# Alternative Perspective on Rapid Wine Oxidation through Changes in Gas-Phase Volatile Concentrations, Highlighted by Matrix Component Effects

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# 1 Abstract

2 A new perspective is presented to investigate the sensorially relevant gas-phase concentrations of volatile

- 3 compounds in wine. This is achieved by measuring the partition coefficients and matrix-phase concentrations of
- 4 volatiles using static headspace-gas chromatography-ion mobility spectrometry (SHS-GC-IMS). Physicochemical
- 5 properties that can contribute to the partition behaviors of ten volatile esters, such as hydrophobicity and matrix
- 6 temperature, are also discussed. Partition coefficients are then linked to quantitative measurements to obtain
- 7 partial pressures, which describes the availability of volatile compounds in the gas phase. The concept of
- 8 partition coefficients and partial pressure have then been applied to a time series of aroma changes due to
- 9 oxidation in commercial wines. As a follow-up study, a full factorial design was devised to inspect the impact of
- 10 three common wine matrix components, namely, copper, polyphenols and ascorbic acid, on the partial pressure
- 11 changes after 30-day oxidation treatment in either full-alcohol or low-alcohol simulated wine matrices.
- 12 Interesting interactive effects between antioxidant behaviors and alcohol levels were elucidated, especially
- 13 around the controversial use of ascorbic acid in winemaking. These results can guide winemakers who wish to
- 14 minimize oxidative damage to wine aroma during wine storage or bulk transport, where ullage may be present
- 15 or continual oxygen ingress may be occurring.

# 16 Keywords

17 static headspace-gas chromatography-ion mobility spectrometry (SHS-GC-IMS), wine, oxidation, partition

18 coefficient, partial pressure, wine matrix components

19

## 20 1 Introduction

During any stage of winemaking, storage, and transport, oxidation has always been a major issue for winemakers and consumers alike. Multiple previous studies have shown that oxygen can alter the aroma profile of wines

through an array of mechanisms, such as the quinone-related reactions<sup>1-2</sup> and the production of various carbonyl

compounds using hydroxyl free radicals as intermediates (the Fenton Reaction)<sup>2-4</sup>. These changes tend to be

25 harmful to the overall aroma of white wines, as important volatiles such as esters and varietal thiols that endow

- fruity attributes and varietal characters could be easily compromised.<sup>5-6</sup> However, the focus of ageing-related
- 27 oxidative studies of wine aroma is on the changes that occur after prolonged storage for months or years,
- 28 whereas the rapid oxidation events that occur in wine within several weeks of its exposure to an oxygen-rich
- atmosphere are not as widely studied.

30 An indirect perspective to approach this rarely researched phenomenon is the consumption rates of oxygen in

31 O<sub>2</sub>-enriched wine. For instance, Ferreira *et al.* reported that red wines enriched with oxygen from air (5.5–6.5

mg/L dissolved  $O_2$ ) exhibited fast initial consumption rate of  $O_2$  (averaged as 3.82 mg/L in the first day of

33 oxidation).<sup>7</sup> A high initial consumption rate of  $O_2$  was similarly observed by the same group in white and rosé

34 wines, with copper, flavonols, and hydroxycinnamic acids shown to be the determining factors of the O<sub>2</sub>

35 consumption rate.<sup>8</sup> Such findings were corroborated more recently by Kontoudakis and Clark, in that copper can

36 drastically increase the rate of oxygen uptake in aerated wine within a short time frame.<sup>9</sup>

Additionally from the aroma perspective, Nikolantonaki and Waterhouse have reported that the first-order
reaction constant *k* between 4-methyl-1,2-benzoquinone (a model quinone) and an important varietal thiol, 3mercaptohexanol (3MH), is 0.0578 s<sup>-1</sup>, corresponding to the half-life of 3MH in this reaction as 12.0 s (calculated

40 as  $\frac{\ln 2}{n}$ ).<sup>10</sup> Although in reality the rate of quinone-thiol reactions would be governed by other external factors such

41 as the presence of antioxidants, varietal thiols react readily with oxidation-induced reactive species, which 42 subsequently leads to aroma loss. Undesirable sulfur-containing volatiles such as 2-furanmethanthiol and 43 hydrogen sulfide may similarly react with quinones and form odorless quinone-volatile adducts, although with 44 slowers reaction rates.<sup>10</sup> Also, Ferreira *et al.* tentatively identified the increased levels of some volatile phenols 45 as the end-products of polyphenol oxidation using SPE-GC-MS.<sup>8</sup> These studies illustrate how oxidation in wines 46 occurs rapidly following contact with oxygen, which is accompanied by a series of sensory alterations involving 47 aroma loss and formation.

48 However, it remains unclear whether other important wine aroma compounds, such as esters, are so readily 49 affected by oxidation-related reactions in wines exposed to air for a short time. One publication that investigated 50 ester changes in air-exposed wines on a multi-day scale reported that both ethyl and acetate esters experienced  $\sim$ 50–100% loss over two-day trial time. Attributing these changes solely to oxidation reactions in wines may be 51 52 doubtful, as the trial was conducted in open bottles and could thus also involve evaporative loss.<sup>11</sup> The focus of 53 other research has been mainly on the evolution of these compounds over a relatively long time span of months or years. For example, Patrianakou and Roussis reported that several esters in wines declined by up to 87% of its 54 55 original content during 270-day storage when bottled with air in the headspace, compared to an 83% drop in the 56 same wine bottled with nitrogen. However, a shorter storage time (20 days) indicated no apparent difference in 57 esters in wines stored either with air or nitrogen in the bottle.<sup>6</sup> According to Makhotkina and Kilmartin, 58 hydrolysis reactions can lead to the decline in ester content in wines, with small first-order reaction constants

from  $2.10-10.8 \times 10^{-8}$  s<sup>-1</sup>, (assuming storage at room temperature), which corresponds to a half-life of 2.48-12.73

60 months.<sup>12</sup> Therefore, hydrolysis contributes only insignificantly, if any at all, to the aroma loss of wines subject to

61 oxidation for a few days. More rapid oxidation reactions that could influence the wine aroma profile, on the

62 contrary, have yet to be confirmed.

63 This study addressed rapid oxidation phenomena in wine during a 30-day period. The influence of oxidation on 64 wine esters are examined from a new perspective, based upon a consideration of ester partition coefficients and 65 gas-phase concentration following air-exposure to wines. The first aim of the current study was to develop and 66 optimize a method based on static headspace-gas chromatography-ion mobility spectrometry (SHS-GC-IMS) for 67 determining the partition coefficients of multiple volatile compounds in wine. Secondly, the changes of volatile 68 partition coefficients per se, as well as the gas-phase concentration, which is calculated from combining the 69 volatile partition coefficients and quantification results through Henry's Law, were determined before and after 70 oxidation. In the third part, a full-factorial experiment was conducted using a simulated wine matrix to

- 71 investigate the impact of different wine matrix components on the volatile partition coefficient and gas-phase
- 72 concentration changes during oxidation.

# 73 2 Materials and Methods

#### 74 **2.1** Chemicals and reagents

10 ester aroma standards were used, including methyl acetate, isobutyl acetate, isoamyl acetate, hexyl acetate,
ethyl butyrate, ethyl hexanoate, ethyl octanoate, ethyl isobutyrate, ethyl isovalerate, and ethyl 2-methylbutyrate.
All analytical standards (> 98% purity) were purchased from Sigma-Aldrich (Taufkirchen, BY, Germany) and
were stored in a 5 °C cool room prior to use.

79 Reagents and chemicals used for the ABTS<sup>+</sup> assay included the ABTS salt (> 98%) supplied by Roche (Basel,

80 Switzerland), potassium persulfate (ACS reagent, > 99%), phosphate buffer saline (PBS) tablets supplied by

81 Sigma-Aldrich (Taufkirchen, BY, Germany), and sodium hydroxide pallets supplied by Thermo Fisher Scientific

82 (Waltham, MA, USA).

83 Reagents and chemicals used in the matrix component effects trials included HPLC-grade ethanol (> 99.5%)

84 supplied by Sigma-Aldrich (Taufkirchen, BY, Germany), three phenolic compounds (> 98%, HPLC grade): (+)-

catechin hydrate, caffeic acid, and quercetin dihydrate supplied by Sigma-Aldrich (Taufkirchen, BY, Germany),

86 copper sulfate pentahydrate (> 98.5%) supplied by Jost Chemical (St Louis, MO, USA), L-ascorbic acid (> 99%)

87 supplied by Redox NZ (Christchurch, New Zealand), ferric chloride hexahydrate (> 99%) supplied by ECP

Labchem (Auckland, New Zealand), potassium metabisulphite (> 99.5%) supplied by Esseco (Trecate, Piedmont,

Italy), potassium bitartrate (> 99.5%) supplied by Check Stab Instruments (Florence, Tuscany, Italy), 37% (*w/w*)
hydrochloric acid supplied by Thermo Fisher Scientific (Waltham, MA, USA).

# 91 2.2 Wine samples

Two New Zealand Sauvignon Blanc (both of vintage 2020) wines were used for the method development andoptimization, and the 30-day oxidation trial.

# 94 **2.3** Quantification of volatile compounds

95 The method for the quantification of volatile compounds has been validated in our previous publication.<sup>13</sup>

96 Briefly, for each sample, an aliquot of five milliliters was pipetted into a 20 mL headspace vial using a

97 micropipette. Also, 50 µL of an internal standard (3-octanol) was added into each sample as a quality control

98 measure. The headspace of the vial was then purged with nitrogen to protect the sample from potential oxidation

99 while awaiting analysis.

#### **2.4 Determination of partition coefficients**

101 The partition coefficients of volatile compounds were determined following the phase ratio variation (PRV) 102 method developed by Ettre, Welter and Kolb in 1993.<sup>14</sup> This method states that, when a volatile compound has 103 reached thermodynamic equilibrium between headspace and matrix, the reciprocal of its GC peak area is in 104 linear relationship with the headspace:matrix phase volume ratio, which can be mathematically represented as:

$$\frac{1}{A} = \frac{1}{f_i \times C_0 \times k_{h/m}} + \frac{1}{f_i \times C_0} \times \beta \tag{1}$$

105 where *A* is the peak area,  $f_i$  is the response factor of the detector,  $C_0$  is the original concentration of the volatile 106 in the matrix,  $k_{h/m}$  is the partition coefficient of the volatile between headspace and matrix,  $\beta$  is the headspace 107 and matrix phase ratio in the sample vial ( $V_h : V_m$ ).

Equation (1) can be further simplified to  $\frac{1}{A} = a\beta + b$ , where *a* is  $\frac{1}{f_i \times C_0}$  and *b* is  $\frac{1}{f_i \times C_0 \times k_h/m}$ . Since *A* and  $\beta$  can be

109 experimentally measured, the partition coefficient can be calculated once the linear relationship is determined

110 between  $\frac{1}{4}$  and  $\beta$ . Hence the partition coefficient  $(k_{h/m})$  can be easily calculated as  $\frac{a}{b}$  using PRV.

The method designed for the determination of volatile partition coefficients using SHS-GC-IMS was based on the 111 quantification method, with incubation time, injection rate, and the signal linearity being re-evaluated. In order 112 113 to determine the optimal incubation time, an aliquot of 3 mL wine was placed in a 20 mL headspace vial and capped tight. The vial was then incubated at 40°C while being agitated at 250 rpm for a preset period of time, 114 ranging from 2 to 20 minutes, in 2-minute intervals. The syringe injection rate of the sample headspace onto the 115 116 GC column was optimized at five levels: 2, 5, 10, 20, 30 mL/min (equivalent to 33.3, 83.3, 166.7, 333.3, and 500 117  $\mu$ L/s). Furthermore, the linearity of instrument responses for varying sample volumes was evaluated with the 118 following volumes: 100 µL, 200 µL, 300 µL, 500 µL, 1000 µL, 1500 µL, 2000 µL, 2500 µL, 3000 µL. The linearity 119 was determined by calculating the correlation coefficient (Pearson's  $R^2$ ) accompanied by visual inspection. 120 Linear correlation was recognized if  $R^2 > 0.95$ .

In summary, the optimized PRV method was conducted as follows. Nine different volumes (100 μL, 200 μL, 300 μL, 500 μL, 1000 μL, 1500 μL, 2000 μL, 2500 μL, 3000 μL) of the sample were pipetted into 20 mL headspace
vials (precise volume of 20.54 mL) and arranged in ascending order of sample volumes for analysis. The addition
of internal standard and the headspace purging with nitrogen were not needed in the PRV method.

#### 125 **2.5** Henry's Law and the partial pressure of volatile compounds

126 The Henry's Law states that, the concentration (C) of a gas dissolved in a liquid is directly proportional to the 127 partial pressure (p) of the gas above the liquid. Mathematically such a relationship can be expressed as C = kp. 128 where k is the Henry's Law constant.<sup>15</sup> Dependent of the concentration – pressure relationship that needs to be modelled, the Henry's Law constant can take several forms, one of which is the dimension-less Henry's Law 129 130 constant and is numerically equivalent to the partition coefficient described in section 2.4.<sup>16</sup> In systems where 131 the solutes of interest are dilute with respect to the solvent, the activity coefficients of solutes do not depart 132 considerably from 1, and hence the fugacity of volatile compounds obeys Henry's Law.<sup>17</sup> Indeed, other authors 133 have applied the idea of Henry's Law in more abundant volatile components of wine, e.g., the sulfur dioxide.<sup>18</sup> 134 Therefore, with both partition coefficients and concentrations determinable for all volatile compounds in this 135 study, the partial pressure can then be calculated following the Henry's Law. Specifically, the partial pressure of 136 volatile compounds ( $p_{volatile}$ ) was calculated as follows<sup>15</sup>:

$$p_{\text{volatile}} = 10^6 \times \left( RT H_v^{cc} \cdot \frac{C_0 \times 10^{-6}}{M} \right)$$
(2)

where *R* is the ideal gas constant (8.3145 L kPa K<sup>-1</sup> mol<sup>-1</sup>), *T* is the analysis temperature in K (313.15 K in this study),  $H_v^{cc}$  is the dimension-less Henry's Law constant,  $C_0$  is the concentration of the aroma compound in wine in µg/L, *M* is the molar mass of the volatile compound in g/mol, 10<sup>6</sup> and 10<sup>-6</sup> are the factors to convert the unit of  $p_{volatile}$  to mPa (milli-Pascal).

Additionally, in consideration of possible partition coefficient changes before and after a certain treatment (e.g., oxidation), the quantification results, and hence the volatile partial pressure, of samples after the treatment were adjusted accordingly by multiplying with the adjustment factor calculated using equation (3). In this calculation,  $k_0$  and  $k_1$  represents the partition coefficients before and after the treatment, respectively, and  $\beta$  represents the headspace and matrix phase ratio. The adjustment factor was based on the theoretical background of static headspace sampling technique described by Kolb as shown in equation (4).<sup>19</sup>

adjustment factor 
$$= \frac{k_0 + \beta k_0 k_1}{k_1 + \beta k_0 k_1}$$
(3)

$$C_{\rm HS} = \frac{C_0}{k_{m/h} + \beta} = \frac{k_{h/m} \cdot C_0}{1 + k_{h/m} \cdot \beta}$$
(4)

147 where  $C_{\rm HS}$  is the analyte concentration in the container headspace at equilibrium,  $C_0$  is the analyte concentration

148 in the sample matrix before partitioning into headspace occurs,  $k_{h/m}$  is the headspace-matrix partition

149 coefficient,  $k_{m/h}$  is the reciprocal of  $k_{h/m}$ , and  $\beta$  is the phase ratio.

#### 150 **2.6 Instrument conditions of SHS-GC-IMS**

151 Instrumentation and method parameters were used as reported in our previous study.<sup>20</sup> The G.A.S. FlavourSpec

152 SHS-GC-IMS instrument used in the current study was purchased from Gesellschaft für Analytische

153 Sensorsysteme mbH, Dortmund, Germany. An MXT-WAX polar column (30 m length × 0.53 mm internal diameter

 $\times 0.5 \ \mu m$  film thickness, 100% crossbond Carbowax polyethylene glycol stationary phase) was purchased from

155 RESTEK (Bellefonte, PA, USA). The instrument was also connected to an autosampler (CTC Analytics AG,

156 Zwingen, Switzerland) for the automated sample handling.

157 Each sample vial was incubated at 40 °C for 10 minutes and 12 minutes for quantification and partition

158 coefficient determination samples, respectively, before 500 μL of the headspace was extracted through a heated

159 (80 °C) syringe and injected via a heated injection port onto the GC column. The GC column was programmed to

160 operate isothermally at 40 °C, whereas the separation of compounds was facilitated using a flow rate ramp of the

161 GC carrier gas. Specifically, the flow of carrier gas (nitrogen, > 99.95% purity) was initially set at 2 mL/min for 162 one minute, and then gradually increased to 40 mL/min at a rate of 2 mL/min<sup>2</sup> until 20 min. Then the flow rate

163 was instantly raised to 150 mL/min and held at this rate until 50 min. Then for additional two minutes, the flow

rate was decreased back to 2 mL/min, before the program concluded.

165 An IMS device was attached immediately after the GC separation for a second separation dimension. The IMS

166 ionization was realized using a tritium (<sup>3</sup>H) source in positive mode. Ionized compounds then entered the IMS

drift tube (98 mm, set at 75 °C) and travelled towards the Faraday Plate detector at the end of the drift tube

under a constant electric field (500 V/cm). A drift gas (nitrogen, > 99.95% purity) of constant flow rate (150

169 mL/min) travelling in the opposite direction of the ion flow was able to differentially retard the movement of the

170 compound ions according to their sizes and shapes, which results in different arrival times at the detector and

171 hence the differentiation of compounds. Each IMS spectrum was acquired as the average of six scans.

#### **2.7** Miscellaneous methods for oxidation-related parameters in wine

#### 173 2.7.1 Copper and iron

The elemental analyses of copper and iron was performed using Agilent 4200 microwave plasma-atomic 174 175 emission spectroscopy (MP-AES) device (Agilent Technologies, Santa Clara, CA, USA), following an internally developed protocol at the Kim Crawford Winery. Briefly, a total volume of 10 mL per sample was decanted into a 176 glass test tube for analysis. An external matrix-matched calibration was performed daily using a Sauvignon Blanc 177 wine with known amount of elemental copper and iron spiked with commercially available 1 g/L copper 178 179 dinitrate in 1 M nitric acid solution (Fisher Chemical, Auckland, New Zealand) for copper analyses, or spiked with 180 commercially available 1 g/L ferric nitrate in 5% m/m nitric acid solution (Agilent Technologies, Santa Clara, CA, 181 USA). Elemental copper and iron were detected at wavelengths of 324.8 nm and 259.9 nm, respectively.

#### 182 **2.7.2** Free sulfur dioxide and 420 nm absorbance (browning index)

The determination of free sulfur dioxide (FSO<sub>2</sub>) and 420 nm absorbance (browning index) was conducted using
the FOSS WineScan<sup>™</sup> instrument (Hillerød, Denmark). Briefly, 25 mL of the sample was decanted into a 30 mL
flat-bottom tube for analysis. Calibrations of FSO<sub>2</sub> and browning index were conducted regularly against
established manual methods.<sup>21</sup> Additionally, daily checks were performed to ensure the accuracy of FSO<sub>2</sub>
measurements using a commercial Sauvignon Blanc wine with known FSO<sub>2</sub> concentration.

#### 188 **2.7.3** Total antioxidant capacity

189 The total antioxidant capacity (TAC) method was adopted from Paixão et al.<sup>22</sup>, and Baltrušaitytė, Venskutonis 190 and Čeksteryte<sup>23</sup> with minor modifications. Briefly, the ABTS<sup>++</sup> radical was firstly prepared by adding 0.055 g of 2,2-azino-bis-(ethylbenzothiazoline-6-sulfonic acid) diammonium salt (ABTS) into 50 mL phosphate buffered 191 192 saline (PBS, prepared per manufacturer instructions from tablets) to produce the 2 mM ABTS<sup>+</sup> stock solution. 193 The pH of the ABTS<sup>+</sup> working solution was then checked and adjusted to 7.4 if necessary, using 0.1 M sodium 194 hydroxide (NaOH) solution. A 70 mM potassium persulfate ( $K_2S_2O_8$ ) stock solution was also prepared by dissolving 0.189 g of potassium persulfate into 10 mL Type 1 water (resistivity > 18 M $\Omega$ /cm) supplied from a 195 196 Sartorius arium® pro VF Ultrapure Water System (Göttingen, Germany). The final ABTS<sup>++</sup> working solution was 197 then prepared by adding 100 μL of potassium persulfate stock solution into the 50 mL ABTS<sup>.+</sup> stock solution.

The ABTS<sup>+</sup> working solution was allowed to react under room temperature in the dark for 16 hours.
Subsequently, the ABTS<sup>+</sup> working solution was further diluted in 1:8 dilution using PBS to reach the absorbance
of 0.80 ± 0.05 at 734 nm. The measurements were taken using a Genesys<sup>™</sup> 10 UV Spectrophotometer from
Thermo Fisher (Rochester, NY, USA).

At the start of each analysis sequence, pure PBS was first analyzed as a blank correction. For each wine sample, 12 µL of the wine was added into 3 mL of the ABTS<sup>++</sup> working solution and thoroughly mixed using a vortex mixer for 10 s. The absorbance (734 nm) of the sample was acquired under room temperature during 20 minutes in 5-minute intervals. The total antioxidant capacity was expressed as the ABTS<sup>++</sup> inhibition rate (*I*) and calculated as  $I = \left(\frac{A_{\text{intial}} - A_{\text{sample}}}{A_{\text{intial}}}\right) \times 100\%$ , where  $A_{\text{sample}}$  is the absorbance of the reacted wine sample and  $A_{\text{intial}}$ is the absorbance of the ABTS<sup>++</sup> working solution. All absorbance measurements were corrected according to the blank correction.

#### 209 **2.8 30-day wine oxidation trial**

210 A 30-day wine oxidation trial was set up to investigate the potential changes to the partition coefficients and the 211 partial pressure of volatile compounds due to oxidation over a 30-day period, which were monitored in 5-day 212 intervals, i.e., samples were taken at Day 0, 5, 10, 15, 20, 25, 30 from the start of experiment. The Day 0 sample 213 was acquired directly from the freshly opened bottle of wine. For each of the remaining six sampling dates, 330 214 mL wine was decanted into a one-liter Schott bottle. All six bottles were then gently shaken for 90 s, after which 215 the content was exposed to undisturbed static air for 180 s to ensure air saturation in the wine. The effect of the 216 air saturation treatment was validated by subjecting 330 mL of the same commercial Sauvignon Blanc wine 217 (identical bottling batch) to the cumulative duration of shaking and air-exposure straight after opening, and 218 analyzing the wine before and after the treatment. Additionally, it has been previously reported that the loss of 219 volatile compounds in wine due to evaporation tends to occur in a short timeframe (120 mins) after exposure to 220 open air.<sup>24</sup> It was therefore confirmed that this treatment *per se* did not induce statistically significant differences 221 in the concentrations of the studied volatile compounds (p > 0.114). Hence, it was concluded that the air 222 saturation treatment did not result in evaporative loss of volatile compounds. After air exposure, the bottles 223 were tightly capped and wrapped with aluminum foil and placed away from direct light at room temperature 224 (21 °C). Such air saturation treatments were performed every other day, until the date when the bottle was 225 ready for instrumental analysis.

#### 226 **2.9** Effects of matrix components on volatile compound changes during oxidation

227 A full factorial experimental design was conducted to investigate the effects of four common components in the 228 wine matrix, namely, ethanol, polyphenols, copper, and ascorbic acid, on the changes in wine volatile compounds 229 during a 30-day oxidation trial. A base simulated wine matrix was initially prepared, which contained 3.36 g/L 230 potassium bitartrate (equivalent of 2.0 g/L bitartrate ion (C<sub>4</sub>H<sub>5</sub>O<sub>6</sub>-), calculated using the Henderson-Hasselbalch 231 equation), 7.2 mg/L ferric chloride hexahydrate (equivalent of 1.5 mg/L ferric ion), and 43.5 mg/L potassium 232 metabisulfite (equivalent of 25.1 mg/L free SO<sub>2</sub> using empirical conversion factor of 0.576). The pH of the 233 simulated wine was subsequently adjusted to 3.2 using 6 M aqueous hydrochloric acid solution where necessary. 234 The use of sulfur dioxide was necessitated by the involvement of ascorbic acid in the setups, which, when not 235 used in conjunction with SO<sub>2</sub>, can result in pro-oxidant activities rather than function as an antioxidant.<sup>25</sup> Also, the addition of potassium bitartrate and ferric chloride was justified by the critical involvement of tartrate ions 236 237 and iron in oxidation reactions.<sup>26-27</sup>

Additionally, a subset of the four matrix components (ethanol, polyphenols, copper, and ascorbic acid) were added to the base simulated wine matrix to produce a unique configuration. The levels of polyphenols, copper and ascorbic acid were set such that they approximate the higher end of their actual ranges in commercial Sauvignon Blanc wines and are listed as follows:

- 242 1. Ethanol: 7% or 12%;
- 243
  2. Polyphenols: either addition or blank. Added polyphenols contained 99.7 mg/L of caffeic acid, 10.4 mg/L
  244 of catechin, 10.2 mg/L of quercetin;
- 245
   3. Copper: either addition or blank. Added copper ion amount into the simulated wine matrix was 0.51
   246
   mg/L;
- 4. Ascorbic acid: either addition or blank. Added ascorbic acid amount into the simulated wine matrix was
  50.1 mg/L.
- A total of 16 configurations were therefore prepared, whose run order was fully randomized and summarized inTable 1.

Table 1. Run order and the levels of matrix components used in all 16 simulated wine matrices for investigating the

252 effects of 30-day oxidation on wine volatiles.

Run order	Ethanol	Polyphenols	Copper	Ascorbic acid	
Α	12%	addition	blank	addition	
В	12%	blank	addition	blank	
С	7%	addition	blank	addition	
D	12%	blank	blank	blank	
E	7%	addition	addition	addition	
F	12%	blank	addition	addition	
G	7%	blank	addition	blank	
н	7%	blank	blank	blank	
I.	7%	blank	addition	addition	
J	7%	addition	blank	blank	
к	12%	addition	ition addition blan		
L	12%	blank	blank	addition	
М	7%	blank	blank	addition	
Ν	12%	addition	blank	blank	
0	7%	addition addition blar		blank	
Р	12%	addition	addition	addition	

Each of the 16 configured wine matrices was then spiked with an aroma mix that contained 10 volatile

compounds found in wines. Details of these 10 compounds and their spiked concentrations can be found in Supporting Table S1.

Following the same oxidation procedure outlined in the 30-day wine oxidation trial section, the partition coefficients and the partial pressure of volatile compounds were measured as "fresh" and "oxidized". Similarly, additional parameters, including FSO<sub>2</sub> and the total antioxidant capacity, were also determined before and after

the oxidation treatment.

### 260 **2.10 Statistical analyses**

Peak signal intensities of SHS-GC-IMS measurements were acquired using the quantitation module of the
Laboratory Analytical Viewer (LAV version 2.2.1, Dortmund, Germany) as volume-under-the-shape. Raw data
were collated, and basic calculations were performed using Microsoft Excel 2019 (Redmond, WA, USA).
Advanced data processing tasks, such as ANOVA analyses, plot creation, factorial design analyses, was conducted
using the programming language R (version 4.0.2, Vienna, Austria). The significance level was set at 5% for all *post-hoc* Tukey's HSD tests of ANOVA. The error bars of all plots where they are available indicate the range of ±
standard error.

# 268 **3 Results and Discussion**

# **3.1** Method development for determining volatile partition coefficients

Since the method for determining the volatile partition coefficients aimed to cover a wide range of sample
 volumes (100-3000 μL), it was decided that the highest sample volume (3 mL) would be selected for the
 optimization of sample incubation time and injection rate. Since the current study concerns the rapid oxidation

of volatile compounds, the passive equilibration reported in previous publications,<sup>28</sup> *i.e.*, awaiting volatile

compounds to naturally disperse from the liquid into the headspace, was thus deemed inappropriate as

275 prolonged equilibration time would potentially introduce additional oxidation to the sample. Moreover, the

incubation temperature of 40 °C was selected as it mimics the orthonasal and retronasal perception of humans,<sup>29</sup>
 along with agitation at 250 rpm.

A range of incubation times from 2 min to 20 min was tested to identify the minimum time needed for volatile compounds to reach equilibrium in the headspace. As shown in Supporting Figure S1, the signals of all ten esters in the 3 mL sample reached a maximum after 12 minutes of incubation, with no additional statistically significant (p = 0.05) variations with a further increase in the incubation time, which indicates that the headspace/matrix equilibria were attained for these compounds.

283 As mentioned by Athès et al., lower injection rate (100  $\mu$ L/s) can significantly increase the chromatographic 284 signal compared to higher rates (250–600  $\mu$ L/s).<sup>30</sup> This effect was also observed in the current study, but it only 285 applied to three of the ten esters, namely methyl acetate, ethyl butyrate and isoamyl acetate (Supporting Figure 286 S2 (A)). All other compounds did not demonstrate discernible differences in signal intensities at different 287 injection rates. This limited benefit of lower injection rates was also observed by Cameleyre, Lytra and Barbe.<sup>28</sup> 288 However, a lower injection rate led to some distortion of peak shapes, especially for methyl acetate and ethyl 289 isobutyrate, which showed tailing on either GC or IMS dimensions (Supporting Figure S2 (B and C)). In light of 290 such detrimental effects, the medium injection rate of 10 mL/min (166.7  $\mu$ L/s) was selected for this study, to 291 maintain the desirable morphology of the peaks.

292 Since the calculation of partition coefficients is based on the underlying assumption that a linear relationship 293 exists between the reciprocal of signal intensity and the phase ratio,<sup>14</sup> such a linearity was validated for all volatile compounds across the sample volume range of 100 to 3000  $\mu$ L. It can be seen from Table 2 that the 294 295 minimum value of the coefficient of determination  $(R^2)$  was 0.9702 for isoamyl acetate. The  $R^2$  values for all 296 other compounds were higher than 0.98, which demonstrates satisfactory linearity<sup>31</sup> and thus ensures the 297 integrity of the PRV method. Moreover, previous research has reported considerably more narrow linear ranges 298 for the concentration-signal relationship with IMS detectors.<sup>13</sup> It is advisable that the  $R^2$  values be examined each 299 time for a new volatile compound and/or sample matrix, and dilution be applied should the linearity fail.

Compound	Injected volumes	Linearity of Signals ( <i>R</i> <sup>2</sup> )	Compound	Injected volumes	Linearity of Signals ( <i>R</i> <sup>2</sup> )
Methyl acetate	100–3000 μL	0.9847	Isobutyl acetate	100–3000 μL	0.9976
Isoamyl acetate	100–3000 μL	0.9702	Hexyl acetate	100–3000 μL	0.9983
Ethyl butyrate	100–3000 μL	0.9860	Ethyl hexanoate	100–3000 μL	0.9923
Ethyl octanoate	100–3000 μL	0.9980	Ethyl isobutyrate	100–3000 μL	0.9981
Ethyl isovalerate	100–3000 μL	0.9969	Ethyl 2-methylbutyrate	100–3000 μL	0.9976

300 Table 2. The signal linearity values of partition coefficient method validation using SHS-GC-IMS.

### **301 3.2 Partition coefficients of volatile esters in wine**

A vintage 2020 Sauvignon Blanc wine was tested to investigate the partition behavior of ten esters commonly found in this wine variety. These esters include four acetate esters (methyl acetate, isobutyl acetate, isoamyl acetate, hexyl acetate), three straight chain ethyl esters (ethyl butyrate, ethyl hexanoate, ethyl octanoate), and three branched-chain ethyl esters (ethyl isobutyrate, ethyl isovalerate, ethyl 2-methylbutyrate). It can be seen from Figure 1 (series 12% alcohol) that the partition coefficients of these compounds vary vastly by up to a factor of 20 (methyl acetate *vs* ethyl octanoate), which indicates how different the releasability can be for these volatiles into the headspace from wine.



# Figure 1. The partition coefficients of 10 volatile esters determined in full-alcohol (12%) and low-alcohol (7%) commercial Sauvignon Blanc wines.

312 Considering the respective octanol-water partition coefficients  $(\log p)$ , which are commonly used to define 313 compound polarity (see Supporting Table S2), the partition of these esters in wine correlates positively with the 314 degree of hydrophobicity. This effect has been observed by other researchers. For example, a spike was observed 315 in partition coefficients for ethyl esters with longer aliphatic chains  $(C_3-C_8)$  in pure dilute hydroalcoholic solution (12% v/v) and when supplemented with tannins, as reported by Cameleyre *et al.*<sup>32</sup> Similarly in a 316 317 different food matrix, Martuscelli et al. demonstrated that, compared to the aroma partition in custard made 318 from full fat milk (3% milk fat), in custard made from skim milk, ethyl butyrate, ethyl isovalerate and ethyl 319 hexanoate had seen 2.5-fold, 4.8-fold and 10-fold increases in partition coefficients, respectively.<sup>31</sup>

320 Being the most abundant non-aqueous component in wine, ethanol has been shown to increase the solubility of volatile esters and thus decrease their headspace availability.<sup>33-34</sup> This can be explained by the lower relative 321 322 polarity of ethanol (0.654, with water set as 1)<sup>35</sup> and hence the lower overall polarity of wine. To further explore 323 the influence of alcohol content on the partition behavior of volatile esters, another trial was set up using a low-324 alcohol wine (7% alcohol). Statistically significant (p = 0.05) differences were observed for all volatile esters 325 except methyl acetate (Figure 1, series 7% alcohol), which, as discussed previously, is the most hydrophilic 326 member of the group. The important role of hydrophobicity is further corroborated by the trend that the more 327 hydrophobic esters, including hexyl acetate, ethyl octanoate and ethyl isovalerate, coincide with the highest 328 levels of increase in partition coefficients (35.9–71.2% increase) when alcohol content was decreased from 12% 329 to 7%. Interestingly, the similarly hydrophobic ethyl 2-methylbutyrate was less affected, which indicates that 330 additional factors other than hydrophobicity may contribute to the partitioning of volatile compounds. The 331 impact of varying alcohol levels has been previously described by multiple authors, either through direct 332 inspection on volatile partitioning<sup>36-37</sup> or as an indirect observation during the development of static headspace-333 based quantification methods.13

Moreover, although alcohol is present in wine in relatively large amounts, Conner *et al.* stated that only ethanolic solutions of concentrations higher than 17% (*v/v*) could alter the activity coefficient of esters,<sup>38</sup> due to the formation of hydrophobic ethanol micelles<sup>39</sup> and the enhanced hydrophobic interactions between ethanol micelles and esters. Therefore, given the common alcohol concentrations of wine (around 7–15%), the system can essentially be regarded as aqueous, which renders hydrophobicity a predominant driving force that governsester partition from the wine matrix.

340 When considering both the human physiological sensitivity towards different types of volatile compounds (*e.g.*, 341 ethyl esters or acetate esters), and that the partition coefficients describe the phase preference of volatiles, a link 342 may thus be postulated between the odor thresholds of volatile compounds and their respective partition 343 coefficients. A collation of odor thresholds of the ten esters investigated in this study is presented in Supporting 344 Table S3. It can be seen that the odor threshold values of acetate esters are only available from a diverse range of 345 matrices, while the matrices used for ethyl ester odor thresholds are much more unified. As a result, partition coefficients and odor thresholds indeed form a linear correlation on a log-log scale with a power function curve 346 347 for all six ethyl esters examined ( $R^2 = 0.9282$ , see Supporting Figure S3). The similar log-log relationship has also been previously reported by Abraham et al., who also indicated that the octanol-water partition coefficients are 348 349 important in transporting the volatile molecules through the nasal mucosa.<sup>40</sup> Therefore, it can be evidenced that, 350 in conjunction with the previous discussion, the ladder of hydrophobicity followed by ethyl esters functions as 351 the major determinant for the release and perception of these compounds.

The partition coefficient values obtained in the current work were also compared to those obtained from other studies. Given the fact that the partition coefficient is strongly dependent of temperature under which the measurement was taken, values are thus not directly comparable. The temperature dependence of partition coefficients can be mathematically modelled using the van 't Hoff equation<sup>41</sup>:

$$k_{h/m}(T) = k_{h/m}^{\ominus} \times \exp\left[-\frac{\Delta_{\text{sol}}H}{R} \times \left(\frac{1}{T} - \frac{1}{T^{\ominus}}\right)\right]$$
(5)

where  $T^{\ominus}$  and  $k_{h/m}^{\ominus}$  are the reference temperature (25 °C, 298.13 K) and the partition coefficient at the reference 356 temperature, T and  $k_{h/m}(T)$  are the target temperature and the partition coefficient under this target 357 temperature,  $\Delta_{sol}H$  is the enthalpy of dissolution, and *R* is the ideal gas constant. The values of  $k_{h/m}^{\ominus}$  and  $\frac{\Delta_{sol}H}{R}$  for 358 all ten esters are tabulated in Supporting Table S4. The values of  $\frac{\Delta_{sol}H}{R}$  diverge considerably from those obtained 359 in binary water-volatile mixes,<sup>15</sup> indicating the greatly modified temperature dependence of volatile partitioning 360 in complicated matrices such as wine. Also, it was noted that discrepancies invariably exist, of varying degrees, 361 362 between the van 't Hoff-extrapolated partition coefficient values of the current study and those presented in 363 other publications. This could, at least partially, be attributed to the heterogeneity amongst the matrices used in 364 different studies and hence the presence/absence of specific components. Matrix components, either volatile or 365 non-volatile, have previously been reported to impact the partition coefficients of volatile compounds.<sup>28, 42</sup> Nevertheless, the current results can constructively facilitate comparisons with partition coefficients obtained in 366 367 future research.

#### 368 **3.3 Oxidation-induced changes in wine: focusing on esters in headspace**

#### 369 3.3.1 Oxidation-related parameters

Three bottles of vintage 2020 Sauvignon Blanc wines from the same production batch were mixed before being distributed into six one-liter Schott bottles for the oxidation trial. General parameters related to oxidation and polyphenols were monitored during the 30-day period and recorded in 5-day intervals. As shown in Supporting Table S5, the free SO<sub>2</sub> demonstrated a consistent decrease throughout the 30-day period, which indicates the continual occurrence of oxidation in the wine.<sup>43</sup> Moreover, the absorbance at 420 nm, which is often used as an indicator of browning in white wines, increased by 30% from 0.073 to 0.095, which demonstrates the formation of polyphenol condensation products.<sup>44</sup> Also, the effects of oxidation are seen from the 11% decrease of the total antioxidant capacity (TAC) measured with the ABTS method. As catalysts of the oxidation process, iron and
 copper were both detected in the wine and, in alignment with expectations, their concentrations did not change
 throughout the oxidation process.

#### 380 3.3.2 Partition coefficients

The partition coefficients for the ten volatile esters, on the other hand, did not vary greatly during the oxidation 381 period, as summarized in Table 3. Ethyl octanoate demonstrated the most consistent gradual decrease in 382 383 partition coefficient to 78% of its original value. The branched chain ethyl esters, ethyl isobutyrate, ethyl 384 isovalerate and ethyl 2-methylbutyrarte, also showed a significant decrease in partition coefficients but in a 385 more heterogeneous fashion. The partition coefficients of these three compounds in fact increased for the first 25 days of oxidation and then declined sharply during the 25–30 day period. Other compounds with lower 386 387 hydrophobicity, did not show statistically significant changes in partition coefficients, indicating minor 388 differences in their releasability from oxidized wine into the headspace compared to fresh wine.

#### 389 Table 3. Headspace-matrix partition coefficients of ten volatile esters in Sauvignon Blanc wine and their evolution

390 over a 30-day oxidation period. For each compound (row-wise), values bearing different letter notations indicate 391 statistically significant difference.

Compound	Headspace-matrix partition coefficient ( $k_{h/m}$ , ×10 <sup>-3</sup> , 40 °C)						
Compound	Day 0	Day 5	Day 10	Day 15	Day 20	Day 25	Day 30
Methyl acetate	2.19	1.43	1.51	1.97	1.9	1.82	2.09
	(0.08) a	(0.07) <i>b</i>	(0.11) <i>b</i>	(0.09) ab	(0.1) ab	(0.07) ab	(0.1) a
Isobutyl acetate	11.6	12.13	12.1	11.93	13.29	13.57	10.91
	(0.07) <i>bc</i>	(0.13) ac	(0.34) <i>ac</i>	(0.18) ac	(0.34) ab	(0.32) a	(0.4) <i>c</i>
lsoamyl acetate	2.83	2.65	2.53	2.8	2.96	2.79	2.72
	(0.02) ab	(0.08) <i>ab</i>	(0.13) <i>b</i>	(0.02) ab	(0.09) <i>a</i>	(0.03) ab	(0.05) <i>ab</i>
Hexyl acetate	16.1	17.1	16.99	17.17	19.05	16.96	18.7
	(0.24) <i>b</i>	(0.18) ab	(0.47) ab	(0.21) ab	(0.48) <i>a</i>	(0.45) a	(0.52) a
Ethyl butyrate	2.98	2.94	2.86	2.99	3.19	2.69	3.17
	(0.03) ab	(0.05) ab	(0.1) ab	(0.02) ab	(0.07) a	(0.06) <i>a</i>	(0.02) b
Ethyl hexanoate	5.08	5.03	5.04	5.2	5.81	5.07	6.25
	(0.05) <i>c</i>	(0.1) <i>c</i>	(0.18) <i>c</i>	(0.03) <i>bc</i>	(0.15) ab	(0.1) a	(0.09) <i>c</i>
Ethyl octanoate	32.2	29.16	27.9	24.1	26.85	25.18	24.06
	(1.32) a	(0.7) <i>ab</i>	(0.9) ab	(0.8) <i>b</i>	(0.93) <i>b</i>	(0.47) b	(0.45) <i>b</i>
Ethyl isobutyrate	6.05	6.21	6.25	6.31	6.81	5.73	7.24
	(0.03) <i>c</i>	(0.06) <i>bc</i>	(0.15) <i>bc</i>	(0.05) <i>bc</i>	(0.15) ab	(0.15) a	(0.12) c
Ethyl isovalerate	23.73	25.61	25.58	24.45	29.23	16.64	30.36
	(0.44) c	(0.44) <i>ac</i>	(0.94) <i>ac</i>	(0.53) <i>bc</i>	(1.19) ab	(1.15) a	(0.45) d
Ethyl 2-methylbutyrate	19.86	20.58	21.31	20.49	22.76	12.08	24.54
	(0.17) <i>b</i>	(0.31) <i>b</i>	(0.81) ab	(0.25) <i>b</i>	(0.76) ab	(0.69) a	(0.36) <i>c</i>

The decline in the partition coefficients of some of the esters may be related to the binding of phenolic compounds as a result of oxidation, and the subsequent hydrophobic interactions between the resultant oligomeric phenols and esters. The exact structures of these polymerization products, however, remain poorly known, and have only been tentatively proposed based on the mass fragmentation using tandem mass spectrometry.<sup>45</sup> Indirectly still, the investigation of a-, b- and c-type of procyanidins (condensed tannins), which are dimers and trimers of flavonoids, reveals that their theoretical hydrophobicity (log *p* values) are much greater than the constituent monomeric catechin and epicatechin (> 3.7 for trimers, > 2.3 for dimers, 1.37 for monomer, estimated from www.molinspiration.com). Hence, it could be hypothesized that oxidation-induced
 polyphenol polymerization products, albeit with unclear structures, similarly possess higher hydrophobicity.

Indeed, Mitropoulou and coworkers have reported that the more hydrophobic ethyl octanoate is more likely 401 402 retained inside the matrix due to tannins, whereas the comparatively hydrophilic counterparts, e.g., ethyl 403 hexanoate and isoamyl acetate, remain less affected.<sup>46</sup> Also, Lorraine et al. found that the branched structure of 404 some esters could lessen the extent of ester-polyphenol hydrophobic interactions due to steric hinderance.<sup>47</sup> 405 Given that the formation of phenolic oligomers is a gradual process with increasing oligomer size throughout 406 oxidation, this may explain the rise-and-drop trends in the partition coefficients observed for the three branched 407 chain ethyl esters, indicating the hydrophobic interactions overwhelm the steric hinderance force beyond a 408 certain critical point. Also, another mechanism that could modify phenolic compounds, and hence likely the 409 extent of ester-polyphenol interactions, is the irreversible formation of sulfonic adducts between sulfur dioxide 410 and polyphenols, which has been observed with model catechols and two phenolics commonly found in 411 Sauvignon Blanc wines, namely, (+)-catechin and caffeic acid.<sup>48-49</sup> However, more research, such as NMR-based analysis, is needed to closely inspect this phenomenon from a molecular level. 412

#### 413 3.3.3 Partial pressure

From a physicochemical perspective, the Henry's Law offers invaluable insights to investigate the concentrations of aroma compounds that move from the matrix into the gas phase, *i.e.*, the headspace. Henry's law relates the partition coefficient to the matrix phase concentration of the volatile. Given that the headspace fraction of wine is directly perceived by human noses, the partial pressure of volatile compounds becomes more relevant for the sensory experience, contrasted with the mere quantification of volatiles.

419 Despite the generally recognized use of odor activity values (OAV) as an intuitive way of demonstrating the 420 importance of a given volatile compound in food, a handful of concerns have been raised, including the high level 421 of subjectivity and the consideration of a merely binary aroma-matrix system when obtaining odor threshold 422 values.<sup>50</sup> The partition coefficient, on the other hand, overcomes these problems since it is objectively measured 423 through an instrument method and accounts for potential thermodynamic impacts caused by other volatile and 424 non-volatile components in the original matrix, which need to be excluded when determining odor threshold 425 values using sensory panels. With the previously delineated relationship between odor thresholds and partition 426 coefficients, the partial pressure, through combining the concentration and partition coefficient, can be used 427 alongside the OAV to provide a more comprehensive depiction of the sensory impact of volatile compounds.

428



429

#### Figure 2. Changes in the partial pressure of ten volatile esters in wine during a 30-day oxidation trial. Bars of the same sample but with the different letter notations for each compound indicate statistically significant differences in signal intensities (Tukey's HSD, $\alpha = 0.05$ ).

As can be seen in Figure 2 (series Day 0), ethyl octanoate possesses the highest partial pressure in the fresh wine, due to both its high concentration and high partition coefficient, followed by other major esters, including ethyl hexanoate, isoamyl acetate and hexyl acetate. In previous studies, ethyl octanoate, isoamyl acetate, and, to a lesser extent, ethyl hexanoate have been positively linked to the quality of Sauvignon Blanc wines, while a potential link was established between hexyl acetate and a lower Sauvignon Blanc quality grading.<sup>20, 51</sup> This effect could be explained by the gas-phase aroma concentrations of these compounds.

439 Different from the partition coefficients, the declining trend in partial pressure, as shown in Figure 2, was evident amongst almost all of the esters during the 30-day contact with air, with a decrease of between 19% and 440 441 54%. Overall, the partial pressure of ethyl octanoate after 30-day oxidation showed the greatest decline to 46% 442 of its initial value. The partial pressure of ethyl hexanoate, hexyl acetate and isoamyl acetate also declined to 67%, 58% and 67% of their original values. From a sensory perspective, descriptors commonly associated with 443 these compounds include "banana", "apple peel", "fruit" and "sweet" and "flower".<sup>51</sup> The decline of their 444 445 respective partial pressure matches findings of a loss of fruitiness<sup>52</sup> and evidence for oxidation-related reactions 446 in esters<sup>53</sup> exhibited for wine exposed to oxidative conditions. Therefore, changes in the partial pressure of 447 volatile esters is complementary to existing research regarding the formation of acetaldehyde and other long-448 chain aldehydes and alcohols,<sup>54</sup> for their impacts on the perceived aroma of white wines during oxidation.

It should, however, be noted that the rate of decrease was not consistent. Specifically, only ethyl octanoate showed a significant decrease in partial pressure during Day 0 to 10, whereas values for the other compounds remained unchanged. Thus, any loss of fruitiness during the initial period of oxidation could be, at least partially, attributed to the change of ethyl octanoate within the headspace. From Day 10 onwards, the partial pressure of other compounds also started to gradually decline, which may induce further deterioration in the fruity character of wine. Further studies, such as sensory evaluations conducted over finer time intervals, may assistwith detailed tracking of aroma changes during oxidation.

#### 456 **3.4** Effects of matrix components on volatile esters during oxidation

Since wine is a highly complicated system in which many different compounds, each possessing its own physicochemical properties, are present, multiple matrix components have been shown to promote or retard wine oxidation, including copper(II), sulfur dioxide and ascorbic acid.<sup>26, 43, 55</sup> In order to describe the matrix component effects on the gas-phase concentrations of esters after oxidation, the partial pressure difference (PPD, denoted as  $\Delta p$ ) was monitored for all compounds, and was calculated as follows:

$$\Delta p (PPD) = \frac{p_{\text{fresh}} - p_{\text{oxidized}}}{p_{\text{fresh}}} \times 100\%$$
(6)

462 where  $p_{\text{fresh}}$  and  $p_{\text{oxidized}}$  are the partial pressures of an ester in the freshly prepared and the oxidized matrices, 463 respectively. Since the minimal loss of esters in gas-phase concentration, *i.e.*, the maximum retention of the 464 original aroma, is desired, smaller  $\Delta p$  values are regarded as better and showing that a lesser aroma loss due to 465 oxidation occurred. Negative values of  $\Delta p$  indicate an increase of volatile compound partial pressure after the 466 oxidation period.

467 In this study, the effects of ethanol were not directly evaluated. Rather, the two different ethanol levels (7% vs 468 12%) were individually inspected to simulate how the gas-phase concentration of esters would react to oxidation in full alcohol wines and low alcohol wines. For each matrix component (copper, polyphenols, ascorbic 469 470 acid), the change in PPD caused by its addition, was named C/PPD and calculated using equation (7), and 471 possesses the same unit as that of PPD. The effects of all three components on the PPD were analyzed using 472 three-way ANOVA, with fully decomposed simple effects analyses, where statistically significant interactions 473 between multiple components were observed. The free sulfur dioxide and total antioxidant capacity values were 474 also monitored as associated factors, and the results are summarized in Supporting Table S6.

$$C/PPD = PPD_{without \ component} - PPD_{with \ component}$$
(7)

In the matrix component studies, the reciprocals of methyl acetate peak area and corresponding volume ratios failed to conform to linear relationships ( $R^2 < 0.95$ ), which is a fundamental assumption in the PRV method. Therefore, the partition coefficients and partial pressure of methyl acetate were unable to be calculated and hence are not included in the discussions.

#### 479 **3.4.1** Visualization with cube plots

480 Given the large number of data points involved in the simple effects analyses, it was found that visualization of 481 the results using the cube plot can most effectively convey the information. An explanatory sample of the cube 482 plot structure is given in Figure 3. The edges colored in red, green and blue indicate the effects of copper, 483 polyphenols and ascorbic acid, respectively. The pointing direction of arrows on these edges indicates the 484 addition of a certain component into the matrix. The bottom left node represents the setup where all three 485 components are absent, and the top right node represents the case where all three are present. The PPD values 486 are then displayed next to the respective configurations (nodes, eight in total), while the C/PPD values are shown 487 next to the respective component additions (edges, twelve in total) (see Supporting Figure S4).



# Figure 3. The structure of the cube plot representation of matrix component effects on the partial pressure changes during 30-day oxidation.

Although the cube plot can convey all information in the full factorial design, it lacks conciseness and is thus used
only as a reference. All cube plots are provided in Supporting Figure S4. The information from the cube plots was
further distilled and represented as bar plots and heatmaps, as discussed in the following sections.

### 494 3.4.2 Effects of matrix component combinations on partial pressure difference (PPD)

495 Amongst the three matrix components investigated, eight configurations can be generated. The denotations C, P 496 and A are used to represent copper, polyphenols, and ascorbic acid, respectively. The number following the letter 497 denotations, *i.e.*, 0 or 1, represents the absence or presence, respectively, of the corresponding matrix 498 component. It can be observed from Figure 4 (A) and (B) that for full alcohol (12%) matrices, the combination of 499 [C0, P1, A0] produced the lowest PPD value, *i.e.*, partial pressures of the nine esters showed minimal changes 500 during the 30-day oxidation. Interestingly, in the grouped comparisons, acetate esters showed higher PPD values 501 than the other two compound classes. The PPD values of the [C1, P1, A0] combination only showed statistically 502 significant differences with those of [C0, P1, A0] for ethyl octanoate and the three branched chain ethyl esters, 503 albeit with comparatively small changes (-2.6% for ethyl octanoate, averaged +6.9% for branched chain ethyl 504 esters).



505

506 Figure 4. Effects of matrix component combinations on the partial pressure difference (PPD) during 30-day 507 oxidation in simulated wine matrices. The denotations of component effects are formatted as follows: X0/1, Y0/1, 508 Z0/1, where X, Y and Z indicates the different component (C for copper, P for polyphenols, A for ascorbic acid), and 509 0/1 indicates the absence/presence of the component. For example, C1, P1, A0 denotes the simulated matrix where 510 copper and polyphenols are present and ascorbic acid is absent. (A) and (B): effects of component combinations on 511 different volatile compound classes and on individual volatile compounds in full-alcohol (12% v/v) matrices. (C) and 512 (D): effects of component combinations on different volatile compound classes and on individual volatile 513 compounds in low-alcohol (7% v/v) matrices. Note that the order of matrix component combinations in heatmaps is 514 sorted according to PPD effects similarity and are different from that shown in bar plots.

515 This finding highlights the importance of polyphenols in protecting volatile esters during oxidation. Similarly, 516 Roussis and colleagues commented that, amongst 14 ethyl esters and 5 acetate esters, supplementation of caffeic 517 acid at 100 mg/L to Muscat wine lowered the rate at which all 19 esters disappeared during oxidative storage. 518 For instance, the loss of ethyl hexanoate, ethyl octanoate and isoamyl acetate was inhibited by 17.6%, 10.4% and 519 37.4%, respectively, in the presence of caffeic acid compared to control.<sup>11</sup> The use of copper is the go-to method 520 should winemakers wish to suppress reductive off-odors such as hydrogen sulfide, although it also bears the 521 negative impact of catalyzing oxidation reactions in wine.<sup>56</sup> However, in this trial, the application of copper did 522 not induce considerable changes in the gas-phase concentration of esters after oxidation, provided that 523 polyphenols are also present in the matrix. This could be attributed to the antioxidant role of polyphenols, which 524 involves the Fe(II)/Fe(III) interchange catalyzed by copper.<sup>43</sup>

It must be noted, however, that the concentrations of polyphenols used in the current study are at their upper ends of concentrations found in Sauvignon Blanc wine.<sup>57</sup> Further, the addition of these polyphenols *per se* can decrease the partition coefficients of some volatile esters (*e.g.*, 16.2% decrease for that of ethyl octanoate), which lowers the fruitiness due to esters even prior to oxidation (see also section 3.2). Therefore, the positive impacts

- of polyphenols on partial pressure changes after oxidation needs to be further examined using lower polyphenolconcentrations.
- The use of ascorbic acid in the full alcohol matrices mostly increased the PPD values, regardless of the compound
- class, compared to the configurations where ascorbic acid was not used. It can be seen from Figure 4 (A) that
- configurations with ascorbic acid induces high levels of volatile loss, given by PPD values up to 32.5%, 37.5% and
- 534 26.7% for acetate esters, straight chain ethyl esters and branched chain ethyl esters, respectively. Noticeably, 535 when all three components were in the matrix ([C1, P1, A1]), ethyl octanoate suffered from the highest partial
  - when all three components were in the matrix ([C1, P1, A1]), ethyl octanoate suffered from the highest partial pressure decrease, *i.e.*, 58.6%, indicating severe compromise in the fruitiness perception (see Figure 4 (B)).
- 537 The auxiliary parameter FSO<sub>2</sub> indicated a clear difference between the averaged decline in free sulfur dioxide
- 538 between all configurations with ascorbic acid (65.3%) and those without (60.7%), which points to a faster 539 depletion of FSO<sub>2</sub> in the presence of ascorbic acid. Such pro-antioxidant phenomenon, which is due to the
- 540 enhanced oxygen uptake by ascorbic acid, especially when the container headspace is O<sub>2</sub>-enriched, has been
- 541 previously reported and renders the wine inadequately protected from oxidation.<sup>55</sup> Ascorbic acid in full alcohol 542 matrices clearly manifests pro-oxidant activity and loss of esters during oxidation, which defeats its intended use
- 543 as an antioxidant.

544 On the other hand, the use of ascorbic acid in the low-alcohol matrices appeared more beneficial in retaining the 545 gas-phase concentrations of volatile esters, as indicated by low PPD values (see Figure 4 (C) and (D)), especially when copper was not included in the matrix. Specifically, for all low-alcohol configurations with ascorbic acid, 546 547 the PPD values of individual compounds were no higher than 33.2% in the presence of copper and 19.5% in the 548 absence of copper, whereas it reached up to 58.6% for full-alcohol configurations with ascorbic acid. Free sulfur 549 dioxide analyses also revealed that for all matrices containing ascorbic acid, those with 12% v/v alcohol 550 averaged a 65.3% decline throughout the 30-day period, while the average decline of FSO<sub>2</sub> in 7% v/v alcohol 551 matrices was lower at 62.6%, indicating better protection from oxidation in the lower-alcohol environment. 552 Interestingly, the combined use of polyphenols and ascorbic acid in the absence of copper ([C0, PA, A1]), led to 553 an increase in the partial pressure of the nine esters post-oxidation by 6.4-15.6%, which points to a synergistic 554 effect of both antioxidants. A closer inspection at this configuration indicated the increase in partial pressure was 555 mainly due to the rise in partition coefficients of all esters, while their original matrix-phase concentrations did not change as severely pre- and post-oxidation. For example, the averaged relative increase in partition 556 557 coefficients of straight chain ethyl esters was 13.4%, whereas the averaged relative increase in matrix-phase 558 concentrations was 2.5%. Such a discrepancy in the effects of ascorbic acid between full-alcohol and low-alcohol 559 matrices implies that ethanol may play an important role in regulating the antioxidant behavior of this 560 compound. Indeed, Hsu and colleagues reported that the degradation rate of ascorbic acid conforms to first-561 order kinetics and is faster with elevated ethanol concentrations. For example, under the conditions most 562 comparable to the current study (400 mg/L ascorbic acid, pH = 3.2, 25 °C storage temperature), the half-life of 563 ascorbic acid was 9.69 days in 0% v/v hydroethanolic solutions and 7.76 days in 10% v/v counterparts.<sup>58</sup> The 564 accelerated degradation of ascorbic, as well as that of catechin, due to increased ethanol content in an ascorbic 565 acid/catechin solution (500 mg/L of each compound), was also reported by Chuang, Shen and Wu. This effect 566 was ascribed to the lowered water activity in solutions with higher ethanol content, which favors the faster 567 decomposition of dehydroascorbic acid and hence shifts the chemical equilibrium towards ascorbic acid 568 degradation.<sup>59</sup> The current results reiterated the need to use ascorbic acid as a wine antioxidant with care.

Ethanol-dependent effects were also observed for copper. It can be seen from Figure 4 (A) and (C) that when either one of polyphenols or ascorbic acid, or both, was present in the matrix, the effect of copper on PPD became less pronounced in the 7% v/v alcohol environment compared to the 12% v/v counterpart. However, if neither antioxidant was present (configuration [C1, P0, A0]), the PPD values were much higher in the 7% v/v alcohol environment. FSO<sub>2</sub> analyses further indicated that the loss of free sulfur dioxide during oxidation was

- 574 consistently higher in matrices of 12% *v*/*v* alcohol than with 7% *v*/*v* alcohol, when copper was present,
- regardless of the presence or absence of either antioxidants. Although copper is a well-established catalyst of
- 576 wine oxidation given its ability to accelerate the recycling of Fe(II)/Fe(III) redox pair,<sup>26</sup> evidence regarding the
- 577 effect of ethanol content on the pro-antioxidant behavior of copper remains limited. Hence, future research is
- 578 needed to further examine the relationship between copper as an oxidation catalyst and wine alcohol content.

In summary, in order to minimize the loss of gas-phase concentration of volatile esters in wine-like matrices during oxidation, the most effective antioxidant approach for full-alcohol (12% v/v) wines is to include polyphenols without ascorbic acid, whereas for low-alcohol (7% v/v) wines, both polyphenols and ascorbic acid can be beneficial. In each case, copper promotes oxidation and the loss of esters in the headspace in low-alcohol wines, but does not induce statistically significant ester loss in full-alcohol wines. These findings could aid winemakers to determine the best interventions in combating wine oxidation, especially during bulk liquid storage in tank or bulk transport, where large volumes of ullage and oxygen ingression may be present.

#### 586 3.4.3 C/PPD of individual matrix components: decomposed simple effects analysis

587 When performing the ANOVA analyses to evaluate the impacts of matrix component additions, a top-down 588 strategy was adopted, *i.e.*, the highest level (three-way) interactions between copper, polyphenols and ascorbic 589 acid were identified first, and, if present, were decomposed into simple two-way interactions (altering two 590 components while holding the other unaltered) and simple-simple main effects (altering one component while 591 holding the other two unaltered). All three-way and two-way interactions for the ten esters are summarized in 592 Table 4. Statistically significant three-way and two-way interactions were seen with all of the ten esters with a 593 few exceptions. These included the three-way interaction for ethyl octanoate in full alcohol matrices and 594 polyphenol × ascorbic acid two-way interaction for hexyl acetate in low alcohol matrices. These exceptions 595 indicate that the effect of one component addition strongly depends on the presence or absence of other 596 components in the system.

# 597Table 4. Two-way and three-way interactions between copper (C), polyphenol (P) and ascorbic acid (A) on C/PPD of598the ten volatile esters during 30-day oxidation in simulated wine matrices. Statistical significance values of these599interactions are reported as follows: < 0.001, \*\*\*; < 0.01, \*\*; < 0.05, \*; 0.05–0.1, numerical values; > 0.1, n.s.

Compound	Full alcohol (12% $v/v$ ) matrices			Low alcohol (7% v/v) matrices				
	C×P	C × A	Ρ×Α	$C \times P \times A$	C × P	C × A	Ρ×Α	$C \times P \times A$
Methyl acetate	n.s.	**	n.s.	**	***	***	***	n.s.
Isobutyl acetate	***	***	***	***	***	***	***	* * *
Isoamyl acetate	* * *	***	***	***	n.s.	***	* * *	* * *
Hexyl acetate	***	***	***	***	n.s.	***	n.s.	***
Ethyl butyrate	***	***	***	***	***	***	***	***
Ethyl hexanoate	**	***	***	***	***	***	***	* * *
Ethyl octanoate	***	***	***	n.s.	***	***	***	* * *
Ethyl isobutyrate	***	***	***	***	***	***	***	***
Ethyl isovalerate	***	***	***	***	***	***	***	* * *
Ethyl 2-methylbutyrate	***	***	***	***	***	***	***	***

600 The fully decomposed addition impacts are visualized in Figure 5. As discussed in section 3.4.2, in the case of full-

alcohol matrices, ascorbic acid mainly caused an increase in PPD values, whereas in low-alcohol matrices,

ascorbic acid could effectively lower the partial pressure difference (groups 9–12).





Figure 5. Effects of individual component additions on the changes of partial pressure difference (PPD) during 30day oxidation in simulated wine matrices. The denotations of component effects are formatted as follows: X - Y0/1, Z0/1, where X indicates the component to be added, Y and Z indicates the other two components held constant, 0/1 indicates the absence/presence of the two constant component. For example, P - C1, A0 denotes the effect of adding polyphenols to the matrix on PPD values when the copper is present and ascorbic acid is absent. (A) and (B): effects of individual component additions on different volatile compound classes and on individual volatile compounds in full-alcohol (12% v/v) matrices. (C) and (D): effects of individual component additions on different 611 volatile compound classes and on individual volatile compounds in low-alcohol (7% v/v) matrices. Note that the 612 order of matrix components in heatmaps is sorted according to C/PPD effects similarity and are different from that 613 shown in bar plots.

614 Similarly, the effects of polyphenol additions in decreasing PPD were also more pronounced in the low-alcohol 615 matrices. Indeed, polyphenols may be added into reduced-alcohol white wine to re-balance body and fullness,<sup>60</sup> which is supported by the current results that indicate better protection against oxidative loss of aroma. In the 616 617 full-alcohol matrices, on the other hand, adding polyphenols results in up to -30% C/PPD value, when neither 618 copper nor ascorbic acid were in the matrix, which was the best protection against partial pressure loss amongst the eight polyphenols and ascorbic acid simple effects (groups 5–12, Figure 5 (A)). When ascorbic acid was 619 present in the full-alcohol matrices, the use of polyphenols resulted in higher C/PPD values for ethyl octanoate 620 and branched chain ethyl esters (see Figure 5 (B)). Under these particular settings, the changes in partition 621 622 coefficients between pre- and post-oxidation were considerably modified by the involvement of polyphenols. For 623 example, the partition coefficients of ethyl octanoate was 0.0243 and 0.0342 for configuration [C1, P0, A1] before 624 and after oxidation, respectively. For configuration [C1, P1, A1], the partition coefficients were 0.0262 and 625 0.0149 before and after oxidation, respectively. One potential explanation for this phenomenon is synergistic 626 effects between polyphenol oxidation products and ascorbic acid degradation products, which collectively 627 enhanced the hydrophobicity of the matrix to retain hydrophobic aroma compounds. Nevertheless, future 628 research is needed to investigate, preferably from a molecular level, the compounded effects of polyphenols and 629 ascorbic acid on matrix hydrophobicity and resulting changes in volatiles.

Moreover, the use of copper showed a decrease in C/PPD values for both full-alcohol and low-alcohol matrices, especially when ascorbic acid was not involved (groups 1 and 2). Such effects were due to the increased partition coefficients of volatile esters, which offset their decline in matrix-phase concentrations, meaning that they mostly retained their partial pressure. Still, copper additions did indicate up to +48.4% C/PPD value for individual esters in the low-alcohol matrix with both polyphenols and ascorbic acid present, which points to a harmful loss of aroma in oxidized low-alcohol wines.

636 The current research explored the wine aroma from an innovative angle, *i.e.*, the partition coefficient and partial 637 pressure. Contrary to traditional methods, where quantitation focuses on the total concentration of volatile compounds in the entire sample, partial pressure points to the sensorially relevant presence of volatiles in the 638 639 headspace phase. Therefore, studying the changes in partial pressure of volatile compounds can directly highlight the loss of fruity perception after wine oxidation. The matrix component effects study also relates the 640 partial pressure concept to different winemaking additions, such as the controversial use of ascorbic acid, for 641 642 which a cross-over from antioxidant to pro-oxidant may occur in wine.<sup>55</sup> The combinatory application of ascorbic 643 acid and sulfur dioxide has been previously reported as beneficial in boosting the concentration of polyfunctional 644 mercaptans if added into grape must prior to alcoholic fermentation.<sup>61</sup> Results from the current study, however, highlighted that such treatment might lead to greater susceptibility towards oxidation in the finished wine 645 646 should the added ascorbic acid remain post-fermentation. Additionally, these effects of matrix component 647 combinations are of particular interest to winemakers, especially in bulk liquid handling, where oxygen ingress into the wine is happening. For example, Walther, Durner and Fischer reported the compromise of wine 648 649 freshness during bulk transport, as characterized by the loss of several acetate and straight chain ethyl esters, 650 comparable to the findings in the current study using commercial wines.<sup>62</sup> Hence, the idea of partial pressure 651 could in the future help to deepen the understanding of the impact of oxidation on wine aroma and to establish 652 effective countermeasures against oxidation.

# 653 Acknowledgements

The authors would like to express sincere gratitude towards Constellation Brands, NZ, who kindly supplied us with all the wine samples used in the current study. They also thank Dr. Melodie Lindsay for her help in the validatory work of wine oxidation compounds using HPLC. Lastly, they would like to thankfully acknowledge Callaghan Innovation for financial support (contract number: CONB1801).

# 658 **Conflict of Interest**

The authors declare no competing financial interest.

# 660 Supporting Information

- 661 This material is available free of charge via the Internet at http://pubs.acs.org.
- Table S1. Spiking levels of volatile compounds used for the calculation of partial pressure in the matrix
   component effects study.
- Table S2. Estimations of physicochemical properties of the ten volatile esters.
- Table S3. Odor thresholds of the ten volatile esters and the matrices used for odor threshold determination.
- Table S4. Temperature dependence of partition coefficient of the ten volatile esters in white wine.
- Table S5. Additional oxidation-related parameters monitored during the 30-day oxidation process.
- Table S6. Free sulfur dioxide and total antioxidant capacity changes as effects of the 30-day oxidation
   process in simulated wine matrices.

- Figure S1. The effect of different incubation times on the signal intensities of selected volatile esters
   using SHS-GC-IMS.
- Figure S2. The effect of different injection rates on the intensities and morphology of selected volatile
   ester signals using SHS-GC-IMS.
- Figure S3. The linear relationship between partition coefficients and odor thresholds of straight chain
   and branched chain ethyl esters.
- Figure S4. Cube plots for nine volatile esters demonstrating the effects of matrix component
- 678 combinations and the simple effects of single component additions on partial pressure changes during679 30-day oxidation.

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