1

2

¹H NMR Elucidation of Observed Stable Sugar-NaClwater Complexes in Aqueous Solution

3 Gan Zhu^{$\varphi a b$}, Hui Li^{φa}, Yiqun Li^{b*} and Liuqun Gu^{a*}

[a] Department of Biomedical Engineering, Jinan University; 601, West Huangpu Avenue, Guangzhou, China; E-mail:
 <u>guliuqun@yahoo.com</u>

6 [b] Department of Chemistry, Jinan University; 601, West Huangpu Avenue, Guangzhou, China; E-mail: <u>tlyq@jnu.edu.cn</u>

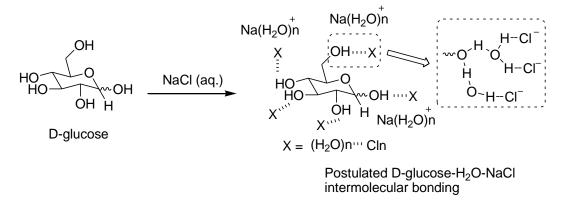
7 $^{\circ}$ These authors contributed equally.

8 KEYWORDS. ¹H NMR elucidation; mono/disaccharides; stable sugar-NaCl-water complex;
9 NaCl effect; aqueous solution.

10

11 Abstract:

The solvation of sugars in aqueous media matters in the understanding of biological systems 12 and carbohydrate transformations. Generally, 2 – 4 water units were proposed to interact with 13 14 each hydroxyl group in monosaccharides via different types of hydrogen bondings at room 15 temperature in previous studies. Presence of NaCl was known to perturb hydrogen bondings 16 of sugar hydrates. However, direct evidence to elucidate mechanism at atom level is very rare 17 even though "NaCl Effect" was well known in biomass chemical transformations. Here we report ¹H NMR elucidation evidences of mono/disaccharides hydrates in different 18 19 concentration of NaCl aqueous solutions. We here conclude two new findings: 1) under ideal 20 usage of NaCl, different mono/disaccharides hydrates are likely to be converted into a stable 21 sugar-NaCl-water form; 2) pKa value of different hydroxyls in mono/disaccharides has 22 intangible influence on hydrate form change induced by NaCl. An ideal NaCl usage based on maximum of ¹H NMR shift was proposed. 23



24

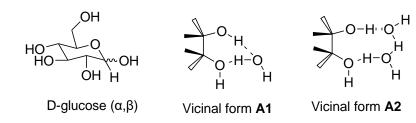
25 1.0 Introduction

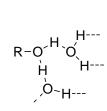
Monosaccharides as basic units of glycogen, starch and cellulose, play very important roles in biological systems and water is the only media¹; hence solvation of monosaccharides by water was studied for decades for better understanding of molecule mechanism. However, due to lack of direct experimental evidence, exact interaction between monosaccharides like Dglucose and water in solution is not yet fully understood, particularly regarding on how individual hydroxyl group is locally hydrated. In 1976, J. M. Harvey *et.al* reported that each
hydroxyl group of D-glucose forms at least two hydrogen bonds with two water molecules,
and four solvated forms with different hydrogen bindings were proposed (Figure 1)^{2,3}, via
direct measuring hydroxyl proton at low temperature. T. Suzuki's simulation work also
resulted into a similar conclusion in 2008.⁴

- 36
- 37

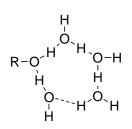
38

Figure 1. D-Glucose and its four different solvated forms in water.





Solvated form B1



Solvated form B2

39 40

> 41 The presence of sodium chloride was proved to perturb the hydrogen bonding network of water⁵, resulting into sodium-saccharide interaction in water⁶. Early study via paper 42 electrophoresis and optical rotation by S. J. Angyal⁷ showed that as a univalent cation sodium 43 44 cation is highly hydrated in solution and a single hydroxyl group on monosaccharides cannot 45 compete with water molecular in coordination; only two or three hydroxyl groups in a suitable arranged combination may result in sodium-monosaccharide complex in a very weak manner. 46 47 A Raman-spectra study by F. Franks⁸ et.al also indicated that sodium cation-glucose 48 perturbation rather than sodium-glucose complex formation caused observed spectrum 49 changes; and cations effect study also confirmed that the interaction induced by sodium cation is much weaker than other multivalent cations like Ca2+. Recently, NaCl usage in 50 aqueous solution as an additive⁹⁻¹² or a promoter¹³⁻¹⁵ for biomass transformations into valuable 51 52 chemicals or biofuels is increasingly popular because they are abundant in nature and are 53 very cheap; and "NaCl Effect" (or "salt effect") became well known in biomass conversion and 54 carbohydrate chemistry in the recent decade. Further system study of the role of metal halide in enhancing the dehydration of xylose to furfural by K. R. Enslow et.al¹⁶ and insights from 55 quantum mechanics/molecular dynamics on sodium ion interaction by H. B. Mayes $et.al^7$ both 56 confirmed the previous hypothesis, which states that the cation has a stronger effect than the 57 58 anion on glucose with the anions acting to stabilize critical intermediates. However, very recent higher yield productions of chemicals from monosaccharides in aqueous solution 59 promoted by NaCl¹⁸⁻²⁰ challenged the minor role hypothesis of chloride anion in NaCl 60 61 promoted system. The nature of complex-formation between sodium and monosaccharides

62 is not yet well understood, particularly at atom level. No direct experimental evidence 63 mapping bonding interaction between monosaccharides like glucose and NaCl in water was reported to our best of knowledge, probably because of the instability nature of complex in 64 solution and NMR spectrum was different depending on many factors^{5,6,16}. There are two 65 66 important concerns yet to be answered: 1) would the presence of NaCl change reactivity order 67 (pKa) of all carbons in mono/disaccharides via perturbing hydrogen bonding networks in 68 aqueous solution? 2) could maximum promotion (or activation) usage of NaCl be predictable? To answer these two concerns, here we reported ¹H NMR evidences of a relatively stable 69 70 sugar-NaCl-water complexes observed on different mono/disaccharides in concentrated NaCl solution, which is not yet reported before. Combining with observed ¹H NMR shifts 71 72 correlated with a concentration of NaCl solution, a more detailed mechanism on role of chloride anion is proposed here for a better elucidation of "NaCl Effect".²¹ 73

74 It was known that solid state NMR and IR spectra both showed no obvious change, especially 75 in spectral regions characteristic of the ordered and disordered regions of cellulose in the presence of NaCl^{22,23}, probably because of cellulose's poor solubility in water. Inspired by a 76 77 sharp difference of ¹H NMR shifts of glucosamine in saturated NaCl solution, we envisaged 78 an NMR angle by measuring ¹H NMR shifts of monosaccharides and disaccharides might 79 deliver more experimental evidences to understand the mechanism of "NaCl Effect". Hence, 80 water soluble monosaccharides including D-glucose, D-glucosamine hydrogen chloride, N-81 acetyl-D-glucosamine and D-fructose were initially chosen in order to gain some insights on 82 "NaCl effect" on the intramolecular/intermolecular hydrogen bonding in water via regular ¹H 83 NMR measurement. Variant concentration and saturated NaCl solutions were prepared for 84 comparison study.

85

86 2.0 Experimental Section

87 General information

88 D(+)-Glucosamine hydrochloride was purchased from Shanghai Macklin Biochemical Co., Ltd. 89 Ethanol was purchased from Guangdong Test Agent Technology Co., Ltd. N-Acetyl-D-90 glucosamine, glucose, D₂O and NaCl were purchased from Aladdin Industrial Corporation. 91 Fructose was purchased from Shanghai TCI Chemical Industry Development Co., Ltd. Sucrose 92 and trehalose were both purchased from Guangzhou Asegene Co., Ltd. Stachyose (80%) 93 was purchased from Macklin Co., Ltd. All reagents were used without further purification. 94 Saline solution (medical, 0.9%) was purchased from Hebei Tiancheng Pharmaceutical Co. Ltd. 95 Deionized water was used in all experiments. All reagents were used without further 96 purification. ¹H NMR spectra was recorded on Bruker AV-300 (300 MHz) instrument at room 97 temperature.

98

99 2.1 Preparation for different concentrations of NaCl solutions (wt%)

- 100 1. 0.5 % NaCl solution: 29.2 mg NaCl was dissolved in 6 mL deionized water.
- 101 2. 1.9% NaCl solution: 116.8 mg NaCl was dissolved in 6 mL deionized water.
- 102 3. 2.4% NaCl solution: 146.1 mg NaCl was dissolved in 6 mL deionized water.
- 103 4. 4.8% NaCl solution: 1 g NaCl was dissolved in 20 mL deionized water.
- 104 5. 9.1% NaCl solution: 2 g NaCl was dissolved in 20 mL deionized water.
- 105 6. 13.0% NaCl solution: 3 g NaCl was dissolved in 20 mL deionized water.

- 106 16.7% NaCl solution: 4 g NaCl was dissolved in 20 mL deionized water. 7.
- 107

108 2.2 General procedure for ¹H NMR investigation on monosaccharides

109 Monosaccharide (0.5 mmol) was added into different concentration of NaCl solution (6 mL) 110 and the mixture was stirred for 6 h at room temperature. After then, 1 mL of the reaction 111 mixture was taken out and was mixed with some ethanol (for fast evaporation); and the 112 solvent mixture was evaporated under reduced pressure at 37°C. Removal of residual solvent in *vacuum* gave a crude product (dissolved in 0.4 mL D₂O) for ¹H NMR to determine chemical 113 114 shift.

115

116 2.3 General procedure for ¹H NMR investigation on oligosaccharides

117 Sucrose (107.0 mg, 0.31 mmol, Mw: 342.3 g/mol, 8 OHs/molecular) or trehalose (107.0 mg, 118 0.31 mmol, Mw: 342.3 g/mol, 8 OHs/molecular) or stachyose (148.8 mg, 0.18 mmol, purity: 119 80%, Mw: 666.6 g/mol, 14 OHs/molecular) was added into different concentration of NaCl 120 solution (6 mL) and the mixture was stirred for 6 h at room temperature or 60°C; After then, 121 1 mL of the reaction mixture was taken out and was mixed with some ethanol (for fast 122 evaporation); and the solvent mixture was evaporated under reduced pressure below 50°C. 123 Removal of residual solvent in vacuum gave a crude product (dissolved in 0.4 mL D₂O) for ¹H 124 NMR to determine chemical shift.

125

126

2.4 General procedure for ¹H NMR investigation volume impact (9.1% NaCl solution) of "NaCl 127 effect" on N-acetyl-D-glucosamine

128 N-Acetyl-D-glucosamine (0.5 mmol) was added into 9.1 wt% NaCl solution (1.5 mL, or 3 mL 129 or 6 mL or 12 mL) and the mixture was stirred for 6 h at room temperature. After then, 1 mL 130 of the reaction mixture was taken out and was mixed with some ethanol (for fast evaporation); 131 and the solvent mixture was evaporated under reduced pressure at 37°C. Removal of residual 132 solvent in vacuum gave a crude product (dissolved in 0.4 mL D₂O) for ¹H NMR to determine 133 chemical shift.

134

135 Note: sample concentration means the concentration of NaCl in NMR tube. In procedures 2.1 136 -2.4, 1 mL of solution was taken out and the water was evaporated along with ethanol added 137 to give a crude solid; the solid was dissolved into 0.4 mL D₂O and it led to a concentration 138 increase in NMR tube (2.5 times of prepared solution).

- 139
- 2.5 Procedure for ¹H NMR comparison study (glucose) 140
- 141 2.4.1 Preparation of 9.1% NaCl solution
- 142 2 g NaCl was dissolved in 20 mL deionized water.
- 143 144

2.5.2 Procedure

145 D-glucose (0.5 mmol) was added into a NaCl solution (9.1 wt%, 6 mL) and the mixture was 146 stirred for 6 h at room temperature. After then, 1 mL of the reaction mixture was taken out 147 and was mixed with some ethanol (for fast evaporation); and the solvent mixture was 148 evaporated under reduced pressure at 37°C. Removal of residual solvent in vacuum gave a crude product (dissolved in 0.4 mL D₂O) for ¹H NMR to determine chemical shift. Meanwhile 149

- another 1 mL of the reaction mixture was taken out and was mixed with some ethanol (for
- fast evaporation); and the solvent mixture was evaporated under reduced pressure at 37℃.
- 152 Removal of residual solvent in *vacuum* gave a crude product (dissolved in 1 mL D₂O), part of 153 them for ¹H NMR to determine chemical shift for comparison.
- 154
- 155 2.53 Procedure for ¹H NMR study of NaCl solution directed preparation in D_2O .
- 156 Preparation of 9.1% NaCl solution: 0. 2 g NaCl was dissolved in 2 mL D₂O.
- 157 D-glucose (0.1 mmol) was added into above NaCl solution (1.2 mL) and the mixture was
- stirred for 6 h at room temperature. After then, 0.5 mL of the reaction mixture was taken out
- 159 (2 times of concentration in preparation) for ¹H NMR to determine chemical shift.
- 160
- 161 *2.5.4 Procedure for preparation a control (D-glucose in D₂O).*
- D-glucose (0.05 mmol) was added into D₂O (0.6 mL); and the reaction mixture was taken out
 for ¹H NMR to determine chemical shift.
- 164

165 **3.0 Results and Discussion**

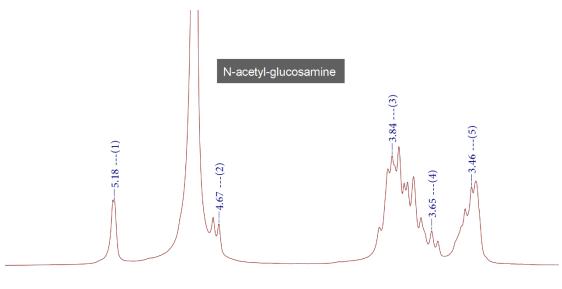
166 3.1 ¹H NMR Study of monosaccharides in NaCl solution (1 wt% to saturated solution)

167

168 Initially, 0.5 mmol of N-acetyl-D-glucosamine was dissolved into 6 mL of NaCl solution in a 169 different concentration and the mixtures continued to stir for 6 hours at room temperature 170 before one portion was taken out for ¹H NMR measurement. Five easily identified peaks, 171 including hydrogens on 1-position carbon of both α -anomer and β -anomer²⁴, were marked 172 (**Figure 2**) in order to track their changes in different concentrations of NaCl solutions.

173

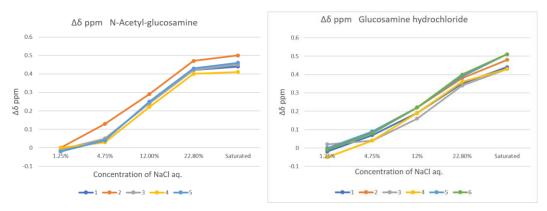
Figure 2. ¹H NMR Spectra of N-Acetyl-D-glucosamine and Five Peaks Marked for Tracking.



For N-acetyl-D-glucosamine, protons on 1-position carbon of α-anomer and β-anomer displayed at δ 5.18 and 4.67 respectively on ¹H NMR spectra in D₂O, the former was at left

179 side of D₂O peak while the latter was at right side. In 1.25 wt% NaCl solution, influence of NaCl to ¹H NMR shifts of all marked five peaks ($\Delta \delta \leq -0.02$ ppm) were almost intangible; 180 meanwhile the shifts became obvious ($\Delta \delta$ = 0.03 - 0.13 ppm) in 4.75 wt% NaCl solution 181 182 (**Figures 3** and supporting information). An interesting observance was that the shift ($\Delta \delta$ = 183 0.13 ppm) of the second marked peak (H₁ of β -anomer) was the bigger than that ($\Delta \delta$ = 0.05 184 ppm) of the first one (H1 of α-anomer) in 4.75 wt% NaCl solution; indicating the presence of 185 NaCl had a stronger influence on β-anomer of N-acetyl-D-glucosamine. A significant 186 deshielding effect was observed for all protons of N-acetyl-D-glucosamine ($\Delta \delta = 0.26$ ppm) 187 in the presence of 12 wt% NaCl solution (in NMR tube; 4.8 wt% in preparation), which was induced by hydrated NaCl (Figures 3). A remarkable downfield shifting ($\Delta \delta$ = 0.18 ppm) on 188 189 ¹H NMR shift for all five peaks was also observed increasing of 12 wt% NaCl solution to 22.8 190 wt% NaCl solution (in NMR tube; 9.1 wt% in preparation). Such an obvious shift is comparable to what K. R. Enslow *et.al*¹⁶ had observed with 0.75M D-xylose in 6 M NaCl solution. Change 191 192 $(\Delta \delta \leq 0.02 \text{ ppm})$ became intangible when further increase of concentration to saturated 193 NaCl solution (in NMR tube; 13.0 wt% in preparation). Based on these data (Figures 2), it could 194 be concluded that maximized perturbation of intramolecular/intermolecular hydrogen 195 bonding within N-acetyl-D-glucosamine molecular was reached in 22.8 wt% NaCl solution 196 and in which relatively stable sugar-NaCl-water complex formed. Meanwhile, such a stable 197 complex was never observed via ¹H NMR measurement before to our best knowledge.

Figure 3. ¹H NMR Shifts of N-Acetyl-D-glucosamine and D-Glucosamine Hydrogen Chloride Correlating with Concentration of NaCl solution by Tracking Marked Five/Six Peaks



202

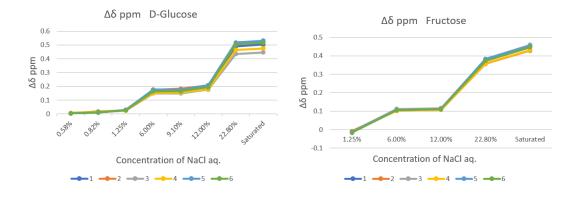
198

203

In order to gain more clues, similar ¹H NMR tracking investigation in different concentrations 204 205 of NaCl solution was also performed with other three abundant monosaccharides (D-glucose, 206 D-fructose and D-glucosamine hydrogen chloride) in nature (Figure 3 and 4). Above three 207 monosaccharides were known to interact with sodium cation in aqueous solution differently.⁷ 208 Similarly, in 1.25 wt% NaCl solution, influence of NaCl to ¹H NMR shifts of all marked six peaks $(\Delta \delta \leq -0.05 \text{ ppm})$ of D-glucosamine hydrogen chloride was almost intangible; meanwhile 209 210 the shifts became obvious ($\Delta \delta$ = 0.04 - 0.09 ppm) in 4.75 wt% NaCl solution (Figures 3 and 211 supporting information). A different observance was that gap of the shift ($\Delta \delta = 0.09$ ppm) of 212 the second marked peak (H₁ of β -anomer) and that ($\Delta \delta$ = 0.07 ppm) of the first one (H₁ of 213 α-anomer) was narrow in 4.75 wt% NaCl solution; indicating the presence of acetyl group had an obvious influence and the anomeric effect became not obvious. Interestingly, with Dglucosamine hydrogen chloride stable complex could only be obtained till NaCl concentration was increased to saturated solution (in NMR tube; 16.7 wt% in preparation). The significant delay to a stable sugar-NaCl-water complex was likely due to free amine moiety of Dglucosamine hydrogen chloride (stronger inter/intramolecular bonding).

219

Figure 4. ¹H NMR Shifts of D-Glucose and D-Fructose Correlating with Concentration of NaCl solution by Tracking Marked Six Peaks.





224 In 1.25 wt% NaCl solution, a downfield effect ($\Delta \delta = 0.02 - 0.03$ ppm) to all marked six peaks 225 of D-glucose was observed (Figures 4 and supporting information), unlike the existence of 226 both upfield effect and downfield effect to the marked five/six peaks of N-acetyl-D-227 glucosamine/D-glucosamine hydrogen chloride. Significant downfield changes ($\Delta \delta = 0.15$ -228 0.18 ppm) were observed upon increase of concentration of NaCl solution from 1.25 wt% to 229 6 wt% (in NMR tube; 2.4 wt% in preparation). No change at all or little change ($\Delta \delta = 0 - 0.03$ 230 ppm) for all marked peaks was found upon further increase of concentration of NaCl solution 231 from 6 wt% to 9.1 wt% or from 9.1 wt% to 12 wt% or from 22.8 wt% to saturated solution); 232 meanwhile significant changes ($\Delta \delta$ = 0.26 - 0.32 ppm) were observed upon increase of 233 concentration of NaCl solution from 12 wt% to 22.8 wt%. A possible stable sugar-water-NaCl 234 complex was formed in 22.8 wt% NaCl solution. Trends of ¹H NMR shifts of D-glucose in 235 marked six peaks were pretty like a staircase, not a line; indicating a stepwise formation of 236 hydrogen (H₂O) -chloride bonding.

237 For D-fructose, an upfield effect ($\Delta \delta \leq -0.02$ ppm) to all marked six peaks was observed in 238 1.25 wt% NaCl solution, probably because of its rigid furanose structure. Similar to the 239 observance for D-glucose, obvious ¹H NMR shifts ($\Delta \delta = 0.10$ -0.11 ppm) could still be 240 observed for all six marked peaks when further increase of concentration to 6.0 wt% from 1.25 241 wt%; meanwhile little change ($\Delta \delta \leq 0.01$ ppm) was shown upon further increase of 242 concentration from 6.0 wt% to 12 wt%. Surprisingly, anomeric effect was intangible for Dfrutose. Continued increase to saturated NaCl concentration led to small changes ($\Delta \delta \leq$ 243 0.08 ppm) for all six marked peaks on ¹H NMR spectra. Such observed staircase-like trend 244 245 indicated that correlation of ¹H NMR shift of other monosaccharides (without amine moiety) 246 to concentration of NaCl solution might also be similar, which is an important insight for 247 understanding of "NaCl effect" at atom level.

248 It worth being noted that shifting trends of all marked peaks were similar in all four

249 monosaccharides which indicated that pKa value has no observable effect on perturbation of 250 hydrogen bonding, and generation of new hydrogen bonding networks was non-selective. 251 However, anomeric difference did exist for some monosaccharides in relatively low 252 concentration of NaCl solution. Another key insight is that chloride anion likely plays major 253 role on induction of ¹H NMR shift because of two reasons: 1) sodium cation is known to have 254 less specific locations of binding due to its univalent and readily hydrated property in water.²⁵ 255 Typically, two or three hydroxyl groups of monosaccharides are necessary to bind with each 256 sodium cation and it is not so surprising that only one or two hydrated sodium cations can 257 interact with each monosaccharide weakly. 2) Sodium cation is known to be buried inside 258 water shells in its hydrated form meanwhile chloride anion is on the top of water cluster in its hydrated form;²⁶ in addition, strong perturbation of anions by exerting on adjacent H atoms 259 was reported²⁷ through experimental Raman spectral measurements with classical MC 260 261 simulations.

262

265

3.2 ¹H NMR Study of disaccharides/oligosaccharide in NaCl solution (12 wt% to saturated solution) at room temperature and 60°C.

266 Disaccharides and oligosaccharides have stronger hydrogen bonds with water because of 267 more chelating bonds involved, and self-associate to form cluster is also involved in aqueous 268 solution. Next, two disaccharides (sucrose and trehalose) and one tetrasaccharide (stachyose) were selected as targets for ¹H NMR comparison study in higher concentrations of NaCl 269 270 solutions at room temperature and 60°C (supporting information) in order to provide more 271 viable references for NaCl promoted perturbation of hydrogen bonding of polysaccharides 272 such as cellulose. As a disaccharide formed by a 1,1-glycosidic bond between two α -glucose 273 units, trehalose has a stronger hydrogen bonding network than D-glucose. Disaccharide 274 containing fructose moiety and fructose need a higher concentration of NaCl solution to 275 generate the stable sugar-NaCl-water complexes since the ring of fructose hydrate is more rigid than that of glucose hydrate, leading to less mobility of H-bonded water.²⁸ 276

At room temperature in 12 wt% NaCl solution, ¹H NMR shifts ($\Delta \delta = 0.27$ -0.30 ppm) for all 277 278 four marked peaks of trehalose (in NMR tube; 4.8 wt% in preparation) were much bigger than 279 those observed ($\Delta \delta$ = 0.15 -0.16 ppm) with sucrose (supporting information). It was easy to 280 be understood because one molecule sucrose composed of two monosaccharides (glucose 281 and fructose) and the moiety (fructose) in slow rate determined the rate of sucrose in 282 perturbation of hydrogen bonding. Such difference between shifts of all four peaks of 283 trehalose ($\Delta \delta = 0.34$ -0.40 ppm) and those of sucrose ($\Delta \delta = 0.39$ -0.43 ppm) became very 284 little in case that concentration of NaCl solution was over 22.8 wt% (in NMR tube; 9.1 wt% in 285 preparation).

Replacement of sucrose to tetrasaccharide (stachyose) led to little change on the correlation
between ¹H NMR shift and concentrations of NaCl solution (supporting information). Such
similar change indicates that NaCl promoted stable sugar (moiety)-NaCl-water complexes
are likely present as well for oligosaccharides in water.

An increase of mixing temperature to 60°C had positive effect ($\Delta \delta = 0.11$ ppm) on perturbation of hydrogen bonding of sucrose (**Table 1** and supporting information) in relatively low concentration of NaCl solution (12 wt% in NMR tube, 4.8 wt% in preparation); 293 smaller shifts ($\Delta \delta = 0.02$ ppm) for all four marked peaks of stachyose was also observed 294 upon the increase to 60°C (see supporting information). However, influence became 295 intangible in relatively high concentration of NaCl solution (22.8 wt%) for both disaccharides 296 and stachyose (Table 1 and supporting information). Such observance indicated the formed 297 sugar-NaCl-water complexes are relatively stable and could be prepared in relatively low 298 concentration of NaCl solution under elevated temperature or in higher concentration at 299 room temperature. For trehalose, a remarkable upfield effect ($\Delta \delta = -0.13 - 0.14$ ppm) was 300 observed in 12 wt% NaCl solution, probably because self-associate of trehalose was strongly 301 accelerated at 60°C.

302

Table 1. ¹H NMR shifts (Four marked peaks of sucrose) under different concentrations resulted
 from increase of temperature (60 °C).

Concentration				
(NMR sample)	1 (Δδ)	2 (Δδ)	3 (Δδ)	4 (Δδ)
0	-0.011	-0.010	-0.010	-0.011
12.0%	0.109	0.107	0.106	0.111
22.8%	-0.032	-0.030	-0.029	-0.032
Saturated solution	0	0	0.001	0.001

311 312

The significant shifts for all marked peaks of sucrose in the presence of 12 wt% NaCl solution at room temperature and 60°C indicated the importance of temperature; particularly the temperature might play a more important role for polysaccharides that have poor solubility in water due to large molecular weight. NaCl concentration was well known to have strong effect on hydrogen bonding in water due to solvation²⁹⁻³¹. The higher concentration of NaCl solution, the better effect on perturbation of hydrogen bonding network based on ¹H NMR shifts.

320

321 3.3 NMR instrument impact on ${}^{1}H$ NMR shifts of D-glucose in D₂O.

322

Table 2. ¹H NMR shifts (six marked peaks of D-glucose) on different NMR instruments at
 different date.

Entry							
(E)	Date (brand)	1	2	3	4	5	6
1	Dec. 2021 (JOEL)	5.198	4.607	3.883	3.713	3.460	3.215
2	Sept. 2021 (JOEL)	5.230	4.638	3.909	3.740	3.491	3.246
3	∆δ (E2 – E1)	0.032	0.031	0.026	0.027	0.031	0.031
4	2018 (Bruke)	5.143	4.551	3.841	3.665	3.408	3.161
5	∆δ (E4 – E1)	-0.045	-0.056	-0.042	-0.048	-0.052	-0.051

325

As we discussed in the introduction part, many factors might have influence on absolute value of shifts of ¹H NMR, here we investigated the impact of NMR instrument on six marked peaks of D-glucose by measuring the sample on different NMR instrument at different date. Both 329 NMR instruments were in default working conditions at room temperature for users from chemistry laboratories. The error ($\Delta \delta$ = 0.03 ppm) between two ¹H NMR spectra (in D₂O) 330 331 recorded on JOEL NMR instrument at Shanghai in Sept.2021 and Dec. 2021 was in acceptable 332 error range (Entries 1 – 3, **Table 2**). The difference ($\Delta \delta = 0.04 - 0.06$ ppm) for ¹H NMR spectra 333 of D-glucose in D_2O (Entries 1, 4 and 5, **Table 2**) was slightly bigger when samples were 334 recorded on different NMR instruments (Joel at Shanghai, Dec. 2021 and Bruke at Guangzhou, 335 2018). Based on these comparison data, a general guideline is that a control sample is strongly 336 suggested to be done at the same time for comparison in case samples in very low 337 concentration of NaCl solution (< 1 wt%) or a change to a new NMR instrument.

- 338
- 339 3.4 Volume effect
- 340

341 In procedures 2.1 -2.4 in experimental section, 1 mL of solution was taken out and the water 342 was evaporated along with ethanol added to give a crude solid; the solid was dissolved into 343 0.4 mL D₂O and it led to a concentration increase in NMR tube (2.5 times of prepared solution). 344 Sample concentration in Table 3 means the concentration of NaCl in NMR tube. A recent 345 comparison study (Entries 1 - 3, Table 3) on another NMR instrument (JOEL) indicated the 346 difference ($\Delta \delta = 0.06 - 0.08$ ppm) of six marked peaks of D-glucose was very small between 347 the two samples mixed in 22.8 wt% solution (in NMR tube), considering the obvious shifts 348 $(\Delta \delta = 0.44 - 0.52 \text{ ppm})$ in comparison with D-glucose in the absence of NaCl (supporting 349 information). Not surprisingly, an increase on concentration in NMR tube (2.5 times) led to a 350 sharp change on shifts of all six peaks (Entries 2 and 4, Table 3). A control study in NaCl/D₂O 351 solvent (9.1 wt%) was also carried out and part of solvent mixture was directly taken out for 1 H 352 NMR measurement (Entries 5, Table 3); the shifts of all six marked peaks had little difference 353 $(\Delta \delta \leq 0.02 \text{ ppm})$ with those via evaporation/dissolving process (Entries 4, **Table 3**).

354

Table 3. ¹H NMR shifts (six marked peaks of D-glucose) on different NMR instruments at
 different date.

357

Entry	Concentration						
(E)	(sample)	1	2	3	4	5	6
1	9.1% (22.8%, 0.4 mL D ₂ O)						
	(2018, on Bruke)	5.633	5.061	4.276	4.129	3.926	3.664
2	9.1% (22.8%, 0.4 mL D ₂ O)						
	(Dec. 2021, on JOEL)	5.568	4.992	4.217	4.051	3.850	3.588
3	∆δ (E2-E1)	-0.07	-0.07	-0.06	-0.08	-0.08	-0.07
L	9.1% (9.1%, 1.0 mL D ₂ O)						
	(Dec. 2021, on JOEL)	5.365	4.790	4.032	3.868	3.635	3.380
5	9.1% (D ₂ O)						
	(Dec. 2021, on JOEL)	5.383	4.799	4.053	3.885	3.654	3.397

358

359

360 Effects of volume of 9.1 wt% NaCl solution (22.8 wt% in NMR tube) was also investigated with

N-acetyl-D-glucosamine (0.5 mmol) (**Table 4**). Downfield shift was observed along with increase of volume till 6 mL, indicated that after then bonding network became steady no change was observed on ¹H NMR spectra. The total usage of NaCl was 10 mmol under the turning point condition (6 mL) and molar ratio of NaCl to total hydroxyls (2.5 mmol) of monosaccharide was 4 : 1.

366

Table 4. ¹H NMR Spectra of N-acetyl-D-glucosamine after mixing with different volume of
 22.8 wt% NaCl solution (in NMR tube) at room temperature.

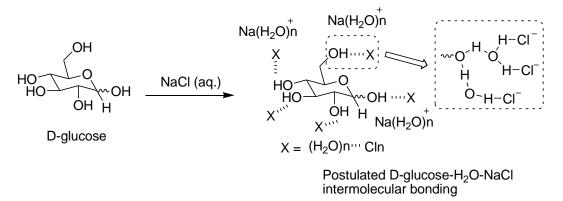
Volume	1 (Δδ)	2 (Δδ)	3 (Δδ)	4 (Δδ)	5 (Δδ)
1.5 mL	0	0	0	0	0
3 mL	0.050	0.054	0.048	0.048	0.058
6 mL	0.236	0.229	0.206	0.227	0.231
12 mL	-0.017	-0.003	-0.003	0	-0.004

374 375

377

376 3.5 Structure of stable sugar-NaCl-water complexes.

378 Figure 5. Stable Complex of D-Glucose-NaCl-water in Aqueous Solution.



379 380

There are two hydrate forms (pentahydrate and dihydrate) for both D-glucose and D-fructose 381 in aqueous solution depending on the solubility range evidenced by IR Spectroscopy.²⁸ In the 382 dihydrate form, intramolecular hydrogen bonding between hydroxyls from D-glucose or D-383 384 fructose are likely present; the presence of NaCl may perturb these intramolecular hydrogen 385 bonding favoring generation of pentahydrate forms and break the hydrogen bonds between 386 adjacent water to hydroxyls and water from bulk water. All four types of forms including vicinal 387 forms A1, A2 and solvated forms B1 and B2 would be converted into a proposed stable 388 complex as shown in Figure 5. In this stable sugar-NaCl-water complex, two chloride anions 389 bind with two water molecules adjacent to each hydroxyl (or acetyl amide) respectively in a 390 non-selective manner; only one or two hydrated sodium cations will bind with sugars and 391 most are very mobile. The three chloride ions per hydroxyl is consistence with our observance 392 that molar ratio of NaCl to total hydroxyls (2.5 mmol) of monosaccharide was 4 : 1 when a 393 stable sugar-water complex formed. And the staircase trend in correlation of ¹H NMR shifts 394 (D-glucose/fructose) showed the formation of hydrogen (H₂O)-chloride bond was likely 395 stepwise. Both sodium cations and chloride anions play an important role in perturbing

11

396 hydrogen bonds with bulk water in proposed hydrated forms (A1, A2, B1 and B2, Figure 1) and intramolecular hydrogen bonding of mono/disaccharides; however, chloride anions may 397 398 contribute much more to stabilize the sugar-NaCl-water complexes and to the observed ¹H 399 NMR shifts. The structure of complexes in NaCl solution is likely the same when they reached 400 a stable status regardless of initial parameters of concentration, volume and temperature, this 401 is of much importance for understanding of the well-known "NaCl effect". This proposed 402 sugar-NaCl-water complexes is based on both J. M. Harvey²³ and T. Suzuki's⁴ findings that 403 each hydroxyl group of D-glucose forms two hydrogen bonds with two water molecules (Figure 1), and our ¹H NMR evidences. Our proposed stable complexes are also consistent 404 with the finding by J. D. Smith *et.al* that²⁷ changes of hydroxyl (from monosaccharides) 405 vibrational spectrum is induced by the action of halide anion's electric fields on adjacent water 406 407 molecule near to hydroxyl.

408

409

3.6 ¹H NMR Study of D-glucose in biological relevant NaCl solution (< 1 wt%).

410

411 ¹H NMR shifts of D-glucose in 1.25 wt% showed clear downfield change on spectrum for all 412 six marked peaks ($\Delta \delta$ = 0.03 ppm); meanwhile an upfield change ($\Delta \delta$ = -0.01 - -0.02 ppm) 413 for all six peaks of fructose was observed under the same condition (Figure 4 and supporting 414 information). Such observance might be of much value to understanding of sugars in the human body via NMR analysis techniques³², as well as for understanding of biological 415 416 mechanism of Na-dependent cotransport of sugars like glucose and fructose. It is known that 417 Na dependent glucose transport has an approximate half maximal Na concentration of around 50-70 mM for the Na dependent glucose cotransporter, whereas fructose is not 418 transported in a Na dependent manner.^{33,34} A further exploration on ¹H NMR shifts of D-419 420 glucose in biological relevant NaCl solution (100 mM and 140 mM) at room temperature 421 was also performed; similar downfield changes were still observable (Table 5). This small but 422 clear downfield change indicated glucose-NaCl-water complex might generate in biological 423 system, meanwhile similar fructose-NaCl-water could not form in low concentration of NaCl 424 solution (<1.25 wt%); such difference may explain some of the stereospecificity of this process. 425 Further work with more sensitive ¹³C NMR to verify such difference would be performed in 426 the future.

427

428 **Table 5.** ¹H NMR shifts of D-glucose in biological relevant NaCl solution at room temperature.

Concentration						
(In NMR tube)	1 (Δδ)	2 (Δδ)	3 (Δδ)	4 (Δδ)	5 (Δδ)	6 ($ riangle\delta$)
50 mM (100 mM, 0.58%)	0.003	0.003	0.003	0.008	0.005	0.003
70 mM (140 mM, 0.82%)	0.010	0.011	0.019	0.016	0.011	0.011

429

430 3.7 A proposed ideal NaCl usage.

431

432 Concerning frequent optimization on NaCl usage in literatures, we tentatively propose a 433 calculation model recommending the best usage of NaCl for maximum perturbation of 434 hydrogen bonding network of mono/disaccharides. It is also very relevant for reference in 435 depolymerization of polysaccharides including starch, chitin and cellulose. Recommended absolute amount of NaCl in solution is 3.5 - 4.0 equivalent to total hydroxyl of saccharides 436 437 (including protons on amine moiety if glucosamine-based saccharides were used) based on observed maximum ¹H NMR shift forming stable sugar-NaCl-water complexes. This 438 439 recommended ideal usage is consistent with optimized condition in recent reported 440 literature²⁰. For temperature, room temperature is usually sufficient for monosaccharides and 441 oligosaccharides, which are soluble in water; however, typical increase of temperature was pretty necessary for cellulose depolymerization according to a recent report²², stating that 442 "NaCl effect" is only obvious when the temperature is above 210°C. 443

444

445 **4.0 Conclusion**

446

In summary, ¹H NMR evidences of stable sugar-NaCl-water complexes with monosaccharides 447 and oligosaccharides at room temperature were obtained, and the staircase trend in 448 449 correlation of ¹H NMR shifts (D-glucose/fructose) showed the formation of hydrogen (H₂O)chloride bond was likely stepwise; this observance is of much importance to further 450 451 understanding of prevailing "NaCl effect" at atom level. The shifting trends of all marked peaks 452 correlation to concentration of NaCl solutions were very similar in all four monosaccharides; 453 that indicated that pKa value has no observable effect on perturbation of hydrogen bonding 454 and generation of new hydrogen bonding network was non-selective. However, anomeric 455 effect did exist for some monosaccharides at relatively low concentration of NaCl solution. 456 Although both sodium cations and chloride anions promote perturbation of hydrogen 457 bonding network, chloride anions may play a key role in the stabilization of sugar-NaCl-water 458 complexes and induction of ¹H NMR shifts. Based on the maximum of induced ¹H NMR shifts 459 reaching stable sugar-NaCl-water complexes, a general recommended NaCl usage of 3.5 -460 4.0 equivalent mole of hydroxyls (including amines or amides) on saccharides was proposed. 461 We envisage more insights may be achieved when a full characterization of the stable sugar-462 NaCl-water complexes in aqueous media is available in the future.

463

464 **Supporting Information**.

- 465 Completed general information, all reaction procedures, ¹H NMR shifts summarized
- 466 in tables, figures for shift trends of disaccharides/stachyose, reproducing note and all
- ¹H NMR spectra with marked peaks were all included in supporting information.

468 Author Contributions

- 469 Concept was designed by L. Gu; G. Zhu and H. Li carried out experiments and collected
- 470 related data; L. Gu supervised this project and analyzed the data; Y. Li co-supervised

471	this project; the manuscript was written by L. Gu and all authors participated in
472	revision. All authors have given approval to the final version of the manuscript.
473	ACKNOWLEDGMENT
474	A special thanks to prof. Richard Naftalin (Physiology, King's college London) for
475	bringing our attention to Na ⁺ /glucose cotransporter and its relevance to this work. We
476	acknowledge that several additional reactions suggested by reviewers were carried out
477	by Dr. Sen Zhang from Shanghai Institute of Organic Chemistry (Shanghai, China).
478	We acknowledge a startup funding from Jinan University to L. Gu (No: 88015155 and
479	88016607) and a funding of the National Natural Science Foundation of China (No.
480	21372099) to Y. Li.
481 482 483	References: [1] Kosaka, A.; Aida, M.; Katsumoto, Y.; Reconsidering the activation entropy for anomerization
400	ידן הטפמהמ, ה., הוטמ, זאו, המנפעודוטנט, ד., הכנטוופועבוווע נווב מנוועמנוטוו בוונו טעע וטו מווטווופווצמנוטוו

- 484 of glucose and mannose in water studied by NMR spectroscopy. *J. Mol. Struc.* 2015, *1093*,
 485 195-200.
- 486 [2] Harvey, J.M.; Symons, M.C.R.; Naftalin, R.J.; Proton magnetic resonance study of the hydration
 487 of glucose. *Nature*, **1976**, *261*, 435-436.
- [3] Harvey, J.M.; Symons, M.C.R.; The Hydration of monosaccharides an NMR study. *J. Solution Chem.*, **1978**, *7*, 571-586.
- 490 [4] Suzuki, T.; The hydration of glucose: the local configurations in sugar-water hydrogen
 491 bonds. *Phys. Chem. Chem. Phys.*, **2008**, *10*, 96–105.
- 492 [5] Cappa, C. D.; Smith, J. D.; Wilson, K. R.; Messer, B. M.; Gilles, M. K.; Cohen, R. C. and Saykally,
- R. J.; Effects of alkali metal halide salts on the hydrogen bond network of liquid water. *J. Phys. Chem. B* 2005, *109*, 7046-7052.
- 495 [6] Angyal, S. J.; Complexes of metal cations with carbohydrates in solution. I. Determination
 496 of the extent of complexing by N.M.R. Spectroscopy. *Aust. J. Chem.*; **1972**, *25*, 1957-66.
- 497 [7] Book chapter. Angyal, S. J.; Complexes of metal cations with carbohydrates in solution.
 498 Advances in carbohydrate chemistry and biochemistry. **1989**, vol.47, 1-43.
- 499 [8] Franks, F.; Hall, J. R.; Irish, D. E.; and Norris, K.; The effect of cations on the anomeric 500 equilibrium of D-glucose in aqueous solution – A Raman-spectral study. *Carbohydr. Res.*,
- 501 **1986**, *157*, 53-64.
- 502 [9] Chen, X.; Chew, S. L.; Kerton, F. M.; Yan, N., Direct conversion of chitin into a N-containing

- 503 furan derivative. *Green Chem.* **2014**, *16* (4), 2204-2212.
- 504 [10] Omari, K. W.; Dodot, L.; Kerton, F. M., A simple one-pot dehydration process to convert N-
- acetyl-D-glucosamine into a nitrogen-containing compound, 3-acetamido-5-acetylfuran.
 ChemSusChem 2012, *5* (9), 1767-72.

507 [11] Hansen, T. S.; Mielby, J.; Riisager, A., Synergy of boric acid and added salts in the catalytic 508 dehydration of hexoses to 5-hydroxymethylfurfural in water. *Green Chem.* **2011**, *13* (1), 109-114.

- 509 [12] Wang, C.; Zhang, O.; Chen, Y.; Zhang, X.; Xu, F., Highly Efficient Conversion of Xylose Residues
- 510 to Levulinic Acid over FeCl3 Catalyst in Green Salt Solutions. ACS Sustainable Chemistry &
- 511 *Engineering* **2018**, *6* (3), 3154-3161.
- 512 [13] Li, M.; Li, W.; Lu, Y.; Jameel, H.; Chang, H.-M.; Ma, L., High conversion of glucose to 5-513 hydroxymethylfurfural using hydrochloric acid as a catalyst and sodium chloride as a promoter in
- 514 a water/γ-valerolactone system. *RSC Advances* **2017**, *7*(24), 14330-14336.
- [14] Jiang, Z.; Budarin, V. L.; Fan, J.; Remón, J.; Li, T.; Hu, C.; Clark, J. H., Sodium Chloride-Assisted
 Depolymerization of Xylo-oligomers to Xylose. *ACS Sustainable Chemistry & Engineering* 2018, *6*(3), 4098-4104.
- 518 [15] Amoah, J.; Hasunuma, T.; Ogino, C.; Kondo, A., 5-Hydroxymethylfurfural production from salt-
- induced photoautotrophically cultivated Chlorella sorokiniana. *Biochemical Engineering Journal* **2019**, *142*, 117-123.
- [16] Enslow, K. R. and Bell, A. T.; The role of metal halides in enhancing the dehydration of xylose
 to furfural. *ChemCatChem*, **2015**, *7*, 479-489.
- [17] Mayes, H. B.; Tian, J.; Nolte, M. W.; Shanks, B. H.; Beckham, G. T.; Gnanakaran, S. and
 Broadbelt L. J.; Sodium ion interactions with aqueous glucose: insights from quantum
 mechanics, molecular dynamics, and experiment. *J. Phys. Chem. B* 2014, *118*, 1990–2000.
- 526 [18] Mellmer, M. A.; Sanpitakseree, C.; Demir, B. Ma, Kaiwen; Elliott, W. A.; Bai, P.; Johnson, R.
- 527 L.; Walker, T. W.; Shanks, B. H.; Rioux, R. M.; Neurock M. & Dumesic, J. A.; Effects of chloride
- ions in acid-catalyzed biomass dehydration reactions in polar aprotic solvents. *Nat. Commun.*2019, *10.* 1132.
- [19] Pyo, S.-H.; Glaser, S. J.; Rehnberg, N. and Hatti-Kaul, R.; Clean production of levulinic acid
 from fructose and glucose in salt water by heterogeneous catalytic dehydration. *ACS Omega*2020, *5*, 14275–14282
- [20] Jing, Y.; Zhang, Y.; Lv, Q.; Guo, Y.; Liu, X. and Wang, Y.; Boosting the utilization efficiency
 of glucose via a favored C–C coupling reaction. *Green Chem.*, **2019**, *21*, 6236–6240.
- 535 [21] Part of this work was presented in ACS Spring 2021 National Meeting (Organic Chemistry
- 536 Division) (live). A recorded presentation video see: https://doi.org/10.1021/scimeetings.1c00812.
- [22] Jiang, Z.; Fan, J.; Budarin, V. L.; Macquarrie, D. J.; Gao, Y.; Li, T.; Hu, C.; Clark, J. H., Mechanistic
 understanding of salt-assisted autocatalytic hydrolysis of cellulose. *Sustainable Energy & Fuels* **2018**, *2* (5), 936-940.
- 540 [23] Fan, J.; Bruyn, M. D.; Zhu, Z.; Budarin, V.; Gronnow, M.; Gomez, L. D.; Macquarrie, D.; Clark, J.
- H. Microwave-enhanced formation of glucose from cellulosic waste. *Chem. Eng. Process.*, 2013, *71*, 37-42.
- 543 [24] Usually a mixture of α -anomer and β -anomer both exist due to dissociated equivalent.
- 544 [25] Carrell, C. J.; Carrell, H. L.; Erlebacher, J. and Glusker J. P.; Structural aspects of metal ion -
- 545 carboxylate interactions. J. Am. Chem. Soc. **1988**, *110*, 8651-8656.
- 546 [26] Chandrasekhar J. and Jorgensen W. L.; The nature of dilute solutions of sodium ion in water,

- 547 methanol, and tetrahydrofuran. *J. Chem, Phys.* **1982**, *77*, 5080-5089.
- 548 [27] Smith, J. D.; Saykally, R. J. and Geissler P. L.; The effects of dissolved halide anions on hydrogen
- 549 bonding in liquid water. J. Am. Chem. Soc. 2007, 129, 13847-13856.
- [28] Max, J.-J. and Chapados C. Glucose and Fructose Hydrates in Aqueous Solution by IR
 Spectroscopy. *J. Phys. Chem. A* **2007**, *111*, 2679–2689.
- 552 [29] Dedonder-Lardeux, C.; Gregoire, G.; Jouvet, C.; Martrenchard, S.; and Solgadi, D. Charge
- separation in molecular clusters: dissolution of a salt in a salt -(solvent), cluster. Chem. Rev., 2000,
- 554 100, 4023 [30] Beladjine, S.; Amrani, M.; Zanoun, A.; Belaidi, A,; Vergoten, G. Structure and
- by hydrogen bonding in aqueous sodium chloride solutions using theoretical water model AB4: effects
- 556 of concentration. *Comput. Theor. Chem.* **2011**, *977*, 97 102.
- [31] Shalit, A.; Ahamed, S.; Savolainen, J. and Hamm, P. Terahertz echos reveal the inhomogeneity
 of aqueous salt solutions. *Nat. Chem.*, **2017**, *9*, 273-278.
- 559 [32] Duus, J.; Gotfredsen, C. H.; and Bock, K. Carbohydrate Structural Determination by NMR
- 560 Spectroscopy: Modern Methods and Limitations. *Chem. Rev.*, **2000**, *100*, 4589 4614.
- 561 [33] Holman, G. D. and Naftalin R. J.; Transport of 3-O-Methyl D-Glucose and β-methyl D-
- 562 glucoside by Rabbit Ileum. *Biochim. et Biophys. Acta*, **1976**, *433*, 597-614.
- 563 [34] Loo, D. D. F.; Zeuthen, T.; Chandy, G. and Wright, E. M. Cotransport of water by the
- 564 Na⁺/glucose cotransporter. *Proc. Natl. Acad. Sci.*, **1996**, *93*, 13367–13370.

565