Submitted to J. Phys. Chem. A on 23 June, 2022

# Together, not separately, OH and O<sub>3</sub> oxidize Hg<sup>(0)</sup> to Hg<sup>(II)</sup> in the atmosphere

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#### ABSTRACT

Mercury, a highly toxic metal, is emitted to the atmosphere mostly as gaseous  $Hg^{(0)}$ . Atmospheric  $Hg^{(0)}$  enters ecosystems largely through via uptake by vegetation, while  $Hg^{(II)}$  largely enters ecosystems in oceans and via rainfall. Consequently, the redox chemistry of atmospheric mercury strongly influences its fate and its global biogeochemical cycling. Here we report on the oxidation and reduction of  $Hg^{(I)}$  (BrHg and HOHg radicals) in reactions with ozone, and how the electronic structure of these  $Hg^{(I)}$  species affects the kinetics of these reactions. The oxidation reactions lead to XHgO• + O<sub>2</sub> (X=Br and OH), while the reduction reaction produces  $Hg^{(0)}$ , HOX, and O<sub>2</sub>. According to our calculations with CCSD(T), NEVPT2, and CAM-B3LYP-D3BJ, the kinetics of both oxidation reactions are very similar. These two oxidation reactions are much faster than their reduction counterparts, and this effect is remarkably stronger for the oxidation of HOHg<sup>(I)</sup> by ozone. Modeling of field data supports the idea that OH and/or O<sub>3</sub> (rather than Br) dominates  $Hg^{(II)}$  production in the continental boundary layer. Almost all models invoking OH- and ozone-initiated oxidation of  $Hg^{(0)}$  assume that these reactions directly produces  $Hg^{(II)}$ , despite the lack of plausible mechanism for these oxidation reactions. The present work helps reconcile modeling results with mechanistic insights.

### 1. INTRODUCTION

Mercury is well-known as one of the most toxic metals for vertebrates.<sup>1,2</sup> It can be released to the environment from both natural and anthropogenic sources,<sup>3,4</sup> and also from reemissions of previously deposited mercury.<sup>5,6</sup> Mercury is a global pollutant because of its ability to travel through the atmosphere far from emission sources.<sup>7,8</sup> Despite that the atmosphere only contain ~0.3% of the total environmental mercury,<sup>9</sup> it remains crucial for the mercury cycle because gas-phase mercury redox chemistry<sup>10-18</sup> greatly influences when and where mercury deposits to ecosystems (Figure 1).<sup>19-23</sup> Specifically, Hg<sup>(II)</sup> readily deposits to lakes and oceans, while Hg<sup>(0)</sup> is much more likely to be taken up in vegetation.<sup>6,9,23</sup>



**Figure 1.** Mercury cycle based on References 23 and 55. The deposition labeled as soil includes land and snow.

Once mercury is deposited, it can be bioaccumulated, biomagnified and transported in the form of organomercury, especially through the aquatic food chain, and ultimately have negative effects on human and animal health.<sup>24-30</sup> Therefore, mercury is a serious hazard that has been a major concern amongst environmental and scientists for decades. Despite great strides in our understanding of the mechanisms of mercury chemistry in the atmosphere, the lack of knowledge of the kinetics

prevents accurate prediction of mercury transport. In fact, recent advances in our understanding have led to situations in which models alternately yield far too much mercury oxidation or far too much reduction to be reconciled with observations. This limits the utility of atmospheric models in contributing to understanding biogeochemical cycling of mercury.<sup>6,11,31</sup>

Although some experiments suggest that  $Hg^{(0)}$  reacts with O<sub>3</sub> at an appreciable rate,<sup>32-35</sup> it has long been argued that the observed reaction rates do not correspond to those for a gas phase  $Hg^{(0)} + O_3$  reaction.<sup>4,36,37</sup> In contrast, oxidation of  $Hg^{(0)}$  to  $Hg^{(1)}$  is assumed to occur mainly by reaction with atomic Br (R1). This reaction with Br is assumed to globally dominate Hg oxidation in comparison with oxidation by other halogens, such as Cl and I,<sup>10,38</sup> given the higher concentration of Br and the strength of the bond of the radicals to  $Hg^{(0)}$ .<sup>39-45</sup> Several experiments have measured the rate constant for the oxidation of  $Hg^{(0)}$  initiated by Br,<sup>34,46,47,48</sup> which is a barrierless and exothermic reaction leading to  $BrHg^{(1)}$ .<sup>11,12,39,49</sup> Despite that  $BrHg^{(1)}$  can dissociate back to  $Hg^{(0)}$ , the oxidation reactions by addition of radicals (R2)<sup>12,18</sup> seem to be faster under atmospheric conditions.<sup>19</sup>,  $BrHg^{(1)}$  can also be reduced to  $Hg^{(0)}$  when radicals, R• (R• = NO, NO<sub>2</sub>, and Br), displace the Hg from the Br atom (R3).<sup>18,49,51,52</sup> This chemistry can be summarized by reactions R1-R3:

$$Hg^{(0)} + Br \rightarrow BrHg^{(I)}$$
 (R1)

$$BrHg^{(I)} + R \bullet \rightarrow BrHg^{(II)}R$$
 (R2)

$$BrHg^{(1)} + R \bullet \to Hg^{(0)} + BrR$$
(R3)

The OH radical can mimic Br in reaction with  $Hg^{(0)}$  to analogously produce  $HOHg^{(I)}$  (R4) in barrierless and exothermic reaction,<sup>37,53,54</sup> but its atmospheric relevance has been questioned because of the low stability of  $HOHg^{(I)}$ .<sup>12,19,56</sup>  $HOHg^{(I)}$ , like  $BrHg^{(I)}$ , can form stable  $Hg^{(II)}$  species by reacting with radicals such as NO<sub>2</sub> or HOO (R5),<sup>19</sup> but there is no evidence of displacement of Hg atom by radicals leading to reduction reactions (R6).

$$Hg^{(0)} + OH \rightarrow HOHg^{(I)}$$
 (R4)

$$HOHg^{(I)} + R \bullet \to HOHg^{(II)}R \tag{R5}$$

$$HOHg^{(1)} + R \bullet \to Hg^{(0)} + HOR$$
(R6)

When considering the fate of radicals, an atmospheric chemist will naturally consider reaction with ozone, on account of its abundance in the atmosphere and its propensity for reacting with radicals. Lam<sup>57</sup> was the first to propose the importance of ozone in oxidizing BrHg<sup>(I)</sup> to Hg<sup>(II)</sup>, via :

$$BrHg^{(I)} + O_3 \rightarrow BrHg^{(II)}O + O_2$$
(R7)

A global modeling effort by Saiz-Lopez et al.<sup>6</sup> included R7 in one of their model runs with a rate constant,  $k_7$ , of  $1.0 \times 10^{-10}$  cm<sup>3</sup> molec<sup>-1</sup> s<sup>-1</sup>. This rate constant was estimated based on the absence of a barrier to R7 in their density functional theory (DFT) results. Saiz-Lopez et al. did not consider the analogous reaction of HOHg<sup>(1)</sup>:

$$HOHg^{(I)} + O_3 \rightarrow HOHg^{(II)}O + O_2$$
(R8)

Shah et al. included R7 and R8 in the GEOS-Chem global model using  $k_7 = k_8 = 3.0 \times 10^{-11} \text{ cm}^3$  molec<sup>-1</sup> s<sup>-1</sup>.<sup>55</sup> Their value of  $k_7$  was supported by preliminary experimental work and computations (at DFT and CASPT2) showing that R7 was barrierless. The assumption of similar rate constants for reactions of HOHg<sup>(I)</sup> and BrHg<sup>(I)</sup> is supported by the similarity of the thermodynamics and activation barriers of their reactions and exothermicity of their barrierless reactions.<sup>19</sup> Very recently, Gomez Martin et al. carried out the first determination of the rate constant of R7 using laser-flash photolysis with laser-induced fluorescence:  $7.5 \pm 0.6 \times 10^{-11}$  cm<sup>3</sup> molec<sup>-1</sup> s<sup>-1</sup> (at 295 K).<sup>58</sup> They assumed the reaction proceeded via R7.

According Shah et al.,<sup>55</sup> ozone is the primary oxidant of Hg<sup>(I)</sup> to Hg<sup>(II)</sup>. Photolysis and thermal decomposition of BrHg<sup>(I)</sup> are much slower than its reaction with ozone, so the main fate of BrHg<sup>(I)</sup> is oxidation. A previous modeling study that did not include R8 had found that HOHg<sup>(I)</sup> mostly dissociated, and that OH-initiated oxidation of Hg<sup>(I)</sup> contributed <1% of global Hg<sup>(0)</sup> oxidation.<sup>19</sup> The inclusion of R8 (with  $k = 3.0 \times 10^{-11}$  cm<sup>3</sup> molec<sup>-1</sup> s<sup>-1</sup>) enabled OH-initiated oxidation to contribute one-third of the global Hg<sup>(II)</sup> production.<sup>55</sup> Only the reaction of HOHg<sup>(I)</sup> with O<sub>3</sub> is sufficiently fast to enable HOHg<sup>(I)</sup> to contribute significantly to global Hg<sup>(II)</sup> production.

In this study, we use computational chemistry to determine the kinetics of oxidation of  $HOHg^{(I)}$  by O<sub>3</sub> (R8) and compare the kinetics of R8 with the analogous reaction of  $BrHg^{(I)}$  (R7). The

comparison to R7 holds particular value in light of two facts: the recent experimental determination of  $k_7(295 \text{ K})^{58}$  and the complete absence of experimental data on gas-phase HOHg<sup>(I)</sup>. In addition, we also study the reduction of YHg<sup>(I)</sup> to Hg<sup>(0)</sup> by ozone:

$$BrHg^{(I)} + O_3 \rightarrow Hg^{(0)} + BrO + O_2$$
(R9)

$$HOHg^{(1)} + O_3 \rightarrow Hg^{(0)} + HOO + O_2$$
(R10)

including how the thermodynamics and kinetics are affected by the electronic structure of the two Hg<sup>(I)</sup> species.

The main objective of this work is to shed light about the role of O<sub>3</sub> in the redox chemistry of Hg in the atmosphere. Although there is no chemically or physically plausible mechanism for O<sub>3</sub> to directly oxidize Hg<sup>(0)</sup> to Hg<sup>(II)</sup>, the oxidation of HOHg<sup>(I)</sup> by O<sub>3</sub> to HOHg<sup>(II)</sup>O would enable OH-initiated oxidation to contribute significantly to GEM oxidation. In this manner, OH and O<sub>3</sub>, together, but not separately, could oxidize GEM to GOM. Demonstrating the feasibility of this mechanism for GEM oxidation would help resolve the discrepancy between modeling that requires OH and/or O<sub>3</sub> to explain observations of GOM<sup>59-62</sup> abundance at low [Br] (especially in the continental boundary layer) *versus* the prior study of Dibble et al. that provided strong physical arguments against this possibility.<sup>19</sup>

### **COMPUTATIONAL DETAILS**

Most the calculations presented in this article were carried out in ORCA program 5.0.1<sup>63</sup> and Gaussian 16<sup>64</sup> using a combination of Dunning's augmented correlation consistent triple-zeta basis sets: aug-cc-pVTZ<sup>65,66</sup> and aug-cc-pVTZ-PP<sup>67</sup> basis sets. The first one was used for oxygen and nitrogen atoms, while aug-cc-pVTZ-PP for the Br and Hg atoms.<sup>68,69</sup> The aug-cc-pVTZ-PP basis set was used in combination with the Stuttgart-Koln small-core multiconfiguration-Dirac-Hartree-Fock-adjusted (SK-MCDHF-RSC) effective core potentials (ECP) via energy-consistent pseudopotentials (PP) for the 60 innermost electrons of Hg (ECP60MDF) and the 10 innermost electrons of Br (ECP10MDF). This combination takes into account the relativistic effects on the electronic energies, while the orbitals out of the pseudopotential are described similar to the aug-cc-pVTZ basis set. This combination of basis sets is denoted below as AVTZ. The Frozen-Core approximation was applied to the first 8 and 18 explicitly treated electrons of Hg and Br,

respectively, in most of the Post-Hartree-Fock calculations. Preliminary calculations were carried out by optimizing at MP2,<sup>70</sup> B97D3,<sup>71,72</sup> BLYP<sup>73,74</sup> and CAS(7,6),<sup>75</sup> followed by single point energies at CASPT2,<sup>76,77</sup> NEVPT2,<sup>78,82</sup> AQMRCC,<sup>83</sup> CR-CC(2,3)<sup>84</sup> and MRCI+Q (Figures S1 to S5 in Supp. Information).<sup>85,86</sup>

In addition, for the reaction  $HOHg^{(I)} + O_3$  we have performed a 3D potential surface scan at CASPT2 level in MOLCAS.<sup>87</sup> Scalar relativistic DK2<sup>88,89</sup>-CASPT2/ANO-RCC-VDZP<sup>90,91</sup> computations utilized 13 electrons in 11 orbitals (Figure S6 in Supp. Information) that cover both the O-O bond breaking and Hg-O bond formation. We mapped the PES with respect to two coordinates:  $d_{HgO}$  (Hg-O<sub>3</sub> distance) – bond formation during the terminal oxygen migration from O<sub>3</sub> to HOHg and  $d_{OO}$  (O-O<sub>2</sub> distance) – bond breaking between terminal and central oxygen in O<sub>3</sub> (see Figure S7 in Supp. Information). These exploratory calculations served as a guide in the subsequent detailed study of the HOHg<sup>(I)</sup> + O<sub>3</sub> reaction profiles.

To study the energy profiles for such reactions it is necessary to have a good description of the intermolecular interactions at long distances. Dispersion corrected and/or long-range corrected functionals provide good accuracy at longer distances at low computational cost. Therefore, we have selected CAM-B3LYP<sup>92,93</sup> as our main functional, in combination with the D3BJ<sup>94,95</sup> empirical dispersion correction. Once the reactants and products were fully optimized at CAM-B3LYP-D3BJ, we used the same functional to study the topography of the potential energy surfaces (PES) of the ground state along the reaction coordinates by relaxed scans along the distances between a terminal oxygen atom of O<sub>3</sub> and the Br, Hg or HO of BrHg<sup>(1)</sup> and HOHg<sup>(1)</sup>,  $d_{\text{HgO}}$  (Hg-O<sub>3</sub> distances) were scanned, but for the reduction reactions, the  $d_{\text{BrO}}$  (Br-O<sub>3</sub> distance) and  $d_{\text{HOO}}$  (HO-O<sub>3</sub> distance) were scanned, respectively. To study the stability of exit-channel complexes (YHg<sup>(II)</sup>O--O<sub>2</sub>), we scanned  $d_{\text{OO}}$  (O-O<sub>2</sub> distance) starting from the geometries of the exit-channel complexes.

The scans were carried out after obtaining the most stable wavefunctions within the unrestricted approach. Then, we used the correlated coupled cluster singles and doubles with perturbative triples  $(CCSD(T))^{96,97}$  method to obtain energies at points along scans. In addition, strongly contracted *N*-electron valence state second-order perturbation theory  $(SC-NEVPT2)^{78-82}$  was used to take into account the dynamic electron correlation. The complete active space  $(CAS)^{75}$  SCF method was used to generate the reference wavefunctions as a full configuration interaction

expansion in the selected active spaces. Figure 2 illustrates the active spaces for the reactants. The active space to study the energy profiles for the reactions between  $BrHg^{(I)}$  and  $O_3$  is CAS(19,14). Following the same reasoning, the active spaces for the reactions between  $HOHg^{(I)}$  and  $O_3$  are CAS(17,13). The configuration spaces for  $YHg^{(I)} + O_3$  are formed by 168,168 and 87,802 configurations for Y = Br and OH, respectively.



Active Space BrHg: CAS(7,5)		
SOMO $s_{Ha} - p_{Ba}$	$\sigma^*$	
		$s_{Hg} + p_{Br}$





**Figure 2**. Molecular orbitals of BrHg<sup>(I)</sup>, HOHg<sup>(I)</sup> and O<sub>3</sub> included in the active spaces used for the reference wavefunctions.

In order to get zero-point energy (ZPE) and Gibbs free Energies corrections ( $G_{corr}(T)$ ), frequency calculations were performed at CAM-B3LYP-D3BJ level at each point along the scans. Then, the free energy (G) along each point of the paths were calculated by adding  $G_{corr}(T)$  to the energy ( $E_{elec}$ ) from CCSD(T) and NEVPT2. This was used to determine the position of the variational TS at temperatures of 200, 220, 240, 260, 280, 298.15, 300, 320, 340, and 360 K. The minimum value of the canonical transition state theory (CTST) rate constant,  $k_{CTST}(T)$ , along the path corresponds to the variational TST rate constant,  $k_{VTST}(T)$ . The relative energies, vibrational frequencies, and rotational constants from these points were used as input for the thermo program (from the MultiWell Program Suite<sup>98-100</sup>) to compute the rate constants at each temperature with CTST.

#### **RESULTS AND DISCUSSION**

According to the CCSD(T) and CASSCF calculations we previously reported, the BrHg<sup>(I)</sup> radical (doublet) possesses a delocalized unpaired electron that distributes comparable spin-density on the Br atom as on the Hg atoms (Figure 3). This explains why BrHg<sup>(I)</sup> can react with radicals, R•, such as Br, NO, NO<sub>2</sub>, without a barrier to form Hg<sup>(0)</sup> + BrR (R3).<sup>18,49,51</sup> It seems that the products of BrHg<sup>(I)</sup> reactions should strongly depend on the orientation of the molecular collisions with other radicals or species such as O<sub>3</sub>. If the collision occurs between Hg atom and another radical center, the reaction can readily form Hg<sup>(II)</sup>; however, when the collision rather involves the Br atom, the reduction reaction to Hg<sup>(0)</sup> takes place. In contrast to BrHg<sup>(I)</sup>, the spin-density of HOHg<sup>(I)</sup> is mostly localized on the Hg atom (Figure 3). Therefore, we hypothesized that, unlike BrHg<sup>(I)</sup> which leads to mercury oxidation or reduction by reacting with radicals or O<sub>3</sub>, HOHg<sup>(I)</sup> will react with radicals almost exclusively by oxidation pathways toward Hg<sup>(II)</sup>.



**Figure 3.** Spin-density based on Mulliken population analysis using linearized density from CCSD(T). The spin-densities were confirmed by the Orbital-Optimized Coupled-Cluster Doubles (OOCCD) method.

## Overview of the Oxidation of YHg<sup>(I)</sup>

Given the symmetry of the O<sub>3</sub>, the oxidation reaction of YHg<sup>(I)</sup> can be triggered by two equivalent barrierless pathways characterized by the collision of any of the two end-oxygens of the O<sub>3</sub> with the Hg atom of YHg<sup>(I)</sup>. At long distances, the orientation of O<sub>3</sub> is not relevant, but as the two molecules get closer, the preferred orientation for Hg oxidation creates a bonding interaction from the overlap of the portion of the SOMO of YHg<sup>(I)</sup> located on Hg with the  $\pi$  system of O<sub>3</sub> (Figure 2). The fully-formed bond yields to the Hg<sup>(II)</sup> species YHgOOO which acquires a planar structure that is the global energy minimum of the PES. The other equivalent reaction pathway also has a minimum, which is nearby, creating a double well region whose TS is easily accessible. Each minimum of the double well is an exit-channel complex, which can dissociate to YHg<sup>(II)</sup>O and O<sub>2</sub> by breaking the weak YHg<sup>(II)</sup>O-O<sub>2</sub> bond (analogous to HO<sub>3</sub>).<sup>101,102</sup>

## Oxidation of BrHg<sup>(I)</sup> by O<sub>3</sub>

Relaxed scans were performed at CASSCF level; however, the topography of the PES was characterized by a barrier imposed by a transition state (TS) formed by an avoided crossing between the ground and an excited state. This barrier disappears as dynamic electron correlation is added (Figure S1 in Supp. Information). Given that the calculations of k(T) depend on the normal modes along the reaction path, the CASSCF frequencies do not properly represent the shape of the PES. Since numerical NEVPT2 optimizations are computationally prohibited, we adopted the strategy of combining DFT values of  $G_{corr}(T)$  with NEVPT2 electronic energies in order to locate the variational TS. The energy profiles at CAM-B3LYP-D3BJ and BLYP level show a barrierless potential energy surface along the reaction coordinate, whose minimum is a final complex (FC) that is expected to be vibrationally excited and should rapidly dissociate to BrHg<sup>(II)</sup>O and O<sub>2</sub> (Figure S2 in Supp. Information). The topography of the PES along  $d_{HgO}$  matches very well at NEVPT2, CAM-B3LYP-D3BJ,  $\omega$ B97X-D3BJ and CCSD(T) level. On the other hand, the PES obtained at BLYP and B97D3 are similar to each other, however, unlike the other methods used, these functionals show a much wider "parabola" around the minimum, leading to significant interaction at large inter-reactant distances (green line in Figure S2 in Supp. Information). Since CAM-B3LYP-D3BJ yielded a potential energy profile that most resembled the CCSD(T) and NEVPT2 profiles, we report results based on scan points and vibrational frequencies obtained at CAM-B3LYP-D3BJ level.

Despite that the T1 diagnostic shows an important multiconfigurational character (maximum of 0.039) along the whole reaction path (Figure S3 in Supp. Information), the topography of the PES match very well with NEVPT2 and CAM-B3LYP-D3BJ, with the exception of one point with  $d_{HgO} = 2.742$  Å. Luckily, this inconsistency does not affect the calculation of the reaction rate constant. The reaction coordinate is mostly defined by the  $d_{HgO}$  and  $d_{OO}$  distances. The  $d_{HgO}$  dominates the reaction that forms the final complex (BrHg<sup>(II)</sup>O--O<sub>2</sub>) from BrHg<sup>(I)</sup> and O<sub>3</sub>. The  $d_{OO}$  distance dominates the formation of separate products (BrHg<sup>(II)</sup>O and O<sub>2</sub>) from BrHg<sup>(II)</sup>O--O<sub>2</sub>. This complex is 48.8 and 42.7 kcal mol<sup>-1</sup> lower than reactants according to the ZPE-corrected CCSD(T) and NEVPT2 energies, respectively. Since BrHgOOO is planar, the two equivalent approaches of ozone to the Hg atom lead to superimposable mirror image structures of BrHgOOO, that is, one and the same molecule. The TS separating the two equivalent minima belong to C<sub>2v</sub> point group (TS<sub>min-min</sub>) and it is only 2.4 kcal mol<sup>-1</sup> higher that the exit-channel complexes at NEVPT2 (Figure 4).

The maximum energy along the Gibbs free energy profiles increase as the temperature increases. Figure 4 shows  $\Delta G$  from 200 to 360 K in intervals of 40 K, and the free energy barriers ( $\Delta G^{\ddagger}$ ) goes from 4.0 to 9.0 kcal mol<sup>-1</sup> at CCSD(T) level as the temperature increases from 200 to 360 K. The range of barriers obtained with CAM-B3LYP-D3BJ is 4.2 – 9.1 kcal mol<sup>-1</sup> which match very well with CCSD(T), however, NEVPT2 show higher barriers ranging from 5.2 to 10.1 kcal mol<sup>-1</sup>. The variational TS is displaced to slightly shorter  $d_{HgO}$  when the temperature increases (Figure 5).



**Figure 4.** Variational TS,  $TS_{min-min}$  and FC geometries for R7 (BrHg<sup>(I)</sup> + O<sub>3</sub>  $\rightarrow$  BrHg<sup>(II)</sup>O + O<sub>2</sub>). Energies (in kcal mol<sup>-1</sup>) at CCSD(T), NEVPT2, and CAM-B3LYP-D3BJ.



**Figure 5.** Energy profiles (kcal mol<sup>-1</sup>) including ZPE along the  $d_{\text{HgO}}$  distance. Energies have been calculated using NEVPT2, CCSD(T) and CAM-B3LYP-D3BJ, taking as a reference the reactants optimized at CAM-B3LYP-D3BJ and separated at infinite distance, excepting the NEVPT2 profile that took as a reference energy a  $d_{\text{HgO}} = 4.20$  Å.  $G(T) = E_{\text{elec}}^{\text{High-Level}} + G_{\text{corr}}^{\text{DFT}}(T)$ ;  $E = E_{\text{elec}}^{\text{High-Level}} + ZPE^{\text{DFT}}$ .

Three sets of temperature dependent rate constants were calculated for the oxidation of  $BrHg^{(I)}$  by O<sub>3</sub> (R7) in MultiWell (Table S1): the first set was obtained by using as input the rotational constants, vibrational frequencies and thermochemistry for reactants calculated at CCSD(T), and the rotational constants and vibrational frequencies of the variational TS calculated at CAM-B3LYP-D3BJ level. The second set of rate constants uses the same data as the first one, but replacing the relative energies by the ones obtained at NEVPT2. The third set has been calculated

only using data from CAM-B3LYP-D3BJ. Our CAM-B3LYP-D3BJ and CCSD(T) rate constants at 298 K (6.5 and  $8.7 \times 10^{-11}$  cm<sup>3</sup> molec<sup>-1</sup> s<sup>-1</sup>) bracket the experimental value of  $7.5 \pm 0.6 \times 10^{-11}$  cm<sup>3</sup> molec<sup>-1</sup> s<sup>-1</sup> (at 295 K).<sup>58</sup> The rate constants computed at CAM-B3LYP-D3BJ and CCSD(T) are nearly temperature independent (Figure 6). They vary by only ~20% over the range 200 K  $\leq$  T  $\leq$  360 K. The NEVPT2 rate constant at 298 K is a factor of 14 lower than the experimental value, and increases monotonically with increasing temperature by a factor of 2.4 over the same temperature range.



**Figure 6.** Variational TST rate constant  $k_7(T)$  (× 10<sup>-11</sup> cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup>) for BrHg<sup>(I)</sup> oxidation as a function of the temperature according to CCSD(T), NEVPT2 and CAM-B3LYP-D3BJ energies.

Once the system overcomes the free energy barrier, the FC is formed (Figure 5). Unlike the variational TSs, this exit-channel complex is planar and it is 6.69, 6.81 and 4.64 kcal mol<sup>-1</sup> lower than the final products (BrHgO and O<sub>2</sub>) when calculated at CCSD(T), NEVPT2 and CAM-B3LYP-D3BJ, respectively. The FC is characterized has a weak O-O bond ( $d_{OO} = 1.409$  Å) that is much shorter than that in HOOH (1.475 Å)<sup>103</sup> or the HO-OO bond distance (1.688 Å).<sup>104</sup> According to CAM-B3LYP-D3BJ, the cleavage of the O-O bond takes place through a TS that is 8.9 kcal mol<sup>-1</sup> higher than FC. This barrier should be easily overcome by the 48 kcal mol<sup>-1</sup> of kinetic energy deposited into BrHgOOO by the reaction. Note that calculations on HO--O<sub>2</sub> bond cleavage were beset by artificial barriers above the bond energy.<sup>101,102,105</sup>

## Oxidation of HOHg by O<sub>3</sub>

The 3D scan of potential energy surface calculated at the DK-CASPT2/ANO-RCC-VDZP level is displayed in Figure 7. It indicates that there is either very small or no barrier for the oxygen migration from  $O_3$  to  $HOHg^{(I)}$ . At the entry channel, the geometry of ozone changes only very slightly (R(O4-O5) being almost constant), after reaching R(Hg-O4) value around 2.5-2.6 Å, one can observe a quick migration of O4 towards  $HOHg^{(I)}$ .



**Figure 7**. 3D scan of the PES in the close vicinity of the oxygen migration from O<sub>3</sub> to HOHg<sup>(I)</sup> at DK-CASPT2/ANO-RCC-VDZP level.

According to our calculations, NEVPT2, CAM-B3LYP-D3BJ,  $\omega$ B97X-D3BJ, and BLYP energy profiles follow the same behavior as they do the oxidation of BrHg<sup>(I)</sup> (Figure S4 in Supp. Information). Therefore, we adopted the same methodology explained above. The FC is 52.1 and 38.8 kcal mol<sup>-1</sup> lower than reactants at CCSD(T) and NEVPT2, respectively. This exit-channel complex, HOHg<sup>(II)</sup>O-O<sub>2</sub>, is formed following a barrierless ZPE-corrected energy profile, and after overcoming a barrier in the Gibbs free energy. Figure 8 displays the geometries of the variational TSs, the TS<sub>min-min</sub> and the FC. The Gibbs free energy barriers ( $\Delta G^{\ddagger}$ ) range from 4.5 to 9.4 and 5.8 to 11.5 kcal mol<sup>-1</sup> at CCSD(T) and NEVPT2 level, respectively (Figure 9). These free energy barriers are very similar to the ones obtained in the oxidation of BrHg<sup>(I)</sup>. The calculated rate constants for R8 using MultiWell, are shown in Table S2 and Figure 10.



**Figure 8.** Variational TS, TS<sub>min-min</sub> and FC geometries for R8. Energies (in kcal mol<sup>-1</sup>) at CCSD(T), NEVPT2, and CAM-B3LYP-D3BJ.



**Figure 9.** Energy profiles (kcal mol<sup>-1</sup>) along the  $d_{\text{HgO}}$  distance for HOHg<sup>(I)</sup> oxidation. Energies have been calculated at High-Level using NEVPT2 and CCSD(T), taking as a reference the reactants separated at infinite distance and optimized at CAM-B3LYP-D3BJ.  $G = E_{\text{elec}}^{\text{High-Level}} + G_{\text{corr}}^{\text{DFT}}$ ;  $E = E_{\text{elec}}^{\text{High-Level}} + \text{ZPE}^{\text{DFT}}$ .



**Figure 10.** Logarithm of the variational TST rate constant  $k_8(T)$  (cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup>) for HOHg<sup>(I)</sup> oxidation as a function of the temperature according to CCSD(T), NEVPT2 and CAM-B3LYP-D3BJ energies.

Three sets of temperature dependent rate constants were calculated for R8 such as explained for R7. According to CAM-B3LYP-D3BJ, the rate constant for the oxidation of HOHg<sup>(I)</sup> with O<sub>3</sub> is three times faster than that for BrHg<sup>(I)</sup> oxidation at 298 K, but the CCSD(T) rate constant for HOHg<sup>(I)</sup> is about two times slower than the corresponding rate constant for BrHg<sup>(I)</sup>. NEVPT2 gives rate constants about 200 times lower than the CCSD(T) rate constant, a much greater difference that was observed for BrHg<sup>(I)</sup> (factor of 14 error). Given the excellent performance of CCSD(T) and CAM-B3LYP-D3BJ for BrHg<sup>(I)</sup> oxidation by O<sub>3</sub>, and their relatively good agreement for HOHg<sup>(I)</sup> oxidation by O<sub>3</sub>, we conclude that NEVPT2 is failing for HOHg<sup>(I)</sup> oxidation by O<sub>3</sub>. We further conclude the rate constants for oxidation of BrHg<sup>(I)</sup> and HOHg<sup>(I)</sup> by ozone possess fairly similar rate constants, and that the rate constant for HOHg<sup>(I)</sup> is likely closer to the experimental value for the analogous reaction of BrHg<sup>(I)</sup> than the value of  $3.0 \times 10^{-11}$  cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup> used in a recent modeling paper.<sup>55</sup>

The lowest energy species on the PES is the exit-channel complex HOHgOOO. This radical has two equivalent isomers analogously to BrHg<sup>(I)</sup>, which are separated by a TS<sub>min-min</sub> that imposes a barrier of 3.7 kcal mol<sup>-1</sup> at CAM-B3LYP-D3BJ (3.4 kcal mol<sup>-1</sup> at NEVPT2). Separated products are 5.1 and 0.3 kcal mol<sup>-1</sup> higher than the HOHgOOO exit-channel complexes at CCSD(T) and CAM-B3LYP-D3BJ, respectively. According to CAM-B3LYP-D3BJ, there is a barrier of 8.11 kcal mol<sup>-1</sup> from the exit-channel to the final products. As with BrHgOOO, the chemically activated HOHgOOO will probably undergo prompt dissociation to separated reactants.

### Reduction of BrHg by O<sub>3</sub>

The reduction reaction is characterized by a reaction pathway involving a TS with  $d_{BrO} = 2.328$  Å, weakened Br-Hg and O-O<sub>2</sub> bonds, whose  $d_{BrHg}$  and  $d_{OO}$  are 2.613 and 1.323 Å. The TS's imaginary frequency is -181 cm<sup>-1</sup> and the displacement vector runs almost parallel to the axis formed by Br-O bond (Figure S8 in Supp. Information). The barrier imposed by the TS along the ZPE-corrected energy profile are 2.6 and 8.0 kcal mol<sup>-1</sup> at CCSD(T) and NEVPT2, respectively, but barrierless at CAM-B3LYP-D3BJ and BLYP levels. At CAM-B3LYP-D3BJ level, the energy decreases 1.43 kcal mol<sup>-1</sup> with respect to reactants separated at infinite distance, then the energy rises to the TS, which is -0.04 kcal mol<sup>-1</sup>. This TS appears at CAM-B3LYP-D3BJ but not at BLYP level (Figure 11).



**Figure 11.** Energy profile obtained by relaxed scans at CAM-B3LYP-D3BJ and BLYP along the  $d_{BrO}$  for BrHg<sup>(I)</sup> reduction. CCSD(T) and NEVPT2 single points were carried out on the CAM-B3LYP-D3BJ geometries.

Once the barrier is overcome, an exit-channel complex (FC) is formed, which might be described as O<sub>2</sub>—OBr—Hg, given that it is characterized by weak interactions between BrO, O<sub>2</sub> and Hg. This FC lies 26.1 and 16.0 kcal mol<sup>-1</sup> lower than the reactants according to our CCSD(T) and NEVPT2 calculations, respectively. The Br-Hg bond is fully dissociated ( $d_{BrHg} = 3.5$  Å vs 2.5 Å in BrHg<sup>(I)</sup>) and the oxygen molecule in the FC remains over 3.6 Å from the BrO and Hg (Figure 12).



**Figure 12.** Variational TS and FC geometries for R9. Energies (in kcal mol<sup>-1</sup>) at CCSD(T), NEVPT2, and CAM-B3LYP-D3BJ.

The Gibbs free energy profiles show maxima imposing barriers going from 8.7 to 14.3 kcal mol<sup>-1</sup> at CCSD(T) (7.7 to 19.7 kcal mol<sup>-1</sup> at NEVPT2 level) as the temperature increases from 200 to 360 K (Figure 13). The lower barriers were obtained with CAM-B3LYP-D3BJ, which are 6.0 and 11.6 kcal mol<sup>-1</sup> at 200 K and 360 K, respectively. The predictions of the rate constants using MultiWell significantly differ from one method to the other, and they are shown in Table S3 (in Supp. Information) and Figure 14. Despite the large variation in computed rate constants for R9, all levels of theory predict that oxidation is much faster than reduction at all temperatures: by factors of about 10<sup>3</sup>, 10<sup>5</sup>, and 10<sup>8</sup> at 298 K for CAM-B3LYP-D3BJ, CCSD(T), and NEVPT2, respectively.



**Figure 13.** Energy profiles (kcal mol<sup>-1</sup>) along the  $d_{BrO}$  distance for BrHg<sup>(I)</sup> reduction. Energies have been calculated at High-Level using NEVPT2 and CCSD(T), taking as a reference the reactants separated at infinite distance and optimized at CAM-B3LYP-D3BJ.  $G = E_{elec}^{High-Level} + G_{corr}^{DFT}$ ;  $E = E_{elec}^{High-Level} + ZPE^{DFT}$ .



**Figure 14.** Logarithm of the variational TST rate constant  $k_9(T)$  (cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup>) as a function of the temperature according to CCSD(T), NEVPT2 and CAM-B3LYP-D3BJ energies.

The energy of the products separated at infinite distance is 2.0 and 2.4 kcal mol<sup>-1</sup> higher than the exit-channel complex at CCSD(T) and CAM-B3LYP-D3BJ level, respectively. As with the final complexes from oxidation, we expect this complex to dissociate promptly; however, there is no reason to expect a saddle point for dissociation of this complex, give the large intermolecular distances shown in Figure 11.

## Reduction of HOHg by O<sub>3</sub>

The reduction reaction for HOHg<sup>(I)</sup> is analogue to the BrHg<sup>(I)</sup> one, thus, the TS along this reaction pathway has  $d_{HOO} = 1.847$  Å and also weakened HO-Hg and O-O<sub>2</sub> bonds, with  $d_{HOHg}$  and  $d_{OO}$  equal to 2.319 and 1.333 Å, respectively (Figure 15). The TS's imaginary frequency is 508 cm<sup>-1</sup> and the displacement vector is mostly composed by  $d_{HOO}$  (Figure S8 in Supp. Information). The calculated barriers imposed by the TS along the ZPE-corrected energy profiles are 11.5, 5.7 and 3.6 kcal mol<sup>-1</sup> at NEVPT2, CCSD(T) and CAM-B3LYP-D3BJ, respectively. When the BLYP functional is used, the energy profile is barrierless (Figure 16).



**Figure 15.** Variational TS and FC geometries for R9. Energies (in kcal mol<sup>-1</sup>) at CCSD(T), NEVPT2, and CAM-B3LYP-D3BJ.



**Figure 16.** Energy profile obtained by relaxed scans at CAM-B3LYP-D3BJ and BLYP along the  $d_{\text{HOO}}$  for  $\text{HOHg}^{(I)}$  reduction. CCSD(T) and NEVPT2 single points were carried out on the CAM-B3LYP-D3BJ geometries.



**Figure 17.** Energy profiles (kcal mol<sup>-1</sup>) along the  $d_{\text{HOO}}$  distance for HOHg<sup>(I)</sup> reduction. Energies have been calculated at High-Level using NEVPT2 and CCSD(T), taking as a reference the reactants separated at infinite distance and optimized at CAM-B3LYP-D3BJ.  $G = E_{\text{elec}}^{\text{High-Level}} + G_{\text{corr}}^{\text{DFT}}$ ;  $E = E_{\text{elec}}^{\text{High-Level}} + \text{ZPE}^{\text{DFT}}$ .

The Gibbs free energy profiles at 298 K show maxima imposing barriers of 15.3, 21.3 and 13.3 kcal mol<sup>-1</sup> at CCSD(T), NEVPT2 and CAM-B3LYP-D3BJ level, respectively. The calculated rate constants are shown in Table S4 and Figure 18, and are at least a factor of one million lower than the rate constants for oxidation at all temperatures for each level of theory. The NEVPT2 and CCSD(T) rate constants at 298 K are lower than the CAM-B3LYP-D3BJ rate constant by factors of about 10<sup>6</sup> and 30, respectively. These discrepancies between levels of theory for this reduction reaction are a factor of ten larger than those we observed for BrHg<sup>(I)</sup> reduction.



**Figure 18.** Logarithm of the variational TST rate constant  $k_{10}(T)$  (cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup>) as a function of the temperature according to CCSD(T), NEVPT2 and CAM-B3LYP-D3BJ energies.

Once the barrier is overcome, an exit-channel complex is formed, which is  $\sim 30$  kcal mol<sup>-1</sup> lower than the reactants. This final complex is formed by weak interactions between HOO, O<sub>2</sub> and Hg (Figure 14).

Oddly, the products are 7.5 and 7.7 kcal mol<sup>-1</sup> lower than the exit-channel complexes at CCSD(T) and CAM-B3LYP-D3BJ level, respectively. As with all the other final complexes, we expect this complex to dissociate promptly; like the O<sub>2</sub>-BrO-Hg complex, we expect this complex to dissociate without a barrier.

#### **Atmospheric Implications**

This work confirms our preliminary results<sup>55</sup> indicating that  $HOHg^{(I)}$  and  $BrHg^{(I)}$  react with ozone with high rate constants to produce radical forms of  $Hg^{(II)}$ :  $HOHg^{(II)}O$  and  $BrHg^{(II)}O$ , respectively. Our CCSD(T) and CAM-B3LYP-D3BJ values of  $k_7$  at 298 K fall 13% above and 12% below, respectively, the recently obtained experimental value,<sup>58</sup> providing strong support for the validity of our computational approach. We predict a minimal temperature-dependence of this rate constant, which supports the reasonableness of the assumption of temperature independence made in two a recent modeling studies.<sup>6,55</sup>

The ratio of our CAM-B3LYP-D3BJ to CCSD(T) rate constants for HOHg<sup>(I)</sup> + O<sub>3</sub>  $\rightarrow$  HOHg<sup>(II)</sup>O + O<sub>2</sub> (R8) ranges from 4.7 to 6.1, but they nicely bracket the experimentally observed rate constant for BrHg<sup>(I)</sup> + O<sub>3</sub>. Consequently, the previous assumption, that  $k_8$  equals  $k_7$ , appears reasonable.<sup>55</sup> This paper presents the first study of the reduction channels in the reactions of YHg<sup>(I)</sup> + O<sub>3</sub> (R9 and R10). Although the computed rate constants for these mercury reduction reactions depend more heavily on the computational approach than do  $k_8$  and  $k_7$ , it is clear than the reduction reaction is utterly unimportant for HOHg<sup>(I)</sup>, and very probably negligible for BrHg<sup>(I)</sup>. If the rate constant,  $k_9$ , for reduction for BrHg<sup>(I)</sup> were to be non-negligible, it would interfere with the analysis of experimental data used by Gomez Martin et al. to determine  $k_7$ .<sup>58</sup>

The inclusion of R8 in a model of global mercury redox chemistry enormously increased the importance of OH-initiation oxidation of  $Hg^{(0)}$ to  $Hg^{(II)}$ , to the extent that ~40% of HOHg<sup>(I)</sup> was converted to HOHg<sup>(II)</sup>O.<sup>55</sup> Because BrHg<sup>(I)</sup> is more stable than HOHg<sup>(I)</sup>, close to 100% of it is converted to BrHg<sup>(II)</sup>O. Consider then, what would result if one repeated that modeling but using

the experimental value of  $k_7$ , which is 2.4x higher than what the value that had been used. The extent (in Gg a<sup>-1</sup>) of Br-initiated oxidation could scarcely increase, while the extent of OH-initiated oxidation would increase significantly. Given that the original study found that OH-initiation accounted for about 1/3 of total oxidation of Hg<sup>(0)</sup> to Hg<sup>(II)</sup>, a revised study would likely find a much larger role for OH.

Since 2006,<sup>13</sup> modelers have been largely divided as to which of two competing mechanisms they used to account for GEM oxidation. Many modelers assume OH and O<sub>3</sub> can oxidize GEM to GOM in one step. Others have used the two-step model of Br-initiated oxidation put forth by Goodsite et al.<sup>12</sup> and first included in modeling by Holmes et al.<sup>13</sup> Subsequent comparisons of modeling results to field data made it hard to give up OH and/or O<sub>3</sub> as an oxidant in continental regions.<sup>59-62</sup> The present work shows that OH and O<sub>3</sub>, together, not separately, can account for significant conversion of GEM to GOM.

#### **CONCLUSIONS**

The oxidation of  $YHg^{(I)}$  is an obvious reaction for an atmospheric chemist to consider, as it a member of the large class of radical  $(R \cdot)$  + ozone reactions:

$$\mathbf{R}\bullet + \mathbf{O}_3 \to \mathbf{R}\mathbf{O} + \mathbf{O}_2 \tag{R11}$$

Reaction of  $BrHg^{(I)}$  and  $HOHg^{(I)}$  been invoked in two modeling papers using estimated rate constants, and the rate constant for  $BrHg^{(I)} + O_3$  was recently determined experimentally at room temperature. The reduction of  $Hg^{(I)}$  to  $Hg^{(0)}$  by reaction with ozone parallels the reduction of  $BrHg^{(I)}$  in reactions with radicals (R2), reported from experiments<sup>51,52</sup> and computations.<sup>49,51,108,109</sup> This reduction of  $HOHg^{(I)}$  by ozone proceeds about a million times slower than oxidation, whereas for  $BrHg^{(I)}$  reduction is computed to be as much as 0.1% as fast as oxidation. The relatively greater feasibility of reduction for  $BrHg^{(I)}$  than for  $HOHg^{(I)}$  arises because both atoms of  $BrHg^{(I)}$  possess comparable spin density, while the vast majority of the spin density of  $HOHg^{(I)}$  resides on the Hg atom.<sup>51</sup>



Scheme 1. Major reactions in redox cycling of  $Hg^{(0)}$  in the gaseous atmosphere initiated by Y• = OH or Br.  $Hg(OH)_2$  is not photolyzed in the troposphere.<sup>110</sup>

Scheme 1 provides an overview of the most important gas-phase reactions involved in mercury redox chemistry in the atmosphere. It illustrates the two-step mechanism for oxidation of  $Hg^{(0)}$  to  $Hg^{(II)}$ , with ozone being the dominant species oxidizing  $Hg^{(I)}$  intermediates. The rate constant for reduction of  $BrHg^{(II)}O$  by CO is wildly uncertain,<sup>111</sup> and rate constants for reactions of  $BrHg^{(II)}O$  + RH to form  $BrHg^{(II)}OH$  have only been computed for  $RH = CH_4$ ,  $C_2H_6$ , and  $H_2C=O$ ;<sup>19</sup> we are drafting a manuscript showing that  $HOHg^{(II)}O$  chemistry mimics  $BrHg^{(II)}O$  chemistry. We are beginning to explore the reactions of  $BrHg^{(II)}O$  and  $HOHg^{(II)}O$  with ozone. Quantum yields of competing photolysis pathways of Br-containing  $Hg^{(II)}$  species have only been computed in a single study at a single wavelength.<sup>112</sup> No experimental data exists for  $HOHg^{(II)}O$ , whatsoever, or for HOHg in the gas phase. Clearly, scientists must carry out more investigations of the physical chemistry of mercury before atmospheric modeling can provide reliable constraints on the global biogeochemical cycling of mercury.

#### ASSOCIATED CONTENT

#### **Supporting Information**

The Supporting Information is available free of charge.

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#### Notes

The authors declare no competing financial interest.

## ACKNOWLEDGEMENTS

This material is based upon work supported by the National Science Foundation under grant number 2004100 from the Chemistry Division of the U.S. National Science Foundation. IC and VK thank the Slovak Research and Development Agency for the support under the contract APVV-20-0127 and the high-performance computing facility of the Centre for Information Technology (https://uniba.sk/en/HPC-Clara) at Comenius University.

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