particle levels throughout occupied classrooms. Due to noise considerations, the C-R Boxes are best used on low speed during most class activities, and kept on anytime people are present in the room. Measurements taken at different locations in the classroom and modeling results indicate the C-R Boxes provide sufficient air mixing to filter all the air in the room. These results support the use of C-R Boxes to supplement ventilation to mitigate COVID-19 transmission, particularly until schools can upgrade ventilation systems.

There are several limitations to this study. We only investigated the impact in two rooms and a small number of classes. We performed our tests in university classrooms rather than a K-12 classroom so do not account for behaviors and classroom use characteristics that might be specific to K-12 classrooms and not all tests had as many students as would be in a K-12 class. More tests are required to fully understand the efficacy in a broader range of classrooms.

Long-term C-R Boxes could help mitigate poor indoor air quality which has consequences beyond transmission of infectious diseases. Poor air quality can also severely impact individuals with asthma and allergies. Pediatric asthma is the leading cause of absentee days for school-age (K-12) students and improved indoor air quality has a significant positive impact on lung growth and development in children<sup>16,17</sup>.

261

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