

Hydration dynamics gives the distinctive brown color in the “brown ring” nitrate test

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Abstract

The brown ring test is one of the most popular and visually appealing reagent tests, commonly known to chemistry undergrads and familiar even to school students. The exact composition, mechanism and structure of the complex has been investigated for nearly a century. Recent studies have elucidated its UV-vis, EPR and Mössbauer spectra, mechanistic details and kinetics, followed by crystallization and structure determination in solid state. Nonetheless these studies were unable to address the aspects of solution structure and dynamics of the brown ring complex. We have conducted *ab initio* molecular dynamics simulations of the classic brown ring complex in aqueous solution. In the process from the simulation trajectory, we have identified that the classically established pseudo-octahedral $[\text{Fe}(\text{H}_2\text{O})_5(\text{NO})]^{2+}$ complex is in chemical equilibrium with the square-pyramidal $[\text{Fe}(\text{H}_2\text{O})_4(\text{NO})]^{2+}$ complex through the exchange of one of the coordinated H_2O molecules. The dynamics in aqueous solution between the penta-aqua and tetra-aqua complexes in the brown ring system has to our knowledge never been suggested earlier. Interestingly we find, using *ab initio* multi-reference quantum chemical methods *i.e.* CASSCF/NEVPT2 and CASPT2 calculations, that the mixture of these two complexes is what gives the distinctive **brown** coloration to the brown ring test. We show that its UV-vis spectrum can be theoretically reproduced only by accounting these two species, and not solely the classically established $[\text{Fe}(\text{H}_2\text{O})_5(\text{NO})]^{2+}$ complex. The energetics of the penta-aqua and tetra-aqua complexes is also investigated at the level of multi-reference quantum chemical methods.

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I. INTRODUCTION

The brown ring test is a standard procedure in undergraduate and high school chemistry laboratory to detect the presence of nitrates in aqueous solution. The addition of H_2SO_4 to a solution containing nitrate ions, in presence of Fe^{2+} ions, produces a distinct brown ring at the interface of the two aqueous solutions. The green-brown color of the brown ring is traditionally attributed to the formation of the $[\text{Fe}(\text{H}_2\text{O})_5(\text{NO})]^{2+}$ complex [1–3], which has a quartet ($S=3/2$) ground state. This is considered to be a very unstable complex, degraded by light and heat, and also the presence of sulfate ions. This particular reaction and the chemistry behind it has been studied for more than a century [1–3]. This inherent instability has hindered a detailed study of the brown ring test, though recent attempts have given invaluable insights into the electronic structure and instability of the complex [4]. Wanat and co-workers have studied the kinetic and spectroscopic aspects of the reversible reaction of NO with $[\text{Fe}(\text{H}_2\text{O})_6]^{2+}$ to give the brown ring complex [4]. Their study also indicated the presence of a $S=3/2$ (quartet) species using EPR, which also also the presence of a complex with an electronic structure resembling that of a $\{\text{FeNO}\}^7$ species, following the Enemark-Feltham notation [5]. The superscript in $\{\text{FeNO}\}^7$ denotes the combined number of valence electrons in the Fe $3d$ and $\text{NO}(\pi^*)$ orbitals. Mössbauer spectroscopy in agreement with EPR study also indicated the presence of a $\{\text{FeNO}\}^7$ species with a high spin Fe^{III} center anti-ferromagnetically coupled with a NO^- ($S=1$) moiety. Eventually Monsch and co-workers reported the crystal data for the $[\text{Fe}(\text{H}_2\text{O})_5(\text{NO})]^{2+}$ complex in their recent study [6]. The crystal could be isolated due the use of bulky gallate counter ion having perfluoropinacolato ligands. The XRD established the theoretically predicted octahedral structure of the complex with an axial NO ligand. However, the crystal structure predicted by Monsch and co-workers gives information of the complex in the solid phase and is unable to shed light in the solution phase chemistry, which was also addressed in a very limited scope by earlier works like that of Wanat and co-workers [4].

The interest in the brown ring complex is not limited to only undergraduate text books and as a test for nitrate ions. The Fe-NO bond is of prime interest to the bio-inorganic chemists [7, 8]. NO binding to relevant Fe centers in metallo-enzymes has been the topic of research on both experimental and theoretical fronts [9–12]. Traditional inorganic chemists have also found the Fe-NO bond very interesting, as reflected by the detailed study on

Hieber’s anion [13, 14]. Theoretical attempts have uni-vocally established the multi-configurational character of the Fe-NO bond [15–17]. Pierloot and co-workers have also stressed upon the importance of dynamical correlation in description of the Fe-NO bond [15]. Multi-reference quantum chemical computations have also been used to show the O₂ activation by Dinitrosyl Iron Complexes (DNICs) which contains two Fe-NO bonds [17]. The first attempt in using multireference theories to describe the classic brown ring complex was only done by Monsch and co-workers in 2019 [6]. Monsch and co-workers also performed various *ab initio* and density function theory (DFT) computations highlighting the oxidation state and spin densities of the complex.

Here in this study we perform *ab initio* molecular dynamics (AIMD) simulations of the [Fe(H₂O)₅(NO)]²⁺ complex in aqueous environment combined with computations on cluster models for the UV-vis spectrum with multi-reference quantum chemical methods. The AIMD simulation suggests the formation of a [Fe(H₂O)₄(NO)]²⁺ species which remains in equilibrium with [Fe(H₂O)₅(NO)]²⁺. Based on this, high level multi-reference computations were performed to validate the observation from the dynamics. We conclude that energetically both theses species are accessible and that hydrogen (H-) bonding with surrounding water molecules dictates the stabilization of one species over the other. Serendipitously while investigating their electronic properties, we find that [Fe(H₂O)₅(NO)]²⁺ alone cannot reproduce the UV-vis spectra of the brown ring complex as published by Wanat and co-workers [4]. We find that only the presence of [Fe(H₂O)₄(NO)]²⁺ along with [Fe(H₂O)₅(NO)]²⁺, together can account for all the peaks in the VIS region of the spectra. Hence the brown color of the brown complex is, according to our computations, the result of having a chemical equilibrium between [Fe(H₂O)₅(NO)]²⁺ and [Fe(H₂O)₄(NO)]²⁺ in solution. Different means that corroborate our claim are also discussed.

II. RESULTS AND DISCUSSION

1. Occurrence of the [Fe(H₂O)₄(NO)]²⁺ complex: Indications from AIMD simulations

An AIMD simulation of the brown ring complex in aqueous solution (aq) at ambient conditions were performed on FeNOCl₂ solvated in 128 H₂O molecules in the canonical (NVT) ensemble using the Car-Parrinello algorithm within the CPMD package [18–20]. The

simulations were carried out using the Becke-Perdew (BP86) pure DFT functional [21, 22] and an unrestricted wave function with quartet spin multiplicity within the local spin density approximation. The BP86 functional has been used earlier by Monsch and co-workers to study this complex [6]. The system was sampled for 40 ps after an equilibration of 20 ps. Further details of the AIMD simulation are provided in the IV section.

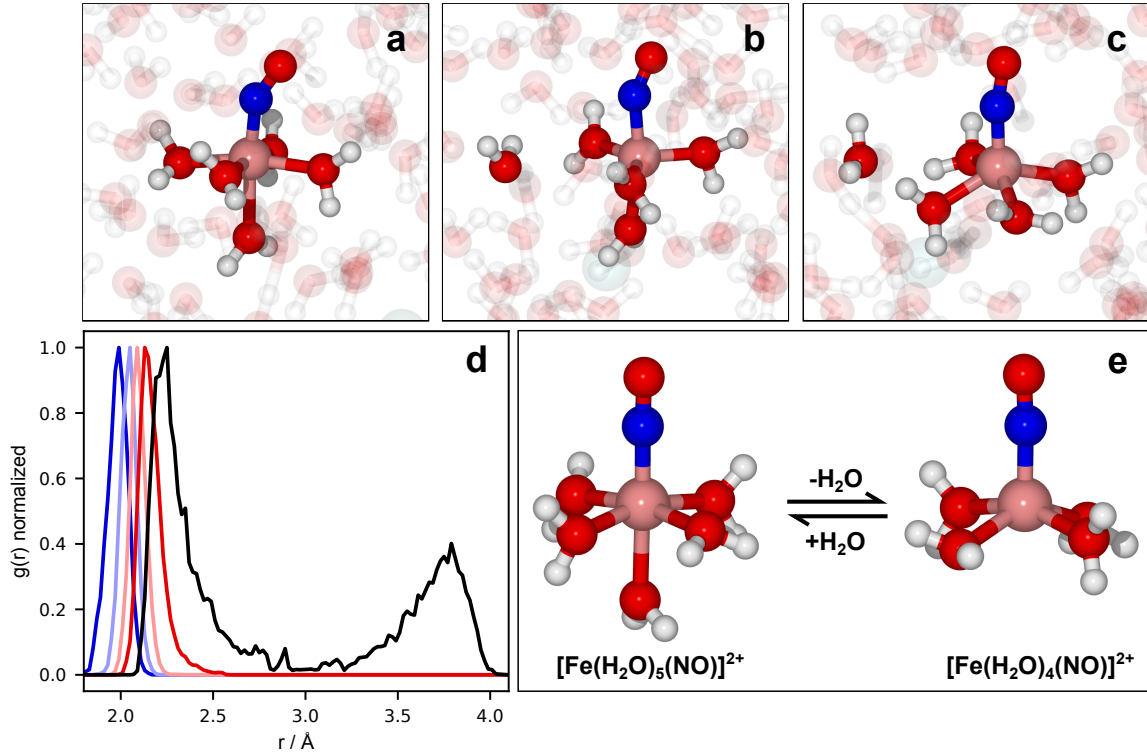


FIG. 1. Indication on the presence of $[\text{Fe}(\text{H}_2\text{O})_4(\text{NO})]^{2+}$ from *ab initio* molecular dynamics simulations. a-c. Snapshots taken from the simulation showing the equilibrium between $[\text{Fe}(\text{H}_2\text{O})_5(\text{NO})]^{2+}$ and $[\text{Fe}(\text{H}_2\text{O})_5(\text{NO})]^{2+}$ through the exchange of one H_2O . Panel a shows the octahedral $[\text{Fe}(\text{H}_2\text{O})_5(\text{NO})]^{2+}$ complex. The intermediate state with one equatorial H_2O molecule dissociating from the penta-aqua complex is shown in panel b. Panel c depicts the formation of the square-pyramidal $[\text{Fe}(\text{H}_2\text{O})_4(\text{NO})]^{2+}$ complex. d. Radial distribution functions, normalized at the first peak to enhance features, for the five coordinating water molecule with respect to the Fe center. The black line shows the RDF for the Fe-O₅ bond, showing its bimodal distribution and looseness, in stark contrast with the rest of the RDFs. In Figure S1, the corresponding curves with proper normalization is given. e. The optimized geometries of the $[\text{Fe}(\text{H}_2\text{O})_5(\text{NO})]^{2+}$ and $[\text{Fe}(\text{H}_2\text{O})_4(\text{NO})]^{2+}$ complex.

Upon visualizing the trajectory obtained from the simulation, we found that the $[\text{Fe}(\text{H}_2\text{O})_5(\text{NO})]^{2+}$ penta-aqua complex can lose an equatorial H_2O molecule to form a $[\text{Fe}(\text{H}_2\text{O})_4(\text{NO})]^{2+}$ tetra-aqua species in which relaxation lead to a square-pyramidal geometry. $[\text{Fe}(\text{H}_2\text{O})_5(\text{NO})]^{2+}$ can subsequently bind a water molecule from the bulk and revert back to the parent penta-aqua complex. The snapshots of these processes from the simulation are shown in Figure 1a-c. which clearly shows the phenomenon involving de-aquation and re-aquation. The simulation time is too short, though, in comparison to the time-scale of the processes to determine the statistics of this dynamical equilibrium. Also, as we show below the limitations of the BP86 functional approximation prohibit accurate free energy simulations to investigate the chemical equilibrium quantitatively. Instead, we focus on structural and electronic characteristics of the this duality of the brown ring complex.

In Figure 1d, the radial distribution function (RDF) for each of the individual distances between the Fe^{2+} cation and the closest five water oxygen atoms (O_i for $i = 1 - 5$ at any given time) are presented.

An extra normalization to the peak maximum of each curve us used to enhance the differences in shape. In the supplementary information(SI), corresponding RDFs without the extra normalization are presented in Figure S1 and in Figure S2c-d in the SI, the RDF of the remaining water oxygen atoms relative to iron and the Fe-Cl RDF are plotted for comparison showing the second hydration shell and the outer sphere coordination of Cl^- anions. The iron nitrosyl RDFs are presented in Figure S2a-b in the SI.

In the first hydration shell of the brown ring complex, the four closest water molecules (RDFs of Fe-O_i for $i = 1 - 4$) are strongly bound to the iron cation, where as the fifth water molecule (RDF of Fe-O_5) has a bimodal distribution signifying the occurrence of both penta-aqua and tetra-aqua complexes. Furthermore, the shape of the inner peak is much broader for Fe-O_5 than for Fe-O_i ($i = 1 - 4$) reflecting a clearly softer iron water interaction of the fifth water molecule. Based on these simulation we propose that $[\text{Fe}(\text{H}_2\text{O})_5(\text{NO})]^{2+}$ and $[\text{Fe}(\text{H}_2\text{O})_4(\text{NO})]^{2+}$ species can co-exist in the aqueous solution.

It is very well know from previous studies that the $[\text{Fe}(\text{H}_2\text{O})_5(\text{NO})]^{2+}$ species posses a multi-configurational wave function [6, 16]. Hence, the pure DFT description in the AIMD simulation is a limitation which prevents a quantitative analysis. However the simulations were performed within the unrestricted Kohn-Sham formalism which can to some extent capture the open shell character of the wave function as reflected by the presence of spin

contamination. Hence, we performed static DFT computations with implicit solvation at the BP86/def2-TZVP/cpcm(water) level on both the penta-aqua and tetra-aqua complex and found the expectation value of the electron spin operator $\langle S^2 \rangle$ to be 4.23 and 4.15 respectively, which clearly points to a substantial spin contamination. The BP86 functional has been shown to work well for this system, in previous studies, in reproducing molecular geometry and spin density in previous studies [6]. Thus, this interesting observation of the existence of a tetra-aqua complex along with the classically accepted penta-aqua complex, from the AIMD simulations, which has the aforementioned limitation, warrants a more careful investigation using more accurate static multi-configurational quantum chemical computations on static models.

2. Multi-reference *ab initio* calculations and UV-vis spectra

After observing the presence of $[\text{Fe}(\text{H}_2\text{O})_4(\text{NO})]^{2+}$ and $[\text{Fe}(\text{H}_2\text{O})_5(\text{NO})]^{2+}$ in the AIMD simulation in aqueous solution, the electronic structure and electronic excitations of the two complexes were studied thoroughly using multi-reference *ab initio* quantum chemical calculations in the ORCA program package [23]. Both these complexes have a quartet ground state. Geometries of the complexes were extracted from the MD simulation and optimized at the (U)TPSSH/def2-TZVP/cpcm(water) level of theory, which has been shown to function well in reproducing the geometry of Fe-NO complexes including the ones fraught with quasi-degeneracy [17, 24]. Thus we obtain the geometries in the absence of explicit solvating water molecules and its hydrogen bonding to the second solvation shell, but with implicit solvation i.e. cpcm(water), namely; (penta-aqua) $[\text{Fe}(\text{H}_2\text{O})_5(\text{NO})]^{2+}$ and (tetra-aqua) $[\text{Fe}(\text{H}_2\text{O})_4(\text{NO})]^{2+}$. Nonetheless, we also decided to estimate the effects of the inclusion of explicit solvation. The interactions of the penta-aqua and tetra-aqua complexes with the surrounding solvent can only be accurately accounted for in a model including surrounding H-bonded water molecules. However, proper description of the electronic structure of the complexes on the other hand requires high-level multi-reference *ab initio* methods, like CASPT2/NEVPT2 [25, 26]. Consequently sampling of many different solvation structures is a challenge, and thus the geometry of a solvation model of the complex surrounded by 11 H-bonded water molecules was prepared and optimized at the same level of theory. It is important to note here that the models, both with and without explicit solvation, contain the

152 cpcm(water) implicit solvation in both geometry optimization and the electronic structure
 153 investigations with single point DFT and CASSCF/NEVPT2/CASPT2 calculations. From
 154 now on the model with 11 explicit solvating water molecules will be denoted as explicitly
 155 solvated complex, and if not mentioned otherwise the model with only implicit cpcm(water)
 156 solvation is indicated.

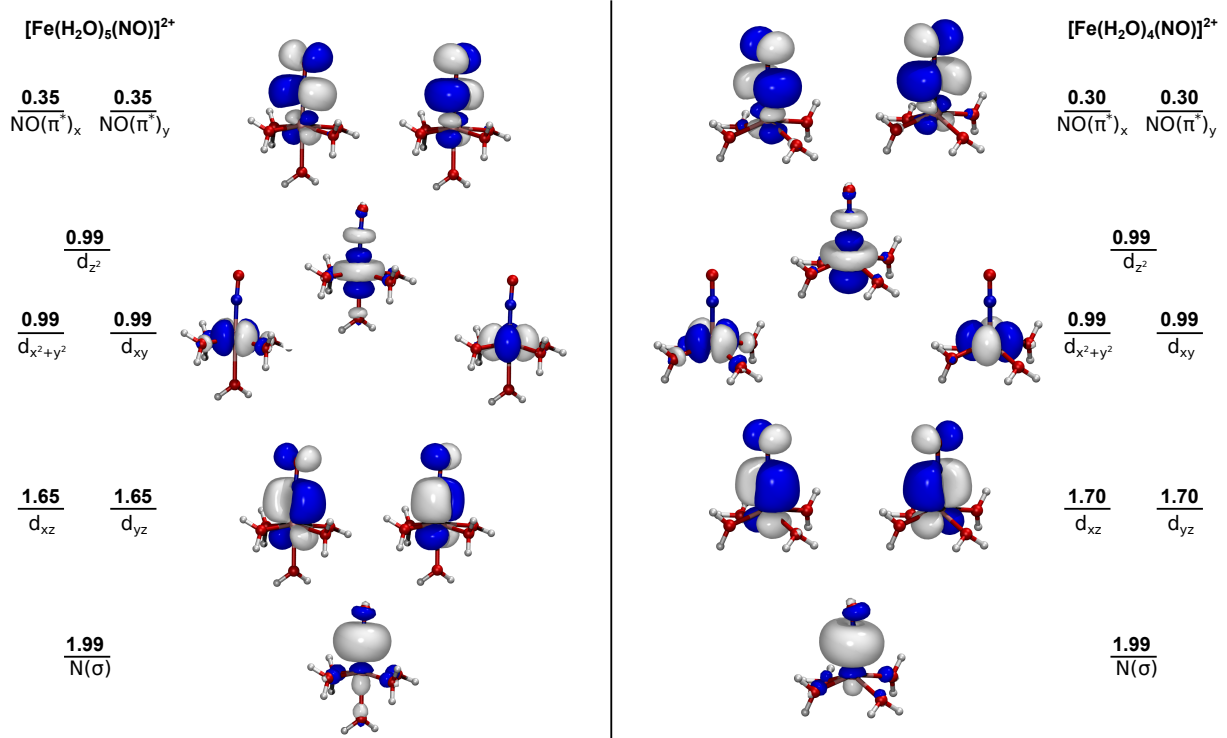


FIG. 2. **Ground state molecular orbitals for the two relevant complexes.** Orbitals obtained from state-specific CASSCF(9,8) computation for [Fe(H₂O)₅(NO)]²⁺ (left) and [Fe(H₂O)₄(NO)]²⁺ (right). The natural population is given above the orbitals in bold. The energies of the orbitals are mentioned below. The figure also describes the orbitals based on its character as mentioned alongside each orbital.

157 Considering geometries optimized at DFT level, we performed *ab initio* multi-reference
 158 computation on the penta-aqua and tetra-aqua complexes with and without explicit solva-
 159 tion. We employed a complete active space for the complexes with 9 electrons in 8 active
 160 orbitals, *i.e.* CAS(9,8). The orbitals chosen for the active space constituted of the N(σ), the
 161 five Fe(3d) orbitals and the two NO(π*) orbitals, included to accurately describe the elec-
 162 tronic structure of the complex. This active space includes all the orbitals, from the valence
 163 orbital space, which account for the interaction between the Fe and N, of the NO moiety.

NEVPT2 [26] (and CASPT2 [25]) calculations were performed on the same CAS(9,8) reference wave function to account for dynamical correlation, which has been shown to play a central role in the description of electronic structure of Fe-NO complexes [15].

The brown ring complex has been established to be a $\{\text{FeNO}\}^7$ species and hence doublet, quartet and sextet ground states are tentatively plausible. The doublet and sextet ground states are, however, significantly higher in energy as compared to the quartet ground state, see Table S1 in SI [27], for both penta-aqua and tetra-aqua complexes. Since we do not discuss physical/chemical processes where spin-orbit coupling is important in this study, we otherwise strictly confine our focus to the quartet manifold.

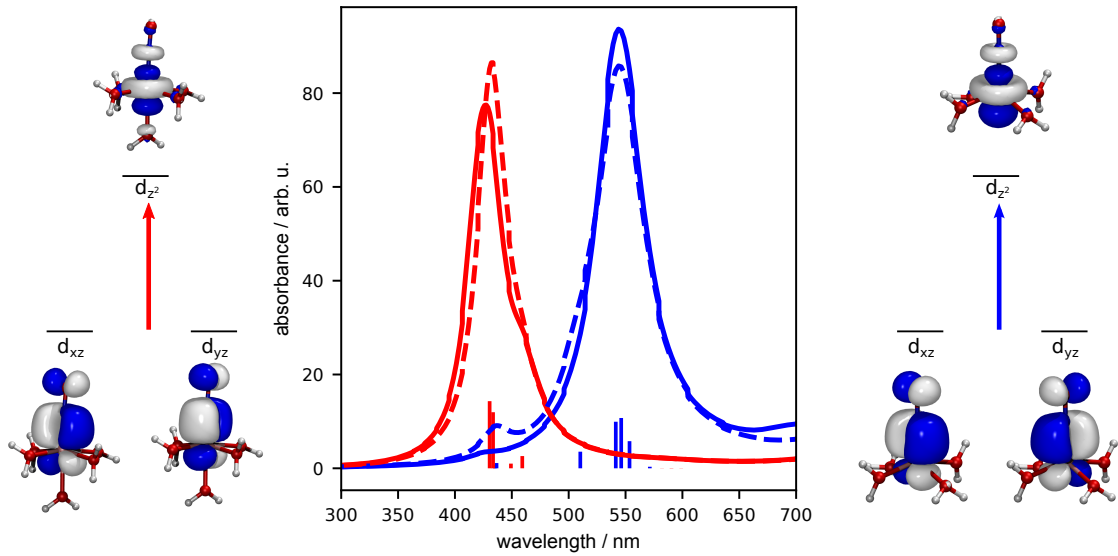


FIG. 3. **Computed UV-vis spectra at the CASSCF(9,8)/NEVPT2/def2-TZVP/cpcm(water) level of theory.** Static spectra of the $[\text{Fe}(\text{H}_2\text{O})_4(\text{NO})]^{2+}$ (red) and $[\text{Fe}(\text{H}_2\text{O})_5(\text{NO})]^{2+}$ (blue) with (dotted line) and without (smooth line) explicit solvation. The orbitals involved in the most pronounced transitions are given alongside the spectra for $[\text{Fe}(\text{H}_2\text{O})_5(\text{NO})]^{2+}$ (left) and $[\text{Fe}(\text{H}_2\text{O})_4(\text{NO})]^{2+}$ (right).

The CASSCF/NEVPT2 calculations of the $[\text{Fe}(\text{H}_2\text{O})_5(\text{NO})]^{2+}$ and $[\text{Fe}(\text{H}_2\text{O})_4(\text{NO})]^{2+}$ complexes, as well as the explicitly solvated models, consistently show multi-reference character of the ground state wave function. The CASSCF optimized orbitals are shown in Figure 2. As clearly seen from Figure 2, the multi-reference nature arises due to the back-donation of electrons from the Fe(d_{xz} and d_{yz}) orbitals to NO(π^*) orbital. This is reflected in the fractional natural occupation in these orbitals in agreement with earlier studies [6, 15, 17, 24].

Having determined the multi-reference wave function of the quartet ground state (Q_1), consistent with previous computations, we investigate the quartet excited state manifold. We started with the computation of the classic brown ring complex, i.e. $[\text{Fe}(\text{H}_2\text{O})_5(\text{NO})]^{2+}$. We performed a state averaged CASSCF, SA-CASSCF, over 10 quartet states with the same CAS(9,8) active space, followed by NEVPT2. The energies of the excited states cover the relevant region in visible region, as indicated by UV spectrum given in **Figure 1** of Wanat et al., and our calculated excitation energies are listed in Table S2 in SI [27]. Inclusion of more excited states in the State-Averaging can impact the quality of the CASSCF computation and moreover we are not interested in a excitation wavelength lower than 450-430 nm as per the focus of our study which is revealed later as the story unravels.

Upon plotting the the absorption spectra obtained at the NEVPT2 level, we found that the complex has a strong absorption at 430 nm, see Figure 3. The same computation was also performed on the explicitly solvated model, with essentially the same spectra being produced. The color of a complex can easily be linked to its UV-vis spectra as is very well known in inorganic chemistry. According to this computation, the $[\text{Fe}(\text{H}_2\text{O})_5(\text{NO})]^{2+}$ complex, with a the sharp absorption centered around 430 nm, alone would give a yellow color to the solution. Moreover, the brown color, as seen in the brown ring test, is consistent with the UV-vis spectrum of the brown-ring system as measured by Wanat and co-workers [4] which contains two peak, one sharp peak at 450 nm and another weaker one at 585 nm, as shown in **Figure 1** in Wanat et al. [4]. The combination of strong absorption at 450 nm and weaker absorption at 585 nm can render the solution a brown color, but a lone peak at 450 nm cannot. Thus, according to the NEVPT2 calculations, the UV-vis spectrum of the penta-aqua species alone can not explain neither the color nor the dual peak in the experimental UV-vis spectra. Moreover the accurate reproduction of the peak at 450 nm by $[\text{Fe}(\text{H}_2\text{O})_5(\text{NO})]^{2+}$ and absence of peak around 585 nm, motivated us to further consider the possibility of a chemical equilibrium between a penta-aqua and a tetra-aqua species as indicated in the AIMD simulation.

Embarking on this idea we carried out the similar NEVPT2 calculations on 10 quartet states of the $[\text{Fe}(\text{H}_2\text{O})_4(\text{NO})]^{2+}$ complex. In Figure 3, we clearly observe that the absorption for $[\text{Fe}(\text{H}_2\text{O})_4(\text{NO})]^{2+}$ is centered around 550 nm, a clear indication to the species behind the small long wavelength peak in the UV-vis spectra. Consequently the distinctive **brown** coloration in the brown ring test is henceforth ascribed not only due to the penta-aqua

species, but also due to the presence of a tetra-aqua complex, $[\text{Fe}(\text{H}_2\text{O})_4(\text{NO})]^{2+}$. The suggested possibility is new to the best of our knowledge and is a very interesting insight into a traditional chemical reaction, which we propose based on evidence provided with advanced quantum chemical methods including both static and dynamical correlation. It is worth mentioning here that the explicitly solvated model give essentially identical spectra as the one without, which signifies that the explicit solvation has a negligible effect on the electronic transitions in the spectra, according to this limited investigation of the solvent-solute interactions. The excitation energies, obtained from NEVPT2 calculations, for both with and without explicitly solvated models were verified at the CASPT2 level of theory, with all the excitation energies found to be consistent with NEVPT2 within 0.3-0.4 eV variation, see Table S2 and S3 in SI [27].

The nature of the electronic transitions, involved in the relevant peaks discussed above, were deduced by inspecting the natural population and natural orbitals of the respective excited states. For $[\text{Fe}(\text{H}_2\text{O})_5(\text{NO})]^{2+}$ the peak at 430 nm (NEVPT2) primarily arises as a result of transitions to the Q_9 and Q_{10} states. These two states are d-d transition states, generated by transitions of an electron from the d_{xz} and d_{yz} orbitals to the d_{z^2} orbital, see Figure 3. Not having center of symmetry d-d transitions for these complexes can give rise to and in fact results in bright transitions. The peak at 550 nm for $[\text{Fe}(\text{H}_2\text{O})_4(\text{NO})]^{2+}$ results due to transitions to the Q_7 and Q_8 states, which correspond to the same d-d transition states as seen for the penta-aqua complex, involving the same set of Fe-d orbitals. The removal of an H_2O stabilizes the d-d transition for the tetra-aqua species more than in the penta-aqua species. Hence, the Q_9 and Q_{10} states in the penta-aqua complex correspond to the Q_7 , Q_8 states in the tetra-aqua complex and the peak for the penta-aqua complex is red shifted in the tetra-aqua complex. The d_{z^2} orbital which is axially directed, for both these two complexes, accepts the excited electron. The removal of the water molecule reduces the electron density along the axial direction. This in turn reduces the Coulomb repulsion experienced by the excited electron in the d_{z^2} orbital, thus stabilizing these two states, and hence red shifting the peak. The lower excited states are of metal-to-ligand charge-transfer (MLCT) in nature with transition from the metal center to the $\text{NO}(\pi^*)$, followed by the transfer of other metal centered d-d transitions which corresponds to transition from d_{xz} , d_{yz} to d_{xy} and $d_{x^2+y^2}$. The ordering of these metal centered (MC) states are changed as one goes from penta-aqua to tetra-aqua species along with the shift in the peak position.

Motivated by the static computations and the strong indication that the UV-vis spectra cannot be explained by a single complex, we proceeded towards a more accurate simulation of the UV-vis spectra of the penta-aqua and tetra-aqua species giving more realism in the comparison to the experimental spectrum. Not much improvement can be done on the electronic structure front as we are already employing the highly accurate NEVPT2 method, and verified them by CASPT2 calculations. Instead we resorted to spectrum simulations at the NEVPT2 level of theory with Wigner sampling of geometries at DFT level. 101 geometries of both the complexes were sampled using temperature dependent Wigner distribution, at 293 K, as implemented in SHARC-2.1.1, [28]. Temperature dependent Wigner distribution has been recently shown to accurately produce the UV-vis absorption spectra of transition metals complexes [29]. The temperature dependent Wigner distribution is essential in reproducing the spectra if floppy vibrations are present. The Wigner sampled UV-vis spectrum for $[\text{Fe}(\text{H}_2\text{O})_5(\text{NO})]^{2+}$ clearly shows the peak at 440 nm, which practically coincides with the sharp peak at 450 nm in the experimental spectra, see Figure 4. The simulated spectrum for $[\text{Fe}(\text{H}_2\text{O})_4(\text{NO})]^{2+}$ at the same level of theory shows a peak, of much diminished intensity as compared to the one at 440 nm, at around 580 nm, which is clearly identified with the peak at 590 nm in the experimental UV-vis spectrum. This close reproduction of the UV-vis spectrum at the temperature dependent Wigner sampled spectra, at the NEVPT2 level of theory, enhances the credibility of our hypothesis.

Having established that the UV-vis spectrum and hence the brown color of the brown ring cannot be solely explained by a single complex, we turn our attention towards the energetics of the two species, whose existence are indicated by the AIMD simulation. Due to the multi-reference nature of the electronic ground state in both the complexes, the pure functional with unrestricted wave function, though can accurately predict the geometries, in such case, are often unable to accurately estimate the relative energetics between the complexes. Hence we also compared the energies of the complexes at the CASSCF(9,8)/NEVPT2 level of theory. The release of an H_2O from $[\text{Fe}(\text{H}_2\text{O})_5(\text{NO})]^{2+}$ to give $[\text{Fe}(\text{H}_2\text{O})_4(\text{NO})]^{2+}$ brings about two important changes in the system, which are opposing to each other in enthalpic terms. There is a loss of Fe-OH₂ bond and the gain of two extra H-bonds, that the evolved H_2O can form with its two lone pairs. In the aqueous solutions there are of course numerous hydrogen bonding opportunities, but we believe that the limited models may capture the essential physics in the process.

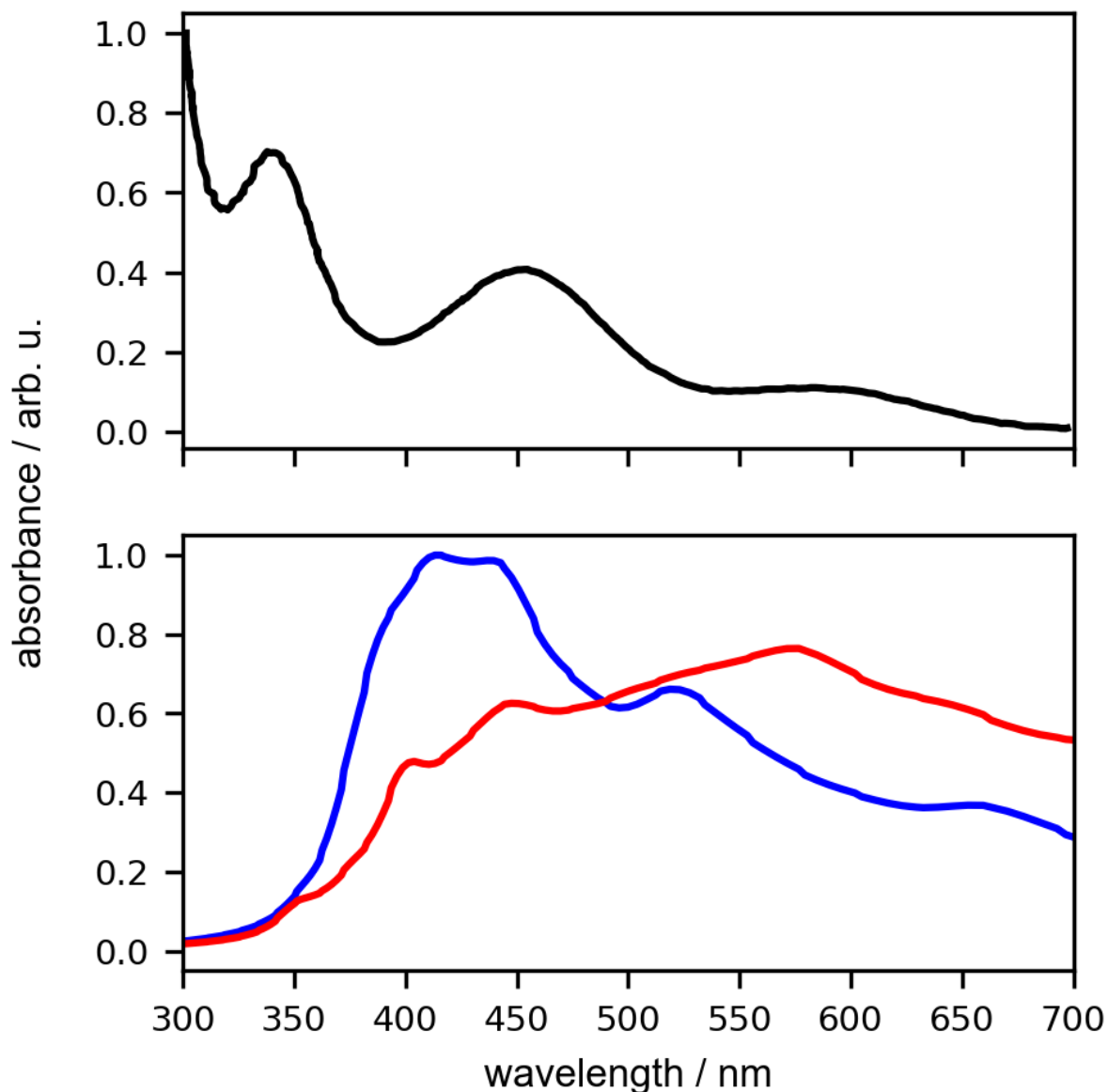


FIG. 4. **Simulated UV-vis spectra based on a temperature dependent Wigner distribution.** Spectra for $[\text{Fe}(\text{H}_2\text{O})_5(\text{NO})]^{2+}$ (red) and $[\text{Fe}(\text{H}_2\text{O})_4(\text{NO})]^{2+}$ (blue) at CASSCF(9,8)/NEVPT2/TZVP/cpcm(water) level of theory averaged over 101 geometries sampled from the temperature dependent Wigner distribution at 293 K. The black line in the top panel represents the experimental UV-vis spectra as extracted and reproduced from **Figure 1** in Wanat et al. [4].

276 Energetically apart from the free energy gain when a water dissociates, the bond disso-
 277 ciation energy, of Fe-OH₂ bond, can partly be compensated by the gain of H-bonds that

the released water molecule forms. As the system is inherently multi-reference, we can *a priori* only trust energetics obtained at CASSCF/NEVPT2 level of theory. We performed a relaxed scan along the reaction coordinates, as identified from *ab-initio* MD simulation, at the TPSSh/def-TZVP/cpcm(water) level of theory, by relaxing all the internal coordinates other than the fixed scanned coordinate at each point. Following this, these geometries were used for CASSCF/NEVPT2/ZORA-def2-TZVP and CASSCF/CASPT2/ZORA-def2-TZVP single point computations along the scans. Please see IV section for details on energetics computation.

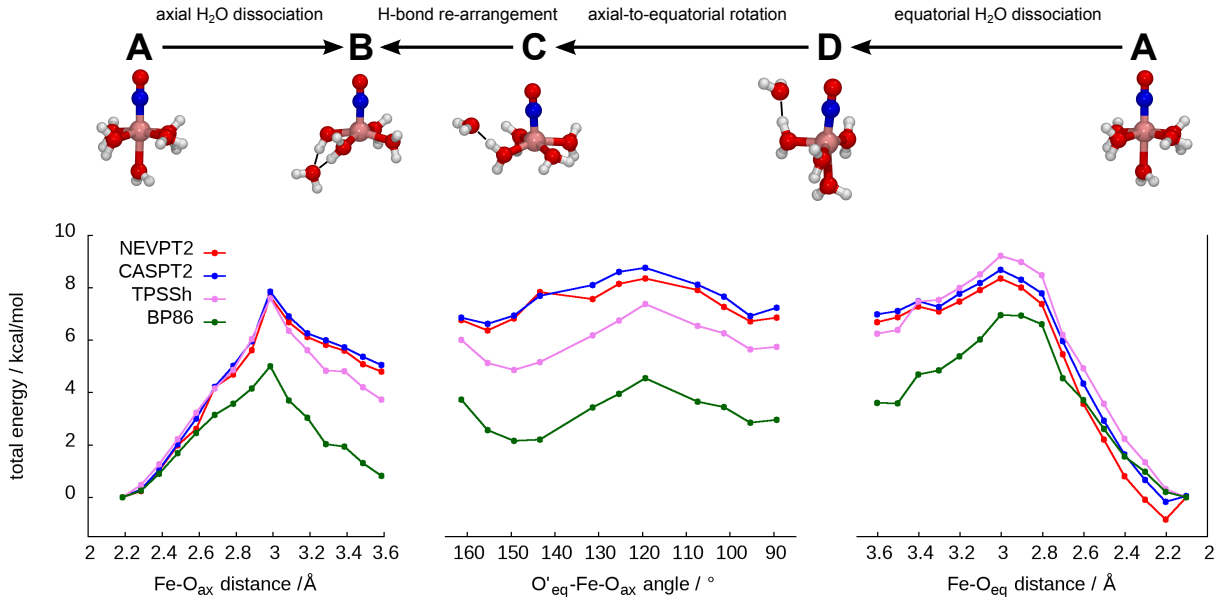


FIG. 5. **Cuts in the ground state potential energy surface along particular reaction coordinates for different quantum chemical methods.** Potentials for NEVPT2(black), CASPT2(blue), TPSSh(violet) and BP86(green) for the relaxed scan along the different reaction coordinate. From left to right we show the scans along Fe-O_{ax} , $\text{O}'_{eq}\text{-Fe-O}_{ax}$ bond angle and Fe-O_{eq} degree of freedom, respectively. The H-bonding formed by the H_2O dissociation is shown with the dotted black lines in the figure in the right. Two pathways and the corresponding reaction coordinates are depicted for transformation from **A** to **B** and is highlighted at the top of the figure. The process representing that particular reaction coordinate is also mentioned above the corresponding arrows.

As identified from the AIMD simulation and highlighted in Figure 1a-c, we see that firstly the equatorial H_2O dissociates from the penta-aqua complex. This leads to the formation of a distorted trigonal pyramidal tetra-aqua complex (see Figure 1b) which functions as

an intermediate state. Subsequently the axial H₂O increases the O'_{eq}-Fe-O_{ax} angle in the formation of a square-pyramidal complex, see Figure 1c. In Figure 5 we plot cuts in the ground state potential energy surface, described at the DFT, NEVPT2 and CASPT2 levels of theory, along relevant reaction coordinates.

We looked into the possibility of both equatorial as well as axial H₂O dissociation, by performing relaxed scans along the corresponding Fe-OH₂ bond distances. Additionally we also performed a relaxed scan along the O'_{eq}-Fe-O_{ax} bond angle following the last point in the equatorial H₂O dissociation scan, where the O'_{eq} denotes the oxygen atom in the water molecule directly opposite to the one(equatorial, i.e. O_{eq}) which dissociates.

We denote different species on the ground state potential energy surface by alphabets. The dissociation of an equatorial H₂O from the octahedral penta-aqua complex (**A**) gives rise to a distorted trigonal-bi-pyramidal tetra-aqua complex (**D**) which then undergoes a relaxation along the O'_{eq}-Fe-O_{ax} bond angle to give the square-pyramidal complex (**C**). This then forms an additional H bond and relaxes to the lowest possible configuration for the tetra-aqua complex (**B**). So this forms a three step process from **A** to **B**. This is more or less also the pathway shown by AIMD. However if we do an axial H₂O dissociation scan we found that **A** directly transforms to **B** and that too with a lower energy barrier with respect to the the three step process discussed earlier. This indicates the axial dissociation to be the plausibly predominant pathway.

Comparing the performance of different quantum chemical approximations, the NEVPT2 and CASPT2 potential energy surfaces are practically identical with sub kcal/mol difference, whereas DFT predicts a more stable tetra-aqua species, as clearly seen in Figure 5. The extra stabilization for the tetra-aqua species, produced by BP86 is a clear deviation from more accurate NEVPT2 and CASPT2. This corroborates our claim that it is wise to avoid energy estimations from the CPMD simulations and alternate routes are discussed later. The inclusion of exact exchange and higher order kinetic energy terms in the meta-hybrid TPSSh functional yields a close reproduction of NEVPT2 and CASPT2 results, which justifies our geometry optimizations with TPSSh. It also opens up a latter avenue of performing AIMD with this particular functional. In addition we observe, as seen in both the Fe-O_{ax} and Fe-O_{eq} bond distance scans from Figure 5, that the energy steadily increases as the Fe-O bond is broken, reaching a maxima, where the released water molecule forms two H-bonds

with the equatorial water molecules, as shown by black lines in Figure 5. The last step of the scan is seen to be only ~ 4.7 kcal/mol in energy above the initial point, i.e. the penta-aqua complex. Consequently the formation of the $[\text{Fe}(\text{H}_2\text{O})_4(\text{NO})]^{2+}$ is energetically stabilized by the formation of two extra H-bonding, as highlighted by black lines in Figure 5.

There is also the gain in the free energy which we have not considered in these cluster models yet. Now the computation of the free energy of dissociation in the solvent phase is particularly difficult in this system, since the failure in energetics of the DFT framework does not allow for sampling of the free energy difference in the AIMD framework. We have tried to address this in a way which is commonly practiced and well established when computing the free energy profile of reaction mechanisms [30]. We have optimized the penta-aqua, tetra-aqua and a water molecule separately at (U)TPSSH/def2-TZVP/cpcm(water) level of theory, followed a frequency computation. The free energy correction were obtained, which were scaled by a factor of 0.5 which has been shown to be standard protocol by many early studies [30, 31]. The free energy correction, obtained by the aforementioned protocol, for the reaction, $[\text{Fe}(\text{H}_2\text{O})_5(\text{NO})]^{2+} \rightarrow [\text{Fe}(\text{H}_2\text{O})_4(\text{NO})]^{2+} + \text{H}_2\text{O}$, turns out to be -4.9 kcal/mol. Thus the total free energy change for the water dissociation process can be approximated to be very near to the ~ 0 kcal/mol [$\sim (4.7-4.9)$ kcal/mol]. Accurate estimation would require a much more detailed study like the one performed by Dixon and co-workers, and Spencer and co-workers for uranium and plutonium complexes [32–34], and so only an approximate value is mentioned. Moreover we computed the energy difference between the penta-aqua and tetra-aqua complex with the explicitly solvated model. For the explicitly solvated model, for which we were able to optimize two different H-bonding configurations, the energy for the $[\text{Fe}(\text{H}_2\text{O})_4(\text{NO})]^{2+}$ was found to be 1.36 and 5.5 kcal/mol above $[\text{Fe}(\text{H}_2\text{O})_5(\text{NO})]^{2+}$, with the lower value predicted when two extra H-bonds are present. The energetic profiling clearly shows that fluctuations in the H-bonding environment around the solute can favor one species over the other. Thus based of NEVPT2 and CASPT2 energetics which are considered as gold standards in *ab initio* multi-reference PT level of theories, the occurrence of both these complex in the aqueous solution observed in the AIMD simulations is plausible.

III. CONCLUSION

This study has revealed a new aspect of the well known brown ring test. Our AIMD simulations and multi-reference *ab initio* perturbation theories give strong indications of the existence of the $[\text{Fe}(\text{H}_2\text{O})_4(\text{NO})]^{2+}$ complex in the solution along with the commonly considered $[\text{Fe}(\text{H}_2\text{O})_5(\text{NO})]^{2+}$. We also establish based on SA-CASSCF/NEVPT2 computation that $[\text{Fe}(\text{H}_2\text{O})_5(\text{NO})]^{2+}$ alone cannot impart the brown coloration to the brown-ring test. Instead it is the result of the presence of both $[\text{Fe}(\text{H}_2\text{O})_5(\text{NO})]^{2+}$ and $[\text{Fe}(\text{H}_2\text{O})_4(\text{NO})]^{2+}$, which adds the dimension of dynamic complexity to this case of text book chemistry.

It is difficult to experimentally verify the existence of the two species in solution due to the inherent instability of the system, but it may be possible with advanced spectroscopic techniques. However, sample delivery is challenge due to necessity of dissolution of NO and the instability of the complex itself. Core-level spectroscopy at the iron edge would be expected to show a bimodal signal in the presence of both the penta-aqua and tetra-aqua species. We also propose that $[\text{Fe}(\text{H}_2\text{O})_5(\text{NO})]^{2+}$ and $[\text{Fe}(\text{H}_2\text{O})_4(\text{NO})]^{2+}$ species will have strikingly different extended x-ray absorption fine structure (EXAFS) signature. Mössbauer spectroscopy could be of help and thus we computed the Mössbauer splitting for both the penta-aqua and tetra-aqua species, at CASSCF level of theory for the explicitly solvated complexes, and found the values to be 2.1 mm/s and 1.2 mm/s respectively. Additionally change of solvent could be done judiciously to favor one species over the other and then individual UV-vis or other spectra could be obtained.

Our serendipitous finding and the bold suggestion, that follows from it, challenges a well known notion about an even better known reaction. In consequence, this study opens up new challenges for our experimental colleagues to verify or refute this suggestion using the very state-of-the-art spectroscopic techniques, though as our theory is based on sound electronic structure methods we strongly believe the two species to play an important role in the chemistry of the brown ring test.

IV. METHODS

A. *Ab initio* molecular dynamics simulations

The AIMD simulation was performed of a system containing altogether $\text{Fe}(\text{NO})\text{Cl}_2$ and 128 water molecules at 300 K using the Nosé–Hoover [35, 36] chain thermostat for sampling within the NVT ensemble. In the Car-Parrinello algorithm, we used a fictitious mass of 500 a.u. for the electronic orbital degrees of freedom and a time step of 0.072 fs for the integration of the equations of motion. The Packmol package [37] was used to pack 123 water molecules along with $[\text{Fe}(\text{H}_2\text{O})_5(\text{NO})]^{2+}$ and two Cl^- anions in a cubic box of size 15.9911 Å to obtain a density of 0.9999 g/cm³ (or actually 1.1046 g/cm³ since the system was deuterated to reduce the spectral overlap between nuclear motion and fictitious electron degrees of freedom). The system was equilibrated for 20 ps, confirmed by the subsequent absence of drift in potential energy. The fictitious velocities was reset to zero every 10 ps to avoid heating up of the electronic degrees of freedoms. The trajectory was sampled every 20 time step.

A plane wave basis set with a cut-off of 70 Ry was used and the core electrons were treated with Troullier-Martins [38] norm-conserving pseudo-potentials expressed in the Kleinman-Bylander form [39]. Pseudo-potentials for nitrogen, oxygen, and chlorine included p and s channels and were non-local in the s channel, whereas for that of hydrogen only had an s channel. For iron, non-linear exchange and correlation core-corrections [40] were employed with a pseudo-potential containing d and s channels and non-local in the d channel.

B. Quantum chemical computation

Implicit solvation with water as solvent was accounted by cpcm model for all quantum chemical computations. All DFT optimization, scan and frequency computations were done with the Gaussian 16 quantum chemical package [41]. The free energy correction was done following the protocol described in references 30, 31 using the thermal corrections given by frequency calculations. The free energy correction G_{corr} and H_{corr} was obtained from the frequency computations. Though the free energy corrections were computed using cpcm(water) solvation, Sackur-Tetrode equation which follows the ideal gas approximation was used. For this reason the entropy is scaled by factor 0.5 when computing free energy

404 changes in condensed phase.

$$-TS_{sol} = 0.5[G_{corr} - H_{corr}]$$

$$G_{sol} = E_{sol} + H_{corr} + 0.5[G_{corr} - H_{corr}]$$

405 All single point computations were performed in ORCA 4.2.0 [23]. For the UV-vis spec-
406 tra, we performed CASSCF/NEVPT2/CASPT2/def2-TZVP single calculations employing
407 a CAS(9,8) active space as discussed in the main text. In the scans (relaxed at the TPSSh
408 level), the energetics was estimated by single point DFT (BP86 and TPSSh), NEVPT2 and
409 CASPT2 computations using ZORA-def2-TZVP basis set. ZORA was used in energetics as
410 we wanted to be as accurate in this as possible and is neglected in computing UV-vis spectra
411 since these are mostly restricted to valence electrons.

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425 and M.O.

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