
CHEMICAL SPACE ANALYSIS AND PROPERTY PREDICTION FOR CARBON CAPTURE AMINE MOLECULES

A PREPRINT

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ABSTRACT

Carbon capture and storage is part of the roadmap towards net zero for many countries around the world, since emissions from existing infrastructure are close to estimated carbon budgets. To address this problem, currently 87 carbon capture projects are proposed worldwide in the next 10 years. A major class of commercial carbon capture technology involves capture systems using solvents. Commonly carbon capture solvents feature blends of amines and water. Whilst these blends have proved valuable there is an increasing need to identify new candidate molecules which are more efficient and improve performance. Systematic approaches to improve on the current technology are now needed with increasing urgency to expedite the introduction of cutting edge carbon capture methods. Here, we present a chemical space analysis of amines and carbon capture usage. We proceed to show a framework for computational screening relevant to carbon capture solvents. We demonstrate the use of cloud computing, novel molecular representations and machine learning to screen potential candidates. We show the utility of machine learning in this field for high throughput virtual screening with an exemplar application to absorption capacity classification. Additionally, we highlight the need for improved data awareness and accessibility to enable this field to advance at

a pace commensurate to its global importance. Our research brings together multiple methods and domains of expertise to accelerate the discovery of carbon capture solvents.

Keywords carbon capture · chemical informatics · machine learning · amines · computational chemistry

1 Introduction

Climate change driven by emissions from human activities now poses the greatest environmental concern of this century.[1] Emissions of greenhouse gases such as CO₂, methane and nitrous oxides (NO_x) are the primary drivers of global warming. CO₂ is the largest fraction of greenhouse gases emitted. [2] Electricity generation from fossil fuel burning is the largest point source of CO₂ emissions around the world. Yet, fossil fuel burning infrastructure is still being built.[1] Due to this trend, committed emissions from existing energy generation infrastructure jeopardise climate targets.[3]

Modelling suggested that Carbon Capture, Utilization and Storage (CCUS) for CO₂ emissions is a necessary part of the technological solutions required to meet the Paris climate accord.[1, 4] CCUS is the only technology that can be used to help decarbonise existing energy infrastructure without decommissioning. CCUS is also important for hard-to-abate emissions, such as those in heavy industries.[5] There are approximately 87 planned CCUS plants between 2020–2030 according to the map of global CCUS projects by the International Association of Oil and Gas producers[6].

Of the currently available CCUS technologies, absorption using carbon capture solvents is the most mature, seeing commercial usage with further plans for new developments.[7, 8] The technology is dominated by the use of amine based solvents such as Monoethanolamine (MEA) or proprietary formulations of blends of amines. MEA has become a defacto standard as it has shown good performance in terms of capture capability as well as being relatively cheap. However, it has several drawbacks: high-energy penalty on regeneration, thermal degradation and corrosion.[7] As a result, new solvent candidates and new solvent mixtures are being investigated in both academic and industrial research laboratories.[9]

In this context, computational techniques can be used to screen, rank and predict new carbon capture solvents.[10, 11, 12, 13, 14] These computational techniques hold promise to improve the speed of discovery and innovation if paired with suitable data sets of solvent performance. In particular, the field of Chemical Informatics has developed a multitude of methods and practices, which can be used to address problems in the field of carbon capture[15]. Access to good quality research data and methods is critical to the fast progress of a field, as demonstrated by examples such as those in solid state materials design [16] that have benefited from open innovation.

To inform this study and demonstrate the usefulness of computational approaches to this field, we have identified 167 unique amine molecules which have been reported in the literature[17, 18, 19, 20, 21, 22, 23, 24, 25] in relation to a range of carbon capture performance metrics. We have extracted string representations for these molecules from PubChem[26] and ChemSpider[27] in order to perform an analysis of the chemical space of carbon capture amines. In addition to this, we have created a new set of data for 98 amine molecules based on the absorption capacity of the amine molecules as an aqueous solution of 30% w/w. We have used a consistent set of experimental measures, making this dataset highly valuable for training Machine Learning (ML) models upon.

In this work, we use the dataset of 167 molecules to consider the chemical space of carbon capture amines. Additionally, we build high throughput virtual screening (HTVS) methods to predict the absorption capacity over our own dataset of 98 amine molecules. Our results are our first step towards accelerating the discovery of CCUS solvents.

1.1 Methods

1.2 Data

Commonly applied data standards are yet to be widely established in the field of carbon capture solvents research. Unlike counterparts in the solid state, such as Metal Organic Frameworks (MOFs), for which extensive crystal structure databases have been provided, carbon capture solvents is a relatively data poor field. This in many ways is related to the field's success in being one of the first commercially applied carbon capture technologies. As a result, data is often considered too sensitive to be released.

This situation is historically reminiscent of fields such as pharmaceuticals, which have seen benefits from opening up some of the larger internal data sets from commercial organizations in recent years. [28] These benefits are both scientific (faster development of new ideas) [29] and also economic [30]. Woelfe *et al* [31] provide a case study on how a community accelerated the development of a route to enantiopure Praziquantel. The authors of this manuscript have

demonstrated the use of open data sets towards predicting molecular and material properties such as water solubility and partition coefficients.[32, 33, 34, 35]

Opening data in this field could enable a proliferation of data driven modelling and the establishment of common standards upon which to fairly compare methods. These comparisons can drive rapid advancement of computational screening in this area. Similar arguments have been proposed and discussed in other related fields for formulation chemistry.[36]

We have collected a new set of data for 98 amine molecules based on the absorption capacity of the amine molecules as an aqueous solution of 30% w/w. This was done using our in-house rapid testing laboratory, which measures the extent of CO₂ capture at 40°C using a 200μL sample solution. The CO₂ capture measurements are made using a NonDispersive Infrared Sensor (NDIR) with a 4.3μm absorption band and a 3.9μm reference band. The experiments take approximately 60 minutes to run and are run in duplicate. We have chosen to focus our HTVS efforts on binary classification for this initial work. The aim therefore, is to provide HTVS models which can be applied to prioritise molecules for more expensive exploration. The classes which we used as target data in this work are provided in the supporting information Table 1. Below we describe our data curation and classification process in detail.

1.2.1 Data Collection and Curation

We reviewed the literature searching for experimental absorption capacity. It became clear that there were potentially issues comparing data over multiple experimental techniques. This led us to gather our own data on a consistent experimental basis. We gathered 98 data points in total. These molecules were chosen based upon having been previously reported or having a similarity to those which have been previously reported.

For each molecule we extracted the identifiers and structures of the molecules. We proceeded to search the PubChem[26] and ChemSpider[27] databases for entries of these molecules and extracting further identifiers such that all molecules were specified by: IUPAC name, InChI, InChIKey and SMILES. If an entry could not be found we manually generated a SMILES string and applied methods in RDKit[37] to acquire InChI and InChIkeys. These representations are the most commonly used and are easily parsed by standard chemical informatics tool kits such as RDKit and OpenBabel[38]. This information is provided in the supporting information.

A range of capacity units are used in the literature. The most common appeared to be: $\frac{\text{moles}(CO_2)}{\text{moles}(N \text{ atoms})}$, $\frac{\text{moles}(CO_2)}{\text{moles}(\text{amine molecules})}$ and $\frac{g(CO_2)}{g(\text{amine molecule})}$. Another unit which we encountered several times was $\frac{g(CO_2)}{L(\text{solution})}$. This unit requires knowledge of density to accurately convert, as the solution includes the solvent volume as well as the amine volume. We have used the unit $\frac{\text{moles}(CO_2)}{\text{moles}(N \text{ atoms})}$ for our absorption capacities and provide conversion factors in the supporting information equation 1.

1.3 Infrastructure

In this work we used cloud based computing as this offers us flexibility to scale the resources to our needs. This cluster consisted of eight nodes, each with 8 virtual CPUs and 32GB of RAM. This allowed us to quickly provision infrastructure to run our modeling.[36, 39]

1.4 Computational Modelling

In this work we have applied a range of methods to explore the properties of the proposed solvents. These methods broadly fall into the category of data driven chemical informatics, including chemical graph analysis, sub-structure searching and machine learning.[15] To our knowledge, the application of chemical space analysis and the subsequent bespoke fingerprinting is a novel contribution to this field and present a new analysis of the molecules most commonly used for carbon capture solvents.

1.4.1 Substructure searching and Topological Data Analysis

In the first part of this work, we analyze the structures of the molecules which have been considered as possible carbon capture solvents. We then compared these molecules with a set of 20,938 commercially available amines taken from the ZINC database [40].

The purpose of this analysis is to identify chemical functionality strongly associated with carbon capture performance and to highlight potentially under-explored, yet synthetically accessible, regions of the amine chemical space. To achieve this, we used sub-structure searching over 3D molecular graphs which were generated from SMILES strings using RDKit.

We extend this analysis with Topological Data Analysis (TDA) applied on the chemical space to produce a skeletonized representation of the high-dimensional molecular data set via Mapper TDA [41, 42, 43, 44, 45, 46, 47, 48, 49, 50, 51, 52].

Mapper TDA is a technique to visualise the topology of high-dimensional data, such as point clouds. The construction is related to the concepts of a Reeb graph and pullback covers [43, 50]. Mapper TDA tracks the evolution of the level sets of a real-valued function associated with the data points, known as the filter function. The filter function can be selected to reflect some geometric properties of the points in the dataset, such as eccentricity (position relative to the center of the data) or local density. The range of filter function values is split into overlapping intervals, also referred to as level sets. Mapper TDA tracks evolution of these level sets. For each interval, the corresponding subset of the data points is clustered. Finally, a graph is constructed where each node represents a cluster and two nodes are linked if the corresponding clusters overlap. Two Mapper TDA clusters can overlap because the filter function intervals are allowed to overlap. Further, it is customary to associate some attributes, such as filter function values or some scalar properties, with the nodes and visualize them as colors. The number of data points in the cluster is often visualized as the node size. The output of Mapper TDA is highly dependent on the choice of hyper-parameters. A comprehensive analysis of Mapper TDA parameters can be quite involved and equivalent to a standalone computational task [46].

1.4.2 Machine learning and Model Evaluation

In the second part of this work we describe a workflow for the classification of carbon capture molecules using several learning algorithms. The machine learning models include the Extra Trees Classifier [53], Ada Boost Classifier [54, 55] and Gaussian Process classifier [56] as implemented in Scikit-learn [57] version 1.1.1. We envision the classifiers as a first step towards high throughput virtual screening of carbon capture molecules. In many cases classification may be sufficient in order to prioritise and decide upon whether a molecule will go on to further more elaborate screening. The classification methods have been widely used for chemical property predictions previously.[34, 58, 32, 59, 60]

Gaussian Processes have been used in chemical modelling in many instances.[61, 62, 63, 64] These are a stochastic process, which perform Bayesian inference over a space of functions that map a representation to a probability space, for the class of a molecule. A prior is used to define a probability distribution over functions. As data is provided to train the model, the functions which most suitably represent the data are selected leading to the posterior probability distribution. For classification, a logit function is used to output class probabilities. More details are give in chapter 3 of Williams *et al* [56].

Ada Boost, as implied by the name, is a boosting algorithm that combines multiple weak classifiers to increase the accuracy. In our case we use decision trees as our weak learners. The Ada Boost method works by initializing all training data with equal weights. After the first classifier is trained, examples which are incorrectly classified by the first classifier are given a higher weighting. The process is repeated for N weak learners.

Extra Trees classifier is an ensemble learner similar to Random forest except that Extra Trees sub-samples without replacement. Extra Trees fits a number of decision trees on various sub-samples of a data set and uses the principle of "wisdom of crowds" to predict the classes through a majority vote. This method has shown improved predictive accuracy and control of over-fitting compared to a single decision tree.

All models are assessed in terms of multiple performance metrics: accuracy, sensitivity, specificity, Receiver Operating Characteristics (ROC) curves and [65] Matthews Correlation Coefficient (MCC) [66, 67]. These metrics can all be formulated mathematically from a confusion matrix, which identifies the correct predictions, True Positives (TP) and True Negatives (TN), along its main diagonal and the two types of error associated with binary classification, False Positive (FP) and False Negative (FN), in the off diagonal elements. The equations used for these metrics are given in the supporting information equations 2 - 7.

Briefly, these metrics comprise the most commonly applied metrics for classification problems and well characterise the performance of our methods. Accuracy is likely the most common classification metric.[67] It is a ratio of the number of correct predictions over the total number of predictions. This leads to a ratio describing the fraction of predictions which are correctly classified in the set. This simple metric is a valuable high level overview of the performance of a classifier. The sensitivity and specificity each focus on the models ability to correctly predict the positive or negative class respectively. These metrics provide a greater insight into the potential errors and biases of the models. The ROC curves describe the model performance over decision thresholds with a FN rate on the x axis and TP rate on the y axis. These thresholds can be considered as balancing the positive an negative predictions, i.e. lowering the threshold will increase the number of positive predictions, which is the sum of true positive and false positive predictions. The Area Under the Curve (AUC) for a ROC curve is the integral of the area under the ROC curve and provides a single value metric for this trade off. The MCC metric is a powerful summary metric which ranges from -1 to +1 describing the skill of the classifier to predict positive cases as positive and negative cases as negative even when the classes are imbalanced.[67].

1.4.3 Computational workflow

The workflow to generate these models is given in Figure 1. The workflow contains two K-fold Cross Validations (CV) one nested within the other. The external CV holds a portion of the data set out as a validation set whilst providing all other points as training data. The internal CV uses the training points from the external CV to optimize the hyper-parameters and train a classifier for each external k-fold.[32] This means that the predictions are made for all 98 molecules over our external K-fold without biasing the models. Additionally, we can make an assessment of the models robustness to training set changes. We have chosen this method as it enables us to optimally use the small data set we have been able to gather from the literature.[32]

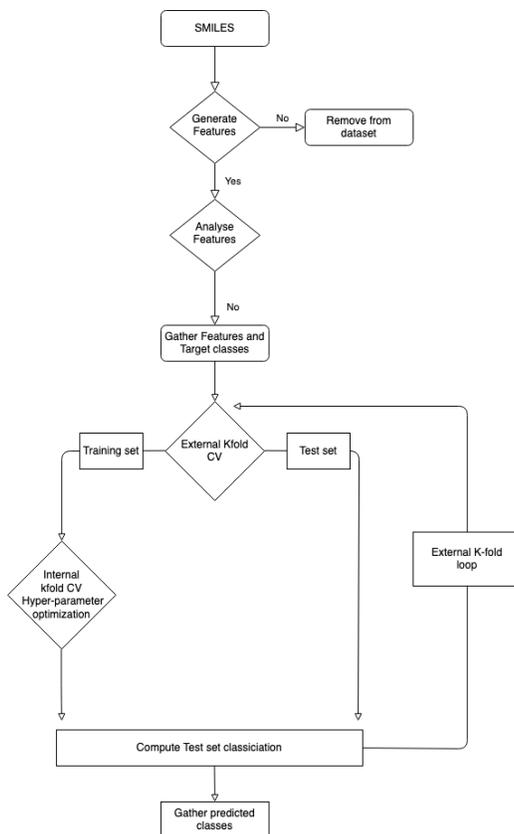


Figure 1: Workflow to make classification predictions of each molecules in our data set.

To describe these molecules, we used three methods. The first are standard chemical informatics descriptors, generated through the Mordred descriptor calculator, [68] which produces over 1800 features of molecular characteristics. From the 1800 descriptors calculated, we identified the ones that correlate significantly with the properties of interest using the Spearman correlation coefficient between each Mordred descriptor and the respective property of interest.

Another way to describe molecules is *via* molecular fingerprints. Molecular fingerprints are vectors that encode structural information about a molecule. Commonly, this information is stored as binary digits representing presence and absence of a structural feature. There are different types of fingerprints available such as Morgan fingerprints [69], MACCS fingerprints [70] or MinHashed Atom Pair (MAP) fingerprints [71]. In this work we have used the commonly applied MACCS fingerprints.

Additionally, we have defined our own structure based fingerprint (CCS fingerprint) following consideration of the literature and our own chemical space analysis. This fingerprint is a fixed length (64 bits) with each bit representing a chemical group or groups. These chemical groups comprise those commonly seen in carbon capture solvents and those found more broadly across amine chemical space. Each bit is defined by a SMARTS string and substructure searching is carried out in parallel using DASK[72] and RDKit[37] to generate the fingerprint vector.

2 Results and discussion

In this section we outline our chemical space analysis, models and property predictions. We begin exploring the molecular data set, seeking trends across the data in terms of the chemical structures. We proceed with using the learning models discussed previously to predict absorption capacity. We complete this work by evaluating our models and considering the impact of our predictions.

2.1 Chemical Space Analysis of Carbon Capture Amine Molecules

First, we explore and compare the structures of the amine molecules we extracted from carbon capture literature with those we extracted from the ZINC database[40] which are commercially available.

Several authors have reported chemical sub-structures which influence carbon capture capabilities.[18, 19, 10, 17] In particular, Singh *et al*[18, 19] developed structure activity relationships based on chemical functionalities. Their work studies the effects of many chemical functionalities on carbon capture loading and develops design considerations for carbon capture amines. These included alkyl chain length and functional group separation measured in number of carbon atoms. Additionally, consideration of ring substituent and their positions was provided in a later publication.[19] Work by Papadopoulos *et al*[10] provided a computational design system. This work also identified a small number of chemical structures which were useful as descriptors for their models. Work by Puxty *et al*[17] reports the position of OH moieties relative to the amine nitrogen to be important. Steric hindrance around the amine nitrogen is another chemical feature reported to be of importance. It has been shown that steric hindrance can change the reaction route of primary and secondary amines towards that of tertiary amines. This is an important observation owing to the differing atom efficiency between the two routes. Primary and secondary amines have been shown to react with CO₂ through a pathway requiring a second molecule to complete the reaction, see figure 2. The second molecule may be water in some cases or a second primary or secondary amine. Tertiary amines have been shown to react in a one to one fashion with CO₂ effectively acting as a catalyst see figure 3.[73, 17, 74, 12]

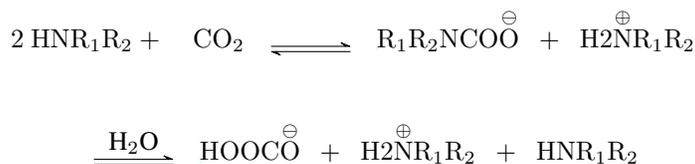


Figure 2: Primary and secondary amine general reaction scheme.

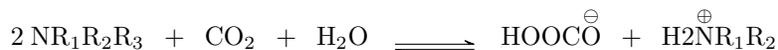


Figure 3: Tertiary amine general reaction scheme.

We have taken these considerations a step further, defining the CCS chemical fingerprint based upon these observations and our own analysis of commercial amines. Our analysis identified common functionalities in commercial amines such as benzene rings, five member carbon rings, nitrogen containing heterocycles and halogen groups some of which are not commonly found among amines tested for carbon capture. The CCS fingerprint we define combines the SMARTS definitions for common chemical sub-structures in molecules tested for carbon capture and wider commercial amines. We apply this tool here in consideration of the relative abundance of these sub-structures in carbon capture and commercial amines.

The inclusion of both chemical functionalities common in carbon capture amines and those more broadly in synthetic amines was done to enable the fingerprint to capture the differentiation between the two groups. We use sub-structure searching over a fixed order of chemical sub-structures, defined by SMARTS, in order to produce the CCS fingerprint. The fingerprint definition in terms of the order and SMARTS patterns used for substructure matching are included in the supporting information. Each of the SMARTS patterns defines one bit in our fingerprint. In total there are 64 bits and hence 64 sub-structure searches per molecule. In order to make this computationally reasonable we apply sub-structure searching through RDKit and parallelize over batches of 1000 molecules using DASK[75, 72]. With this implementation we are able to produce the fingerprint in approximately 5 minutes on a laptop for the set of 20,938 molecules compared to several hours when run in serial.

Considering these points Figure 4 displays a fingerprint based comparison of the 167 amines trialled for carbon capture compared to the 20,938 amines collected from ZINC which are commercially available.

The list of carbon capture molecules collected in this work is not exhaustive, but is a representative sample of the published amine solvent molecules which have been openly reported. As a result the aim here is to provide an analysis which highlights the most explored regions of the amine chemical space and point out synthetically accessible areas of amine chemical space which may be under explored in terms of carbon capture. Figure 4 displays a histogram with the normalized count of occurrences of the given sub-structures across molecules in both sets (blue is the carbon capture trialled data set of 167 molecules, red is the commercial amines data set of 20,938 amines). Clearly there is a substantial difference in the size of these data sets, hence the normalization allows one to consider relative abundance rather than absolute counts.

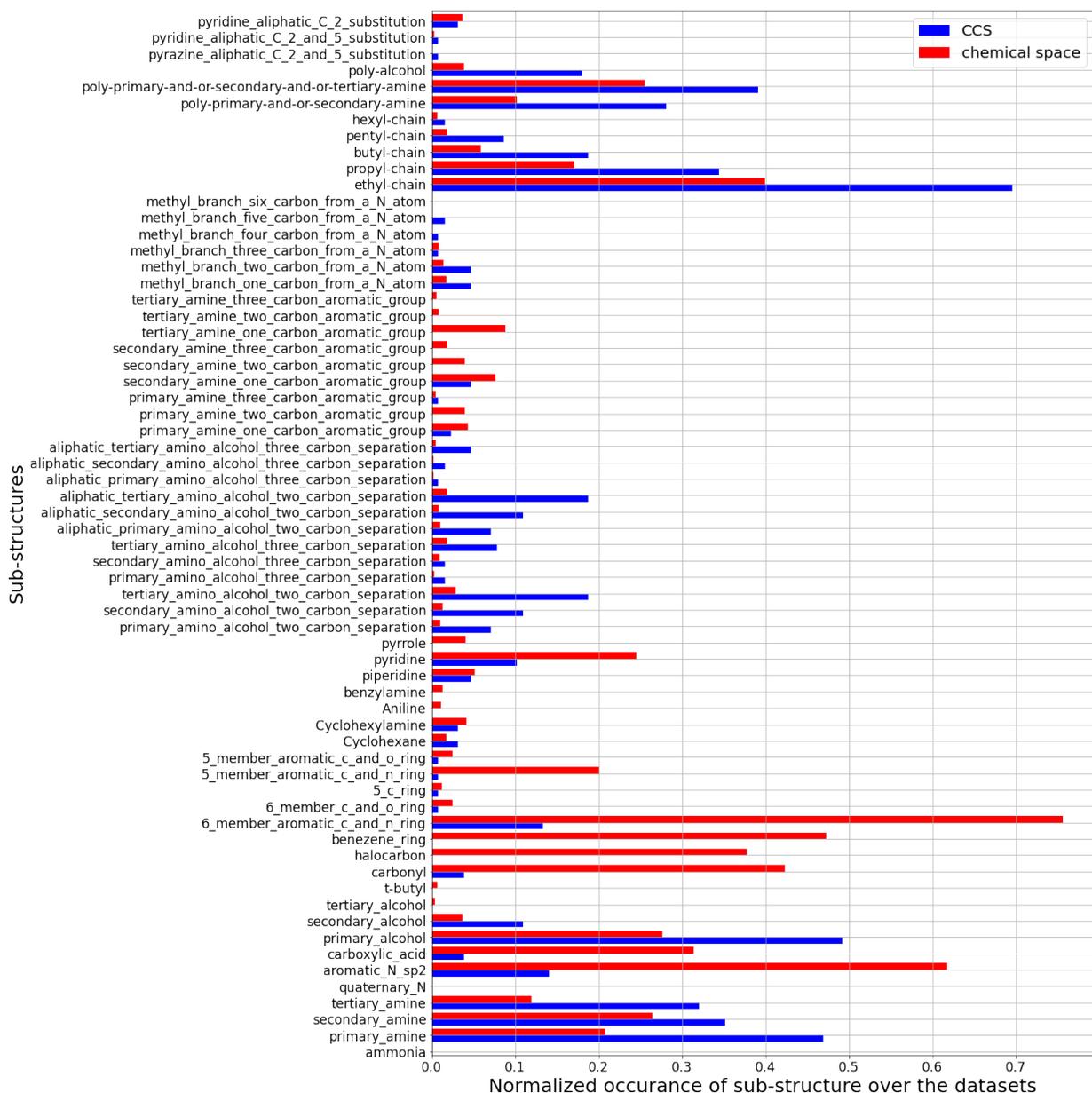


Figure 4: Fingerprint comparison over two data sets of amines, 20,938 commercially available amines and 167 amines tested for carbon capture abilities. All bits are found in the larger data set at least once except ammonia, however their occurrence may be rare enough that it is not clearly visible on the normalized x-axis. Where this occurs we have decided to include the bit as it has been noted in other literature sources as potentially important.

From Figure 4 it is clear that the carbon capture data set includes molecules which contain a sub-set of chemical moieties more commonly compared to the commercial amine data set. For example, in the alkanolamines substructures in the centre of the y-axis. This subset may be somewhat expected given the wide spread use of MEA and related molecules. It is also clear that structures such as carbonyls, halo-carbons and aromatic groups are relatively less common in the carbon capture data set compared with commercially available amines. We note that substances such as benzylamine have been used as promoters within formulated blends rather than capture solvents themselves. Such molecules are not captured in this analysis.[76, 77] This analysis suggests there is likely a defined sub-space of the amine chemical space, which is more likely to be associated with amines suitable for carbon capture.

Figure 5 displays the chemical space graphically. In this figure each molecule is represented as a node in the graph and the most similar (Tanimoto similarity scores of ≥ 0.7 using Morgan fingerprints) are connected. The graph topology is generated through the Fruchterman-Reingold force-directed algorithm[78] using Python's NetworkX package (v.2.6.3). This algorithm treats the nodes as a set of spring connected particles and simulates the graphs topology to a quasi-equilibrium state. In this case the springs were weighted by the Tanimoto similarity score, making those more similar node relatively more attractive to one another. The highlighted nodes are molecules which have been reported in the literature as trialled for carbon capture capability previously.

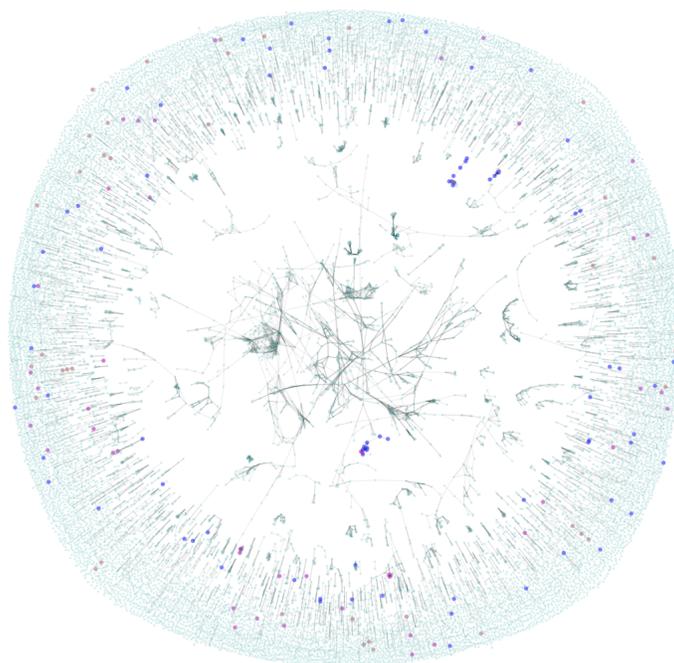


Figure 5: Force directed graph of the amine chemical space. The highlighted nodes are molecules which have been reported in the literature as trialled for carbon capture capability previously. The cyan nodes are commercially available amines which to the best of our knowledge have not been tested for carbon capture capability.

We can see that molecules which have reported carbon capture properties are not evenly distributed. The nodes tend to be away from the centre and distributed throughout the shell of the graph. The graph is generated based upon molecular similarity such that those with more connections remain closer to the centre of the graph. As the carbon capture molecules tend to exist in the shell they can be considered relatively dissimilar to the commercial amines which remain in the centre. Still most of the carbon capture amines possess at least one connection, suggesting they are not special isolated cases. Generally the carbon capture amines appear to inhabit sub-sections of the amine chemical space based upon molecular similarity.

To elucidate this sub-space more clearly we have applied TDA. A skeletonized representation of the set of the topological data associated with both sets of amines described above is shown in Figure 6. Mapper TDA is applied to the molecular point cloud in the space of the CCS structural fingerprints equipped with pair-wise dice distances. During Mapper construction, we chose eccentricity of the molecules in the point cloud as the filter function. Here, eccentricity refers to the position of the molecule relative to the "center" of the point cloud; it increases further from the center towards the outskirts. The range of the eccentricity values was split into 40 intervals with 50% overlap between intervals. This

produced 40 level sets of amines which were clustered with Agglomerative Clustering on the pre-computed matrix of dice distances.

Figure 6A shows the produced Mapper graph where nodes represent clusters within level sets, nodes are linked if respective clusters have common members, color encodes the filter function (eccentricity), and the node size encodes the number of amines in the respective cluster. Figures 6B and C maintain the layout of the graph in Figure 6A and the encoding of the number of amines in a cluster by the node size. Figure 6B shows the anomaly scores of the molecules in the dataset evaluated using the Isolation Forest algorithm, averaged over clusters, and encoded as the node color. High positive values of the anomaly score indicate inliers, decreasing values indicate higher level of abnormality, and negative values indicate outliers. Figure 6C uses color to encode the fraction of the carbon capture amines in each cluster. We note that the highest content of carbon capture amines in the Mapper clusters does not exceed 20%.

Comparison of Figures 6A and C suggests that carbon capture amines live primarily on the outskirts of the data set. This finding can be interpreted as a sign of under-utilization of the space of amines in the studies of utility for carbon capture. One possible reason could be a bias of the majority of amines towards biochemical/medicinal applications leading to unnecessarily complex and/or expensive structures. Comparison of Figures 6B and C shows that carbon capture amines are not outliers, as the only cluster with the average anomaly score characteristic of outliers has zero fraction of carbon capture amines. Carbon capture amines are not the most "normal" amines either, the average anomaly scores of the clusters rich in carbon capture amines are shifted towards zero.

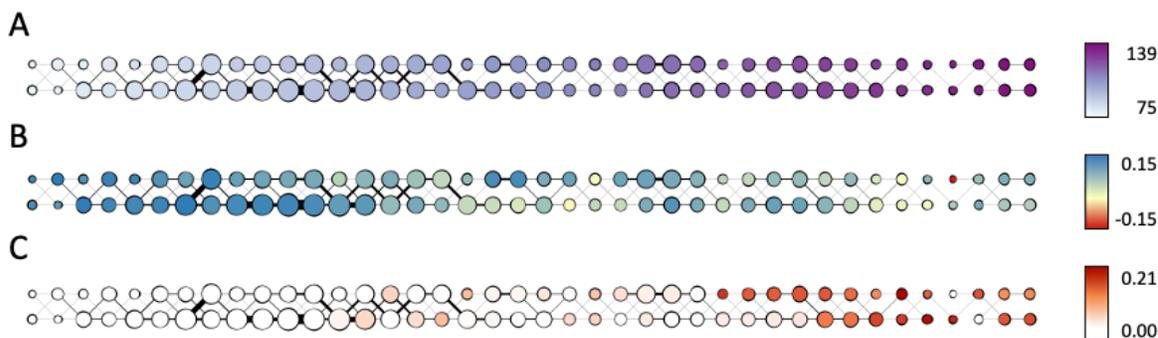


Figure 6: Mapper graph of the combined dataset of amines. Eccentricity of amines in the combined dataset is used as the filter during Mapper construction. Node size is proportional to the number of amines associated with the node. Thickness of a link between two nodes is proportional to the number of amines that are associated with both nodes. Panel A: color encodes mean eccentricity of the molecules associated with the node. Panel B: color encodes mean anomaly score (Isolation Forest) of the molecules associated with the node. Panel C: fraction of amines from CCS dataset among molecules associated with the node.

Considering all aspects of this analysis it appears that the carbon capture amines considered here are representatives of a sub-space in amine chemistry. Many of the commercial amines are likely to have been developed for diverse industrial applications and as such many will be unsuitable (too costly, over complex or only available in small quantities) for carbon capture. The analysis does suggest though that there is considerable unexplored, or at least unreported, areas of amine chemical space which may hold novel candidates for carbon capture.

2.2 Carbon Capture Absorption Capacity Classification

In this section we outline our absorption capacity classifications. We begin generating QSAR models for the classification of molecules based on absorption capacity. We complete this work by evaluating our models and considering the impact of our predictions.

Here, we report the results for the classification models generated with MACCS fingerprints, CCS fingerprints and Mordred descriptors against absorption capacity in units of ($\text{mol}_{\text{CO}_2}/\text{mol}_N$).

There are 98 molecules in our absorption capacity data set, classified to binary classes. Class 1 represents higher values and class 0 represents lower values of absorption capacity. The molecules are classified based upon the amine

functionalities they contain. Both primary and secondary amines are thought to react with CO₂ through a mechanism requiring two amine molecules to complete the reaction. Therefore, a primary or secondary amine has a theoretical absorption capacity of 0.5 per primary or secondary amine group. Tertiary amines are thought to react in a one to one mechanism therefore have a theoretical absorption capacity of 1.0 per tertiary amine group. We classify molecules by summing up these expected contributions per amine group and applying a weighting based upon the number of groups. If this value is below the experimental absorption capacity then class 0 is assigned to the molecule; if the experimental absorption capacity is greater than or equal to the value then class 1 is assigned to the molecule. From this dataset, 71 molecules are class 0, and 27 molecules are class 1.

The two classes are highly imbalanced. To achieve better performance in the models, we generate additional sampling points for the minority class using the Synthetic Minority Over-Sampling Technique (SMOTE) [79] for non-categorical features and Synthetic Minority Over-sampling Technique for Nominal (SMOTEN)[79] for categorical features. In both cases, these methods select the five nearest minority class neighbours in feature space to the kth example minority point, choose at random one of the five and generate a synthetic sample point along the connecting line between the example point and the random neighbour. Note that the methods have no information about the majority class.

These techniques provide a better balance between the classes and hence improve the learning of a decision boundary. After the synthetic data points are added, there are in total 142 data points meaning there are 44 synthetic samples in total. Here we present how Extra Trees and Ada Boost perform on the balanced data set.

2.2.1 Mordred descriptors as features

For each molecule, we generate over 1500 descriptors using Mordred.[68] The list of Mordred descriptors can be found at reference [80]. From these descriptors, we are only interested in those that have a notable correlation with absorption capacity. We thus set a Spearman correlation cutoff of 0.5 and further analysed these features for significance using a two-tailed p-test[81] over 5000 random sample permutations using the Spearman correlation coefficient as the test statistic, which leaves us with 35 features which have a significant p-value at 95%. The list of features which correlate are given in the supporting information. Following feature generation, we apply one-hot encoding for categorical features and min-max scaling for continuous features. There were 6 features considered as categorical out of the 35 (nBondsM, nBondsKD, C1SP2, HybRatio, FCSP3, ETA_beta_ns). Categorical in this case includes features with specific increments such as counts. Following one hot encoding the feature set extends to 84 as every unique value of the categorical features becomes a binary feature array. Scikit-learn[82] was employed to perform one hot encoding and min-max scaling.[57]

2.2.2 Molecular fingerprints as features

As discussed above we have developed a new fingerprint, CCS fingerprint, for carbon capture solvents based upon the chemical space analysis and the presence or absence of substructure searches using SMARTS strings. They are composed of 64 binary features. The features are not pre-processed in any other way. The SMARTS definitions are provided in supporting information. The use of such fingerprints can enhance the interpretability of models in terms of the chemical structures and their correlation with the properties of interest.

Additionally, we compared our CCUS fingerprint with the well established MACCS keys [83, 84]. The MACCS keys are composed of 166 binary bits which also represent the presence and absence of chemical features. MACCS keys have been widely used, especially in the pharmaceutical industry. The bits represent a wide sub-set of chemical space.

2.2.3 Results for Mordred Descriptors

We begin our modelling of absorption capacity using the Mordred descriptors as features to represent the molecules. Figure 7 and table 1 provide a summary of the performance of the three models generated from Extra Trees, Ada Boost and Gaussian Process classification methods.

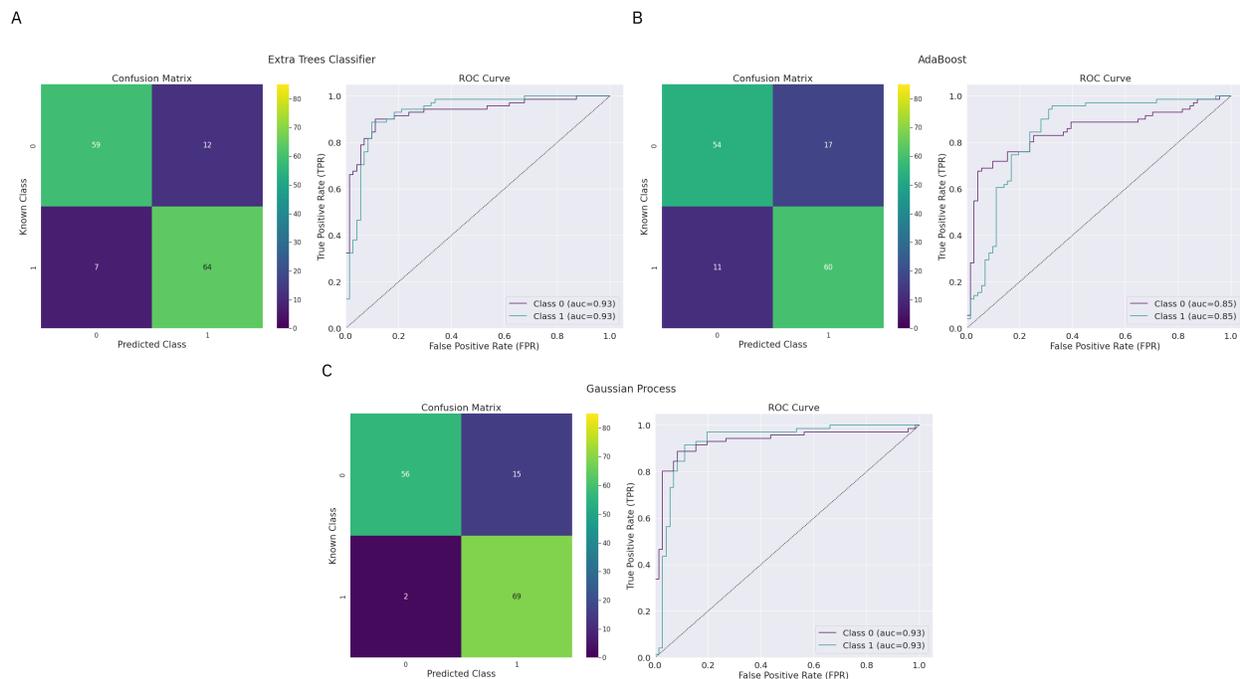


Figure 7: Confusion matrices and ROC Curves for the balanced data against absorption capacity classification using the Mordred chemical features.

Table 1: Classifier metrics for balanced data for absorption capacity with models built from Mordred features. MCC is the Matthew’s correlation coefficient.

Algorithm	Accuracy	Sensitivity	Specificity	MCC
Extra Trees	0.87	0.90	0.83	0.73
Adaboost	0.80	0.85	0.76	0.61
Gaussian Process	0.88	0.97	0.79	0.77

From the results in figure 7 and table 1 overall all models have made a good prediction with accuracies between 0.8 and 0.88. The Gaussian Process and Extra Trees methods have broadly performed similarly in terms of accuracy, however, there are notable differences in the sensitivity and specificity where the Extra Trees method has good performance for both and the Gaussian Process has excellent performance for sensitivity and fair performance for specificity. The sensitivity (TP rate) is a measure of how well the models can predict the positive class whilst specificity (TN rate) is a measure of how well the model can predict the negative class. We see that the Gaussian Process classifier makes the largest number of true positive predictions and Extra Trees makes the largest number of true negative predictions. The Ada Boost method, whilst still performing well, struggles compared to the other models on all metrics making it the weakest of the three classifiers here. The MCC values show that all models perform better than random (values above 0). Turning to the confusion matrices we see a general bias to fewer false negative predictions compared to false positive predictions. This suggests that for all models the positive class is more often predicted correctly than the negative. This is confirmed by the sensitivity and specificity showing the same pattern.

2.2.4 Results for MACCS fingerprints

Turning to the MACCS fingerprint representation of the molecules; figure 8 and table 2 provide a summary of the models performance.

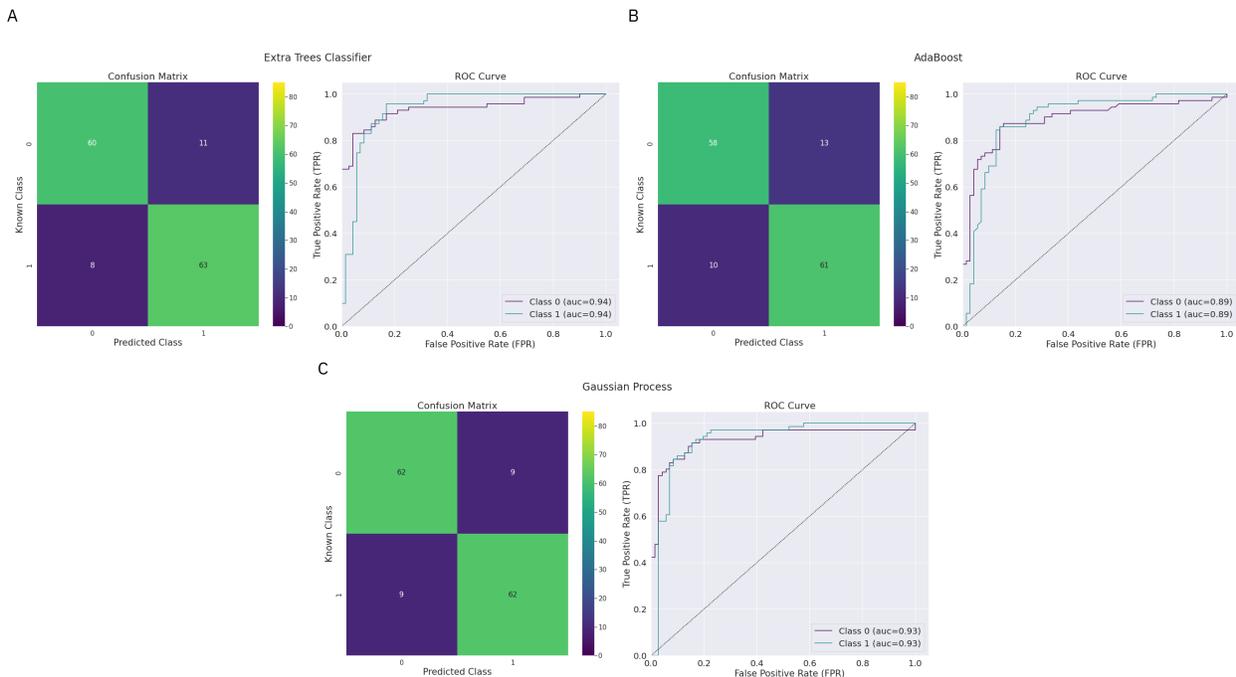


Figure 8: Confusion matrices and ROC Curves for the balanced data against absorption capacity classification using the MACCS keys as features.

Table 2: Classifier metrics for balanced data for absorption capacity with models built from fingerprint features. MCC is the Matthew’s correlation coefficient.

Algorithm	Accuracy	Sensitivity	Specificity	MCC
Extra Trees	0.87	0.89	0.85	0.73
Adaboost	0.84	0.86	0.82	0.68
Gaussian Process	0.87	0.89	0.85	0.73

Using the MACCS fingerprints, and considering the metrics in figure 8 and table 2 all three models now make a reasonable prediction of the molecules class. At a high level the accuracy for these three models ranges between 0.84 and 0.87. The Extra Trees and Gaussian Process models both perform equally well, whilst the Ada Boost method performs slightly poorer. This follows the trend across the model performance using the Mordred features. The MCC values show promising values showing a much better than random prediction. Looking at the confusion matrices, alongside the sensitivity and specificity, we see a fairly even number of false positive and false negative predictions with broadly similar performance. This shows the models are able to predict each class with a similar accuracy. We see a small decline in positive class prediction accuracy with a modest improvement in the negative prediction class compared to the mordred features in figure 7 and table 1.

2.2.5 Results for CCS fingerprints

The last representation is that of our CCS fingerprint; figure 9 and table 3 provide the summary results for the three models trained on this representation.

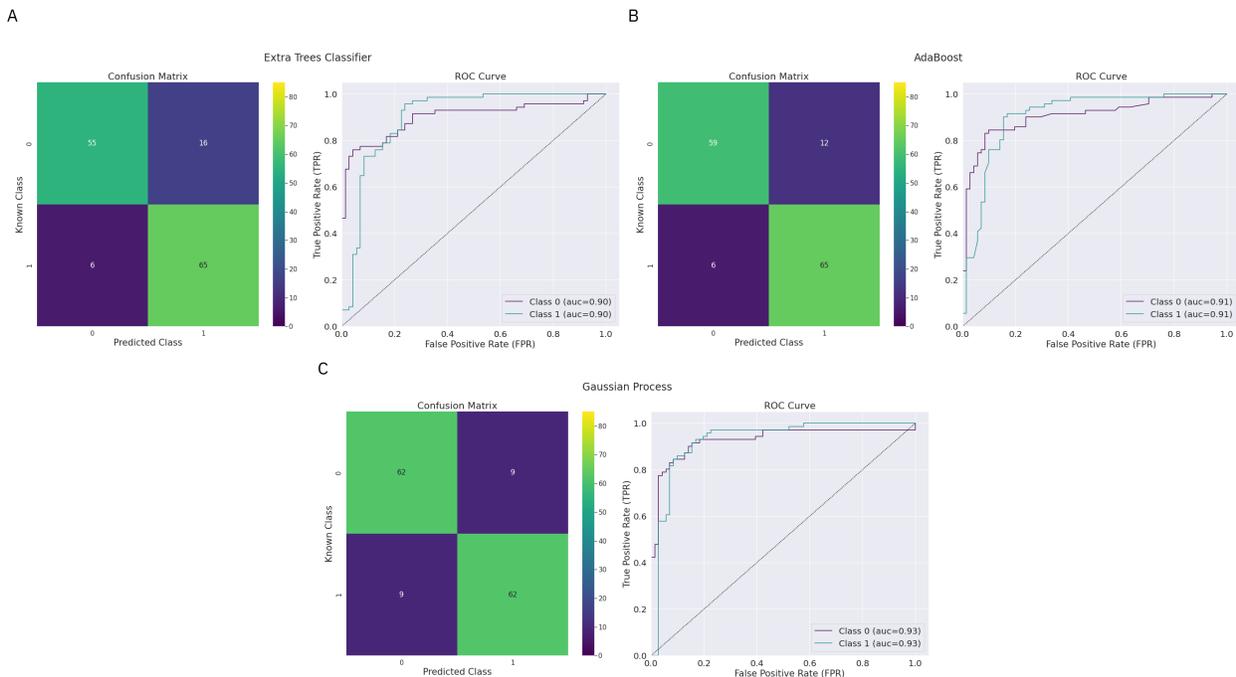


Figure 9: Confusion matrices and ROC Curves for the balanced data against absorption capacity using CCS fingerprints as features.

Table 3: Classifier metrics for balanced data for absorption capacity with models built from CCS fingerprint features. MCC is the Matthew’s correlation coefficient.

Algorithm	Accuracy	Sensitivity	Specificity	MCC
Extra Trees	0.85	0.92	0.77	0.70
Adaboost	0.87	0.92	0.83	0.75
Gaussian Process	0.87	0.87	0.87	0.75

From figure 9 and table 3 it appears that all three models make good predictions of the molecules classes. The accuracy of all models is greater than 0.8, with the accuracy range of 0.85 - 0.87. In the Extra Trees and Ada Boost models we see a bias when measuring the sensitivity and specificity. The sensitivity is considerably better for these two models compared to specificity. This represents a strong ability to predict the positive case with sensitivity metric > 0.9 . We see this in the confusion matrices, where both models have the joint second lowest number of FN (6 each) and a high number of TP predictions. The Gaussian Process model performs equally well on both classes with 0.87 correct classifications for the positive and negative class. This is the only balanced model over all of the models. The MCC suggests that all models make strong predictions notably better than random.

Comparing the models on their summary metrics we see that in general figures 7 - 9 and tables 1 - 3 suggest that classification of molecules using shallow learning algorithms for absorption capacity can be achieved. Across the models presented we have used several molecular representations. The Mordred descriptors are composed of a range of well known 2D molecular descriptors encoding information of electronic state, graph topologies and molecular properties. We found 35 had a notable correlation with absorption capacity but this vector extended to 84 when one-hot encoding was applied. This means a notable part of the representation contains a null representation. It is possible that with a larger data set the most explanatory features could be more readily identified and the models improved.

The MACCS fingerprints are a standard fingerprint representation which has been employed many times in materials modelling. To our knowledge, it has not been applied previously to predicting absorption capacity. In this work we see that the MACCS fingerprint perform well as a representation and achieve a good balance in predicting correctly both classes. This is given by the closer sensitivity and specificity values compared to Mordred descriptors and most of the CCS fingerprint models. The MACCS fingerprints are the largest representation used in this work at 164 elements each,

with every element requiring a sub-structure match to build the representation. This can be a relatively computationally expensive task.

Having considered these two standard representation methods, we developed our own fingerprint, inspired by the MACCS scheme, which encoded the sub-structures noted by the carbon capture community to correlate with carbon capture performance. We also wished to generate a more condensed representation which with equivalent software implementation could reasonably be expected to be generated with fewer sub-structure matches. From this we developed the CCS fingerprint. The models generated above show the result is promising. All of the models built using the CCS fingerprint perform with an accuracy approximately equivalent to the standard features together with the best Extra Trees and Ada Boost models with 92% accuracy for the positive class. Additionally, we find that the Gaussian Process model using the CCS representation provides a very balanced predictor with 87% for both classes correctly predicted. This model has the best negative class prediction accuracy over all models.

The best overall positive class predictor comes from the Mordred features using the Gaussian Process classifier with 97% promising class correctly predicted. The use of the MACCS keys shows fairly balanced prediction performance for both classes over all algorithms tested here. The use of the standard Mordred descriptors and MACCS keys in classification of absorption capacity for carbon capture shows promise. The headline accuracy is typically 80 + % over all of the data points.

With the exception of the CCS Gaussian Process model all other models show a bias to more accurately predicting the positive class of molecules. This suggests there is room for improvement in these models to improve the prediction of the negative class generally. From a screening perspective, this is an error type which maybe preferred, within reason, as it simply leads to a few more candidates continuing to the next stage of the design or screening process, but minimizes the number of missed promising candidates.

2.2.6 SHAP analysis

We calculate feature importance using Shapely values. Shapely values are a concept from game theory, where they are used to rank the importance of each player in a cooperative game. In machine learning, they have been the inspiration for a method known as SHAP [85], which is a method to explain the output of a machine learning model. The method works by generating shapely importance values over all permutations of features thus providing an interpretable impact of that feature.

Figure 10 shows the Shapely values calculated for the Extra Trees model using the Tree Explainer[86]. The Shapely values are calculated based on class '1', which represents good capture performance. Each training point is plotted for every feature. Points in red have high value of the feature and points in blue have low value of the feature. The Shapely values for each point are on the x axis. Positive Shapely values mean that this feature contributed positively to predicting this particular point is in class one, and the opposite for negative values.

We will focus here on the CCS fingerprint, as the feature names are the ones which are most relevant to the problem of carbon capture. The two methods for feature importance produce the same top 5 features.

In the case of this fingerprint, when *poly-primary-and-or-secondary-and-or-tertiary-amine* feature is *low* (meaning 0, as we are dealing with features that are either 0 or 1 here) the model is more likely to predict class 1 than class 0. Thus, we can interpret that, the more amine groups there are in the amine, the lower the chance of having a high carbon capture capacity measured in moles of carbon dioxide per moles of amine. Or in other words, the model indicates that capacity scales sub-linearly with number of nitrogen atoms.

The rest of the features, the model uses to predict good performance. Molecules with *secondary-amine*, *primary-alcohol*, *butyl-chain* and *aliphatic-primary-amino-alcohol-two-carbon-separation* are more likely to be classified as good performers.

For Mordred, the most important feature is *nBondsM_0*, which is a one hot encoded column defining that the *nBondsM* descriptor has a value of zero. This descriptor is a count of multiple bonds in a non-kekulized structure. This means, number of multiple bonds outside aromatic rings. Therefore, molecules without double and triple bonds outside of an aromatic ring are correlated with better performance. The next feature in importance is *PEOE_VSA2* which relates to surface charges in the molecule. The higher the feature, the less likely the classifier will predict good performance. The next three features (*AETA_eta_F*, *AETA_beat* and *ETA_epsilon_1*) are based on the Extended Topological Atom (ETA)[87]. These features related to average values over the molecules for functionality, valence electron mobility and ϵ score respectively.

In the case of MACCS, the y axis labels are designed to be pseudo-SMARTS, which help to explain what the feature is. These labels refer directly to SMARTS patterns which each bit of the MACCS fingerprint represents presence or absence of. The first descriptor, *N> 1* which means more than one nitrogen atom, is the most important feature. When the

feature is present, the classifier is less likely to predict good carbon capture performance, indicating a similar sub-linear scaling of carbon capture capacity with number of nitrogen atoms. The second key relates to the presence of a group corresponding to a CH_2 group bound to an oxygen atom by any bonding motif. The presence of this group contributes to a positive class most of the time and likely relates to alcohol groups. The third feature relates to a chain of where two carbon atoms are separated by a non-carbon atom. Generally this feature corresponds to a negative class but there are some points where this is not the case. The last two features also correspond to chain configurations with two nitrogen atoms separated by any two and any three atoms in any bonding configuration respectively. These groups correspond to the negative class.

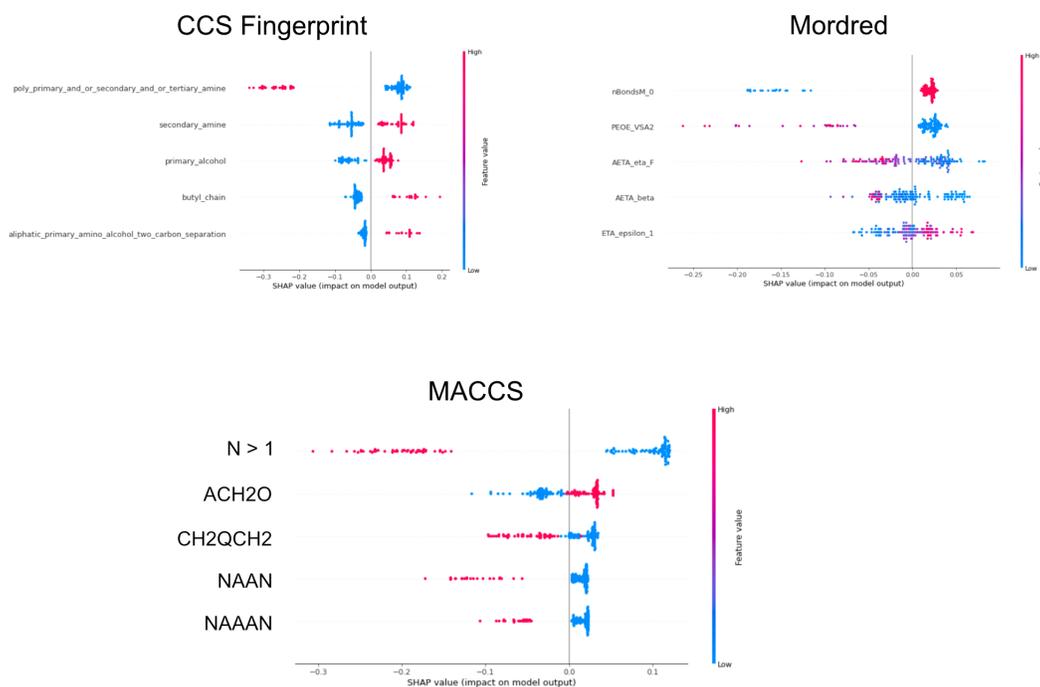


Figure 10: Shapely values calculated using SHAP for the top 5 features that explain the model outputs of the Extra Trees classifier using the Mordred, MACCS and CCS Fingerprint features.

One should be careful not to over interpret the importance of these features, as they lack any physical theory determination. The models here only learn on statistical grounds from vectors of data and have no knowledge of the underlying chemistry and physics. However, the feature importance presented here can be used as a check whether the models learn chemically and physically intuitive correlations and these can be used to further tune the parameters or provide guidance to screening. It is interesting to note that of the structural features considered as important path lengths, the number of nitrogen atoms and nitrogen atom environment have been found across multiple representations.

3 Conclusions

This work displays an analysis of the chemical space of carbon capture amines against a background of commercially available amines. This analysis shows that carbon capture amines inhabit an edge region of the chemical space, but are not outliers in their structure compared to the wide set of commercially available amines. This is promising as it suggests that there may be other commercially available amines which will be suitable for carbon capture without expensive new synthesis pathways being required. It also highlights chemical functional groups which are relatively less common in carbon capture amines. It remains unclear whether these are less common due to a lack of reporting on carbon capture capabilities for molecules containing these functionalities or due to these chemical functionalities having a consistent detrimental impact on carbon capture performance. This is an area for further exploration which could have a notable impact on the field by improving knowledge, data availability and thus modelling validation capabilities.

We used this chemical space analysis to define a novel fingerprint for the modelling of amine molecules used in carbon capture. This fingerprint has been shown to be an effective featurization method for QSAR modelling and a way to analyze the chemical space. We have also tested the use of commonly applied featurization methods through the Mordred engine and MACCS fingerprints. The models built here show promise for HTVS of carbon capture amines for all of the featurization methods used. The use of the CCS fingerprint and Mordred descriptors showed the most accurate classification models for each class. The CCS fingerprint also showed the most balanced model in terms of predictive accuracy for each class. The MACCS keys showed consistently slightly poorer accuracy for each class but consistently showed closely balanced prediction accuracy for each class. We have explored the importance of the features and explanation of our models which highlight the importance of the nitrogen environment.

One of the biggest challenges to this work is relative lack of open available data in this field. This leads to small-data issues and limits the potential use of more complex modelling. We have used our own data for our HTVS models in this work. We will be publishing this data in due course. Opening data in machine readable formats (such as csv, json and HDF5 files for example) will enable computational scientist to better explore this area.

As policy shifts towards a net zero carbon world and carbon capture, usage and storage is deployed, the release of more data in the open literature related to these technologies will become more vital. This data can be enhanced with computation to help in the search for more efficient solvents, and carbon capture materials more generally, as we have demonstrated in this work. Further, the overlap of computational and experimental work is a powerful combination. Computation can rapidly screen and rank materials. Discovering more efficient materials for carbon capture is a goal that is required to avoid the more catastrophic effects of climate. Additionally, these tools can help to mitigate against potential future environmental threats from the use of carbon capture technology using predictive models for a wide range of properties. To mitigate the effects of climate change is likely to require great urgency in collaborating at scale across the world to accelerate the development and understanding of the most promising net zero technologies.

Author Contributions

James McDonagh: Conceptualization, Data curation, Formal analysis, Methodology, Software, Project administration, Supervision, Writing – original draft. *Stamatia Zavitsanou*: Data curation, Formal analysis, Methodology, Software, Writing – original draft, Writing – review editing. *Alexander Harrison*: Resources, Project administration, Software. *Dimitry Zubarev*: Formal analysis, Methodology, Software, Writing – original draft. *Flaviu Cipcigan*: Conceptualization, Project administration, Formal analysis, Methodology, Software, Writing – original draft, Writing – review editing.

Conflicts of interest

There are no conflicts to declare

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