1	Hydrogen Bonding Networks Enable Brønsted Acid-Catalyzed Carbor		
2	Olefin Metathesis		
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Catalyst activation by hydrogen bonds



Hydrogen bond network between catalyst and multiple molecules of HFIP
 Increased catalytic efficiency of Bronsted acid catalyst and stabilize reaction intermediates
 Mechanism of action revealed by experimental and DFT studies

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9 Abstract: Synthetic chemists have learned to mimic nature in using hydrogen bonds and other weak 10 interactions to dictate the spatial arrangement of reaction substrates and to stabilize transition states to enable highly efficient and selective reactions. The activation of a catalyst molecule itself by hydrogen bonding 11 networks, in order to enhance its catalytic activity to achieve a desired reaction outcome, is less explored in 12 13 organic synthesis, despite being a commonly found phenomenon in nature. Herein, we show our investigation 14 into this underexplored area by studying the promotion of carbonyl-olefin metathesis reactions by hydrogen 15 bonding-assisted Brønsted acid catalysis, using hexafluoroisopropanol (HFIP) solvent in combination with para-16 toluenesulfonic acid (pTSA). Our experimental and computational mechanistic studies reveal not only an 17 interesting role of HFIP solvent in assisting pTSA Brønsted acid catalyst, but also insightful knowledge about the 18 current limitations of the carbonyl-olefin metathesis reaction.

## 19 Introduction

20 Weak non-covalent interactions take up an essential role in chemistry and biology and form the basis for the assembly of complex supramolecular structures in natural and artificial systems.<sup>1</sup> Among them, 21 the hydrogen bond is of unique importance and indispensable for the formation of entities essential 22 for living, such as proteins or nucleic acids.<sup>2</sup> Chemists often mimic nature in using hydrogen bonds to 23 dictate the spatial arrangement of individual molecules in supramolecular assemblies<sup>3</sup> or to stabilize 24 transition states in catalysis to enable highly efficient and selective reactions.<sup>4</sup> One of the longest 25 standing paradigms in catalysis lies within the activation of reaction substrates with hydrogen-bonding 26 catalysts, which also are small organic molecules themselves.<sup>5</sup> Numerous hydrogen-bonding motifs 27 have been reported to date and the Corey, Schreiner or Takemoto catalysts (Scheme 1a) represent a 28 few versatile and well-explored examples of such systems. Nonetheless, the activation of a catalyst 29 molecule itself by hydrogen bonding is relatively less explored in organic synthesis, despite being a 30 common occurrence in biological chemistry.<sup>2, 4c</sup> 31

32 We believe that this strategy would be useful in frequently encountered synthetic scenarios where highly reactive catalysts are not only efficient for the desired chemical transformation but also 33 promote unwanted side-reactions at the same time.<sup>6</sup> By employing a moderately or poorly active 34 35 catalyst to ensure better selectivity, and enhancing its efficacy by hydrogen bonding interactions, the overall outcome of the catalytic reaction can be improved. For this purpose, it is ideal for the reaction 36 solvent to also act as the required hydrogen-bonding molecules.<sup>4b</sup> While there are many solvents 37 capable of forming hydrogen bonds, with water being the one in biological systems, perfluorinated 38 alcohols such as HFIP are attractive options for organic synthesis.<sup>7</sup> HFIP has been known to mediate a 39 40 wide range of reactions as a highly ionizing solvent with excellent hydrogen bonding capability, yet, its unique role in catalysis remains poorly understood.<sup>8</sup> Simple and mildly Brønsted acidic catalysts with 41 42 multiple hydrogen bond acceptor groups, such as carboxylic acids or sulfonic acids, could become 43 suitable models to further explore the concept of catalyst activation by hydrogen-bonding networks.



Hydrogen bond network between catalyst and multiple molecules of HFIP
 Increased catalytic efficiency of Brønsted acid catalyst

45 Scheme 1. Hydrogen-bonding complexation with solvent activates Brønsted acid catalysts for the promotion of otherwise
 46 challenging chemical transformation.

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To study such novel catalyst systems, we embarked on the investigation of their efficiency on the 48 carbonyl olefin metathesis (COM) reaction.<sup>9</sup> The COM reaction has been identified as an attractive 49 replacement to overcome challenges in traditional approaches for the olefination of carbonyl groups, 50 such as pre-functionalization of substrates, reagent synthesis, or the separation of by-products from 51 reaction mixtures.<sup>10</sup> The majority of approaches towards COM reactions are based on Lewis acid 52 catalysts,<sup>11</sup> ranging from transition metal salts such as FeCl<sub>3</sub> first reported by Schindler et al.<sup>9c, 12</sup> and 53 Li *et al.*<sup>9d</sup> and subsequently salts of Ga(III) by Schindler<sup>13</sup> and Bour,<sup>9f</sup> AuCl<sub>3</sub> by Lin *et al.*<sup>14</sup> or bimetallic 54 systems such as AICl<sub>3</sub>/AgSF<sub>6</sub> and AICl<sub>3</sub>/AgSF<sub>6</sub> by Schindler<sup>15</sup>. Further, more specialized approaches 55 harness the reactivity of hydrazines as organocatalysts as reported by the Lambert group<sup>9a, 16</sup> and a 56 special photocatalytic strategy by the Glorius group.<sup>9e</sup> There have also been notable applications of 57 metal-free Lewis acids in promoting COM reactions such as the tritylium catalysts by Franzén and co-58 workers<sup>9b, 17</sup> as well as tropylium salts<sup>18</sup> and iodonium ion<sup>19</sup> reported by our Nguyen group. Silylium or 59 phosphonium-based Lewis acids also showed potential catalytic activity for COM reactions.<sup>20</sup> Despite 60 recent advances in COM reactions with various Lewis acid catalysts,<sup>10</sup> the field is still in its infancy and 61 a generalized approach towards Brønsted acid-catalyzed COM reactions remains elusive. Up to this 62

date, there have been only two reports on efficient Brønsted acid catalyzed COM reactions, with both 63 of them employing elegant but very specially designed systems using fixation of the acid catalyst in a 64 supramolecular capsule by the Tiefenbacher group<sup>21</sup> or within a fixed-bed in continuous flow system 65 by Layva-Pérez and co-workers.<sup>22</sup> Simple generalized methods towards COM reactions that can 66 67 operate homogeneously in bulk solvent have not been reported thus far. Furthermore, the COM reaction is even more suitable for the investigation of our catalysis concept (Scheme 1b), considering 68 69 the fact that previous attempts to use superacidic catalysts such as triflic acid to catalyze COM reaction often led to unsatisfactory or unwanted outcomes.<sup>23</sup> 70

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# 72 Reaction optimization and mechanistic investigations

To probe our hypothesis on hydrogen bond network-assisted, Brønsted acid-catalyzed COM reactions, 73 we studied the influence of solvent on the reaction substrate **1a** using pTSA as a simple readily available 74 75 Brønsted acid catalyst. Pleasingly, the reaction worked optimally with 10 mol% of pTSA catalyst in 100 76 μL HFIP for the 0.2 mmol scale reaction, giving the product **2a** in 80% yield after 4 hours at ambient temperature (entry 1, Table 1).<sup>24</sup> Solvents such as 1,2-dichloroethane (DCE), *i*PrOH or linear fluorinated 77 78 alcohols, which are weaker hydrogen-bonding agents than HFIP, proved to be inefficient (entries 2-6, 79 Table 1). <sup>1</sup>H NMR studies on the perturbation of the pTSA acidic proton signal in the presence of a 80 varying amount of HFIP showed clear evidence of such a hydrogen-bonding network, and this effect 81 was stronger with HFIP than *i*PrOH or TFE (see page S4-S7 in the experimental SI for further details). The respective <sup>1</sup>H NMR studies on the interaction of HFIP with substrate **1a** showed no evidence on 82 potential solvent-substrate interaction (see page S8 in the experimental SI). 83

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 Table 1. Optimization of the HFIP-promoted Brønsted acid-catalyzed COM.



Entry <sup>[a]</sup>	Variations from optimal conditions <sup>[b]</sup>	Yield <sup>[c]</sup>
1	None (HFIP = 100 μL)	80%
2	Neat	n.p.
3	DCE instead of HFIP	n.p.
4	<i>i</i> PrOH instead of HFIP	n.p.
5	TFE (CF <sub>3</sub> CH <sub>2</sub> OH) instead of HFIP	15%
6	CF <sub>3</sub> CF <sub>2</sub> CH <sub>2</sub> OH instead of HFIP	n.p.

7	Catalyst A or B (10 mol%) instead of pTSA, in HFIP		
	$F_{3}C \xrightarrow{V}_{CF_{3}} A \xrightarrow{F_{3}C} CF_{3} \xrightarrow{CF_{3}} CF_{3}$		
8	pTSA and catalyst <b>A</b> or <b>B</b> (10 mol%, instead of HFIP), in DCE	n.p.	
9	Absence of pTSA	n.p.	
10	pTSA (5 mol%)	73%	
11	TfOH (10 mol%) instead of pTSA, in HFIP	66%	
12	TfOH (10 mol%) instead of pTSA, in DCE instead of HFIP	36%	
13	HCl (10 mol%) instead of pTSA, in HFIP	traces	
14	TFA (10 mol%) instead of pTSA, in HFIP	traces	
15	HFIP (50 μL)	56%	
16	HFIP (75 μL)	62%	
17	HFIP (200 μL)	80%	

[a] Reaction conditions: 1a (0.2 mmol), pTSA (10 mol%), HFIP (100 μL) at rt for 4 h. [b] For further details on optimization
 studies, see pages S9-S10 in the experimental SI. [c] Yield based on <sup>1</sup>H NMR integration using methyl benzoate as an
 internal standard, n.p. = no product.

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Furthermore, the use of a squaramide or a thiourea catalyst as hydrogen-bonding donors did not lead 90 to any productive outcomes either (entries 7-8), thus demonstrating the importance of HFIP and the 91 92 formation of a strong hydrogen bond network to enhance catalytic efficiency of pTSA and improve the 93 efficiency of the COM reaction. In the absence of catalyst, no reaction was observed (entry 9) and lower catalyst loading was detrimental to the reaction efficiency (entry 10). pTSA was superior to a 94 range of other Brønsted acids, including strong acids such triflic acid (TfOH) as well as HCl or 95 trifluoroacetic acid (entries 11-14), highlighting the special role of HFIP in mediating the COM reaction 96 97 with a mildly acidic catalyst. It should be noted here again that previous attempts using triflic acid to catalyze COM reactions often led to different or unsatisfactory outcomes,<sup>23</sup> especially in other solvent 98 99 than HFIP as evidenced by entry 14 (Table 1) Reducing the amount of HFIP led to lower efficiencies 100 while using more HFIP resulted in comparable reaction outcomes (entries 15-17). Overall, the optimal conditions developed here are milder and more practical than previous reports on other Brønsted acid 101 102 catalyzed COM systems, which used more complicated reaction setups, elevated temperatures and longer reaction times.<sup>21-22</sup> 103

For further understanding of the reaction mechanism and the role of HFIP and the hydrogen bond network on the reaction, we carried out a series of kinetic studies with substrate **1a** and 5 mol% of pTSA in varying amount of HFIP from 2 to 6 equivalents with respect to **1a** in CDCl<sub>3</sub> (Figure 1). The 107 conversion of **1a** was monitored by <sup>1</sup>H NMR spectroscopy over time (see pages S11-S12 in the 108 experimental SI for more details). The kinetic data for initial reactions rates after ~ 10% conversions 109 was analyzed and showed that the reaction order in HFIP was ~2.5 (Figure 1), which suggested that 110 only a small number of HFIP solvent molecules were directly involved in the rate determining step of 111 the COM reaction under investigation.



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Figure 1. Kinetic studies of the conversion of 1a to product 2a with different amounts of HFIP (See pages S11-S12 in the
 experimental SI for more details).

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To understand experimental reaction kinetics and to rationalize the influence of HFIP on the reaction 116 mechanism, we next embarked on computational studies on the pTSA-catalyzed reaction of 1a 117 (Scheme 2). First, we examined an implicit solvent model for HFIP<sup>25</sup> that does not allow for interaction 118 of solvent molecules with substrate and/or catalyst (Scheme 2a, grey energy profile). Second, we used 119 a combination of explicit solvent molecules and an additional implicit solvent model. For this, we added 120 121 varying amounts of explicit molecules of HFIP to the calculation to account for the formation and influence of a hydrogen bond network between solvent molecules and catalyst (Scheme 2a, dark blue 122 energy profile). 123

Disregarding of the solvent model used, the calculations show that this COM reaction proceeds via the same elementary reactions steps and initiates via an intramolecular C-C bond formation reaction, followed by oxetane formation, ring opening and elimination reaction to provide olefin product **2a**. Each of these four elementary reaction steps is catalyzed by pTSA, i.e. (i) activation of the carbonyl group in the C-C bond formation step, (ii) and (iii) hydrogen bond interactions during ring-closing and ring-opening of the oxetane and (iv) activation of the carbonyl group that leads to cleavage of the acetone by-product and release of the COM product, respectively.

While the reaction pathway is not altered by the introduction of the hydrogen bond network and 131 132 with/without the hydrogen bond network the oxetane ring formation remains the rate-determining step. The hydrogen bond network has however a significant influence on the activation free energy 133 134 along the path of the COM reaction (Scheme 2a, grey vs. blue profile). For instance, the barrier of the 135 initial C-C bond formation is reduced from 23.9 to 12.9 kcal/mol in the presence of 3 molecules of HFIP 136 (Scheme 2a, TS1). Similarly, the introduction of 3 molecules of HFIP leads to a significant reduction of the activation free energy of the oxetane formation, which was identified as rate-determining step 137 with an activation free energy of 30.2 kcal/mol without HFIP and 14.8 kcal/mol in the presence of 3 138 139 molecules of HFIP, respectively. In the second stage of the reaction, the oxetane intermediate INT2 is ring-opened in the presence of the pTSA catalyst. The introduction of additional molecules of HIFP 140 141 similarly leads to a marked reduction of the activation free energies, e.g. from 25.3 to 13.9 kcal/mol 142 for the formation of the carbocation intermediate INT3 upon introduction of three explicit molecules 143 of HFIP. Thus, the formation of a hydrogen bond network of 1a, pTSA and three molecules of HFIP leads to a significant lowering of the activation free energy and renders the room temperature COM 144 145 reactions with simple Brønsted acids possible. These results agree well with our experimental kinetic studies (Figure 1). 146

147 In the course of this analysis, we also examined the potential solvent-substrate interaction of HFIP with other Lewis-basic sites of 1a. Although, such HFIP-substrate interactions were found possible, their 148 149 influence on the course of the reaction was not taken further into account. These very weak HFIP-150 substrate interactions are in equilibrium with unbound HFIP and free substrate molecules (see page S8 in the experimental SI for HFIP-substrate complexation study) and thus would not affect the course 151 152 of the reaction. For example, the hydrogen-bonding of **1a** with the pTSA-HFIP<sub>3</sub> catalyst is favored by 1.4 kcal/mol over the non-bonding situation. The respective interaction for acetone is favored by 1.5 153 kcal/mol - thus an equilibrium between bound and free catalyst will be present in solution and can 154 drive the reaction to product formation (please see the computational SI for further details). 155

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d) Comparison of a selected trans

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INT1

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INT



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Scheme 2. Theoretical calculations on the pTSA-catalyzed COM reaction and the influence of HFIP hydrogen bond networks. Level of theory: B3LYP-D3BJ/def2-tzvp (SMD = HFIP)//B3LYP/def2-svp.

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Next, we performed a closer examination of the influence of the hydrogen bond network with different 161 alcohol solvents on the activation of the pTSA catalyst (Scheme 2b). First, we examined iPrOH as a 162 close analogue of HFIP to model the influence of a weak hydrogen bond donor (Scheme 2b, grey). In 163 this case relatively high activation free energies were observed, which are comparable to calculations 164

with an implicit solvent (cf. Scheme 2a). The activation free energy of the rate-determining step was 165 calculated with 28.4 kcal/mol, which is too high to proceed at room temperature with reasonable 166 efficiency. Next, we examined trifluoroethanol as a model for an increased ability to form hydrogen 167 bond networks (Scheme 2b, light blue). In comparison to *i*PrOH, the hydrogen bond network of solvent 168 169 and catalyst results in a significant reduction of the activation free energy of all transition states. 170 However, only in the case of the strong hydrogen bond donor HFIP (Scheme 2a and 2b, dark blue), the 171 activation free energies for all reaction steps are significantly reduced to enable for efficient COM reaction. Further calculations concerned the analysis of the influence of the stoichiometry of HFIP and 172 catalyst. This analysis reveals that three molecules of HFIP form an optimal hydrogen bond network 173 174 and allow for the COM reaction to proceed under mild conditions (Scheme 2c), which can be attributed 175 to the presence of three oxygen atoms in pTSA that are required for hydrogen bonding to three 176 molecules of HFIP (Scheme 2d,e). These calculations now show that HFIP engages in the formation of hydrogen bonding interactions with the pTSA catalyst that results in an encapsulation of the catalyst 177 178 within a hydrogen bond network. This hydrogen bond network thus alters properties of the pTSA 179 catalyst and consequently the transition state energies for each step.

# **Substrate Scope and Further Applications**

181 The optimized conditions developed in Table 1 were then applied to a range of intramolecular COM substrates (Scheme 3b). -Substituted ketoester substrates reacted smoothly to form their 182 corresponding cyclopentene products in moderate to high yields (2a-i). For some substrates, the 183 isomerized cyclopentenes were obtained as major products (2'e and 2'h), which was expected in this 184 Brønsted acidic environment. Five-membered *N*-heterocyclic products could also be formed by this 185 186 method in good to high yields, although the reactions on non -substituted systems (21-m) were less 187 efficient than those of a-substituted ones (2j-k). The reaction worked particularly well to form indene 188 derivatives (2n-q and 2'r), which can be attributed to the stability of the conjugate indene ring that 189 formed (Scheme 3). Similarly, a range of naphthalene products (2s-u) could be efficiently synthesized 190 using our developed conditions. There were also competing carbonyl-ene side processes in these 191 reactions. The application of these conditions to the formation of six-membered carbocyclic or N-192 heterocyclic products only led to moderate reaction outcomes (2v-y, Scheme 3b).

As discussed earlier, the directed Brønsted acid catalyzed COM reaction in homogeneous conditions is often problematic in that several side processes such as carbonyl-ene, Prins or interrupted carbonylolefin metathesis reactions can occur.<sup>23</sup> As our pTSA/HFIP catalytic system marked the first time COM reactions can be carried out in this manner without much of those issues, we would like to expand the

work to investigate the scope of its catalytic activity on analogous cyclization reactions. We decided to 197 select a series of aromatic ketones with an unsaturated side chain (1, 3, 5, 7, 9 Scheme 3) and subjected 198 them to the pTSA/HFIP catalytic conditions. The -unsaturated ketone substrates in Scheme 3a were 199 200 based on Schindler's interrupted COM reaction substrates.<sup>23a</sup> They have unsaturated side chains with 201 one more carbon than the COM -unsaturated ketone substrates in Scheme 3b. The 202 unsaturated ketone substrates in Scheme 3c can be considered one CH<sub>2</sub> truncated versions of the COM 203 substrates. The alkenyl and alkynyl keto subtrates in Scheme 3d and 3e bear slightly different unsaturated side chains but can be considered synthetic equivalents of the ones in Scheme 3c. 204

Most of these tested substrates cyclized under our pTSA/HFIP catalytic conditions to give the corresponding products (**2**, **4**, **6**, **8**, Scheme 3) in moderate to high yields within four hours at ambient temperature. Some cyclization processes required to be carried out at 50 °C to afford satisfactory outcomes, as indicated by product yields in parentheses. It is interesting to see that electron-donating substituent such as OMe or electron-withdrawing substituent such as NO<sub>2</sub> can have completely opposite effects on the outcomes of these *6-endo-trig* (Scheme 3c), *5-exo-dig* (Scheme 3d) and *5-exotrig* (Scheme 3e) cyclization reactions.

212 When there was an aromatic substituent at the alpha position, the 6-endo-trig cyclization was not the only predominant reaction pathway (Scheme 3c, product 6e/6e'). The substrate could also cyclize in a 213 Friedel-Crafts alkylation fashion to form tetrahydronaphthalene product 6e', which became the single 214 major product at elevated temperature. This reaction pathway<sup>26</sup> is directly relevant to the formation 215 of products 4 in Scheme 3a, where presumably the carbocation intermediate from a COM process also 216 217 underwent Friedel-Crafts alkylation reaction onto the adjacent aromatic ring to form the tricyclic system.<sup>23a</sup> Such interrupted COM reaction is possible for this type of substrate but not the typical COM 218 substrate (Scheme 3b), which can be attributed to the conformational arrangement of the initially 219 formed six-membered ring. The efficiency of the interrupted COM reaction mediated by our 220 pTSA/HFIP, albeit not fully optimized, was slightly lower than that of the earlier study with TfOH 221 catalyst by Schindler and co-workers.<sup>23a</sup> It posed the question of how different does HFIP make those 222 pTSA-catalyzed reactions in Scheme 3 in comparison to a normal organic solvent. Furthermore, would 223 the super Brønsted acidic TfOH overcome the need for the 'magical effect' of HFIP to efficiently 224 225 promote those cyclization reactions in a normal organic solvent?



Scheme 3. Substrate scope of COM reaction and analogous cyclization reactions under pTSA/HFIP catalytic conditions:
(unless otherwise specified) substrate (0.2 mmol), pTSA (10 mol%), HFIP (100 μL) at rt for 4 h.<sup>24</sup> For the formation of product
4, reactions were carried out in PhCl/HFIP (1.8 mL/0.2 mL) for 18 h.<sup>24</sup> Yields are of isolated products. Yields in parentheses
are of reactions carried out at 50 °C. Ratio in parentheses are of products 2 to 2'. [\*] COM products were produced in
inseparable mixtures with carbonyl-ene products, ratio of COM/carbonyl-ene products are quoted in parentheses.<sup>24</sup>

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Thus, we decided to carry out a comparative study where we performed two of each type of the 6-232 endo-trig cyclization, the COM reactions and the interrupted COM reactions in different sets of 233 conditions with pTSA/HFIP and TfOH/DCE (Table 2, for further details on these studies and also the 234 235 reaction performances on the 5-exo-trig, 5-exo-dig cyclizations, see page S69 in the experimental SI). 236 Interestingly, we observed clear differences in reaction efficiency. pTSA/HFIP system proved to be a 237 lot more superior than TfOH/DCE in the COM cyclization (products 2a and 2'e). For the 6-endo-trig <u>238</u> cyclization (products 6a and 6e/6e'), TfOH/DCE was slightly inferior to pTSA/HFIP, especially when it came to the formation of Friedel-Crafts type product 6e' at elevated temperature. Similar 239 catalyst/solvent-reactivity relationship was observed for the interrupted COM products (4a and 4d). 240 241 Surprisingly, with electron deficient substrates, the COM reactions (2i and 2z) did not work well in all <u>2</u>42 conditions; the interrupted COM substrates actually led to the formation of six-membered ring normal 243 COM products (4x/2x and 4y/2y); while the 6-endo-trig reactions (6x and 6y) work well under all conditions. These results once again confirmed the very important role of HFIP solvent and formation <u>2</u>44 <u>2</u>45 of hydrogen bond networks in these Brønsted acid catalyzed reactions.

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interrupted COM $Ar \xrightarrow{\beta}{R^{1}} \xrightarrow{\delta}{Ar}$ $cat. \int solvent$ $Ar \xrightarrow{Ar}{L} \xrightarrow{Ar}$ $Ar \xrightarrow{Ar}{L}$ $Ar \xrightarrow{Ar}{L}$	COM reaction $Ar + R_1 + R_2 + Ar + R_1 + R_2$ $2 2' (isomerized)$	$6-endo-trig$ $Ar \xrightarrow{\beta} f$ $R^{1} 5$ $cat. \qquad solvent$ $Ar \xrightarrow{R^{1} 6} f$
4a	CO <sub>2</sub> Et 2a	CO <sub>2</sub> Et 6a
30%	78% <sup>[b]</sup>	73%
25%	36% <sup>[b]</sup> (54% <sup>[b]</sup> )	67%
4d	Ph 2'e	and 6e'
58%	77% <sup>[b]</sup>	6e: 42% (trace); 6e': 35% (83%)
37%	24% <sup>[b]</sup> (64% <sup>[b]</sup> )	<b>6e</b> : 45% (7%); <b>6e'</b> : traces (28%)
$C_{L} = C_{L} = C_{L} = C_{L} = C_{L}$		
<b>4x</b> : not formed; <b>2x</b> : 34% (7:1)	22%	78%
only traces of both <b>4x</b> and <b>2x</b>	traces	79%
F <sub>3</sub> C-4y F <sub>3</sub> C 2y	F <sub>3</sub> C <sup>CO2</sup> Et 2z	F <sub>3</sub> C CO <sub>2</sub> Me 6y
<b>4y</b> : not formed; <b>2y</b> : 32% (7:1)	no product	81%
only traces of both <b>4y</b> and <b>2y</b>	no product	81%
	interrupted COM $\begin{array}{c} \begin{array}{c} \begin{array}{c} \begin{array}{c} \begin{array}{c} \begin{array}{c} \begin{array}{c} \begin{array}{c} $	interrupted COMCOM reaction $A_{r} \stackrel{h}{\rightarrow} $

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[a] Reaction condition: Substrate (0.2 mmol), pTSA or TfOH (10 mol%), HFIP or DCE (100 μL) at RT for 4 h. Yields in
 parentheses are of reactions carried out at 50 °C. Yields were determined by <sup>1</sup>H NMR integration using mesitylene as an
 internal standard. [b] Overall yields of two olefin isomers 2/2'.

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The above comparative study on the influence of the carbon skeleton on the reaction outcome encouraged us to further examine and rationalize this intriguing divergent reactivity. It is of particular interest to understand current limitations<sup>10</sup> in carbonyl olefin metathesis ring-closing reactions and the specific reactivity for the preferential formation of cyclopentenes. The corresponding cyclobutenes or cyclohexenes are not favored products from COM cyclization and highly specialized catalysts are

required in scarce number of reports for the latter.<sup>9f, 15b</sup> We therefore carried out computational 259 studies on the COM reaction pathway and all other reaction pathways observed for different chain 260 length of the alkenyl carbon skeleton (Scheme 4). The analysis of the first reaction step showed a 261 distinct effect of the carbon chain length on the activation free energy for C-C bond formation (TS1). 262 263 This step is energetically favored for the hexene (1, n = 2) and heptene (3, n = 3) substrates, while being energetically highly unfavorable for the shorter pentene derivative (5, n = 1) due to the high ring strain 264 265 of the putative 1-oxo-bicyclo-[2.2.0]-hexane intermediate (TS1-1) (Scheme 4, dark blue). Instead, 5 preferentially undergoes a 6-endo dig cyclization reaction via **TS5** to give pyrane **6a** (Scheme 4, green). 266 The analysis of similar cyclization pathways for hexene (1, n = 2) and heptene (3, n = 3) substrates 267 268 showed that such cyclization is indeed possible, yet unfavored due to the formation of larger ring 269 systems and transannular interactions within such ring systems.<sup>27</sup>





a) Analysis of reaction pathways for different side chains





Scheme 4. Comparison of the influence of the alkenyl chain length on the reaction outcomes.

273 The second reaction step then rationalizes for the divergent reactivity of the hexene (1, n = 2) and heptene (3, n = 3) substrates. Both substrates can potentially undergo a proton migration reaction<sup>28</sup> 274 via the bicyclic transition state TS6, which results in the product of a classic Ene reaction (INT4) via a 275 276 stepwise reaction mechanism. Following the stepwise Ene reaction, the tricyclic reaction product 6a 277 (Scheme 4, light blue) is formed, which is often referred to as the product of an interrupted COM 278 reaction. The interruption of the Ene reaction pathway however, allows the formation of the bicyclic <u>2</u>79 oxetane intermediate (INT2) via transition state TS2 that ultimately leads to COM reaction (Scheme 4, dark blue). Thus, the initial steps of a COM reaction can also be regarded as an interrupted stepwise 280 Ene reaction. This pathway is favored only in the case of the hexene derivative 1, as the formation of 281 <u>282</u> bicyclic oxetane intermediate INT2 is conformationally accessible due to the envelope conformation <u>283</u> of 5-membered rings. In the case of heptenes (3), this pathway cannot be accessed as the six-284 membered ring needs to adapt an unfavorable twist boat conformation. Small differences in the energy of transition states that result from conformational restriction of bicyclic transition states <u>285</u> 286 and/or intermediates thus open a divergent reactivity that can lead to cyclization, carbonyl olefin 287 metathesis or Ene reaction.

# 288 Conclusion

<u>289</u> In summary, we report on a combined experimental and computational study on the activation of catalysts by hydrogen bonding interaction. We show that HFIP can act as a hydrogen bond donor to 290 enhance the catalytic efficiency of simple Brønsted acid catalysts by stabilization of all transition states 291 292 and intermediates along the reaction pathway. This mode of activation could successfully be employed <u>293</u> to allow for a novel and practical method for the direct Brønsted acid catalyzed carbonyl-olefin <u>2</u>94 metathesis reaction. Interesting insights into the effect of the alkenyl moiety chain length on the 295 reaction outcomes were also revealed, which give the rationalization for the current ring-size limitation <u>296</u> of COM cyclization reaction products. These results will not only advance the catalytic scope of the <u>29</u>7 COM reaction further into homogeneous Brønsted acid catalysis but also pave the way for further <u>298</u> investigations and applications of hydrogen bonding network assisted catalysis in organic synthesis.

## 299 ASSOCIATED CONTENT

#### 300 Supporting Information

- 301 The Supporting Information is available free of charge: Experimental details and spectroscopic data for
- 302 all products, full Gaussian reference, Cartesian coordinates, electronic and free energies.

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#### 308 Author Contributions

309 The manuscript was written through contributions of all authors. TAT carried out all experimental

310 work; TVN and RMK conceived the ideas and designed the project. CP and RMK carried out all

311 computational studies. All authors have given approval to the final version of the manuscript.

## 312 CONFLICTS OF INTEREST

313 There is no conflicts of interest to declare.

### 314 **ACKNOWLEDGMENTS**

This work was funded by the Australian Research Council (grant FT180100260 to TVN and DP200100063 to TVN and RMK). CP thanks China Scholarship Council for a PhD scholarship.

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