## Oxygen Stable Electrochemical CO<sub>2</sub> Capture and Concentration through Alcohol Additives

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Abstract: Current methods for CO<sub>2</sub> capture and concentration (CCC) are energy intensive due to their reliance on thermal cycles, which are intrinsically Carnot limited in efficiency. In contrast, electrochemically driven CCC (eCCC) can operate at much higher theoretical efficiencies. However, most reported systems are sensitive to O<sub>2</sub>, precluding their practical use. In order to achieve O<sub>2</sub> stable eCCC, we pursued the development of molecular redox carriers with reduction potentials positive of the  $O_2/O_2^-$  redox couple. Prior efforts to chemically modify redox carriers to operate at milder potentials resulted in a loss in CO<sub>2</sub> binding. To overcome these limitations, we used common alcohols additives to anodically shift the reduction potential of a quinone redox carrier, 2,3,5,6-tetrachloro-p-benzoquinone (TCQ), by up to 350 mV, conferring O<sub>2</sub> stability. Intermolecular hydrogen-bonding interactions to the dianion and CO<sub>2</sub>-bound forms of TCQ were correlated to alcohol  $pK_a$  to identify ethanol as the optimal additive, as it imparts beneficial changes to both the reduction potential and CO<sub>2</sub> binding constant, the two key properties for eCCC redox carriers. We demonstrate a full cycle of eCCC in aerobic simulated flue gas using TCQ and ethanol, two commercially available compounds. Based on the system properties, an estimated minimum of 21 kJ/mol is required to concentrate CO<sub>2</sub> from 10% to 100%, or twice as efficient as state-of-the-art thermal amine capture systems and other reported redox carrier-based systems. Furthermore, this approach of using hydrogen-bond donor additives is general and can be used to tailor the redox properties of other quinones/alcohol combinations for specific CO<sub>2</sub> capture applications.

Avoiding the most severe climate effects from anthropogenic carbon dioxide (CO<sub>2</sub>) emissions requires the advancement of CO<sub>2</sub> capture and concentration (CCC) technology. Currently, most approaches to CCC use thermal swings, which are energetically inefficient and expensive.<sup>1</sup> There are several advantages for using electrochemical methods (eCCC) over thermal-swings. These include independence from Carnot limitations to achieve theoretical efficiencies of up to 100%, operation at ambient temperatures, modular scalability for point source applications, and the use of increasingly economical renewable electricity.<sup>2–4</sup>

A common approach to eCCC is the use of redox carriers.<sup>4–6</sup> Redox carriers have two stable oxidation states, shown as **R** and **R**<sup>n–</sup> in Scheme 1. In the reduced state (**R**<sup>n–</sup>), the carrier has a high binding constant ( $K_{1,CO2}$ ) to facilitate CO<sub>2</sub> capture from dilute streams. In the oxidized state (**R**), the carrier has a low binding constant ( $K_{2,CO2}$ ) allowing for CO<sub>2</sub> release and concentration. Several classes of redox-active carriers have been investigated for eCCC applications including bipyridines,<sup>7–9</sup> thiols,<sup>10</sup> and quinones.<sup>11–18</sup> However, eCCC systems generally degrade from aerobic input streams because the reduced carriers react with oxygen (O<sub>2</sub>) resulting in unproductive



Scheme 1: General process for eCCC systems featuring a redox carrier in its resting state (**R**) that binds to CO<sub>2</sub> upon reduction (to  $\mathbf{R}^{n-}$ ) to form  $\mathbf{R}(\mathbf{CO}_2)^{n-}$  with a CO<sub>2</sub> binding constant of  $K_{1,CO2}$ . Upon oxidation of  $\mathbf{R}(\mathbf{CO}_2)^{n-}$  to form  $\mathbf{R}(\mathbf{CO}_2)$ , CO<sub>2</sub> is released to regenerate the resting-state carrier, **R**. If O<sub>2</sub> is present, deactivation of the active carrier can occur through electron transfer.

carrier oxidation and the generation of superoxide, which can cause destructive radical reactions with the carrier, solvent, or electrolyte (red reaction in Scheme 1).<sup>19,20</sup> Since oxygen is present in flue gas and atmospheric CO<sub>2</sub> sources, practical eCCC methods must overcome this limitation.

Aerobic stability is possible if  $E_{1/2}(\mathbf{R}/\mathbf{R}^{n-})$  ( $E_{cap}$  in Scheme 1) is positive of the  $O_2/O_2^{\bullet-}$  reduction potential. The range of this reduction potential in a few different solvents is demarcated by the dashed black lines in Figure 1 (experimental measurements are shown in Figure S1). The second key parameter for a redox carrier is its CO<sub>2</sub> binding constant ( $K_{1,CO2}$ ), which must also be

optimized for the application. For example, in order to attain >90% capture efficiency (>90% of incoming CO<sub>2</sub> is captured by the solution in a single pass),  $log(K_{1,CO2})$  must be greater than ~3.2 and ~5.5 for capture from flue gas and atmospheric concentrations,

respectively.<sup>11,12,22</sup> The minimum value of  $log(K_{1,CO2})$ needed for flue gas or atmospheric capture is also shown as the dashed green and blue lines, respectively in Figure 1. The shaded green and blue regions indicate the working regimes required for O<sub>2</sub> stable eCCC from flue gas or atmospheric resources.

Among reported redox carriers for eCCC, quinones have shown particular promise, with greater CO<sub>2</sub> binding constants at milder potentials compared to other organic redox carriers (Figures 1 and S2).<sup>4,7–10</sup> Under anaerobic conditions, quinones have performed eCCC from concentrations of less than 1% to greater than 90%.<sup>21,23</sup> Quinones



Figure 1. Relationship between reduction potential and CO<sub>2</sub> binding constant. Plot of  $Log(K_{1,CO2})$  versus  $E_{1/2}$  for reported quinone dianion species in: DMF (black squares), DMSO (blue triangles), or CH<sub>3</sub>CN (red circles), and TCQ in 2M EtOH in DMF as reported here (star). Selected structures are shown next to their corresponding data points. The vertical black dotted lines indicate the range of  $O_2/O_2^{\bullet-}$  couples in the reported solvents. Dotted horizontal lines represent the minimum requirement of  $Log(K_{1,CO2})$  from flue gas (green) or atmospheric (blue) resources. Shaded regions display the working regimes necessary for flue gas (green) or atmospheric (blue) eCCC applications. Data for each quinone is listed in Table S1.

are also currently produced at large scales, economical, and easily modified through functionalization. Electronic structure modifications through functionalization of quinones results in a linear free energy relationship (LFER) between the binding constant ( $\log(K_{1,CO2})$ ) and reduction potential ( $E_{1/2}$ ) (Figure 1). As a result, all previously explored redox carriers fall outside of the required working regimes for aerobic flue gas and atmospheric capture applications (Figure 1, Table S1).

As the two key properties for a redox carrier cannot be independently tuned through conventional functionalization, we pursued the use of intermolecular hydrogen bonding interactions through alcohol additives to break the LFER. Our studies demonstrate that common alcohol additives result in beneficial changes to the two key properties of a redox carrier – reduction potential and CO<sub>2</sub> binding constant. We also describe how hydrogen-bonding interactions are optimizable through the p*K*a of the alcohol additive. Using this approach, we demonstrate efficient O<sub>2</sub>-stable eCCC from flue gas concentrations using a commercially available quinone and alcohol. <sup>24–26</sup>

The use of alcohol additives described herein provides a facile approach for tuning the redox carrier properties into desirable ranges that are not accessible through traditional molecular functionalization. This approach can be applied to optimize redox carrier properties for different eCCC applications using easily accessible quinone and alcohol combinations.

## Results

Reduction of TCQ Under an N<sub>2</sub> Atmosphere and effect of added alcohols. Cyclic voltammetry (CV) of 2,3,5,6-tetrachloro-p-benzoquinone (TCQ) in the absence of hydrogen-bonding interaction under an N2 atmosphere exhibits two reversible, one-electron reductions in polar aprotic solvents, such as dimethylformamide (DMF, black trace Figure 2A), dimethylsulfoxide, (DMSO, Figure S3), acetonitrile (CH<sub>3</sub>CN), and benzonitrile (PhCN).<sup>11–13,27</sup> Similar to the studies reported by Linschitz and Gupta,<sup>27</sup> the addition of weakly acidic alcohols ( $pK_a(H_2O) \ge 12.5$ ) to solutions of TCO in DMF or DMSO under an N<sub>2</sub> atmosphere shifts the second reduction event  $(E(TCQ^{\bullet-}/TCQ^{2-})_{1/2})$  to more positive potentials, while the first reduction event  $(TCQ/TCQ^{\bullet-})$ remains unaffected (Figure 2a). Eight alcohol additives (2, 2, 2-trifluoroethanol (TFE), 2, 2, 2tribromoethanol (TBE), ethylene glycol (EG), 2-methoxyethanol (2-ME), ethanol (EtOH), hexanol (HexOH), 2-propanol (i-PrOH), and tert-butanol (t-BuOH)) were used in this study and all resulted in anodic shifts in potential, with some in excess of 350 mV (Figure 2a). For all alcohols, the magnitude of the anodic shift increases with alcohol concentration; however, at each concentration the shift is larger for alcohols with lower  $pK_a$  values (Figure S4-S6, and Table S2  $pK_a$  values are shown in Table 1). Both reduction events remain reversible with alcohol additives at all scan rates measured (v = 10-1000 mV/sec, Figure S7 and Table S3). Cyclic voltammograms of chemically



Figure 2. Effect of alcohol additives on reduction potential and electronic absorption spectra of TCQ. (a) Normalized cyclic voltammograms of TCQ containing 100mM concentrations of various alcohol additives; decreasing alcohol p $K_a$  results in larger anodic shifts to the second redox event. All voltammograms were recorded using DMF solutions containing 0.2 M TBAPF<sub>6</sub> electrolyte and 2.0 mM TCQ analyte concentrations under N<sub>2</sub> atmosphere. (b) Normalized electronic absorption spectra of TCQ<sup>2-</sup> obtained during UV-vis SEC experiments. Experiments were performed in DMF containing no alcohol (black trace), 1M ethylene glycol (orange trace), 2M ethanol (blue trace), or 2M tert-butanol (red trace) with 0.2 M TBAPF<sub>6</sub> electrolyte and 0.7 mM TCQ under N<sub>2</sub> atmosphere. For each solution, no protonation (to form the corresponding hydroquinone TCQH<sub>2</sub>, (black dashed trace) is observed.



Scheme 2. TCQ<sup>•–</sup> Properties in DMF and in 2M EtOH in DMF. Proposed reduction and CO<sub>2</sub> binding mechanism of TCQ with CO<sub>2</sub> in the presence and absence of intermolecular hydrogenbonding interactions from ethanol. Experimentally determined thermodynamic values are shown for each step.

prepared [TBA]<sub>2</sub>[TCQ<sup>2-</sup>] in DMF with 2M ethanol are nearly identical to that of TCQ in the same solvent mixture, with no evidence of decomposition after several hours (Figure S8).

Hydrogen-bonding interactions with EG, t-BuOH, and EtOH and the reduced TCQ species were further investigated using UV-visible spectroscopy and spectroelectrochemistry (UV-vis SEC). UV-vis SEC of TCQ in the absence of hydrogen-bond donors in DMF indicates that the quinone (TCQ), radical anion (TCQ<sup>•-</sup>), dianion (TCQ<sup>2-</sup>), and doubly-protonated dianion (TCQH<sub>2</sub>) have distinct absorbance features (Figure S9). The addition of alcohols results in a blue-shift (decrease in wavelength) in the absorbance of TCQ<sup>2-</sup> (Figure 2b), while the absorbance spectra of TCQ, TCQ<sup>•-</sup>, and TCQH<sub>2</sub> are unaffected (Figure S10, S11). Further, this change in  $\lambda_{max}$  for TCQ<sup>2-</sup> is larger for alcohols with lower  $pK_a$  (Figure 2b). However, while the shift in the absorption spectra of TCQ<sup>2-</sup> indicates that the alcohols interact with the dianion, they do not correspond to TCQH<sub>2</sub>, signifying TCQ<sup>2-</sup> is not being protonated.<sup>28</sup> UV-visible spectra of chemically synthesized [TBA]<sub>2</sub>[TCQ<sup>2-</sup>] has the same features and do not change over several hours (Figure S9). The spectroscopic, electrochemical, and spectroelectrochemical data all indicate that the alcohols hydrogen-bond to TCQ<sup>2-</sup>, resulting in anodic shifts to the E(TCQ<sup>•-</sup>/ TCQ<sup>2-</sup>)<sub>1/2</sub> potential – in some cases positive of the O<sub>2</sub>/O<sub>2</sub><sup>•-</sup> reduction potential in DMF – without resulting in protonation or decomposition.

#### CO<sub>2</sub> Binding to TCQ Dianion and effect of alcohol additives.

Under an N<sub>2</sub> or CO<sub>2</sub> atmosphere, no changes occur in the UV-vis SEC absorption spectra of TCQ and singly reduced TCQ<sup> $\bullet-$ </sup>, confirming that neither species reacts with CO<sub>2</sub>. In contrast the absorption spectra of TCQ<sup>2-</sup> is significantly blue-shifted in the presence of CO<sub>2</sub> (dotted versus

solid traces, Figure S11), indicating CO<sub>2</sub> binding. Similar to other quinones,  $TCQ^{2-}$  reacts with CO<sub>2</sub> to form an aryl carbonate species (Scheme 2)<sup>12,13</sup>, which we have characterized by <sup>13</sup>C NMR (Figure S13)<sup>18</sup> and Fourier-transform infrared (FTIR) spectroscopy (Figure S14).<sup>15,18</sup>

UV-vis SEC studies with added ethylene glycol, *tert*-butanol, and ethanol also result in no changes to the electronic absorption spectra between an N<sub>2</sub> and CO<sub>2</sub> atmosphere for TCQ and TCQ<sup>•-</sup>. However, the absorption corresponding to  $[TCQ(CO_2)]^{2-}$  is further blue-shifted in the presence of alcohol additives (Figure 3b). Of the three alcohols, only ethylene glycol appears to protonate TCQ<sup>2-</sup>to form TCQH<sub>2</sub>, which was not observed under an N<sub>2</sub> atmosphere. The electronic absorption spectrum of chemically synthesized  $[TBA]_2[TCQ^{2-}]$  in 2M ethanol in DMF under CO<sub>2</sub> is nearly identical to TCQ<sup>2-</sup> generated electrochemically under the same conditions (Figure S15).

CO<sub>2</sub> binding at TCQ<sup>2-</sup> is also evident by comparing the cyclic voltammograms of TCQ recorded under N<sub>2</sub> and CO<sub>2</sub> atmospheres. While the first reduction to TCQ<sup>•-</sup> is identical under both conditions, the second reduction features an anodic shift in E<sub>1/2</sub> in the presence of CO<sub>2</sub>, indicating an E<sub>r</sub>E<sub>r</sub>C<sub>r</sub> event, or two reversible electron transfer events followed by a rapid reversible chemical step (CO<sub>2</sub> binding, Figure 3a).<sup>29,30</sup> The addition of alcohol additives under CO<sub>2</sub> results in a further anodic shift in the second reduction event,  $E(TCQ^{•-}/TCQ^{2-})_{1/2}$  (Figure 3a). The magnitude of the anodic shift correlates with increasing concentration and decreasing pK<sub>a</sub> of the alcohol (Figures 3, S16, and S17). These results indicate that the alcohols also hydrogen-bond to the CO<sub>2</sub> adduct of TCQ<sup>2-</sup> ([TCQ(CO<sub>2</sub>)]<sup>2-</sup>). Chemically synthesized [TBA]<sub>2</sub>[TCQ<sup>2-</sup>] in the presence of ethanol under a CO<sub>2</sub> atmosphere feature CVs that are almost identical to solutions of TCQ under the same conditions, even after sitting in solution for several hours (Figure S18). CVs and electronic absorption spectra of a [TBA]<sub>2</sub>[TCQ(CO<sub>2</sub>)<sup>2-</sup>] solution after addition of ethanol are



Figure 3. Effect of alcohol additives on the reduction potential and electronic absorption spectra of TCQ under 1 atm of CO<sub>2</sub>. (a) Normalized cyclic voltammograms of TCQ under N<sub>2</sub>, CO<sub>2</sub>, and containing 100mM concentrations of various alcohol additives under CO<sub>2</sub>; decreasing alcohol  $pK_a$  results in larger anodic shifts to the second redox event. All voltammograms were recorded in DMF solutions containing 0.2 M TBAPF<sub>6</sub> electrolyte and 2.0 mM TCQ analyte concentrations. (b) Normalized electronic absorption spectra of TCQ<sup>2-</sup> obtained during UV-vis SEC experiments. Experiments were performed in DMF containing no alcohol (black trace), 1M ethylene glycol (orange trace), 2M ethanol (blue trace), or 2M tertbutanol (red trace) with 0.2 M TBAPF<sub>6</sub> electrolyte and 0.7 mM TCQ under CO<sub>2</sub> atmosphere. In the presence of 1M ethylene glycol, some protonation (to form the corresponding hydroquinone TCQH<sub>2</sub>, green trace) is observed.

indistinguishable from those when  $CO_2$  is added to an ethanol-containing solution of  $[TBA]_2[TCQ^{2-}]$ , which verifies that the same product is formed and establishes that  $CO_2$  can insert into the hydrogen-bonds formed between ethanol and  $TCQ^{2-}$  in solution.

Once formed,  $TCQ(CO_2)^{2-}$  can be oxidized, resulting in the loss of bound CO<sub>2</sub>. UV-vis SEC with solutions of  $[TBA]_2[TCQ^{2-}]$  in pure DMF or 2M EtOH in DMF show quantitative conversion into  $TCQ^{\bullet-}$  or TCQ upon one or two-electron oxidation under both N<sub>2</sub> and CO<sub>2</sub> atmospheres (Figure S19 and SI for experimental details). These features are also present in the CVs of chemically synthesized  $[TBA]_2[TCQ^{2-}]$  recorded in the presence or absence of EtOH under N<sub>2</sub> (Figure S8) or CO<sub>2</sub> atmosphere, when the species is  $TCQ(CO_2)^{2-}$ (Figure S18).

The anodic shift of  $E(TCQ^{\bullet}/TCQ^{2})_{1/2}$  under CO<sub>2</sub> versus N<sub>2</sub> atmosphere can be used to calculate the CO<sub>2</sub> binding constant ( $K_{1,CO2}$ ) of TCQ<sup>2-</sup> using equation 1 (see additional Equation 1 discussion in the SI).<sup>31</sup>

$$E_{1/2} = E^{O'} + \frac{RT}{nF} \ln(K_{CO_2}) + q \frac{RT}{nF} \ln[CO_2]$$
 1

In equation 1, R is the gas constant, T is temperature, F is Faraday's constant, and n is the number of electrons being passed in the redox event (n = 1 for  $E(TCQ^{\bullet/} TCQ^{2-})_{1/2}$ ). The number of CO<sub>2</sub> molecules that are bound is represented by the term q (which was previously determined to be one for TCQ).<sup>12</sup> E<sup>o</sup> and E<sub>1/2</sub> are the half-wave potential in the absence of CO<sub>2</sub> and in the presence of a known CO<sub>2</sub> concentration in solution ([CO<sub>2</sub>]), respectively. Using this method, the log(*K*<sub>CO2</sub>) of TCQ<sup>2-</sup> is 3.7 ± 0.2 in the absence of hydrogen-bond donors in DMF.<sup>12</sup>

Comparison of the  $E(TCQ^{\bullet-/} TCQ^{2-})_{1/2}$  under N<sub>2</sub> and CO<sub>2</sub> atmospheres with alcohol additives were used to measure  $K_{CO2}$  according to equation 1.  $K_{CO2}$  steadily decreases (decreasing  $\Delta E$ ) with increasing concentrations of 5 of the 8 alcohols investigated (trifluoroethanol, tribromoethanol, ethylene glycol, 2-propanol, or *tert*-butanol) in both DMF and DMSO (Figure S20, S21). Thus, even though these alcohols shift  $E^{\circ'}(TCQ^{\bullet-/} TCQ^{2-})$  into the desired aerobic operating regime (green region, Figure 1),  $K_{1,CO2}$  also decreases. As a result, these alcohols do not



**Figure 4.** General reaction coordinate diagram of the two-electron reduction of a quinone and binding to  $CO_2$  in the absence (black) or presence (blue) of a hydrogen-bonding donor.

perform better than other functionalized quinones without hydrogen-bonding (Figure 1) for flue gas capture applications. However, three of the alcohols (ethanol, hexanol, and 2methoxyethanol) exhibit the opposite behavior, with increased values of K<sub>CO2</sub> at higher alcohol concentration (Figure S22). The increased CO<sub>2</sub> binding affinities at milder potentials afforded by these additives shifts TCQ into the desired operating regime for flue gas capture (black square vs. orange star, Figure 1), successfully breaking the LFER to make them viable candidates for eCCC from flue gas concentrations containing O<sub>2</sub>.

Spectrophotometric experiments were used to independently verify the electrochemically-derived  $K_{CO2}$  values. Titration of  $[TBA]_2[TCQ^{2-}]$  with CO<sub>2</sub> was monitored using electronic absorption

spectroscopy. [TBA]<sub>2</sub>[TCQ<sup>2–</sup>] quantitatively converts into TCQ(CO<sub>2</sub>)<sup>2–</sup> upon addition of 1 equivalent of CO<sub>2</sub> in pure DMF and 2M ethanol in DMF solutions (Figure S23). For each of the titrations,  $K_{CO2}$  was calculated from the disappearance of the absorption corresponding to TCQ<sup>2–</sup> using the Benesi-Hildebrand method (Figure S23).<sup>32,33</sup> From this data, TCQ<sup>2–</sup> has values of  $log(K_{CO2}) = 2.97 \pm 0.04$  and  $3.4 \pm 0.2$  in pure DMF and 2M ethanol in DMF, respectively. While these values are slightly lower than those measured using voltametric methods ( $log(K_{CO2}) = 3.7 \pm 0.2$  and  $4.3 \pm 0.2$  for DMF and 2M ethanol in DMF, respectively) they confirm the trend found using electrochemical methods. Importantly, the CO<sub>2</sub> binding constant measured by both methods in the presence of ethanol is sufficient for capture from flue gas concentrations.

#### **Optimizing the Hydrogen-bonding Interactions with Reduced TCQ Species**

In order to advance the development of air-stable eCCC carriers, it is important to understand why addition of ethanol, hexanol, and 2-methoxyethanol break the LFER for TCQ, while the other alcohols (trifluoroethanol, tribromoethanol, ethylene glycol, 2-propanol, and tertbutanol) do not. Figure 4 shows a general reaction coordinate diagram for quinone reduction and binding to one molecule of carbon dioxide based on an EEC mechanism.<sup>12,13</sup> Species A is the doubly reduced quinone dianion and species B is its CO<sub>2</sub> adduct. The interaction of a hydrogenbond donor lowers the energy of both species A and B. The magnitude in which the two key redox carrier properties ( $E_{1/2}$  and  $K_{1,CO2}$ ) change relies on the relative energy changes of A and B. If the energies of species A and B are reduced by the same degree, there should be little to no effect on  $K_{CO2}$ , as it is directly dictated by the energy difference between the two species, however the reduction potential will be anodically shifted. As a result, proper tuning of intermolecular hydrogen-bonding interactions with both species A and B is required to break the LFER shown in Figure 1 and permit stability under aerobic conditions.

We hypothesized that the drop in  $K_{CO2}$  with increasing alcohol concentration for trifluoroethanol, tribromoethanol, ethylene glycol, 2-propanol, and tert-butanol was due to disproportionate stabilization of TCQ<sup>2-</sup> versus [TCQ(CO<sub>2</sub>)]<sup>2-</sup> (species A vs B in Figure 4). To evaluate this hypothesis, the strength of the hydrogen-bonding interactions between TCQ<sup>2-</sup> and [TCQ(CO<sub>2</sub>)]<sup>2-</sup> with each alcohol were quantified using cyclic voltammetry. The reduction of TCQ<sup>•-</sup> to TCQ<sup>2-</sup>, and consequent hydrogen-bond formation with *n* number of hydrogen-bond donors (HB), can be represented by the following chemical steps:

$$TCQ^{-} + e^{-} \implies TCQ^{2-}$$

$$TCQ^{2-} + n HB \implies TCQ^{2-}(HB)_n$$

From this series of equilibria and the Nernst equation, the equilibrium constant of the dianion with n molecules of hydrogen-bond donor, HB ( $K_{\text{HB}}^{(2-)}$ ), can be calculated using equation  $2^{27}$  (more detail on equation 2 can be found in the SI).

$$\exp\left(f\Delta E(TCQ^{-1}/TCQ^{-2})_{1/2}\right) = 1 + K_{HB}^{(2-)}[HB]^n$$

The difference between  $K_{\rm HB}^{\rm CO2}$  and  $K_{\rm HB}^{\rm N2}$ , represented as  $\Delta \text{Log}(K_{\rm HB}^{(2-)})$  (where  $\Delta \text{Log}(K_{\rm HB}^{(2-)}) = K_{\rm HB}^{\rm N2} - K_{\rm HB}^{\rm CO2}$ ), effectively measures how much more (or less) the energy of TCQ<sup>2-</sup> is lowered by hydrogen-bonding interactions compared to  $[\text{TCQ}(\text{CO}_2)]^{2-}$  (displayed graphically in the reaction coordinate diagram shown in Figure 4). If a hydrogen-bond donor lowers the energy of  $[\text{TCQ}(\text{CO}_2)]^{2-}$  more than  $\text{TCQ}^{2-}(\Delta K_{\rm HB}^{(2-)} < 0)$ , CO<sub>2</sub> binding will be more favorable and  $K_{\rm CO2}$  will increase as the concentration of hydrogen-bond donor in solution

increases. Conversely, if the interaction with TCQ<sup>2–</sup> is too strong( $\Delta Log(K_{HB}^{(2-)}) > 0$ ), then CO<sub>2</sub> binding will be less favored, and  $K_{CO2}$  will decrease.

Table 1 lists values for  $K_{\rm HB}^{(2-)}$  and *n* under N<sub>2</sub> ( $K_{\rm HB}^{\rm N2}$ ) or CO<sub>2</sub> ( $K_{\rm HB}^{\rm CO2}$ ) atmosphere, as well as  $\Delta Log(K_{HB}^{(2-)})$  for each of the alcohols investigated (plots used in the determination of each  $K_{HB}$ value are shown in Figures S24-S27). As shown in Figure 5A, there is a linear correlation between  $\log(K_{\rm HB}^{\rm N2})$  and the p $K_a^{34-37}$  of the alcohol additive, where more acidic alcohols have larger values of  $K_{\rm HB}^{\rm N2}$ . Likewise, a plot of log( $K_{\rm HB}^{\rm CO2}$ ) versus p $K_a^{34-37}$  shows a similar linear relationship, albeit with a shallower slope (Figure 5B). The relationship between  $\Delta Log(K_{HB}^{(2-)})$  and  $pK_a$  is not linear, but is in fact v-shaped (Figure 5C).  $\Delta Log(K_{HB}^{(2-)})$  decreases with increasing pKa up until a certain point (pK<sub>a</sub> ~ 16), after which  $\Delta Log(K_{HB}^{(2-)})$  starts increasing. Three of the alcohols investigated (ethanol, hexanol, and 2-methoxyethanol) have  $\Delta Log(K_{HB}^{(2-)})$  values less than zero. This result is consistent with changes in K<sub>CO2</sub> with increasing concentration of each of the alcohols investigated. Verifying our hypothesis, the correlation between  $\Delta Log(K_{HB}^{(2-)})$  and  $K_{CO2}$  indicates that the hydrogen-bonding interactions of each alcohol with  $[TCQ(CO_2)]^{2-}$  and  $TCQ^{2-}$  needs to be finely tuned to promote CO<sub>2</sub> binding at milder potentials. Thus, the alcohols with  $\Delta Log(K_{HB}^{(2-)}) < 0$  have pKa's around 16, while alcohols with either higher or lower pKa have  $\Delta Log(K_{HB}^{(2-)}) > 0$ , although it is possible that the higher values of  $\Delta Log(K_{HB}^{(2-)})$  for 2-propanol and *tert*-butanol are not a result of  $pK_a$ , but increased steric hindrance.

	р <i>K</i> а (H2O) <sup>†</sup>	$Log(K_{HB}^{N2})(n)$	$Log(K_{HB}^{CO2})(n)$	$\Delta Log(K_{HB}^{(2-)})$	Log(K <sub>CO2</sub> ) (ΔE <sub>1/2</sub> ~250 mV)
<i>tert</i> -butanol (t-BuOH)	18.0	1.1 (1.8)	0.51 (1.0)	0.57	(<< 2.4)*
2-propanol (i-PrOH)	17.0	2.2 (2.2)	1.9 (1.8)	0.29	(<3.7)*
Hexanol (HexOH)	16.1	2.6 (2.3)	3.2 (3.0)	-0.58	(>4.5)*
Ethanol (EtOH)	15.9	3.4 (2.3)	4.0 (2.5)	-0.63	4.4
2-methoxyethanol (2-ME)	15.7	2.4 (2.3)	2.9 (2.7)	-0.53	(>4.1)*
Ethylene Glycol (EG)	14.2	$\begin{array}{c c} 6.9 \\ (2.5) \end{array} \left  \begin{array}{c} 4.4^{a} \\ (2.4) \end{array} \right.$	$\begin{array}{c c} 6.4 & 3.6^{a} \\ (2.6) & (2.4) \end{array}$	0.52 0.72 <sup>a</sup>	3.3 3.3ª
Tribromoethanol (TBE)	13.4	5.5 (2.3)	3.7 (1.8)	1.8	2.5
Trifluoroethanol (TFE)	12.5	7.44.9a(3.2)(2.2)	$\begin{array}{c cccc} 5.6 & 3.0^{a} \\ (2.6) & (1.5) \end{array}$	1.8 1.9 <sup>a</sup>	2.4 2.0 <sup>a</sup>

Table 1. Equilibrium constants for TCQ <sup>2-</sup> and TCQ(CO <sub>2</sub> ) <sup>2-</sup> in DMF and DMSO under CC	)2
and N <sub>2</sub> atmosphere with various alcohol hydrogen-bond donors.	

<sup>†</sup> pKa values obtained from references 34-37.

\* $\Delta E_{1/2}^2$  of 250 mV was not reached at [ROH]  $\leq$  3 M.

<sup>a</sup> Determined from measurements taken in DMSO.

In addition to alcohol  $pK_a$ , solvent identity also affects  $K_{HB}^{N2}$  and  $K_{HB}^{CO2}$ . Measured values of  $K_{HB}^{(2-)}$  in DMSO are several orders of magnitude lower than those obtained in DMF (TFE and ethylene glycol, Table 1). Lower values of  $K_{HB}^{(2-)}$  in DMSO likely arise from its larger solvent

donor number compared to DMF.<sup>38</sup> This would result in stronger hydrogen-bonds formed between the alcohol and solvent molecules that are more difficult to disrupt to form hydrogen-bonding interactions with TCQ<sup>2-</sup> or [TCQ(CO<sub>2</sub>)]<sup>2-</sup>. This hypothesis is further supported by prior studies, which report  $K_{\rm HB}^{\rm N2}$  values for TCQ<sup>2-</sup> with ethanol and TFE that are significantly larger in acetonitrile and benzonitrile (which have lower donating abilities than DMF or DMSO)<sup>38</sup> than what we measured in DMF and DMSO.<sup>27</sup>



**Figure 5. Hydrogen-bonding Interactions by Alcohol p** $K_a$ . Plots of Log( $K_{HB}^{N2}$ ) (A), Log( $K_{HB}^{CO2}$ ) (B), and  $\Delta Log(K_{HB}^{(2-)})$  (C) versus alcohol p $K_a$  (H<sub>2</sub>O) for DMF solutions of TCQ containing various alcohol additives. The dotted black line indicates where  $\Delta Log(K_{HB}^{(2-)}) = 0$ .

From the alcohols studied, ethanol is the most promising candidate for use in an eCCC system utilizing TCQ as the redox carrier, due to its favorable values of  $K_{\rm HB}^{\rm CO2}$ ,  $K_{\rm HB}^{\rm N2}$ , and  $\Delta \text{Log}(K_{\rm HB}^{(2-)})$ . Ethanol's large  $K_{\rm HB}^{\rm N2}$  means that that  $\text{E}(\text{TCQ}^{\bullet-}/\text{TCQ}^{2-})_{1/2}$  is shifted significantly positive without a large concentration of alcohol. For example, at 2M ethanol concentration,  $\text{E}(\text{TCQ}^{\bullet-}/\text{TCQ}^{2-})_{1/2}$  is shifted over 230 mV positive than in the absence of an alcohol (black traces, Figure 6). Importantly, this potential is 200 mV positive of  $\text{E}(\text{O}_2/\text{O}_2^{\bullet-})_{1/2}$  (potential at half-maximum current = -1.30 V vs. [Fe(C<sub>5</sub>H<sub>5</sub>)<sub>2</sub>]<sup>+/0</sup> at a glassy carbon electrode in pure DMF, or -1.20 V vs. [Fe(C<sub>5</sub>H<sub>5</sub>)<sub>2</sub>]<sup>+/0</sup> in DMF containing 2M ethanol, Figure S1 and Figure 6 (below)), thereby avoiding undesirable losses in faradaic efficiency from O<sub>2</sub> reduction or carrier decomposition from

superoxide generation.<sup>19,20</sup> Additionally,  $K_{\rm HB}^{\rm CO2}$  is larger than  $K_{\rm HB}^{\rm N2}$  for ethanol, resulting in a negative  $\Delta \text{Log}(K_{\rm HB}^{(2-)})$  which indicates that CO<sub>2</sub> binding is not weakened by hydrogen-bonding interactions, but is in fact *strengthened* by them. Altogether, these properties indicate that when combined with a hydrogen-bond donor such as ethanol, TCQ becomes an effective redox carrier for eCCC from aerobic streams at flue gas concentrations.



Figure 6. Cyclic voltammograms of TCQ in DMF and 2M EtOH in DMF under 1 atm N<sub>2</sub> and CO<sub>2</sub>. Cyclic voltammograms of TCQ under N<sub>2</sub> (black), 10% CO<sub>2</sub> (red), or 100% CO<sub>2</sub> (blue) atmosphere in pure DMF (top) or 2M EtOH: DMF (bottom). Voltammograms were recorded at 100 mV/sec scan rate with solutions containing 0.2 M TBAPF<sub>6</sub> electrolyte and 2.0 mM TCQ. The reversible couple centered at 0.0 V corresponds to  $[Fe(C_5H_5)_2]^{+/0}$ . Vertical dotted black lines indicate the potential of  $E(O_2/O_2^{\bullet-})_{1/2}$  in each solvent mixture (Figure S1).

### **Bulk CO<sub>2</sub> Capture and Release Studies**

The combined redox and CO<sub>2</sub> binding properties of TCQ<sup>2-</sup> with ethanol additives prompted us to investigate whether this system could be used to capture and release CO<sub>2</sub> from flue gas concentrations in the presence of O<sub>2</sub>. A closed system was used to complete CO<sub>2</sub> capture and release from 10% CO<sub>2</sub> sources. The electrolysis was performed using a sealed H-cell similar to the one depicted in Figure 7A (and Figure S29), where the CO<sub>2</sub> concentration of the working cell headspace was periodically monitored through an IR CO<sub>2</sub> analyzer in a closed loop using a small pump that circulated the headspace. Each cycle was initiated by sparging a solution of chemically synthesized [TBA]<sub>2</sub>[TCQ<sup>2-</sup>] in the working compartment with simulated flue gas to form TCQ(CO<sub>2</sub>)<sup>2-</sup>. An oxidizing potential was then applied to the working cell solution to release bound CO<sub>2</sub> and form TCQ<sup>•-</sup>. TCQ was used as a sacrificial oxidant in the counter cell to balance charge and limit undesirable crossover effects. Once oxidation was complete, the working cell solution was then reduced to reform TCQ<sup>2-</sup> and capture the CO<sub>2</sub> released in the previous step.

In the absence of ethanol, CO<sub>2</sub> capture and release was tested with TCQ using simulated aerobic (87:10:3, N<sub>2</sub>:CO<sub>2</sub>:O<sub>2</sub> (v/v)) and anaerobic (90:10, N<sub>2</sub>: CO<sub>2</sub> (v/v)) flue gas mixtures. Experimental details and results are described in the SI. Although capture and concentration are observed in both cases, decomposition occurs upon reduction of the carrier, which prevents recapture of the CO<sub>2</sub> released.



**Figure 7. Electrochemical Cycle for CO<sub>2</sub> Capture and Release.** A) Schematic of the H-cell setup utilized for electrochemical CO<sub>2</sub> capture electrolyses, showing the TCQ-based processes occurring in each cell during the capture and release steps of the eCCC cycle. B) Charge versus time plot (black trace) of electrochemical CO<sub>2</sub> capture and release from 89:8:3 N<sub>2</sub>:CO<sub>2</sub>:O<sub>2</sub> with  $[TBA]_2[TCQ^{2-}]$  in 2M ethanol in DMF. Headspace CO<sub>2</sub> concentration was monitored periodically during the course of the experiment (blue squares).

When the same capture cycle experiment is performed in the presence of 2M ethanol, the system completes the entire electrochemical capture cycle. Under a 89:8:3, N<sub>2</sub>:CO<sub>2</sub>:O<sub>2</sub> (v/v) atmosphere, a 50 mM solution of [TBA]<sub>2</sub>[TCQ<sup>2–</sup>] in 2M ethanol in DMF captured and concentrate CO<sub>2</sub> from 8.0% to 36.3% (v/v), passing 38.4 Coulombs of charge during the oxidation (Figure 7B). The initial and final headspace CO<sub>2</sub> concentration and charge passed corresponds to a 95% Faradaic efficiency (FE) and 98% yield of released CO<sub>2</sub> based on the moles of TCQ<sup>2–</sup> in solution. The solution was then reduced once more until a total charge of -37.8 Coulombs was passed. The CO<sub>2</sub> concentration in the headspace decreased from 36.3% to 15% (v/v), resulting in a 73% FE for the re-capture step.

The use of ethanol as an additive to TCQ-based eCCC systems provides enhanced O<sub>2</sub> stability, which is essential for practical eCCC. The batch-capture experiments performed with a closed H-cell system is similar to a 3-stage capture system, where the redox carrier is reduced or "activated" in the presence of CO<sub>2</sub> before being pumped over to the anodic cell where it is oxidized to release bound CO<sub>2</sub>.<sup>3</sup> The minimum thermodynamic requirement for this type of redox-carrier eCCC system can be estimated from the  $\Delta E$  between the half-wave potentials of the carrier in the presence of its dilute CO<sub>2</sub> inlet stream (in this case, flue gas at 10% CO<sub>2</sub> v/v) and of its concentrated outlet stream (100% CO<sub>2</sub>).<sup>2,3,26,39</sup> Cyclic voltammograms of TCQ in the presence and absence of 2M ethanol under 10 and 100% CO<sub>2</sub> are shown in Figure 6. In the absence of ethanol,  $\Delta E$  of the half-wave potentials (conservatively measured as 30mV from the potential at peak current) under 10% CO<sub>2</sub> and 100% CO<sub>2</sub> (the conditions under capture and release during reduction and oxidation, respectively) is 250 mV. This  $\Delta E$  value corresponds to a minimum calculated work of 23.3 kJ/mol CO<sub>2</sub> captured, or 24% energetic efficiency (based on a minimum theoretical requirement of 5.6 kJ/mol for a 10–100% CO<sub>2</sub> concentration swing). At 2M ethanol concentration, ΔE drops to 220 mV between 10 to 100% CO<sub>2</sub>, lowering the calculated work required to just 21.5 kJ/mol, which raises the calculated maximum energetic efficiency to 26%, which is almost twice as efficient as any other reported eCCC method, or state-of-the-art alkanolamine-based systems.<sup>21,23–26,40</sup> We note that these calculations derive a minimum energetic requirement from the redox carrier

properties. In a full electrolytic system, other factors would contribute to the operating efficiency including cell overpotentials, carrier solubility, and CO<sub>2</sub> solubility, which could all be optimized through cell engineering. Additionally, the CO<sub>2</sub> binding constant of the redox carrier can be further optimized for specific CO<sub>2</sub> concentrations to achieve even higher efficiencies.

# Conclusion

Electrochemical carbon dioxide capture and concentration (eCCC) can achieve significantly higher energy efficiencies than current thermal methods and its modular infrastructure is well-suited for mobile or point source applications. However, eCCC systems have been plagued by oxygen instability. This study describes the use of alcohol additives to modify the properties of a quinone CO<sub>2</sub> redox carrier through intermolecular hydrogen-bonding interactions. Optimizing these interactions through alcohol  $pK_a$  and concentration results in beneficial changes to the redox properties *and* CO<sub>2</sub> binding, the two key parameters of an eCCC redox carrier. With TCQ, the optimal interactions were achieved in 2M ethanol in DMF, with a maximum theoretical efficiency of 26% for concentrating a 10% CO<sub>2</sub> stream to 100%. With this combination of commercially available compounds, we demonstrate successful completion of a full cycle of electrochemical CO<sub>2</sub> capture and release in the presence of O<sub>2</sub> from flue gas concentrations, making a significant advance towards practical eCCC. Because the ideal redox carrier parameters will ultimately depend on the concentration of CO<sub>2</sub> in the targeted capture stream, this approach can be further used to generate a library of commercially available eCCC solutions.

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## Author contributions:

Conceptualization: JMB, JYY Methodology: JMB Investigation: JMB Funding acquisition: JYY Project administration: JYY Supervision: JYY Writing – original draft: JMB Writing – review & editing: JYY

**Competing interests:** Authors declare that they have no competing interests **Materials & Correspondence:** j.yang@uci.edu **Data and materials availability:** All data are available in the main text or the supplementary materials.

## **Supplementary Materials**

Materials and Methods Supplementary Text Figs. S1 to S30 Tables S1 to S4 References (1-15)

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