

Targeted Fluorogenic Cyanine Carbamates Enable *In Vivo* Analysis of Antibody-Drug Conjugate Linker Chemistry

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ABSTRACT: Antibody-drug conjugates (ADCs) are a rapidly emerging therapeutic platform. The chemical linker between the antibody and the drug payload plays an essential role in the efficacy and tolerability of these agents. New methods that quantitatively assess cleavage efficiency in complex tissue settings could provide valuable insights into the ADC design process. Here we report the development of a near-infrared (NIR) optical imaging approach that measures the site and extent of linker cleavage in mouse models. This approach is enabled by a superior variant of our recently devised cyanine carbamate (CyBam) platform. We identify a novel tertiary amine-containing norcyanine, the product of CyBam cleavage, that exhibits dramatically increased cellular signal due to improved cellular permeability and lysosomal accumulation. The resulting cyanine lysosome-targeting carbamates (CyLBams) are ~50X brighter in cells, and we find this strategy is essential for high-contrast *in vivo* targeted imaging. Finally, we compare a panel of several common ADC linkers across two antibodies and tumor models. These studies indicate that cathepsin-cleavable linkers provide dramatically higher tumor activation relative to hindered or non-hindered disulfides – an observation that is only apparent with *in vivo* imaging. This strategy enables quantitative comparisons of cleavable linker chemistries in complex tissue settings with implications across the drug delivery landscape.

INTRODUCTION

Antibody drug conjugates (ADCs) combine the specificity of monoclonal antibodies (mAbs) and the potency of small molecule therapeutics. To date, 10 ADCs have received FDA approval and many others (> 60) are in clinical trials.^{1,2} ADC activity generally requires lysosomal processing of a linker domain to release the active payload. Consequently, the linker component should be stable in circulation, but selectively cleaved following target binding and internalization – a significant chemical challenge.³⁻⁷

To assess the state-of-the-art and to guide future linker discovery efforts, a means to quantitatively compare ADC linker chemistry *in vivo* would be of significant utility. ADCs are conventionally assessed by examining tumoricidal activity and toxicity profiling. While these methods are important benchmarks, they provide only indirect insights into the site and mechanism of drug release.⁸⁻¹⁰ Enzyme-linked immunosorbent assays (ELISAs) are also broadly employed, but only determine the blood-pool concentration and biodistribution of the antibody component.¹¹⁻¹² Radiolabeling methods can provide important insights regarding mAb localization, but are costly and do not directly report on the linker cleavage step.¹³⁻¹⁶

Optical imaging has the potential to provide critical insights to the ADC design and optimization process. Prior efforts using stimuli-responsive fluorophores with conventional visible wavelengths have quantified payload processing and internalization kinetics in cellular imaging experiments.¹⁷⁻¹⁹ However, these probes are not suitable for applications in deep tissue due to the poor penetration depth of wavelengths in the visible region. Complementing these efforts, approaches using always-ON near-infrared (NIR) probes have provided insights into tumor and off-target uptake.²⁰⁻²³ Fluorogenic turn-ON probes that use NIR wavelengths (~700 to 900 nm) have significant potential to provide insight into dynamics and localization of biological phenomena in complex tissue settings. We recently developed the first class of NIR fluorogenic probes based on the heptamethine cyanine core.²⁴ These fluorogenic cyanine carbamates (**CyBams**) exhibit exceptional turn-ON ratios and untargeted variants enabled *in vivo* imaging in a metastatic tumor model. We hypothesized mAb-conjugated variants could provide a real time, quantitative approach to determine the site and extent of ADC linker cleavage in complex model organisms.

Here we detail the development of the first activatable mAb targeted probes with absorbance and emission maxima beyond 700 nm. To obtain sufficient signal for *in vivo* imaging, we optimized the cellular uptake and retention of the norcyanine scaffold – the released fluorescent product of linker cleavage. These efforts reveal the installation of a basic amine into the probe dramatically improves cellular photon output, likely due to enhanced lysosomal uptake and retention. Converting the optimized norcyanine into the corresponding cyanine lysosome-targeting carbamate (**CyLBam**) dramatically improves the *in vitro* signal and is essential for high-contrast *in vivo* optical imaging of mAb conjugates. Finally, we test a panel of commonly used ADC linkers in two tumor models. We find cathepsin-cleavable linkers outperform reductively cleaved disulfide linkers with dramatic differences in tumor uptake – a distinction that is only apparent with *in vivo* imaging. Broadly, this approach provides a general means to assess cleavable linker efficiency and specificity, with applications from the cellular to organismal scales.

RESULTS AND DISCUSSION

Optimization of Norcyanine Signal

Prior to pursuing *in vivo* imaging of mAb conjugates, we set out to improve the cellular signal of the product of **CyBam** activation, the pH-sensitive norcyanine. While the previously reported sulfonated norcyanine, **Sulfo-NorCy7** (Figure 1A), can provide high contrast imaging, relatively high concentrations (20 – 40 μ M) are required for sufficient *in vitro* signal.²⁴⁻²⁷ We anticipated this requirement might be problematic for mAb targeted imaging because probe concentrations are intrinsically limited by antigen levels. Of note, microscopy studies indicate that the cellular signal of **Sulfo-NorCy7** is predominately from the lysosome – an observation consistent probe protonation and a pK_a between 4 and 5.²⁴ We therefore presume poor lysosomal accumulation of the charged sulfonated variants is responsible for the modest cellular fluorescence of this probe. We hypothesized that improving cellular permeability and, perhaps, introducing a lysosomal targeting element could improve the fluorescent output.

To approach this problem, we designed and synthesized a small panel of chemically diverse norcyanines. These compounds contain a C4'-phenyl-substituent appended to the polymethine chromophore, which we and others have found to improve synthetic accessibility, photostability, and serum stability.²⁸⁻³³ To test the question of cell permeability alone, we included two hydrophobic derivatives, **OMe-NorCy7** and **H-NorCy7**, (Figure 1A). Commonly used lysotracker probes contain tertiary amines, suggesting this functional group can promote lysosomal targeting.³⁴⁻³⁶ To test if this might apply to norcyanines, we prepared indolenine-substituted sulfonamide derivatives modified with both the hydrophobic piperidine (**Pip-NorCy7**) and the tertiary amine-containing *N*-Me piperazine (**N-Me-Pip-NorCy7**) substituents. We also prepared another “lysotracker-like” derivative, **N-Me-NorCy7**, in which the central ring system contains an *N*-methyl substituent. These novel norcyanines were synthesized in 3-4 steps, as described in the supporting information.

With access to this panel of probes, we investigated their photophysical properties and cellular uptake. All six probes exhibit absorbance and emission maxima above 700 nm, similar extinction coefficients and pK_a 's between 4.4 and 5.2 (Figure 1B,C

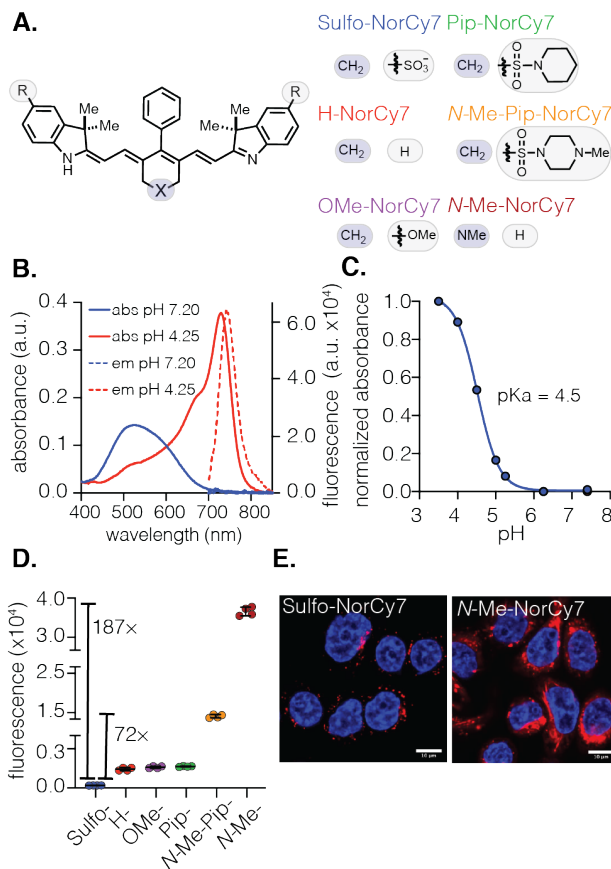


Figure 1. A. Structures of norcyanines **Sulfo-**, **H-**, **OMe-**, **Pip-**, **N-Me-Pip-** and **N-Me-NorCy7**. B. Absorbance and fluorescence spectra w/ 690 nm excitation of **N-Me-NorCy7** (5 μ M) in PBS pH 7.20 and acetate buffer pH 4.25. C. Determination of pK_a (10 μ M) in buffers ranging from pH 3.5 to pH 7.4. D. Flow cytometry quantification of *in vitro* uptake of norcyanine heptamethine cyanine (5 μ M) in MDA-MB-468 after 1 h incubation. Geometric mean fluorescent intensity (\pm SD) of fluorescent signal in the cells is shown ($n = 4$ independent experiments; $\sim 10,000$ cells counted). E. Confocal fluorescent images (63X) of **N-Me-NorCy7** and **Sulfo-NorCy7** (10 μ M) after 6 h incubation with MDA-MB-468. Fluorescent signal from the probe and nucleus (Hoechst) is pseudo-colored red and blue, respectively.

for **N-Me-NorCy7**, Table 1, Figure S1). We first established that the NorCy7 series had minimal toxicity in MDA-MB-468 and MCF-7 cells (Figure S2). Next, we quantified signal in MDA-MB-468 cells at 1, 3 and 6 h time points with flow

	$\lambda_{\max \text{ abs}} / \lambda_{\max \text{ em}}$ (nm)	ϵ_{\max} ($\text{M}^{-1}\text{cm}^{-1}$)	pK_a
Sulfo-NorCy7 ^a	755/775	73,500	5.2
H-NorCy7 ^b	750/770	63,900	5.8
OMe-NorCy7 ^b	775/790	56,800	5.5
Pip-NorCy7 ^c	760/780	17,200	4.4
N-Me-Pip-NorCy7 ^a	760/775	45,000	4.5
N-Me-NorCy7 ^a	730/750	57,600	4.6

Table 1. Summary of photophysical properties ($\lambda_{\max \text{ abs}}$, $\lambda_{\max \text{ em}}$ and ϵ_{\max}) of NorCy7 series of compounds. ^a acetate buffer (pH 4.5); ^b MeOH:acetate buffer pH 4.5 (2:1); ^c MeOH:acetate buffer pH 3.75 (2:1).

Figure 2. A. Structures of CyLBam and CyBam probes, and VC (cathepsin-cleavable val-cit) and NC (non-cleavable) linkers. **B.** Confocal images (63X) of Pan-VC-CyLBam, Pan-NC-CyLBam, Pan-VC-CyBam, and Pan-NC-CyBam in MDA-MB-468 (EGFR+) after 24 h incubation. Fluorescent signal from the probe and nucleus (Hoechst) is shown in red and blue, respectively. **C.** Flow Cytometry quantification of *in vitro* uptake in MDA-MB-468 (EGFR+) and MCF-7 (EGFR-) after 24 h incubation. All antibody conjugates were labeled at DOL 4. Geometric mean fluorescent intensity (\pm SD) of fluorescent signal in the cells is shown ($n = 4$ independent experiments; $\sim 10,000$ cells counted). **D.** Imaging of probes (200 μ g; DOL 4) intravenously injected in a xenograft model of female athymic nude mice implanted with MDA-MB-468 tumors ($n = 3$). Tumor-to-background (TBR) ratios, the fluorescent signal of the tumor relative to an equal area in the neck, at different time intervals (4, 48 and 168 h). **E.** IVIS fluorescent images 48 h post injection. Tumors are highlighted in dotted red circles. Data points are displayed as mean \pm SD, and the p-values were evaluated by the Student's t-test (***) p-value ≤ 0.001 , **** p-value ≤ 0.0001).

We first compared the series of Pan probes in cellular assays. The four conjugates, **Pan-VC-CyLBam**, **Pan-NC-CyLBam**, **Pan-VC-CyBam**, **Pan-NC-CyBam**, (Figure 2A) were tested in MDA-MB-468 (EGFR+) and MCF-7 (EGFR-) cells.⁴¹⁻⁴² The fluorescent signal emitting from **Pan-VC-CyLBam** was 50-fold higher than **Pan-VC-CyBam** after incubation with MDA-MB-468 cells for 24 h (Figure 2C and S34-37). Validating the role of receptor-mediated uptake, the fluorescent signal of both probes was lower in MCF-7 cells. As expected, the non-cleavable probes, **Pan-NC-CyLBam** and **Pan-NC-CyBam**, did not exhibit any significant fluorescent signal in either cell line. Confocal microscopy confirmed the trends observed by flow cytometry, as well as the lysosomal uptake of the released norcyanine (Figure 2B and S6-8).

We then set out to test if the improved *in vitro* signal of the **CyLBam** conjugate would translate to high contrast animal imaging. The conjugates (200 μ g) were injected intravenously into female athymic nude mice with MDA-MB-468 xenograft tumors (25-35 mm³). The mice were imaged at 4, 24, 48, 72, and 168 h post-injection using an *In Vivo* Imaging System (IVIS) (Figure 2E and S9-14). After 24 h, a strong fluorescent signal was observed in tumors injected with **Pan-VC-CyLBam** and the signal persisted over 168 h, with tumor-to-background ratios (TBRs) between 4 and 5. In contrast, the **Pan-VC-CyBam** group had lower TBRs (1-2) at all time points - similar to the two non-cleavable probes, **Pan-NC-CyLBam** and **Pan-NC-CyBam**. Significant liver signal was observed with the two probes containing the protease cleavable linker (**Pan-VC-CyLBam** and **Pan-VC-CyBam**) at early time points (4 h and 24 h), and the fluorescent signal decreased afterwards (Figure S15-16). The selective hepatic signal of **Pan-VC-CyBam** and its time-dependent disappearance suggests that the released **Sulfo-NorCy7** is rapidly cleared through hepatobiliary pathways. Thus, the reduced tumor retention observed with this probe likely reflects lower tumor retention (compared to **N-Me-NorCy7**) and subsequent clearance. Overall, these results suggest that the improved cellular retention of **N-Me-NorCy7**, first observed *in vitro*, extends to an *in vivo* setting. Critically, these observations set the stage for us to evaluate a series of ADC linkers.

Quantitative Comparison of ADC Linkers

The two most extensively studied classes of ADC linkers are disulfides, which are reductively cleaved by biological thiols, and peptide linkers, which are cleaved by lysosomal proteases, typically cathepsins. Significant efforts to apply disulfide linkers led to the approval and clinical testing of several agents.⁴³ These include the first approved ADC, the acute myeloid leukemia therapy Gemtuzumab Ozogamicin (Mylotarg), which contains a hindered disulfide.⁴⁴⁻⁴⁶ However, some reports suggest that disulfides can be cleaved in circulation, leading to premature payload release and non-specific uptake.⁴⁶⁻⁴⁹ Concurrent with these efforts, cathepsin-cleavable peptide linkers have been incorporated in four approved ADCs, including the Hodgkins lymphoma drug Brentuximab Vedotin (Adcetris). While these two classes of linkers have been investigated extensively, we are not aware of any reports directly comparing the relative cleavage of payloads in a solid tumor setting. To do this, we designed a small panel of probes comparing two commonly used cathepsin-cleavable peptide linkers, valine-citrulline, **Pan-VC-CyLBam** (used above) and alanine-alanine, **Pan-AA-CyLBam**

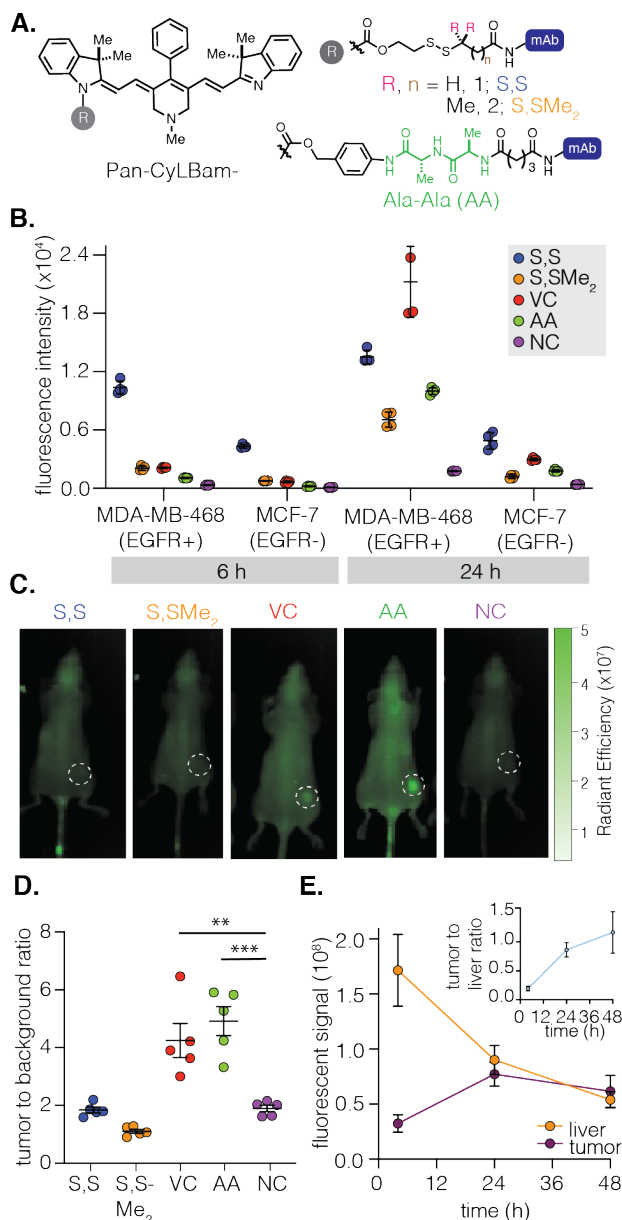


Figure 3. A. Structures of **Pan-AA-CyLBam** and disulfide **Pan-S,S-CyLBam** and **Pan-S,SMe₂-CyLBam**. B. Quantification of *in vitro* uptake of the five probes (50 μ g; DOL 4) in MDA-MB-468 (EGFR+) and MCF-7 (EGFR-) after 24 h incubation. Geometric mean fluorescent intensity (\pm SD) of fluorescent signal in the cells is shown ($n = 4$ independent experiments; $\sim 10,000$ cells counted). C. Fluorescent images following injection of probes (100 μ g; DOL 4) in female athymic nude mice ($n = 5$) with MDA-MB-468 tumors at 48 h time point. Tumors are highlighted in dotted white circles. D. Quantification of TBR 48 h post injection. E. Quantification of fluorescent signal of **Pan-AA-CyLBam** from liver and tumor. Tumor-to-liver ratio (TLR) is depicted in insert. Data points are displayed as mean \pm SD, and the p-values were evaluated by the Student's t-test (** p-value ≤ 0.01 , *** p-value ≤ 0.001).

CyLBam, and two disulfides, one hindered *gem*-dimethyl-substituted variant, **Pan-S,SMe₂-CyLBam** and one primary disulfide, **Pan-S,S-CyLBam** (Figure 3A, Table S2).^{8,50} As a control,

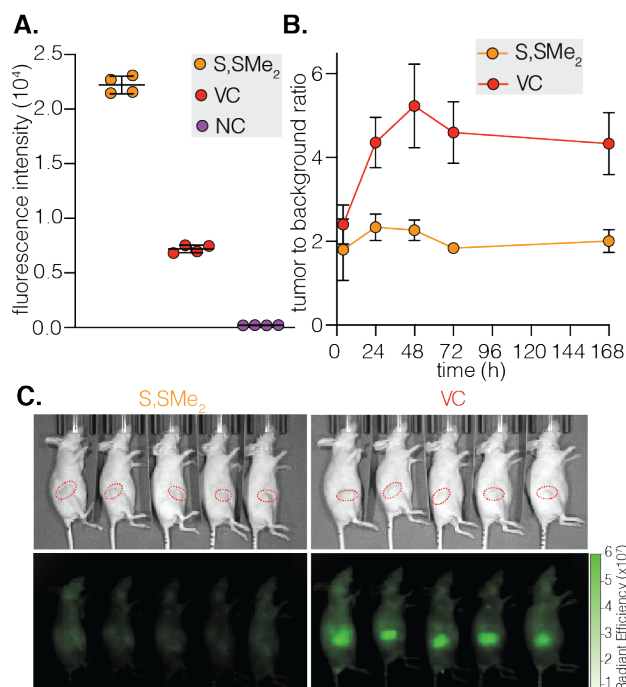


Figure 4. A. Quantification of cellular uptake of **m276-SL-S,SMe₂-CyLBam**, **m276-SL-VC-CyLBam** and **m276-SL-NC-CyLBam** (80 μ g; DOL 4) in JIMT-1 cells following 24 h incubation. Geometric mean fluorescent intensity (\pm SD) of fluorescent signal in the cells is shown ($n = 4$ independent experiments; $\sim 10,000$ cells counted). B. TBRs at different time intervals after intravenous injection of **m276-SL-S,SMe₂-CyLBam** and **m276-SL-VC-CyLBam** (100 μ g; DOL ~ 4) in female athymic nude mice bearing orthotopic JIMT-1 tumors ($n = 5$). C. Fluorescent images 72 h post injection. Tumors are highlighted in dotted red circles.

the non-cleavable probe, **Pan-NC-CyLBam** described above, was also employed.

We first examined the signal of the panel of conjugates in cells expressing variable levels of EGFR. The fluorescent signal from linker cleavage was quantified using flow cytometry at 6 and 24 h post-incubation (Figure 3B and S38-41). At the 6 h time point, **Pan-S,S-CyLBam** exhibits the highest fluorescent signal in EGFR+ MDA-MB-468 cells. The other three cleavable probes had only modest fluorescent signal after 6 h, but the signal increased substantially after 24 h. **Pan-VC-CyLBam** had the highest fluorescent signal among the cleavable probes after 24 h, indicating the most extensive linker cleavage. All 5 conjugates exhibit low levels of probe signal at 6 and 24 h in the EGFR(-) cell lines (MCF-7 and MDA-MB-231, Figure 3B and S17, S42-43), albeit with slightly higher signal with the **Pan-S,S-CyLBam** probe. Significantly, if these data were used in isolation, it would be difficult to differentiate these linkers and assess the optimal agent for further study.

With the goal of investigating differences between these linkers in an organismal context, we then harnessed the NIR spectroscopic properties of these probes for *in vivo* imaging. The full series of probes (100 μ g dose, half the dose of the studies above), were administered to female athymic nude mice implanted with MDA-MB-468 tumors and imaged at regular intervals up to 48 h (Figure 3C). With the disulfide probes, **Pan-S,SMe₂-CyLBam** and **Pan-S,S-CyLBam**, we did not observe significant tumor signal, and the TBR was similar to the non-

cleavable **Pan-NC-CyLBam** control. In contrast, strong tumor signal was observed from the cathepsin-sensitive probes, **Pan-VC-CyLBam** and **Pan-AA-CyLBam** (Figure 3C,D and S19-21), with the TBR reaching as high as 4.5 after 48 h. Therefore, these studies indicate that cathepsin-cleavable linkers provide dramatically higher tumor activation relative to hindered or non-hindered disulfides. Critically, as only modest differences are observed using the cellular methods described above, this key distinction is only apparent with *in vivo* imaging.

In addition to tumor uptake, these data also provide significant insight into off-target cleavage pathways. As observed above, conjugates with cleavable linkers exhibit significant liver signal at early time points (4 and 24 h) (Figure S18). While likely due to a combination of liver uptake/cleavage and probe clearance through hepatobiliary pathways, this approach can provide quantitative insight into off target cleavage. With **Pan-AA-CyLBam** as an example, the liver signal decreases overtime but increases in the tumor (Figure 3E), which leads to an increase in the tumor-to-liver ratio over time (Figure 3E insert). These results are in line with prior observations suggesting significant liver linker cleavage using related cathepsin linkers.⁵¹⁻⁵² We also corroborated the *in vivo* imaging results with an *ex vivo* assessment, performed 48 h post injection. As expected, the TMR (tumor-to-muscle ratio) revealed an identical trend to that observed *in vivo* (Figure S22-23).

Finally, to test the generality of our approach, **CyLBams** were tested against an alternative cancer target. The cell surface protein CD276, also known as B7 homolog H3 (B7H3), is overexpressed in both tumor cells and tumor vasculature of multiple solid tumor types.⁵³⁻⁵⁶ Antibodies against this promising target have been used to develop ADCs armed with either pyrrolobenzodiazepine (PBD) or auristatin-derived (MMAE) payloads. These ADCs, constructed using cathepsin-cleavable linkers, exhibit potent anti-tumor activity.^{57,58} We chose to compare **VC** and **S,SMe₂** linkers and prepared the CD276 targeting antibody, m276-SL, reported previously, and non-cleavable **NC** control m276-SL conjugates, as well as a non-binding mAb IgG controls (DOL 4, Table S4, Figure S29-30). In initial *in vitro* testing using a CD276+ JIMT-1 triple negative breast cancer cell line, both cleavable m276-SL conjugates showed high levels of cleavage, with little activation using the control non-binding IgG antibody (Figure 4A, and S24, S44-S45). We then carried out a study comparing protease-cleavable **m276-SL-VC-CyLBam** and the disulfide-cleavable **m276-SL-S,SMe₂-CyLBam** conjugates in JIMT-1 tumors (200-250 mm³) grown orthotopically in the mammary fat pad. As observed in the studies above, only the protease-cleavable **m276-SL-VC-CyLBam** conjugate exhibited a TBR greater than 5 (5.2 after 48 h), while the disulfide **m276-SL-S,SMe₂-CyLBam** probe exhibited much lower tumor signal (Figure 4B, S25-27). These initial studies in this model serve to both validate the generality of our CyBam imaging strategy, as well as the observation that protease-cleavable linkers outperform disulfide linkers in solid tumor models.

CONCLUSIONS

The mode of action of ADCs is complex – optimal efficacy depends on target binding, internalization, and, finally, lysosomal catabolism to initiate payload release. While the linker domain plays a central role in determining the efficacy and selectivity of ADCs, it is challenging to directly assess the site and extent of linker cleavage using conventional methods.⁵⁻⁷ This is a

critical issue because off-target cleavage of ADCs contributes significantly to ADC toxicity.⁵⁹ The optical imaging approach detailed here provides a general means to analyze linker chemistry across cellular, tissue, and body wide scales. In this work, we identify probes suitable for mAb-targeted activatable imaging. While our previously reported sulfonated **CyBams** were not suitable for this application, installation of a tertiary amine into the norcyanine framework dramatically improves cellular and *in vivo* signal, likely due to enhanced lysosomal uptake and retention. It is likely that these “lysotracker-like” norcyanines and the resulting **CyLBam** probes will have applications in other settings, including their use as activity-based sensing agents.⁶⁰⁻⁶¹

In applying this approach for ADC applications, we assume that the fluorescent probe can serve as a useful surrogate for the payload component. Here we have shown that quantitative comparisons of linker chemistries can be obtained by varying the linker component. In addition to linker chemistry, the properties of the payload molecule (e.g. charge, hydrophobicity) are also important aspects of tumor targeting.⁶²⁻⁶⁵ Critically, while the norcyanine probe is a hydrophobic, sp²-rich small molecule, so too are many ADC payloads.⁶⁶⁻⁶⁹ Further variations of the cyanine component could provide additional insights into the role of payload properties on tumor and normal tissue distributions, something our group has examined previously in the context of always-ON fluorophore conjugates.^{40, 70-73}

Going forward, we anticipate that **CyLBam** imaging could provide insights that directly inform the development of ADCs. These studies have suggested significant differences between protease cleavable dipeptides and disulfides in terms of tumor cleavage, which is likely due to instability of disulfides in circulation. Prior studies looking at various cysteine mutants have found the site of mAb labeling can have dramatic effects on disulfide stability.⁷⁴ This approach is well positioned to investigate these and related homogenous labeling strategies. We also anticipate this strategy can readily be extended to analyze the impact of mAb engineering strategies.⁷⁵⁻⁷⁷ As altering the molecular weight and composition of the protein component can dramatically alter the clearance pathway, it is likely that linker cleavage dynamics will be impacted. We also note this strategy can readily be translated to other targeted drug delivery strategies that rely on cleavable linkers. Efforts towards these goals, and to translate lessons from these imaging efforts into novel therapeutics, are underway.

ASSOCIATED CONTENT

Supporting Information

This material is available free of charge via the internet at <http://pubs.acs.org>. Supporting Information contain synthesis procedure and characterization of NorCy7s, CyBams, CyLBams, and key intermediate compounds, photophysical properties of NorCy7 analogs and CyLBams, details of *in vitro* experiments and supporting figures and tables.

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Author Contributions

The manuscript was written through contributions of all authors. All authors have given approval to the final version of the manuscript.

ABBREVIATIONS

NIR, near-infrared; ADC, Antibody Drug Conjugate; mAb, monoclonal antibody; CyBam, cyanine carbamate; CyLBam, cyanine lysosomal carbamate; ELISA, enzyme-linked immunosorbent assay; PBS, phosphate buffer saline; B7H3, B7 homolog H3; IVIS, *in vivo* imaging system; CD276, cluster of differentiation 276; EGFR, epidermal growth factor; abs, absorbance; ex, excitation; em, emission; N.A, numerical aperture; VC, valine-citrulline, AA, alanine-alanine, NC, non-cleavable; Pan, panitumumab; NHS, *N*-hydroxy succinimide; DOL, degree of labeling; TBR, tumor-to-background ratio; TLR, liver-to-liver ratio; TMR, tumor-to-muscle ratio; IgG, immunoglobulin G; MeOH, methanol; SD, standard deviation.

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