# Encapsulation of Size-Selected Ru<sub>3</sub> Clusters into RF-Deposited TiO<sub>2</sub>

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# Abstract

In this study Ru<sub>3</sub> clusters are deposited onto radio frequency-sputter deposited TiO<sub>2</sub> (RF-TiO<sub>2</sub>) substrates by both solution submersion and chemical vapor deposition (CVD) of Ru<sub>3</sub>(CO)<sub>12</sub>, as well as cluster source depositions of bare Ru<sub>3</sub>. TiO<sub>2</sub>(100) and SiO<sub>2</sub> are used as comparison surfaces with differing cluster-surface interactions. Temperature-dependent X-ray photoelectron spectroscopy (XPS), angle-resolved XPS, and temperature-dependent low energy ion scattering (TD-LEIS) are used to probe how the cluster-surface interaction changes due to heat treatment. Results show that bare Ru<sub>3</sub> supported on SiO<sub>2</sub> remain on the surface layer but agglomerates upon heating. Conversely, when supported on sputter-treated RF-TiO<sub>2</sub>, bare Ru<sub>3</sub> is encapsulated by a layer of titania substrate material asdeposited. Ligated Ru<sub>3</sub>(CO)<sub>12</sub> is also covered by a layer of the ligands. TD-LEIS is used to directly measure the encapsulation of CVD Ru<sub>3</sub>(CO)<sub>12</sub> clusters on sputter-treated RF-TiO<sub>2</sub> and determine the substrate overlayer thickness. The overlayer was found to be 1-2 monolayers, which is thin enough for catalytic or photocatalytic reactions to occur without Ru being present in the outermost layer.

#### Introduction

Small metal clusters are often defined as groups of bound metal atoms with approximately <300 atoms [1-6]. Their electronic and catalytic properties depend on the number of atoms forming the cluster [7]. Ru clusters are of particular interest as they are among the most efficient catalysts for reactions such as CO and CO<sub>2</sub> hydrogenation [8-17]. Two commonly utilised approaches for depositing Ru clusters onto substrates are firstly depositing gas-phase size-selected, bare clusters using a cluster source (CS), and secondly depositing ligand-stabilised clusters such as Ru<sub>3</sub>(CO)<sub>12</sub>. The latter can be deposited onto surfaces in two different ways: solution deposition (here shortened to SD, also called solution submersion) [18] and chemical vapor deposition (CVD) [19-29]. The deposition method can affect the resultant cluster properties, however very few studies have directly compared the cluster properties of identically sized clusters resulting from different deposition methods [21, 30].

CS depositions are performed *in situ* and are typically suited for small scale fundamental research studies, while being difficult to upscale for industrial applications due to the high vacuum required and small cluster deposition areas [31-35]. Upscaling, however, is possible with SD and CVD. A secondary advantage of SD is that it allows for cluster deposition within the inner surfaces of porous substrates such as mesoporous silica [36, 37] and zeolites [38, 39], while CS and CVD are line-of-sight depositions. However, SD introduces the possibility of contamination to the samples due to exposure to atmosphere and solvent. Additionally, for both types of ligated cluster depositions (*i.e.* CVD and SD), extra post-deposition surface treatments such as heating or chemical methods are typically used to remove the ligands after deposition to expose bare, surface-supported particles [20, 21, 40, 41].

TiO<sub>2</sub> is a common choice as a substrate for the deposition of clusters [20, 21, 35, 42-53], which is often used due to its photocatalytic activity [54]. Sputtering TiO<sub>2</sub> substrates prior to cluster depositions is a method used to help prevent the agglomeration of clusters [41, 55]; the anchoring of clusters to defect sites on TiO<sub>2</sub> has been demonstrated by experiments [41] as well as DFT calculations [56]. Rutile TiO<sub>2</sub>(110) is the most frequently used form of the substrate for fundamental surface science experiments, however here we use RF-deposited TiO<sub>2</sub>. This material is prepared by radio frequency (RF) sputtering a TiO<sub>2</sub> wafer over a spinning substrate under high vacuum, which produces a dense, uniform, stoichiometry-controlled layer of TiO<sub>2</sub> which is cheaper and more readily available than TiO<sub>2</sub>(110) [57]. RF-TiO<sub>2</sub> has been shown to have a nanoparticulate film structure with spherical-shaped grains distributed across the substrate, with the grain size enlarging upon heat treatment [57-60].

We have previously shown this type of substrate does not feature X-ray diffraction peaks related to a specific crystal phase until modest heating is applied to the substrate under atmosphere or vacuum, upon which an anatase phase is formed and the grain size increases; strong heating under atmosphere to 1373 K for 18 hours also results in a rutile peak emerging in addition to anatase, with no further increase in grain size [58]. Anatase is the most common form used in high surface area catalysis studies.

Clusters deposited on reducible oxides can be strongly affected by the so-called strong metal-support interaction (SMSI), and in some cases may become covered by a layer of support material [43, 61]. This is known as "encapsulation" or "decoration" of the clusters [62, 63]. Depending on the combination of materials for the clusters and substrate, varying conditions have been required to induce cluster encapsulation, including sputtering prior to cluster deposition [43, 44], and high temperature reduction of the cluster material is present, these changes are typically measurable using X-ray photoelectron spectroscopy (XPS): encapsulation increases the concentration of reduced Ti at the surface which causes a low binding energy (BE) shoulder in the Ti 2p peak [43, 71]. The encapsulation of clusters can potentially reduce their catalytic and/or photocatalytic ability by means of steric hindrance, where reactant molecules are prevented from reaching the clusters [43, 44, 69]. Thus, knowledge about cluster encapsulation is important for catalytic and photocatalytic applications.

There are various analytical techniques which can be used to depth profile systems of small metal clusters. Angle resolved XPS (ARXPS) is commonly used for non-destructive depth profiling [72, 73] but is known to be less reliable for samples which have non-monotonic concentration depth profiles or show significant roughness [73]. Low Energy Ion Scattering (LEIS) allows for measuring the atomic composition of the topmost layer [47, 49, 64, 74-94], and can provide depth information about the atomic distribution over the range 0-10 nm below the surface layer, depending on the ion energy used [95]. It has been shown that cluster encapsulation can be detected by LEIS [64, 74, 87]. For overlayers of a few monolayers (ML), the film thickness can be determined by LEIS as described by Brongersma *et al.* [96], which has previously been applied to determining the overlayer thickness for functionalised Au nanoparticles [97, 98].

In our previous study, CO temperature programmed desorption (CO-TPD) was performed on Ru<sub>3</sub> clusters deposited by CVD (Ru<sub>3</sub>(CO)<sub>12</sub>) and CS (bare Ru<sub>3</sub>) onto RF-TiO<sub>2</sub> [30]. This involved dosing <sup>13</sup>CO onto the samples under UHV, then heating while monitoring the desorbing gas molecules. Because CO binds strongly to Ru but not to the support, this provides a measure of the number of surface-exposed Ru atoms. For the bare CS-Ru<sub>3</sub>, substantial CO binding to Ru sites was seen in the first TPD run, but in subsequent runs, the CO signal was much weaker and desorbed at ~200 K lower temperature, *i.e.*, both the number and strength of the Ru-CO binding sites was dramatically reduced, which was attributed to encapsulation of the clusters during the first TPD run. For CS-Ru<sub>3</sub> deposited on sputtered RF-TiO<sub>2</sub>, essentially no Ru-CO binding sites were observed even in the first TPD run, suggesting that the sputtered TiO<sub>2</sub> encapsulated the Ru<sub>3</sub> upon deposition. Similarly, no Ru-CO sites were observed for CVD-Ru<sub>3</sub>(CO)<sub>12</sub> clusters deposited on sputtered RF-TiO<sub>2</sub> then heated to drive off the CO ligands prior to the TPD experiments. These results suggested that the Ru<sub>3</sub> clusters became encapsulated by TiO<sub>x</sub>, thus blocking the CO sites that would be expected for Ru clusters, however there was no direct evidence for encapsulation. Additionally, XPS measurements of the samples after the CO-TPD experiments indicated that the Ru<sub>3</sub> clusters were partially oxidised by the sputtered RF-TiO<sub>2</sub> substrate after heating to 800 K.

Here, we deposit size-selected Ru<sub>3</sub> clusters onto RF-TiO<sub>2</sub> substrates using 3 different deposition methods: (i) SD, (ii) CVD, and (iii) CS depositions. The first aim was to determine whether the Ru<sub>3</sub> deposition method has any effect on the resultant surface properties before and after heat-treatment. The properties of solution submersion and CVD depositions of the same cluster type have not been previously compared in this way. The second aim was to directly measure the encapsulation of Ru<sub>3</sub> (following on from our previous study) and determine the depth of encapsulation and temperature at which this occurs. Understanding the cluster encapsulation is important for elucidating potential catalytic and photocatalytic benefits of the cluster/substrate system. TiO<sub>2</sub>(110) and SiO<sub>2</sub> are also studied for comparison with the RF-TIO<sub>2</sub> substrates; TiO<sub>2</sub>(110) is the most commonly used single crystal form of TiO<sub>2</sub> [99], and SiO<sub>2</sub> is chosen as a non-reducible oxide support that does not normally support SMSI or encapsulation [54].

## Methodology

#### Substrates

A list of the substrates used, and their abbreviated names are given in Table 1. RF-TiO<sub>2</sub>, TiO<sub>2</sub>(110), and SiO<sub>2</sub> were used as substrates for depositions of Ru<sub>3</sub> by solution submersion and CVD of Ru<sub>3</sub>(CO)<sub>12</sub>, as well as CS depositions of bare Ru<sub>3</sub>. P-type, boron-doped Si (100) wafers were purchased from MTI Corporation and used without further modification. The boron doping makes the wafers conductive and suitable for electron spectroscopy. SiO<sub>2</sub> substrates were prepared by heating to 700 K for 20 minutes under an atmosphere of 7 x  $10^{-6}$  mbar O<sub>2</sub> to ensure the surface oxide layer, followed by a further 2 minutes of heating under UHV. These substrates are hereafter referred to as SiO<sub>2</sub> due to the fact that they have an amorphous SiO<sub>2</sub> (silica) surface layer [78].

RF-sputter deposited TiO<sub>2</sub> substrates, (RF-TiO<sub>2</sub>, also known as RF-deposited TiO<sub>2</sub>), were prepared by RF magnetron-sputtering using a 99.9% pure TiO<sub>2</sub> ceramic target onto an SiO<sub>2</sub> wafer. An HHV/Edwards TF500 Sputter Coater was used at a pressure of <2 x 10<sup>-5</sup> mbar. This process has been described in detail in previous publications [30, 58]. Based on SEM measurements performed on previously prepared wafers, the thickness of the RF-TiO<sub>2</sub> was approximately 150 nm [58], which is thick enough that the SiO<sub>2</sub> wafer beneath would not affect any measured electron spectra. The nanoparticulate size before heat treatment was shown to be 25-45 nm [58]. RF-TiO<sub>2</sub> was treated prior to cluster depositions by heating to 723 K for 10 minutes, then using 3 different pre-deposition Ar<sup>+</sup> sputtering treatments: namely, NS-RF-TiO<sub>2</sub> (non-sputtered), LDS-RF-TiO<sub>2</sub> (low-dose sputtered, treated with 4 x 10<sup>13</sup> Ar<sup>+</sup> ions/cm<sup>2</sup>), and HDS-RF-TiO<sub>2</sub> (high dose-sputtered, treated with 6 x 10<sup>14</sup> Ar<sup>+</sup> ions/cm<sup>2</sup>). Note that LDS-RF-TiO<sub>2</sub> was used only in results presented in the Supplementary Material. An assumption was made (for depth profiling) that the thickness of a ML of RF-TiO<sub>2</sub> could be estimated to be the apical Ti-O bond length of the rutile TiO<sub>2</sub> crystal, 0.198 nm [100].

A 99.99% pure rutile TiO<sub>2</sub>(110) single crystal was purchased from MTI Corporation. The sample treatment procedure followed that used in recent publications where TiO<sub>2</sub>(110) was used as a substrate for metal clusters [47, 49] and is detailed further in the Supplementary Material (page 2). 6 x  $10^{14}$  Ar<sup>+</sup> ions/cm<sup>2</sup> was dosed onto the TiO<sub>2</sub>(110) prior to cluster depositions.

Table 1: Summary of the different supporting substrates used in this study. The designated sample names and abbreviated names are given.

Substrate	Ar <sup>+</sup> Sputter Dose	Designated Sample	Abbreviated
Material	(ions/cm²)	Name	Name
RF-TiO <sub>2</sub>	None	Non-Sputtered RF-TiO <sub>2</sub>	NS-RF-TiO <sub>2</sub>
RF-TiO <sub>2</sub>	4 x 10 <sup>13</sup>	Low-Dose Sputtered RF-	LDS-RF-TiO <sub>2</sub>
		TiO <sub>2</sub>	
RF-TiO <sub>2</sub>	6 x 10 <sup>14</sup>	High-Dose Sputtered RF-	HDS-RF-TiO <sub>2</sub>
		TiO <sub>2</sub>	
Rutile TiO <sub>2</sub> (110)	6 x 10 <sup>14</sup>	TiO <sub>2</sub> (110)	TiO <sub>2</sub> (110)
Single Crystal			
SiO <sub>2</sub> /Si (100)	None	SiO <sub>2</sub>	SiO <sub>2</sub>

#### Instrumentation

Cluster depositions and analysis were performed on 3 separate UHV instruments. *In situ* XPS and LEIS were performed on the University of Utah UHV apparatus and Flinders University UHV apparatus, respectively. ARXPS measurements were performed at the Australian Synchrotron soft X-ray UHV beamline; CVD was performed *in situ* whereas a CS-Ru<sub>3</sub>/HDS-RF-TiO<sub>2</sub> sample was prepared at the University of Utah and analysed *ex situ*. All 3 instruments featured their own *in situ* Ar<sup>+</sup> sputtering conditions. At Flinders University and the Australian Synchrotron, experiments used 3 keV sputtering impact energy whereas experiments at the University of Utah used 2 keV. The defects may extend deeper into the bulk for samples prepared with 3 keV impact energy due to the greater impact energy of the Ar<sup>+</sup> [43, 44], however this was not corrected for, with a slight variation in defects on the substrate deemed not to be of critical importance for comparison of the data.

#### **Cluster Depositions**

#### **Chemical Vapor Deposition**

CVD was performed *in situ* at both Flinders University and the Australian Synchrotron, where Ru<sub>3</sub>(CO)<sub>12</sub> clusters were deposited onto HDS-RF-TiO<sub>2</sub> substrates. CVD-deposited samples are referred to as CVD-Ru<sub>3</sub>(CO)<sub>12</sub>. At Flinders University, the CVD procedure followed the method described in the Supplementary Material (page 2), which has also been described in previous work [30]. Briefly, Ru<sub>3</sub>(CO)<sub>12</sub> was inserted into a loading chamber and allowed to evaporate/deposit onto samples; 120 minutes for RF-TiO<sub>2</sub> and 30 minutes for TiO<sub>2</sub>(110). At the Australian Synchrotron, the clusters were deposited onto RF-TiO<sub>2</sub> using a modified version of the same procedure, where the Ru<sub>3</sub>(CO)<sub>12</sub> vial was heated to 313 K to increase

the deposition rate to a usable level and clusters were deposited for 90 minutes. In all cases, the deposited clusters covered the entire sample area.

#### **Solution Deposition**

SD depositions involves submerging a substrate into a solution of the desired clusters into a solvent. Ru<sub>3</sub>(CO)<sub>12</sub> was deposited *ex situ* onto an HDS-RF-TiO<sub>2</sub> substrate by submerging into a 0.2 mM Ru<sub>3</sub>(CO)<sub>12</sub> cluster solution in dichloromethane for 30 minutes. Due to the nature of the deposition procedure this type of deposition cannot be performed under UHV, and the substrate was exposed to atmosphere for approximately 2 minutes before and after submerging. Clusters which were deposited by SD are referred to as SD-Ru<sub>3</sub>(CO)<sub>12</sub>. Prior to removing the sample from UHV to perform the solution-based deposition, RF-TiO<sub>2</sub> samples were heated under UHV to 723 K for 10 minutes then Ar<sup>+</sup> sputtered with 6 x 10<sup>14</sup> ions/cm<sup>2</sup>. This procedure coated the whole surface, with an approximately even layer of clusters.

#### **Cluster Source**

CS depositions were performed *in situ* by a laser vaporisation CS which has been used in several previous works [30, 75, 76, 78] onto both RF-TiO<sub>2</sub> and SiO<sub>2</sub>. The instrument details and standard deposition procedure are given in the Supplementary Material (pages 2-3). RF-TiO<sub>2</sub> substrates with differing sputter dosages were used, as well as SiO<sub>2</sub>. Each CS deposition was kept consistent by depositing 1.5 x  $10^{14}$  Ru atoms/cm<sup>2</sup> as mass-selected Ru<sub>3</sub><sup>+</sup>, with deposition energy set to ~1 eV/atom to prevent fragmentation of the clusters [101]. Cluster spots were 2 mm in diameter, defined by a mask.

#### TD-XPS

For TD-XPS measurements, the temperature of a sample is increased in a stepwise manner while XPS is performed at each discrete temperature. Samples are held at each temperature for 10 minutes, then the heating is turned off and XPS is performed as the sample slowly cools. TD-XPS measurements were also performed for substrates with no clusters deposited, which are referred to as "blank samples". These samples were otherwise treated identically to the cluster-containing SD-Ru<sub>3</sub>(CO)<sub>12</sub>/HDS-RF-TiO<sub>2</sub>, CVD-Ru<sub>3</sub>(CO)<sub>12</sub>/HDS-RF-TiO<sub>2</sub>, and CVD-Ru<sub>3</sub>(CO)<sub>12</sub>/TiO<sub>2</sub>(110) samples. The blank sample for SD on HDS-RF-TiO<sub>2</sub> was submerged in dichloromethane solvent for 30 minutes. The blank samples for CVD on HDS-RF-TiO<sub>2</sub> and CVD on TiO<sub>2</sub>(110) were each held in the loading chamber for 5 minutes with no cluster deposition vial present.

TD-XPS of SD-Ru<sub>3</sub>(CO)<sub>12</sub> and CVD-Ru<sub>3</sub>(CO)<sub>12</sub> samples were measured at Flinders University, while CS-Ru<sub>3</sub> samples were measured at the University of Utah. For both instruments, the photons had an incidence angle of 54.7° to the surface and ejected electrons were measured orthogonal to the surface. At Flinders University, a non-monochromatic X-ray source with an Mg anode was used with a Phoibos 100 hemispherical analyser (SPECS, Germany). At The University of Utah, a non-monochromatic X-ray source with an Al anode was used (Physical Electronics); this featured an area-selective lens with a 1.1 mm diameter analysis area, allowing the 2 mm cluster spot to be probed with minimal background from the surrounding cluster-free substrate. For high resolution measurements 10 eV pass energies were used, and each region was averaged over multiple scans. The number of scans per region required for sufficient signal varied depending on the element and surface concentration.

#### **Data Analysis**

The program CasaXPS was used to model XPS spectra. Shirley backgrounds[102] were subtracted from each measured spectrum when integrating the fitted peaks. The binding energy (BE) axis was calibrated to C 1s = 285.0 eV for the aliphatic adventitious hydrocarbons on the sample surface. The absolute uncertainty in reported BEs is  $\pm$  0.2 eV, however, for comparing BE differences in the same sample before and aftertreatment the uncertainty is reduced to  $\pm$  0.1 eV. Information on the peak fitting of C 1s, Ru 3d, and Ti 2p is given in detail in the Supplementary Material (pages 3-6). Based on the XPS peak fitting, atomic concentrations in percentage (At%) were determined and the surface coverage of cluster material was estimated for each cluster deposition. Surface coverages were measured by XPS and are given in terms of percent of a close-packed monolayer (% ML), where one ML is 1.6 x 10<sup>15</sup> Ru atoms/cm<sup>2</sup>. Further details on these calculations and their uncertainties are given in the Supplementary Material (page 6). The At% and Ru surface coverages for TD-XPS results presented are averages over all measured temperatures for each sample.

#### ARXPS

ARXPS measurements were performed at the Australian Synchrotron soft X-ray beamline. ARXPS is an extension of the standard XPS protocol which allows a concentration depth profile of the sample to be determined by changing the observation angle of the emitted photoelectrons. For each ARXPS measurement, XPS measurements were taken at observation angles of 0°, 30°, 45°, 55°, and 60°, which avoids severe angle effects related to the elastic scattering of photoelectrons by the sample [103]. To determine a depth profile

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from an ARXPS measurement, a model was made and fitted to the experimental data for a specific At% measured at each observation angle. Two samples were analysed; CVD-Ru<sub>3</sub>(CO)<sub>12</sub>/HDS-RF-TiO<sub>2</sub> deposited *in situ*, and CS-Ru<sub>3</sub>/HDS-RF-TiO<sub>2</sub> deposited *ex situ* at the University of Utah. Each individual ARXPS measurement was performed at 5 temperatures between room temperature and 723 K for each sample.

The synchrotron X-ray excitation energy used was 720 eV, which was confirmed to not overlap with the peaks of interest. The beamline is equipped with a Phoibos 150 HSA (SPECS, Germany). High resolution spectra were taken at 10 eV pass energy. Further details about the synchrotron X-ray beam and ARXPS data analysis procedure are provided in the Supplementary Material (pages 6-7), including details on the XPS peak fitting and the procedure used to determine concentration depth profiles.

For the CVD-Ru<sub>3</sub>(CO)<sub>12</sub>/HDS-RF-TiO<sub>2</sub> sample, it was noticed that synchrotron X-rays partially removes the CO ligands from Ru<sub>3</sub>(CO)<sub>12</sub> clusters. The ligand removal by the beam was further investigated by performing a series of XPS measurements on a single spot at room temperature, shown in the Supplementary Material (pages 21-22). Furthermore, an ARXPS measurement was performed on a cluster spot which was irradiated 3.95 x 10<sup>18</sup> photons/cm<sup>2</sup>. To avoid beam effects for the main ARXPS measurements, the CVD-Ru<sub>3</sub>(CO)<sub>12</sub>/HDS-RF-TiO<sub>2</sub> sample was scanned in a grid such that each angle and temperature measurement was on a virgin area of the sample.

#### LEIS

#### Procedure

In LEIS, a sample is bombarded with low energy ions which are backscattered and detected [95, 104]. LEIS is mostly sensitive to the elemental composition of the outermost layer due to the higher neutralisation probability for He<sup>+</sup> projectiles backscattered from layers below the outermost layer [75]. There is, however, a finite probability for backscattering of He<sup>+</sup> projectiles from deeper layers [95]. Further details are given in the Supplementary Material (page 8) on the surface sensitivity of LEIS and the confirmation of the reproducibility of results.

The two LEIS measurement procedures used were "series LEIS" (only reported in the Supplementary Material) and "temperature-dependent LEIS" (TD-LEIS). To avoid damage effects, separate sets of samples were for the LEIS, TD-XPS, and ARXPS experiments. Series LEIS measurements were performed repeatedly in series on the same sample area without further modification, to determine the effects of the He<sup>+</sup> beam on the sample. TD-

LEIS measurements were performed *in situ* on separate samples as the sample temperature was increased to determine the effects of heating. A LEIS measurement was first performed at the deposition temperature. Following this, the He<sup>+</sup> beam was stopped, and the sample was flashed (rapidly heated at 3 K/s) to the next temperature. Heating was stopped as soon as the target temperature was reached, and another LEIS measurement was performed. This process was repeated for regular temperature intervals until 900 K. For each TD-LEIS sample, XPS was performed after the TD-LEIS measurements and used to determine Ru surface coverages.

#### Instrumentation

*In situ* LEIS measurements were performed on samples at both Flinders University and the University of Utah, and both LEIS instruments used the same settings. 1 keV He<sup>+</sup> ions were produced using ion guns, which were incident on the sample at 45° and scattered He<sup>+</sup> was detected orthogonal to the sample at a scattering angle of 135°. The backscattered He<sup>+</sup> ions were detected with the same HSA detectors which were used on each UHV apparatus for XPS (see above). Backscattered He<sup>+</sup> counts were plotted against the ratio E/E<sub>0</sub>, where E is the backscattered energy and E<sub>0</sub> is the incident ion energy. To minimize damage from He<sup>+</sup> impacts, scan times were kept as low as possible to achieve reasonable resolution, and the He<sup>+</sup> beam was on only during scans. The data analysis procedure is described in detail in the Supplementary Material (page 8). Preliminary testing performed prior to the main TD-LEIS measurements is shown in the Supplementary Material (pages 23-25).

#### **Results and Discussion**

#### TD-XPS

TD-XPS measurements were performed on the 5 samples listed in Table 1. The resulting spectra and analysis are shown in the Supplementary Material (Figures S1-S6). Temperature-dependent results are presented for Ru At%, Ru 3d BE, CO/Ru atomic ratio (for ligated clusters), and the ratio of Ti defects (Ti<sup>2+</sup> and Ti<sup>3+</sup>) in the substrate.

It was determined from the Ru 3d BE (Figure S7) that Ru clusters are partially oxidised (see Supplementary Material page 16) due to heating, requiring a temperature of at least 500 K for oxidation to occur on NS-RF-TiO<sub>2</sub> as indicated by a change in BE by  $+0.2 \pm 0.1$  eV. This oxidation agrees with XPS results presented in our previous study [30]. The Ru 3d BE was also noticeably affected by the ligand configuration of the clusters at deposition. However, after heat treatment to 723-823 K the Ru 3d BE converged for all TiO<sub>2</sub>-supported samples (from 280.5 eV to 280.6 eV), indicating that the Ru<sub>3</sub> clusters have similar oxidation states

after heating. For the ligated clusters, the initial CO/Ru ratio (Figure S8) was  $2.1 \pm \sim 0.5$  for CVD-Ru<sub>3</sub>(CO)<sub>12</sub>/HDS-RF-TiO<sub>2</sub>, and  $1.5 \pm \sim 0.5$  for CVD-Ru<sub>3</sub>(CO)<sub>12</sub>/TiO<sub>2</sub>(110). This implies approximate as-deposited cluster chemical formulae of Ru<sub>3</sub>(CO)<sub>6</sub> and Ru<sub>3</sub>(CO)<sub>4.5</sub> respectively, meaning some ligands were lost in the CVD procedures. Note that sample SD-Ru<sub>3</sub>(CO)<sub>12</sub> suffered from carbon contamination and its CO/Ru ratio could not be determined (see Supplementary Material page 18).

The density of Ti defects (Figure S9) is shown to generally increase with heating for the ligated Ru<sub>3</sub>(CO)<sub>12</sub>/TiO<sub>2</sub> samples. The increase was at least partially due to changes in the substrate. Heating-induced defects for TiO<sub>2</sub> under UHV are well-known and have been reported in previous studies [54, 63, 105]. However, because the sample defects for cluster-loaded samples increased above the level of the blank samples, this suggests the clusters were involved with the increase in surface defects and reducing the surface. Conversely, CS-Ru<sub>3</sub>/NS-RF-TiO<sub>2</sub> did not have an increase in Ti defects related to heating. This was unexpected compared to our previous findings [30] because the partial oxidation of the supported CS-Ru<sub>3</sub> as a result of heating would hypothetically increase the number of Ti defects on the surface. It is thus possible that the effect was too small to detect, perhaps due to the lower Ru surface coverage of the CS-Ru<sub>3</sub>/NS-RF-TiO<sub>2</sub> compared to CVD-Ru<sub>3</sub>(CO)<sub>12</sub>/HDS-RF-TiO<sub>2</sub> (see Table S2).

#### ARXPS

ARXPS measurements were performed on 2 samples; CVD-Ru<sub>3</sub>(CO)<sub>12</sub>/HDS-RF-TiO<sub>2</sub> (deposited *in situ*) and CS-Ru<sub>3</sub>/HDS-RF-TiO<sub>2</sub> (deposited *ex situ*). The Ru surface coverage of the ARXPS samples were estimated and are shown in Table 2. The Ru surface coverage for both samples is <5% ML, and thus cluster-cluster interactions were considered negligible, and the samples were directly comparable to one another in terms of Ru properties. The fitted Ru 3d/C 1s region XPS spectra are shown in the Supplementary Material (Figure S10).

Table 2: Ru At% and Ru surface coverages for ARXPS samples. The fitting uncertainty
for Ru At% was ~2%. The absolute error in Ru coverage was ~100%, while the relative
uncertainty between the experiments was ~2% based on the Ru At%.

Deposition	Substrate	Ru At% (%)	Ru Surface Coverage (% ML)
CVD-Ru <sub>3</sub> (CO) <sub>12</sub>	HDS-RF-TiO <sub>2</sub>	0.77	4.0
CS-Ru₃	HDS-RF-TiO <sub>2</sub>	0.18	1.0



Figure 1: ARXPS data for CVD-Ru<sub>3</sub>(CO)<sub>12</sub>/HDS-RF-TiO<sub>2</sub> and CS-Ru<sub>3</sub>/HDS-RF-TiO<sub>2</sub>. (a) and (b) show the data for Ru At% vs. observation angle for CVD-Ru<sub>3</sub>(CO)<sub>12</sub> and CS-Ru<sub>3</sub>, respectively. (c) and (d) show the ARXPS depth profiles for CVD-Ru<sub>3</sub>(CO)<sub>12</sub> and CS-Ru<sub>3</sub>, respectively. These show the atomic concentration of Ru per layer for arbitrarily defined layers of the sample, as determined by the ARXPS model. The uncertainty in Ru At% was ± 2%, while the uncertainty in the layer concentration was ± 20%.

The ARXPS results for both CVD-Ru<sub>3</sub>(CO)<sub>12</sub>/HDS-RF-TiO<sub>2</sub> and CS-Ru<sub>3</sub>/HDS-RF-TiO<sub>2</sub> are shown in Figure 1. Figure 1a and 1b show the measured Ru At% results for CVD-Ru<sub>3</sub>(CO)<sub>12</sub> and CS-Ru<sub>3</sub>, respectively. At each temperature, the At% increases with increasing observation angle. This is due to the limited electron mean free path of the electrons emitted from the atoms forming the samples, and thus the Ru clusters which are at the surface or close to the surface have a greater relative signal detected by XPS. These results were used to determine Ru depth profiles for the samples at each temperature (for more details on the

data analysis including determining the concentration depth profiles see Supplementary Material, page 7).

Figure 1c shows that the depth profile of CVD-Ru<sub>3</sub>(CO)<sub>12</sub> clusters on the surface change between 423 K and 573 K. Between 298 K and 423 K all Ru was present on the top surface layer. At 573 K the depth profile changes, and clusters either penetrated into deeper layers of the substrate or were covered by an overlayer. The penetration extended deeper again at 648 K to a maximum penetration depth of 0.24 nm  $\pm$  0.03 nm, which corresponds in thickness approximately to 1 ML for an overlayer of TiO<sub>2</sub>. Based on the ARXPS analysis, the depth profile shows that at 723 K there was approximately 60% Ru below the top-most layer, and 40% present on the surface, based on the relative layer concentrations of Ru.

Figure 1d shows the depth profiles for CS-Ru<sub>3</sub>/HDS-RF-TiO<sub>2</sub>. The main change observed is a small reduction in the total amount of Ru visible in XPS as the temperature increased. Unlike CVD-Ru<sub>3</sub>(CO)<sub>12</sub>, Ru below the top-most layer was present even at 298 K. The ratio of surface to sub-surface Ru was consistent for all temperatures; there was ~66% on the surface and ~34% below the top-most layer. The maximum penetration depth was approximately 0.1 nm ± 0.03 nm, which corresponds to approximately 0.5 ML for an overlayer of TiO<sub>2</sub>. The calculated value being <1 ML may suggest that not all the clusters were covered, or that the cluster are only partially covered by the substrate. However, comparison to previous results [30] suggests the clusters were indeed fully covered by the substrate. The nature of the overlayer for both samples is discussed in further detail in the Encapsulation section below.

#### **TD-LEIS**

TD-LEIS measurements were performed on CS-Ru<sub>3</sub>/SiO<sub>2</sub> (Figure 2) and CVD-Ru<sub>3</sub>(CO)<sub>12</sub>/HDS-RF-TiO<sub>2</sub> (Figure 3). For the latter, two identical samples were prepared and analysed (referred to as TD-LEIS A and TD-LEIS B). Measurements were also performed on CS-Ru<sub>3</sub> on RF-TiO<sub>2</sub> with 3 different pre-deposition sputtering doses, but Ru peaks were obscured in the LEIS results, most likely by carbonaceous contamination (results shown and discussed in Supplementary Material, pages 26-29, Figures S15-16).

#### (A) Ru Surface Coverage and Ion Dosage

XPS was performed on each of the TD-LEIS samples after the TD-LEIS measurements were completed to estimate Ru surface coverage in order estimate potential damage induced during the LEIS measurements. These XPS results are given in Table 3. The ratio of total carbon atoms to Ru atoms was calculated and provides a measure of the level of contamination on each sample. In addition, the total ion dose for each measurement is shown, along with the estimated percentage of Ru removed by the He<sup>+</sup> which was used as a measurement for the level of Ru cluster removal due to LEIS (see Supplementary Material pages 8-9 for further details). Between all TD-LEIS samples, the percentage of Ru sputtered from the surface during a measurement was an average of 2.9%, and a maximum of 6.8%. These values were cumulative over the TD-LEIS measurements at all temperatures, and this level of cluster removal was small enough that He<sup>+</sup> beam effects were neglected as a justification for any changes to Ru peaks in TD-LEIS spectra.

# Table 3: Ru At%, Ru surface coverage, C/Ru ratio, total He<sup>+</sup> dose, and total removed Ru for all TD-LEIS samples. Measurements were after TD-LEIS, and the samples were heated to 900 K. An example calculation for Ru removal by the beam was given in the Supplementary Material (pages 8-9).

Deposition	Substrate	Ru	Ru Surface	C/Ru	Total Ion	Total Ru
		At%	Coverage	Atomic	Dose	Removed
		(%)	(% ML)	Ratio	(ions/cm²)	(%)
CS-Ru₃	SiO <sub>2</sub>	0.5	3.3	5.4	1.2 x 10 <sup>15</sup>	1.3
CVD-	HDS-RF-TiO <sub>2</sub>	1.5	10.7	1.5	6.0 x 10 <sup>15</sup>	6.8
Ru <sub>3</sub> (CO) <sub>12</sub>						

### (B) CS-Ru<sub>3</sub>/SiO<sub>2</sub>

The lowest and highest temperature LEIS spectra for the TD-LEIS of CS-Ru<sub>3</sub>/SiO<sub>2</sub> are shown in Figure 2a, with peaks labelled for Ru, Si, and O. The spectra were integrated, and the peak ratio of Ru/(Si+O) was determined at each temperature. This forms the core TD-LEIS result, and is shown in Figure 2b.



# Figure 2: TD-LEIS of CS-Ru<sub>3</sub>/SiO<sub>2</sub>. a) LEIS spectra for initial and final temperature measurements. b) integrated Ru/(Si+O) peak ratio vs. temperature. Uncertainties in the Ru peak area ratios were ~8%. The sputter effects of the He<sup>+</sup> on the sample are discussed in the Supplementary Material (see Figure S14).

In Figure 2a the intensities of the LEIS peaks from the SiO<sub>2</sub> support increase after heating to 900 K, indicating that the fraction of clean substrate increased, attributed to some combination of desorption of adventitious adsorbates and reduction of the fraction of the surface area blocked or shadowed by Ru clusters. Conversely, the Ru peak intensity decreased monotonically with increasing temperature, such that the Ru/(Si+O) intensity ratio (Figure 2b) dropped by a factor of ~3 from 300 to 900 K. Such a decrease could indicate that the clusters become partially covered by some adsorbate, or that the clusters sintered into multi-layer structures where some of the Ru atoms are no longer in the LEIS-accessible surface layer. In this case, the decrease in Ru intensity begins at quite low temperature, where SiO<sub>2</sub> should be stable, and in a temperature range where adventitious adsorbates would have been desorbing, and thus the conclusion is that the Ru<sub>3</sub> clusters sintered significantly. This conclusion is consistent with previous studies which found that Pt<sub>n</sub> clusters [77, 81] and Irn clusters [106] deposited on SiO<sub>2</sub> undergo sintering when heated over this temperature range, giving rise to similar changes in LEIS signals. The agglomeration of Ru clusters on SiO<sub>2</sub> was also supported by our previous study [30] examining CO-TPD, where the change in the CS-Ru<sub>3</sub>/SiO<sub>2</sub> CO-TPD spectra with repeated heating cycles provided evidence the clusters were agglomerated when heated to 800 K.

#### (C) CVD-Ru<sub>3</sub>(CO)<sub>12</sub>/HDS-RF-TiO<sub>2</sub>

A TD-LEIS measurement of CVD-Ru<sub>3</sub>(CO)<sub>12</sub>/HDS-RF-TiO<sub>2</sub> was performed two times using separate samples, referred to as TD-LEIS A and TD-LEIS B. Figure 3 shows the measured TD-LEIS spectra for one of these measurements (TD-LEIS A), and the analysed data for both measurements.



Figure 3: TD-LEIS of CVD-Ru<sub>3</sub>(CO)<sub>12</sub>/HDS-RF-TiO<sub>2</sub>. Separate depositions and TD-LEIS measurements were performed on 2 samples. (a) and (b) show spectra from TD-LEIS A, while (c) and (d) show collated data from both TD-LEIS measurements. a) LEIS spectra at selected temperatures. b) LEIS spectra at selected temperatures, zoomed in to the Ru peak region. c) Integrated Ru/(Ti+O) peak ratios vs. temperature. Data point × was included for completion but was treated as an outlier. Uncertainties in Ru integrated peak ratios were ~8%. d) Half-maximum peak onset for Ru vs. temperature, in terms of backscattered He<sup>+</sup> energy. The black dashed line at 923 eV represents the Ru half-maximum onset for a metallic Ru reference sample. The error bars were ± 2.5 eV based on the bin width.

Figure 3a shows LEIS spectra at all temperatures measured for CVD-Ru<sub>3</sub>(CO)<sub>12</sub>/HDS-RF-TiO<sub>2</sub>. The overall signal strength increased with increasing temperature, which is indicative of surface contamination being desorbed and sputtered away by the He<sup>+</sup> beam, with the contamination most likely being adventitious hydrocarbons. Figure 3b displays the Ru peak region. The onset slope of the Ru peak (on the right) decreased at 650-700 K, and decreased further as the temperature was increased to 850 K. The shape then remained constant when heated to 900 K. Starting at 650-700 K, the counts at ~0.79 E/E<sub>0</sub> increased while the main peak at ~0.85 decreased in size, shifting the peak location of Ru to lower E/E<sub>0</sub> values. In LEIS, peaks shifting to lower E/E<sub>0</sub> values in cases such as this can be indicative of the clusters being covered by a material overlayer which the He<sup>+</sup> needs to penetrate through before and after backscattering. In such cases the He<sup>+</sup> projectiles lose energy due to penetrating through the overlayer, which shifts the peak to lower values of E/E<sub>0</sub>[98, 104]. Backscattered projectiles have a finite probability of re-ionisation when leaving the surface which results in a measurable signal of backscattered He<sup>+</sup>. [98, 104] The TD-LEIS results are supported further by evidence from our previous study [30], where it was proposed based on CO-TPD results that CVD-Ru<sub>3</sub>(CO)<sub>12</sub>/HDS-RF-TiO<sub>2</sub> may be encapsulated by the HDS-RF-TiO<sub>2</sub> substrate when heated, based on the loss of all Ru-CO binding sites after heating the sample to 723 K. This aligns with the evidence of an overlayer presented by the TD-LEIS and ARXPS results, and together they present a strong argument that the clusters were encapsulated by the RF-sputtered TiO<sub>2</sub> substrate. This is discussed in detail in the Encapsulation section below.

In Figure 3c the integrated Ru peak ratios are shown vs. temperature, where the integration included both the high and low energy regions of the Ru peak (for surface and sub-surface species). The relative Ru LEIS peak size decreased with increasing temperature. The decrease in intensity is most likely due to the encapsulation of the clusters, which aligns with the ARXPS results, the evidence from Figure 3c where the LEIS peak shifted to lower values [98, 104], and our previous CO-TPD results [30]. This is possibly combined with some amount of Ru sputtering by the He<sup>+</sup> beam. Two LEIS measurements (A and B) show similar trends of decreasing integrated Ru intensity and shift in onset energies although TD-LEIS B has a higher Ru/(Ti+O) ratio at all temperatures. The intensity differences are due to TD-LEIS B having a higher background count rate, which was not subtracted for the peak integrations. The data point marked with a × had a lower ratio than expected because the sample was left in the vacuum chamber after heating due to an equipment issue, which allowed some adventitious hydrocarbons to adsorb atop the clusters.

Figure 3d shows the onset half-maximum energy determined from the high E/E<sub>0</sub> side of the Ru peaks in each TD-LEIS spectrum. This is the energy where the Ru peak reached half its maximum height. For TD-LEIS A, the energy for the onset of the half-maximum is approximately the same as the metallic Ru value (indicated by the black dotted line) until heating to 750 K, where the energy for the onset of the half-maximum shifted to a lower energy. After 750 K the energy for the onset of the half-maximum decreased slightly further due to heating to 900 K. For TD-LEIS B, the shift to a lower Ru energy for the onset of the half-maximum occurred at 575 K and was otherwise the same as TD-LEIS A within the experimental uncertainty. The large shift in the energy for the onset of the surface peak and is treated as an indicator of the clusters being covered by a substrate overlayer.

The shift in the energy for the onset of the half-maximum of the Ru contribution in LEIS as shown in Figure 3d allows for determining the thickness of the titania layer covering the clusters for the CVD-Ru<sub>3</sub>(CO)<sub>12</sub>/HDS-RF-TiO<sub>2</sub> sample after heating. A similar method was used in studies by Belsey *et al.* [98] and Hoffman *et al.* [107], where in both cases Au nanoparticles were covered with an organic layer. For each TD-LEIS measurement (A and B), the onset half-maximum energies were averaged before and after the onset temperature for penetration into the substrate, and the shift between these was calculated to determine the loss of He<sup>+</sup> energy due to the stopping power of the overlayer,  $\Delta E$  (this did not include the backscattering energy loss).  $\Delta E$  was determined to be 29.9 eV ± 5.3 eV and 19.7 eV ± 5.2 eV for TD-LEIS A and B, respectively, with an average value of 24.8 eV ± 5.3 eV.

To determine the thickness of the TiO<sub>2</sub> layer,  $\Delta E$  was divided by the stopping power of the overlayer to give the total length of titania which the He<sup>+</sup> projectiles travelled through, L. The beam impact angle (45°) and the angle to the detector (90°) were incorporated using a scaling factor based on right-angle trigonometry to determine the titania depth D. This relationship is shown in Equation 1.

$$D = 0.414 \text{ L} = \frac{0.414 \Delta E}{Stopping Power}$$
 1

The software package Stopping and Range of Ions in Matter (SRIM) was used to calculate the stopping power of He<sup>+</sup> ions in TiO<sub>2</sub>;  $30 \pm 3$  eV/nm (see Supplementary Material, page 8 for further details). This calculation uses Bragg's rule [108], and thus any effect of the specific structure of RF-TiO<sub>2</sub> on the stopping power was neglected. Based on equation 1, it was determined that the average D = 0.35 nm ± 0.08 nm, which is approximately 1.7 ML of TiO<sub>2</sub>.

The results are the same as ARXPS within experimental uncertainty. The TD-LEIS results are considered to be more reliable than the AXPS results for overlayer depth because LEIS is known for its extreme surface sensitivity [75] while ARXPS is considered to be unreliable for non-monotonic concentration depth profile [73]. The results are comparable to previously reported results in a study by Fu *et al.* [71], where 3 samples of Pd nanoparticles on  $TiO_2(110)$  were analysed using the shift in the Rutherford backscattering high energy edges of Pd and found to have  $TiO_x$  overlayers with thicknesses of 0.13 nm, 0.14 nm, and 0.27 nm.

#### Encapsulation

The results reported herein provide evidence for an encapsulation reaction occurring between deposited Ru clusters and RF-TiO<sub>2</sub>. It is often proposed that for encapsulation to occur there must be a thermodynamic advantage which is given by the tendency of a system to minimize the total surface energy of the system. To drive encapsulation, the surface energy of the metal adsorbate must be greater than the surface energy of the supporting layer [43, 63, 66, 67, 69, 71]. The combination of Ru clusters and TiO<sub>2</sub> substrate fits this condition; the surface energy of Ru is 3.409 J/m<sup>2</sup> [109] and TiO<sub>2</sub>(110) is 1.78 J/m<sup>2</sup> [110, 111].

The ARXPS results in Figure 1c provide evidence that Ru<sub>3</sub>(CO)<sub>12</sub>/HDS-RF-TiO<sub>2</sub> is covered by an overlayer following heating. This was supported by the TD-LEIS results in Figure 3 which measured the depth of the overlayer after heating. Further comparison to our previous study [30] provides strong evidence that this is due to cluster encapsulation by the substrate. In our previous study, CO-TPD results showed that CVD-Ru<sub>3</sub>(CO)<sub>12</sub>/HDS-RF-TiO<sub>2</sub> had no Ru-CO binding sites remaining after heating to 800 K indicating that no Ru was exposed on the surface layers. This is consistent with the presence of an overlayer encapsulating the clusters.

Regarding CS-deposited clusters, in our previous experiment [30] the as-deposited CS-Ru<sub>3</sub>/HDS-RF-TiO<sub>2</sub> did not have any Ru-CO binding sites present on the surface layer before or after heat treatment. This aligns with the ARXPS results in Figure 1d, showing there is sub-surface Ru present as-deposited (which did not change due to heat treatment). These results suggest that the clusters in this sample are encapsulated as-deposited without heat treatment (while heat treatment was required for encapsulation in CVD-Ru<sub>3</sub>(CO)<sub>12</sub>-HDS-RF-TiO<sub>2</sub>). Conversely, there was no evidence for cluster encapsulation or overlayers occurring for the CS-Ru<sub>3</sub>/SiO<sub>2</sub> sample before or after heat treatment. However, there was in this case

evidence that the clusters sinter upon heat treatment (see Figure 2). A summary of the encapsulation results is given in Table 4.

# Table 4: Summary of the Ru₃ cluster encapsulation results for the samples analysed using ARXPS and/or TD-LEIS. The state of the clusters is given as-deposited, and after heat treatment to 723 K or 900 K (see text for details).

Sample	As-Deposited	After Heat Treatment
CVD-Ru3(CO)12/HDS-RF- TiO2	Clusters on top-most layer	Encapsulated clusters
CS-Ru₃/HDS-RF-TiO₂	Encapsulated clusters	Encapsulated clusters
CS-Ru₃/SiO₂	Clusters on top-most layer	Agglomerated clusters, with no encapsulation

There is an apparent inconsistency between the ARXPS results and both the TD-LEIS results and CO-TPD results from our previous study [30]. The ARXPS results (Figure 1c-d) suggest that some Ru was still present on the topmost layer for both the CVD-Ru<sub>3</sub>/HDS-RF-TiO<sub>2</sub> and CS-Ru<sub>3</sub>/HDS-RF-TiO<sub>2</sub> samples, even after heating. However, the CO-TPD results, as well as the TD-LEIS results for CVD-Ru<sub>3</sub>(CO)<sub>12</sub>-HDS-RF-TiO<sub>2</sub>, both suggest there is no Ru on the surface layer. This discrepancy is simply attributed to the limitation of the depth analysis by ARXPS. Analysis of the differences in the At% determined experimentally and in the ARXPS model supports this (see Supplementary Material, Figure S12), indicating that there may have been effects related to the roughness or non-monotonic nature of the sputtered RF-TiO<sub>2</sub> substrates on the ARXPS results. Based on this, the ARXPS depth profiles are treated as less quantitative regarding the composition of the outermost layer than TPD and LEIS.

The encapsulation of unheated CS-Ru<sub>3</sub>/HDS-RF-TiO<sub>2</sub> was not expected, because conditions typically reported to induce encapsulation involve high temperature heating of the oxide substrate under UHV [42-44, 63-70, 110] or exposure to H<sub>2</sub> [61, 62, 112-114]. As cluster-specific examples, Ovari *et al.* [74] used LEIS to show that Rh clusters were present on a TiO<sub>2</sub>(110) surface layer until 700 K, however after heating to 900 K the clusters were encapsulated. Similarly, in another example for Pd clusters on TiO<sub>2</sub>(110) the encapsulation

started at ~553 K, where the authors suggested that the activation of defect mobility due to heating was required for encapsulation to occur [43]. Because no heating was required for the encapsulation of CS-Ru<sub>3</sub> by sputtered RF-TiO<sub>2</sub>, this implies a different encapsulation mechanism which is not based on heat-activated defect mobility. Defect mobility without heating is also not likely, as defect mobility is typically only shown at elevated temperatures [43, 54, 115]. It is possible that the mechanism for encapsulation is that the reactivity of the titania surface is increased due to sputter-induced defects, which easily interact with the Ru<sub>3</sub> without heat-treatment. Subsurface Ti defects may also be responsible for attracting the clusters below the surface. Similarly, subsurface oxygen may be more readily available for the Ru<sub>3</sub> than surface oxygen and attract the clusters below the surface. However, the exact mechanism for encapsulation cannot be determined from the TD-LEIS and ARXPS results. Additionally, results are not provided about the structure of the CS-Ru clusters underneath the overlayers.

To our knowledge, this type of titania encapsulation of small Ru clusters has not been previously reported in the literature, although some encapsulation studies using larger Ru materials have been conducted [116, 117]. In fact, there is a previous measurement by Zhao *et al.* of Ru<sub>3</sub>(CO)<sub>12</sub> supported on TiO<sub>2</sub>(110) where the surface was heat treated under UHV and encapsulation did not occur, as evidenced by the availability of Ru-CO binding sites [20]. The differences between this study and other literature can be attributed to the differences between the titania substrates; TiO<sub>2</sub>(110) was used in the referenced cluster encapsulation studies [43, 74], which does not have the same surface properties as the HDS-RF-TiO<sub>2</sub> used in this study. That encapsulation varies with cluster/substrate system is well known in the literature [42, 43, 66, 118, 119].

The TD-XPS results for the encapsulated cluster sample CVD-Ru<sub>3</sub>(CO)<sub>12</sub>/HDS-RF-TiO<sub>2</sub> showed an increase in Ti defect ratio beyond the blank sample (Figure S9). In the literature, increased Ti defects for substrates loaded with clusters has previously been associated with clusters being encapsulated by reduced titania for other TiO<sub>2</sub>-supported systems, including Pd/TiO<sub>2</sub> [43, 69], Pt/TiO<sub>2</sub> [63, 66], and Rh/TiO<sub>2</sub> [44, 67, 68]. This aligns with the encapsulation evidence from ARXPS and TD-LEIS in this study, suggesting that the overlayer was most likely composed of reduced titania, *i.e.* TiO<sub>x</sub>, where x < 2.

For CVD-Ru<sub>3</sub>(CO)<sub>12</sub>/HDS-RF-TiO<sub>2</sub>, both the de-ligation of CO and encapsulation of the clusters occurred when the samples were heated. This naturally raises a question about how the ligand removal is related to cluster encapsulation. In the TD-XPS results, CO/Ru

atomic ratios indicated most CO ligands were removed by heating to 423 K (Figure S8), but there may have still been one or a few ligands attached until higher temperatures are reached. In the synchrotron ARXPS depth profile (Figure 1c), the clusters were all on the surface layer at 423 K but encapsulation began upon heating to 523 K and reached the full depth at 648 K. TD-LEIS similarly showed encapsulation was completed (*i.e.* no Ru in the surface layer) at 660 K  $\pm$  120 K. Because ARXPS showed encapsulation did not start until 523 K, it is concluded that most of the ligands need to be removed for encapsulation but that complete ligand removal is not required. Therefore, there is no existing temperature range where completely bare Ru clusters can exist on the surface layer without encapsulation. This outcome must be kept in mind when considering catalytic applications, because it is often desired to remove the ligands to expose bare clusters for catalysis [20, 21, 40, 41].

#### **Application for Catalysis**

For catalytic applications, encapsulation of supported metal catalysts by the substrate material is generally not desirable because the catalytic properties can be affected by either the change in properties of the metal, or the steric hindrance of reactant molecules being blocked from the metal catalyst [43, 44, 69]. However, if the covering layer is thin enough some combinations of clusters and covering layer can yield an electronic structure which is suitable for catalysis and/or photocatalysis without direct reactant-cluster contact [120-122]. This combination can also be considered as a form of doping, and in these cases there can be benefits such as increasing resistance to cluster agglomeration [120, 121], increasing reaction selectivity [122], or improving activity by hindering back reactions which remove reaction products [121]. As an example, the water splitting photocatalytic activity of Au<sub>25</sub>/BaLa<sub>4</sub>Ti<sub>4</sub>O<sub>15</sub> was increased 19-fold due to cluster encapsulation by 0.8-0.9 nm thick Cr<sub>2</sub>O<sub>3</sub>. This system had a dual benefit for catalysis of decreasing the rate of the O<sub>2</sub> photoreduction back-reaction, as well as decreasing the level of UV irradiation-induced cluster agglomeration which occurred [121, 123]. Using HRTEM imaging, Negishi and coworkers showed that the clusters were ~1 nm in diameter with a Cr<sub>2</sub>O<sub>3</sub> overlayer 0.8-0.9 nm thick [121].

Considering these previous findings, the effect of the titania overlayer on the catalytic and photocatalytic activity of the Ru clusters must be tested explicitly for reactions such as catalytic CO hydrogenation and photocatalytic water splitting to determine their viability. There is potential for the titania overlayer to increase the stability of the clusters without suppressing the catalytic reaction. The estimated overlayer depth in the study by Negishi [121] was ~3 times thicker than the 0.35 nm  $\pm$  0.08 nm overlayer depth for Ru found in this

study, suggesting that the Ru clusters may still be somewhat reactive. Given the catalytic potential for supported Ru clusters [8-17], these encapsulated Ru clusters are a strong candidate for future studies on encapsulated catalysts. Furthermore, in a future study the catalytic and/or photocatalytic activity of supported Ru clusters could be compared between ligated clusters (below ~423 K) and the de-ligated, encapsulated clusters (complete encapsulation occurs at ~648-660 K). Such a course of work is warranted as catalytic effects have been shown in some cases for ligated clusters even if ligands are still attached [124].

# Conclusions

Ru<sub>3</sub> clusters were deposited by both solution-based and CVD depositions of Ru<sub>3</sub>(CO)<sub>12</sub>, as well as CS depositions of bare Ru<sub>3</sub> onto SiO<sub>2</sub> and a variety of TiO<sub>2</sub> substrates. The TD-LEIS results provide evidence that CS-Ru<sub>3</sub> clusters agglomerate due to heat treatment when supported on SiO<sub>2</sub>. TD-XPS showed that the oxidation state of Ru on TiO<sub>2</sub> varied for as-deposited clusters depending on the deposition method and type of TiO<sub>2</sub> substrate (RF-TiO<sub>2</sub> or TiO<sub>2</sub>(110)). After heat treating to 723-873 K, the oxidation states for Ru become identical, within experimental accuracy, for all the analysed TiO<sub>2</sub> systems including RF-TiO<sub>2</sub> and TiO<sub>2</sub>(110). The results suggest that for catalytic purposes, the specific deposition process is probably not of importance if the clusters are to be heated.

TD-LEIS results provided a direct measurement for the encapsulation of CVD-deposited Ru<sub>3</sub> by a layer of substrate material when supported on sputtered RF-TiO<sub>2</sub>, showing that no Ru was left on the topmost layer after heat treatment to  $660 \text{ K} \pm 120 \text{ K}$ . The overlayer thickness was measured by TD-LEIS to be 0.35 nm  $\pm$  0.08 nm for CVD-Ru<sub>3</sub>(CO)<sub>12</sub>/HDS-RF-TiO<sub>2</sub>, equivalent to an average of 1.74 ML  $\pm$  0.41 ML of titania. ARXPS showed encapsulation starting between 423-573 K, reaching a maximum by 648 K. Combined with the TD-XPS results, this suggests that most of the CO ligands need to be removed for encapsulation and that complete de-ligation is not required. ARXPS provided evidence that bare CS-Ru<sub>3</sub> on HDS-RF-TiO<sub>2</sub> was encapsulated as-deposited. Cluster encapsulation may provide an advantage for catalysis or photocatalysis applications by modifying the cluster properties, based on recent studies [121].

### **Supplementary Material**

The supplementary material provides detailed descriptions of the instruments and the experimental techniques applied. The raw data for the C 1s/Ru 3d and Ti 2p regions, and the TD-XPS and LEIS data are also shown.

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#### Data Availability Statement

The data that support the findings of this study are available from the corresponding author upon reasonable request.

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