Photocatalytic selective 1,2-hydroxyacylmethylation of 1,3-dienes with sulfur ylides as source of alkyl radicals

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Exploration of the zwitterionic property of sulfur ylides has long been known as a flexible strategy in a wide range of chemical transformations for different ring-sized construction. By contrast, their use in radical synthetic chemistry has been significantly limited due to dearth of general activation methods. Herein, a convenient strategy of visible light-driven proton-coupled electron transfer was reported to enable sulfur ylides to decompose into the corresponding α -carbonyl carbon radicals. With this method, a highly selective 1,2-hydroxyacylmethylation between 1,3-dienes, sulfur ylides and water under photoredox catalysis is thus achieved (>40 examples). Preliminary mechanistic studies and theoretical calculations shed light on the mechanism and the origin of regioselectivity.

Exploration of the zwitterionic property of sulfur ylides has long been known as a versatile strategy in a wide range of chemical transformations for different ring-sized construction.¹ Some of the these thermal reactions have also become textbook knowledge. In comparision to these ionic chemistry, use of sulfur ylides in radical synthetic chemistry has been significantly limited due to dearth of general activation methods, though direct photolysis of sulfur ylides² and theoretical studies³ on sulfur ylide radical cations have been the subject of studies for more than five decades. Some of these early studies also disclosed that the chemical reactivity of sulfur ylide radical cations, generated from sulfur ylides by single electron transfer (SET) oxidation, were highly dependent on their substitution patterns. For instance, a pioneering study of Schuster revealed that stabilized sulfur ylides could undergo visible light-driven SET-oxidation to form sulfur ylide radical cations, when using 9,10-dicyanoanthracene (DCA) as a photocatalyst.⁴ However, mixtures of different products consisting of cyclopropanes and alkenes were observed. Building on this work and by employing the reactivity of sulfur ylide radical cations, we have recently developed a range of photoredox-catalyzed radical coupling reactions of sulfur ylides.⁵ Key to the success of these processes is the controlled generation of sulfur ylide radical cations through a SET-oxidation event under photocataltyic conditions (Scheme 1a). On the basis of these studies, we attempted to further explore the synthetic potential of sulfur ylides in radical chemistry. Thus, we envisioned development of a distinct catalytic activation platform for decomposion of sulfur ylides into their corresponding neutral alkyl radicals rather than radical cations, given the wide applications of carbon-centred radicals in radical transformations.⁶

With the introduction of visible light photoredox catalytic methods for the generation of various open-shell species under mild conditions,⁷ sulfonium salts have been indentified to be a versatile class of precursors to form the relevant carboncentred radicals upon SET-reduction-triggered C-S bond cleavage (Scheme 1a).^{8,9} A series of outstanding works from the groups of Ritter,¹⁰ Wang,¹¹ Procter,¹² Shi,¹³ Alcarrazo,¹⁴ and Yang¹⁵ established that a range of aryl, alkyl, and α -diazo sulfonium salts could serve as competent source of functionalized aryl and alkyl radicals to particiapte in diverse Cand C-heteroatom bond-forming reations through С photoredox cycles. Despite these impressive contributions, to our knowledge, less reactive and stabilized sulfur ylides such as these derived from α -bromocarbonyl compounds, have yet to been exploited for the generation of the relevant α -carbonyl carbon radicals. As part of our interest in the photoredox catalysis¹⁶ and sulfur ylide chemistry,⁵ we wondered whether the merger of visible light photoredox catalysis and protoncoupled electron transfer (PCET)¹⁷ would provide a new approach for conversion of sulfur ylides to the corresponding alkyl radicals in a controlled manner (Scheme 1a). Since the work of Kellogg on the photoinduced SET-reduction-mediated generation of α -carbonyl carbon radicals from the α bromocarbonyl compounds,¹⁸ α-carbonyl alkyl bromides have found extensive in numerous photoredox catalyzed radical cross-couplings.^{19,20} However, such compound class, in some instances, have been plagued by their low reactivity and susceptibility to thermal nucleophilic substitution due to the good leaving ability of bromide. The resultant bromide anions are also oxidation labile species. To overcome these potential challenges, MacMillan^{21a,b} and Zeitler^{21c} documented that α acetoxy acetophenones provided an elegant alternative class of precursors towards α -carbonyl carbon radicals. If successful, thus, our method would complement existing methods for catalytic generation of α -carbonyl carbon radicals, while circumventing the possible side reactions and efficiency issues

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encountered in the direct use of sulfonium salts or $\alpha\mbox{-bromo}$ carbonyl compounds.



Scheme 1 Background. (a) State-of-the-art light-induced methods for the generation of α -carbonyl carbon radicals and our methods. (b) Our reaction design and working hypothesis. SET = single electron transfer. PC = photocatalyst.

With the evolvement of photoredox catalysis,7 visible lightdriven radical-mediated 1,4- and 1,2-difunctionalization of 1,3dienes and derivatives has emerged as a powerful paradigm for simultaneous installation of carbon-carbon and/or carbonheteroatom bonds.²² The resultant allylic compounds are useful synthetic building blocks because of their unique structural characteristics. The majority of these reactions were triggered by addition of photogenerated carbon-centred radicals to the terminal alkene moiety. Notwithstanding the impressive advances, some challenges still remain, such as expansion of the current pool of radical precursors and coupling partners, as well as selectivity caused by the inherent structural property of 1,3dienes. Building our recent achievements on tandem radical addition and C-O coupling reactions of 1,3-dienes²³ and design plan for activation of sulfur ylides (Scheme 1a), we became interested in investigating selective 1,2hydroxyacylmethylation of 1,3-dienes with sulfur ylides as source of alkyl radicals and water as a nucleophile (Scheme 1b). The achievement of this reaction would provide a practical method for preparation of valuable ketone-containing allylic alcohols, complementing the existing radical-involved allylic functionalization.²⁴ In addition to activation of sulfur ylides, however, several other challenges might also be considered, such as efficient trap of α -carbonyl carbon radical by 1,3-dienes, control of the regioselectivity during the couping between nucleophile and allylic radical intermediate that was often met in the radical reactions of 1,3-dienes. Herein, we report how this idea was translated into experimental reality, enabling the target selective 1,2-hydroxyacylmethylation reaction of 1,3-dienes.





Entry	Variation	Yield ^b (%)
1	none	59
2	H ₂ O (1.0 equiv)	19
3	H ₂ O (5.0 equiv)	43
4	H ₂ O (20.0 equiv)	57
5	Ru(bpy) ₃ Cl ₂ •6H ₂ O	< 5
5	Eosin Y, 20 W green LED	< 5
7	Ph-PTZ, 20 W purple LED	10
3	<i>fac</i> -[Ir(ppy)₃] (1.0 mol%)	40
Э	<i>fac</i> -[Ir(ppy)₃] (5.0 mol%)	64
10	HFIP	8
11	EtOH, DMF, or CH₃CN	< 5
12	1a/2a /H ₂ O = 1/3/15	63
13	1a/2a /H ₂ O = 1/3/15, 10 W blue LED	46
14	1a/2a /H ₂ O = 1/3/15, 40 W blue LED	55
15	1a/2a/H ₂ O = 1/3/15, CF ₃ CH ₂ OH/CH ₂ Cl ₂ (2.5 + 0.5 mL)	74
16	1a/2a/H ₂ O = 1/3/15, CF ₃ CH ₂ OH/CH ₂ Cl ₂ (2.5 + 0.5 mL)	94 (90) ^d
	Et₃N·3HF (1.0 equiv) ^c	

^{*a*} Reaction conditions: **1a** (0.60 mmol, 3.0 equiv), **2a** (0.20 mmol), H₂O (3.0 mmol, 15.0 equiv), and *fac*-[Ir(ppy)₃] (0.004 mmol, 2.0 mol%), in CF₃CH₂OH (2.0 mL) at rt under argon atmosphere and the irradiation of 20 W blue LED (450-460 nm, distance ca. 3 cm) for 24 h. ^{*b*} Determined by ¹H NMR analysis using 1,3,5-trimethoxybenzene as an internal standard. ^{*c*} Reaction time shortened to 7 h. ^{*d*} Isolated yield. HFIP = 1,1,1,3,3,3-hexafluoro-2-propanol.

Initially, we examined the feasibility of the designed threecomponent 1,2-hydroxyacylmethylation reaction using 1phenylbutadiene 1a, sulfur ylide 2a and H₂O as the model substrates with 3/1/15 equivalent ratio (Table 1).25 The representative optimization results are highlighted in Table 1. Pleasingly, the desired reaction could indeed work smoothly to form 1,2-adduct product 3aa exclusively in 59% NMR yield, when using fac-[Ir(ppy)₃] as the photocatalyst in CF₃CH₂OH as solvent under irradiation of a 20 W blue LED at room temperature for 24 h (entry 1). During optimization studies, it was found that the reaction was very sensitive to the amounts of H₂O, photocatalysts and reaction media. As shown in entries 2-4, varying stoichiometry of H₂O has an obvious effect on the reaction effciency, and a fifteenfold amount of H₂O was the best of choice. Next, we screened several other commonly used Ir(III) and Ru(II) photocatalysts as well as organic photocatalysts. organic photocatalyst Among them, only 10phenylphenothiazine (Ph-PTZ) gave a 10% yield of 3aa (entry 7),

while the others resulted in very low conversion or messy mixture. As 1 mol% or 5 mol% loading of photocatalyt *fac*-[Ir(ppy)₃] did not lead to significant improvement of the yield (entries 8 and 9), a 2 mol% loading was used for further optimisation in terms of cost and efficiency. An extensive survey of solvents was also performed and it was found that CF₃CH₂OH was still optimal. Other solvents such as EtOH, DMF and CH₃CN completely inhibited the desired reactivity (entry 11), while 1,1,1,3,3,3-hexafluoro-2-propanol (HFIP) resulted in trace amount of **3aa** (entry 10). These results implied that CF₃CH₂OH might serve as a proton source to participate in the activation of sulfur ylide **2a**.

Upon further screening of various equivalent ratios of 1a, 2a and H₂O, it was established that the yield was increased to 63%, when using 1-phenylbutadiene 1a as limiting reagent with threefold and fifteenfold excess of 2a and H₂O, respectively (entry 12). Using such a ratio of three reaction components, we proceeded to screen other parameters regarding light intensity, concentration and additives. Though the decrease and increase of light intensity both resulted in an decrease of yield (entries 13 and 14), a mixture solvent system of CF₃CH₂OH/CH₂Cl₂ (5/1, v/v) and a concentration of 0.067 M increased the yield to 74% (entry 15). Interestingly, we found that the reaction outcome was also greatly influenced by the acidity/basicity of the reaction mixture. An extensive screen of additives identified Et₃N·3HF (1.0 equiv) to be optimal, with **3aa** being isolated in 90% yield (entry 16). Finally, a series of control experiments confirmed that photocatalyst and visible light irradiation are both critical to the reaction.²⁵

Having established effective conditions for selective 1,2hydroxyacylmethylation of 1-phenylbutadiene 1a, we first investigated the scope of 1,3-dienes. The results are highlighted in Table 2. As for 1-aryl-1,3-butadienes, there is no obvious requirement for electronic properties or specific substitution patterns in this system. For instance, in addition to 1a, a range of 1-aryl-1,3-butadiene derivatives 1b-p bearing an electrondonating (e.g., Me, OMe, t-Bu) or electron-withdrawing (e.g., F, Cl, Br, CF₃ and OCF₃) substituent at para-, ortho- or metaposition of the aromatic ring all react smoothly to give 3ba-pa with generally good efficiency (51-96% yield). Moreover, 1,3dienes 1q having 3-thiophenyl and 1r with 2-naphthyl group also prove to be compatible with the reaction, affording the expected products 3qa and 3ra in 74% and 65% yields, respectively. Notably, as shown in the case of substrates 1s and 1t with disubstituted phenyl ring, the increased steric hindrance of phenyl ring has no deleterious effect on the reaction, with the corresponding product 3sa and 3ta being obtained with good yields. Note that these products (e.g., 3ea-ga, 3ia-ja and 30a-pa) bearing halogen functionalities such as F, Br, and Cl, offer excellent handles for further synthetic elaborations. Encouraged by these results, we extended the protocol to some disubstituted 1,3-dienes to construct structurally more complex allylic alcohols. Under the standard conditions, 1,1-diphenyl substituted 1u, and 1v and 1w having a methyl group at the C2 and C3 position are all well tolerated, furnishing the expected products **3ua-wa** in satisfactory yields (54-91% yields). Remarkably, the current catalytic system also proved to be suitable for challenging alkyl-substituted 1,3-diene 1x, allowing exclusive formation of the desired 1,2-hydroxyacylmethylated product **3xa** in 63% yield. Such type of 1,3-dienes typically resulted in formation of mixtures of 1,2-and 1,4-adducts in our previously reported photo-induced copper-catalyzed C-O cross-coupling reactions.²³

Table 2 Scope of 1,3-dienes^{a,b}



^{*a*} Reaction conditions: **1** (0.20 mmol), **2a** (0.60 mmol, 3.0 equiv), H_2O (3.0 mmol, 15.0 equiv), $Et_3N\cdot3HF$ (0.2 mmol, 1.0 equiv), and *fac*-[Ir(ppy)₃] (0.004 mmol, 2.0 mol%), in a mixture of CF₃CH₂OH (2.5 mL) and CH₂Cl₂ (0.5 mL) at rt under argon atmosphere and the irradiation of 20 W blue LED (450-460 nm, distance ca. 3 cm) for 4-7 h. ^{*b*} Isolated yield.

Notably, as for the radical acceptors, the current protocol is not limited to 1,3-dienes. Under the optimal reaction conditions, 1-phenyl-1,3-enylene **1y** also works well to give propargylic alcohol **3ya** in 50% yield. A pharmaceutical febuxostat-derived 1,3-diene **1z** also proves to be compatible with the reaction system, producing **3za** in 70% yield.

Then, we turned our attention to explore the generality of the protocol by reacting a representative set of stabilized sulfur ylides **2** with **1a** and H_2O under the standard conditions (Table 3). Again, it was found that the electronic characteristics and the substitution patterns of the aromatic ring of sulfur ylides do not have any obvious effect on the three-component reaction. For instance, aside from model substrate **2a**, an array of αbromocarbonyl compound-derived sulfur ylides **2b-k** bearing neutral **(2b)**, electron-donating (e.g., Me, OMe) and electronwithdrawing (e.g., F, Cl, Br) at the *para-*, *meta-* or *ortho-*position of the phenyl ring are all well accommodated. Products **3ab-ak** are thus achieved in yields ranging from 50-92%. As shown in the synthesis of **3al**, sterically demanding multiply substituted sulfur ylide **2l** also reacts to give a 79% yield. Markedly, both 2thiophene- and 2-natphthyl-substituted sulfur ylides **2m** and **2n** are also competent radical precursors, giving rise to the corresponding products **3am** and **3an** in 81% and 86% yields, respectively. As a limitation of the current reaction system, alkyl-substituted sulfur ylides do not react under the standard conditions, which might await further condition optimisation.

Table 3 Scope of sulfur ylides^{*a,b*}



^{*a*} Reaction conditions: **1a** (0.20 mmol), **2** (0.60 mmol, 3.0 equiv), H_2O (3.0 mmol, 15.0 equiv), Et_3N ·3HF (0.2 mmol, 1.0 equiv), and fac-[Ir(ppy)₃] (0.004 mmol, 2.0 mol%), in a mixture of CF₃CH₂OH (2.5 mL) and CH₂Cl₂ (0.5 mL) at rt under argon atmosphere and the irradiation of 20 W blue LED (450-460 nm, distance ca. 3 cm) for 4-6 h. ^{*b*} Isolated yield.

To demonstrate the synthetic potential of this protocol, we also performed the three-component reaction of **1a**, **2a** and H_2O on a 5.0 mmol scale, and comparable results can still be achieved (**3aa**, 1.38 g, 88% yield) (Scheme 2a). As the allylic alcohol and ketone are versatile handles for synthetic transformations, several derivatizations product **3aa** were carried out (Scheme 2b). For example, upon routine oxidation of the free alcohol moiety with DMP, the corresponding

valuable building block 1,4-dione **4** can be isolated in 80% yield. Surprisingly, under Appel reaction conditions,^{26a} dehydration product, 1,3-diene **5**, is obtained in 72% yield after 24 h rather than the proposed allylic halide. Moreover, further treatment of **3aa** with CF₃CH₂OH as both a nucleophile and solvent in the presence of Et₃N·3HF gives the trifluoroethoxylation product **6** in 90% yield.^{26b} With Fernandes' Pd-catalyzed intramolecular *O*allylation methodology,^{26c} the γ -acetoxy- δ , ε -unsaturated ketoxime **7** easily derived from **3aa** can undergo intramolecular *6-exo O*-allylation efficiently to provide biologically relevant oxazine **8** in 68% yield.





Scheme 2 Synthetic utility. DMP = 1,1,1-Triacetoxy-1,1-dihydro-1,2-benziodoxol-3(1H)-one.DMAP=(Dimethylamino)pyridine.(a)Gram-scalereaction.(b)Derivatization of product.

In order to gain some insight into the reaction mechanism, we carried out a range of mechanistic studies. First, when stoichiometric radical scavengers 2,2,6,6-tetramethyl-1-piperidinyloxy (TEMPO) (3.0 equiv) or PhSeSePh (3.0 equiv) were added to the model reaction, the formation of desired product **3aa** was completely inhibited; instead, radical trapping TEMPO-adduct **9** and PhSe-adduct **10** could be isolated with 10% and 57% yield, respectively (Scheme 3a). These results suggest that the α -carbonyl carbon radical **2a-II** and a radical process might be involved in our reaction. Moreover, when replacing H₂¹⁶O with H₂¹⁸O, the model reaction also reacts well to give the corresponding ¹⁸O-labelled product **3aa-¹⁸O** in 84% yield (Scheme 3b). This outcome confirms that hydroxy oxygen atom in the product should stem from water.



Scheme 3 Mechanistic studies. (a) Radical trapping studies. (b) Control experiment with $H_2^{18}O$. (c) EPR studies. (d) Stern-Volmer quenching plot of *fac*-Ir(ppy)₃ with **2a** (in CH₂Cl₂), **2a-I** (in TFE/CH₂Cl₂), **2a** (in TFE/CH₂Cl₂), and **2a**/Et₃N·3HF (in TFE/CH₂Cl₂). (e) Cyclic voltammetry.

Next, we utilized electron paramagnetic resonance (EPR) technique with 5,5-dimethyl-pyrroline *N*-oxide (DMPO) as a spin trap to investigate radical species possibly engaged in the process. In a mixture of sulfur ylide **2a** and DMPO in CF₃CH₂OH under irradiation with blue LED for 5 min, the formation of persistent radical adduct **2a-II-DMPO** was confirmed by EPR spectroscopy, a sextet signal with a *g* value = 2.003, $A_N = 1.597$ mT, and $A_H = 2.307$ mT, which was coincident with the carbon-centred radical adduct. The simulation EPR spectrum (green trace) was in perfectly agreement with the experimental one (red trace). The adduct **2a-II-DMPO** was also confirmed by ESI-HRMS spectrometry (Scheme 3c).²⁵ Collectively, these outcomes suggest the involvement of α -carbonyl carbon radical **2a-II** in our reaction.

To further probe the reaction pathway, we then performed the Stern-Volmer luminescence quenching experiments of the

photocatalyst fac-[Ir(ppy)₃] by gradually increasing the amount of sulfur ylide 2a, its precursor sulfnonium salt 2a-I and relevant mixture in CF₃CH₂OH and/or CH₂Cl₂ (Scheme 3d). The results reveal that the solutions of sulfur ylide 2a in the presence or absence of the additive Et₃N·3HF in CF₃CH₂OH/CH₂Cl₂ mixture all can quench the excited photocatalyst more efficiently than the sulfonium salt 2a-I does, though sulfonium salt 2a-I shows somewhat quenching effect. Notably, the combination of 2a and Et₃N·3HF has the strongest quenching effect for photocatalyst. In contrast, sulfur ylide 2a in CH₂Cl₂ shows the weakest quenching effect. To get a deeper insight into the mechanism, we measured reduction potential of the ground states of 2a to be -1.16 V (vs SCE in CF₃CH₂OH),²⁵ which is inside the redox window of the excited state photocatalyst *fac- $Ir(ppy)_3$ ($E_{1/2}^{*III/IV}$ = -1.73V vs SCE), revealing that our threecomponent reaction may undergo oxidative quenching cycle of photocatalyst.²⁷ Finally, we determined the quantum yield of the model reaction of **1a**, **2a** and H₂O to be 0.03. A value significantly below unit implies that the reaction mainly proceeds through sequential redox events rather than by a radical chain process.²⁵



Scheme 4 The proposed mechanism and DFT calculations.

On the basis of these mechanistic studies and literature reports,^{10-15, 27} we then proposed a plausible mechanism with the model reaction of 1a, 2a and H₂O as an example (Scheme 4a). Given the weak basicity of the sulfur ylide 2a, it reversibly interacts with CF₃CH₂OH and/or Et₃N·3HF to favour formation of certain amount of the adduct sulfonium salt 2a-I,²⁸ which is susceptible to SET reduction. Then, sulfonium salt 2a-I undergoes SET reduction by the excited state *fac-[Ir^{III}(ppy)₃], also known as an oxidative quenching event, giving the oxidizing sate fac-[Ir^{IV}(ppy)₃] and α -carbonyl carbon radical **2a-II** with release of dimethyl sulfide. Subsequently, electrophilic 2a-II undergoes intermolecular radical addition across the terminal alkene of 1-phenylbutadiene 1a to furnish new relatively stable carbon radical 1a-A-I or 1a-A-II, which is further oxidized by the oxidizing sate fac-[Ir^{IV}(ppy)₃] species via another SET process to provide carboncation 1a-B with concurrent regeneration of the ground state photocatalyst fac-[Ir^{III}(ppy)₃], completing the photoredox catalytic cycle. Finally, an off-photocatalytic cycle nucleophilic attack of H₂O to the allylic carbocation 1a-B occurs

in a highly regioselective manner to afford the corresponding 1,2-hydroxyacylmethylation product **3aa**.

To better understand the origin of the regioselectivity involved in the nucleophilic attack of H₂O to the intermediate carbocation **1a-B**, we conducted density functional theory (DFT) calculations on the Gibbs free energy profile of 1a-B at the ωb97xD/6-311+G**//6-31+G(d) level of theory (Scheme 4b).²⁵ As allylic carbocation **1a-B-I** is in fast equilibrium with benzylic carbocation **1a-B-II**, in principle, H₂O could undergo nucleophilic addition to these two intermediates to form the corresponding 1,2- and 1,4-adducts. Calculations indicated that the attack of H₂O at the allylic position of **1a-B-I**, which goes through H-bondassisted transition state TS-I, generates 1,2-adduct 3aa with a Gibbs free energy barrier of only 0.9 kcal/mol. In contrast, the attack of H₂O at benzylic position **1a-B-II** goes through transition state TS-II and affords 1,4-adduct 3aa' with a Gibbs free energy barrier of 11.9 kcal/mol. The Gibbs free energy gap of TS-I and TS-II is determined to be 11.0 kcal/mol, which is significantly enough to produce the 1,2-adduct exclusively at room temperature and is in good accordance with the experimental facts. Taken together, sulfur ylides used in the current study not only serve as precursors to α -carbonyl carbon radicals, the carbonyl moiety might also act as a H-bond donor to direct the nucleophilic water, attack of thus enabling 1.2difunctionalization as the favoured pathway.

In summary, we have reported a convenient strategy of visible light-driven proton-coupled electron transfer to enable sulfur ylides to decompose into the corresponding α -carbonyl carbon radicals. This method allows achievement of a selective 1,2-hydroxyacylmethylation among 1,3-dienes, sulfur ylides and water under photoredox catalysis. This three-component reaction is distinguished by mild conditions, exquisite regioselectivity and broad substrate scope, thus offering a practical complementary method for construction of allylic Experimental alcohols. observations and theoretical calculations shed light on the mechanism and the origin of regioselectivity. The discovered H-bond-activation mode for control of regioselectivity should be of potential use in related synthetic radical chemistry of 1,3-dienes. Work on further expansion of the scope of sulfur ylides and nucleophiles is currently in progress in our laboratory.

Conflicts of interest

There are no conflicts to declare.

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