

# Enzyme-like reactivity for increasing selectivity in CO<sub>2</sub> electrochemical reduction

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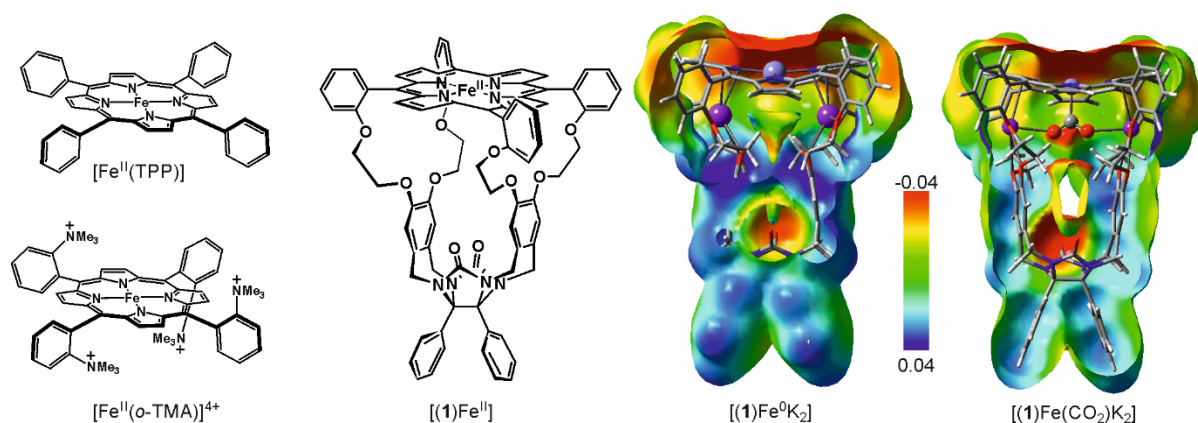
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**ABSTRACT:** The development of selective catalysts for the reduction of CO<sub>2</sub> mostly focuses on electrocatalytic approaches and aims at increasing the selectivity of the reaction while keeping a high activity, which is difficult to achieve. Metalloporphyrins are good catalysts for CO<sub>2</sub> reduction because they have favorable electronic properties and offer the possibility to make use of secondary coordination sphere effects. Here, we present a new approach to CO<sub>2</sub> reduction, which is based on host-guest chemistry enabled by an iron porphyrin cage catalyst. When this iron porphyrin cage catalyst is immobilized on a conducting carbon support the selectivity for CO<sub>2</sub> reduction to CO stays above 90 % in a wide range of overpotentials. The hosting of potassium ions in the cage of the catalyst decreases the overpotential of the reduction and increases the catalytical activity while retaining the high selectivity. DFT calculations show that the potassium ions assist the reduction of CO<sub>2</sub> by making the 2-electron transfer from iron(0) to CO<sub>2</sub> exothermic. Upon protonation, the Fe-COOH intermediates have been trapped by combining an electrochemical cell with an electrospray ionization mass spectrometer and their structure has been characterized by cryogenic ion spectroscopy.

## INTRODUCTION

One of the current most pressing scientific challenges is the development of selective catalysts for the reduction of CO<sub>2</sub>, which would enable us to process CO<sub>2</sub> more efficiently and at smaller costs.<sup>1-3</sup> Electrocatalytic CO<sub>2</sub> reduction seems to be the most promising direction<sup>4-6</sup> and many catalysts are currently being developed.<sup>7-12</sup> Optimization of their structures has led to impressively improved properties,<sup>13-17</sup> but the low selectivity with regard to undesired side reactions, such as hydrogen evolution, remains problematic. For a solution to this problem one may take an inspiration from enzymatic reactions, which excel in selectivity and often also in activity.<sup>18,19</sup> Enzymes achieve this by binding and transforming substrates in optimized reaction pockets.<sup>20</sup> Hence, the design of synthetic catalysts should also take advantage of 3D constrained reaction sites, while keeping optimizing of the electronic properties of the catalytic center(s).<sup>21-24</sup>



**Figure 1.** (a) Iron tetraphenylporphyrin [Fe<sup>II</sup>(TPP)] and [Fe<sup>II</sup>(o-TMA)]<sup>4+</sup>, which so far is the best iron porphyrin catalyst for the electrochemical reduction of CO<sub>2</sub>.<sup>25</sup> (b) Iron cage catalyst [(1)Fe<sup>II</sup>]. (c) Right: electrostatic potentials mapped on the electron isodensity surface (0.001) of the DFT-optimized structures of [(1)Fe<sup>0</sup>K<sub>2</sub>] and [(1)Fe<sup>0</sup>(CO<sub>2</sub>)K<sub>2</sub>] (see the theoretical details). The backbone of the porphyrin cage catalyst is shown in the stick representation, the positions of the metals (Fe, K) and O-C-O are highlighted by the ball-and-stick representation. The surface is clipped from the front to show the electrostatic potential inside the cavity.

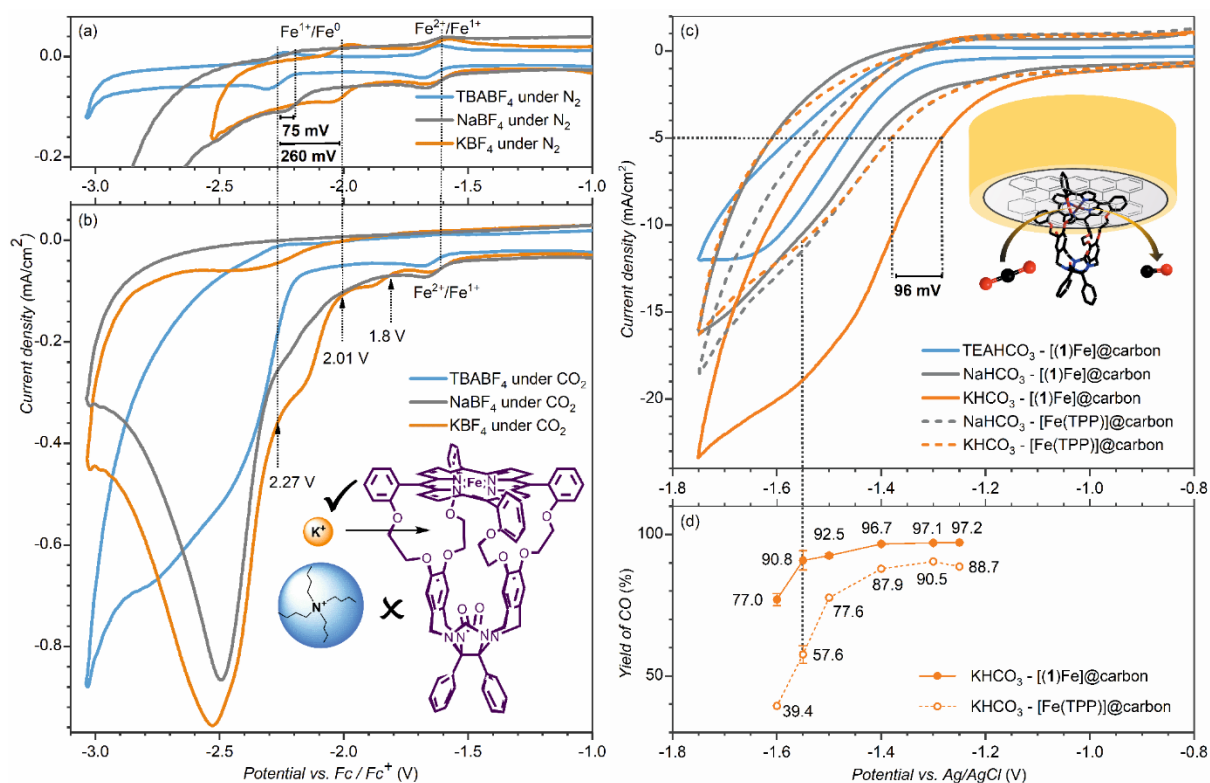
Metalloporphyrin cages may be used to model enzymatic reactions involving heme reaction centers.<sup>26</sup> At the same time, porphyrins are among the most extensively studied catalysts for CO<sub>2</sub> reduction.<sup>27</sup> The systematic variation of the porphyrin backbone has converged to the currently best performing catalyst, which is an iron tetraphenylporphyrin possessing permanently charged ammonium groups near the central metal atom (Figure 1a).<sup>25,28,29</sup> Here, we go one step further and add to this design the constrained space of a diphenylglycoluril-based cage, which acts as a secondary sphere ligand to the iron center<sup>30</sup> (compound [(1)Fe<sup>II</sup>], see Figure 1b). Iron porphyrin catalysts used for the reduction of CO<sub>2</sub> are active in the iron(0) oxidation state making the catalyst doubly negatively charged. Cage catalyst [(1)Fe<sup>II</sup>] has a

glycoluril framework with the sidewalls linked to the metalloporphyrin via oxyethyleneoxy spacers that can serve as crown-ether-like coordination sites for alkali metal ions. Preliminary force-field molecular dynamics simulations of aqueous systems confirm that in particular potassium is well positioned in the sidewalls (Figures S25 and S26). DFT calculated electrostatic potential of the iron cage shows a polarized reaction pocket that might assist in the reduction of CO<sub>2</sub> (Figure 1c, see SI for the computational details). Hence, this suggests that the system represents a perfect case for exploring the effect of a reaction pocket on the CO<sub>2</sub> reduction.

## RESULTS AND DISCUSSION

We investigated the effect of the cage cavity on CO<sub>2</sub> reduction and the possibility to tune the electrostatic potential by hosting alkali metals first by homogeneous electrocatalysis. The host-guest interactions between the cage catalyst and cations can be studied by adding various electrolytes containing different cations. We compared the effect of electrolytes containing K<sup>+</sup> (KBF<sub>4</sub> in DMF/H<sub>2</sub>O), Na<sup>+</sup> (NaBF<sub>4</sub> in DMF/H<sub>2</sub>O), and TBA<sup>+</sup> (TBABF<sub>4</sub> in DMF/H<sub>2</sub>O, TBA = *n*-Bu<sub>4</sub>N). Potassium and sodium ions can coordinate to the oxyethyleneoxy units of the catalyst, whereas the TBA<sup>+</sup> ions are too bulky to coordinate. Hence, in the presence of the latter ions we can monitor the catalytic reactivity of the cage catalyst without the charged cavity.

Cyclic voltammetry of the [(**1**)Fe] solutions under a nitrogen atmosphere (Figure 2a) shows that the redox potential of the Fe<sup>2+</sup> → Fe<sup>+</sup> transition is almost unaffected by the cations of the electrolyte. However, the catalytically important Fe<sup>+</sup> → Fe<sup>0</sup> transition is sensitive to the presence of the alkali metals (compare the blue/gray/orange curves in in Figure 2a). Changing the electrolyte cations from TBA<sup>+</sup> to Na<sup>+</sup> or K<sup>+</sup> resulted in an over-potential shift of 75 mV and 260 mV (*E*<sub>1/2</sub>, Figure S3), respectively, attesting that the alkali metals change the electronic properties of the cage catalyst and that the effect of the potassium ions is particularly strong. The electrochemistry results alone do not reveal, whether the [(**1**)Fe<sup>0</sup>] complex interacts with one or two potassium (sodium) ions (i.e., [(**1**)Fe<sup>0</sup>K]<sup>-</sup> or [(**1**)Fe<sup>0</sup>K<sub>2</sub>]), both is possible. For comparison, the same experiments with the simple tetraphenyl porphyrin iron catalyst [Fe(TPP)] did not show any overpotential shift (Figure S3 in the Supporting Information).



**Figure 2.** Left: Homogeneous cyclic voltammetry (CV) of [(1)Fe] (0.25mM) measured in electrolytes containing TBABF<sub>4</sub> (blue), NaBF<sub>4</sub> (grey), and KBF<sub>4</sub> (orange) (0.1 M in DMF + 0.5M H<sub>2</sub>O; scan rate 100 mV/s) under (a) N<sub>2</sub> and (b) CO<sub>2</sub>. Right: (c) Heterogeneous cyclic voltammetry of immobilized [(1)Fe]@carbon (solid lines) and [Fe(TPP)]@carbon (dashed lines) in TEAHCO<sub>3</sub> (blue), NaHCO<sub>3</sub> (grey), KHCO<sub>3</sub> (orange) electrolytes (0.5 M in H<sub>2</sub>O) under CO<sub>2</sub>. (d) Selectivity of the CO formation in the CO<sub>2</sub>RR catalyzed by [(1)Fe]@carbon (full points) and [Fe(TPP)]@carbon (open points) in 0.5 M aqueous solution of KHCO<sub>3</sub> under CO<sub>2</sub>.

Under the CO<sub>2</sub> atmosphere, an increased current wave at the Fe<sup>+</sup> → Fe<sup>0</sup> potential was observed corresponding to the catalytic CO<sub>2</sub> reduction reaction (CO<sub>2</sub>RR; compare blue lines in Figure 2a and 2b for CO<sub>2</sub>RR in the TBA<sup>+</sup> electrolyte). In the presence of potassium ions, three waves beyond the Fe<sup>2+</sup> → Fe<sup>+</sup> reduction were detected. The first wave (-1.8 V vs. Fc) occurred before the Fe<sup>+</sup> → Fe<sup>0</sup> reduction detected under the N<sub>2</sub> atmosphere (compare Figure 2a and 2b). This process could be a result of the binding of CO<sub>2</sub> to the [(1)Fe]<sup>1+</sup> complex hosting potassium ion(s) that could be then reduced to iron(0) at a lower overpotential. The second current increase is at the detected Fe<sup>+</sup> → Fe<sup>0</sup> reduction potential (-2.01 V) and thus corresponds to the CO<sub>2</sub> reduction reaction with a complex hosting the potassium ion(s). Finally, the CO<sub>2</sub>RR proceeds also at the Fe<sup>+</sup> → Fe<sup>0</sup> reduction potential detected in the TBA<sup>+</sup>-based electrolyte (~-2.27 V) representing the catalysis by the bare [(1)Fe]<sup>1+</sup> catalysts without the guest potassium ions. The catalytic current for the CO<sub>2</sub>RR at -2.01 V is smaller than that observed at -2.27 V. The kinetic hindrance of the CO<sub>2</sub>RR catalyzed by the cage catalyst hosting potassium ion(s) can be due to the stabilization of an intermediate by the interaction with potassium ions, kinetic hindrance of proton transfer reactions, or due to different diffusion properties of the cage catalysts with and without the potassium guests. The results with the

sodium-based electrolyte also suggest an effect of cage-sodium host-guest chemistry on the CO<sub>2</sub>RR, but the effect is weaker than for that of the cage-potassium host-guest chemistry.

The diffusion limitation of the catalysis can be overcome by immobilization of the porphyrin cage catalysts onto a support.<sup>31</sup> At the same time, this immobilization allows the use of aqueous electrolytes which removes a possible kinetic bottleneck of the accompanying proton-transfer reactions<sup>32–34</sup> Therefore, we immobilized the cage catalyst onto an inert vulcanized conducting carbon support (see the optimization procedure and the details of the process in the Supporting Information) and performed the experiments in 0.5 M aqueous solutions of TEAHCO<sub>3</sub>, NaHCO<sub>3</sub>, and KHCO<sub>3</sub>, respectively. The comparison of the cyclic voltammetry experiments in different electrolytes shows that the host-guest chemistry also works for the immobilized [(1)Fe]@carbon catalyst in analogy to the homogeneous catalysis experiments (Figure 2c). Compared to the experiments with the electrolyte containing the non-coordinating TEA<sup>+</sup>, the use of Na<sup>+</sup> or K<sup>+</sup> based electrolytes reduced the onset potential by ~22 mV and by ~110 mV, respectively (Figure S8). To our delight, the catalytic activity at the reduced potentials was not suppressed, but instead enhanced (Figure 2c).

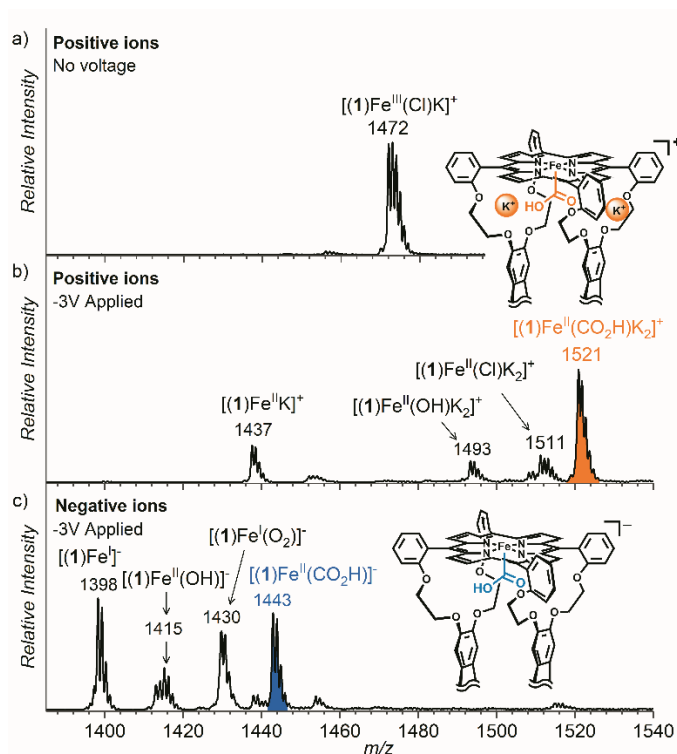
The CO<sub>2</sub>RR catalytic activity is usually larger in the presence of the alkali metal-based electrolytes than in the presence of the ammonium-based electrolytes, regardless of whether the catalyst is immobilized or not.<sup>35,36</sup> Therefore, we decided to assess the inherent effect of the electrolyte by comparing the results obtained for the [(1)Fe]@carbon catalyst with the results for the reference [Fe(TPP)]@carbon catalyst under the same conditions. The onset potentials for the CO<sub>2</sub>RR catalyzed by [Fe(TPP)]@carbon were the same in all studied electrolytes (Figures S7 and S8). As expected, the catalytic activity of [Fe(TPP)]@carbon in alkali-metal based electrolyte solutions was larger than that in solutions containing the TEAHCO<sub>3</sub> electrolyte (Figures S7 and S8). However, there is no significant difference between the NaHCO<sub>3</sub> and KHCO<sub>3</sub> electrolyte solutions (compare the dashed lines in Figure 2c). Hence, the significantly increased catalytic activity of the cage catalyst [(1)Fe]@carbon in the presence of the KHCO<sub>3</sub>-based electrolyte (as compared to the NaHCO<sub>3</sub>-based electrolyte) is most likely the result of the tuning of the catalyst by host-guest interactions. In addition, the overpotential for CO<sub>2</sub>RR with the [(1)Fe]@carbon catalyst in the KHCO<sub>3</sub>-based electrolyte is shifted by 96 mV with respect that of the [Fe(TPP)]@carbon catalyst demonstrating the modified electronic properties of the cage catalyst hosting the potassium ions.

The selectivity of catalysts is often considered to be even more important than their activity. The CO<sub>2</sub>RR catalyzed by porphyrin-based catalysts usually yield CO along with the undesired evolution of H<sub>2</sub>. We compared the selectivity of the [(1)Fe]@carbon catalyst for the production of CO with the selectivity of the [Fe(TPP)]@carbon catalyst in the presence of either TEAHCO<sub>3</sub> or KHCO<sub>3</sub> as the electrolyte salts (Figure S10 and Figure 2d, respectively). The CO : H<sub>2</sub> selectivity of the [(1)Fe]@carbon catalyst was above 90% for potentials up to -1.55 V vs. Ag/AgCl in both electrolytes and this selectivity was always higher than that of [Fe(TPP)]@carbon. Quantitative bulk experiments at -1.55 V in the presence of KHCO<sub>3</sub>-based electrolyte showed circa 90% Faradaic efficiency for CO formation with [(1)Fe]@carbon and

only circa 50% Faradaic efficiency with [Fe(TPP)]@carbon. Hence, these results clearly indicate that the cage catalyst achieves a high CO selectivity in CO<sub>2</sub>RR and this selectivity is retained also after boosting the activity by hosting the potassium ions.

In order to get a deeper insight into the host-guest chemistry of the cage catalyst and into the mechanism of the CO<sub>2</sub> reduction reaction, we studied the intermediates in the electrochemical reaction by electrospray ionization mass spectrometry (ESI-MS).<sup>37</sup> Transferring the intermediates by electrospray ionization into a mass spectrometer is a challenging task,<sup>38-40</sup> because these intermediates are formed at the cathode and presumably are short-lived and thus extremely low abundant in solution.<sup>41</sup> In addition, the reduced reactive species can be oxidized and thus be destroyed during the electrospray ionization process. Nevertheless, an optimized design of an electrochemical cell consisting of a carbon working electrode, a stainless-steel counter electrode, and a Pt wire pseudo-reference electrode controlled by a floating potentiostat assembly (see the Supporting Information) enabled us to detect the desired reduced species (Figure 3). The electrochemically formed complexes are transferred by a silica capillary that is sandwiched in between two carbon sheets of the working electrode directly connected to the electrospray ionization source of a mass spectrometer.

The reference ESI-MS spectrum of an acetonitrile solution of [(1)Fe<sup>III</sup>Cl] and KBF<sub>4</sub> showed one dominant signal for [(1)Fe<sup>III</sup>(Cl)K]<sup>+</sup> (Figure 3a). After applying a negative voltage (-3 V), the signal of [(1)Fe<sup>III</sup>(Cl)K]<sup>+</sup> depleted and the spectra showed the formation of Fe<sup>II</sup> complexes in the positive mode and Fe<sup>I</sup> complexes in the negative mode (Figure 3 and Figure S15, respectively). The reactive Fe<sup>0</sup> complexes should be either neutral [(1)Fe<sup>0</sup>K<sub>2</sub>] or anionic [(1)Fe<sup>0</sup>K]<sup>-</sup> and [(1)Fe<sup>0</sup>]<sup>2-</sup> species. The neutral complexes cannot be detected by mass spectrometry and the reactive anions likely lose electrons easily during the ionization process<sup>42</sup> to form the detected [(1)Fe<sup>I</sup>]<sup>-</sup>. Therefore, their absence in the spectra is not surprising. After saturation of the solution with CO<sub>2</sub>, new complexes appeared (see color-highlighted signals in Figures 3b and 3c). The detected intermediates contain the CO<sub>2</sub>H group and correspond to positively charged [(1)Fe<sup>II</sup>(CO<sub>2</sub>H)K<sub>2</sub>]<sup>+</sup> and negatively charged [(1)Fe<sup>II</sup>(CO<sub>2</sub>H)]<sup>-</sup>. These intermediates are formed by the reaction of either [(1)Fe<sup>0</sup>K<sub>2</sub>] or [(1)Fe<sup>0</sup>]<sup>2-</sup>, respectively, with CO<sub>2</sub>, followed by protonation. Both complexes probably have a short life time and therefore can only be trapped at a low temperature (-40 °C). This is the first time that such intermediates in CO<sub>2</sub>RR have been detected by mass spectrometry, which opens the possibility to study their unimolecular properties and their spectroscopic characteristics.



**Figure 3.** (a) EC-ESI-MS (electrochemistry – electrospray ionization mass spectrometry) spectra of an acetonitrile solution of  $[(\mathbf{1})\text{Fe}^{\text{III}}\text{Cl}]$  (0.143 mM) and  $\text{KBF}_4$  (0.150 mM) measured under the  $\text{N}_2$  atmosphere without applying a voltage. (b,c) The same experiment but now under the  $\text{CO}_2$  atmosphere at  $-40^\circ\text{C}$  with an applied voltage of  $-3\text{ V}$  (vs. Pt wire reference electrode) in the positive (b) and the negative (c) ion mode.

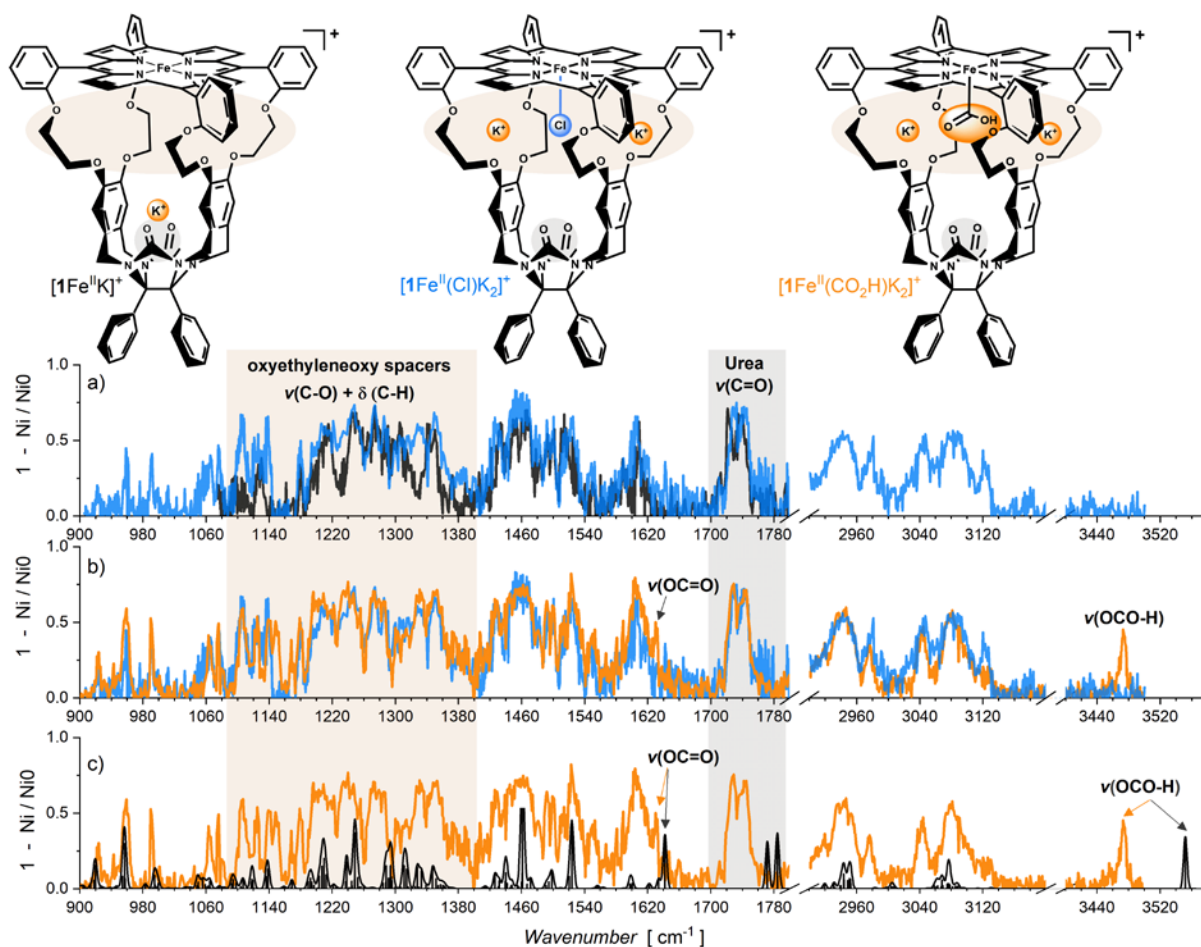
The collision-induced dissociation of the intermediates leads almost exclusively to the elimination of CO and the formation of the corresponding iron-hydroxo complexes  $[(\mathbf{1})\text{Fe}^{\text{II}}(\text{OH})\text{K}_2]^+$  and  $[(\mathbf{1})\text{Fe}^{\text{II}}(\text{OH})]^-$  (Figure S17). The bond dissociation energy (*BDE*) for the CO loss determined from energy-resolved experiments<sup>43,44</sup> amounts to  $1.29 \pm 0.05\text{ eV}$  for  $[(\mathbf{1})\text{Fe}^{\text{II}}(\text{CO}_2\text{H})\text{K}_2]^+$  and to  $1.24 \pm 0.10\text{ eV}$  for  $[(\mathbf{1})\text{Fe}^{\text{II}}(\text{CO}_2\text{H})]^-$  (Figure S20). Hence, the hosting of the potassium ions in the cage does not significantly affect the energy demand of the rate-determining C-O bond cleavage (at least in the gas phase).<sup>45,46</sup> Although we can study this fragmentation only in the gas phase, the mass spectra of the complexes directly taken from solution suggest that the hydroxo complexes  $[(\mathbf{1})\text{Fe}^{\text{II}}(\text{OH})\text{K}_2]^+$  and  $[(\mathbf{1})\text{Fe}^{\text{II}}(\text{OH})]^-$  form in solution and only in the presence of  $\text{CO}_2$  (cf. Figure 3 and Figures S15 and S16). Hence, the data are consistent with the pathway from the iron hydroxycarbonyl complexes to the hydroxo complexes. Frequently considered iron carbonyl intermediates were not detected under any experimental conditions.<sup>47</sup>

The structure of the isolated  $[(\mathbf{1})\text{Fe}^{\text{II}}(\text{CO}_2\text{H})\text{K}_2]^+$  intermediate was characterized by cryogenic infrared photodissociation (IRPD) spectroscopy (Figure 4).<sup>48–52</sup> The interpretation of the spectrum of  $[(\mathbf{1})\text{Fe}^{\text{II}}(\text{CO}_2\text{H})\text{K}_2]^+$  is based on a comparison with the IRPD spectra of the related  $[(\mathbf{1})\text{Fe}^{\text{II}}\text{K}]^+$  and  $[(\mathbf{1})\text{Fe}^{\text{II}}(\text{Cl})\text{K}_2]^+$  complexes. The IRPD spectra of these reference ions differ in the position of the C-O stretching and  $\text{CH}_2$  deformation vibrations of the crown ether-

like moiety of the cage ligand and in the position of the urea carbonyl vibrations (see highlighted areas in Figure 4a). The urea carbonyl vibrations of  $[(\mathbf{1})\text{Fe}^{\text{II}}\text{K}]^+$  are slightly red-shifted compared to those of  $[(\mathbf{1})\text{Fe}^{\text{II}}(\text{Cl})\text{K}_2]^+$ , suggesting that the potassium ion in the  $[(\mathbf{1})\text{Fe}^{\text{II}}\text{K}]^+$  complex is coordinated lower in the cavity in the vicinity of the carbonyl groups (see also a comparison with the spectrum of  $[(\mathbf{1})\text{Fe}^{\text{III}}]^+$  in Figure S22). The differences in the crown ether-like moiety range suggest that the two potassium ions of  $[(\mathbf{1})\text{Fe}^{\text{II}}(\text{Cl})\text{K}_2]^+$  are likely coordinated to the oxyethyleneoxy functions attached to the sidewalls of the cage compound.

The IRPD spectrum of the  $[(\mathbf{1})\text{Fe}^{\text{II}}(\text{CO}_2\text{H})\text{K}_2]^+$  intermediate is almost identical to that of  $[(\mathbf{1})\text{Fe}^{\text{II}}(\text{Cl})\text{K}_2]^+$  attesting the same mode of potassium coordination, i.e. to the oxyethyleneoxy functions of the cage. The presence of the hydroxycarbonyl functionality is evidenced by the additional peaks in the IRPD spectrum of  $[(\mathbf{1})\text{Fe}^{\text{II}}(\text{CO}_2\text{H})\text{K}_2]^+$  in comparison to that of  $[(\mathbf{1})\text{Fe}^{\text{II}}(\text{Cl})\text{K}_2]^+$ : the O-H vibration is at  $3473\text{ cm}^{-1}$  and the C=O vibration at  $1630\text{ cm}^{-1}$ . For comparison, the C=O vibration of the independently generated formate (O-coordinated carboxylate) complex  $[(\mathbf{1})\text{Fe}^{\text{II}}(\text{OCOH})\text{K}_2]^+$  is at  $1638\text{ cm}^{-1}$  (Figure S12) and the CO frequency of a recently detected iron porphyrinoid hydroxycarbonyl in solution is  $1682\text{ cm}^{-1}$ .<sup>53</sup> Our assignment was further corroborated by DFT calculations. The most stable isomer of  $[(\mathbf{1})\text{Fe}^{\text{II}}(\text{CO}_2\text{H})\text{K}_2]^+$  has its hydroxycarbonyl group coordinated to the iron center on the inside of the cage and this binding mode is stabilized by interactions between the oxygen atoms of the hydroxycarbonyl group and the potassium ions coordinated to the oxyethyleneoxy functions of the sidewalls (see Figures S21 and S23 for all DFT calculations in the gas phase). The theoretical IR spectrum reproduces all features in the experimental IRPD spectrum and attest the structure of the intermediate (Figure 4c). Alternative structures with different coordination of the potassium ions are less energetically favored and their IR spectra do not agree with the experimental one (Figure S21).



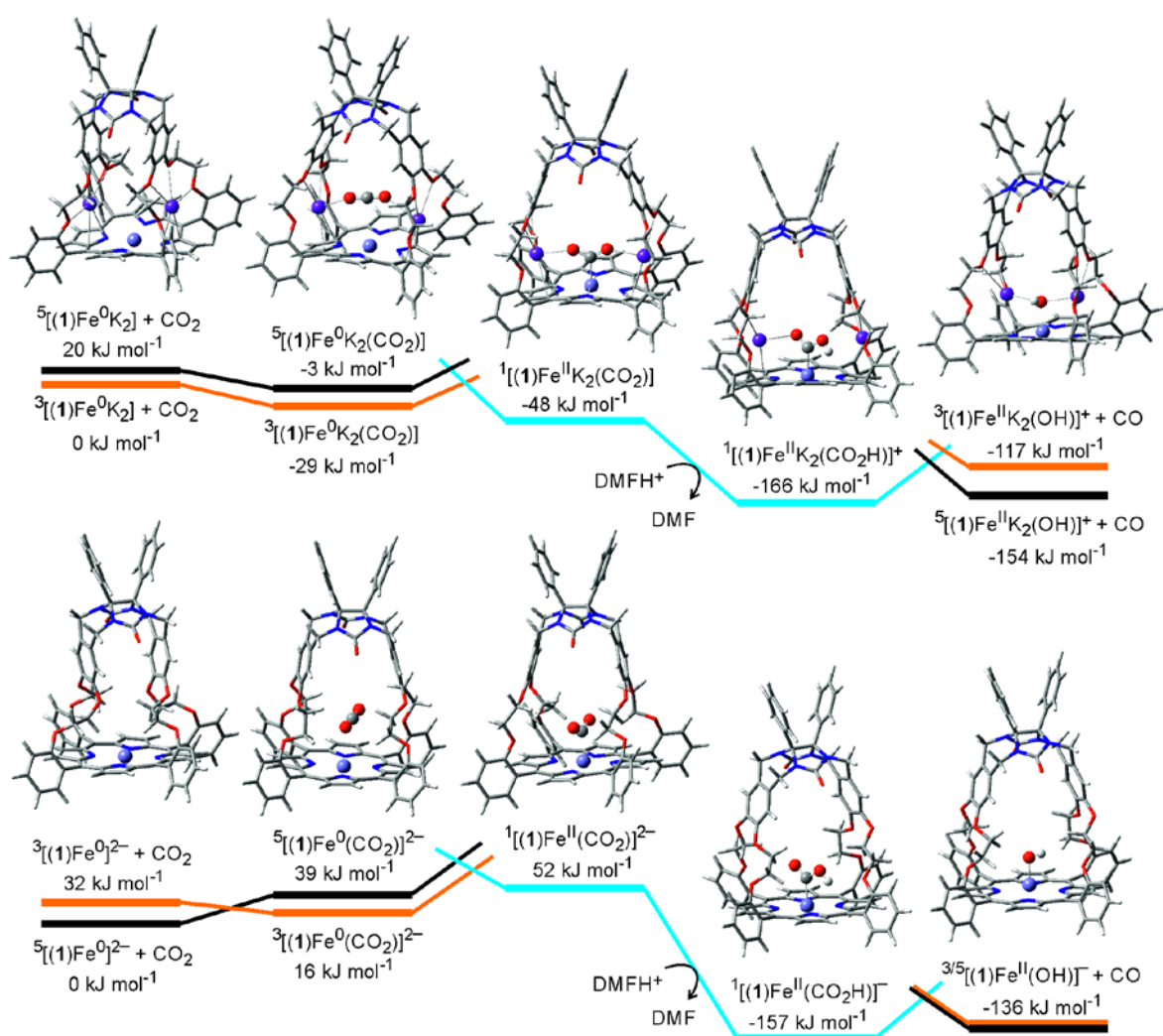


**Figure 4.** (a) IRPD spectra of the mass selected ions  $[(1)\text{Fe}^{\text{II}}(\text{Cl})\text{K}_2]^+$  (blue) and  $[(1)\text{Fe}^{\text{II}}\text{K}]^+$  (black). (b) IRPD spectra of the mass selected ions  $[(1)\text{Fe}^{\text{II}}(\text{Cl})\text{K}_2]^+$  (blue) and  $[(1)\text{Fe}^{\text{II}}(\text{CO}_2\text{H})\text{K}_2]^+$  (orange). (c) Theoretical IR spectrum of gaseous  $[(1)\text{Fe}^{\text{II}}(\text{CO}_2\text{H})\text{K}_2]^+$  (black, B3LYP-D3/def2SVP; see also Figure S22) and the IRPD spectrum  $[(1)\text{Fe}^{\text{II}}(\text{CO}_2\text{H})\text{K}_2]^+$  (orange).

Finally, we explored the mechanism of the  $\text{CO}_2\text{RR}$  by DFT calculations (Figure 5). The calculations suggest that  $[(1)\text{Fe}^0]^{2-}$  has a quintet ground state.<sup>54,55</sup> The complexation of  $\text{CO}_2$  inside the cavity is associated with the spin change to the triplet state and is slightly endoergic. The C-binding of  $\text{CO}_2$  to the iron center is associated with a 2-electron transfer from iron to  $\text{CO}_2$  and leads to the singlet state  $^1[(1)\text{Fe}(\text{CO}_2)]^{2-}$  complex that is protonated in a strongly exothermic step to form the detected intermediate  $[(1)\text{Fe}(\text{CO}_2\text{H})]^-$ . We have approximated the protonation as a reaction with a protonated DMF molecule (other approaches would lead to slightly different values for the exothermicity of this step, but would not change the statement that this step is exothermic). Finally, the CO elimination leads to  $[(1)\text{Fe}(\text{OH})]^-$ . This step is slightly endoergic and it is associated with a spin flip (the calculations predict the same energy for the triplet and the quintet state).

Introducing potassium cations in the cage stabilizes the triplet state as the ground state of the starting complex  $[(1)\text{Fe}^0\text{K}_2]$ . The complex binds  $\text{CO}_2$  inside the cavity with both

oxygen atoms weakly coordinating to the potassium ions and the process is exoergic. The electrostatic field of the potassium ions in  $^3[(1)\text{FeK}_2(\text{CO}_2)]$  makes the following 2-electron reduction step towards the singlet state complex  $^1[(1)\text{FeK}_2(\text{CO}_2)]$  exothermic, because the potassium ions stabilize the negative charge formed at the oxygen atoms (as also suggested in Figure 1). The subsequent protonation of the  $^1[(1)\text{FeK}_2(\text{CO}_2)]$  intermediate is strongly exothermic and the final step of CO elimination is associated with a spin-flip to the quintet state and is endoergic. The comparison of both potential energy surfaces shows that the placing of the positively charged ions above the porphyrin plane inverts the key steps of the  $\text{CO}_2$  reduction from endothermic to exothermic and thus explains a large activity of the cage catalyst  $[(1)\text{Fe}^0\text{K}_2]$  as well as of previously published  $[\text{Fe}^{\text{II}}(\text{o-TMA})]^{4+}$ .<sup>25</sup> We expect that this result is general, independent of the DFT model.



**Figure 5.** Potential energy surfaces (M06L-D3/def2SVP, SMD solvation model for DMF) for reaction of  $[(1)\text{Fe}^0\text{K}_2]$  (top) and  $[(1)\text{Fe}^0]^{2-}$  (bottom) with  $\text{CO}_2$ , respectively. The energies are Gibbs free energies at 298 K and 1 atm in the DMF solvation model. Enthalpies at 0 K and 298 K as well as the optimized geometries are in the Supporting Information (Figure S24). The positions of Fe, K, and  $\text{CO}_2$  are highlighted by using ball and stick presentation. The depicted structures correspond to the given ground states. The energy levels are spin-state color-coded: blue = singlet, orange = triplet, black = quintet.

## CONCLUSIONS

The iron porphyrin glycoluril-based cage complex [(1)Fe] offers a constrained reaction site that promotes electrochemical reduction of CO<sub>2</sub> to CO with a selectivity that is largely superior to that of the reference iron tetraphenylporphyrin complex. In addition, we show that the host-guest chemistry of the cage can be used to modify the electronic properties of the metal center. The oxyethyleneoxy functions attached to the side walls of the cage catalyst are optimal for hosting the potassium ions, which opens the possibility to use the electrolyte containing this ion for tuning the electronic properties of the catalyst. The immobilized iron cage catalyst [(1)Fe]@carbon has both a higher activity and a higher selectivity for CO<sub>2</sub>RR at a 96 mV reduced overpotential in the KHCO<sub>3</sub>-based electrolyte than the reference catalyst [Fe(TPP)]@carbon. The combination of electrochemistry and electrospray ionization mass spectrometry allowed us to detect the key intermediates of the reaction, i.e. [(1)Fe<sup>II</sup>(CO<sub>2</sub>H)K<sub>2</sub>]<sup>+</sup> and [(1)Fe<sup>II</sup>(CO<sub>2</sub>H)]<sup>-</sup>. Both intermediates decompose by the elimination of CO, yielding iron(II)hydroxo complexes with a similar activation energy. The structure of the [(1)Fe<sup>II</sup>(CO<sub>2</sub>H)K<sub>2</sub>]<sup>+</sup> intermediate including the details of the potassium binding was unraveled by cryogenic ion spectroscopy of the isolated ions. DFT calculations showed that the favorable electrostatic potential formed by the potassium ions make all steps of CO<sub>2</sub>RR exoergic. Especially, the key step of 2-electron reduction to form the Fe<sup>II</sup>-COO<sup>-</sup> intermediate is endothermic for [(1)Fe(CO<sub>2</sub>)]<sup>2-</sup>, but becomes exothermic for [(1)Fe<sup>II</sup>(CO<sub>2</sub>)K<sub>2</sub>].

## EXPERIMENTAL AND COMPUTATIONAL DETAILS

**Materials.** Chemicals were obtained from commercial sources. The diphenylglycoluril-based cage ligand 1H<sub>2</sub> was prepared according to the published procedure.<sup>56</sup> The iron complex [(1)Fe<sup>III</sup>Cl] was prepared using a common procedure with [FeCl<sub>2</sub>(H<sub>2</sub>O)<sub>4</sub>] and characterized (UV-vis (CHCl<sub>3</sub>) λ<sub>max</sub>, nm (ε): 416 (3.07 × 10<sup>5</sup> L·mol<sup>-1</sup>·cm<sup>-1</sup>), 510 (3.81 × 10<sup>4</sup> L·mol<sup>-1</sup>·cm<sup>-1</sup>), 574 (1.30 × 10<sup>4</sup> L·mol<sup>-1</sup>·cm<sup>-1</sup>). Emission (CHCl<sub>3</sub>, λ<sub>excitation</sub> = 416 nm) λ<sub>max</sub>, nm: 472, 650, 717. HRMS: calcd. for [C<sub>84</sub>H<sub>62</sub>N<sub>8</sub>O<sub>10</sub>Fe·CH<sub>3</sub>OH]<sup>+</sup> 1430.42037, found 1430.39428.). For more details, see the SI.

**Electrochemistry:** Homogeneous cyclic voltammetry (CV) experiments were performed using a standard three electrode assembly in a solution of 0.25 mM catalyst, 0.1 M of a supporting electrolyte (TBABF<sub>4</sub>, NaBF<sub>4</sub> and KBF<sub>4</sub>) and 0.5 M H<sub>2</sub>O in DMF (10 mL). We used a glassy carbon working electrode, a double junction non-aqueous Ag/AgCl reference electrode filled with 2 M LiCl in ethanol as the inner electrolyte, and a platinum mesh of 2 cm<sup>2</sup> area as the counter electrode. The reference electrode was calibrated against the Ferrocene/Ferrocenium redox couple. Prior to the measurements the solution was bubbled with N<sub>2</sub> or CO<sub>2</sub> for 30 minutes and during the measurements the corresponding gas was kept flowing through the head space.

Heterogeneous experiments were performed with the catalyst deposited at the vulcanized carbon black (the optimized mass ratio of carbon to the catalyst was 1:1/6, see the SI for the details of the preparation and the optimization). The catalyst ink (10 μL) was drop-casted on a clean glassy carbon electrode and air dried (using IR lamp). The reference electrode was an aqueous Ag/AgCl reference electrode filled with 3 M KCl. The CVs were recorded in aqueous bicarbonate solution (0.5 M) of different electrolytes (TEAHCO<sub>3</sub>, NaHCO<sub>3</sub>, KHCO<sub>3</sub>) purged either with N<sub>2</sub> or with CO<sub>2</sub> for 30 minutes. A blank experiment performed only with carbon black in KHCO<sub>3</sub> did not show any CO<sub>2</sub>RR activity (Figure S6). Product analysis was done by performing preparative controlled potential electrolysis (CPE) at different polarization voltages. We used a modified H-cell (Figure S10) with three compartments, working electrode and counter electrode separated by a Nafion proton exchange membrane

(activated prior to the assembly), and an additional CO<sub>2</sub> saturation compartment. The saturation compartment was attached to the working electrode through an opening, allowing a free mixing of electrolytes between compartments. Head space of the working electrode was held air tight and connected to an in-line gas chromatograph. The catalyst ink (500 μL) was drop casted (10 μL x 50) on a Toray carbon paper electrode (area = 1 cm<sup>2</sup>) and dried in the air (using IR Lamp). The reference electrode (aq. Ag/AgCl-3 M KCl) was placed close to the working electrode. A platinum wire gauze (geometrical area approx. 11 cm<sup>2</sup>) was used as the counter electrode. The head space of the cathode compartment was calibrated injecting known volumes CO and H<sub>2</sub>. Before the measurement, electrolyte was deoxygenated with N<sub>2</sub> purging and then saturated with CO<sub>2</sub>. The CO<sub>2</sub> bubbling was continued in the saturation compartment and the electrolyte was stirred during the measurement (10 min). The gaseous products from the cathode head space were analysed by the in-line GC (see more details in the SI). An extended electrolysis for 2 hrs at -1.4 V (vs. Ag/AgCl) and subsequent NMR analysis was performed to check the possible formation of liquid products.

**Mass spectrometry.** ESI-MS spectra were measured with a LCQ mass spectrometer (a Paul-type ion trap) connected through a silica capillary to a gas-tight, small volume single compartment electrochemical cell with three electrode assembly (a stainless-steel counter electrode, a Pt wire reference electrode - pseudo-reference electrode, and a Toray carbon working electrode). The silica capillary was sandwiched in between two Toray carbon sheets of the working electrode. The electrochemically generated species on the surface of the working electrode were transferred with the capillary (using N<sub>2</sub>/CO<sub>2</sub> overpressure in the cell) to the mass spectrometer. The polarization of the electrode was controlled using a USB powered potentiostat (Ivium pocketSTAT2). The interference from the high voltage of ESI source was eliminated by operating potentiostat without a ground connection (floating). A 5 kV USB isolator was used between the connection to the computer to decouple the potentiostat from the ground contact (see the SI for further details). The solutions consisted of the cage catalyst (0.8 mg) dissolved in 0.5 mL of DCM and diluted to 4 mL with ACN to a final concentration of 143 μM with addition of the KBF<sub>4</sub> salt (150 μM) serving as both supporting electrolyte and K<sup>+</sup> ion source (see more details and results in the SI, Figure S14).

**Ion spectroscopy.** The helium tagging infrared photodissociation (IRPD) spectra were measured with the ISORI instrument.<sup>48,57</sup> The ions were generated in the same way as for the electrochemistry mass spectrometry study. The ions of interest were mass-selected by a quadrupole mass filter and guided to a cold ion trap (~10 K) by an octopole ion guide. The ions were trapped and thermalized in collisions with helium buffer gas. The cold ions formed complexes with helium MHe<sup>+</sup> that were used for monitoring IR photon absorption. The trapped ions were irradiated by a tuneable NdYAG laser pumped OPO/OPA system (Laser Vision). After the irradiation, the ions were extracted from the trap, mass-analysed by a quadrupole and detected with a Daly-type detector working in the counting mode. The absorption of given photons ( $\nu$ ) was monitored as a depletion of the number of the MHe<sup>+</sup> complexes ( $N_0$ ). The spectrum is plotted as  $1-N(\nu)/N_0$ , where  $N(\nu)$  and  $N_0$  were measured in alternating cycles with or without the laser beam admitted to the trap (for more details, see the SI).

**DFT calculations.** The preliminary calculations (Figure 1) were performed in the gas phase using the M06L functional<sup>58</sup> with the D3 dispersion<sup>59</sup> correction and with the def2SVP basis set<sup>60</sup> as implemented in the Gaussian program. The exploration of the mechanism (Figure 5) was performed at the same level but including the SMD solvation model for DMF (N,N-dimethylformamide). The relative Gibbs energies were corrected for the change in the number of the moles ( $n$ ) in a reaction step by a correction of  $(7.9 \Delta n)$  kJ mol<sup>-1</sup>. The relative energies at 0K are in Figure S24. The IRPD spectra are compared to the harmonic theoretical IR spectra calculated in the gas phase at the B3LYP-D3/def2SVP of theory.<sup>61-63</sup> The scaling factor is 0.978. All reported results are for the minima on the potential energy surfaces as verified by the Hessian calculations.

## **ASSOCIATED CONTENT**

### **Supporting Information**

Further experimental and theoretical details, all electrochemistry results, details of the experiments and their analysis, the details of the electrochemistry setup for bridging with electrospray ionization, experimental and calculated spectra, theoretical results in the gas phase, calculated structures (in XYZ format) This material is available free of charge via the Internet at <http://pubs.acs.org>.

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### **Notes**

The authors declare no competing financial interests.

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