Modulating the Frontier Orbitals of an Aluminylene for Facile Dearomatization of Inert Arenes Xin Zhang, Liu Leo Liu*

Department of Chemistry and Shenzhen Grubbs Institute, Southern University of Science and Technology, Shenzhen 518055, China E-mail: <u>liuleoliu@sustech.edu.cn</u>

Abstract: Lewis bases are well known to stabilize electron-deficient species. We demonstrate herein that the redox property of a monocoordinated aluminylene 1 featuring only four valence electrons for the shell of Al can be boosted by a Lewis base. The coordination of 1 with an N-heterocyclic carbene (NHC) effectively shrinks the HOMO-LUMO gap, thereby enhancing the reactivity of the ensuing acyclic mono-NHCstabilized aluminylene 2, which is isoelectronic with singlet carbenes. Moreover, such base coordination completely reverses the predominant chemical reactivity (i.e. electrophilicity/nucleophilicity) of aluminylenes. In marked contrast to 1, 2 readily undergoes a [4+1] cycloaddition reaction with naphthalene and biphenylene at room temperature. Remarkably, the enhanced ambiphilic nature of Al in 2 also enables facile cleavage of aromatic C-C bonds of inert arenes in both intra- and intermolecular fashion affording 3 and 5. The formation of 5 represents the first example of the cleavage of aromatic C(3)-C(4) bond in biphenylene by a single atom center.

The last two decades have witnessed a significant development in transition-metal-free small molecule activation.^[1] Representative examples involve heavier group 14 dimetallynes and dimetallenes,^[2] stable singlet carbenes and their analogs,^[3] as well as frustrated Lewis pairs (FLPs).^[4] Such main group species feature a lone pair of electrons and a vacant orbital, a combination that exhibits ambiphilic reactivity and transition-metal-like behaviors,^[1a] thus enabling the activation of a wide range of small molecules with enthalpically strong bonds (e.g. H₂, NH₃, arenes and even N₂).^[2-5]

Despite the high reactivity of singlet carbenes (I) (Figure 1a), with right substituents, many types of carbenes are bottle-able at ambient temperature.^[3d, 3f, 3h, 3k] In contrast, the chemistry of stable neutral aluminium analogs of carbenes (II and III) is in its infancy.^[3c, 3g, 3i, 3m, 3n] The first known Al(I) species, namely (Cp*Al)₄, was isolated by Schnöckel in 1991; it equilibrates with monomeric Cp*Al (**A**) in solutions (Figure 1b).^[6] With a bulkier Cp ligand (i.e. (^{*i*}Bu)₃C₅H₂Al.^[7] In 2000, Roesky isolated a stable neutral Al(I) complex HC[(CMe)(NDipp)]₂Al (**B**) (Dipp = 2,6-diisopropylphenyl),^[8] while afterwards an analogous species HC[(C^fBu)(NDipp)]₂Al was reported by Cui.^[9] **B** exhibits aluminylene character and its reactivity towards a variety of small molecules has been extensively studied.^[1d, 1h, 3i, 3m, 10] Driess characterized an Al(I) bromide species in the coordination sphere of one Fe(CO)₄ and two NHC ligands.^[11] In 2019, Braunschweig stabilized an Al(I) hydride **C** by ligation of two cyclic (alkyl)(amino)carbenes.^[12] More recently, by employing a sterically demanding terphenyl ligand a unique free aluminylene **D** was disclosed by Power and Tuononen.^[13] **D** reveals high reactivity for facile cleavage of hydrogen,^[13] while the reaction of **D** with ArN₃

 $(Ar = C_6H_3-2,6-(C_6H_2-2,4,6-Me_3)_2)$ afforded the first stable iminoalane with an Al=N triple bond.^[14] Shortly thereafter, we reported the coordination chemistry of an isolable Naluminylene **1** with transition metals.^[15] In addition, an intriguing family of nucleophilic anionic aluminyl compounds (**IV**)^[3n] (Figure 1a) have been rapidly developed by the groups of Aldridge,^[16] Goicoechea,^[16a, 16b] Coles,^[17] Hill,^[18] McMullin,^[17b, 18] Kinjo,^[19] Yamashita,^[20] and Harder.^[21]

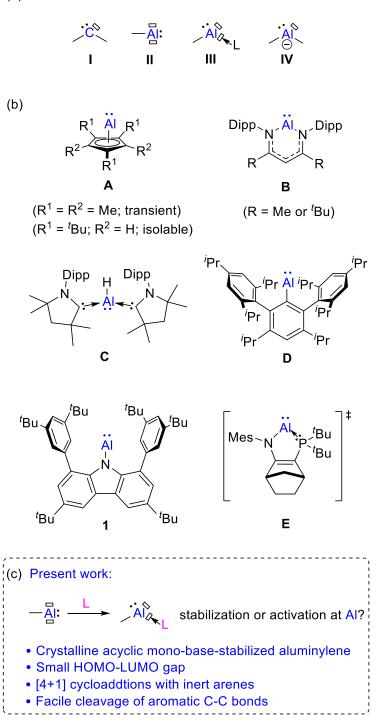


Figure 1. (a) Representations of singlet carbenes I, aluminylenes II, mono-base-stabilized aluminylenes III, and aluminyl anions IV. (b) Examples of transition-metal-free monomeric

(a)

Al(I) species **A-E** and **1**. Dipp = 2,6-diisopropylphenyl. Mes = mesityl. (c) Present work.

While aluminylenes (II) are often viewed as the aluminium analogs of carbenes (Figure 1a), they are not isoelectronic with carbenes as there are two vacant orbitals at AI. To fulfil the isoelectronic criteria, one of these vacant orbitals should be filled by a neutral Lewis base, as illustrated by III. Although one of the extreme bonding situations of **B** can belong to type III, stable genuine mono-base-stabilized aluminylenes remain elusive. Unlike isolable borylenes,^[22] reduction of carbene-alane adducts (e.g. Me₂IPrAIRX₂, R = silyl or aryl, X = Br or I, Me₂IPr = 1,3-diisopropyl-4,5-dimethyl-imidazolin-2-ylidene; CAACAICl₃, CAAC = 1-(2,6-diisopropylphenyl)-3,3,5,5-tetramethyl-pyrrolidin-2-ylidene) has been shown to produce either Me₂IPr-dialumene adducts by Inoue^[23] or a bis-CAAC-stabilized aluminium radical by Roesky, Frenking et al.^[24] Based on chemical trapping experiments, Cowley and Krämer indicated the transient existence of an amidophosphine-supported aluminylene E that is in equilibrium with its dimeric dialumene in solutions.^[25] E has been described as a highly fleeting species, defying direct observation.^[25-26] In the present work, we propose a general concept for boosting the redox activity of aluminylenes by simple addition of a Lewis basic NHC, leading to an isolable acyclic NHC-stabilized aluminylene 2 (Figure 2). Interestingly, the NHC coordination effectively shrinks the HOMO-LUMO gap of 2 and thus significantly enhances the ambiphilicity at Al. This results in unprecedented dearomatization of inert arenes by a single neutral AI center.

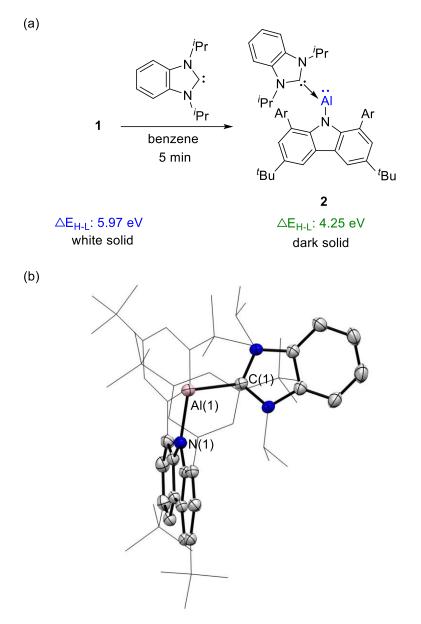


Figure 2. (a) Synthesis of **2**. Ar = 3,5-di-*tert*-butylphenyl. (b) Solid-state structure of **2**. Hydrogen atoms are omitted for clarity. Thermal ellipsoids are set at the 40% probability level.

Although **1** has an electron-deficient aluminylene center, it was found completely inert towards weakly coordinating solvents (i.e., THF and Et₂O), as indicated by ¹H NMR spectroscopic analyses (Figure S14). Nonetheless, the combination of **1** with an equimolar amount of 1,3-di-isoproplylbenzimidazole-2-ylidene (^{*i*}Pr₂-bimy) in toluene immediately gave rise to a dark solution (initially colorless) (Figure 2a). After workup, species **2** was isolated as a dark turquoise/black crystalline solid in 59% yield. The structure of **2** as a ^{*i*}Pr₂-bimy-stabilized aluminylene was elucidated by single crystal X-ray diffraction (Figure 2b). In the solid state, the N(1) adapts in a planar geometry with the sum of angles of 359.7°. The bond lengths of Al(1)–C(1) and Al(1)–N(1) are 2.244(2) and 1.980(1) Å, respectively. The latter is slightly longer than those of **1** (1.913(9) Å)^[15] and **B** (R = Me) (1.958 (2) Å)^[8] The bond angle of C(1)–Al(1)–N(1) is 97.66(6)°. **2** represents the first example of a genuine

acyclic mono-base-stabilized aluminylene.

A hexane solution of **2** shows a broad absorption band ranging from 500 to 650 nm in the UV-vis spectrum (Figure S3), which is considerably red-shifted in comparison to those of **1** (346 and 356 nm).^[8] According to TD-DFT calculations, this absorption is mainly attributed to the lone pair $n \rightarrow \pi/\pi^*$ (HOMO \rightarrow LUMO, vide infra) transition (Figure S15).

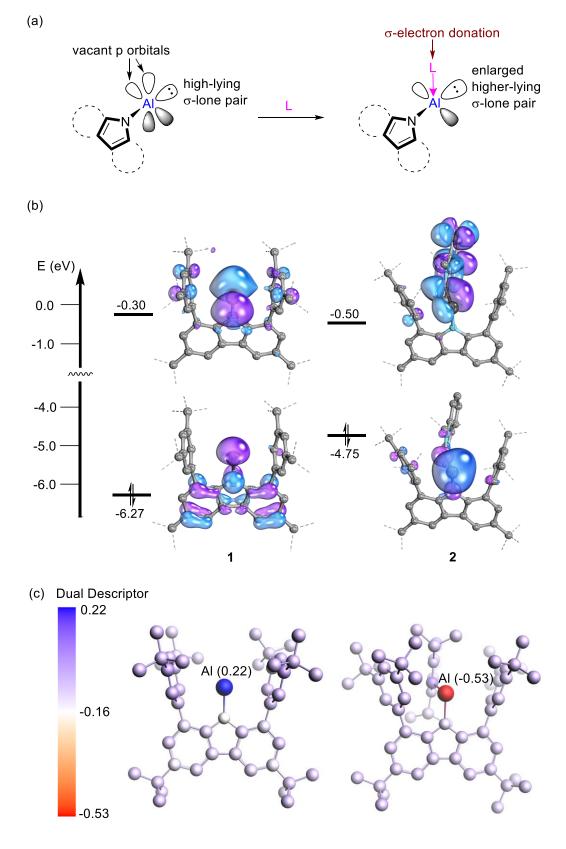
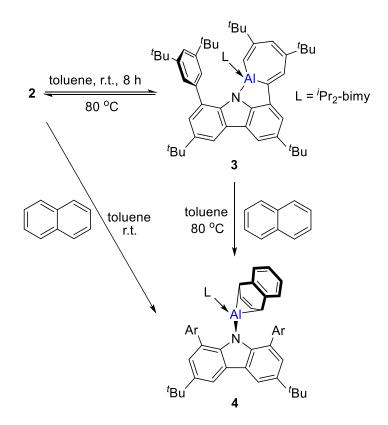


Figure 3. (a) Key changes in frontier orbitals upon ligation of a Lewis base at a free aluminylene. (b) HOMO and LUMO energies of **1** and **2**. (c) The condensed values of the dual descriptor that corresponds to the difference between frontier molecular orbitals (FMOs) electron densities.

The electronic structure of **2** was investigated computationally (Figure 3). We reasoned that the ligation of ${}^{i}Pr_{2}$ -bimy at Al should lead to a higher-lying HOMO compared to that of **1** due to the σ -effects while slightly disrupting the energy of the LUMO, which is mainly ruled by the π -effects (Figure 3a). Indeed, density functional theory (DFT) calculations at the M06-2X/def2-SVP level of theory reveal that the HOMO of **2** (-4.75 eV) is predominantly comprised of a σ -lone pair at Al, while the LUMO (-0.50 eV) displays features of π/π^{*} orbitals over Al and the ${}^{i}Pr_{2}$ -bimy ligand (Figure 3b). Strikingly, the HOMO–LUMO gap of **2** (4.25 eV) is significantly narrow with respect to that of **1** (5.97 eV). The natural bond orbital (NBO) explorations of **2** provide Wiberg bond indices (WBIs) of Al(1)–C(1) (0.40) and Al(1)–N(1) (0.28). Natural population analysis (NPA) demonstrates a less negatively charged N(1) (-0.88 a.u.) and a less positively charged Al(1) (0.68 a.u.) relative to those in **1** (N: -0.96 a.u.; Al: 0.79 a.u.),^[15] implying a slight charge transfer from N(1) to Al(1) upon the ${}^{i}Pr_{2}$ -bimy coordination.

The dual descriptor (DD) calculated from conceptual DFT calculations typically gives an overall description of reactivity behaviors for molecules.^[27] Positive or negative values correspond to atomic sites where electrophilicity or nucleophilicity is predominant, respectively. Interestingly, we found that albeit the ambiphilic nature of the Al atoms in both 1 and 2, they reveal completely different dominating chemical behaviors (1: electrophilic; 2: nucleophilic) as the DD values of Al in 1 and 2 are 0.22 and -0.53, respectively (Figure 3c). Together, such base coordination strategy is not only capable of modulating the frontier orbitals of aluminylenes, but also reverses the predominant electrophilicity/nucleophilicity at aluminylenes. We thus speculated that 2 should have a higher propensity for small molecule activation compared with 1.

Although **2** is stable at ambient temperature in the solid state in the atmosphere of N_2 for serveral days, it slowly converted into a new yellow species 3 in solution (i.e. toluene and hexane) within 8 h (Scheme 1). The ¹H NMR spectrum of the isolated product, **3** (C_6D_6), showed two diagnostic singlets integrating for one proton each in the alkene region (6.55 and 6.53 ppm). Single crystals of 3 suitable for an X-ray diffraction study were obtained via slow evaporation of a hexane/Et₂O solution at room temperature overnight. To our delight, **3** appeared to contain a newly formed AlC_6 seven-membered ring resulting from the insertion of an aluminylene into one of the flanking 3.5-di-tert-butylphenyl groups (Figure 4a). The AlC₆ ring adopts a boat configuration with the presence of alternating C-C and C=C bonds and a tetracoordinate pyramidalized aluminium center. Note that Aldridge, Goicoechea et al. disclosed the benzene ring expansion by a monomeric potassium aluminyl.^[28] On the basis of DFT calculations, 2 undergoes a concerted transition state TS1 (free energy of 25.6 kcal/mol) to generate 3 (-4.5 kcal/mol) (Figure S16), which is different from that observed for the benzene ring expansion in which an aromatic C-C bond is split in a stepwise fashion.^[28] Notably, in line with the experimental observations that **1** is thermally stable at 80 °C in toluene, ^[15] DFT modeling shows that without the ligation of ⁱPr₂- bimy, the similar insertion of Al in **1** is kinetically unfavorable with an activation barrier of 75.5 kcal/mol (Figure S17). The formation of **3** represents a rare example of main group analogs of the Buchner ring expansion reactions.^[28-29]



Scheme 1. Synthesis of **3** and **4**. Ar = 3,5-di-*tert*-butylphenyl. L = i Pr₂-bimy.

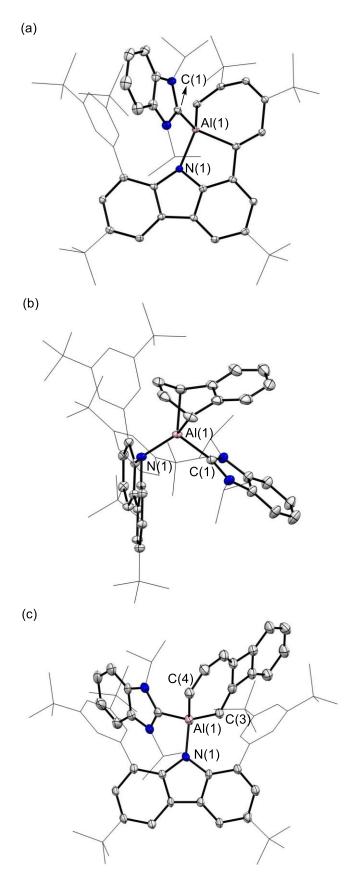
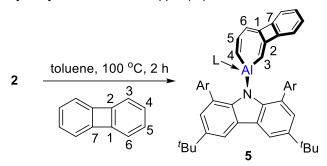


Figure 4. Solid-state structures of **3** (a), **4** (b) and **5** (c). Hydrogen atoms are omitted for clarity. Thermal ellipsoids are set at the 40% probability level.

Of particular interest, the transformation of **2** and **3** was found to be reversible at elevated temperature (Scheme 1). Whereas we were unable to spectroscopically observe **2** in a C_6D_6 solution of **3**, treatment of **3** with naphthalene at 80 °C led to a full conversion into a [4+1] cycloaddition product **4** (Figure 4b). **4** can also be prepared using **2** and naphthalene at room temperature, reminiscent of recent examples of arene activation by **B** (R = Me)^[59, 30] and a dialkylalumanyl anion [CH₂(SiMe₃)₂C]₂Al⁻.^[5f] Here again, **1** is inert towards naphthalene even at 80°C. Consequently, **3** can behave as a masked reactive aluminylene. Importantly, the regeneration of **2** from **3** undergoes a reductive elimination reaction via Al(III)/Al(I), which is exceedingly rare for Al chemistry^[1d] and may provide hints for further design of novel catalytic cycles based on Al(I)/Al(II).



Scheme 2. Synthesis of **5**. Ar = 3,5-di-*tert*-butylphenyl. L = ^{*i*}Pr₂-bimy.

Next, attempts to cleave C–C bonds in an intermolecular manner were undertaken (Scheme 2). **4** is thermally robust in toluene at 100 °C. Nonetheless, heating a toluene solution of **2** and biphenylene gave rise to the clean formation of **5** in 2 h. To our surprise, X-ray diffraction reveals **5** to be an intermolecular ring expansion product featuring an AlC₆ ring analogous to **3** and the weakest central C–C σ -bond^[31] remains completely intact (Figure 4c). The oxidative addition of transition metal complexes to biphenylene exclusively splits the weakest C–C σ -bond.^[32] Indeed, the scission of the aromatic C–C bond of biphenylene has been seldom encountered.^[33] Crimmin showed the cooperation of two molecules of **B** (R = Me) capable of breaking the C(2)–C(3) and C(4)–C(5) bonds of biphenylene,^[33] while cleavage of the C(1)–C(2) bond by a highly strained dianionic Al₂O ring species was demonstrated by Kinjo.^[34] Notably, the formation of **5** is the first example of splitting the C(3)–C(4) bond in biphenylene by a single atom center.

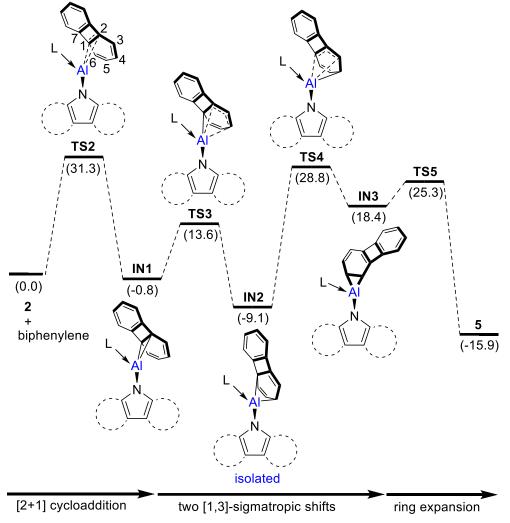


Figure 5. Free energy profile for the formation of **5** (SMD-wB97XD/def2-TZVP//wB97XD/def2-SVP). Energies are given in kcal/mol. Bulky substituents at carbazolyl are omitted for clarity. $L = {}^{i}Pr_{2}$ -bimy.

DFT mechanistic investigations show the most favorable pathway to **5** including four steps (Figure 5). At the outset, the nucleophilic attack of AI towards C(1) of biphenylene through **TS2** (31.3 kcal/mol) gives a [2+1] cycloaddition product **IN1** (-0.8 kcal/mol). Subsequent aluminirane circumambulation furnishes **IN3** (18.4 kcal/mol) via two consecutive [1,3]-sigmatropic shifts. Finally, the ring expansion is achieved in **TS5** (25.3 kcal/mol) to form **5** (-15.9 kcal/mol). Of note is that **IN2** can be isolated and fully characterized when the reaction of **2** and biphenylene was carried out at ambient temperature (Scheme S6). Thermolysis of **IN2** cleanly gave **5**. It is important to note that Crimmin disclosed that the cleavage of the central C(1)–C(7) bond by an ambiphilic AI center is disfavored by both the symmetry and energy of the frontier molecular orbitals of AI.^[33]

More than three decades after Bertrand's pioneering work of an isolable singlet carbene,^[35] this work demonstrate that its isoelectronic acyclic aluminium analog **2** is synthetically achievable as well. **2** is prepared via a simple Lewis base (i.e. ^{*i*}Pr₂-bimy) coordination, which considerably shrinks the HOMO–LUMO gap and thus boosts the redox activity at AI. Unlike the free aluminylene **1**, **2** exhibits high reactivity for dearomatization of inert arenes

via either [4+1] cycloaddition or ring expansion reactions. Given that carbene chemistry has fueled a wide range of fields, we anticipate that with further studies **2** will follow in the footsteps of carbenes and be found in a variety of applications.

Acknowledgements

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